

1 Cardiac enriched BAF chromatin remodeling complex subunit Baf60c regulates gene
2 expression programs essential for heart development and function

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35 **Abstract**

36

37 How gene networks controlling organ-specific properties are modulated by chromatin
38 remodeling complexes is not well understood. *Baf60c* (*Smarcd3*) encodes a cardiac-
39 enriched subunit of the SWI/SNF-like BAF chromatin complex. Its role throughout heart
40 development is not fully understood. We show that constitutive loss of *Baf60c* leads to
41 embryonic cardiac hypoplasia and pronounced cardiac dysfunction. Conditional deletion
42 of *Baf60c* in cardiomyocytes results in postnatal dilated cardiomyopathy with impaired
43 contractile function. *Baf60c* regulates a gene expression program that includes genes
44 encoding contractile proteins, modulators of sarcomere function, and cardiac metabolic
45 genes. Many of the genes deregulated in *Baf60c* null embryos are targets of the
46 MEF2/SRF co-factor Myocardin (MYOCD). In a yeast two-hybrid screen we identify
47 MYOCD as a BAF60c interacting factor; we show that BAF60c and MYOCD directly and
48 functionally interact. We conclude that Baf60c is essential for coordinating a program of
49 gene expression that regulates the fundamental functional properties of cardiomyocytes.

50

51 **Introduction**

52 Transcription factor networks control cardiac morphogenesis and cell specification
53 (Bruneau, 2013; Evans et al., 2010) including the coordinated regulation of genes
54 encoding the proteins involved in sarcomere function (Creemers et al., 2006; Niu et al.,
55 2008). While undergoing complex morphogenetic changes, the developing heart
56 functions to support the embryonic circulation. The contractile function of the heart
57 adapts quickly to the dramatic changes in circulation that occur after birth, and
58 subsequently must adapt to fluctuating physiology and stress. The transcriptional
59 regulation of cardiac gene expression continues during postnatal heart growth and
60 cardiomyocyte maintenance (Huang et al., 2009; Oka et al., 2006).

61 Chromatin remodeling complexes are critical regulators of cardiac gene expression, in
62 many cases modulating the activity of DNA-binding transcription factors (Chang and
63 Bruneau, 2012). For example, histone deacetylases (HDACs) and Bromodomain-
64 containing factors play important roles in cardiac gene regulation and remodeling, and
65 have been proposed as potential therapeutic drug targets (Anand et al., 2013; McKinsey,
66 2012). BRG1/BRM-Associated Factor (BAF) complexes are ATP-dependent chromatin

67 remodeling complexes related to the yeast SWI/SNF complex, and are indispensable for
68 mammalian development (Hota and Bruneau, 2016). BAF complexes orchestrate many
69 aspects of heart development, and genetically interact with cardiac transcription factors
70 to finely modulate cardiac gene expression (Hang et al., 2010; Takeuchi et al., 2011).
71 Combinatorial assembly of different polymorphic subunits can generate hundreds of
72 potential BAF complexes, and offer precise control of developmental processes (Chang
73 and Bruneau, 2012; Ho and Crabtree, 2010). BAF60c (also known as SMARCD3) is a
74 polymorphic subunit of the BAF complex, which is expressed preferentially in the
75 developing heart (Lickert et al., 2004). In vivo RNAi knockdown in mouse embryos
76 suggested that *Baf60c* is essential for embryonic heart development (Lickert et al., 2004),
77 and together with the cardiac transcription factors TBX5, NKX2-5 and GATA4, BAF60c
78 can induce non-cardiac mesoderm to differentiate into cardiomyocytes (Lou et al., 2011;
79 Takeuchi and Bruneau, 2009).

80 In this study, we examined the role of *Baf60c* in embryonic and postnatal heart
81 development using a *Baf60c* conditional knockout mouse line. We show that *Baf60c* is
82 essential for cardiac growth and cardiomyocyte function at several stages of embryonic
83 development, by regulating broad networks of genes encoding proteins essential for
84 function of the contractile apparatus. Many of the dysregulated genes are targets of the
85 MEF2 co-factor MYOCD, and we identify MYOCD as a BAF60c-interacting protein. Our
86 work shows that *Baf60c* serves as an important modulator of the fundamental program
87 of gene expression essential for cardiac structure and function.

88

89 **Results**

90

91 **Construction of *Baf60c* conditional knockout mouse line.**

92 *Baf60c* is expressed at E7.5 in the early cardiac precursors of the cardiac crescent, and
93 its expression is maintained throughout development in the myocardium (Lickert et al.,
94 2004). In order to understand the function of *Baf60c* at different developmental stages,
95 we developed a conditional allele of *Baf60c* in the mouse. A targeting construct with a
96 pair of loxP sites flanking exon 1-4 was introduced into embryonic stem (ES) cells (Fig
97 1A). Transgenic mice generated from the targeted ES cells (*Baf60c*^{fllox/+}) have normal
98 phenotypes and life span and thus are treated as wild type. By crossing with pCAGGS-
99 Cre mice which constitutively express Cre recombinase, exons 1-4 of *Baf60c* were

100 deleted to generate *Baf60c*^{+/-} mice (Fig 1A). No obvious defects were observed in
101 *Baf60c*^{+/-} mice. Homozygous null *Baf60c*^{-/-} embryos were recovered at E9.5 (Fig 1B), and
102 by whole mount in-situ hybridization no *Baf60c* mRNA was detectable in *Baf60c*^{-/-}
103 embryos (Fig 1C).

104 ***Baf60c* deletion results in a hypoplastic heart and embryonic demise.**

105 *Baf60c*^{-/-} embryos were recovered alive and with roughly normal morphology at different
106 stages of timed pregnancy until E12.5-E14.5. At E14.5, most *Baf60c*^{-/-} embryos were
107 dead, with broad regions of hemorrhage. Backcrossing into C57Bl/6 for 10 generations
108 led to a more consistent phenotype, with survival only until E12.5-13.5. To determine
109 the cause of embryonic death, and to identify potential cardiac phenotypes, *Baf60c*^{-/-}
110 embryos were harvested for histological analysis. Optical projection tomography showed
111 that mixed background E12.5 *Baf60c*^{-/-} embryonic hearts had dilated inner chambers and
112 underdeveloped interventricular septa (Fig 2A). At E11.5 *Baf60c*^{-/-} C57Bl/6 embryonic
113 hearts had a more severe and penetrant phenotype, with a thin compact layer and fewer
114 or less well developed trabeculae (Fig 2B), impaired atrioventricular cushion formation,
115 and reduced atrial septum growth. In the few surviving E14.5 *Baf60c*^{-/-} mixed
116 background embryos, ventricular free walls were much thinner than WT (Fig 2C) and the
117 interventricular septum was disorganized, leading to ventricular septal defects. Based on
118 the intrinsic cardiac phenotypes, we conjectured that circulatory failure and hemorrhage
119 were the result of impaired cardiac function of *Baf60c*^{-/-} embryos.

120 To identify the possible cause of cardiac hypoplasia in *Baf60c* knockouts, proliferation of
121 cardiomyocytes was assessed by staining with Ki67 antibody. Immunostaining detected
122 fewer Ki67+ cardiomyocytes in E12.5 *Baf60c*^{-/-} ventricles than in WT (Fig 2D).
123 Quantitation confirmed that in WT hearts, there were 32±9% Ki67+ ventricular
124 cardiomyocytes, while in *Baf60c*^{-/-} hearts 25±5% were positive (n=4; *P*<0.05).

125 The embryonic heart begins to pump blood from the linear heart tube stage onwards,
126 and its contractile function is essential for fetal life. To determine if cardiac function was
127 affected by *Baf60c* deletion, we used high frequency ultrasound echocardiography (Zhou
128 et al., 2002) to evaluate contractile parameters of E13.5 mixed background embryos in
129 utero (Table 1). No regurgitation between atria and ventricles were observed in *Baf60c*^{-/-}
130 embryos, suggesting that cardiac valves had formed and were fully functional. However,

131 the left ventricle fraction shortening (LVFS) of *Baf60c*^{-/-} hearts was reduced, suggesting
132 impaired systolic function. The inter-ventricular septal fractional thickening (IVSFT) was
133 lower than in the hearts of WT and *Baf60c*^{+/-} embryos indicating reduced myocardial
134 contraction. The E/A ratios of *Baf60c*^{-/-} hearts for both the left and right ventricles were
135 also significantly increased. This may indicate impaired cardiac relaxation (Zhou et al.,
136 2003). Overall, echocardiography showed that loss of *Baf60c* affected the morphology
137 and dimensions of the heart, and concomitantly its contractile function.

138 We assessed the tissue-specificity of the *Baf60c*^{-/-} phenotype by crossing *Baf60c*^{flox/flox}
139 mice with *Nkx2-5::Cre* mice. *Nkx2-5::Cre* deletes loxP-flanked DNA from E8.0 in all
140 cardiac precursors (Moses et al., 2001). *Nkx2-5::Cre::Baf60c*^{flox/-} mice had morphological
141 defects similar to those found in the least severely affected *Baf60c*^{-/-} embryos (Fig 3),
142 indicating that the constitutive null phenotype reflects primary loss of *Baf60c* in the
143 developing heart, but also potentially an earlier function in precursors that do not yet
144 express *Nkx2-5* (Devine et al., 2014).

145 **Loss of Baf60c in cardiomyocytes results in postnatal cardiomyopathy**

146 After birth, heart development switches from cell proliferation to hypertrophic growth.
147 The structure and physiological function of the myocardium undergo a series of changes
148 to adapt to a new hemodynamic environment. We deleted *Baf60c* in the myocardium at
149 later developmental stages by crossing the *Baf60c*^{flox/flox} allele with *Myh6::Cre* (Agah et
150 al., 1997). This manipulation bypassed the embryonic lethality of the constitutive deletion,
151 as *Baf60c*^{flox/-}; *Myh6::Cre* (*Baf60c*^{Myh6KO}) mice were born alive and showed no obvious
152 morphological changes before postnatal day (P) 7. After P7, some of the *Baf60c*^{Myh6KO}
153 pups were growth-delayed compared with their littermates and died before weaning.
154 Other *Baf60c*^{Myh6KO} mice survived after weaning without obvious morphological defects,
155 but at ~4 to 6 weeks exhibited symptoms of heart failure, including weight loss, reduced
156 activity level, hunched back and labored breath. The remaining *Baf60c*^{Myh6KO} mice
157 appeared normal, but died suddenly. All *Baf60c*^{Myh6KO} mice died before 4 months of age
158 (Fig 4A).

159 To investigate the reason for the early mortality in *Baf60c*^{Myh6KO} mice, their hearts were
160 dissected at different ages for morphology and histology analysis. At all the observed
161 stages (P10, P21 and 8 weeks), the hearts of *Baf60c*^{Myh6KO} mice were enlarged

162 compared with the controls (Fig 4B). Histology revealed chamber dilation (Fig 4B).
163 Masson's trichrome staining detected broad myocardium interstitial fibrosis in the
164 *Baf60c^{Myh6KO}* myocardium, while this was not observed in any other genotypes (Fig 4B,
165 lower panels). A high level of apoptosis was also detected in myocardium of adult
166 *Baf60c^{Myh6KO}* mice (Fig 4C).

167 The chamber dilation and fibrosis observed in the hearts of *Baf60c^{Myh6KO}* mice raised the
168 question of whether cardiac function was also affected. We measured cardiac contractile
169 function of 8-week old mice that lacked outward signs of heart failure or growth delay,
170 using high frequency echocardiography (Table 2, n=6). Confirming the histological
171 results, the left ventricles of *Baf60c^{Myh6KO}* mice were prominently dilated, and the anterior
172 and posterior ventricle walls of *Baf60c^{Myh6KO}* mice were thinner and the chamber
173 contraction ratio decreased. The aortic time-velocity integral (TVI, which measures the
174 distance traveled by a volume of blood during a time interval) increased, probably
175 because of the enlarged ventricle volume. The fraction shortening (FS) and cardiac
176 output was reduced, consistent with the cardiac failure symptoms of *Baf60c^{Myh6KO}* mice.
177 We performed electrocardiogram analysis to measure the conduction function of
178 *Baf60c^{Myh6KO}* mice (Fig 4D, Table 3, n=5-6). Compared with other genotypes,
179 *Baf60c^{Myh6KO}* mice had significantly slower heart rates, shortened conduction time
180 through the AV node (PR interval), and prolonged QRS duration, suggesting longer
181 depolarization-repolarization time of the ventricle. P height, which indicates atrial
182 depolarization, was reduced. Thus, clear and significant conduction defects accompany
183 contractile deficiency in *Baf60c^{Myh6KO}* mice.

184 **Myofibrillar defects of Baf60c KO cardiomyocytes.**

185 The cardiac structural and functional defects in *Baf60c^{-/-}* are a reflection of an underlying
186 cellular defect. To address this, we used electron microscopy to observe cardiomyocyte
187 ultrastructure. At E12.5, sarcomeres of *Baf60c^{-/-}* hearts were disarrayed, and the thick
188 and thin filaments were discontinuous and poorly aligned. Z-disks were loosely packed
189 and did not have clear defined borders as was found in WT sarcomeres. The I band
190 (thick-filament free zone) and the M bands (myosin head free zone of the thick filaments)
191 located in the middle of sarcomere were almost undetectable (Fig 5A, top panel).
192 Similar defects also existed in adult *Baf60c^{Myh6KO}* cardiomyocytes. We measured

193 sarcomere length in adult hearts and found the sarcomere length (the length between
194 two adjacent Z-disks) of *Baf60c*^{Myh6KO} mice was significantly shorter than WT (Fig 5B).

195 We examined the distribution of several important structural proteins in cardiomyocytes
196 by immunofluorescence deconvolution microscopy, and found that the localization of
197 Desmin in Z-disks of embryonic cardiomyocytes was disturbed in *Baf60c*^{-/-} hearts (Fig
198 5C). In adult *Baf60c*^{Myh6KO} hearts, localization of Desmin in intercalated discs was also
199 reduced (Fig 5D), and the pattern of Desmin localization was perturbed (poorly aligned).
200 These observations are similar to what was observed by electron microscopy and
201 together, showing disrupted myofibril alignment and sarcomere structure in the absence
202 of *Baf60c*.

203

204 **Cardiac gene expression program regulated by Baf60c.**

205 To identify genes regulated by Baf60c, we used RNAseq to analyze total RNA prepared
206 from *Baf60c*^{-/-} hearts and control hearts harvested at E10.5 and E12.5, and from P7
207 *Baf60c*^{Myh6KO} and control hearts. We identified 788 genes that were differentially
208 expressed by at least 1.25 fold (p<0.05) in at least one stage versus wild type (Fig 6A).
209 Among all the genes and all the analyzed stages, there were 132 genes upregulated and
210 175 down-regulated at all time points. Misregulation of major cardiac transcription factors
211 or signaling molecules was not observed. Instead, consistent with the ultrastructural
212 findings, many genes related with cardiac metabolism and striated muscle contraction
213 such *Acta1*, *Aldh1l2*, *Casq1*, *Casq2*, *Ckm*, *Ckmt2*, *Trim72*, *Kbtbd10 (Krp1)*, *Myh7b*, *Myl3*,
214 *Mylpf*, *Obscn*, and *Tnni2* were identified as downregulated in embryonic and adult
215 *Baf60c*-deficient hearts (Fig 6B). A broader range of cardiac function related genes was
216 deregulated in the *Baf60c*^{Myh6KO} hearts, including *Gja3*, *Myl1*, *Myl4*, *Myl7*, and *Tnni1*. The
217 postnatal deletion of *Baf60c* also resulted in induction of *Nppa*, as might be expected in
218 a cardiomyopathic heart (Houweling et al., 2005), but the induction was mild (only 2-fold
219 increase), indicating a potential deficiency in upregulation of this marker of cardiac stress.
220 In fact, the usual set of cardiac stress-responsive genes was not present in the
221 *Baf60c*^{Myh6KO} cardiac gene expression program. Gene ontology (GO) analysis of genes
222 repressed by *Baf60c* in postnatal heart enriched for biological processes involved in
223 broad developmental processes and extracellular structure organization (Fig 6C). On the
224 other hand, *Baf60c* activated genes were enriched for muscle system processes,
225 regulation of muscle cell differentiation, muscle contraction, and sarcomere and actin

226 cytoskeleton organization (Fig 6D). An enrichment of cell cycle-related genes was also
227 apparent; it is not clear what this signifies, and may reflect a role for *Baf60c* in regulating
228 perinatal proliferation, which was not addressed in this study. These results collectively
229 suggest that *Baf60c* is required for proper expression of genes encoding components or
230 regulators of the contractile apparatus.

231 The analysis of gene expression in whole hearts has the disadvantage that a
232 heterogeneous mix of cells may prevent the clear identification of the full set of *Baf60c*-
233 regulated genes, and also that some changes in gene expression may be secondary to
234 altered hemodynamics. We compared the set of genes altered in the *Baf60c* mutant
235 hearts with RNAseq analysis of cardiac precursors and cardiomyocytes differentiated in
236 vitro from WT and *Baf60c*^{-/-} embryonic stem (ES) cells (Hota et al., 2017). Considerable
237 overlap was found for the E12.5 KO hearts and ES cell-derived cardiac precursors
238 differentially expressed genes, and more significant overlap was found for E12.5 KO and
239 *Baf60c*^{Myh6KO} hearts with ES cell-derived cardiomyocyte (Fig.6E). These comparisons
240 show that both in vitro and in vivo, *Baf60c* regulates a set of genes important for cardiac
241 morphogenesis and function.

242 **Baf60c functionally interacts with Myocardin**

243 We previously identified TBX5 and NKX2-5 as potential BAF60c-interacting proteins
244 (Lickert et al., 2004). Here we demonstrate by GST pulldown that these interactions can
245 be direct (Fig 7A). We mapped the BAF60c interaction domain to an N-terminal region
246 (aa residues xx-xx) that contains a nuclear localization signal sequence (Fig 7B). To
247 further elucidate the molecular mechanism of BAF60c function, we searched for
248 potential association partners of BAF60c. In a yeast 2 hybrid screen of a human heart
249 cDNA library, using BAF60c as the bait, we identified few potential interacting factors
250 (BAF155, FEZ1, MYOCD). BAF155 is a component of the BAF complex, which indicates
251 a direct interaction between these two BAF complex subunits. Of particular interest
252 amongst candidate interactors was Myocardin (MYOCD), a transcriptional co-factor of
253 SRF and MEF2c (Creemers et al., 2006; Wang et al., 2001). GST pull-down assay
254 between GST-fused BAF60c and in-vitro synthesized MYOCD confirmed the direct
255 association, and mapped the association domain of MYOCD with BAF60c to amino
256 acids 328-554 (Fig 7C). *Myf1* is a bona fide direct target of MEF2c/Myocardin (Creemers
257 et al., 2006) and was downregulated in the absence of *Baf60c*. In an in vitro promoter

258 activation assay, BAF60c could potentially enhance the activation of the *Myf1* promoter by
259 MYOCD and MEF2c (Fig 7D). Together our data suggest that BAF60c can function as a
260 partner of MYOCD in cardiac development, and that this interaction may be important for
261 the activation of a gene expression program essential for the fundamental functional
262 properties of cardiomyocytes.

263

264

265 **Discussion**

266 We have demonstrated the requirement for *Baf60c* in cardiomyocyte function throughout
267 heart development. Loss of *Baf60c* both prenatally and postnatally resulted in cardiac
268 hypoplasia and defective heart function. *Baf60c* regulates programs of gene expression
269 that are essential for primary functions of cardiomyocytes, including broad sets of genes
270 essential for sarcomere function and cardiac metabolism.

271 The *Baf60c* constitutive knockout phenotype is milder than the mouse shRNA
272 knockdown phenotypes published previously (Lickert et al., 2004). The shRNA
273 knockdowns were performed using two different shRNAs, minimizing the possibility of
274 off-target effects, and the phenotype was rescued by over-expression of BAF60b,
275 indicating significant specificity of the shRNAs. A similar discrepancy exists for *Ifitm*
276 genes, for which the shRNA phenotype is more severe than that of a genetic deletion
277 (Lange et al., 2008; Tanaka et al., 2005). The possible reasons for the different
278 phenotypes between the shRNA and the genetic null might include effects compounding
279 the loss of *Baf60c* function such as overloading of the microRNA processing machinery
280 by overexpressing shRNAs at high levels, other non-specific effects inherent to
281 overexpression of shRNAs in the mouse embryo, or failure to compensate for immediate
282 repression of gene function by RNAi. The genetic deletion presented here confirms an
283 important role for *Baf60c* in heart development, and extends these findings significantly.

284 The phenotype resulting from loss of *Baf60c* suggests that *Baf60c* has a specific role in
285 regulating gene expression programs necessary for cardiac growth and contractile
286 function. BRG1, the core ATPase of BAF complexes, has broad and critical roles in
287 supporting cardiomyocyte proliferation and differentiation at embryonic stages and
288 hypertrophic growth in the stressed adult heart (Hang et al., 2010; Takeuchi et al., 2011).

289 Our data suggest that a BAF60c-containing cardiac-specific BAF complex has a more
290 specialized role, and may have evolved to provide fine-tuned and specific gene
291 regulation in the mammalian heart. Indeed, we have isolated BAF complexes during in
292 vitro cardiac differentiation and have identified that BAF60c-containing complexes in
293 cardiomyocytes have a composition that differs from many BRG1-containing complexes
294 (Hota et al., 2017). In skeletal muscle differentiation, BAF60c interacts with MYOD to
295 activate muscle-specific genes (Forcales et al., 2012), and is essential for HDAC-
296 dependent fibro-adipogenic precursor differentiation in dystrophic muscle (Saccone et al.,
297 2014). The set of genes that are altered due to depletion of BAF60c in differentiating
298 C2C12 cells (Forcales et al., 2012) is remarkably similar to those altered by loss of
299 BAF60c in the heart, indicating a commonality in the regulatory program controlled by
300 BAF60c in cardiac and skeletal muscle. The role of BAF60c in glycolytic metabolism of
301 fast-twitching muscle has also been described (Meng et al., 2013); whether Baf60c has
302 a specific function regulating metabolic switching during cardiomyocyte maturation will
303 be a potential direction for future studies.

304 *Myocd* is an essential factor for embryonic cardiac gene expression and postnatal
305 myocardial function (Creemers et al., 2006) (Huang et al., 2012; Huang et al., 2009).
306 Loss of *Myocd* in cardiac precursors results in a phenotype very similar to that of
307 *Baf60c*-null embryos, with death around E13.5, thinned myocardium, ventricular septal
308 defects, and reduced proliferation (Huang et al., 2012). Cardiomyocyte-specific deletion
309 of *Myocd*, as with that of *Baf60c*, also results in sarcomere disorganization,
310 mislocalization of Desmin, and apoptosis (Huang et al., 2009). However, the changes in
311 gene expression documented in *Myocd* deficient hearts are not fully recapitulated by the
312 loss of *Baf60c*, indicating that a MYOCD/BAF60c interaction may target a specific subset
313 of *Myocd*-regulated genes, such as *Myf1* and others. The association domain of MYOCD
314 with BAF60c did not differentiate between the smooth muscle and cardiac isoforms
315 (Creemers et al., 2006), suggesting BAF60c can either associate with both and regulate
316 different programs, or there are other mechanisms *in vivo* controlling selective
317 association with either isoform. BAF60c can act on SRF-dependent promoters to
318 regulate smooth muscle gene expression (Sohni et al., 2012); it remains to be
319 determined whether this activity also involves an interaction with Myocardin.

320 Mutations in many cardiac transcription factor and structural genes have been identified
321 to result in congenital heart defects and cardiomyopathy (Ahmad et al., 2005; Bruneau,

322 2008; Fahed et al., 2013). Mutations in histone modifying complex subunit genes and in
323 some chromatin remodeling protein-encoding genes have been identified in patients with
324 CHDs (Homsy et al., 2015; Zaidi et al., 2013). While no mutations in *SMARCD3*, which
325 encodes BAF60c, have been associated with CHDs, the functional interaction of BAF60c
326 with several transcription factors implicated in CHDs suggests that a potential underlying
327 mechanism for CHDs may be dependent on BAF60c. Indeed, our recent proteomic
328 analysis of BAF complexes has identified WDR5, mutated in human CHD, as part of a
329 cardiac-enriched BAF complex (Hota et al., 2017). In conclusion, we have demonstrated
330 the essential role of BAF60c in cardiac growth and function, and implied a possibility of
331 chromatin remodeling factors contributing to CHDs.

332

333 **Methods**

334 **ES cell targeting and mouse line establishment**

335 A *Baf60c* genomic DNA fragment with loxP sites flanking 1st exon to 4th exon and Frt-
336 Neo-Frt cassettes downstream of 4th exon was constructed using bacterial
337 recombineering (Fig. 1A). For gene targeting, 5x10⁶ R1 ES cells were trypsinized and
338 electroporated with 25ug linearized targeting DNA. The electroporated cells were
339 selected with 160ug/ml G418 (Gibco # 10131) for 7 days. Correctly targeted clones were
340 identified using Southern Blot with DNA probes located outside the targeting DNA and
341 labeled with ³²P (Perkin Elmer). The clones were then expanded and used for diploid
342 aggregation. High ESC contributed chimera males were bred with ICR and C57/BL6 for
343 germline transmission. *Baf60C^{neo/+}* progeny were mated with FLPe-expressing mice
344 (B6;SJL-Tg(ACTFLPe)9205Dym/J, maintained at the Toronto Center for
345 Phenogenomics, TCP) to remove the *Neo* cassette between the *frt* sites and yield
346 *Baf60c^{fllox/+}* mice. To generate the *Baf60c* deletion, *Baf60c^{fllox/+}* mice were mated with
347 pCX-NLS-Cre mice (maintained at the TCP).

348 **Mouse and embryo genotyping**

349 The *Baf60c^{fllox/+}* and *Baf60c^{+/-}* mice were genotyped by PCR using 3 primers: WTfor (5'-
350 CGTTCTGCAAGATGGTCTGA-3'), DELfor (5'-AGGCAGACCCAAGCTTGATA-3') and
351 Rev (5'-CATCAGAGTCTTCCGCATCA-3'). *Baf60c* deletion band is 250bp, wild type is
352 350bp and *Baf60c* floxed is 470bp. Postnatal mouse tissues (tail tips or ear notches)
353 and embryo tissues (yolk sac, tails, limb buds) were prepared with the tissue preparation
354 buffer of the Sigma Extract-N-Amp tissue PCR kit (Sigma, XNAT2).

355 **Histology**

356 Mouse embryos or tissues were fixed with 4% PFA, dehydrated and embedded with
357 paraffin and sectioned into 4 μ m sections then mounted on glass slides. The slides were
358 then stained using standard histology protocols.

359 **Whole mount In-situ hybridization**

360 Whole mount in-situ hybridization on mouse embryos from E7.5 to E10.5 was performed
361 according to standard protocols using the *Baf60* in situ hybridization probe (Lickert et al.,
362 2004).

363 **Optical projection tomography**

364 Optical projection tomography (OPT) was performed as described previously (Sharpe et
365 al., 2002) using a OPT system built in-house. E12.5 embryos were harvested,
366 genotyped, fixed with 4% PFA overnight and washed with PBS. The specimens were
367 then embedded in 1% low melting point (LMP) agarose and subsequently cleared using
368 a 1:2 mixture of benzyl alcohol and benzyl benzoate (BABB). The index-matched
369 specimen was suspended from a stepper motor and immersed in a BABB bath
370 encompassed in a glass cuvette. Light from a mercury lamp was directed onto the
371 specimen and filter sets were used to create fluorescent images of the specimen. An
372 autofluorescence projection was captured with using a GFP filter set in the illumination
373 and detection light path. Images of the specimen were formed using a Qioptiq
374 Telecentric Zoom 100 microscope equipped with a 0.5X OPTEM objective lens.
375 Projection images were acquired with a Retiga-4000DC CCD camera with pixel size
376 equal to 7.4 μ m/pixel. The sample was rotated in finite steps, 0.3 degrees, through a
377 complete revolution totaling 1200 projections. Image reconstruction into a 3D data set
378 was then executed by a modified Feldkamp algorithm in supplied software by SkyScan
379 (Nrecon). The resultant OPT images have an isotropic 8.8 micron pixel size.

380 **RNA-seq**

381 Mouse embryos from *Baf60c*^{+/-} intercross timed pregnancy at E10.5 and E12.5, or
382 ventricles from *Myh6::Cre;Baf60c*^{fl/+} X *Baf60c*^{+/-} intercrosses were harvested. Their
383 hearts were individually dissected and snap-frozen with liquid nitrogen. RNA was
384 prepared from each single heart using PicoPure RNA Isolation kit (Arcturus). RNA
385 quantity and quality was analyzed using Agilent RNA 6000 Nano Kit. RNA-seq was
386 performed as described (Christodoulou et al., 2011; Christodoulou et al., 2014). RNA
387 reads were aligned with TopHat / Bowtie and Useq was used for the analysis of
388 differential expression. RNAs that showed significant differential expression between
389 wild type and *Baf60c*^{-/-} (p-value <0.05) and also changed more than 1.25 fold in *Baf60c*^{-/-}

390 over wild type at a specific stage of differentiation were selected for analysis, avoiding
391 duplicate and redundant entries.

392 **Transmission Electron Microscopy**

393 Mouse E10.5, E12.5 embryonic hearts and 8 week old adult hearts were dissected. For
394 embryonic hearts, the whole heart was used for fixation and section. For adult hearts,
395 pieces of 3~4 mm in size cut from the left ventricle were used as specimens. Pieces of
396 specimen were fixed in a fixative containing 4% formaldehyde and 1% glutaraldehyde in
397 phosphate buffer, pH7.3, and then post fixed in 1% osmium tetroxide. The specimens
398 were then dehydrated in a graded series of acetone from 50% to 100% and
399 subsequently infiltrated and embedded in Epon-Araldite epoxy resin. The processing
400 steps from post fixation to polymerization of resin blocks were carried out in a microwave
401 oven, Pelco BioWave 34770 (Pelco International, CA) using similar procedures, with
402 slight modification, as recommended by the manufacturer. Ultrathin sections were cut
403 with a diamond knife on the Reichert Ultracut E (Leica Inc., Austria). Sections were
404 stained with uranyl acetate and lead citrate before being examined in the JEM-1011
405 (JEOL USA Corp., Peabody, MA). Digital electron micrographs were acquired directly
406 with a 1024 X1024 pixels CCD camera system (AMT Corp., Denver, MA, USA) attached
407 to the TEM.

408 **Echocardiography assessment of cardiac functions**

409 E13.5 embryos were analyzed with a Vevo770 ultrasound machine (VisualSonics).
410 Pregnant *Baf60c*^{+/-} female mice carrying the embryos at the required developmental
411 stages were examined under isoflurane anaesthesia. The uterus were exposed from the
412 incision and scanned with a 30 MHz transducer as described (Lickert et al., 2004). To
413 minimize potential impairment of embryonic physiology, only 2 or 3 embryos were
414 scanned for each female, taking about 1 hour. The mother's heart rate was monitored
415 throughout the scanning. For each embryo, the blood flow speed near the mitral and
416 tricuspid valves and aorta was recorded at B-mode. The depth of ventricle walls and
417 ventricle septation was measured at M-mode. After scanning, the embryos were
418 harvested and genotyped. 4-5 embryos of each genotype were measured. Adult mice
419 were analyzed using a Vevo2100 ultrasound machine (VisualSonics). The 7-8 week old
420 animals were anaesthetized and scanned with a 30 MHz transducer as described (Zhou
421 et al., 2005). E and A peaks in the left ventricle were measured at B-mode. The
422 chamber dimensions and ventricle wall depths as well as ventricle septation depth were
423 measured at M-mode. For each genotype, 5-6 mice were measured.

424 **Electrocardiography**

425 Mice were anesthetized with 1-2% isoflurane and lead II ECG was recorded from needle
426 electrodes inserted subcutaneously into the right forelimb and into each hindlimb. The
427 signal was recorded for ~1 minute. The ECG was recorded with Power Lab/4SP (AD
428 Instruments) and analyzed using the SAECG (signal-averaged electrocardiogram)
429 extension for Chart 4 (v4.2.3 for Macintosh, AD Instruments).

430 **Immunofluorescence Microscopy**

431 Sarcomeric architecture and organization were assessed in E12.5 and adult hearts via
432 double immunofluorescence staining. Heart tissue was embedded in Tissue-Tek
433 Optimum Cutting Temperature (OCT) compound (Sakura Finetek) and immediately
434 frozen in 2-methylbutane precooled in liquid nitrogen. 5mm cryosections were mounted
435 on gelatin coated 1.5 glass coverslips. Tissue sections were fixed in 4%
436 paraformaldehyde, permeabilized with 0.2% Triton-X 100/PBS and blocked with 2%
437 BSA/1% normal donkey serum/PBS prior to incubation with antibodies. The primary
438 antibodies included: rabbit polyclonal anti-desmin (1:30) (ImmunoBioscience RP-4023-
439 04), mouse monoclonal anti-sarcomeric α -actinin (1:1000) (Clone EA-53; Sigma A7811),
440 and mouse monoclonal anti-desmoplakin 1/2 (1:1000) (Clone DP-2.15; AbDSerotec
441 2722-5204) antibodies. The secondary antibodies, obtained from Jackson
442 Immunoresearch Laboratories, included: Alexa Fluor 488 goat anti-mouse IgG (1:500),
443 Alexa Fluor 488 goat anti-rabbit IgG (1:500), Texas Red goat anti-mouse IgG (1:500),
444 and Texas Red goat anti-rabbit IgG (1:500). Coverslips were mounted onto slides with
445 Aqua Poly/Mount (Polysciences Inc.). All sections were analyzed on a Deltavision RT
446 system with 100x (1.3 NA) objective and a CoolSnap HQ charge-coupled device camera
447 (Photometrics) using softWoRx 3.5.1 software. Images were prepared for presentation
448 using Photoshop CS (Adobe Systems).

449 **TUNEL analysis**

450 Cell death on sections was detected using Roche In Situ cell death detection kit
451 Fluorescein (11684795910).

452 **Yeast two-hybrid assay**

453 A full-length BAF60c expression construct was used as a bait in a yeast two-hybrid
454 assay conducted by Hybrigenics (<http://www.hybrigenics-services.com/>), using a human
455 fetal/adult heart library.

456 **Luciferase Assay**

457 The *MyI1* luciferase construct has been described (Creemers et al., 2006). Combined
458 DNA vectors were transfected into early exponential stage 10T1/2 cells cultured in 6-well
459 dishes with Fugene 6 (Roche, 1181443001) following the product manual. After
460 culturing for another 40-48 hrs, the cells were lysed and luciferase activity analyzed with
461 Dual-Luciferase Reporter Assay System (Promega E1910). The luciferase activity was
462 normalized with renilla activity. Three biological replicates were prepared for each
463 combination.

464 **GST-pulldown assay**

465 ³⁵S labeled proteins (TBX5, NKX2-5, RBPjk, NICD, BAF60c serial deletions, Myocardin
466 serial deletions (Wang et al., 2001)) were synthesized with the TnT SP6 coupled
467 reticulocyte lysate system (Promega, L4600) or TnT T7 coupled reticulocyte lysate
468 system (Promega L4610) and labeled with ³⁵S Methionine (Perkin Elmer NEG709A). 5
469 µl of each synthesized protein was analyzed with SDS-PAGE gel and exposed to X-ray
470 film for evaluation. GST-BAF60c, GST-RBPjk, GST-TBX5 and GST were expressed in
471 *E.Coli* strain BL21 and purified with Glutathione Sepharose 4B (GE Healthcare, 17-
472 0756-01). The Glutathione sepharose 4B beads were incubated with ³⁵S labeled target
473 proteins overnight at 4°C and washed with PBST for 3 times. The beads were then
474 boiled in loading buffer. The protein was analyzed with SDS-PAGE gel followed by
475 autoradiography

476 **Statistics**

477 Data were expressed as mean±SEM. Differences among multiple experimental groups
478 were evaluated by ANOVA followed by post-hoc Fisher's LSD test. Pairwise
479 comparisons were evaluated by student's *t*-tests. *p* values < 0.05 were considered as
480 significant.

481

482

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490

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500

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634

635 **Table 1. High frequency echocardiography evaluation of E13.5 embryos**

	WT (n=4)	<i>Baf60c</i>^{+/-} (n=5)	<i>Baf60c</i>^{-/-} (n=5)	P-value
LV ESD (mm)	0.67±0.03	0.72±0.02	0.79±0.02	<i>P</i> =0.0087
LV EDD (mm)	0.94±0.04	0.99±0.03	1.03±0.03	NS
LV FS (%)	28±2	27±1	23±0.02	<i>P</i> =0.02 (WT vs KO) <i>P</i> =0.01 (Het vs KO)
RV ESD (mm)	0.64±0.03	0.6±0.04	0.69±0.03	NS
RV EDD (mm)	0.85±0.02	0.89±0.03	0.92±0.02	NS
RV FS (%)	25±3	32±2	24±3	NS
IVSTes (mm)	0.32±0.02	0.36±0.03	0.25±0.03	<i>P</i> =0.0023
IVSTed (mm)	0.22±0.03	0.25±0.03	0.20±0.02	NS
IVSFT	40±4	41±4	26±6	<i>P</i> =0.004 (WT vs KO) <i>P</i> =0.006 (Het vs KO)
Mitral peak E/A	0.12±0.04	0.25±0.05	0.32±0.04	<i>P</i> =0.02
Tricuspid peak E/A	0.19±0.03	0.17±0.03	0.34±0.04	<i>P</i> =0.008

636

637 IVSFT: inter-ventricular septal fractional thickening; IVSTed: end-diastolic inter-
638 ventricular septum thickness; IVSTes: end-systolic inter-ventricular septum thickness;
639 IVFST: ; LV EDD: left ventricular end-diastolic diameter; ESD: LV ESD: left ventricular
640 end-systolic diameter; LV FS: left ventricular fractional shortening; RV EDD: right
641 ventricular end-diastolic diameter; ESD: RV ESD: right ventricular end-systolic diameter;
642 RV FS: right ventricular fractional shortening. Peak E/A: the ratio of peak velocities of the
643 early diastolic waveform (E wave) to the late diastolic waveform during atrial contraction
644 (A wave) at either mitral or tricuspid orifices.

645

646

647 **Table 2. Echocardiography analysis of the cardiac contractile function**

648 ***Baf60c*^{Myh6KO} mice at 8 weeks of age.**

649

650

651

N=6	<i>Baf60c</i>^{fl/+}	<i>Baf60c</i>^{fl/+}; <i>Myh6::Cre</i>	<i>Baf60c</i>^{fl/-}	<i>Baf60c</i>^{fl/-}; <i>Myh6::Cre</i>	P-value
AWed (mm)*	0.93±0.03	0.88±0.03	0.80±0.05	0.70±0.04*	<i>P</i> =0.0027
AWes (mm)*	1.27±0.05	1.19±0.05	1.18±0.08	0.96±0.05*	<i>P</i> =0.0082
PWed (mm)*	0.84±0.04	0.73±0.04	0.76±0.03	0.66±0.04*	<i>P</i> =0.013
PWes (mm)*	1.10±0.04	1.02±0.07	1.07±0.03	0.90±0.06*	<i>P</i> =0.046
EDD (mm)*	4.18±0.09	4.19±0.02	4.34±0.08	5.01±0.18*	<i>P</i> <0.001
ESD (mm)*	3.06±0.13	3.09±0.09	2.99±0.04	3.85±0.25*	<i>P</i> =0.0021
FS (%)	26±2	31±1	26±2	23±2*	<i>P</i> <0.05
Aortic diameter (mm)	1.17±0.02	1.15±0.02	1.14±0.03	1.11±0.01	NS
Aortic TVI (mm)*	35.4±1.9	38.8±2.2	37.4±1.1	43.1±1.8*	<i>P</i> =0.043
CO (ml/min)*	14.8±0.6	15.0±0.9	12.6±0.7	12.4±0.6*	<i>P</i> =0.032

652

653 AWed: anterior wall thickness at end diastole; AWes: anterior wall thickness at end-

654 systole; EDD: end-diastolic diameter; ESD: end-systolic diameter; FS: fractional

655 shortening; PWed: posterior wall thickness at end-diastole; PWes: posterior wall

656 thickness at end systole; TVI: time-velocity integral; CO: cardiac output. Asterisks

657 indicate significantly different values.

658

659

660 **Table 3. Electrocardiogram analysis of *Baf60c*^{Myh6KO} mice**

N=6	<i>Baf60c</i>^{fl/+}	<i>Baf60c</i>^{fl/+}; <i>Myh6::Cre</i>	<i>Baf60c</i>^{fl/-}	<i>Baf60c</i>^{fl/-}; <i>Myh6::Cre</i>	P value
Heart rate	558±17	560±14	521±11	453±18*	<i>P</i> =0.036
P duration (ms)	10.2±1.0	7.7±0.7	9.8±0.6	9.2±0.7	NS
PR duration (ms)	36.5±0.8	36.5±0.5	34.7±0.4	30.6±0.5*	<i>P</i> =0.019
QRS duration (ms)	7.8±0.2	8.0±0.3	8.2±0.2	11.0±0.5*	<i>P</i> <0.0001
QT duration (ms)	21.3±0.5	20.0±0.5	20.0±0.6	25.0±0.5*	<i>P</i> =0.031
QT Max Duration (ms)	8.7±0.7	8.00±0.7	8.17±0.2	11.0±0.5*	<i>P</i> =0.005
P height (mV)	0.15±0.02	0.10±0.03	0.13±0.03	0.07±0.01*	<i>P</i> =0.015
QRS height (mV)	1.1±0.2	1.0±0.2	1.8±0.2	2.2±0.1*	<i>P</i> <0.001

661

662 Asterisks indicate significantly different values.

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666 **Figure legends:**

667 **Fig 1.** Construction of *Baf60c* knockout mouse line. A: Schematic representation of
668 targeting DNA introduced into wild type (WT) *Baf60c* locus. Correctly targeted ES cells
669 were identified with probe located outside of the homologous arm. Cre-mediated
670 excision removed exon 1-4 and resulted in *Baf60c*^{+/-}. B: Left: Southern blot of digested
671 ES cell DNA with an external probe outside of the targeting DNA. WT and targeted band
672 size are as described in A. Right: genotype PCR showing the band size difference of
673 WT, *Baf60c*^{-/-} (KO) and heterozygous *Baf60c*^{+/-}. C: Whole-mount in-situ hybridization
674 using full-length *Baf60c* probe detected no signals in genotyped homozygous *Baf60c*^{-/-}
675 embryos (n>3), indicating complete deletion. E: EcoRI; Tg: targeted; fb: forebrain; ht:
676 heart; sm: somites.

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678

679 **Fig 2.** *Baf60c* deletion results in a hypoplastic embryonic heart. A: At E12.5, *Baf60c*^{-/-}
680 embryonic hearts have similar outer dimensions as the WT, but the ventricle chambers
681 are expanded and ventricle walls are thinner as observed by rendered OPT images.
682 B&C: Transverse sections and HE staining of E11.5 (B) and few surviving mixed
683 background E14.5 (C) embryonic hearts. The *Baf60c*^{-/-} hearts show incomplete inter-
684 ventricular septum formation (star), have VSDs (black arrow or arrowhead), thinner
685 ventricle walls (brackets) and disorganized and reduced trabeculation (blue arrowhead)
686 compared to WT strains. D: Ki67 staining detects fewer proliferating cardiomyocytes in
687 E12.5 *Baf60c*^{-/-} heart than in WT. Red: CH1 anti tropomyosin; green: Ki67. CL: compact
688 layer. T: trabeculae.

689

690 **Fig 3.** Deletion of *Baf60c* with *Nkx2-5*^{Cre}. HE staining of transverse sections of E14.5
691 mouse heart shows thinner myocardium, reduced trabeculation, and ventricular
692 septation defects.

693

694 **Fig 4.** Deletion of *Baf60c* in myocardium results in dilated chambers and impaired
695 cardiac function. A: *Baf60c*^{fl/-}; *Myh6*::*Cre* mice all die before 4 months of age. B: *Baf60c*^{fl/-}
696 ; *Myh6*::*Cre* mice have enlarged hearts and dilated chambers, as shown with whole-
697 mount (top panel) and 4-chamber view sections (middle panel). Left panel: P10. Right
698 panel: 8 week hearts. Masson Trichrome staining detects fibrosis in ventricle

699 myocardium (bottom panels, arrowheads). C: *Baf60c*^{Myh6KO} myocardium have high level
700 apoptosis. Green: TUNEL. Blue: DAPI. D: Representative electrocardiogram of adult WT
701 and *Baf60c*^{fl/-}; *Myh6::Cre* mice.

702

703 **Fig.5.** Myofibrillar defects of *Baf60c*^{-/-} cardiomyocytes. A: Cardiomyocyte ultrastructure of
704 WT and *Baf60c*^{-/-} under Transmission electron microscopy (TEM). Z: Z-disk. I: I-band;
705 M: M-line. B: In adult mice, sarcomeres of *Baf60c*^{fl/-}; *Myh6::Cre* cardiomyocytes are
706 shorter. Mouse hearts were not relaxed before sample preparation, but only relaxing
707 sarcomere were measured. C and D: localization of Desmin was disturbed in embryonic
708 and adult hearts in the absence of Baf60c.

709

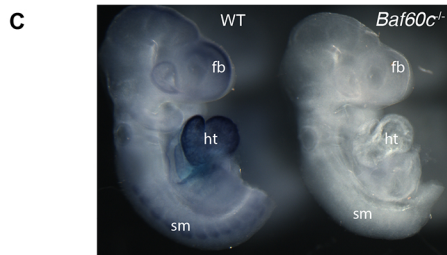
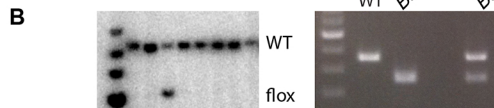
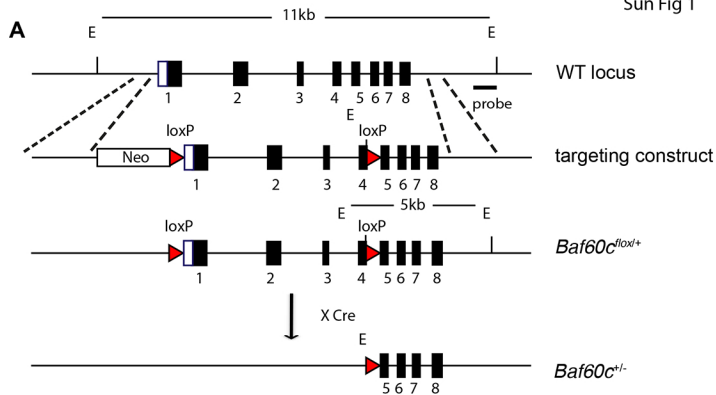
710 **Fig 6:** BAF60c transcriptionally affects cardiac morphogenesis and function. A: Heat
711 map comparing genes affected by *Baf60c* loss in embryonic hearts (E10.5 and E12.5)
712 and postnatal ventricles (postnatal Day (P) 7). Significantly affected (≥ 1.25 fold, $p < 0.05$)
713 genes in at least any one stage were selected and clustered. B: Gene ontology (GO)
714 biological processes enriched in each of these clusters and example genes in that
715 category are shown. C&D: Genes repressed (C) or activated (D) by Baf60c in P7
716 ventricles were analyzed for enrichment of GO biological processes and are plotted. The
717 color of circles represents p-value of enrichment and size represent the size of the GO
718 term. E: Venn diagram showing genes mis-regulated in absence of Baf60c in embryonic
719 heart at E10.5, E12.5 and P7 Ventricle and compared cardiac myocyte (Day 10) stages
720 of in-vitro directed cardiac differentiation.

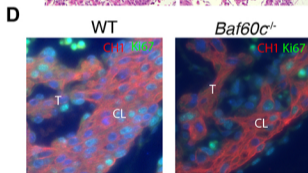
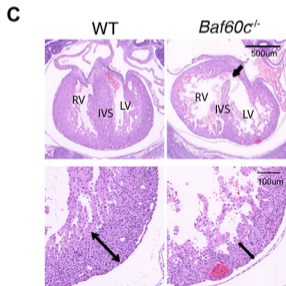
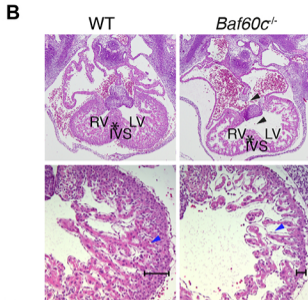
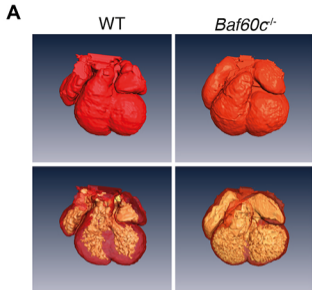
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722 **Fig 7:** Interaction between BAF60c and cardiac transcription factors. A. GST-fused
723 Baf60c associates with ³⁵S labeled, in-vitro synthesized TBX5 and NKX2-5. B. BAF60c
724 associates with TBX5 through its N-terminal domain. Left: schemes representing serial
725 deletion constructs of BAF60c. Right: Mapping the BAF60c associating domain with
726 GST-fused TBX5. C. BAF60c associates with full length Myocardin and serial deletions.
727 Left: Schematic representation of myocardin deletion constructs. The region between
728 Myocardin 328-554 aa was essential for association with Baf60c. D. BAF60c enhances
729 activation of MYOCD and MEF2c on the *Myf1* luciferase reporter.

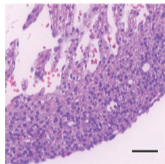
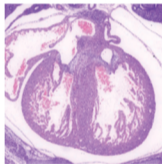
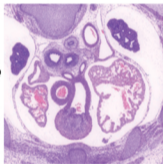
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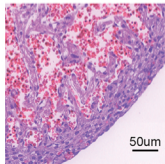
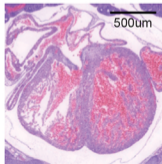
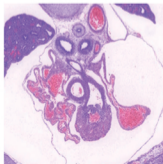


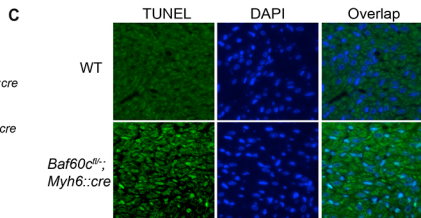
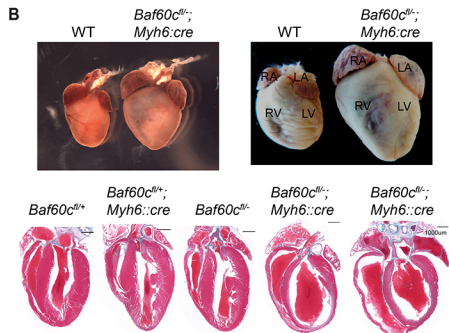
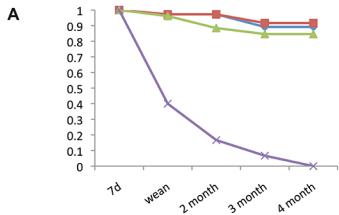


Baf60c^{fl/+};Nkx2-5::Cre

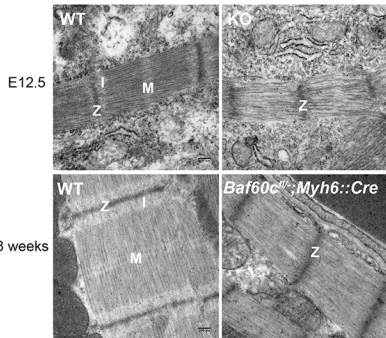


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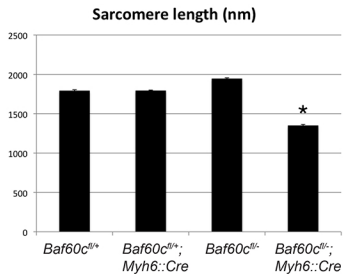




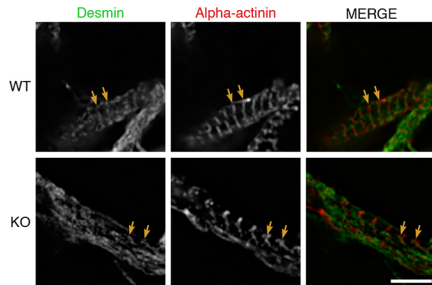
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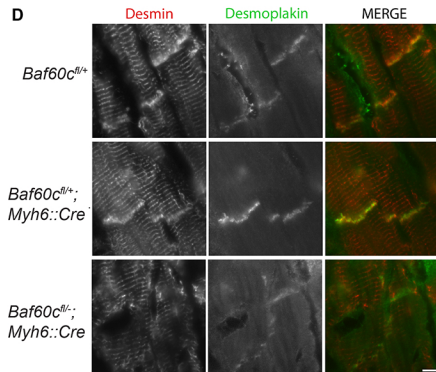
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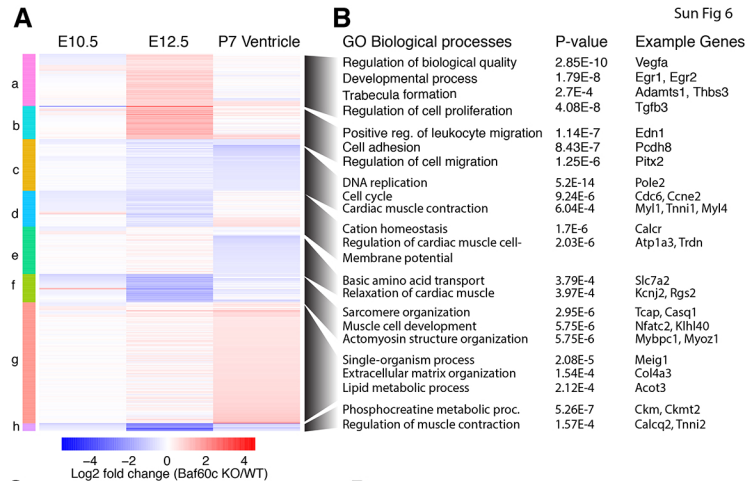


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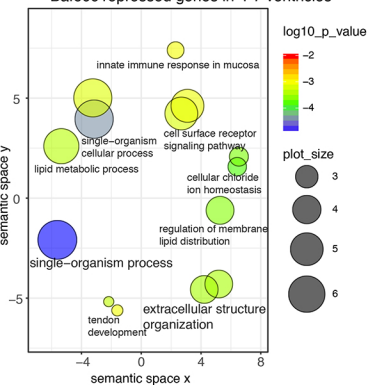


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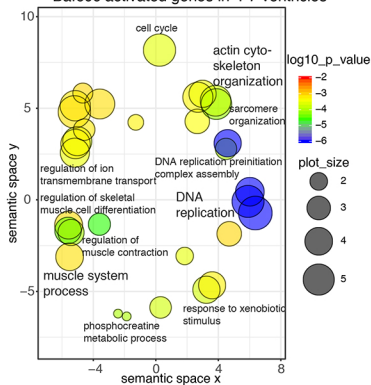




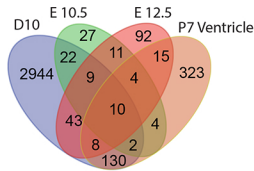
C GO Biological processes enriched in Baf60c repressed genes in P7 ventricles

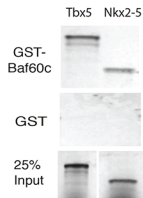
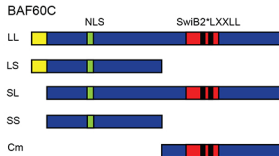


D GO Biological processes enriched in Baf60c activated genes in P7 ventricles

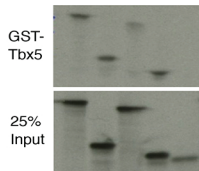
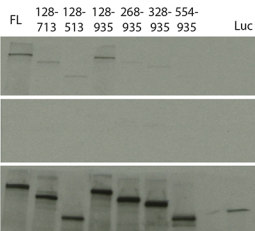
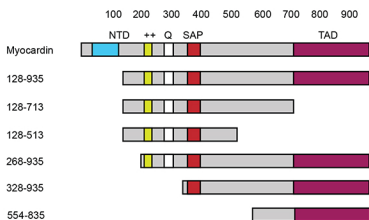


E Venn diagram showing the overlap of genes between D10, E10.5, E12.5, and P7 Ventricle.



A**B**

LL LS SL SS Cm

**C****D**