Heritable variation in bleaching responses and its functional genomic basis in reef-building

corals (*Orbicella faveolata*)

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Abstract

Reef-building corals are highly sensitive to rising ocean temperatures, and substantial

adaptation will be required for animals and the ecosystems they support to persist in changing

ocean conditions. Genetic variation that might support adaptive responses has been measured in

larval stages of some corals, but these estimates remain unavailable for adult corals and the

functional basis of this variation remains unclear. In this study, we focused on the potential for

adaptation in *Orbicella faveolata*, a dominant reef-builder in the Caribbean. We conducted

thermal stress experiments using corals collected from natural populations in Bocas del Toro,

Panama, and used multilocus SNP genotypes to estimate genetic relatedness among samples.

This allowed us to estimate narrow-sense heritability of variation in bleaching responses,

revealing that this variation was highly heritable (h^2=0.91). This estimate suggests substantial

potential for adaptive responses to warming by natural populations of *O. faveolata* in this region.

To investigate the functional basis for this variation, we applied genomic and transcriptomic

approaches enabled by expanding sequence resources for this species. We used a genetic linkage

map we have recently developed to map associations between SNP genotypes and bleaching

responses, and identified four genetic markers associated with bleaching. We also profiled gene

expression in corals with contrasting bleaching phenotypes, uncovering substantial variation in

responses to thermal stress between heat-tolerant and heat-susceptible corals. Integrating these

genomic and transcriptomic data with quantitative genetic analysis provides a new perspective on

the mechanistic basis for thermal tolerance phenotypes and the potential for adaptation to rising

ocean temperatures.

Introduction

Coral reefs are one of the most diverse and complex ecosystems in the world. They provide habitat for hundreds of thousands of invertebrates and fish, protect coastal environments, and support a variety of resources for local communities. Unfortunately, the invaluable ecosystem services they provide are at risk of being lost as coral reefs worldwide continue to decline. Coral reefs are particularly sensitive to increases in sea surface temperature and have undergone worldwide degradation as ocean temperatures have warmed (Brown, 1997; Hoegh-Guldberg & Jones, 1999; Baker *et al.*, 2004; Eakin *et al.*, 2009). Bleaching events, which reflect the breakdown of symbiotic relationships between corals and dinoflagellates (*Symbiodinium spp.*) resulting from environmental stress, have increased in frequency and severity over the past few decades (Hughes, 2003; Donner *et al.*, 2005; Hoegh-Guldberg *et al.*, 2007). In the past three years alone, 30-50% of coral reefs have declined in some areas along the Great Barrier Reef (Hughes *et al.*, 2017). This dramatic decline in such a short period of time demands an increased understanding of the potential for these ecosystems to persist into the future.

In order to persist, corals will need to increase their thermal tolerance to cope with ocean warming. It is already known that coral species have differing thermal capacities due to extrinsic factors such as variation in their environment, as well as intrinsic mechanisms to deal with acute and long-term stress events, such as varying associations in symbiont type (Baker *et al.*, 2004; Van Oppen *et al.*, 2005; Jones *et al.*, 2008) or changes in gene expression in the coral host (Bellantuono *et al.*, 2012a; Kenkel *et al.*, 2013). Importantly, bleaching thresholds for some species have been shown to change over time (Fitt *et al.*, 2001; Grottoli *et al.*, 2014). Models that consider both environmental conditions and these changing thresholds suggest that the fate of corals during the next century may be strongly affected by long-term adaptive changes in

bleaching thresholds (Donner *et al.*, 2005; D'Angelo *et al.*, 2015). Changes in bleaching

thresholds may occur in populations through adaptation (Meyer *et al.*, 2009; Coles & Riegl,

2013; Palumbi *et al.*, 2014), or in individual corals through acclimatization (Jones & Berkelmans,

2010; Oliver & Palumbi, 2011).

Adaptation through genetic change can play a large role in allowing populations to persist

in a changing environment. Genetic variation within a population allows individuals to adapt to

environmental conditions (Falconer & Mackay, 1996; Barrett & Schluter, 2008). Predicting the

adaptive potential of a trait requires an understanding of the proportion of phenotypic variation

resulting from genetic factors (Falconer and Mackay, 1996). However, the relative contributions

of environmental and genetic factors to variation in thermal tolerance of corals remain poorly

understood (Császár *et al.*, 2010). Some studies have provided evidence for corals' adaptive

capacity, demonstrating thermal tolerance differences between local populations (Palumbi *et al.*,

2014; Howells *et al.*, 2016) and considerable heritable variation in thermal tolerance in coral

larvae (Dixon *et al.*, 2015) and algal symbionts (Császár *et al.*, 2010). These examples have

provided an important first demonstration that genetic potential for adaptation exists in natural

populations, but many questions still remain.

Sea surface temperatures are predicted to rise 1-2°C by the end of the century, and

thermally sensitive organisms like reef-building corals will require substantial adaptive

responses. Adaptive responses to selection depend on the change in a population's phenotypic

mean and the narrow-sense heritability (h^2), the proportion of total phenotypic variance that is

due to additive genetic factors (Falconer and Mackay, 1996). Quantitative estimates of this

parameter allow us to estimate the expected evolutionary change in a trait per generation

(Visscher *et al.*, 2008; Morrissey *et al.*, 2012). In order to estimate selection responses in corals

and consider rates of adaptation, we need to quantify heritability in thermal tolerance. Currently,

very few studies provide heritability estimates for coral species and their algal symbionts,

particularly in natural populations (Meyer *et al.*, 2009b; Dixon *et al.*, 2015; Kenkel *et al.*, 2015).

Previous studies have focused on larval stages for important advantages in experimental design,

leaving it unclear whether the high heritabilities estimated in larval responses to elevated

temperatures (Meyer *et al.*, 2009, Dixon *et al.*, 2015) can be generalized to understand responses

to selection on the adult stage. Since the heritability of a trait is specific to a particular population

and environment, it remains unclear whether the estimates of h^2 from Indo-Pacific Acroporids

can be generalized to evaluate adaptive potential in other regions and species.

The Caribbean has seen considerable loss in coral cover over the last thirty years (Hughes

& Tanner, 2000; Gardner, 2003) and questions still remain whether existing populations can

adapt to the changing climate. To understand the potential for adaptation by corals in this region,

we investigated the mechanisms that may enable long-term adaptation by investigating heritable

variation in thermal tolerance and its genomic basis in *Orbicella faveolata*, a dominant reef-

builder in the Caribbean. We focus on the contribution of genetic factors to variation in thermal

tolerance of corals, providing new insights into the potential for corals' thermal tolerance to

respond to selection during ongoing climate change. Thermal stress experiments were conducted

at the Smithsonian Tropical Research Institute in Bocas del Toro, Panama, using coral fragments

collected from natural populations.

Materials and Methods

Sampling and thermal stress experiment

To study natural variation in thermal tolerance of corals, we measured responses to

thermal stress in corals sampled from a natural population. For these experiments we sampled 43

colonies of *Orbicella faveolata* genotypes. We collected coral colonies from seven reef sites

around the Bocas del Toro, Panama archipelago in 2015 (Figure 1a). Large intact colonies were

extracted off the reef and tissue samples were collected and stored in RNAlater for genotyping

(Scientific Permit No. SC/A-28-14). Colonies were maintained at ambient temperature in aquaria

at the Smithsonian Tropical Research Institute (STRI) on Isla Colon, Bocas del Toro. Prior to

thermal experiments, each colony was cut into nine smaller uniform fragments with

approximately 15-20 polyps per fragment. Initial photographs of each individual fragment were

taken before experiments began.

To estimate thermal tolerance we exposed replicate fragments from each colony to a

thermal stress treatment and measured their bleaching responses. Three randomly chosen

fragments from each genotype were maintained at control conditions (ambient seawater

temperature of 29°C) while the remaining six fragments were ramped approximately 0.1°C every

two hours to an elevated temperature treatment of 31°C for two weeks and 32°C for an additional

two weeks. Corals were maintained for 4 weeks in normal and elevated temperatures, with daily

pH and salinity measurements taken. Corals were monitored by daily visual inspection to

evaluate bleaching response using the Coral Watch color scorecard, and the effects of

temperature stress were scored as the number of degree heating weeks (DHW) required to induce

bleaching. The experiment was terminated when approximately half of the fragments were

bleached. Photographs were taken at the end of 4 weeks and tissues were sampled and stored in

RNAlater.

Multilocus SNP genotyping of coral colonies

To estimate genetic relatedness and test for genetic associations with thermal tolerance,

we conducted multilocus SNP genotyping on all coral colonies. To that end, we extracted

genomic DNA from each colony using the Omega bio-tek E.Z.N.A. Tissue DNA Kit (Omega

Bio-tek, Norcross, GA). We used the 2bRAD (Restriction Site-Associated DNA) protocol for

SNP genotyping, a streamlined and cost-effective method for genome-wide SNP genotyping

(Wang *et al.*, 2012). For these libraries we used the reduced tag representation method previously

described (Wang *et al.*, 2012), using selective adaptor with overhangs ending in "NR" to target ¼

of the AlfI sites in the genome. We combined these libraries in equimolar amounts for

sequencing in a single lane of 50 bp SE reads on Illumina HiSeq 3000 at OSU's Center for

Genome Research and Biocomputing (CGRB).

We analyzed the resulting data using a 2bRAD reference our research group has recently

produced and used for a linkage map (Snelling *et al.*, 2017). Since the reference was produced

from larval stages that naturally lack algal symbionts, no special filtering is required to eliminate

any algal reads in these samples from adult tissue. We conducted this analysis as previously

described for de novo analysis of corals (Wang *et al.*, 2012; Howells *et al.*, 2016). Briefly, we

filtered reads prior to analysis to exclude any low quality or uninformative reads, then aligned

reads to the reference and called genotypes based on nucleotide frequencies at each position

(calling loci homozygous if a second allele was present at less than 1%, heterozygous if present at

$> 25\%$, and leaving the genotype undetermined at intermediate frequencies where genotypes

cannot be confidently determined from allele frequencies). Genotypes were called with a

permissive threshold of $\geq 5x$ to call as many loci as possible for this genome wide survey of

associations with bleaching responses. The scripts used for this analysis are available at

(https://github.com/Eli-Meyer/2brad_utilities).

Profiling algal symbionts with amplicon sequencing (ITS2)

164   To control for variation in the algal symbiont communities of each coral, which can

contribute to variation in thermal tolerance of the holobiont (host plus associated algal and

microbial symbionts) (Abrego *et al.*, 2008; Howells *et al.*, 2011), we sequenced the dominant

symbiont type in each colony. We amplified ITS2 using PCR primers previously described for

studies of *Symbiodinium* diversity (LaJeunesse, 2002), and sequenced the resulting amplicons

using Sanger Sequencing. The resulting sequences were compared with multiple known ITS2

sequences from all clades A-H (Hunter *et al.*, 2007). Using our symbiont sequences and these

reference ITS2 sequences, we created an alignment in the program MEGA (Kumar *et al.*, 2017).

A maximum likelihood phylogenetic tree was created with all known and unknown sequences to

determine which clades our coral samples fell into. The dominant symbiont type was assigned for

each sample by comparing the phylogenetic tree of unknown and known samples. While these

data lack resolution to describe quantitative mixtures of algal symbiont types, we interpret these

sequences as the dominant symbiont types in each sample based on the presence of a single

dominant haplotype in sequencing chromatograms. We included this information in quantitative

models of bleaching responses to evaluate the contribution of variation in the dominant symbiont

type to variation in thermal tolerance.

Quantifying bleaching responses

To quantify bleaching in each fragment, we used qPCR to estimate the abundance of algal

symbionts relative to host cells (Cunning *et al.*, 2015). We quantified collected samples after

stress experiments in qPCR reactions. DNA from all six fragments post heat stress was extracted

using an organic phase extraction. All qPCR reactions were run on an Eppendorf Realplex 4

machine using the SYBR and ROX filters. Each reaction consisted of 7.5 μL SensiFAST SYBR

Hi-ROX master mix (Bioline, Taunton, MA), 4.3 µl NFW, 0.6 µl each of forward and reverse 10-

µM primers, and 2 µl of genomic DNA in a final volume of 15 µl. The thermal profile for each

reaction consisted of an initial denaturing step of 95°C for 2 min, followed by 40 cycles of: 95°C

for 5 s, annealing temperature of 60°C for 30 s, and then 72°C for 30 sec. All samples were run

using the same reaction parameters and were analyzed together. In addition, one sample was

included on every plate as an inter-plate calibrator. We quantified host cells using host actin loci

using the forward (5'-CGCTGACAGAATGCAGAAAGAA-3') and reverse (5'-

CACATCTGTTGGAAGGTGGACA-3') primers, as previously described (Cunning *et al.*, 2015).

To quantify Symbiodinium in each sample we used a pair of universal primers developed based

on multiple sequence alignments of the cp23S-rDNA locus from multiple Symbiodinium clades

(https://www.auburn.edu/~santosr/sequencedatasets.htm). We identified regions that were

sufficiently conserved to design primers suitable for qPCR (53-76 and 169-189 in that

alignment). We conducted qPCR with primers (5'- CTACCTGCATGAAACATAGAACG -3'

and 5'- CCCTATAAAGCTTCATAGGG -3') to determine the total amount of symbiont cells

present after experimentation. Host cell quantifications (C_T values) were subtracted from

symbiont cell quantifications to calculate the dC_T value in each fragment, a measure of the ratio

of symbiont cells to host cells. These dC_T values were then compared to a reference control

sample to generate ddC_T values, representing the symbiont density in all samples relative to a

reference sample. We analyzed these qPCR data on relative symbiont density of each fragment to

evaluate the effects of genotype, origin, and symbiont type on bleaching responses.

Estimating heritability of variation in bleaching responses

Estimating the heritability of this variation in bleaching responses requires information on

genetic relationships among subjects, which is initially unknown in samples collected from a

natural population. For our study, we inferred genetic relatedness among samples based on

multilocus SNP genotypes, and then used the genetic relatedness matrix derived from these SNPs

to estimate genetic variance components. For this analysis we used the 'related' package in R

(Tavalire *et al. in prep.*; Queller & Goodnight, 1989; Muir & Frasier, 2015). After developing

this matrix of genetic relatedness among samples, we analyzed variation in bleaching responses

in the context of these relationships to estimate heritability. Using the R package 'regress', we

created a linear mixed model with genotype and symbiont type as random effects and population

source as a fixed effect (Tavalire *et al. in prep.*). To estimate heritability and the associated

standard error, we used the 'h2G' function in the R package 'gap' (Zhao, 2007).

Testing for genetic associations with bleaching responses

To identify genetic markers associated with variation in bleaching responses, we tested

for associations at each SNP locus using linear mixed models including genotype as a random

effect and population source as a fixed effect. To account for errors rising from multiple tests, we

converted controlled false discovery rate at 0.05 using the pFDR procedure (Storey, 2003). The

multilocus SNP genotypes obtained from 2bRAD made it possible to test for associations

between bleaching phenotypes and genotypes at each locus. Combining SNP data and the linkage

map for this species (Snelling *et al.*, 2017), we searched for genomic regions underlying variation

within more thermally tolerant phenotypes. We used the R package rrBLUP to test for

associations between bleaching responses and genotypes at each locus, accounting for genetic

structure in the population using an additive relationship matrix produced from SNP genotypes.

We used the A.mat function to calculate the additive relationship matrix, considering all loci with

no more than 5% missing data, then used the GWAS function in rrBLUP to conduct association

tests, requiring minor allele frequencies > 0.08, and included source population as a fixed

effect. We identified genes linked to significant markers by comparing their relative positions in

the integrated map our group has recently developed by combining the linkage map with the draft

genome assembly (Snelling *et al.*, 2017), focusing on genes within 10 cM of bleaching-associated

markers.

Profiling gene expression in heat-tolerant and susceptible colonies

To evaluate whether genomic regions associated with heat tolerance include genes

differentially expressed between heat-tolerant and susceptible genotypes, we profiled

transcriptional responses in a subset of corals demonstrating contrasting phenotypes (3 heat-

tolerant and 3 heat-susceptible) (Figure 2). RNA was extracted from replicate fragments from

each colony using the Omega Bio-tek E.Z.N.A. Tissue RNA Kit (Omega Bio-tek, Norcross, GA).

RNA was then used to prepare 3' tag-based cDNA libraries for expression profiling (Meyer *et al.*,

2011). Samples were individually barcoded and combined in equal ratios for multiplex

sequencing on two runs, one run on the HiSeq 3000 platform at OSU's CGRB and the second run

on HiSeq 4000 at the University of Oregon's Genomic and Cell Characterization Core Facility.

We first processed the raw sequences to remove non-template regions introduced during library

preparation, and excluded reads with long homopolymer regions (>20bp) and low-quality reads

with a Phred score of <30. All filtering steps were conducted using publicly available Perl scripts

from https://github.com/Eli-Meyer/rnaseq_utilities. We mapped the remaining high quality reads

against the transcriptome for this species (Anderson *et al.*, 2016) using a short-read aligner

software SHRiMP (Rumble *et al.*, 2009), and counted unique reads aligning to each gene to

produce count data for statistical analysis of gene expression in each sample.

257   We tested for differential gene expression using a negative binomial model in the R

package DESeq2 (Love *et al.*, 2014). We tested for changes in gene expression by evaluating

changes in both constitutive and stress-induced expression across samples. We first tested for the

interaction effect between treatment (control versus heat stress treatment) and bleaching response

(susceptible versus tolerant). Next, we tested for each main effect, treatment and bleaching

response. We extracted differentially expressed genes (DEGs) to compare expression across

samples, then searched for patterns of expression across all DEGs for each of the three models

tested, and categorized DEGs based on similarity in expression patterns.

Results

SNP genotyping and genetic analysis of corals

268   To analyze genetic relationships among corals and associations with bleaching responses,

we conducted multilocus SNP genotyping using a sequencing-based approach (2bRAD).

Altogether, we sequenced 150 million high-quality reads, averaging 3.87 million reads per

colony. We mapped these reads to a reference previously developed from aposymbiotic larvae,

ensuring the loci being genotyped are derived from the coral host rather than the algal symbionts.

We genotyped >700 kb at ≥ 5x coverage (Supplementary Table S1), including a large number of

putative polymorphisms. We further filtered genotypes to minimize missing data and genotyping

errors, identifying a set of 5,512 high-quality SNPs that we used for all subsequent analyses.

Symbiodinium *communities in host colonies and bleaching responses*

Sanger sequencing of PCR products produced clean sequences, suggesting that a single

type was dominant in each colony. To identify the dominant symbiont type in each sample we

constructed a maximum likelihood tree using our sequences and multiple representatives from

Symbiodinium clades A-H (Hunter *et al.*, 2007). According to our maximum likelihood

phylogenetic tree, clades A-D were present across all colonies as a dominant type (Figure 1b), but

symbiont type varied across sites. It is likely that there are mixed communities in these samples,

but we judged the information on the dominant type to be sufficient to control for confounding

effects of symbiont type.

After 4 weeks in thermal stress at 31°C and 32°C, we saw considerable variation in

bleaching among stressed fragments, while symbiont density changed very little across control

samples. While there was variation between colonies, there was little to no variation in bleaching

among fragments from the same colony (Figure 2). We quantified symbiont densities in each

fragment using qPCR, and compared stress and control phenotypes to determine the average

reduction in symbiont abundance in each colony. We estimated the bleaching response of each

colony as the difference between average ddC_T for stressed and control fragments. Colonies

showed substantial variation in both their initial symbiont densities and their bleaching responses,

based on both visual examination of the fragments and qPCR analysis of relative symbiont

abundance (Figure 2 and 3). Most colonies bleached in response to thermal stress, but the extent

of these bleaching responses varied considerably (Figure 3).

Heritable variation in thermal tolerance in a natural population

To investigate heritable variation in thermal tolerance, we combined SNP data with

bleaching responses measured by qPCR. We conducted a mixed model analysis to determine

which factors to include in our heritability and association models. While genotype and

population source had significant effects on thermal tolerance ($p=0.0002$ and $p=0.025$,

respectively), symbiont type had no effect ($p=0.84$). To be conservative, we included all factors

in our REML mixed model to partition variation in thermal tolerance into genetic and non-

genetic variance components. We estimated genetic relatedness among samples based on

multilocus SNP genotypes, and then partitioned variance into genetic and non-genetic variance

components in an animal model based on this genetic relatedness matrix. This analysis revealed

that variation in bleaching responses was highly heritable, with a narrow-sense heritability (h^2) of

0.91. Taken alone, this estimate suggests substantial potential for adaptive responses to ocean

warming in this population (but see Discussion for additional considerations).

Genomic basis for variation in thermal tolerance

To understand the genomic basis for variation in thermal tolerance, we used our SNP

genotypes to test for associations between bleaching responses and coral genotypes. For this

analysis, we conducted a series of linear mixed models testing for the effect of genotype at each

locus while accounting for population structure. To visualize regions of the genome showing

strong association with thermal tolerance, we mapped the results from statistical tests onto the

integrated map, plotting $-\log_{10}$(p-value) for each marker by linkage group and position (Figure

4). After multiple test corrections, we found four markers significantly associated with bleaching

(FDR ≤ 0.05).

To identify the genomic positions of these SNPs were located and the genes linked to

each marker, we used the integrated map (Snelling *et al.*, 2017) to search for genes closely linked

(≤10 cM) with each marker. Our SNPs fell onto linkage groups 3 (two SNPs), 5, and 16. We used

the integrated map to identify genes linked to each of these markers (within 10 cM). All genes

identified in this analysis are shown in Supplementary Table S2. We found several groups of

genes previously implicated in stress responses of corals or other Cnidarians. These included

mucins, ubiquitin protein ligases, and cellular tumor antigen p53, a gene associated with

apoptosis, on LG 3. Genes linked to the bleaching-associated LG 5 included carbonic anhydrase,

an important protein for carbonate transport in symbiotic cnidarians; glutathione peroxidase, a

gene involved in oxidative stress response; and sphingosine 1-phosphate receptor associated with

innate immunity. Genes linked to the marker on LG 16 included catalase, genes associated with

apoptosis (protein NLRC3 and ADP-ribosyl cyclase), a potassium voltage-gated channel protein;

the chaperone DnaJ homolog involved in preventing inappropriate unfolding of proteins; two

peptidyl-prolyl cis-trans isomerases involved in protein folding; and thioredoxin, a redox

regulation protein.

We also found several groups of genes that were not expected based on prior studies but

were repeatedly observed across multiple markers and linkage groups in our study, suggesting a

possible functional role for these genes in bleaching responses. These included 5-

hydroxytryptamine (serotonin) receptors (9 genes altogether, linked to markers on LG 3, 5, and

16. Similarly, we repeatedly found that galanin receptors were linked to bleaching associated

markers (27 galanin receptor genes linked to bleaching-associated markers on LG 3 and 16).

Galanins are neuropeptides classically associated with activities in the brain and peripheral

nervous system, that have recently been shown to play diverse roles in innate immunity,

inflammation, and energy metabolism (Lang *et al.*, 2014). We also found multiple transient

receptor potential channels (10 genes linked to bleaching-associated markers on LG 3 and 16),

and collagen proteins (15 collagen genes linked to bleaching-associated markers on LG 3, 5, and

16). The possible functions of these genes in coral stress responses is not clear, but the repeated

observation that these genes are linked to bleaching-associated markers on multiple scaffolds and

linkage groups suggests that variation in these genes contributes to variation in bleaching

responses.

Differences in transcriptional responses of tolerant and susceptible phenotypes

To further investigate the mechanisms of thermal tolerance, we profiled gene expression

in contrasting phenotypes. For this dataset, we chose three heat-tolerant colonies and three

susceptible colonies (Figure 2). Using a tag-based RNASeq approach (Meyer *et al.*, 2011), we

prepared sequencing libraries for all 36 fragments (six colonies with six fragments, three control

and three heat-stress fragments). We sequenced these libraries twice (on HiSeq3000 and HiSeq

4000), because of unexpectedly low yields in these sequencing runs, then combined all reads for

analysis. In total, 56.7 million raw reads were produced, approximately 1.58 million reads per

sample. The majority of these passed quality and adaptor filtering (95.6%) leaving 54.2 million

HQ reads for expression analysis.

Using a negative binomial model, we tested for changes in gene expression, evaluating

differences in both constitutive and stress-induced expression. Our model tested for the effect of

type (susceptible or tolerant, based on observed bleaching responses), the effect of treatment,

(control or heat treatment), and the interaction effect between type and treatment. We found

1,097, 62, and 210 differentially expressed genes (DEGs) when testing for main effects of type

and treatment, and their interaction, respectively. The effects of type on gene expression can be

visualized in a heatmap of expression for these DEGs (Figure 5), where heat tolerant colonies

(red bars in figure 5) generally express these genes at higher levels than heat susceptible colonies

(light blue bars in figure 5) regardless of treatment. A complete list of differentially expressed

genes in each category is provided in Supplementary Table S3.

A substantial number of genes showed significant type × treatment effects, where the

effects of treatment on expression differed between tolerant and susceptible corals. To categorize

gene expression patterns in these interactions we conducted hierarchical clustering of expression

patterns, subdividing the tree into clusters of correlated genes using the *cutree* function in R. This

analysis revealed two dominant patterns. In the first pattern, genes were expressed at overall

higher levels in heat-tolerant corals and were downregulated during thermal stress, and expressed

at lower levels overall in heat-susceptible corals but upregulated during thermal stress. We found

159 genes in this category (Figure 6a). The second pattern was the opposite: genes that were

expressed at higher levels overall and upregulated during thermal stress in heat-tolerant corals,

and down-regulated in susceptible corals (Figure 6b). The remaining 33 genes formed a third

weak cluster with similar patterns as 6b but with more variation across genes (not shown).

Finally, we compared differentially expressed genes and those genes within the gene

neighborhoods on our four linkage groups. These included many genes classically associated

with thermal stress responses, and included substantial overlap with the genes identified in the

association study (above). Genes differentially expressed as a function of type, treatment or their

interaction all contained ubiquitin protein ligases. In addition, when examining differentially

expressed genes in the type effect, we found multiple collagen genes, mucins, as well as DnaJ

proteins, glutathione peroxidase, and peptidyl-prolyl cis-trans isomerases, genes known to have a

potential role in response to heat stress. Building on the findings from our association study, this

analysis of gene expression provides an independent line of evidence associating these genes with

genetically determined variation in corals' thermal tolerance.

Discussion

Our study provides some of the first quantitative estimates for heritability of variation in

bleaching responses of corals. This builds upon larval studies (Meyer *et al.*, 2009a, 2011; Dixon

et al., 2015) that have demonstrated substantial heritability in responses to elevated temperatures,

but left uncertainty in whether these findings extended to adult corals with intracellular algal

symbionts and the energetic demands of calcification. Our findings confirm that some coral

populations harbor similar genetic variation in thermal tolerance traits of adult coral colonies.

These parameters have been studied in Indo-Pacific Acroporids, but to our knowledge no

quantitative estimates for heritability of thermal tolerance were previously available for corals in

the Robust clade (Fukami *et al.*, 2008; Meyer *et al.*, 2009a, 2011; Kitahara *et al.*, 2010; Baums *et*

al., 2013; Dixon *et al.*, 2015) or any other Caribbean corals. This is an important consideration

because heritability of a trait is specific to the population and environment under study,

suggesting caution in generalizing results from Indo-Pacific larval studies of Acroporids to

evaluate potential adaptive responses in the deeply diverged groups of corals that dominate

Caribbean reefs (Meyer *et al.*, 2009a, 2011; Baums *et al.*, 2013; Dixon *et al.*, 2015; Kenkel *et al.*,

2015; Lohr & Patterson, 2017).

To investigate the functional basis for this variation in bleaching responses, we conducted

genomic and transcriptomic studies comparing allele frequencies and transcriptional stress

responses in these corals. We found genetic markers significantly associated with thermal

tolerance, and used the integrated genomic resource developed from a genetic linkage map and a

genome sequence assembly to identify of some of the genes linked to these markers. We found

that transcriptional responses of heat-tolerant corals to thermal stress are markedly different from

those of heat-susceptible colonies. We identified over 200 genes differentially expressed as a

function of type × treatment interactions, which were generally expressed at higher levels in

tolerant corals and regulated in opposite directions by tolerant and susceptible corals in response

to thermal stress.

This study builds on growing evidence that coral populations harbor genetic variation that

may support adaptation to ocean warming. These questions are especially pressing for Caribbean

corals, where reefs have declined severely over the last few decades (Hughes & Tanner, 2000).

Since genetic variation supporting heritable variation in traits under selection is species- and

population-specific, measuring these parameters in Caribbean populations is vital for

understanding the future of these ecosystems. Our study documents considerable genetic

variation in thermal tolerance for a population of the mountainous star coral, *Orbicella faveolata*,

an important reef-builder throughout the Caribbean.

Our data suggests the genetic potential for substantial adaptive responses to selection for

thermal tolerance in this population. Responses to selection can be modeled with the univariate

breeder's equation to estimate the expected rate of adaptation within a single generation

(Falconer and Mackay, 1996). These predictions require empirical estimates for the narrow-sense

heritability of the trait under selection, the proportion of phenotypic variation attributable to

additive genetic variation (Falconer and Mackay, 1996). While it has been clear for some time

that corals possess substantial variation in thermal tolerance, in part resulting from

acclimatization or association with different algal symbionts (Fitt *et al.*, 2001; Howells *et al.*,

2011; Oliver & Palumbi, 2011; Silverstein *et al.*, 2012), the variation attributable to genetic

factors in the coral host has remained understudied. This genetic variation will determine the

adaptive responses of corals in the immediate future, since rapid adaptation relies on standing

genetic variation in natural populations (Barrett & Schluter, 2008). Our study contributes novel

information on this potential for adaptation to ocean warming, confirming that heritability of

bleaching responses in adult corals can be comparable to the high heritability of thermal tolerance

observed in some previous larval studies (Dixon *et al.*, 2015).

Importantly, these estimates of h^2 express genetic potential for adaptation, and other

factors may constrain the adaptive responses that are actually realized in nature. The breeder's

equation expresses the rate of adaptive change within a single generation, requiring that we

account for generation times to convert these estimates into units of adaptive change per decade

or century. Massive corals like *Orbicella* are slow-growing and while direct estimates of

generation time are unavailable for *O. faveolata* itself, comparisons with similar slow-growing

massive corals suggests that these corals probably begin reproduction at ~ 5 years old and reach

peak reproductive output around 10-15 years (Babcock, 1991). These life-history considerations

impose inherent constraints on the rates of adaptation in this species, since even "rapid" adaptive

changes occurring in a single generation would take 5-15 years to affect populations of adult

corals. Additionally, correlations among traits can alter responses to selection relative to

univariate predictions (Lande & Arnold, 1983; Houle, 1991; Falconer & Mackay, 1996; Lynch &

Walsh, 1998). In these cases, selection for one trait affects the distribution of not only that trait,

but also indirectly affects the distributions of correlated traits (Falconer & Mackay, 1996; Lynch

& Walsh, 1998). Negative correlations among fitness related traits may constrain adaptive

responses to selection (Etterson & Shaw, 2001), while positive correlations may facilitate

adaptive responses (Agrawal & Stinchcombe, 2009). These correlations can change in different

environments (Messina & Fry, 2003; Sgrò & Hoffmann, 2004), so describing these effects is also

required for understanding responses to selection. Future studies should investigate the possibility

that trait correlations may constrain adaptive responses in corals, preventing these populations

from achieving the rapid adaptive responses that h^2 estimates suggest are possible.

The development of sequencing-based approaches for multilocus SNP genotyping has

made genomewide association studies (GWAS) a widely used tool for identifying markers

associated with traits of interest (Schlötterer *et al.*, 2015). These approaches map statistical

associations between genetic markers and traits onto a genomic reference to identify regions of

the genome underlying variation in the trait. Such an analysis obviously requires a genomic

resource for mapping, and this requirement has limited the application of these approaches in

many non-model systems. Despite limitations in the genomic resources available for *O.*

faveolata, we used an integrated resource our group has recently established (Snelling *et al.*,

2017) to map statistical associations with bleaching responses onto the *O. faveolata* genome. It is

important to acknowledge that our study was underpowered with only 43 genotypes (logistical

constraints prevented us from further sampling, in this case). Despite the low power of our

sampling design, we succeeded in identifying genetic markers associated with variation in

bleaching responses. These likely represent the loci with the largest effects on thermal tolerance

in these samples, with additional loci of smaller effects remaining undetected.

The markers we identified are linked to biologically interesting genes that could

contribute to host thermal tolerance. For example, we found gene functions involved in oxidative

stress, neural response, ubiquitination, protein folding regulation, and apoptosis. Glutathione

peroxidase functions as an antioxidant enzyme in response to reactive oxygen species and has

been shown to increase during thermal stress (Downs *et al.*, 2002; Anithajothi *et al.*, 2014).

Linkage with this gene could indicate an important role for oxidative stress response to ROS

production during stress (Downs *et al.*, 2002; Ros *et al.*, 2016). Voltage-gated proteins have also

been characterized in *Nematostella vectensis* and have shown the importance of these proteins for

maintaining cellular homeostasis, regulation of movement, and feeding. Cnidarians have an

extensive set of potassium voltage-gated channels and the functional diversity is profound, but

their true cellular role is still unknown (Jegla *et al.*, 2012). The process of ubiquitination labels

proteins for degradation and expression of ubiquitin protein ligases may play an important role in

increased tolerance to heat-stress (Finley *et al.*, 1987; Pickart, 2001; Welchman *et al.*, 2005;

Shahsavarani *et al.*, 2012). For corals, these genes are highly correlated with increased thermal

tolerance and are typically up-regulated in heat-stress corals with more damaged proteins

(DeSalvo *et al.*, 2008; Barshis *et al.*, 2010; Lundgren *et al.*, 2013; Bay & Palumbi, 2015).

Some of the most interesting genes found are those involved in protein folding. The DnaJ

chaperone plays an important role in the unfolded protein response (UPR) and is typically seen

up regulated in response to elevated temperatures ($\geq 32°C$) (Maor-Landaw & Levy, 2016; Ruiz-

Jones & Palumbi, 2017). This protein is also a co-chaperone of Hsp70, making it an important

marker for thermal stress in corals (Cyr *et al.*, 1994; Walter & Ron, 2011). Peptidyl-prolyl cis-

trans isomerase is also involved in proper folding and assembly of new proteins and has been

expressed in response to heat shock (Galat, 1993; Sykes *et al.*, 1993). Studies have shown this

gene to be highly expressed in symbiotic anemones and suppressing its activity leads to the

production of reactive oxygen species (Kuo *et al.*, 2004; Perez & Weis, 2008). The close

proximity to our SNPs suggests a possible role for these genes in determining variation in thermal

tolerance among colonies of *O. faveolata*.

We also found genes repeatedly observed across multiple markers and linkage groups, but

their function and relationship to thermal tolerance in corals is unknown. These genes included

galanin receptors, 5-hydroxytryptamine (serotonin) receptors, transient receptor potential

channels, and collagen proteins. Galanin receptors are known to modulate neural responses and

have been shown to play an important role in responses to stress, such as pain, emotional stimuli,

and disease (Mitsukawa *et al.*, 2009; Lang *et al.*, 2014; Sciolino *et al.*, 2015). While the role of

galanin in invertebrates has not been studied, galanin receptor proteins may have evolved from

homologous genes found in invertebrates (Liu *et al.*, 2010). In cnidarians, 5-hydroxytryptamine

(serotonin) receptors may serve as a neural signaling molecule and radiolabeling studies have

localized the distribution of these proteins around nerve tissues within host cells (Hajj-Ali &

Anctil, 1997; Dergham & Anctil, 1998; Westfall *et al.*, 2000). Transient receptor potential (TRP)

channels function as ion channels embedded within cell membranes and play a major role in

sensory perception that allow organisms to sense their external environment (Peng *et al.*, 2015;

Malafoglia *et al.*, 2016). In fact, some TRPs have been lost in Bilaterians but conserved in

Cnidarians, which may be a function of their environment (Peng *et al.*, 2015). In vertebrates, TRP

channels are induced by the expression of heat shock proteins as well as nitro-oxidative species

and superoxide dismutase (Vriens *et al.*, 2011; Leonelli *et al.*, 2013). A similar study in *Hydra*

pinpointed a particular TRP channel, TRPM3 that may play a role in thermosensation and

oxidative stress responses in cnidarians (Malafoglia *et al.*, 2016). Collagen is a component of the

extracellular matrix and may be related to wound healing and regeneration in cnidarians (Reitzel

et al., 2010; Stewart *et al.*, 2017). Despite these genes having an unknown role in thermal

tolerance, their continued expression and linkage to significant SNPs suggests they contribute to

variation in corals' thermal tolerance.

In addition to genetic analysis, high-throughput sequencing has also enabled widespread

application of RNA-Seq approaches to profile gene expression (Wang *et al.*, 2009). These

methods have been widely adopted to study transcriptional responses to thermal stress in corals

(DeSalvo *et al.*, 2008; Voolstra *et al.*, 2009; Leggat *et al.*, 2011; Meyer *et al.*, 2011; Oliver &

Palumbi, 2011; Bellantuono *et al.*, 2012a, 2012b; Barshis *et al.*, 2013; Kenkel *et al.*, 2013;

Palumbi *et al.*, 2014). One finding that has emerged consistently from these studies is the

observation that corals vary widely in their transcriptional and phenotypic responses to thermal

stress (Hunter, 1993; Ayre *et al.*, 1997; Marshall & Baird, 2000; Baums *et al.*, 2013). Many

studies have demonstrated variation in gene expression among coral phenotypes, both in natural

populations and in controlled studies (López-Maury *et al.*, 2008; DeSalvo *et al.*, 2010; Meyer *et

al.*, 2011; Granados-Cifuentes *et al.*, 2013).

Here, we built upon these studies by quantifying variation in transcriptional responses to

thermal stress in the context of known genetic relationships and thermal tolerance phenotypes.

We found that heat-tolerant and -susceptible corals differed substantially in their responses to

thermal stress. Focusing on the genes differentially expressed as a function of the type ×

treatment interaction, we identified a cluster of genes that were constitutively expressed at higher

levels in heat-tolerant corals than their susceptible counterparts, and were down-regulated during

thermal stress whereas susceptible corals up-regulated the same genes (Fig 6a). These included

genes associated with protein metabolism (eight ribosomal protein genes, E3 ubiquitin protein

ligase, and ubiquitin-conjugating enzyme E2), regulation of apoptosis (cathepsin-L and AP-1),

and genes associated with calcium-binding (calcium-binding protein CML19, calretinin,

neurocalcin, and a voltage-dependent L-type calcium channel). Differentially expressed genes

that overlapped with our association study included collagen genes, mucins, DnaJ proteins,

glutathione peroxidase, and peptidyl-prolyl cis-trans isomerases. Inferring functional

consequences from gene expression profiles is always uncertain, but these patterns suggest that

thermal tolerance phenotypes in corals may be achieved in part by down-regulating energetically

expensive processes such as protein synthesis, and in part by altering expression of the regulatory

machinery controlling apoptosis. We also identified a cluster of genes showing the opposite

pattern (up-regulated by heat-tolerant corals during thermal stress), which included a fluorescent

protein. These proteins are commonly reported in studies of Cnidarian stress responses (Smith-

Keune & Dove, 2008; Rodriguez-Lanetty *et al.*, 2009; Roth & Deheyn, 2013), and our findings

suggest that differential regulation of these genes may play a role in variation among corals'

thermal tolerances.

Overall, our study provides a novel perspective on the potential for corals to adapt to

ocean warming by estimating heritability of variation in thermal tolerance for a Caribbean reef-

builder. We found that corals sampled from a natural population in Panama varied widely in their

bleaching responses during an experimental thermal stress treatment. We used multilocus SNP

genotyping to infer genetic relatedness among corals and estimate narrow-sense heritability (h^2)

for variation in bleaching responses, revealing that variation in this trait is primarily attributable

to additive genetic variation. This suggests substantial genetic potential for adaptation to ocean

warming in this population, although the complexities of multivariate selection suggest caution in

predicting responses to selection from a single trait. We used the same SNP genotypes to test for

associations between bleaching responses and genotypes at each marker, identifying genetic

markers for bleaching responses that can be directly applied in restoration and conservation

efforts to identify heat-tolerant corals. We used expression profiling to demonstrate that heat-

tolerant corals respond to thermal stress differently than susceptible corals, and functional

analysis of the differentially expressed genes suggests differential regulation of protein

metabolism and apoptosis in heat-tolerant corals. Our findings provide crucial data for models

aiming to predict corals' adaptation to ocean warming, and identify genetic markers for thermal

tolerance that may be useful for restoration efforts as conservation biologists work to reverse the

global degradation of coral populations resulting from changing ocean conditions.

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857 **Supplementary Information**
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859 Supplementary Table S1. Excel spreadsheet. Summary of sequencing yields, processing, and
860 mapping efficiencies for RNASeq and 2bRAD sequencing libraries.
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862 Supplementary Table S2. Excel spreadsheet. Complete list of genes linked to SNP markers
863 significantly associated with variation in bleaching responses (within 10 cM of the markers).
864
865 Supplementary Table S3. Excel spreadsheet. Complete list of genes differentially expressed as a
866 function of temperature, thermal tolerance type, or their interactions.
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Data Accessibility

Reference numbers for data in public repositories: sequence data archived at NCBI's Sequence.

Read Archive under accession SUB3108676.

Scripts used for analysis can be found at https://github.com/Eli-Meyer.

Authors' Contributions

KD and EM conceived the study and conducted analyses. KD collected samples,

conducted thermal stress experiments, prepared sequencing libraries, and wrote the manuscript.

HE contributed to sample collection and fieldwork assistance. All authors have read and

approved the manuscript.

Figures and Tables

Figure 1. Map of Collection Sites around Bocas del Toro Archipelago, Panama. (a) Map of the
seven locations where coral genotypes were collected around the archipelago. (b) Proportion of
dominant symbiont types found at each site across colonies collected.

Figure 2. An example of the striking contrast between bleaching phenotypes of heat-susceptible
and -tolerant corals sampled for this study. Each panel of six images represents fragments from a
single colony, with control fragments indicated with "-C" (bottom of each panel) and heat-
stressed fragments indicated with "-S" (top of each panel). Bleaching responses varied widely
among colonies, but very little among fragments prepared from each colony.

Figure 3. Quantification of algal symbiont densities using qPCR reveals variation in bleaching phenotypes. Control (brown) and stress (white) bars represent relative symbiont abundance after four weeks in experimental conditions. Bars are paired for each colony and colonies are ordered by their relative change in symbiont abundance (bleaching response) between control and stress treatments.

Figure 4. Mapping statistical associations between SNP genotypes and bleaching responses onto the linkage map identifies genomic regions associated with thermal tolerance in *O. faveolata*. Genetic markers are mapped against the linkage groups, indicated by alternating colors. Four markers, on linkage groups 3, 5 and 16, were significantly associated (gray lines) with variation in bleaching responses during thermal stress (FDR<0.05).

Figure 5. Heatmap showing relative expression of genes that were differentially expressed
between heat-tolerant and heat-susceptible corals. The 1,097 DEG in this category are shown
here, with samples and genes grouped with hierarchical clustering based on similarity in gene
expression patterns. In the heatmap, blue indicates low expression, black moderate expression,
and yellow indicates high expression. Colored bars indicate the type and treatment of each
sample included in this analysis; red type refers to tolerant phenotypes, light blue refers to
susceptible phenotypes, yellow bars are control samples, and dark blue bars are samples in heat
stress treatment.

Figure 6. Genotype × temperature effects on gene expression fall into two general categories. a)
159 genes were downregulated in heat-tolerant corals (red) and upregulated in heat-susceptible
corals (blue). b) 18 genes showed contrasting expression changes, but in the opposite directions:
up-regulated in heat-tolerant corals and down-regulated in heat-susceptible corals. The remaining
33 genes showed similar patterns to (b) but were less consistent across genes, forming a third
cluster (not shown).
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