

## **Structure and diversity of urinary cell-free DNA informative of host-pathogen interactions in human urinary tract infection**

Philip Burnham<sup>1</sup>, Darshana Dadhania<sup>2,3</sup>, Michael Heyang<sup>1</sup>, Fanny Chen<sup>1</sup>, Lars F. Westblade<sup>4,5</sup>, Manikkam Suthanthiran<sup>2,3</sup>, John Richard Lee<sup>2,3,+,\*</sup>, Iwijn De Vlamincck<sup>1,+,\*</sup>

<sup>1</sup> Meinig School of Biomedical Engineering, Cornell University, Ithaca, NY 14853

<sup>2</sup> Division of Nephrology and Hypertension, Department of Medicine, Weill Cornell Medicine, New York, NY 10065

<sup>3</sup> Department of Transplantation Medicine, New York Presbyterian Hospital - Weill Cornell Medical Center, New York, NY 10065

<sup>4</sup> Department of Pathology and Laboratory Medicine, Weill Cornell Medicine, New York, NY 10065

<sup>5</sup> Department of Medicine, Division of Infectious Diseases, Weill Cornell Medicine, New York, NY 10065

+These authors contributed equally to this work.

\*To whom correspondence should be addressed: [vlaminck@cornell.edu](mailto:vlaminck@cornell.edu) and [jrl2002@med.cornell.edu](mailto:jrl2002@med.cornell.edu).

1 **Abstract**

2

3

4 **Infections of the urinary tract are the most common form of infection in the**  
5 **human population. Here, we tested the utility of urinary cell-free DNA (cfDNA) to**  
6 **comprehensively monitor host and pathogen dynamics in the scope of bacterial**  
7 **and viral urinary tract infections. We assayed cfDNA isolated from 141 urine**  
8 **samples obtained from a cohort of 82 kidney transplant recipients by next-**  
9 **generation sequencing. We find that urinary cfDNA simultaneously informs about**  
10 **the composition of the bacterial and viral components of the microbiome,**  
11 **antimicrobial susceptibility, bacterial growth dynamics, kidney allograft injury,**  
12 **and the host response to infection. These different layers of information are**  
13 **accessible from a single assay and individually agree with corresponding clinical**  
14 **tests based on quantitative PCR, conventional bacterial culture, and urinalysis. In**  
15 **addition, cfDNA reveals the frequent occurrence of pathologies that remain**  
16 **undiagnosed in conventional diagnostic workups. Our work identifies urinary**  
17 **cfDNA as a highly versatile tool to monitor infections of the urinary tract.**

## 1 Introduction

2  
3 Urinary tract infection (UTI) is one of the most common medical problems in the general  
4 population<sup>1</sup>. Among kidney transplant recipients, UTIs occur at an alarmingly high rate<sup>2</sup>.  
5 Bacterial UTI affects at least 20% of kidney transplant recipients in the first year after  
6 transplantation<sup>3</sup> and at least 50% in the first three years after transplantation<sup>4</sup>. In addition,  
7 complications due to viral infection often occur. An estimated 5-8% of kidney transplant  
8 recipients suffer nephropathy from BK polyomavirus infection in the first three years  
9 after transplantation<sup>5,6</sup>. Other viruses that commonly cause complications in kidney  
10 transplantation include adenovirus, JC polyomavirus, cytomegalovirus (CMV), and  
11 parvovirus. The current gold standard for diagnosis of bacterial UTI is *in vitro* urine  
12 culture<sup>7</sup>. Although improved culture methods are being investigated<sup>8,9</sup>, bacterial culture  
13 protocols implemented in clinical practice remain limited to the detection of relatively few  
14 cultivable organisms. In addition, urinalysis is often required in conjunction with culture  
15 to make treatment decisions.

16  
17 A large number of small fragments of cfDNA are present in plasma and urine<sup>10-13</sup>.  
18 These molecules are the debris of the genomes of dead cells from across the body and  
19 offer opportunities for precision diagnostics based on ‘omics principles, with applications  
20 in pregnancy, cancer and solid-organ transplantation<sup>12,14-16</sup>. Here, we have investigated  
21 the utility of urinary cfDNA to comprehensively monitor host and pathogen interactions  
22 that arise in the setting of viral and bacterial infections of the urinary tract. We used  
23 shotgun sequencing to assay cfDNA isolated from 141 urine samples collected from a  
24 cohort of 82 kidney transplant recipients, including patients diagnosed with bacterial UTI  
25 and BK polyomavirus nephropathy (BKVN). We implemented a single-stranded DNA  
26 (ssDNA) library preparation, optimized for the analysis of short, highly fragmented  
27 DNA<sup>17-19</sup>, and were able to perform sequence analyses for cfDNA isolated from  
28 relatively small volumes of urine supernatant (1 mL or less). We find that urinary cfDNA  
29 sequencing agrees in the vast majority of cases with current conventional clinical  
30 testing, while also uncovering frequent occurrence of bacteria and viruses that remain  
31 undetected in conventional diagnostic workups.

32  
33 We further investigated cfDNA analysis methodologies that go beyond mere  
34 identification of microbial sequences, and that provide a deeper understanding of  
35 ongoing infections. First, we show that the pattern of cfDNA sequencing read coverage  
36 across bacterial genomes is non-uniform, with an overrepresentation of sequences at  
37 the origin of replication. A similar pattern has previously been observed in whole-  
38 genome sequencing of gut microbiota, and the disproportionate genome coverage was  
39 shown to reflect the bacterial growth rate, where an overrepresentation of genomic

1 coverage at the replication origin signals faster population growth<sup>20</sup>. We show that  
2 measuring the bacterial population growth rate from urinary cfDNA can be used to  
3 inform diagnosis of UTI. Second, we mined cfDNA for antimicrobial resistance (AR)  
4 genes and show that AR gene profiling can be used to evaluate antimicrobial  
5 resistance. Furthermore, we demonstrate that cfDNA informs about the host response  
6 to infection on both a cellular and tissue level. Recent reports have demonstrated that  
7 cfDNA in plasma comprises the footprints of DNA-binding proteins and  
8 nucleosomes<sup>21,22</sup>. We find that nucleosome structures within transcription regulatory  
9 elements are preserved in urinary cfDNA, as was previously described for plasma  
10 cfDNA<sup>22</sup>. The occupancy of nucleosomes in gene regions flanking the transcription start  
11 site is notably reduced for transcribed genes, consistent with known chromatin  
12 alterations in gene promoters during transcription, thus providing a measure of gene  
13 expression. Furthermore, we observe that the relative proportion of kidney donor  
14 specific cfDNA signals graft tissue injury in the setting of viral infection and host immune  
15 cell activation in the scope of bacterial infection. Finally, we report that the graft is the  
16 predominant source of mitochondrial cfDNA in the urine of kidney transplant recipients  
17 with BKVN.

18  
19 Collectively, this study supports the utility of shotgun sequencing of urinary cfDNA as a  
20 comprehensive tool for monitoring patient health and studying host-pathogen  
21 interactions.

22

## 23 **Results**

24

### 25 **Biophysical properties of urinary cfDNA**

26

27 Urinary cfDNA is comprised of chromosomal, mitochondrial, and microbial cfDNA  
28 released from host cells and microbes in the urinary tract, and of plasma-derived cfDNA  
29 that passes from blood into urine<sup>23</sup>. Urine can be collected non-invasively in large  
30 volumes, and therefore represents an attractive target for diagnostic assays. Compared  
31 to plasma DNA, relatively few studies have examined the properties and diagnostic  
32 potential of urinary cfDNA. The urinary environment degrades nucleic acids more  
33 rapidly than plasma resulting in fewer DNA fragments that are shorter<sup>24</sup>. Consequently,  
34 sequence analyses of urinary cfDNA have to date required relatively large (> 10 mL)  
35 volumes of urine<sup>13,25</sup>. Here, we applied a single-stranded library preparation technique  
36 that employs ssDNA adapters and bead ligation to create diverse sequencing libraries  
37 that capture short, highly degraded cfDNA<sup>18,19</sup> (Fig. 1A). We find that single-stranded  
38 library preparation enables sequence analyses of urinary cfDNA from just one milliliter  
39 of urine supernatant. We assayed 141 urine samples collected from kidney transplant

1 recipients, including subjects diagnosed with bacterial UTI and polyomavirus  
2 nephropathy (overview of post-transplant dates and categories depicted in Fig. 1B, see  
3 Methods for details). We obtained 43.5 +/- 17.3 million paired-end reads per sample,  
4 yielding a per-base human genome coverage of 0.49x +/- 0.24x. Many fragments  
5 derived from microbiota; for example, for patients diagnosed with bacterial UTI, bacterial  
6 cfDNA accounted for up to 34.65% of the raw sequencing reads and in cases of BKVN,  
7 BK polyomavirus cfDNA accounted for up to 10.27% of raw sequencing reads. To  
8 account for technical variability and sources of environmental contamination during  
9 extraction and library preparation, a known-template control sample was included in  
10 every sample batch and sequenced (see Methods).

11  
12 We analyzed the fragment length profiles of urinary cfDNA at single nucleotide  
13 resolution using paired-end read mapping<sup>10</sup>. This analysis confirmed previous  
14 observations of the highly fragmented nature of urinary cfDNA compared to plasma  
15 cfDNA<sup>25</sup> (Fig. 1C). We observed a 10.4 bp periodicity in the fragment length profile of  
16 chromosomal cfDNA (Fourier analysis, Fig. 1C, inset), consistent with the periodicity of  
17 DNA-histone contacts in nucleosomes<sup>26</sup>. Polyomavirus is known to hijack histones of  
18 infected host cells, and to form mini chromosomes after infection<sup>27</sup>. The periodicity in  
19 the fragment length profiles of BK polyomavirus cfDNA in urine reflect this biology (Fig.  
20 1C). We did not observe a similar nucleosomal footprint for bacterial and mitochondrial  
21 cfDNA, and cfDNA arising from parvovirus B19, which is expected given the non-  
22 nucleosomal compaction of the genomes that contribute these cfDNA types (Fig. 1D).

## 23 24 **Infectome screening**

25  
26 We assessed the presence of cfDNA from bacterial and viral pathogens reported by  
27 conventional diagnostic assays. We used previously described bioinformatics  
28 approaches to quantify non-human cfDNA<sup>28</sup>. Briefly, human sequences were identified  
29 by alignment to a human reference genome and removed. Remaining sequences were  
30 BLASTed against a database of microbial reference genomes. We estimated the  
31 relative genomic representation of different species using GRAMMy<sup>29</sup>. To directly  
32 compare the measured microbial abundance across samples and species, we  
33 computed the representation of microbial genome copies relative to the representation  
34 of the human genome, and expressed this quantity as relative genome equivalents  
35 (RGE).

36  
37 We detected a very high load of BK polyomavirus cfDNA in all 25 samples collected  
38 from 23 patients diagnosed with BKVN by needle biopsy (mean 1.49 +/- 1.08 x10<sup>5</sup> RGE,  
39 Fig. 2A), but not in samples from 11 patients that were BKVN negative per biopsy (all

1 below detection limit). The BK polyomavirus cfDNA abundance (RGE) correlated with a  
2 matched urine cell pellet BKV VP1 mRNA copy measurement that we previously  
3 validated as a noninvasive marker for BKVN<sup>30,31</sup> (Spearman:  $\rho = 0.73$ ,  $p = 7.1 \times 10^{-7}$ ).

4  
5 We quantified bacterial urinary cfDNA in 43 urine samples from 31 patients who had a  
6 corresponding same day positive culture. For 41 of the 43 clinically positive urine  
7 specimens, a particular bacterial species was reported by conventional culture. In 40 of  
8 these 41 samples, sequencing of urinary cfDNA detected the clinically reported  
9 suspected uropathogen to the species level (Fig. 2B). For a single sample, urinary  
10 cfDNA did not correlate with the bacterial culture. *Raoultella ornithinolytica* was isolated  
11 in culture, but not detected in cfDNA (see Methods for a detailed discussion of this  
12 discordant readout). For two clinically positive samples, the primary suspected  
13 causative agent was identified to the genus level (*Staphylococcus [reported as*  
14 *coagulase-negative Staphylococcus species]* and *Streptococcus*) by conventional  
15 culture. In both these cases the primary agent was detected as the most prevalent  
16 within the sample. We examined five samples from patients with polymicrobial infection  
17 (defined as at least two bacterial species detected at  $> 10,000$  CFU/mL in culture). For  
18 four out of five of these cases, we observed both species among the ten most abundant  
19 species (see Supplementary data table for these cases). In one sample, the secondary  
20 bacterial agent, coagulase-negative *Staphylococcus* species (CoNS), was not detected.

21  
22 To further test the performance of urinary cfDNA to identify specific bacteria, we  
23 compared the relative abundance of bacterial cfDNA for patients diagnosed with  
24 bacterial infection (48 bacterial isolates identified from 43 conventional cultures), to the  
25 relative genomic abundance measured for 43 negative urine cultures (defined as  $<$   
26  $10,000$  CFU/mL), (Fig. 2C and Fig. S1). We find very good agreement between urinary  
27 cfDNA and culture based isolation of *Enterococcus faecalis* (number of matched  
28 positive cultures,  $n = 11$ , Area Under the Curve, AUC = 0.97), *Enterococcus faecium* ( $n$   
29 = 2, AUC = 0.98), *Escherichia coli* ( $n = 21$ , AUC = 0.97), *Klebsiella pneumonia* ( $n = 3$ ,  
30 AUC = 1.00), *Pseudomonas aeruginosa* ( $n = 3$ , AUC = 1.00), *Klebsiella oxytoca* ( $n = 1$ ,  
31 AUC = 1), CoNS ( $n = 4$ , AUC = 0.78), and viridans group streptococci ( $n = 1$ , AUC =  
32 0.98).

33  
34 In only 60% of examined samples (26/43 UTI cases), we found that the uropathogen  
35 identified by culture was the most prevalent pathogen in the sample (Fig. 2B). Whereas  
36 bacterial culture is skewed towards species that are readily isolated on routine  
37 bacteriological media employed for urine culture, cfDNA sequence analyses potentially  
38 permit the identification of a broader spectrum of bacterial species. To evaluate this  
39 concept further, we assayed two samples collected from a patient diagnosed with

1 *Haemophilus influenzae* bacteruria. *H. influenzae* is a very uncommon uropathogen that  
2 does not routinely grown on media employed for conventional urine culture (tryptic soy  
3 agar with sheep blood and MacConkey agar)<sup>32</sup>. Repeated cultures for this patient were  
4 negative, but given a urinalysis suggestive of a UTI and given that the patient developed  
5 *H. influenzae* bacteremia, the original urine specimen collected at presentation was re-  
6 plated on chocolate agar, upon which *H. influenzae* was isolated. In the sample taken at  
7 the time of presentation, which was cultured, and also a sample taken four days after  
8 presentation, we observed a high abundance of *H. influenzae* cfDNA (0.037 RGE and  
9 0.41 RGE respectively). This case supports the utility of urinary cfDNA to identify  
10 infections where conventional culture fails.

11

## 12 **Profiling the Urinary Microbiome.**

13

14 The urinary tract was long regarded as sterile but recent studies have revealed that the  
15 urinary tract often harbors unique microbiota<sup>9,33,34</sup>. We have examined the composition  
16 of the urinary microbiome by urinary cfDNA profiling in the absence of bacterial UTI  
17 (Fig. S2). We find that the species level abundance and the species level diversity of the  
18 bacteriome are a function of the transplant recipient gender but not the donor gender.  
19 On average, we observed two to three orders of magnitude more cfDNA from  
20 *Gardnerella* (6125x), *Ureaplasma* (1686x), and *Lactobacillus* (321x) species across  
21 female transplant recipients who did not have a UTI at time of sampling compared to  
22 male recipients who did not have UTI; these bacterial genera are well characterized as  
23 members of the healthy female vaginal microbiome<sup>35</sup>. We examined the relationship  
24 between urine collection methods and the abundance and diversity of the bacteriome  
25 and find a notably reduced bacterial load for samples collected by Foley catheter  
26 (samples collected within four days after transplant) versus clean catch urine samples.  
27 cfDNA may be an ideal tool to study the urinary microbiome, but such future studies  
28 need to account for effects of gender and sample collection approaches.

29

## 30 **Broad screening for viruses via cfDNA**

31

32 We next screened for the occurrence of cfDNA derived from viruses. Nearly half of the  
33 samples (66/141) had detectable levels of cfDNA derived from eukaryotic viruses that  
34 are potentially clinically relevant. Figure 2D highlights the frequent occurrence of JC  
35 polyomavirus, parvovirus B19, Merkel cell polyomavirus, cytomegalovirus (CMV),  
36 human herpesvirus 6A, human herpesvirus 6B, and various known oncoviruses across  
37 different patient groups. In several samples, we detected cfDNA from multiple  
38 polyomavirus species concurrently (JC polyomavirus or BK polyomavirus). To shed light  
39 on the potential clinical utility of broad screening for viruses via cfDNA, we assayed

1 serial urine from three patients diagnosed with viral infections that are relatively  
2 uncommon in kidney transplant recipients and consequently not routinely screened for  
3 in our patient cohorts. In samples from two patients with clinically diagnosed parvovirus  
4 B19 infection, we detected urinary cfDNA from parvovirus B19 up to 8 days prior to the  
5 clinical diagnosis in one subject and urinary cfDNA from parvovirus B19 up to 80 days  
6 before diagnosis and up to 25 days after diagnosis in another subject. In the former  
7 subject, we observed a high abundance of both BK polyomavirus ( $3.54 \times 10^4$  RGE) and  
8 parvovirus B19 ( $2.48 \times 10^4$  RGE), which correlated with positive results of individual  
9 viral-specific PCR tests for BK polyomavirus and parvovirus B19 performed in the clinic.  
10 For a third patient, we observed a high abundance of human adenovirus B DNA, in  
11 samples obtained up to 15 days before ( $2.52 \times 10^3$  RGE), and 9 days after ( $5.08 \times 10^2$   
12 RGE) adenovirus infection diagnosis. These data support the utility of urinary cfDNA  
13 sequencing for the detection of both common and uncommon viral agents.

14

### 15 **Quantifying bacterial growth rates**

16

17 Conventional metagenomic sequencing can provide a snapshot of the microbiome, yet  
18 does not inform about microbial life cycles or growth dynamics. In a recent study, Korem  
19 *et al.* reported that the pattern of metagenomic sequencing read coverage across a  
20 microbial genome can be used to quantify microbial genome replication rates for  
21 microbes in complex communities<sup>20</sup>. Here, we tested whether this concept can be used  
22 to estimate bacterial population growth from measurements of cfDNA. Figure 3A shows  
23 the urinary cfDNA sequence coverage for four bacterial species, *E. coli*, *K. pneumoniae*,  
24 *Gardnerella vaginalis* and *Cutibacterium acnes*. For two patients diagnosed with *E. coli*  
25 and *K. pneumoniae* UTI (Fig. 3A), the *E. coli* and *K. pneumoniae* genome coverage was  
26 highly non-uniform, with an overrepresentation of sequences at the origin of replication  
27 and an underrepresentation of sequences at the replication terminus. The shape of the  
28 *E. coli* and *K. pneumoniae* genome coverage is a result of bi-directional replication from  
29 a single origin of replication. The skew in genome coverage reflects the bacterial  
30 population growth rate, where a stronger skew signals faster population growth<sup>36</sup>. The  
31 genome coverage of a typically commensal bacterial species, *G. vaginalis*, exhibited  
32 non-uniform genome coverage (Fig. 3A), similar to the above uropathogens but less  
33 pronounced. *C. acnes* has been recognized as a common skin and lab contaminant<sup>37</sup>.  
34 The genome coverage for *C. acnes*, was highly uniform, indicative of slow or no growth  
35 (aggregate across 99 samples, Fig. 3A).

36

37 We asked whether this measure of bacterial growth can be used to inform UTI  
38 diagnosis. We calculated an index of replication based on the shape of the sequencing  
39 coverage using methods described previously<sup>36</sup>. We used BLAST to identify abundant



1 bacterial strains and then re-aligned all sequences with BWA to a curated list of  
2 bacterial species. Samples for which the genome coverage was too sparse were  
3 excluded from this analysis (see Methods). Figure 3B compares the index of replication  
4 for bacteria in samples from patients diagnosed with UTI, to bacteria in samples from  
5 patients with negative cultures and samples collected from patients before and after UTI  
6 diagnosis. Species categorized in the UTI group had markedly greater growth rates,  
7 than those in the no UTI and pre-/post-UTI groups (two-tailed Wilcoxon rank sum test,  $p =$   
8  $9.0 \times 10^{-3}$ ).

9

## 10 **Antimicrobial resistome profiling**

11

12 For 42 of 43 samples collected from patients with clinically confirmed UTIs, we  
13 determined the relative abundance of genes conferring resistance to several classes of  
14 antimicrobials (a single sample, for which no AR gene fragments were observed, was  
15 excluded from this analysis). We used blastp to align non-human sequences against  
16 known AR genes and mutations<sup>38</sup>. AR gene sequences were aggregated and called  
17 against the non-redundant Comprehensive Antibiotic Resistance Database that  
18 indicates the drug resistance conferred by the given gene.

19

20 We compared the results of phenotypic antimicrobial susceptibility testing (see  
21 Methods) to the resistance profiles determined by sequencing. For most samples, there  
22 was a high diversity in alignments with highly abundant resistance classes including  
23 resistance to macrolides, aminoglycosides, and beta-lactams (Fig. 4). We studied  
24 vancomycin-resistant *Enterococcus* (VRE) infections, which often lead to complications  
25 after transplantation, in depth. Resistance to vancomycin was clinically assessed via  
26 measurement of the minimum inhibitory concentration value using broth microdilution  
27 (MicroScan, Beckman Coulter, Inc) or gradient diffusion (Etest®, bioMérieux, Inc). We  
28 detected fragments of genes conferring resistance to the glycopeptide antibiotic class,  
29 of which vancomycin is a member, for all VRE positive samples ( $n = 4$ ). Moreover, for  
30 samples with *Enterococcus* that tested as vancomycin susceptible ( $n = 7$ ), we did not  
31 detect fragments of glycopeptide class resistance genes (Fig. 4). These data indicate  
32 significant potential to predict antimicrobial susceptibility from measurements of urinary  
33 cfDNA.

34

## 35 **Host response to infection**

36

37 We next examined the host response to viral and bacterial infections. Recent work has  
38 identified transplant donor specific cfDNA in plasma as a marker of graft injury in heart,  
39 lung, liver and kidney transplantation<sup>15,28,39,40</sup>. Here, we quantified donor specific cfDNA

1 in urine for sex-mismatched donor recipient pairs by counting Y chromosome derived  
2 cfDNA (Fig. 5A, Methods). We observed elevated levels of donor cfDNA in the urine of  
3 patients diagnosed with BKVN (mean proportion of donor DNA 65.1%, n=12) compared  
4 to the urine of patients who had normal biopsies (no BKVN, mean 42.2%, n=5) and  
5 samples from patients who did not develop a clinical UTI in the first three months of  
6 transplantation (mean 25.5%, n=11, samples collected within five days after transplant  
7 excluded). The release of donor DNA reflects severe cellular and tissue injury in the  
8 graft, a hallmark of BKVN. In contrast to patients with BKVN, patients diagnosed with  
9 bacterial UTI had lower proportions of donor DNA as compared to stable individuals.  
10 This is likely explained by an elevated number of recipient immune cells in the urinary  
11 tract following immune activation. Indeed, comparison to clinical urinalysis indicates that  
12 the donor fraction decreases with increasing white blood cell count (WBC, per high  
13 power field, HPF, 400 x microscope magnification, inset Fig. 5A, Spearman:  $\rho = -0.57$ ,  $p$   
14  $= 1.3 \times 10^{-4}$ ). Furthermore, clinical cases of pyuria – defined as greater than ten WBC  
15 per HPF<sup>41</sup>, had a lower donor fraction than those without (two-tailed Wilcoxon test,  $p = 8.0$   
16  $\times 10^{-4}$ ). In addition, we found that the level of donor DNA in the first few days after  
17 transplant was elevated, consistent with early graft injury. We tracked the relative and  
18 absolute abundance of donor specific urinary cfDNA in the first few days after  
19 transplantation for a small subset of subjects (n=5). The initial elevated level of donor  
20 DNA quickly decayed to a lower baseline level (Fig. 5B), in line with previous  
21 observations in heart and lung transplantation<sup>15,42</sup>.

22  
23 Two studies recently demonstrated that the structure of chromatin in gene promoters is  
24 conserved within circulating cfDNA in plasma<sup>22,21</sup>. Ulz *et al.* employed whole-genome  
25 sequencing of plasma DNA to show that nucleosomal occupancy at transcription start  
26 sites results in different read depth coverage patterns for expressed and silent genes<sup>22</sup>.  
27 Here, we found that footprints of nucleosomes in gene promoters and transcriptional  
28 regulatory elements are conserved within urinary cfDNA (Fig. 5C, aggregation and  
29 normalization across all samples), and that the extent of nucleosomal protection is  
30 proportional to gene expression. Measurements of nucleosomal depletion can serve as  
31 a proxy for gene expression, and may be used to investigate host-pathogen interactions  
32 in the setting of UTI in more detail.

33  
34 Mitochondrial DNA (mtDNA) in the urine was recently identified as a possible biomarker  
35 for hypertensive kidney damage<sup>43</sup>. Furthermore, recent data indicate a role for  
36 extracellular mitochondrial DNA as a powerful damage-associated molecular pattern  
37 (DAMP). Elevated levels of mtDNA in plasma have been reported in trauma, sepsis and  
38 cancer, and recent studies have identified mitochondrial DNA released into the  
39 circulation by necrotic cells<sup>44</sup>. For a small subset of patients diagnosed with BKVN (eight

1 samples from seven subjects), we quantified donor and recipient specific mtDNA in  
2 urine, using an approach we have previously described<sup>19</sup>. We found that the graft is the  
3 predominant source of mitochondrial urinary cfDNA in seven of the eight samples (two-  
4 tailed Student t-test,  $p \ll 10^{-6}$ ; see Methods). Molecular techniques to track DAMPs in  
5 urine released in the setting of kidney graft injury may provide a non-invasive window  
6 into the potential role of these molecules in the pathogenesis of immune-related  
7 complications.

8

## 9 **Discussion**

10

11 We have presented a strategy to identify and assess infections of the urinary tract  
12 based on profiling of urinary cfDNA and 'omics analysis principles. We show that  
13 different layers of clinical information are accessible from a single assay that are either  
14 inaccessible using current diagnostic protocols, or require parallel implementation of a  
15 multitude of different tests. In nearly all samples with clinically reported viral or bacterial  
16 infection of the urinary tract, cfDNA identified the suspected causative agent of infection.  
17 In addition, cfDNA sequencing revealed the frequent occurrence of cfDNA from bacteria  
18 that remain undetected in current clinical practice. In many samples, including those  
19 from patients regarded as clinically stable, we detected cfDNA from viruses that may be  
20 clinically relevant but not routinely assayed in the screening protocol at our institution.  
21 The assay we present therefore has the potential to become a valuable tool to monitor  
22 bacteriuria and viruria in transplant cohorts, and to ascertain their potential impact on  
23 allograft health.

24

25 Beyond measurement of the abundance of different components of the microbiome,  
26 urinary cfDNA provides a wealth of information about bacterial phenotypes. We show,  
27 for the first time, that analyses of the structure of microbial genomes from cfDNA allow  
28 estimation of bacterial population growth rates, thereby providing information about  
29 dynamics from a single snapshot. We compared the bacterial growth rates in samples  
30 with clinically-diagnosed UTI to those without diagnosed UTI and we observed higher  
31 growth rates for clinically-reported bacteria in patients diagnosed with UTI. We further  
32 show that metagenomic analysis of urinary cfDNA can be used to infer susceptibility to  
33 antimicrobials. We mined shotgun sequencing data for AR genes, and found a good  
34 agreement between the presence of AR genes and *in vitro* phenotypic antimicrobial  
35 susceptibility testing of bacterial isolates. cfDNA resistome profiling may have added  
36 potential over conventional antimicrobial resistance testing methods, as these methods  
37 typically use one or a few cultured colonies. cfDNA profiling can potentially capture AR  
38 gene fragments from the entire bacterial population which may be particularly important  
39 since cfDNA profiling revealed frequent putative co-infections within the UTI group.

1  
2 Several new methodologies have been introduced in recent years to characterize the  
3 urinary microbiome and to diagnose urinary tract infection, including 16S ribosomal  
4 DNA sequencing<sup>34,45,46</sup>, and expanded culture techniques<sup>8,47</sup>. These approaches have  
5 challenged the clinical dogma that urine from healthy individuals is sterile<sup>33</sup>, and have  
6 revealed deficiencies in the culture protocols that are used in clinical practice today<sup>9,34</sup>.  
7 The cfDNA shotgun sequencing assay described here provides a versatile alternative  
8 that will be particularly useful for the monitoring of kidney transplant recipients, given the  
9 potential to enable viral and bacterial pathogen detection, antimicrobial resistance  
10 profiling, and graft injury monitoring from a single assay.

11  
12 More than 15,000 patients receive lifesaving kidney transplants in the US each year<sup>48</sup>.  
13 Viral and bacterial infections of the urinary tract occur frequently in this patient group  
14 and often lead to serious complications, including graft loss and death. In the general  
15 population, UTI is one of the most frequent medical problems that patients present with  
16 in medical offices<sup>49</sup>. Shotgun sequencing of urinary cfDNA offers a comprehensive  
17 window into infections of the urinary tract and can be a valuable future diagnostic tool to  
18 monitor and diagnose bacterial and viral infections in kidney transplantation as well as  
19 in the general population. The assay we have presented is compatible with a short  
20 assay turnaround time (1-2 days), and will benefit from continued technical advances in  
21 DNA sequencing that will reduce cost and increase throughput in years to come.

## 22 23 **Methods**

24  
25 **Study cohort and sample collection.** 141 urine samples were collected from kidney  
26 transplant recipients who received care at New York Presbyterian Hospital – Weill  
27 Cornell Medical Center. We assayed urine samples from a total of 82 patients. We  
28 included 31 subjects who developed bacterial UTIs diagnosed within the first 12 months  
29 of transplantation and 14 subjects who never developed urinary tract infections within  
30 the first 3 months of transplantation. For the 31 subjects who developed UTIs, we  
31 assayed 43 urine samples corresponding to same day positive urine cultures (UTI  
32 Group); we assayed 15 urine samples from 15 subjects, collected at least 2 to 16 days  
33 (median 7 days) prior to development of the positive urine cultures (Pre-UTI Group),  
34 and we assayed 12 urine samples from 9 subjects, collected at least 3 to 26 days  
35 (median 9 days) after development of the positive urine cultures (Post-UTI Group) (7 of  
36 the 9 subjects were treated with antibiotics). We assayed a total of 29 samples collected  
37 within three months after transplantation from 14 subjects who never developed UTI in  
38 the first three months of transplantation. Ninety samples in the study had a  
39 corresponding same day urine culture with the associated urine specimens that were

1 assayed. The study also included 25 samples from 23 subjects who had a  
2 corresponding positive diagnosis of BK virus nephropathy by needle biopsy of the  
3 kidney allograft (BKVN positive group) and 11 samples from 11 subjects who had a  
4 normal protocol biopsy and was negative for BK virus (BKVN negative group). Finally,  
5 the study additionally had 7 samples from 3 subjects who developed either clinically  
6 diagnosed rare viral infections including parvovirus or adenovirus. See also detailed  
7 clinical metadata in supplemental table “Clinical Data”.

8  
9 **Urine collection and supernatant isolation.** Most urine samples were collected via  
10 the conventional mid-stream void method (n=130). Samples obtained prior to post-  
11 transplant day 4 were collected via Foley catheter (n = 11). Approximately 50 mL of  
12 urine was centrifuged at 3,000 g for 30 minutes and the supernatant was stored at -80  
13 °C in 1 or 4 ml aliquots. cfDNA was extracted from 1 mL (131 samples) or 4 mL (10  
14 samples) of urine (Qiagen Circulating Nucleic Acid Kit, Qiagen, Valencia, CA).

15  
16 **Analysis of discordance against bacterial culture.** In a single sample, urinary cfDNA  
17 did not identify the uropathogen reported by conventional culture (*Raoultella*  
18 *ornithinolytica*). The patient had developed an *E. coli* UTI on post-operative day 6 and  
19 was treated initially with aztreonam but switched to cephalexin for a 14 day course. The  
20 subject subsequently developed a UTI that conventional bacterial culture revealed to be  
21 *R. ornithinolytica* on post-operative day 25. cfDNA analysis on the same day revealed a  
22 high abundance of *E. coli* UTI and no evidence of *R. ornithinolytica* infection. Given the  
23 discordant results, it is unclear if the second UTI is a recurrence as suggested by the  
24 cfDNA analysis or is a new infection as suggested by the urine culture data.

25  
26 **Negative control.** To control for environmental and sample-to-sample contamination, a  
27 known-template control sample (IDT-DNA synthetic oligo mix, lengths 25, 40, 55, 70 bp,  
28 0.20 µM eluted in TE buffer) was included in every sample batch and sequenced to  
29 approximately 25% of the depth of the cfDNA extracts (~5 million fragments). The mean  
30 representation of each genus in the control was used to filter out genera in samples  
31 identified as possible contaminants. Possible sources of contamination in these  
32 experiments include: environmental contamination during sample collection in the clinic,  
33 nucleic acid contamination in reagents used for DNA isolation and library preparation,  
34 sample-to-sample contamination due to Illumina index switching<sup>50</sup>.

35  
36 **Library preparation and next generation sequencing.** Sequencing libraries were  
37 prepared using a single-stranded library preparation optimized for the analysis of  
38 ultrashort fragment DNA, described previously<sup>19</sup>. Libraries were characterized using the  
39 AATI fragment analyzer. Samples were pooled and sequenced on the Illumina NextSeq

1 platform (paired-end, 2x75 bp). Approximately 50 million paired-end reads were  
2 generated per sample.

3

4 **Analysis – Composition of the urinary microbiome.** Low quality bases and Illumina-  
5 specific sequences were trimmed (Trimmomatic-0.32<sup>51</sup>). Reads from short fragments  
6 were merged and a consensus sequence of the overlapping bases were determined  
7 using FLASH-1.2.7. Reads were aligned (Bowtie2, very sensitive mode<sup>52</sup>) against the  
8 human reference (UCSC hg19). Unaligned reads were extracted, and the non-  
9 redundant human genome coverage was calculated (SAMtools 0.1.19 rmdup<sup>53</sup>). To  
10 derive the urinary microbiome, reads were BLASTed (NCBI BLAST 2.2.28+) to a  
11 curated list of bacterial and viral reference genomes<sup>54</sup>. Short reads were assigned to  
12 specific taxa using a maximum likelihood algorithm that takes into account the ambiguity  
13 of read mapping<sup>28,29,55</sup>. The relative abundance of higher level taxa was determined on  
14 the basis of the genomic abundance at the strain or species level. For positive  
15 identification of viruses, we required at least 10 BLAST hits. In addition, due to the high  
16 load and genetic similarity of BK and JC polyomaviruses in many samples, we  
17 implemented a conservative filter for incompleteness and heterogeneity of genome  
18 coverage (GINI index less than 0.8 with at least 75% of the genome covered) for these  
19 two species only.

20  
21 **Bacterial growth dynamics.** Bacterial genome replication rates were determined using  
22 the methods described by Brown *et al.*<sup>36</sup>. Briefly, all bacterial strains within a sample  
23 were sorted and the GC-skew was used to identify the origin and terminus of replication  
24 (minimum and maximum GC-skew, respectively). Bacterial genomes were binned in 1  
25 kbp tiles. The coverage was smoothed based on a running mean of 100 nearest  
26 neighboring tiles. The coverage in each tile was quantified and tiles were sorted by  
27 coverage. Linear regression was performed between the origin and terminus of  
28 replication after further removing the 5% least and most covered bins. The product of  
29 the slope of the regression line and the genome length was defined as the growth rate,  
30 a metric applied in previous analyses<sup>36</sup>. This analysis was applied for all bacterial  
31 strains with genome lengths greater than 0.5 Mbp,  $R^2$  linear regression correlation  
32 greater than 0.90, and GINI index coefficient less than 0.2, for which at least 2500  
33 BLAST hits were detected in the sample.

34  
35 **Nucleosome footprints in gene bodies.** Paired-end reads were aligned using BWA-  
36 mem. The sequence read coverage in 2 kbp windows around the transcription start site  
37 was determined using the SAMtools depth function<sup>22</sup>.

38  
39 **Proportion of donor-specific cfDNA in urine.** The fraction of donor specific cfDNA in

1 urine and plasma samples was estimated for sex-mismatched, donor-recipient pairs.  
2 The donor fraction was determined as follows:

3 Male donor, female recipient:  $D = 2Y/A$ ,

4 Female donor, male recipient:  $D = 1 - \left(\frac{2Y}{A}\right)$ ,

5 where  $Y$  and  $A$  are the coverage of the mappability-adjusted  $Y$  and autosomal  
6 chromosomes, respectively. Sequence mappability was determined using HMMcopy<sup>56</sup>.

7  
8 **Mitochondrial donor fraction.** The proportion of donor specific mitochondrial DNA was  
9 quantified using methods previously described<sup>19</sup>. Briefly, a mitochondrial consensus  
10 sequence was determined for the donor and recipient from pre-transplant whole blood  
11 samples. Comparison of cfDNA sequence data to the mitochondrial consensus was  
12 used to quantify donor and recipient specific mitochondrial DNA. One sample was  
13 removed due to low depth of sequencing.

14  
15 **Clinical antimicrobial resistance determination.** Antimicrobial susceptibility testing  
16 was performed on 43 matched samples from patients with clinically diagnosed UTIs at  
17 New-York Presbyterian Hospital-Weill Cornell Medical Center. Antimicrobial  
18 susceptibility testing was organism-specific and included a combination of disk diffusion,  
19 gradient diffusion and microbroth dilution prepared and analyzed according to either the  
20 manufacturer's or Clinical and Laboratory Standards Institute recommendations.

21  
22 **Determining antimicrobial resistance gene presence.** Nonhuman sequencing reads  
23 were aligned to a database of protein sequences of known antimicrobial resistance  
24 genes (CARD, 2,158 genes) using blastx (identity overlap 90%, culling limit 8 blastx  
25 hits). The hits with the highest identity and overlap length were selected for each read  
26 and compared to the antimicrobial resistance classes using the CARD ontology<sup>38</sup>.

27  
28 **Statistical analysis.** All statistical analyses were performed using R version 3.3.2.  
29 Unless otherwise noted, groups were compared using the nonparametric Mann-Whitney  
30 U test. Fourier analyses were performed using the spec.pgram function, part of the  
31 standard stats package, in R.

32  
33 **Boxplots.** Boxes in the boxplots indicate the 25<sup>th</sup> and 75<sup>th</sup> percentiles, the band in the  
34 box indicates the median, lower whiskers extend from the hinge to the smallest value at  
35 most 1.5 \* IQR of the hinge, higher whiskers extend from the hinge to the highest value  
36 at most 1.5 \* IQR of the hinge.

37  
38 **Data availability.** The sequencing data that support the findings of this study are made

1 available in the database of Genotypes and Phenotypes (dbGaP).

2

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8 experiments.

9

10 **Competing financial interests.** The authors have no competing financial interests.

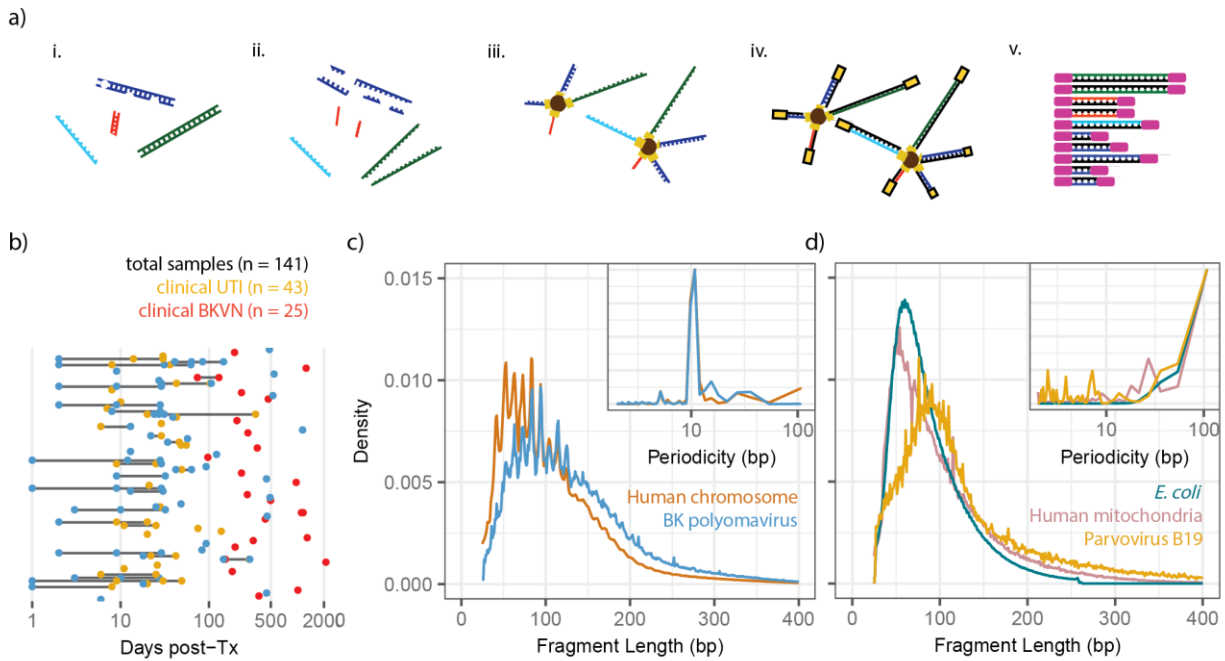
11

12 **Materials & Correspondence.** All requests should be submitted to I.D.V.  
13 (vlaminck@cornell.edu) and J.R.L. (jrl2002@med.cornell.edu).

14

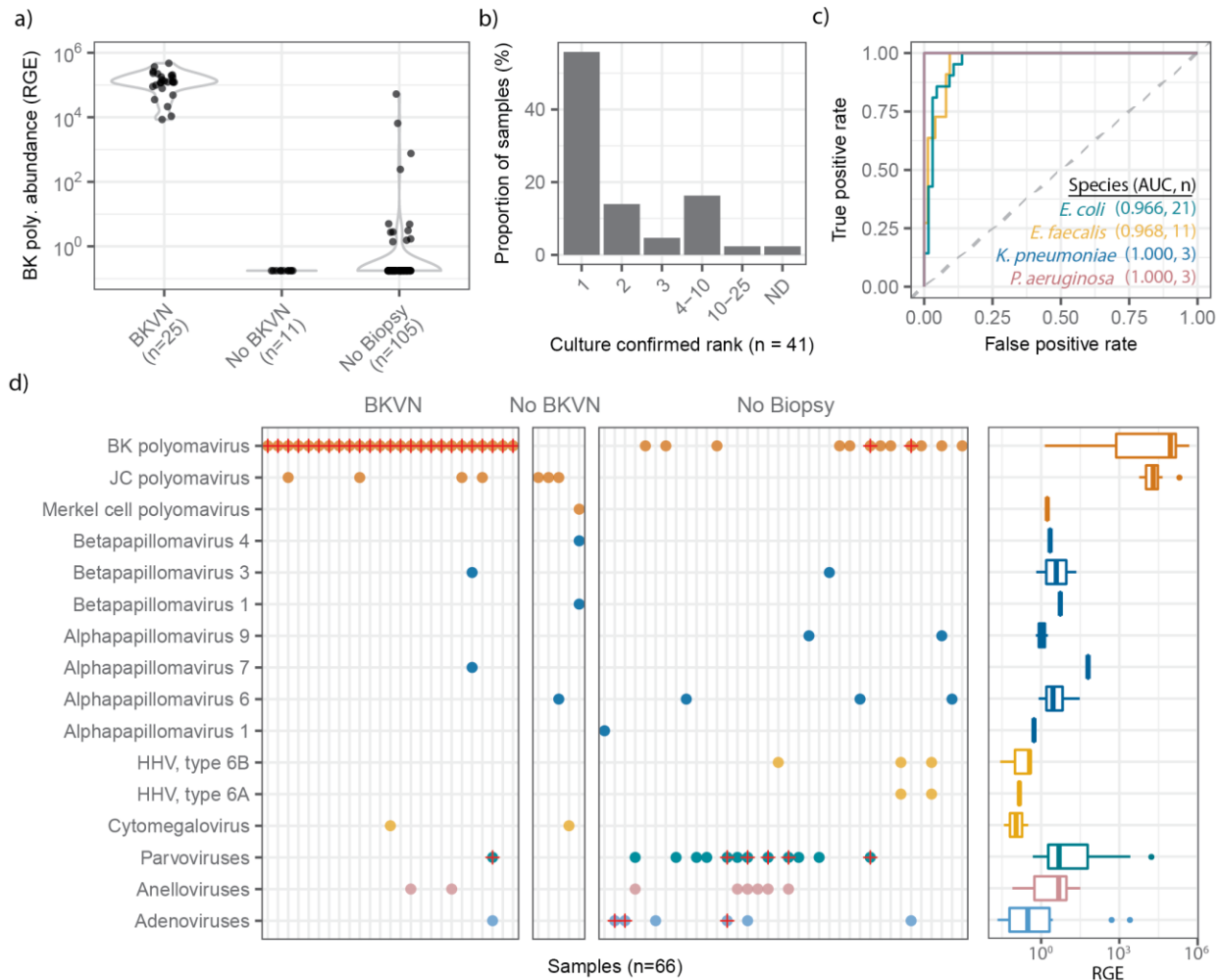
15 **Author contributions.** P.B., J.R.L., D.D., M.S. and I.D.V. contributed to the study  
16 design. P.B., M.H. and F.C. performed the experiments. P.B. J.R.L., D.D., L.F.W. and  
17 I.D.V. analyzed the data. P.B, D.D., L.F.W., J.R.L., and I.D.V. wrote the manuscript. All  
18 authors provided comments and edits.



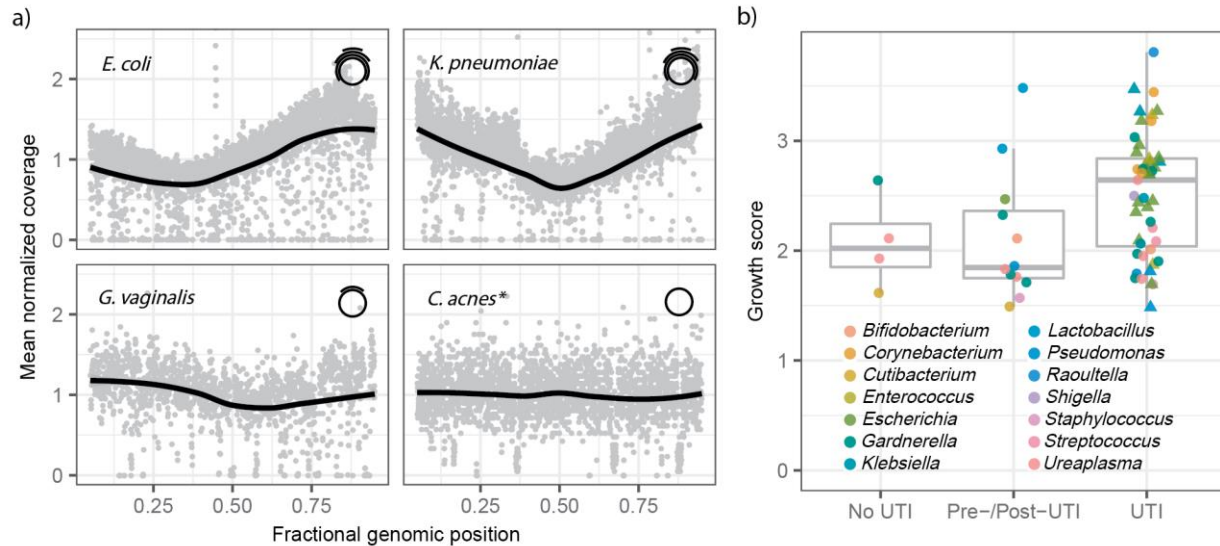


**Figure 1. Shotgun sequencing assay and biophysical properties of urinary cfDNA.**

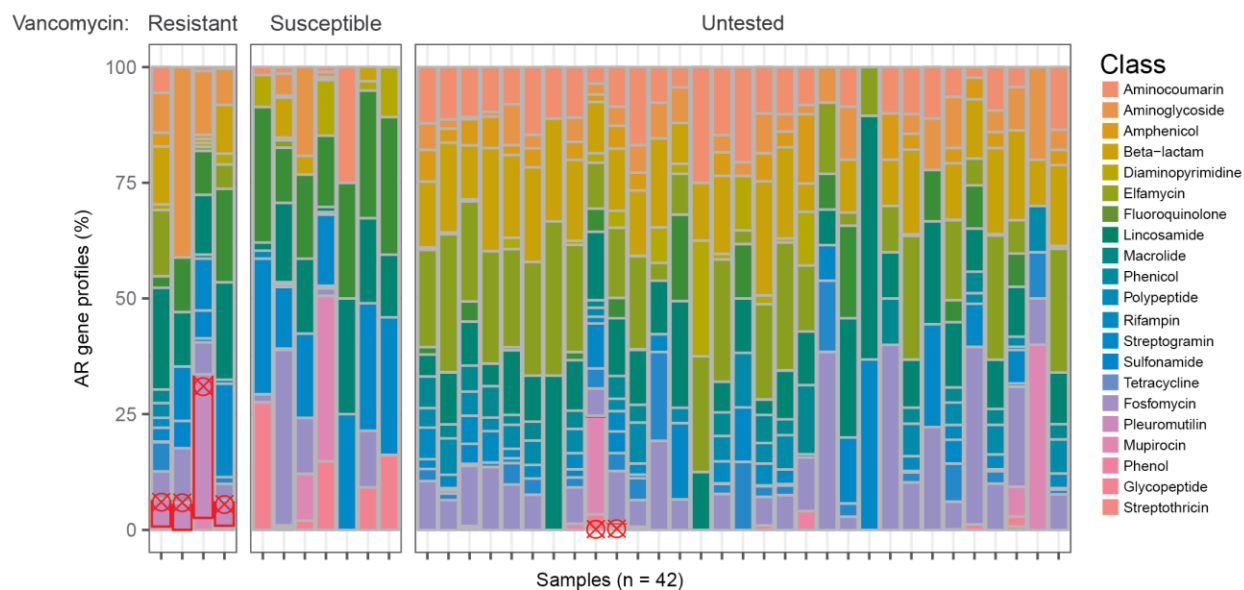
**(a)** Schematic representation of the ssDNA library preparation protocol used for shotgun sequencing of urinary cfDNA<sup>19</sup>. Key steps include: *i*) cfDNA isolation, *ii*) DNA denaturation, *iii*) ssDNA adapter ligation, *iv*) extension and double-stranded DNA adapter ligation, *v*) and PCR. **(b)** Overview of post-transplant sample collection dates (color indicates pathology, bars connect samples from same patients). **(c-d)** Fragment length density plot measured by paired-end sequencing for different cfDNA types: **(c)** chromosomal and polyomavirus cfDNA from representative samples, and **(d)** *E. coli*, parvovirus, and mitochondrial cfDNA from representative samples. Fourier analysis reveals a 10.4 bp periodicity in the fragment length profiles of chromosomal and BK polyomavirus cfDNA but not in *E. coli*, parvovirus B19, and mitochondrial cfDNA (insets). See supplemental table “Clinical Data”.



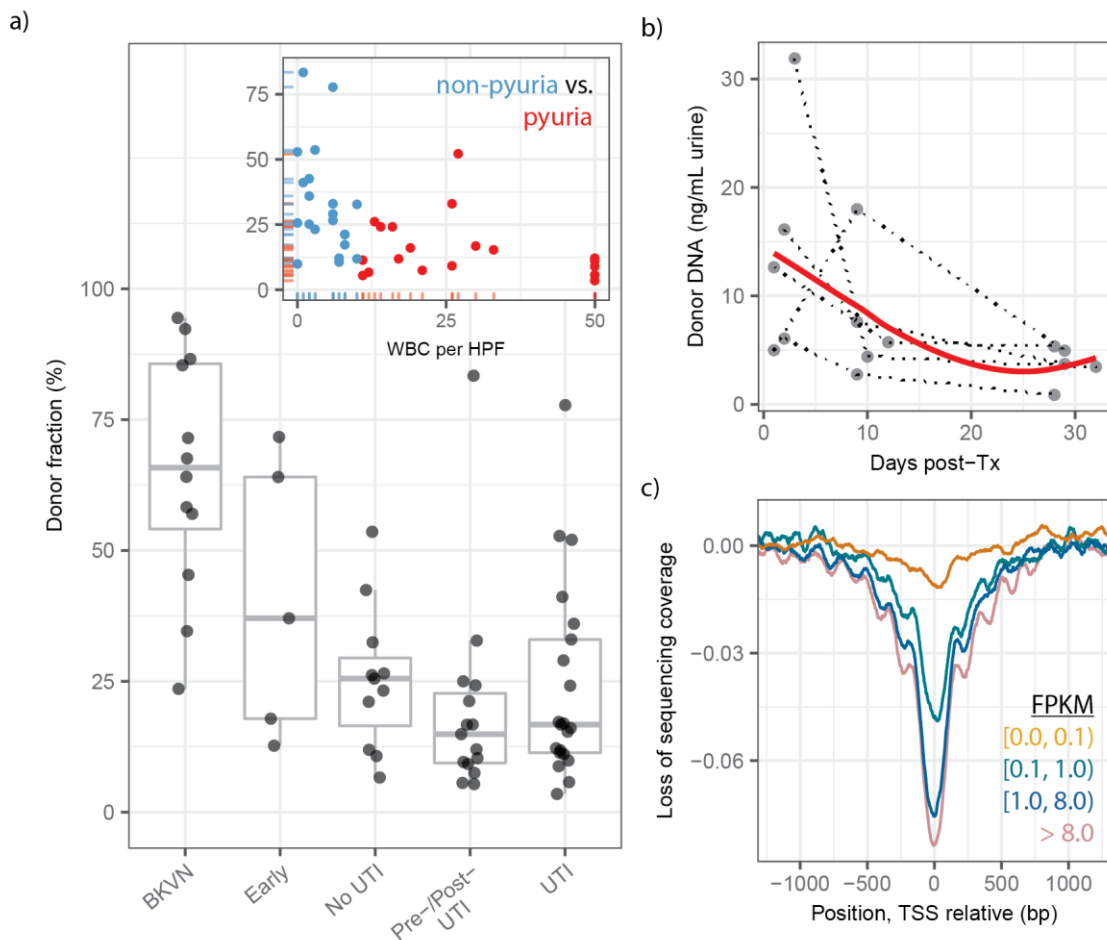
**Figure 2. Urinary cfDNA infectome screening. (a)** Violin plots of BK polyomavirus cfDNA sequence abundance (RGE) for patients with and without BKVN and untested patients. **(b)** cfDNA rank order abundance for clinically reported uropathogens. In 60% of samples the bacterial organism detected in culture was the most abundant component of the cfDNA urinary microbiome. In one sample, the clinically reported agent was not detected (ND). **(c)** Receiver operating characteristic analysis of the performance of urinary cfDNA in identifying UTIs due to common bacterial uropathogens (86 urine samples, AUC = area under the curve, n = number of positive cultures, see Fig. S1 for individual Receiver Operating Characteristic curves for these and four additional species) **(d)** cfDNA reveals frequent occurrence of viruses that are potentially clinically relevant (left panel); red crosses identify samples belonging to patients who developed an infection of the corresponding viral group. Right panel shows boxplots of the viral cfDNA abundance across all samples (right panel). Coloring of points and boxplots by viral taxonomic group; see supplemental table “Fig2A-D”.



**Figure 3. Estimating bacterial population growth rates from urinary cfDNA. (a)** Normalized bacterial genome coverage for four representative bacterial species. The coverage was binned in 1 kbp tiles and normalized. Each panel represents a single sample (see Supplementary data table), with the exception of *C. acnes* (\*) for which the coverage was aggregated across 99 samples (solid line is a LOESS filter smoothing curve, span = 0.70). The non-uniform genome coverage for *E. coli* and *K. pneumoniae*, with an overrepresentation of sequences at the origin of replication, is a result of bi-directional replication from a single origin of replication. The initial and final 5% of the genome is removed for display. **(b)** The skew in genome coverage reflects the bacterial growth rate, where a stronger skew signals faster growth<sup>36</sup>. Box plots of growth rates for species in twelve genera grouped by patient groups (at least 2500 alignments, 41 samples, see methods for definition of Pre/Post-UTI). Each point indicates a bacterial species in a sample. Triangles indicate culture-confirmed bacteria by genus. Boxplot features describes in Methods. See supplemental table “Fig3A-B”.



**Figure 4. cfDNA based antimicrobial resistome profiling.** For 42 samples from patients with clinically confirmed UTI, AR gene profiling reveals the presence of genes conferring resistance to various drug classes. The data is organized in three sample groups: samples from patients with vancomycin resistant *Enterococcus* (Resistant), samples from patients with vancomycin susceptible *Enterococcus* (Susceptible), and samples from patients for which vancomycin resistance testing was not performed (Untested). Samples in which fragments of genes that confer resistance to glycopeptide class antibiotics (including vancomycin, red outlines) were detected, are marked by red crosshairs. See supplemental table “Fig4”.



**Figure 5 Quantifying the host response to infection from urinary cfDNA. (a)** Proportion of donor-specific cfDNA in urine of patients that are BKVN positive per kidney allograft biopsy (BKVN), in urine collected in the first five days after transplant surgery (Early), urine collected from patients that are UTI negative per culture in the first month following transplantation (No UTI), samples collected before or after UTI (Pre-/Post-UTI) and samples collected at the time of UTI diagnosis (UTI). Two outliers in Pre-/Post-UTI and UTI groups correspond to the same patient, who suffered an acute rejection episode in the months prior. Low donor fractions in the Pre-/Post-UTI and UTI groups are likely due to increased immune cell presence in the urinary tract; patients with higher white blood cell counts have lower donor fractions (inset, red color indicates pyuria) **(b)** Absolute abundance of donor cfDNA in the urine of patients not diagnosed with infection in the first month post-transplant (red line is a LOESS filter smoothing curve, span = 1). Dotted lines connect samples from the same patient. **(c)** Genome coverage at the transcription start site (TSS), binned by gene expression level across all samples in the study. FPKM = Fragments per Kilobase of Transcript per Million mapped reads, an RNA-seq measure of gene expression. See supplemental table “Fig5A-B”.

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