Neck muscle spindle noise biases reaches in a multi sensory integration task

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15 Abstract

16 Reference Transformations (RFTs) are crucial frame components of 17 sensorimotor transformations in the brain. Stochasticity in RFTs has been 18 suggested to add noise to the transformed signal due to variability in 19 transformation parameter estimates (e.g. angle) as well as the stochastic nature 20 of computations in spiking networks of neurons. Here, we varied the RFT angle 21 together with the associated variability and evaluated the behavioral impact in a 22 reaching task that required variability-dependent visual-proprioceptive multi-23 sensory integration. Crucially, reaches were performed with the head either 24 straight or rolled 30deg to either shoulder and we also applied neck loads of 0 or 25 1.8kg (left or right) in a 3x3 design, resulting in different combinations of 26 estimated head roll angle magnitude and variance required in RFTs. A novel 3D 27 stochastic model of multi-sensory integration across reference frames was fitted 28 to the data and captured our main behavioral findings: (1) neck load biased head 29 angle estimation across all head roll orientations resulting in systematic shifts in 30 reach errors; (2) Increased neck muscle tone led to increased reach variability, 31 due to signal-dependent noise; (3) both head roll and neck load created larger 32 angular errors in reaches to visual targets away from the body compared to 33 reaches toward the body. These results show that noise in muscle spindles and 34 stochasticity in general have a tangible effect on RFTs underlying reach 35 planning. Since RFTs are omnipresent in the brain, our results could have 36 implication for processes as diverse as motor control, decision making, posture / 37 balance control, and perception.

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39 New & Noteworthy: We show that increasing neck muscle tone systematically 40 biases reach movements. A novel 3D multisensory integration across reference 41 frames model captures the data well and provides evidence that the brain must 42 have online knowledge of full body geometry together with the associated 43 variability to accurately plan reach movements.

44 Introduction

45 Different sensory and motor signals are encoded in different coordinates 46 in the brain, e.g. early vision in eye/gaze-centered, primary arm proprioception in 47 shoulder-centered. Conversions between reference frames are vital to transform 48 signals into reference frames that are appropriate for processes as diverse as 49 motor control, decision making, posture / balance control, and perception 50 (Flanders et al., 1992; Buneo et al., 2002; Vetter et al., 1999; Blohm & Crawford, 51 2007). Previous studies have suggested that reference frame transformations 52 (RFTs) should be regarded as stochastic processes which modulate the reliability 53 of transformed signals (Alikhanian et al., 2015, Schlicht & Shrater, 2007; Burns & 54 Blohm, 2010, 2011). Furthermore, several studies proposed that humans flexibly 55 select the coordinates that minimize the effect of stochasticity (Sober & Sabes, 56 2005). Cue reliability-based multi-sensory integration studies have shown that 57 stochastic RFTs affect human behavior (Schlicht & Shrater, 2007; Burns & 58 Blohm, 2010, 2011); however, the sources of stochasticity in RFTs as well as the 59 underlying mechanisms of how RFTs affect transformed signals remain unclear.

In order to accurately perform RFTs, the brain must have an estimate of 3D body articulation (Blohm & Crawford, 2007); i.e. an internal estimate of different body parts with regard to each other (such as eye re. head translation) as well as an estimate of joint angles (such as head/eye orientations). While the former is likely learned and does not change, the latter could stem from at least 2 sources, noisy afferent sensory signals (proprioception) and efferent copies of motor commands. Both signals are inherently variable due to the uncertainty of

67 sensory reading and the variability of neuronal spiking (Poisson noise). Several 68 studies have suggested that varying body articulation, e.g. the head roll angle, 69 increases the behavioral variability due to signal-dependent sensory and neural 70 noise affecting the RFT (Alikhanian et al., 2015; Schlicht & Shrater, 2007; Burns 71 & Blohm, 2010, 2011). Signal-dependent sensory noise can arise from variability 72 in the muscle spindle activity, the vestibular system, or both (Lechner-73 Steinleitner, 1987; Scott & Loeb, 1994; Cordo et al., 2002; Sadeghi et al., 2007; 74 Faisal et al., 2008). Thus, larger joint angle estimates are accompanied by higher 75 uncertainty (Wade & Curthoys, 1997; Van Beuzekom & Van Gisbergen, 2000; 76 Blohm & Crawford, 2007), which results in an increased trial-to-trial variability in 77 the RFT.

78 The effect of stochastic RFTs on the reliability of transformed signals has 79 been studied using a multi-sensory integration task. Multisensory integration 80 combines different sources of sensory information to create the best possible 81 estimate of the state of our body within the environment in a way that is generally 82 well captured by Bayes-optimal integration (Stein & Meredith, 1993; Landy et al., 83 1995; Atkins et al., 2001; Landy & Kojima, 2001; Kersten et al., 2004; Stein & 84 Stanford, 2008; Ernst & Banks, 2002; Knill & Pouget, 2004). For instance, both 85 visual and proprioceptive information can be combined in a reliability-weighted 86 fashion to estimate hand position. It is believed (weak fusion hypothesis, Clark & 87 Yuille, 1990) that prior to integration any signals must first be converted into a 88 common coordinate system; this requires a (stochastic) RFT. Within this 89 framework, the reliability of the transformed signal is affected by stochasticity in

90 RFTs (Alikhanian et al., 2015), thus modulating the multisensory integration
91 weights (Burns & Blohm, 2010; Burns et al., 2011). However, it is not clear how
92 varying multisensory weights due to stochastic RFTs affects reaching
93 movements to visual targets.

94 Here, we deployed a modified version of the standard visual-95 proprioceptive integration-based reaching task (Van Beers et al., 1999; Sober & 96 Sabes, 2003, 2005) to systematically investigate the behavioral consequences of 97 biases and variability in sensory estimates used for stochastic RFTs. We asked 98 human participants to perform a center-out reaching task while the seen and 99 actual hand positions were dissociated. In addition, reaches were performed with 100 the head either straight or rolled 30deg to either shoulder and we also applied 101 neck loads of 0 or 1.8kg (left or right) in a 3x3 design. Our results demonstrate 102 that applying the neck load increased the variability of reach movements and 103 biased the reaching behavior toward the applied load in all head roll orientations. 104 Our prediction was that these effects on reaching behavior can be explained by a 105 change in multisensory integration weights due to stochastic RFTs, which 106 consequently enabled us to quantify the relative contribution of neck muscle 107 spindles to the estimation of head roll angle. To test this hypothesis, we 108 implemented a novel 3D stochastic model of multisensory integration across 109 reference frames. Our model was able to capture the pattern of behavioral data 110 well and allowed us to make two main conclusions: the effect of neck load on 111 reaching behavior can be explained by changes in multisensory weights due to

112 stochastic RFTs and the source of this stochasticity in RFTs is signal-dependent

113 noise.

- 114 Material and Method
- 115 **Participants**

Nine healthy humans (8 male) between 20 to 35 years of age with normal or corrected to normal vision participated in our reaching task. They performed their reaching with their dominant right hand. Experimental conditions were approved by the Queen's University General Board of Ethics and all the participants gave their written consent. Monetary compensation was provided for participating in the experiment (\$10/hour).

122 Apparatus

123 A virtual reality robotic setup (KINARM End Point Robot, BKIN 124 Technologies) was used for performing the center-out reaching task. Participants 125 stood in front of the robot while positioning their head by resting the forehead on 126 the robot in front of the screen and their chin on a chinrest. Participants grasped 127 a vertical handle attached to the robotic arm in order to reach to the viewed 128 target on the mirrored surface. The vision of participants' hand was occluded 129 using an opaque board and eye movements were tracked using embedded 130 technology (Eyelink 1000, SR Research). A pulley system and a helmet were 131 used for measuring the head roll and loading the neck (see Figure 1 A and C).

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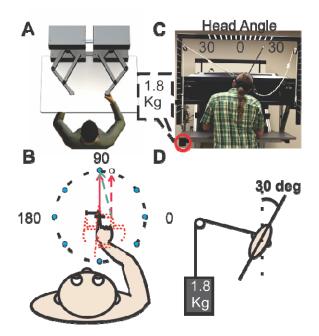


Figure 1. Apparatus- A) KINARM end point robot (BKIN technology website) arrangement. B) Visual targets were distributed evenly on a 10cm-radius circle. The hand was shifted 2.5cm either vertically or horizontally while the visual indicator stayed at the center. C) Picture of the pulley system for measuring the head roll and loading the neck, in this picture the participant had 30CW HR and neck load on the left side. The attached indicator on the helmet was used to measure the head angle.

132

133 Task Design

134 Participants stood in front of the robot and grasped the handle. At the 135 beginning of each trial, participants were instructed to position their hand on the 136 start position (cross) in the center of the display field. The robotic arm moved the 137 hand toward the center and released it when the hand was within 3 centimeter of 138 the central cross; a red dot representing hand position appeared at this point. 139 After the participant positioned the hand correctly on the cross, one of the eight 140 targets, distributed evenly on the circle with radius 10 cm, appeared. Participants 141 were instructed to move through the target quickly and accurately while keeping 142 their gaze fixated on the center cross. Once the participant's hand begun to 143 move (85 mm/s velocity threshold), the hand cursor disappeared. If they reached 144 the target in less than 750ms, the trial was successful and participants would 145 hear a successes beep, otherwise a failure beep was played indicating that the 146 trial had been aborted and would have to be repeated. At the end of each trial,

the center cross disappeared and participants had to wait 500ms to start the next trial. The next trial started with the reappearance of the center cross and the movement of the robotic arm driving the participant's hand to the start position. This was to ensure that participants did not have visual feedback of their previous trial's performance.

152 There were several different conditions in our experiment: The hand was 153 physically shifted randomly either up/down or left/right with respect to the visual 154 feedback of the hand. For example, participants would align their hand cursor to 155 the center cross while their actual hand position was 2.5cm left of the cross. This 156 discrepancy was introduced to enable us to measure the relative weighting of 157 vision and proprioception in the multisensory integration process, similar to the 158 logic employed in Sober and Sabes (2003, 5005) and Burns and Blohm (2010). 159 In addition, the reaching movements were performed while the participants either 160 kept their head straight or rolled their head 30deg toward each shoulder and 161 while a neck load (0 or 1.8kg) was applied to the left or right side (the value of the 162 weight was chosen to stimulate the same force as a 30deg head roll on neck 163 muscles). Combinations of different head roll (HR) and neck load (NL) conditions 164 are shown in Figure 2. We hypothesized that altering head roll and neck muscle 165 force would create a conflict for head roll estimation as well as changing the 166 signal-dependent noise which will affect the weights of multi-sensory integration. 167 Participants completed 640 trials (5 hand positions * 8 targets * 16 repetitions) for 168 each of the 9 combinations of head roll/neck load, for a total of 5760 trials 169 (640*9) in 6 one hour sessions. In order to avoid any biases due to a specific

- 170 order of experiment conditions, we employed Latin squares method to counter
- 171 balance among different experimental conditions (Jacobson & Matthews, 1996).

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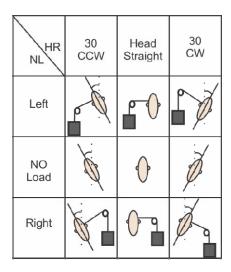


Figure 2. Experimental conditions.

Participants performed the reaching task under 9 different combinations of HR and NL conditions during our experiment.

173

174 Data Analysis

175 Hand and eye movement were captured with sampling rates of 1000Hz 176 and 500Hz respectively. MATLAB software was used for offline analysis: A low-177 pass filter (autoregressive forward-backward filter, cutoff frequency = 50 Hz) was 178 used to smooth the acquired data. First and second derivative of hand position 179 data was calculated (using a central difference algorithm) to obtain hand velocity 180 and acceleration. Trials in which participants moved their eyes after the visual 181 target is displayed or moved their hand in a predictive direction except the target 182 direction were removed (3% of overall trials). The time span from when 183 participants started to move until their hand crossed a 9cm circle is defined as 184 the initial movement duration. Movements were typically straight and had very 185 little curvature: thus movement angle was derived through regression of data 186 points acquired throughout the initial movement duration. Since the visual and

187 proprioceptive hand position was dissociated, we defined visual movement as 188 the movement obtained when subtracting visual hand from target information 189 (red arrow, Figure 1B) and proprioceptive movement as the movement direction 190 obtained when subtracting proprioceptive hand position from the visual target 191 information (areen arrow. Figure 1B). Subtracting predicted visual 192 (proprioceptive) movement from the measured movement angle yielded the 193 directional visual (proprioceptive) movement errors, which we used for our 194 analysis. We then used an analytical model to capture the pattern of movement 195 errors measured across conditions and targets (see model description below).

196

197 Statistical Analysis

An n-way repeated measure ANOVA (rm-ANOVA) was used to assess the statistical differences (MATLAB 2013a, anovan.m) and post-Hoc analysis using the Bonferroni criterion (MATLAB 2013a, multcompare.m) was performed to assess the interaction between different parameters. A paired t-test (MATLAB 2013a, ttest.m) was used to assess the statistical significance in reach error variability for different head roll and neck load conditions. In all the statistical analysis p < 0.001 was considered as the criterion for statistical significance.

205

206 Model description

The goal of our model was to understand which intrinsic and extrinsic variables were required to perform the RFTs accurately and more importantly, how variation of such variables affects human movement behavior. In order to

210 understand the effect of RFTs on reach planning, we first explain the required 211 steps in our model to plan a reach movement. Sober and Sabes (2003) proposed 212 a two-step model for planning a reach movement in which first a movement plan 213 is calculated by subtracting the hand position from the target position. Then this 214 movement plan transformed to a desired change in arm angles through 215 performing inverse kinematics. We extended previous models (Sober & Sabes, 216 2003: Burns & Blohm, 2010) that considered two steps for planning a reach 217 movement: 1) calculating the movement plan and 2) generating the motor 218 command. Several neurophysiology studies suggested that the movement plan is 219 coded in visual (retinal) coordinates (Andersen & Buneo, 2002; Batista et al. 220 1999) while motor commands are coded in joint coordinates (Crawford et al. 221 2004). Following the same logic, in our model the two steps were performed in 222 two different coordinates respectively: visual and proprioceptive coordinates. 223 Visual information of hand and target positions were coded as retinal information 224 in gaze-centered coordinates, $X_h=(x_{1,h},x_{2,h})$ and $X_t=(x_{1,t},x_{2,t})$ respectively (left 225 panel in Error! Reference source not found. Figure 3), while the proprioceptive 226 information of initial hand position was coded as joint angles in shoulder-centered 227 coordinates, $(\Theta_{1,h}, \Theta_{2,h})$, (right panel in Figure Error! Reference source not 228 found.3).

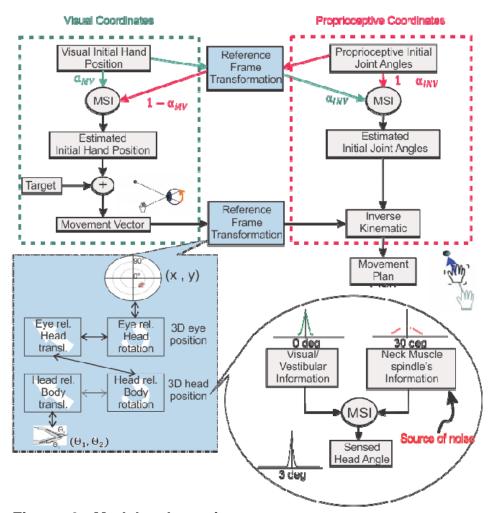


Figure 3. Model schematic. In order to perform the reach movement successfully, IHP is calculated in both visual and proprioceptive coordinates. In visual coordinate, IHP is computed by transforming proprioceptive information into visual coordinates. Visual and transformed proprioceptive information are weighted and combined based on Bayesian theory. A movement vector is calculated by comparing the estimated IHP and target positions. The same process takes place in proprioceptive coordinate to generate a proprioceptive IHP estimate. Using inverse kinematic, the transformed movement vector and IHP can be combined to calculate the movement plan based on the required changes in joint angles. The blue box represents the RFTs process. RFTs are performed by considering eye and head orientation as well as the translations between rotation centers of the body. The head orientation is estimated by combining visual/vestibular and neck muscle spindle information using Bayesian statistics (see Methods for details).

229

230 **Reference frame transformation** (Blue box Figure 3)

In order to accurately transform information between the visual and proprioceptive coordinates the full body geometry must be taken into account (Blohm & Crawford 2007). This is specifically important when the head is not straight, i.e. rotating the head results in shifts of centers of rotation of the eye,
head, and shoulder relative to each other (Henriques & Crawford, 2002;
Henriques et al., 2003). To capture this, we performed a series of rotations (R)
and translations (T), formulated in equations (1) and (2) respectively.

$$238 \quad X_{rotated} = R * X_{original} \qquad (1)$$

239 Where
$$R = \begin{bmatrix} \cos & \sin \\ -\sin & \cos \end{bmatrix}$$
, > 0 holds for clock wise rotations.

)

240
$$X_{\text{translated}} = X_{\text{original}} + T$$
 (2)

In the following section, we explain the required steps to transform hand positionfrom eye-centered to shoulder-centered coordinates.

243 Retinal-to-shoulder transformation

As it is depicted in Figure 3, in order to transform retinal-coded information into joint-coded information the theoretically required sequential transformations can be done by first transforming retinal to head coordinates, then from head to shoulder and finally from should to joint coordinates (Note that this is likely different from how the brain is performing this transformation):

(3)

249 1- Retinal-to-head

$$250 X_{h,eye}^{\nu} = R_{eye} * X_{h,retinal}^{\nu}$$

251
$$X_{h,head}^{v} = R_{head} * (X_{h,eye}^{v} + T_{eye-head})$$
(4)

In which R_{eye} and R_{head} are rotations based on eye angle and head angle respectively and $T_{eye-head}$ is the translation between eye and head which is the distance between the center of two eyes (eye-centered coordinate) and the joint of head and neck (head centered coordinate). $X_{h,eye}^{v}$ is the visual information of hand position in eye-centered coordinate: Subscript 257 'h' represents information related to the hand position and the following 258 subscript represents the related coordinate at that step. In addition, we 259 deployed superscripts 'v' or 'p' to dissociate if the information is originally 260 provided by vision or proprioception respectively. All the following 261 parameters have the same pattern.

262 2- Head-to-shoulder

263

$$X_{h,shoulder}^{\nu} = X_{h,head}^{\nu} + T_{head-shoulder}$$
 (5)

264 Since the body was upright, a translation is sufficient to perform the 265 transformation between the shoulder and head. In our setup, the shoulder 266 was located downward and to the right of the head.

267 3- Shoulder-to-joint

268
$$v_{h,joint} = A(x^0) * X_{h,shoulder}^v$$
 (6)

In which $A(x^0)$ is the forward kinematic matrix and has the same form as equation (7) by Burn and Blohm (2010), since our experimental configuration is the same. In order to transform the information from joint angle coordinates to retinal coordinates, the same procedure can be performed only in the reverse order (since we used the same configuration as Burns and Blohm (2010), both forward and inverse kinematic matrices have the same format).

In addition to the full body geometry, we considered the noise of transformation in our model. Similar to Burns and Blohm (2010), we have two noise component resulted from the transformation: fixed transformation noise (σ_{fT}^2) to simulate the fact that any transformation has a cost (Sober and Sabes

280 2005), and variable transformation noise (σ_{VT}^2) to simulate the different head 281 orientations and neck load conditions of our experiment (this is the same as the 282 variability in the estimated head angle).

283 Estimating head angle

284 As mentioned in the previous section, participants performed reaching 285 with different head roll and neck load conditions. Therefore, our model must 286 include a head angle estimation component as a crucial part of the RFTs 287 processes. Previous studies showed that humans combine visual, vestibular, and 288 neck proprioceptive information for estimating head orientation, similar to a 289 Bayesian optimal observer (Mergner et al., 1983, 1991, and 1997; Clemens et 290 al., 2011; Alberts et al., 2016). For instance, Mergner et al. (1991) demonstrated 291 that the stimulation of neck muscles by rotating the trunk on a fixed head caused 292 a sensation of head rotation and also increased the uncertainty of head position 293 estimation. In addition, two studies carried out in Medendorp's group 294 demonstrated that the noise in both vestibular and proprioceptive information 295 should be considered signal-dependent (Clemens et al., 2011; Alberts et al. 296 2016). Therefore, we used a similar principle for our head angle estimation in 297 RFTs processes. Thus, following the same rational, we included neck load in our 298 experimental condition with the goal of investigating the contribution of the 299 mentioned sources of information for estimating the head angle. Assuming that 300 each source of information has a Gaussian distribution, the head angle signal 301 has a Gaussian distribution as well and its mean and variance can be estimated 302 as follows:

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$$\delta_{HA}^2 = \frac{\delta_{V/V}^2 + \delta_{NM}^2}{\delta_{V/V}^2 + \delta_{NM}^2}$$
(7)

304
$$\mu_{HA} = \frac{\delta_{HA}^2}{\delta_{V/V}^2} * \mu_{\overline{V}} + \frac{\delta_{HA}^2}{\delta_{NM}^2} * \mu_{NM}$$
(8)

In which δ_{HA}^2 , $\delta_{V/V}^2$, and δ_{NM}^2 are associated variability in head angle estimation, visual/vestibular information, and neck muscle information respectively and μ_{HA} , $\mu_{V/V}$, and μ_{NM} are the associated means in the same order. Therefore, we also were able to extract the relative visual/vestibular vs. neck muscle contribution in estimating head angle ($C = \frac{\delta_{NM}^2}{\delta_{V/V}^2}$).

310 As mentioned earlier, one of the key features of our model is including 311 signal dependent noise in our RFTs: The assumption is that when we roll the 312 head, the variability of both vestibular and neck muscle spindle signals increase 313 due to higher signal value. In addition, applying the neck load increases the force 314 on the neck muscle which results in increasing the variability of neck muscle 315 spindle signal. In the conditions of applying the neck load while the head is not 316 straight, the two forces on the neck muscle are combined in order to drive the 317 predicted neck muscle force. Therefore, we differentiated the variability for the 318 head straight and no load condition from the other head roll and neck load 319 conditions. Similar to Vingerhoets et al. (2008), we used a linear model to explain 320 the increase in variability due to increase in the signal value:

321
$$\delta_{V/V}^2 = \delta_{V/V,h0}^2 + head roll * \delta_{V/V,h\neq0}^2$$
(9)

322
$$\delta_{NM}^2 = \delta_{NM,h0}^2 + mucls force from (HR\&NL) * \delta_{NM,h\neq0}^2 (10)$$

323 In which $\delta_{V/V,h0}^2$ and $\delta_{NM,h0}^2$ are visual/vestibular and neck muscle variability for 324 head straight condition and $\delta_{V/V,h\neq0}^2$ and $\delta_{NM,h\neq0}^2$ are the ones for other 325 experimental conditions. This will result in having $\mu_{HA,h0}$ and $\mu_{HA,h\neq0}$.

326 At the final step, the required head angle for the transformation ($_{HA}$) is 327 derived by scaling the estimated head angle (μ_{HA}) (obtained by sampling from 328 the above Gaussian distribution) by a gain factor β : $_{HA} = \beta * \mu_{HA}$.

329 Multisensory integration

330 In order to estimate the initial hand position (IHP), visual (V) and 331 proprioceptive (P) information are combined using multisensory integration 332 principles. In our model, the multisensory integration is happening twice: once in 333 visual coordinates (coded in Euclidean) in order to calculate the movement 334 vector (MV) and once in proprioceptive coordinates (coded in joint angles) in 335 order to generate the motor command using inverse kinematics (INV). We 336 assumed that each piece of information has a Gaussian distribution (before and 337 after RFTs) and therefore using multivariate Gaussian statistics the mean and 338 covariance of the combined IHP estimated from vision (V) and proprioception (P) 339 in each coordinate can be written as:

340 $\sum_{IHP} = (\sum_{P}^{-1} + \sum_{V}^{-1})^{-1}$ (11)

341
$$\mu_{IHP} = \sum_{IHP} \sum_{P}^{-1} * \mu_{P} + \sum_{IHP} * \sum_{V}^{-1} \mu_{V}$$
(12)

342 Where \sum_{IHP} is the covariance matrix of IHP and \sum_{V} and \sum_{P} are covariance 343 matrices of visual and proprioceptive information respectively. Similarly, μ_{IHP} , μ_{P} , 344 and μ_{V} are the mean values (in the vector format) for IHP, visual, and proprioceptive information. Therefore, the visual weight in each of the visual andproprioceptive coordinates is calculated as:

347
$$\alpha_{MV} = \sum_{IHP,v} * \sum_{V,v}^{-1}$$
 (13)

348
$$\alpha_{INV} = \sum_{IHP,p} * \sum_{V,p}^{-1} (14)$$

Where α_{MV} is the multisensory integration weight for visual information in visual coordinates and α_{INV} is the multisensory weight for visual information in proprioceptive coordinates. Where $\sum_{IHP,v}$ is the covariance matrix of IHP in visual coordinates and $\sum_{V,v}$ is the covariance matrix of visual information in visual coordinates. Similarly, $\sum_{IHP,p}$ is the covariance matrix of IHP in proprioceptive coordinates and $\sum_{V,p}$ is the covariance matrix of Visual information in visual coordinates and $\sum_{V,p}$ is the covariance matrix of Visual information in proprioceptive coordinates.

356 Final motor command and movement direction

After estimating the IHP, the desired movement vector is calculated by subtracting the hand position from the target positon; $\Delta x = tar - \mu_{IHP,v}$. We used the velocity command model (Sober & Sabes 2003; Burns & Blohm 2010) to transform the desired movement vector to the required motor command: $\dot{x} = J(\theta)J^{-1}(\theta)\Delta x$ (where $J(\theta)$ and $J^{-1}(\theta)$ have the same form as equation (16) and (17) in Burns and Blohm 2010).

363 At the final step the movement direction is calculated by transforming the 364 movement command from Euclidean coordinates to polar coordinates using the 365 following equations:

366
$$r = \sqrt{x^2 + y^2}$$
 (15)

$$\tan \varphi = \frac{y}{x} \tag{16}$$

368

369 Generating quantitative model predictions

370 In order to generate our model predictions we used a Monte Carlo 371 approach (Binder & Heermann, 2002); we assumed that the sensory information 372 (visual and proprioceptive information of initial hand position, visual/vestibular 373 and proprioceptive information of head position) can be sampled from a 374 Gaussian distribution with a specific mean and covariance matrix. Then, the RFT 375 procedure is performed on each sample based on sampled head roll signals to 376 obtain the distribution of the transformed signal. The movement direction was 377 calculated for each sample and the final movement mean and variance were 378 calculated based on this distribution. The model code is available on Github 379 (https://github.com/Parisaabedi/NeckMuscleLoad).

380 Model parameters

Based on average body physiology, upper arm and lower arm (including fist) lengths were set constant to L1 = 30 and L2 = 45 cm respectively. Shoulder location was assumed 30 cm backward from the target and 25 cm rightward of the target, the distance between eye and top of the head considered 13 cm, and the head length considered 28 cm (40 cm including the neck). IHPs and target positions were taken from the experimental data.

There were seven free parameters in the model, i.e. the variance of both proprioceptive (σ_p^2) joint angles and visual IHP (σ_v^2) - we assumed that the two dimensions in both coordinates are independent with the same variability-, the visual/vestibular vs. neck muscle spindle contribution factor (C), the variance of

head angle estimation for head straight (σ_{h0}^2), a fixed reference frame transformation cost (σ_{fT}^2), and a variable reference frame transformation cost (σ_{VT}^2).

As it is mentioned before, the σ_{VT}^2 is resulted from the variability in the head angle estimation; δ_{HA}^2 . By substituting $C = \frac{\delta_{NM}^2}{\delta_{VV}^2}$ in equation (7), we were able to extract the variance of neck muscle spindles (σ_{NM}^2) and visual/vestibular ($\sigma_{V/V}^2$). Furthermore, we added an additional variance component to account for the added variability during performing the planned movement (σ_{MV}^2).

In order to estimate the model parameters we used a standard maximum likelihood procedure. We calculated the negative log-likelihood of the angular reach error data to fit on the proposed model given parameter set ρ as:

402
$$L_{\rho}(\mu, \sigma^{2}|y) = -(-\frac{n}{2}\ln(2\pi) - \frac{n}{2}\ln(\sigma^{2}) - \frac{1}{2\sigma^{2}}\sum_{i}(y_{i} - \mu)^{2})$$
(17)

403 Where (μ, σ^2) are the mean and variance driven from the model given the 404 parameter set ρ , n is the number of data points and y_i is each data point from the experiment. It should be noted that (μ, σ^2) are calculated separately for each of 405 406 the 360 experimental conditions: 8 visual targets * 5 IHPs * 3 head rolls * 3 neck loads. We then searched for the set of parameters which minimized the L_{ρ} over 407 408 the parameter space using 'fmincon.m' in MATLAB 2017. Table 1 provides the 409 fitting values for different model parameters for individual participants along with 410 confidence interval for each parameter. We added one additional parameter C, 411 which indicate the contribution of neck muscle information compared to 412 combined visual/vestibular information by dividing the first by the second.

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413

414 Results

415 Previous work (Burns & Blohm, 2010; Schlicht & Schrater, 2007; Sober & 416 Sabes 2003) suggests human behavior is affected by stochastic RFTs. Burns 417 and Blohm (2010) showed that rolling the head will increase the variability of 418 reach movements and argued that could be due to the signal dependent noise in 419 the sensed head angles: rolling the head increases the amplitude of the sensed 420 head angle and the associated variability accordingly. Here, our goal was to 421 investigate the sources of stochasticity in RFTs and the effect of such 422 stochasticity on human reaching movements. To this aim, we asked human 423 participants to perform reaching movements while their head was either straight 424 or rolled toward each shoulder and a neck load of 0 or 1.8kg was applied to the 425 right or left side in a 3x3 design. The experimental logic was that applying head 426 roll and neck load will vary the sensed head angle and the associated noise due 427 to signal-dependent noise. Since RFTs are based on these sensed angles, 428 applying head roll / neck load increases the stochasticity of RFTs which 429 modulates the multisensory integration weights and thus resulting in more 430 variable and potentially biased reaching movements compared to the condition 431 where the head is straight and no load is applied.

432 General Observations

A total of 51840 trials were collected, with 1529 trials being excluded due to either eye movements or predictive hand trajectories. We used directional reach errors to determine how participants weighted their visual information vs. proprioceptive information. Directional reach error (in angular degrees) was

437 computed by subtracting proprioceptive (visual) hand-target direction from overall 438 movement direction (see Methods), where 0deg means no deviation from 439 proprioceptive (visual) hand-target direction. By introducing the shift in the visual 440 feedback of the initial hand position, a discrepancy between visual and 441 proprioceptive information was created and as a result, we could determine how 442 visual and proprioceptive information was weighted and integrated based on how 443 participants responded to this discrepancy.

444 To evaluate how humans weight visual and proprioceptive information, we 445 compared reach errors for each hand offset condition. In order to calculate the 446 reach errors, we can use either the visual hand-target direction (red line in 447 448 449 450 451 452 Figure 1B) or the actual (proprioceptive) hand-target direction (green line 453 in 454 455 456 457 458 459 **Figure 1**B). We called the first one visual reach errors and the second one 460 proprioceptive reach errors and used them for different sections of this 461 manuscript in order to show the effects more clearly. The difference in reach 462 errors among different hand offsets indicates that both visual and proprioceptive
463 information were used during reach planning. Figure 4 displays both
464 proprioceptive and visual reach error curves across target directions for different
465 initial hand position conditions for head straight and no load condition.

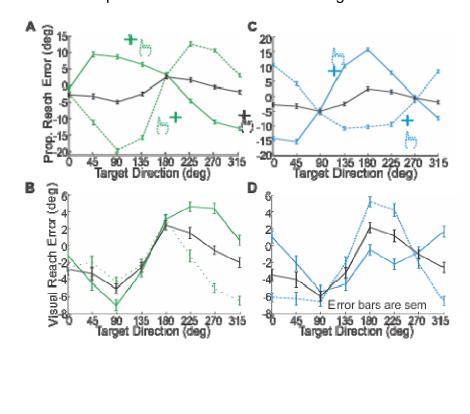


Figure 4. Reach error curves.

Reach errors are calculated for each target by subtracting the proprioceptive or visual hand-target direction from the performed reach movement. Solid colored lines are representing upward/rightward shifts. A,C) proprioceptive reach error curves: (A) reach errors for horizontal hand shift (green, stays the same in the rest of the manuscript) and (C) reach errors for vertical hand shift (blue, stays the same in the rest of the manuscript). B,D) visual reach error curves: (B) reach errors for horizontal shift and (D) reach errors for vertical shifts.

To quantify these weights, we fitted a previously proposed model (Sober & Sabes 2003) to the normalized data. The data was normalized by subtracting the 0 hand offset from the other hand offsets. The model by Sober and Sabes (2003) fits our data well (Figure 5, R-squared for pooled data across all participants was equal to 0.91 and 0.93 respectively for the right and left panels) and confirms that the participants used both visual and proprioceptive information to plan their

- 472 reach movement. Based on this close fit of our data to the model, we can now
- 473 use this model in a first step to investigate how head roll and neck load affects
- 474 the weighting of vision and proprioceptive information about the hand.

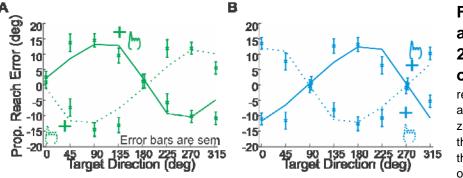
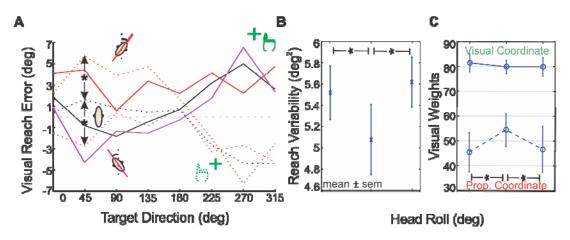


Figure 5. Sober Sabes and 2005 model fit on the data. The error curves reach normalized are to by subtracting zero the 0 hand offset from other hand the offsets.



476 Head Roll effect

Participants performed the reach-out movements for different head roll conditions: 30deg counter clock wise (CCW), 0deg, and 30deg clock wise (CW) head roll. In the first step we examined if the same effect reported by Burns and Blohm (2010) could be reproduced. As the author explained changing the head roll had two different effects on the reach error trajectories. First, the reach error curves shifted up/down -ward and second the variability of reach errors increased for the tilted head conditions compared to the head upright condition.



484 Figure 6. Effect of varying head roll on reach movement behavior. A) Reach 485 error curves (solid line for IHP shifts to right and dotted line for IHP shifts to left) shifted upward for CW head 486 roll and downward for CCW head roll compared to the head upright condition (n-way ANOVA, F(2,98) = 487 11.85, p < 0.01). B) The movement variability increased significantly for rolled head conditions compared to 488 the head upright condition (paired t-test, p < 0.01). C) Visual weights derived by fitting the Sober and Sabes 489 (2003) model on the data. We didn't find any significant change in visual weights in visual coordinate for 490 different head roll conditions, while the visual weights significantly decreased in proprioceptive coordinates. 491 Significance was tested using paired t-test (P < 0.05 is considered as a significant difference).

492 Figure 6 depicts the effect of changing HR on both reach errors and 493 movement variability. As it can be seen, there are both a bias effect and an 494 increased variability effect for altering the head orientation compared to head 495 straight. The n-way ANOVA with factors HR, target directions, and participants 496 showed a significant main effect for altering head orientation, F(2.98) = 11.85, p 497 < 0.01; and significant interaction between reaching to different targets and 498 different HR conditions, F(14,98) = 5.59, p < 0.01; which shows that the effect of 499 altering HR is different for different target directions. Bonferroni-corrected post-500 hoc analyses indicated that the bias effect was significant among all the HR 501 conditions. Regarding movement variability, we performed a paired t-test across 502 all participants for each HR condition vs. no HR condition: The increase in 503 standard deviation due to the rolled head is significant for both sides, HR = 504 30deg CW vs. HR = 0: t(8) = -3.6133, p < 0.01; HR = 30deg CCW vs. HR = 0: 505 t(8) = -5.6011, p < 0.01. These results are consistent with the results reported by 506 Burns and Blohm (2010).

507 We also used the Sober and Sabes (2003) model to extract the weights 508 for different conditions. There, the visual and proprioceptive weights were the two 509 free parameters of the model (Sober & Sabes, 2003) which is used to estimate 510 the hand position, by integrating visual and proprioceptive information, in two 511 different stages: visual weight in the movement planning stage and

512 proprioceptive weight in the motor command generating stage. Therefore, the 513 weights can be extracted after fitting the model on the data. As it is depicted in 514 Figure 6, the visual weights in visual coordinates did not change very much by 515 varying head roll, however, the visual weight in proprioceptive coordinate 516 decreased for rolled head conditions. This is consistent with our hypothesis that 517 higher noise in RFTs results in lower reliability of transformed signals which leads 518 to higher weights for proprioceptive information in the proprioceptive coordinates 519 compared to the head straight condition.

520

521 Neck Load effect

522 In addition to altering the head roll a neck load (rightward, no load, or 523 leftward) was applied. We assumed that if the neck load was not taken into 524 account, there should be no difference in the reach errors between the neck load 525 conditions and no load condition. Alternatively, if the neck load was taken into 526 account in estimating head roll, then we expected to observe similar effects as 527 during head roll; up/down -ward shifts in reach error curves and increased 528 movement variability. This is because loading the neck while the head is upright 529 would create a discrepancy between the neck muscle spindle information and the 530 combined visual/proprioceptive information. In addition, due to signal dependent 531 noise, the neck muscle information should become less reliable when the neck is 532 loaded compared to the no load condition. Consequently, the sensed head angle 533 estimated by integrating neck muscle and visual/vestibular signal should be

534 biased toward the neck load and have more variability resulting in biased and 535 more variable movements.

536 As it can be seen in Figure 7(A), applying the neck load created an 537 up/down -ward shift of the reach movement error curves. An n-way ANOVA with 538 factors NL, target location, and participants revealed a significant main effect for 539 different NL, F(2,98) = 6.12, p < 0.01. Bonferroni-corrected post-hoc analyses 540 indicated that the bias effect was significant among all the NL conditions. The 541 interaction between targets and different NL was not significant, F(14,98) = 1.06, p = 0.402, which means that the effect of varying NL on reach movement was 542 543 independent of different target directions.

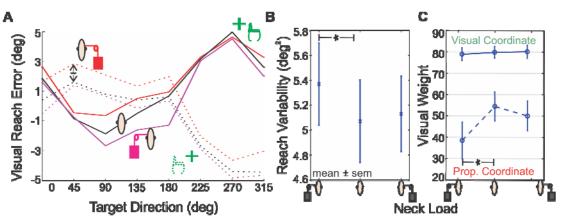


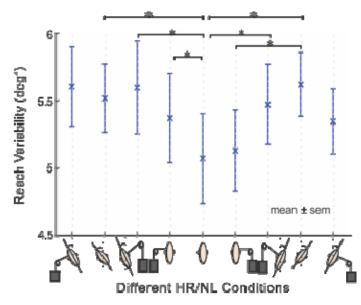
Figure 7. Effect of applying neck load on reach movement behavior. A) Reach error curves (solid line for IHP shifts to right and dotted line for IHP shifts to left) are shifted upward for applying neck load on the right (n-way ANOVA, F(2,98) = 6.12, p < 0.01). The shift in reach error curves for applying neck load on left is not statistically significant. B) The movement variability is increased significantly for applying the load on the left compared to the no load condition (paired t-test, t(8) = 2.7552, p = 0.0283). C) The visual weights derived by fitting the Sober and Sabes (2003) model on the data. We only observed a significant change in visual weight in proprioceptive coordinate due to applying neck load on the left side. Significance was tested using paired t-test (P<0.05 is considered as a significant difference).

544 Figure 7(B) represents the variability of reach errors in the no load 545 condition vs. neck loaded conditions. As the figure demonstrates, the variability 546 of reach errors is higher for applying the load compared to no load condition. We 547 performed paired t-tests between all three different conditions across all eight 548 participants. Movement variability was significantly higher for applying the load 549 on the left side compared to no load condition t(8) = 2.7552, p = 0.0283. The 550 paired t-tests revealed no significance difference among other conditions.

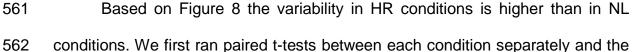
551

552 Comparison

553 So far, we showed that there are both biases and increased movement 554 variability effects for either applying NL or HR. In the next step, we compared the 555 variability of reach movements in the NL conditions vs. HR conditions. Based on 556 stochasticity in RFTs we expected to have higher variability for higher amplitudes 557 of head angle during different experimental conditions. For example, we 558 predicted to have higher movement variability for applying only HR compare to 559 applying only NL or have the highest variability for conditions in which both HR 560 and NL are applied in the same direction.



Effect of different Figure 8. experimental conditions on reaching movement variability. Head upright and no load condition (considered as the control condition) and the combined HR/NL conditions are sorted based on the expected increase in the variability based on the signaldependent noise hypothesis right and left of the control condition. Rolling the head consistently increased the variability compared to the control condition. Significance was tested using paired t-test (P<0.05 is considered as a significant difference).



563 significant statistical differences are shown in the Figure 8. Applying the load on 564 the left side increased the variability compared to the control condition. Then, we 565 performed paired t-test between combined similar conditions: for example both 566 head upright and neck load on either side are combined and created the overall 567 NL condition. The paired t-test between HR condition and NL condition showed a 568 significant difference, t(8) = 2.7444, p = 0.0287; the difference between HR 569 condition and control condition was significant as well, t(8) = 2.7444, p = 0.0020; 570 however the difference between the control and NL conditions was not 571 significant. Together all of the above observations provide evidence for the 572 existence of signal dependent noise in the head angle estimation and 573 consequently the RFTs processes. However, it is not clear how such stochastic 574 RFTs affect the reaching movement. First, contrary to the initial hypothesis no 575 modulation of variability was observed by varying NL while the head was rolled 576 CW/CCW. In addition, in all the conditions we observed larger effects when 577 rolling the head on reach errors for targets away from body (45-135 degree) 578 compared to the targets toward the body (215-315 degree). Both previous 579 models (Sober & Sabes 2003 and Burns & Blohm 2010) fail to explain the 580 previousely mentioned effects. Based on both previous models, there shouldn't 581 be any difference in biases effect due to head roll condition and they predict a 582 constant up/down -- ward shift in the reaching error curves. We propose that 583 these effects can be explained by a Bayesian framework which performs 584 geometrically accurate RFTs.

585

586

587 Modeling the stochastic reference frame transformations

588 The above analyses demonstrate that RFTs should be considered as 589 stochastic process. Therefore, to understand the effect of such stochastic RFTs 590 on reach planning we developed a Bayesian model of multi-sensory integration 591 for reach planning and explicitly included the RFTs.

592 Error! Reference source not found. Figure 3 depicts the schematic of 593 our proposed model. The working principles of our model are similar to previous 594 ones (Sober & Sabes, 2003; Burns & Blohm, 2010) with the addition of an explicit 595 head orientation estimator (Figure 9, blue box). In summary, our model 596 calculates the required reach movement through first calculating the movement 597 vector in visual coordinates, by comparing estimated initial hand position and 598 target position, and then generates the movement commands by transforming 599 the movement vector from visual coordinates to proprioceptive coordinates.

600 We added several crucial features to the proposed model compared to the 601 previous models (Sober & Sabes 2003, 2005; Burns & Blohm 2010). First, we 602 explicitly included the RFTs. The RFTs processes transforms information 603 between different coordinates considering the full body geometry; head 604 orientation, eye orientation, head-eye translation, and head-shoulder translation. 605 In addition, to perform the required transformations, we included a head angle 606 estimator. The head angle estimator combines muscle spindle information and 607 visual/vestibular information in a statistically optimal manner. Similar to Burns 608 and Blohm (2010), we modeled both mean behavior and the associated

variability for each source of information; vision, proprioceptive, vestibular, and muscle spindles. To examine the effect of noisy transformations on the visual/proprioceptive information, we deployed Monte Carlo simulations. This method gave us the opportunity to explicitly study the effect of RFTs on the covariance matrices and consequently the MSI weights.

614

615 Model fit

616 In the following section we first provide the fitting results for a sample 617 participant (#6) and then evaluate the fitting results across all nine participants. 618 Figure 9 provides the fitting vs. data for participant # 6. Figure 9 (A and B) 619 depicts model fitting for all different initial hand positions for different head rolls 620 while no neck load was applied. As it can be seen, our model is able to 621 accurately capture the reach errors for different IHP and HR conditions. Figure 622 9C provides the model prediction for changes in variance for different conditions. 623 Error bars were derived using bootstrapping with N=1000. Since the results for 624 horizontal and vertical hand shifts are very similar, for all the other conditions we 625 only provided the results for the horizontal initial hand shifts. Figure 9D-F depicts the fitting for varying the NL for different Head angles; 0, ±30. 626

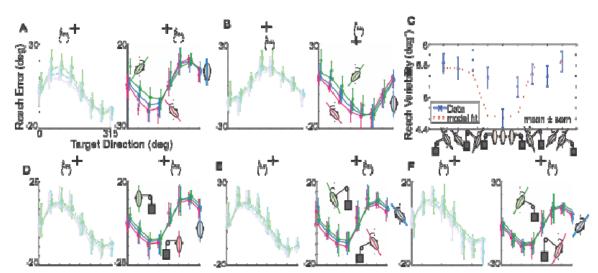


Figure 9. Model fit for a sample participant (#6). Model fit on the reach error curves for different IHPs and HR/NL conditions. A-B) model fit on the reach error curves for varying head orientation without applying neck load: A) solid line represents results for IHP shifts to the right and dotted line represents results for IHP shifts to the left, B) solid line represents results for IHP shifts to the up and dotted line represents results for IHP shifts to the down. C) model fit on the changes in movement variability due to varying HR and NL conditions. D-F) Model fit on reach errors for varying NL for different HR conditions. Only data for horizontal shifts are presented. The results for vertical hand shifts are similar.

After demonstrating that our model was capable of predicting the reach error behavior for a single participant, Table 1 summarizes the fitting results for all the participants. The most interesting finding here is the relatively higher contribution of visual/vestibular signal compared to neck muscle spindle (C \approx 26). This is was consistent across all the subjects. We also observed very high movement variability across our participants.

641

627

642 Table 1. Model parameter fits

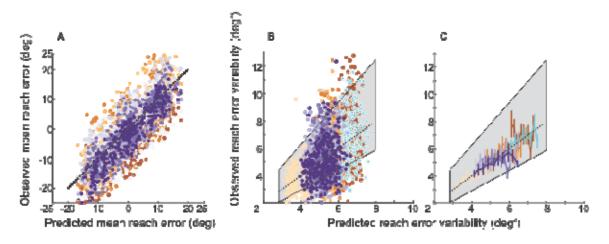
Participants	(rad ²)	(mm²)	(mm²)	(deg ²)	(deg ²)	(deg ²)	С	(mm²)
S1	4.69*10 ⁻⁴	13.50	56.68	3.04*10 ⁻²	7.89*10 ⁻¹	5.66	25.92	100.87
S2	4.86*10 ⁻⁴	21.59	56.10	1.49*10 ⁻¹	3.87	5.61	25.76	48.53
S3	4.86*10 ⁻⁴	16.50	56.72	1.54*10 ⁻¹	4.00	5.79	26.00	49.00
S4	3.11*10 ⁻⁴	9.01	32.71	2.36*10 ⁻¹	5.92	4.97	25.13	11.56
S5	2.45*10 ⁻⁴	15.00	26.86	1.08*10 ⁻¹	2.81	5.00	25.98	95.75
S6	4.81*10 ⁻⁴	15.03	38.78	1.23*10 ⁻¹	3.20	5.77	26.00	37.97
S7	4.84*10 ⁻⁴	20.97	38.81	3.07*10 ⁻¹	7.97	5.80	25.91	26.99
S8	2.87*10 ⁻⁴	16.09	38.44	1.18*10 ⁻¹	3.08	5.80	26.00	41.32
S9	3.20*10 ⁻⁴	18.99	38.58	2.97*10 ⁻¹	7.68	5.74	25.89	26.98
95% CI	[3.13,	[13.12,	[33.57,	[0.94,	[2.44,	[5.30,	[25.61,	[23.93,
	4.80] *10 ⁻⁴	19.47]	51.69]	2.44] *10 ⁻¹	6.30]	5.85]	26.07]	73.62]

644 Figure 10 provides the model prediction vs. data for both reach errors and 645 variances for different experimental conditions. Different participants are 646 differentiated by different colors. We used several different analyses to evaluate 647 the goodness of our model fit. First, we calculated r-squared value for each 648 individual participants and the pool of all the participants: [54, 61, 56, 75, 50, 60, 649 66, 71, 71, and 94] for S1-S9 and the pool of data respectively. Secondly, since 650 the variance data was very noisy, we grouped them in bins and calculated the 651 confidence interval for each predicted variance using the following equation (J. S. 652 Williams, 1962):

$$\frac{(n-1).s^2}{\chi^2_{\alpha/2}} \le \sigma^2 \le \frac{(n-1).s^2}{\chi^2_{1-\alpha/2}}$$
(18)

In which σ^2 is the population variance, s^2 is the sample variance, n is the sample size, and $\chi^2_{\alpha/2}$ is chi-square distribution. Since we wanted to find the 95% confidence interval, we set $\alpha = 0.05$. The boxed colored area in Figure 11(B, C) is the calculated confidence interval for the variances. Based on this analysis, we could see that our model provides a decent fit on the data.

Finally, we examined if our residual has a random pattern by examining the normality of our model residual using normal probability plot, plotted using MATLAB 2016 'normplot.m'. Figure 11 provides the normal probability plot of our fitting for all nine participants. As it is depicted, residual values for all the participants approximately have a normal distribution which implicates that our model captures all the features in the data. More details of how our model explains the data can be found in supplementary materials.



666

667 Figure 10. Model predication vs. observed data for each individual

668 participant. Data for each individual participant was fitted to our model. Each color represents an
 669 individual participant. A) The model prediction vs. observed data for reach errors. B) The model prediction
 670 vs. observed data for reach variability. C) Same data as in section B grouped into bins of 0.25 deg2 (mean
 671 and standard error). The gray box represents the confidence interval for predicted variances based on our
 672 model.

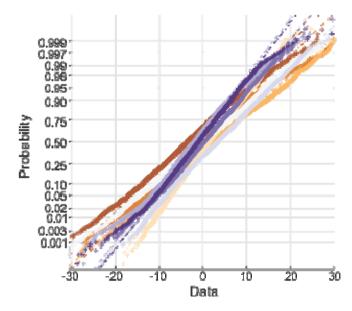


Figure 11. Residual analysis: normal probability plot. The probability plot is depicted for each participant, different colors. As it can be seen the residuals of our model fit compared to the participants' data has almost a normal distribution for all the participants.

673

674 Discussion

We assessed the effect of neck muscle spindle noise on multi-sensory integration during a reaching task and found that applying neck load biased head angle estimation across all head roll angles resulting in systematic shifts in reach errors. We also examined the effect of head roll on reach errors and observed 679 both an increase in movement variability and biases in reaching errors; similar to 680 Burns & Blohm (2010). To quantitatively examine the effect of noise on reaching 681 movements, we developed a novel 3D stochastic model of multisensory 682 integration across reference frames. The effect of neck muscle spindle noise and 683 head roll could be explained by a misestimation of head orientation and signal-684 dependent noise in the RFTs between visual and proprioceptive coordinates. The 685 model was able to successfully reproduce the reaching patterns observed in the 686 data providing evidence that the brain has online knowledge of full body 687 geometry as well as the reliability associated with each signal and uses this 688 information to plan the reach movement in a statistically optimal manner.

689

690 Model discussion

691 In our model, the multisensory integration process occurs in specific 692 reference frames; i.e. in visual and proprioceptive coordinates. Therefore, signals 693 should be transformed into the appropriate coordinate frame before integration 694 which is done by a series of coordinate rotations and translations. However, we 695 do not claim that the brain performs these computations in the same explicit 696 serial way. Alternatively, neurons could directly combine different signals across 697 reference frames (Abedi Khoozani et al., 2016; Blohm et al., 2009; Ma et al. 698 2006; Beck et al., 2011), e.g. by gain modulation mechanisms. Regardless of the 699 mechanism used, we expect very similar behavioral outcomes.

In addition, we assumed that all the distributions remain Gaussian afterperforming RFT processes to simplify the required Bayesian computations.

702 However, in general, this is not necessarily correct. For example, it has been 703 shown that noisy transformations can dramatically change the distribution of 704 transformed signals (Alikhanian et al., 2015). Since the noise in our RFTs was 705 small enough, the deviations from a Gaussian distribution are negligible and this 706 approximation did not affect our model behavior dramatically. It would be 707 interesting, though, to examine how considering the actual distribution and 708 performing the basic Bayesian statistics (Press, 1989) will change the model 709 behavior.

710

711 Interpretation of observations

712 We suggest that neck load biased head angle estimation across all head 713 roll angles, which resulted in systematic biases in reach error curves. Our model 714 accounted for these shifts by assuming that neck load biases the head angle 715 estimation toward the direction of the load. How our brain estimates the head 716 orientation has previously been investigated. The vestibular system and 717 especially otolith system is very important for estimating the static head 718 orientation relative to gravitational axes (Fernandez et al., 1972; Sadeghi et al., 719 2007). Vingerhoets et al. (2009) demonstrated that tilted visual and vestibular 720 cues bias the perception of visual verticality. The author showed that a Bayesian 721 model that integrates visual and vestibular cues can capture the observed biases 722 in verticality perception. Furthermore, muscle spindles play an important role in 723 determining joint position sense (Goodwin et al., 1972; Scott & Loeb, 1994) 724 compared to the other sources; e.g. tendons or cutaneous receptors (Gandevia

et al., 1992 and Jones, 1994). Armstrong et al. (2008) showed that the muscles
in the cervical section of the spine have a high density of muscle spindles
providing an accurate representation of head position relative to the body.
Therefore, head angle can be estimated from a combination of visual, vestibular
and neck muscle spindle information.

730 We included multisensory integration of visual/vestibular, and neck muscle 731 spindle signals in our model. Since we only modulated neck muscle information, 732 we assumed that a combination of visual/vestibular signals is integrated with 733 neck muscle spindle information. We were able to retrieve the relative 734 contribution of visual/vestibular information vs. neck muscle spindle information 735 by fitting our model to the data. We found that the contribution of neck muscle 736 spindle information was very low (in the order of 5%) compared to 737 visual/vestibular information.

738 There could be several possible explanations for observing a relatively low 739 contribution of the neck muscle information. First, we selected the amount of the 740 neck load in a way to apply force comparable to 30deg head tilt. However, due to 741 the complex organization of neck muscles (Armstrong et al., 2008) we couldn't 742 directly measure the changes in muscles' activity. Therefore, to accurately 743 measure the effect of applying load on neck muscle spindle information, a 744 detailed model of neck muscle organization would be required. Moreover, usually 745 neck muscle information agrees with the skin receptor (i.e. Cutaneous receptor) 746 information. In our task, however, the neck muscle information and Cutaneous

receptor information are in conflict, which might be a potential reason for down-weighting neck proprioceptive information (Körding et al. 2007).

749 Unexpectedly, we observed that applying head roll creates larger reaching 750 movement biases for visual targets away from the body compared to visual 751 targets toward the body. This pattern can be captured by including the full body 752 geometry in the RFT processes in our model. Previously, Blohm and Crawford 753 (2007) showed that in order to accurately plan a reaching movement to visual 754 targets, the full body geometry (both rotations and translations) has to be taken 755 into account by the brain. Based on our model, the displacement of centers of 756 rotation between head- and eye-centered coordinate spaces caused this 757 asymmetry in the reaching movements.

758 In addition to biases, we observed that reaching movements were more 759 variable in the straight head with neck load conditions compared to the straight 760 head and no load condition. We considered this as evidence for neck load 761 affecting RFTs; we assumed that the neck muscle spindles have signal-762 dependent noise (Scott & Loeb, 1994). Therefore, applying the neck load 763 increases the noise in the neck muscle spindle information and consequently the 764 sensed head orientation. This noisier sensed head angle resulted in noisier RFTs 765 and accordingly more variable reach movements.

Surprisingly, we observed an asymmetry in the amount of variability increase by applying neck load on the right vs. left side when the head was upright. One explanation could be that since all participants were right-handed, they were more sensitive to the changes on the left side. Several imaging studies

demonstrated that right-handed people have bigger left hemispheres with more
neural resources associated to the right-side of the body (Bauermeister, 1987;
Linkenauger et al., 2009; Linkenauger et al., 2012). Bauermeister (1987) tested
the effect of handedness on perceiving verticality and showed that right-handed
participants are more responsive to the right sided stimulus than to the left sided
stimulus.

776 Since head roll with no neck load caused higher increase in movement 777 variability compared to applying neck load while the head was upright, we 778 expected to see a systematic modulation of movement variability by applying 779 neck load while the head was tilted. Specifically, we expected to observe the 780 highest amount of movement variability when the neck load and head roll were 781 applied on the same side; e.g. 30deg CW head roll and right side neck load. The 782 logic is that when both head roll and neck load are applied in the same direction, 783 the neck muscle signal indicates the highest angle and due to signal dependent 784 noise the associated variability of head angle estimations has the highest value. 785 However, applying the load on the same side as the tilted head did not increase 786 the movement variability significantly compared to only tilting the head.

A possible explanation for the lower effect of applying load on the same side of titled head can be relatively low contribution of neck muscle spindle information vs. visual/vestibular information during head angle estimation, provided by our model. The remarkable observation is that even though the contribution of neck muscle information is low, applying neck load still has a tangible effect on reaching movements for all head roll conditions, observed both

793 in our data and model predictions. Another explanation is that the brain might not 794 integrate the visual/vestibular information in this condition due to the big 795 discrepancy between neck muscle information and visual/vestibular information 796 (due to lack of causality; Kording et al. 2007). In our experimental design we 797 selected the neck load value to simulate the same force as when the head is 798 titled 30 deg (head weight*sin(60 deg) = 0.5*head weight). Consequently, when 799 the head is tilted 30deg CW and the load is applied on the right side the total 800 force on the neck muscle can be calculated as: 0.5*head weight (head 801 tilt)+0.5*head weight (head load) = full head weight; this force is stimulating the 802 neck muscle as if the head was tilted 90deg, which is very unlikely. Therefore, 803 the brain might ignore the neck muscle spindle information fully.

804 We interpreted the observed increase in movement variability as an 805 indication of signal dependent noise in the RFT process. However, an alternative 806 hypothesis to the signal dependent noise is uncommon posture (Körding & 807 Sabes 2011). According to the uncommon posture hypothesis, we might have 808 more neuronal resources allocated to the head straight posture since we perform 809 most of our movements with the head straight. As a result, rolling the head 810 creates higher uncertainty due to uncommon posture independent of signal 811 dependent noise. Even though this argument might be valid for head roll, it 812 cannot explain the increased movement variability due to applying neck load. In 813 other words, applying neck load while the body posture was kept unchanged still 814 increased the movement variability which is in contrary to the uncommon posture 815 hypothesis.

816 We observed that changing head roll and/or applying neck load modulated 817 multisensory weights in both visual and proprioceptual coordinates. We validated 818 this finding by both fitting Sober and Sabes' (2003) model and our new full 819 Bayesian RFTs model to the data. We found that increasing the noise in the 820 sensed head angle estimation decreased the reliability of transformed signals, 821 which we hypothesized is the result of the stochastic RFTs, and consequently 822 lowered weights for transformed signals in the multisensory integration process. 823 Therefore, we conclude that both body geometry and signal-dependent noise 824 influence multi-sensory integration weights through stochastic RFTs.

825 We demonstrated that head position estimation plays a vital role in RFT 826 processes required for reach movements. Previous studies showed that non-827 visual information of head position in space, i.e. from the neck muscle spindles 828 (Proske & Gandevia, 2012) and from the vestibular system (Angelaki & Cullen 829 2008; Cullen 2012), decline over time providing better information about the 830 relative changes in head position than about the absolute position. This behavior 831 is explained based on proprioceptive drift; afferent discharges decline over time 832 (Tsay et al., 2014) resulting in imprecise absolute estimation of the head position. 833 We evaluated the temporal evolution of head angle estimation and its possible 834 effect on reaching movements by dividing each block of our experiment into 4 835 bins (data not shown); however we found no changes in movement biases or 836 variability. Therefore, we believe that our experiment design and timing was not 837 appropriate to investigate changes of head angle estimation over time on reaching behaviour. This question, however, is an intriguing question and shouldbe investigated in future experiments.

840

841 Implications

842 Our findings have implications for behavioral, perceptual, 843 electrophysiology, and modeling studies. First, we have demonstrated that both 844 body geometry and stochasticity in RFTs modulate multisensory integration 845 weights. It is possible that other contextual variables such as attention or prior 846 knowledge also modulate multisensory weights and will subsequently affect both 847 perception and action. In addition, we have shown that such modulations in 848 multisensory weights can create asymmetrical biases in reach movements. Such 849 unexpected biases may be prevalent in behavioral data obtained during 850 visuomotor experiments in which participants perform the task in a robotic setup 851 while their body is in various geometries, e.g. tilted head forward or elevated 852 elbow. Therefore, it is important to consider that forcing specific body 853 configurations can create unpredicted effects that are important for interpreting 854 the behavioral data.

Our findings also suggest that the brain must have online knowledge of the statistical properties of the signals involved in multisensory integration. This could be achieved by population codes in the brain (Ma et al., 2009), which agrees with the current dominant view that the brain performs the required computations through probabilistic inferences (Pitkow & Angelaki, 2017). Alternatively, multisensory weights and the change of weights with contextual

861 parameters could be learned (Mikula et al., 2018). Learned weights could be 862 specially advantageous when it is difficult to estimate sensory reliability. 863 Computational models that include required latent variables are crucial to 864 understand the required computations. An important benefit of such models is 865 that they can be used to generate training sets for neural networks in order to 866 investigate potential neural mechanisms underlying probabilistic inference. Such 867 studies will motivate appropriate electrophysiology experiments to validate/refute 868 predications of related models.

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1099 Supplementary materials

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1101 In this section we provide more details of how our model performs RFTs 1102 on different sensory signals (modeled as Gaussian distributions). In the 1103 manuscript, we demonstrated that the proposed model was able to replicate our 1104 behavioral data pattern (Figure 9). In this section, we use the model to provide a 1105 mechanistic explanation of the observed reach movement patterns.

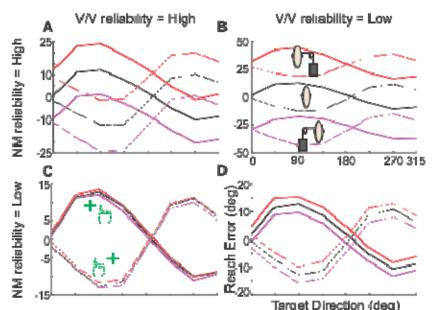
1106 Sober and Sabes (2003) demonstrated that reaching errors caused by 1107 dissociating visual and proprioceptive information can be explained by two 1108 components: MV error that is the error at the vector planning stage and INV error 1109 which is the error at the motor command generation stage. They showed that 1110 adding these two reaching errors leads to the error pattern observed in human 1111 participants. Furthermore, Burns and Blohm (2010) demonstrated that the 1112 observed up- and downward shifts in reaching error curves can be explained by 1113 RFTs; any misestimation in the sensed head angle results in an erroneous 1114 rotation of movement vector which results in up- and downward shifts in reach 1115 error curves. The logic is the same in our model for explaining the observed 1116 biases in reach error curves for the head roll condition. Similarly, the up/down -1117 ward shifts in reach error curves for the neck load condition can be explained by 1118 erroneous RFTs: applying a neck load biases the head angle, which leads to an 1119 erroneous rotation of the movement vector, resulting in shifts of error curves.

1120 Applying a neck load enabled us to evaluate the contribution of neck 1121 muscle spindle information to head angle estimation. To achieve this we included 1122 a Bayesian head angle estimator in our model in which the visual/vestibular and

1123 neck muscle spindle information are integrated to estimate the head angle. 1124 Applying a neck load biases the neck muscle spindle information toward the 1125 direction of the load and consequently biases the head angle estimation 1126 (equations 7 and 8). This bias in estimated head angle depends on two 1127 parameters: 1) relative neck muscle reliability compared to visual/vestibular 1128 reliability and 2) overall head angle estimation variability (similar to the variable 1129 RFTs variance in Burns and Blohm's (2010) model) Error! Reference source 1130 not found.

1131 As explained before, in our model we estimate the head angle by 1132 integrating visual/vestibular information with neck muscle information. As a result, 1133 the overall sensed head angle variability depends on the variability of each of 1134 aforementioned information. Consider the situation in which overall head angle 1135 estimation variability is low (Error! Reference source not found. Figure S1 A-1136 B). Low variability for head angle estimation resulted from high reliability for both 1137 visual/vestibular and neck muscle spindle information. Similarly, high variability of 1138 head angle estimation resulted from low reliability of both visual/vestibular and 1139 neck muscle spindle information and consequently applying neck load creates 1140 smaller biases (Error! Reference source not found. Figure S1 C-D). We expect 1141 that applying a neck load will create higher shifts in reach error curves for when 1142 the reliability of sensed head angle is high compared to when the reliability of 1143 sensed head angle is low, regardless of their relative contribution (compare 1144 Figure S1A vs. Figure S1D and Figure S1B vs. Figure S1C).

1145 In addition, the amount of shifts in reach error curves depends on the 1146 relative reliability of neck muscle spindle information vs. visual/vestibular 1147 information. When the relative reliability of neck muscle information is high, the 1148 bias in reach error curves is higher compared to when its reliability is low (Figure 1149 S1Error! Reference source not found. B vs. Figure S1C). In our data, we 1150 observed high variability for head angle estimation as well as relatively higher 1151 contribution of visual/vestibular information compared to neck muscle spindle 1152); Figure S1Error! Reference source not found.C. information (

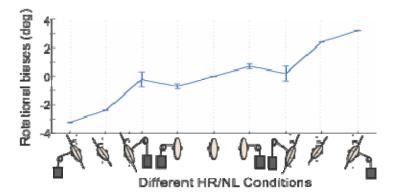




1154 Figure S1. Effect of varying the reliability of neck muscle spindle signals 1155 vs. visual/vestibular signals. Head angle is estimated by combining the neck muscle spindle 1156 1157 1158 1159 1160 information with combined visual and vestibular information using the Bayesian method, therefore, the effect of applying neck load depends on two factors: 1) absolute variability of head angle estimation and 2) relative reliability of neck muscle spindle information compared to visual/vestibular information. A-B) lower absolute value for head angle estimation variability: this lower variability results from the high reliability of both visual/vestibular and neck muscle information. Therefore, the up/down -ward shifts induced due to applying 1161 neck load is higher compared with when the head angle estimation variability is high (panel C and D). In addition to the absolute head angle estimation variability, the relative reliability of neck muscle spindle vs. 1162 1163 visual/vestibular information impacts how much applying neck load biases the reaching movement: A, C) the 1164 lower the reliability of neck muscle spindle information vs. visual/vestibular information, the lower the 1165 up/down ward shifts in reaching error curves, B, D) increasing the relative reliability of neck muscle 1166 information increases the up/down ward shifts in reaching errors by applying neck load..

- 1167 As mentioned before, at the heart of our RFT process there is a head angle
- 1168 estimator which enabled us to retrieve the sensed head angle based on the

reach error patterns. Figure S2 demonstrates the biases in head angle estimation for all the experimental conditions. As can be seen, applying neck load biased the head angle estimation toward the applied neck load for all head angles. We performed t-test analysis and observed that all the changes in head angle estimation due to applying neck load are significant -11 < t(8) < 12, p < 0.001.





1175 FigureS2. Biases in head angle estimation due to different head roll and

1176 neck load conditions. Applying neck load biased the head angle estimation toward the applied load
 1177 for all head angles. Error bars are standard deviations.

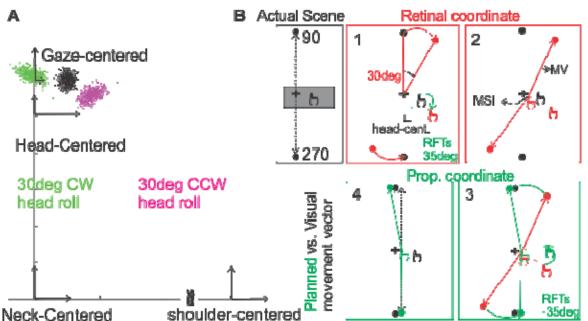
In addition to up/down -ward shifts in reach error curves by applying neck load 1178 1179 and head roll, we observed a very surprising pattern in our data: both head roll 1180 and neck load created greater biases in reaching movements when reaching to 1181 targets away from the body (45-135 deg) compared to reaching to targets toward 1182 the body (215-315 deg). This observation was surprising and to our knowledge 1183 none of the previous models (Sober & Sabes 2003 and Burns & Blohm 2010) 1184 could predict/explain this pattern. 1185 At this point it should come as no surprise that our model explains the difference 1186 in head roll/neck load effect for different targets by stochastic RFTs processes.

1187 Blohm and Crawford (2007) demonstrated that the brain considers the full 3D

1188 body geometry to accurately plan reach movements. As mentioned in the model

1189 description, we included the 3D body geometry in our RFTs procedure: RFT 1190 processes are carried out by sequential rotations/translations between different 1191 coordinates centered on different body sections. Figure S3A demonstrates 1192 different coordinates that have been considered in our model in relation to each 1193 other. Including the 3D body geometry resulted in a displacement in the center of 1194 rotation between different coordinates and specifically in our experiment between 1195 gaze-centered and head-centered coordinates. This displacement of the center 1196 of rotation caused greater biases in reaching movements for visual targets further 1197 away from vs. closer to the body (Figure S3A). Figure S3B provides a detailed 1198 example of how the difference in the center of rotation results in an asymmetry in 1199 the movement biases induced by head roll/neck load. The first block in Figure 1200 S3B shows the actual scene in front of the participants with two targets at 90deg 1201 and 270deg. In our experiment the participants fixated their eyes on the cross 1202 and this cross was indicated as their visual information of the initial hand position 1203 as well. In this example, the hand was shifted 25cm horizontally to the right. The 1204 dotted arrows show the visual movement vector toward the targets. Box #1 1205 demonstrates the retinal representation of targets for head roll 30deg CCW. We 1206 assumed that the torsion effect on retinal information was small and therefore 1207 ignored it. Since the head is rotated 30deg CCW, the retinal image on the back 1208 of the head is rotated 30deg CW (actual head angle) and the center of this 1209 rotation is the cross (gaze-position). In order to estimate the hand position, 1210 proprioceptive information must be transformed to the retinal coordinates and at 1211 the heart of this transformation is the head rotation based on the estimated head

1212 angle (Blue box in figure 3). In this specific example, we assumed that the head 1213 angle is overestimated by 5deg and is estimated as 35deg. In addition, since the 1214 centers of rotation for head-centered and gaze-centered coordinates are 1215 different, the transformed hand position is no longer in symmetry with the rotation 1216 in gaze-centered coordinates and displaced and biased toward the body. The 1217 next two steps in our model are multisensory integration to estimate the hand 1218 position and movement vector calculations (Box #2). As it has been shown by 1219 Sober and Sabes (2003, 2005) any transformation adds noise and therefore, 1220 visual information is more reliable in the retinal coordinates and the estimated 1221 initial hand position is biased toward the visual initial hand position and the 1222 movement vector is calculated by subtracting target position from this estimated 1223 initial hand position. This movement vector, then, is transformed into shoulder-1224 center coordinates to be executed, employing RFTs (Box #3). We compared the 1225 transformed movement vector with the visual movement vector in Box #4 and as 1226 it can be seen the misestimation in head angle created greater biases for target 1227 away from the body (90deg) compared to the target toward the body (270deg).



1228 1229 Figure S3. RFT processes mechanism. A) Different coordinates in our RFT module. The 1230 difference in the center of rotation between gaze-centered coordinate and head-centered coordinate 1231 resulted in an asymmetry of transformed hand position for 30deg CW vs CCW head rolls. B) A detailed 1232 example of the higher effect of stochastic RFTs on movement away from the body compared to movements 1233 toward the body for head roll 30deg CCW: Actual scene: in our experiment, participants had fixated their 1234 eyes on the center cross and the visual feedback of the hand indicated their hand on the center as well. The 1235 actual hand position is shifted to the right in this example and it is occluded, Box#1: the retinal image of the 1236 target is rotated 30 CW, we ignored the torsion effects on retinal projection. Proprioceptive hand position is 1237 transformed using our RFT module (we assumed that head roll estimation is erroneous; 35deg), Box#2: 1238 Initial hand position is estimated by combining visual information and transformed proprioceptive information 1239 of the hand. Then, the movement vector is calculated by subtracting target position from the initial hand 1240 position, Box#3: The calculated movement vector is transformed to the proprioceptive coordinate using the 1241 RFTs module, Box#4: comparing the planned movement with the movement only considering visual 1242 information. As it can be seen, the misestimation in head angle, created larger error for movement away 1243 from body vs. movement toward the body. This happened due to the offset in the center of rotations 1244 between different coordinates.

Determining how stochastic noise in RFTs modulates multi-sensory weights was one of the goals of this experiment. In figures 6 and 7, we fitted Sober and Sabes' (2003) model to the data and demonstrated that both head roll and neck load modulates multi-sensory integration weights. Similar to Burns and Blohm (2010), we were able to retrieve multisensory integration weights from the covariance matrices. As it has been demonstrated in figure S4, RFTs dramatically change the distribution of the transformed signal and consequently the covariance matrix (Alikhanian et al., 2015). In order to account for such
variations, we calculated the determinant of the covariance matrix for calculating
the multi-sensory weights. Figure S4 shows visual weights in both visual (A) and

1255 proprioceptive (B) coordinates.

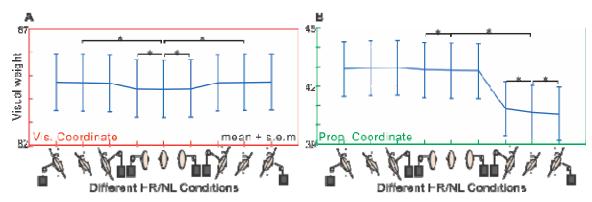


Figure S4. visual weights for multi-sensory integration. A) Visual weights in visual coordinate: Visual weights increase in visual coordinate due to decreased reliability of proprioceptive information caused by stochastic RFTs, B) Visual weights in proprioceptive coordinate: rolling the head 30deg CCW didn't affect the visual weights while rolling the head 30deg CW decreased visual weights. The reason for this asymmetry is the nonlinearity in the inverse kinematic process. Error bars are standard error of the mean. The significance was tested using paired t-test (P < 0.05 is considered as a significant difference).

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1265 Visual weights were lowest for head straight and no load condition in visual 1266 coordinates and increased by rolling the head and/or applying neck load. Our 1267 paired t-test showed that this increase was significant for all head roll and neck 1268 load conditions (t(8) < -3, p < 0.05). More specifically, applying the neck load 1269 increased the visual weights in visual coordinate while the head was upright (t(8) 1270 < -3, p < 0.05) while it didn't significantly change when the head wasn't upright and neck load was applied (t(8) < -1, $p \approx 0.2$). Applying neck load or rolling the 1271 1272 head didn't significantly changed visual weights in visual coordinates except for 1273 when the head rolled 30deg CW (t(8) < -18, p < 0.001) or the neck load applied 1274 to the left side (t(8) < 3, p < 0.05). Combination of head roll and neck load only

- 1275 modulated the visual weights when the head was rolled 30deg CW and neck load
- 1276 applied to the either sides (|t(8)| < 4, p < 0.05). Therefore, our data and model
- 1277 show that both noise in RFTs and the geometry of the body can influence multi-
- 1278 sensory integration in a way that is explained through changes in reliability of
- 1279 transformed signal by stochastic and geometrically accurate RFT processes.