Heritable variation in bleaching responses and its functional genomic basis in reef-building

corals (*Orbicella faveolata*)

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Abstract

Reef-building corals are highly sensitive to rising ocean temperatures, and substantial

adaptation will be required for corals and the ecosystems they support to persist in changing

ocean conditions. Genetic variation that might support adaptive responses has been measured in

larval stages of some corals, but these estimates remain unavailable for adult corals and the

functional basis of this variation remains unclear. In this study, we focused on the potential for

adaptation in *Orbicella faveolata*, a dominant reef-builder in the Caribbean. We conducted

thermal stress experiments using corals collected from natural populations in Bocas del Toro,

Panama, and used multilocus SNP genotypes to estimate genetic relatedness among samples.

This allowed us to estimate narrow-sense heritability of variation in bleaching responses,

revealing that variation in these responses was highly heritable (h^2=0.58). This suggests

substantial potential for adaptive responses to warming by natural populations of *O. faveolata* in

this region. We further investigated the functional basis for this variation using genomic and

transcriptomic approaches. We used a publicly available genetic linkage map and genome

assembly to map markers associated with bleaching responses, identifying twelve markers

associated with variation in bleaching responses. We also profiled gene expression in corals with

contrasting bleaching phenotypes, uncovering substantial differences in transcriptional stress

responses between heat-tolerant and heat-susceptible corals. Together, our findings contribute to

the growing body of evidence that natural populations of corals possess genetic variation in

thermal stress responses that may potentially support adaptive responses to rising ocean

temperatures.

Introduction

Coral reefs are one of the most diverse and complex ecosystems in the world. They provide habitat for hundreds of thousands of invertebrates and fish, protect coastal environments, and support a variety of resources for local communities. Unfortunately, the invaluable ecosystem services they provide are at risk of being lost as coral reefs worldwide continue to decline. Coral reefs are particularly sensitive to increases in sea surface temperature and have undergone worldwide degradation as the oceans have warmed (Brown, 1997; Hoegh-Guldberg & Jones, 1999; Baker *et al.*, 2004; Eakin *et al.*, 2009). Bleaching events, which reflect the breakdown of symbiotic relationships between corals and dinoflagellates (*Symbiodiniaceae,* formerly *Symbiodinium spp.* (LaJeunesse *et al.*, 2018)) resulting from environmental stress, have increased in frequency and severity over the past few decades (Hughes, 2003; Donner *et al.*, 2005; Hoegh-Guldberg *et al.*, 2007). In the past three years alone, 30-50% of coral reefs have declined in some areas along the Great Barrier Reef (Hughes *et al.*, 2017). This dramatic decline in such a short period of time demands an increased understanding of the potential for these ecosystems to persist into the future.

In order to persist, corals will need to increase their thermal tolerance to cope with ocean warming. It is already known that coral species have differing thermal capacities due to extrinsic factors such as variation in their environment, as well as intrinsic mechanisms to deal with acute and long-term stress events, such as varying associations in symbiont type (Baker *et al.*, 2004; Van Oppen *et al.*, 2005; Jones *et al.*, 2008) or changes in gene expression in the coral host (Bellantuono *et al.*, 2012a; Kenkel *et al.*, 2013). Importantly, bleaching thresholds for some species have been shown to change over time (Fitt *et al.*, 2001; Grottoli *et al.*, 2014). Models that consider both environmental conditions and these changing thresholds suggest that the fate of

corals during the next century may be strongly affected by long-term adaptive changes in

bleaching thresholds (Donner *et al.*, 2005; D'Angelo *et al.*, 2015). Changes in bleaching

thresholds may occur in populations through adaptation (Meyer *et al.*, 2009; Coles & Riegl,

2013; Palumbi *et al.*, 2014), or in individual corals through acclimatization (Jones & Berkelmans,

2010; Oliver & Palumbi, 2011).

Adaptation through genetic change can play a large role in allowing populations to persist

in a changing environment. Genetic variation within populations in fitness-related traits,

including resistance to environmental stress, supports adaptive responses to selection (Falconer &

Mackay, 1996; Barrett & Schluter, 2008). Predicting the adaptive potential of a trait requires an

understanding of the proportion of phenotypic variation resulting from genetic factors (Falconer

and Mackay, 1996). However, the relative contributions of environmental and genetic factors to

variation in thermal tolerance of corals remain poorly understood (Császár *et al.*, 2010). Some

studies have provided evidence for corals' adaptive capacity, demonstrating thermal tolerance

differences between local populations (Palumbi *et al.*, 2014; Howells *et al.*, 2016) and

considerable heritable variation in thermal tolerance in coral larvae (Dixon *et al.*, 2015) and algal

symbionts (Császár *et al.*, 2010). These examples have provided an important first demonstration

that genetic potential for adaptation exists in natural populations, but many questions still remain.

Global sea surface temperatures are predicted to rise 1-2°C by the end of the century, and

thermally sensitive organisms like reef-building corals will require substantial adaptive

responses. Adaptive responses to selection depend on the change in a population's phenotypic

mean and the narrow-sense heritability (h^2), the proportion of total phenotypic variance that is

due to additive genetic factors (Falconer and Mackay, 1996). Quantitative estimates of this

parameter allow us to estimate the expected evolutionary change in a trait per generation

(Visscher *et al.*, 2008; Morrissey *et al.*, 2012). In order to estimate selection responses in corals

and consider rates of adaptation, we need to quantify heritability in thermal tolerance. Currently,

very few studies provide heritability estimates for coral species and their algal symbionts,

particularly in natural populations (Meyer *et al.*, 2009b; Dixon *et al.*, 2015; Kenkel *et al.*, 2015).

Previous studies have focused on larval stages for important advantages in experimental design,

leaving it unclear whether the high heritabilities estimated in larval responses to elevated

temperatures (Meyer *et al.*, 2009, Dixon *et al.*, 2015) can be generalized to understand responses

to selection on the adult stage. Further, since the heritability of a trait is specific to a particular

population and environment in which it is measured, it remains unclear whether previous

estimates of h^2 from Indo-Pacific Acroporids can be generalized to evaluate adaptive potential in

other regions and species.

104   The Caribbean has seen dramatic reductions in coral cover over the last thirty years

(Hughes & Tanner, 2000; Gardner, 2003) and the potential for existing populations to recover or

adapt to changing ocean conditions remains unknown. To understand the potential for adaptation

by corals in this region, we investigated the mechanisms that may enable long-term adaptation by

investigating heritable variation in thermal tolerance and its genomic basis in *Orbicella faveolata*,

a dominant reef-builder in the Caribbean. Our studies aim to quantify the contribution of genetic

factors to variation in thermal tolerance of corals, and identify genetic markers and genes

associated with this variation. Together our findings providing new insights into the potential for

adaptive changes in corals' thermal tolerance during ongoing climate change.

Materials and Methods

Sampling and thermal stress experiment

To study natural variation in thermal tolerance of corals, we measured responses to

thermal stress in corals sampled from a natural population. For these experiments, we sampled 43

colonies of *Orbicella faveolata* from seven reef sites around the Bocas del Toro, Panama

archipelago in 2015 (Figure 1a). Large intact colonies were extracted off the reef and tissue

samples were collected and stored in RNAlater for genotyping (Scientific Permit No. SC/A-28-

14). Each colony was cut into nine smaller uniform fragments (387 fragments total) with

approximately 15-20 polyps per fragment. Fragments were maintained at ambient temperature in

aquaria at the Smithsonian Tropical Research Institute (STRI) on Isla Colon, Bocas del Toro for

one week prior to experimentation. Initial photographs of each individual fragment were taken

before experiments began.

To estimate thermal tolerance, we exposed replicate fragments from each colony to a

thermal stress treatment and measured their bleaching responses. Three randomly chosen

fragments from each colony were maintained at control conditions (ambient seawater temperature

of 29°C) while the remaining six fragments were ramped approximately 0.1°C every two hours to

an elevated temperature treatment of 31°C for two weeks and 32°C for an additional two weeks.

Corals were maintained for 4 weeks in normal and elevated temperatures, monitoring pH and

salinity daily. Corals were monitored by daily visual inspection to evaluate bleaching response

using the Coral Watch color scorecard, and the effects of temperature stress were scored as the

number of degree heating weeks (DHW) required to induce bleaching. The experiment was

terminated when approximately half of the fragments were bleached. Photographs were taken at

the end of 4 weeks (approximately 5 DHW) and tissues were sampled and stored in RNAlater.

Multilocus SNP genotyping of coral colonies

To estimate genetic relatedness and test for genetic associations with thermal tolerance,

we conducted multilocus SNP genotyping on all coral colonies. To that end, we extracted

genomic DNA from each colony using the Omega bio-tek E.Z.N.A. Tissue DNA Kit (Omega

Bio-tek, Norcross, GA). We used the 2bRAD (Restriction Site-Associated DNA) protocol for

SNP genotyping, a streamlined and cost-effective method for genome-wide SNP genotyping

(Wang *et al.*, 2012). For these libraries we used the reduced tag representation method previously

described (Wang *et al.*, 2012), using selective adaptor with overhangs ending in "NR" to target ¼

of the AlfI sites in the genome. This approach made it possible to analyze the number of samples

included here on a limited budget, a tradeoff between marker number and sample numbers. We

combined these libraries in equimolar amounts for sequencing in a single lane of 50 bp SE reads

on Illumina HiSeq 3000 at OSU's Center for Genome Research and Biocomputing (CGRB).

We analyzed the resulting data using a 2bRAD reference our research group has recently

produced and used for a linkage map (Snelling *et al.*, 2017). Since the reference was produced

from larval stages that naturally lack algal symbionts, no special filtering was required to

eliminate algal reads in these samples from adult tissue. We conducted this analysis as previously

described for de novo analysis of corals (Wang *et al.*, 2012; Howells *et al.*, 2016). Briefly, we

filtered reads prior to analysis to exclude any low quality or uninformative reads (Joint Genome

Institute, 1997), then aligned reads to the reference using SHRiMP (Rumble *et al.*, 2009) and

called genotypes based on nucleotide frequencies at each position (calling loci homozygous if a

second allele was present at less than 1%, heterozygous if present at > 25%, and leaving the

genotype undetermined at intermediate frequencies where genotypes cannot be confidently

determined from allele frequencies) (Wang *et al.*, 2012). Genotypes were called with a

permissive threshold of $\geq 5x$ to call as many loci as possible for this genome wide survey of

associations with bleaching responses. The scripts used for this analysis are available at

(https://github.com/Eli-Meyer/2brad_utilities).

Profiling algal symbionts with amplicon sequencing (ITS2)

To control for variation in the algal symbiont communities of each coral, which can

contribute to variation in thermal tolerance of the holobiont (host plus associated algal and

microbial symbionts) (Abrego *et al.*, 2008; Howells *et al.*, 2011), we sequenced the symbiont

community in each colony using Sanger and Illumina amplicon sequencing. First, we amplified

ITS2 using PCR primers previously described for studies of *Symbiodiniaceae* diversity

(LaJeunesse, 2002), and sequenced the resulting amplicons using Sanger Sequencing. The

resulting sequences were compared with multiple known ITS2 sequences from all formerly

described *Symbiodinium* clades A-H (Hunter *et al.*, 2007; Cunning *et al.*, 2015). Using our

symbiont sequences and these reference ITS2 sequences, we created an alignment in the program

MEGA (Kumar *et al.*, 2017). A maximum likelihood phylogenetic tree was created with all

known and unknown sequences to determine which clades our coral samples fell into. The

dominant symbiont type was assigned for each sample by comparing the phylogenetic tree of

unknown and known samples.

To confirm these results and evaluate whether our samples included mixed symbiont

populations, we prepared additional ITS2 sequencing libraries for high-throughput sequencing on

Illumina. We prepared these libraries using forward (5'-

TACACGACGCTCTTCCGATCTGAATTGCAGAACTCCGTG-3') and reverse (5'-

ACGTGTGCTCTTCCGATCGGATCCATATGCTTAAGTTCAGCGGGT-3') primers, and

sequenced libraries using 300 bp PE read chemistry on Illumina MiSeq at OSU's Center for

Genome Research and Biocomputing (CGRB). We filtered reads to exclude any low quality

reads (<20), removed reads lacking the expected amplicon primer sequence, and removed orphan

reads. After filtering, paired reads from each sample were merged and were imported into dada2

(Callahan *et al.*, 2016). Using dada2, we further filtered samples for missing data and removed

chimeric sequences. In this way, we identified valid amplicon sequence variants (ASVs) and

described the abundance of each ASV in each sample. Finally, we created a BLAST database

containing a diversity of annotated ITS2 sequences and the ITS2 sequence from the *Orbicella*

faveolata host (Cunning *et al.*, 2015) and identified the clade of each ASV by comparison with

this database.

We identified the dominant symbiont type in each colony based on the consensus of

Sanger and Illumina sequencing results. While Sanger data lack resolution to describe mixtures

of algal symbiont clade types, we interpret these sequences as the dominant symbiont types in

each sample based on the presence of a single dominant haplotype in sequencing chromatograms.

For Illumina, we quantified the proportion of each sequence variant in each sample and assigned

a dominant clade if sequence variants were present >80% and a mix of symbionts if <80%. We

included the dominant or mixed clade type(s) for each colony in quantitative models of bleaching

responses to evaluate the contribution of variation in the dominant symbiont type to variation in

thermal tolerance.

Quantifying bleaching responses

To quantify bleaching in each fragment, we used qPCR to estimate the abundance of algal

symbionts relative to host cells (Cunning *et al.*, 2015). We quantified collected samples after

stress experiments in qPCR reactions. DNA from all fragments (control and heat-stressed from

each colony) was extracted using an organic phase extraction. All qPCR reactions were run on an

Eppendorf Realplex 4 machine using the SYBR and ROX filters. Each reaction consisted of 7.5

µL SensiFAST SYBR Hi-ROX master mix (Bioline, Taunton, MA), 4.3 µl NFW, 0.6 µl each of

forward and reverse 10-µM primers, and 2 µl of genomic DNA (10ng total) in a final volume of

15 µl. The thermal profile for each reaction consisted of an initial denaturing step of 95°C for 2

min, followed by 40 cycles of: 95°C for 5 s, annealing temperature of 60°C for 30 s, and then

72°C for 30 sec. All control and heat-stressed samples were run using the same reaction

parameters and were analyzed together. In addition, one sample was included on every plate as an

inter-plate calibrator. We quantified host cells using host actin loci using the forward (5'-

CGCTGACAGAATGCAGAAAGAA-3') and reverse (5'-CACATCTGTTGGAAGGTGGACA-

3') primers, as previously described (Cunning *et al.*, 2015). To quantify *Symbiodiniaceae* in each

sample we used a pair of universal primers developed based on multiple sequence alignments of

the cp23S-rDNA locus from multiple *Symbiodiniaceae* species

(https://www.auburn.edu/~santosr/sequencedatasets.htm). We identified regions that were

sufficiently conserved to design primers suitable for qPCR (53-76 and 169-189 in that

alignment). We conducted qPCR with primers (5′- CTACCTGCATGAAACATAGAACG -3'

and 5'- CCCTATAAAGCTTCATAGGG -3') to determine the total amount of symbiont cells

present after experimentation in control and experimental conditions. Host cell quantifications

(C_T values) were subtracted from symbiont cell quantifications to calculate the dC_T value in each

colony, a measure of the ratio of symbiont cells to host cells, for both control and experimental

conditions. The dC_T stress value was subtracted from the dC_T control value to generate ddC_T

values, representing the symbiont density. Then, we used these ddC_T values for each colony to

calculate the fold change of symbiont abundance (2^{-ddCt}), which were then log-transformed to

compare across colonies. Additionally, we calculated the relative change within stress and control

samples separately. The dC_T values from stress and control samples were calculated as described

above, and these dC_T values were then compared to a reference control sample to generate ddC_T

values, representing the symbiont density in all samples relative to a reference sample. We

analyzed these qPCR data on relative symbiont density of each fragment to evaluate the effects of

genotype, origin, and symbiont type on bleaching responses.

Estimating heritability of variation in bleaching responses

239   Estimating the heritability of this variation in bleaching responses requires information on

genetic relationships among subjects, which is initially unknown in samples collected from a

natural population. For our study, we inferred genetic relatedness among samples based on

multilocus SNP genotypes, and then used the genetic relatedness matrix derived from these SNPs

to estimate genetic variance components. For this analysis we used the 'related' package in R and

used the method described by Queller & Goodnight to calculate genetic distance between

samples (Queller & Goodnight, 1989; Muir & Frasier, 2015; Tavalire *et al.*, 2018). After

developing this matrix of genetic relatedness among samples, we analyzed variation in bleaching

responses in the context of these relationships to estimate heritability. Using the R package

'regress', we created a linear mixed model with symbiont clade type and population source as

fixed effects (site where samples were collected) as a fixed effect (Tavalire *et al.*, 2018). This

analysis accounted for variation in thermal bleaching responses attributable to these specific

factors. We estimated narrow-sense heritability and the associated standard error based on the

phenotypic variation remaining after accounting for these known sources of variance, using the

'h2G' function in the R package 'gap' (Zhao, 2007).

Testing for genetic associations with bleaching responses

To identify genetic markers associated with variation in bleaching responses, we tested

for associations at each SNP locus using linear mixed models including SNP genotypes as a

random effect and population source as a fixed effect. To account for errors arising from multiple

tests, we converted controlled false discovery rate at 0.05 using the pFDR procedure (Storey,

2003). The multilocus SNP genotypes obtained from 2bRAD made it possible to test for

associations between bleaching phenotypes and genotypes at each locus. Combining SNP data

and the linkage map for this species (Snelling *et al.*, 2017), we searched for genomic regions

underlying variation within more thermally tolerant phenotypes. We used the R package rrBLUP

to test for associations between bleaching responses and genotypes at each locus, accounting for

genetic structure in the population using an additive relationship matrix produced from SNP

genotypes. We used the *A.mat* function to calculate the additive relationship matrix, considering

all loci with no more than 5% missing data, then used the GWAS function in rrBLUP to conduct

association tests, requiring allele frequencies > 0.08 (a second allele was detected at least 3

times), and included source population as a fixed effect. Once significant SNPs were found, we

searched genomic scaffolds to examine neighboring genes. Based on an integrated genomic

resource our group has recently developed by combining the linkage map with transcriptome and

genome assemblies (Snelling *et al.*, 2017), we calculated linkage disequilibrium (LD) blocks in

cM for each SNP based on <10% recombination frequency. We searched within each LD block

to identify genes linked to each SNP.

Profiling gene expression in heat-tolerant and susceptible colonies

To evaluate whether genomic regions associated with heat tolerance include genes

differentially expressed between heat-tolerant and susceptible genotypes, we profiled

transcriptional responses in a subset of corals demonstrating contrasting phenotypes (3 heat-

tolerant, collected at Isla Bastimentos; 3 heat-susceptible collected at Isla Solarte) (Figure 2).

RNA was extracted from replicate fragments from each colony using the Omega Bio-tek

E.Z.N.A. Tissue RNA Kit (Omega Bio-tek, Norcross, GA). RNA was then used to prepare 3' tag-

based cDNA libraries for expression profiling (Meyer *et al.*, 2011). Samples were individually

barcoded and combined in equal ratios for multiplex sequencing. We sequenced these libraries

repeatedly on multiple runs because incompatibilities between the versions of the library

preparation primers and the recently updated sequencing platforms resulted in very low

sequencing yields. The first run was on the HiSeq 3000 platform at OSU's CGRB, the second

run on HiSeq 4000 at the University of Oregon's Genomic and Cell Characterization Core

Facility, and the third run on MiSeq at OSU's CGRB. After sequencing, we processed the raw

reads to remove non-template regions introduced during library preparation, and excluded reads

with long homopolymer regions (>20bp) and low-quality reads with a Phred score of <30. All

filtering steps were conducted using publicly available Perl scripts from https://github.com/Eli-

Meyer/rnaseq_utilities. We mapped the high quality reads against the transcriptome for this

species (Anderson *et al.*, 2016) using a short-read aligner software SHRiMP (Rumble *et al.*,

2009), and counted unique reads aligning to each gene to produce count data for statistical

analysis of gene expression in each sample.

We tested for differential gene expression using a negative binomial model in the R

package DESeq2 (Love *et al.*, 2014). We tested for changes in gene expression by evaluating

changes in stress-induced expression across samples in control and stress treatments. Our models

tested for effects of treatment (control versus heat stress treatment) and bleaching response

(susceptible versus tolerant) as main effects, and their interaction (treatment x response). We

identified differentially expressed genes (DEGs) controlling false discovery rate at 0.05. To

identify patterns of differential expression among the interaction effect DEGs, we conducted

hierarchical clustering of expression patterns, subdividing the tree into clusters of correlated

genes using the *cutree* function in R (Oksanen, 2010).

Results

Sequencing yield and SNP genotyping

To analyze genetic relationships among corals and associations with bleaching responses,

we conducted multilocus SNP genotyping using a sequencing-based approach (2bRAD).

Altogether, we sequenced 150 million high-quality reads, averaging 3.87 million reads per

colony. We mapped these reads to a reference previously developed from aposymbiotic larvae,

ensuring the loci being genotyped are derived from the coral host rather than the algal symbionts.

We genotyped >700 kb at ≥ 5x coverage in each sample (Supplementary Table S1), identifying a

large number of putative polymorphisms (35,067 loci). We further filtered genotypes to minimize

missing data and genotyping errors, identifying a set of 5,468 high-quality SNPs that we used for

all subsequent analyses.

Symbiodiniaceae *communities in host colonies and bleaching responses*

To identify the dominant symbiont type or mixed symbiont communities in each coral

colony, we sequenced ITS2 amplicons using Sanger and Illumina sequencing. In an effort to

identify the dominant clade present in each colony, we classified the origin of each Sanger

sequence by constructing a maximum likelihood tree including diverse representatives from

Symbiodiniaceae, formerly described as *Symbiodinium* clades A-H (Hunter *et al.*, 2007; Cunning

et al., 2015). This analysis identified all sequences as members of clades A-D (Figure 1b;

Supplementary Figure 1), and revealed differences in symbiont types across samples from

different sites.

To confirm and expand on these results, we analyzed high-throughput ITS2 sequence data

from the same samples. For this analysis we used a BLAST database with known ITS2 sequences

(Cunning *et al.*, 2015) to classify the proportion of sequence variants in each sample originating

from each symbiont species (or clade) (Figure 1b; Supplementary Figure 2). Most colonies

contained a dominant sequence variant (>80%), while only two colonies showed a mixed

community with two clade types. We considered both Sanger and Illumina data to assign the

dominant or mixed symbiont community for each colony. Comparing these data revealed that the

symbiont type identified from a single Sanger sequencing reaction in each sample corresponded

to the dominant type identified in deep sequencing for nearly all samples in both datasets (26/29).

For a small number of sample (3/29), the symbiont type identified from Sanger sequencing

corresponded to a minor component of the community identified by Illumina sequencing rather

than the dominant type. Illumina libraries for the remaining 14 samples were unsuccessful due to

host contamination, so their identities were assigned based on Sanger sequencing. Overall, there

was strong agreement between the assignments of symbiont type between Sanger and Illumina

sequence data (Supplementary Figures 1, 2).

After 4 weeks in thermal stress at 31°C and 32°C, we saw considerable variation in

bleaching among stressed fragments, while symbiont density changed very little across control

samples (Figure 3). While there was variation between colonies, there was little to no variation in

bleaching among fragments from the same colony (Figure 2). We quantified symbiont densities

in each fragment using qPCR, and estimated the bleaching response of each colony as the log

fold change between stressed and control fragments (Figure 3; Supplementary Figure 3).

Colonies showed substantial variation in both their initial symbiont densities and their bleaching

responses, based on both visual examination of the fragments and qPCR analysis of relative

symbiont abundance (Figure 2 and 3). Most colonies bleached in response to thermal stress, but

the extent of these bleaching responses varied considerably (Figure 3).

Heritable variation in thermal tolerance in a natural population

To investigate heritable variation in thermal tolerance, we combined SNP data with

bleaching responses measured by qPCR. We conducted a mixed model analysis to determine

which factors to include in our heritability and association models. While population source had

significant effects on thermal tolerance (p=0.0014), symbiont type had no effect (p=0.06).

However, to be conservative, we included all factors in our REML mixed model to partition

variation in thermal tolerance into genetic and non-genetic variance components. We estimated

genetic relatedness among samples based on multilocus SNP genotypes, and then partitioned

variance into genetic and non-genetic variance components in an 'animal model' (Wilson *et al.*,

2010) based on this genetic relatedness matrix. On average, pairwise genetic distances between

colonies (calculated as the proportion of divergent alleles) between samples was 0.098 (range:

0.001 - 0.176). This analysis revealed that after accounting for effects of source and symbiont

type, phenotypic variation in bleaching responses (log-fold change values) was highly heritable,

with a narrow-sense heritability (h^2) of 0.58 (SE=0.22). Taken alone, this estimate suggests

substantial potential for adaptive responses to ocean warming in this population (but see

Discussion for additional considerations).

Genomic basis for variation in thermal tolerance

To understand the genomic basis for this variation in thermal tolerance, we used our SNP

genotypes to test for associations between bleaching responses and genotypes. For this analysis,

we conducted a series of linear mixed models testing for the effect of genotype at each locus

while accounting for population structure. To visualize regions of the genome showing strong

association with thermal tolerance, we mapped the results from statistical tests onto the integrated

map, plotting $-\log_{10}$(p-value) for each marker by linkage group and position (Figure 4). After

multiple test corrections, we found twelve markers significantly associated with bleaching; three

markers when examining the fold change between control and stressed samples, three markers

when examining the relative change in control samples, and six markers when examining the

relative change in stressed samples (FDR ≤ 0.05). We emphasize that these three analyses of the

symbiont densities are not intended to represent independent traits, but different aspects of

biological variation relevant for thermal stress (initial symbiont density, bleaching response, and

final symbiont density).

To identify the genomic positions of these SNPs were located and the genes linked to

each marker, we used the integrated map (Snelling *et al.*, 2017) to search for genes closely linked

(within an LD block) with each marker. Our SNPs fell onto linkage groups 2 (two SNPs), 3 (two

SNPs), 4, 5, 6, 7 (two SNPs), 8, 9 and 16. Within the LD blocks around our SNPs, we used the

integrated map to identify genes linked to each marker. All genes identified in this analysis are

shown in Supplementary Table S2.

This analysis identified several groups of genes previously implicated in stress responses

of corals or other Cnidarians, including genes with roles in oxidative stress responses, regulation

of protein folding or degradation, and regulation of apoptosis. Genes linked to markers on LG2

included peroxiredoxin, a redox regulation protein for oxidative stress and genes associated with

apoptosis (protein NLRC3). Genes linked to the markers on LG 3 were mucin proteins, ubiquitin

protein ligases, caspase, a potassium voltage-gated channel protein, cellular tumor antigen p53, a

gene associated with apoptosis; cytochrome 450, a protein involved in defense against chemical

stressors; the chaperone DnaJ homolog involved in preventing inappropriate unfolding of

proteins; heat shock protein 70, and glutathione s-transferase, a key enzyme in enhancing the

oxidative stress response. Genes on LG 6 included ubiquitin protein ligases and potassium

voltage-gated channel proteins. Catalase, apoptosis-inducing factor proteins, sodium-potassium

transporting proteins involved in ion transport, tyrosine kinase receptor proteins involved in

responding to oxidants and tumor necrosis receptor-associated proteins, important regulators of

the apoptosis pathway were all linked to the markers on LG 7. Genes linked to the marker on LG

8 included mucin proteins and protein disulfide-isomerase, part of the unfolded protein response

pathway. Genes linked to the markers on LG 9 and 16 included tyrosine kinase receptor proteins

and tumor necrosis receptor-associated proteins, and ubiquitin protein ligases.

We also found several novel groups of genes that were not expected based on prior

studies but were repeatedly observed across multiple markers and linkage groups in our study,

suggesting a possible functional role for these genes in bleaching responses. These included 5-

hydroxytryptamine (serotonin) receptors (5 genes altogether, linked to markers on LG 2, 3, 9, and

16). Similarly, we repeatedly found that galanin receptors were linked to bleaching associated

markers (10 galanin receptor genes linked to bleaching-associated markers on LG 3, and 6).

414 Galanins are neuropeptides classically associated with activities in the brain and peripheral

415 nervous system, that have recently been shown to play diverse roles in innate immunity,

416 inflammation, and energy metabolism (Lang *et al.*, 2014). We also found multiple collagen

417 proteins (8 collagen genes linked to bleaching-associated markers on LG 2, 3, 6, 7, and 8). The

418 possible functions of these genes in coral stress responses is not clear, but the repeated

419 observation that these genes are linked to bleaching-associated markers on multiple scaffolds and

420 linkage groups suggests that variation in these genes may contribute to variation in bleaching

421 responses.

423 *Differences in transcriptional responses of tolerant and susceptible phenotypes*

424   To further investigate the mechanisms of thermal tolerance, we profiled gene expression

425 in contrasting phenotypes. For this dataset, we chose three heat-tolerant colonies and three

426 susceptible colonies (Figure 2). The three heat-tolerant colonies were collected at Isla

427 Bastimentos and contained clade C and D symbiont types, while the three heat-susceptible

428 colonies were collected at Isla Solarte and all contained clade B symbionts (Figures 1, 2). These

429 sites were approximately 15 km from one another and Isla Bastimentos exhibited more protection

430 from wave action than Isla Solarte. Comparing bleaching responses, colonies from Isla Solarte

431 had an average log-fold value of -0.8 (susceptible to bleaching) whereas colonies from Isla

432 Bastimentos had an average value of 0.1 (tolerant to bleaching) (Figure 3).

433   Using a tag-based RNASeq approach (Meyer *et al.*, 2011), we prepared sequencing

434 libraries for all 36 fragments (six colonies with six fragments, three control and three heat-stress

435 fragments). We sequenced our libraries three times, once on Illumina HiSeq 3000, Illumina

436 HiSeq 4000, and Illumina MiSeq, and all sequenced reads from all three runs were combined. In

total, 63.9 million raw reads were produced, with approximately 1.73 million reads per sample.

The majority of these passed quality and adaptor filtering (93%) leaving 59.4 million HQ reads

for expression analysis (Supplementary Table S1).

Using a negative binomial model, we tested for changes in gene expression, evaluating

differences in stress-induced expression. Our model tested for the effect of bleaching response,

whether the colonies were bleached or unbleached, the effect of treatment, whether the fragments

were in control or heat-stress, and the interaction effect between type and treatment. We found

737, 104, and 187 differentially expressed genes (DEGs) when testing for main effects of type

and treatment, and their interaction, respectively. The interaction between type and treatment on

gene expression can be visualized in a heatmap of expression for these DEGs (Figure 5), where

heat tolerant colonies (red bars in figure 5) generally express these genes at higher levels than

heat susceptible colonies (light blue bars in figure 5) regardless of treatment. Heatmaps for the

effects of treatment and type are shown in Supplementary Figure 4. A complete list of

differentially expressed genes in each category is provided in Supplementary Table S3.

A substantial number of genes showed significant type × treatment effects, where the

effects of treatment on expression differed between tolerant and susceptible corals. To

characterize these interactions, we averaged expression for each gene in both susceptible and

tolerant phenotypes for each treatment. Gene expression profiles were categorized into two

dominant patterns. In the first patterns, genes were expressed at overall higher levels in heat-

tolerant corals and were downregulated during thermal stress, and expressed at lower levels

overall in heat-susceptible corals but upregulated during thermal stress. We found 159 genes in

this category (Figure 6a). The second pattern was the opposite: genes that were expressed at

higher levels overall and upregulated during thermal stress in heat-tolerant corals, and down-

regulated in susceptible corals (Figure 6b). The remaining 33 genes formed a third cluster with

similar patterns as 6b but with more variation across genes (not shown).

Finally, we compared differentially expressed genes and those genes within the gene

neighborhoods on our four linkage groups. Genes differentially expressed as a function of type,

treatment or their interaction all contained ubiquitin protein ligases. In addition, when examining

differentially expressed genes in the type effect, we found multiple collagen genes, mucins, as

well as DnaJ proteins, glutathione peroxidase, and peptidyl-prolyl cis-trans isomerases, genes

known to have a potential role in response to heat stress.

Discussion

Our study provides some of the first quantitative estimates for heritability of variation in

bleaching responses of corals. This builds upon larval studies (Meyer *et al.*, 2009a, 2011; Dixon

et al., 2015) that have demonstrated substantial heritability in responses to elevated temperatures,

but left uncertainty in whether these findings extended to adult corals with intracellular algal

symbionts and the energetic demands of calcification. Our findings confirm that some coral

populations harbor similar genetic variation in thermal tolerance traits of adult coral colonies.

These parameters have been studied in Indo-Pacific Acroporids, but to our knowledge no

quantitative estimates for heritability of thermal tolerance were previously available for corals in

the Robust clade (Fukami *et al.*, 2008; Meyer *et al.*, 2009a, 2011; Kitahara *et al.*, 2010; Baums *et

al.*, 2013; Dixon *et al.*, 2015) or any other Caribbean corals. This is an important consideration

because heritability of a trait is specific to the population and environment under study,

suggesting caution in generalizing results from Indo-Pacific larval studies of Acroporids to

evaluate potential adaptive responses in the deeply diverged groups of corals that dominate

Caribbean reefs (Meyer *et al.*, 2009a, 2011; Baums *et al.*, 2013; Dixon *et al.*, 2015; Kenkel *et al.*,

2015; Lohr & Patterson, 2017).

To investigate the functional basis for this variation in bleaching responses, we conducted

genomic and transcriptomic studies comparing allele frequencies and transcriptional stress

responses in these corals. We found genetic markers significantly associated with thermal

tolerance, and used the integrated genomic resource developed from a genetic linkage map and a

genome sequence assembly to identify some of the genes linked to these markers. We found that

transcriptional responses of heat-tolerant corals to thermal stress are markedly different from

those of heat-susceptible colonies. We identified just under 200 genes differentially expressed as

a function of type × treatment interactions, which were generally expressed at higher levels in

tolerant corals and regulated in opposite directions by tolerant and susceptible corals in response

to thermal stress.

This study builds on growing evidence that coral populations harbor genetic variation that

may support adaptation to ocean warming. These questions are especially pressing for Caribbean

corals, where reefs have declined severely over the last few decades (Hughes & Tanner, 2000).

Since genetic variation supporting heritable variation in traits under selection is species- and

population-specific, measuring these parameters in Caribbean populations is vital for

understanding the future of these ecosystems. Our study documents considerable genetic

variation in thermal tolerance for a population of the mountainous star coral, *Orbicella faveolata*,

an important reef-builder throughout the Caribbean.

Our data suggests the genetic potential for substantial adaptive responses to selection for

thermal tolerance in this population. Responses to selection can be modeled with the univariate

breeder's equation to estimate the expected rate of adaptation within a single generation

(Falconer and Mackay, 1996). These predictions require empirical estimates for the narrow-sense

heritability of the trait under selection, the proportion of phenotypic variation attributable to

additive genetic variation (Falconer and Mackay, 1996). While it has been clear for some time

that corals possess substantial variation in thermal tolerance, in part resulting from

acclimatization or association with different algal symbionts (Fitt *et al.*, 2001; Howells *et al.*,

2011; Oliver & Palumbi, 2011; Silverstein *et al.*, 2012), the variation attributable to genetic

factors in the coral host has remained understudied. This genetic variation will determine the

adaptive responses of corals in the immediate future, since rapid adaptation relies on standing

genetic variation in natural populations (Barrett & Schluter, 2008). Our study contributes novel

information on this potential for adaptation to ocean warming, confirming that heritability of

bleaching responses in adult corals can be comparable to the high heritability of thermal tolerance

observed in some previous larval studies (Dixon *et al.*, 2015).

Importantly, these estimates of h^2 express genetic potential for adaptation, and other

factors may constrain the adaptive responses that are actually realized in nature. The breeder's

equation expresses the rate of adaptive change within a single generation, requiring that we

account for generation times to convert these estimates into units of adaptive change per decade

or century. Massive corals like *Orbicella* are slow-growing and while direct estimates of

generation time are unavailable for *O. faveolata* itself, comparisons with similar slow-growing

massive corals suggests that these corals probably begin reproduction at ~ 5 years old and reach

peak reproductive output around 10-15 years (Babcock, 1991). These life-history considerations

impose inherent constraints on the rates of adaptation in this species, since even "rapid" adaptive

changes occurring in a single generation would take 5-15 years to affect populations of adult

corals. Additionally, correlations among traits can alter responses to selection relative to

univariate predictions (Lande & Arnold, 1983; Houle, 1991; Falconer & Mackay, 1996; Lynch &

Walsh, 1998). In these cases, selection for one trait affects the distribution of not only that trait,

but also indirectly affects the distributions of correlated traits (Falconer & Mackay, 1996; Lynch

& Walsh, 1998). Negative correlations among fitness related traits may constrain adaptive

responses to selection (Etterson & Shaw, 2001), while positive correlations may facilitate

adaptive responses (Agrawal & Stinchcombe, 2009). These correlations can change in different

environments (Messina & Fry, 2003; Sgrò & Hoffmann, 2004), so describing these effects is also

required for understanding responses to selection. Future studies should investigate the possibility

that trait correlations may constrain adaptive responses in corals, preventing these populations

from achieving the rapid adaptive responses that h^2 estimates suggest are possible.

The development of sequencing-based approaches for multilocus SNP genotyping has

made genomewide association studies (GWAS) a widely used tool for identifying markers

associated with traits of interest (Schlötterer *et al.*, 2015). These approaches map statistical

associations between genetic markers and traits onto a genomic reference to identify regions of

the genome underlying variation in the trait. Such an analysis obviously requires a genomic

resource for mapping, and this requirement has limited the application of these approaches in

many non-model systems. Despite limitations in the genomic resources available for *O.*

faveolata, we used an integrated resource our group has recently established (Snelling *et al.*,

2017) to map statistical associations with bleaching responses onto the *O. faveolata* genome. It is

important to acknowledge that our study was underpowered with only 43 genotypes (logistical

constraints prevented us from further sampling, in this case). Despite the low power of our

sampling design, we succeeded in identifying genetic markers associated with variation in

bleaching responses. These likely represent the loci with the largest effects on thermal tolerance

in these samples, with additional loci of smaller effects remaining undetected.

The markers we identified are linked to biologically interesting genes that could

contribute to host thermal tolerance. For example, we found gene functions involved in oxidative

stress, neural response, ubiquitination, protein folding regulation, and apoptosis. Glutathione s-

transferase functions as an antioxidant enzyme in response to reactive oxygen species and has

been shown to increase during thermal stress (Downs *et al.*, 2002; DeSalvo *et al.*, 2008; Polato *et*

al., 2010). Linkage with this gene could indicate an important role for oxidative stress response to

reactive oxygen species (ROS) production during stress induced by increasing sea surface

temperatures or pathogens (Downs *et al.*, 2002; DeSalvo *et al.*, 2008; Polato *et al.*, 2010).

Voltage-gated proteins have also been characterized in *Nematostella vectensis* and have shown

the importance of these proteins for maintaining cellular homeostasis, regulation of movement,

and feeding. The process of ubiquitination labels proteins for degradation and expression of

ubiquitin protein ligases may play an important role in increased tolerance to heat-stress (Finley

et al., 1987; Pickart, 2001; Welchman *et al.*, 2005; Shahsavarani *et al.*, 2012). For corals, these

genes are highly correlated with increased thermal tolerance and are typically up-regulated in

heat-stress corals with more damaged proteins (DeSalvo *et al.*, 2008; Barshis *et al.*, 2010;

Lundgren *et al.*, 2013; Bay & Palumbi, 2015).

Some of the most interesting genes found are those involved in protein folding. The DnaJ

chaperone plays an important role in the unfolded protein response (UPR) and is typically seen

up regulated in response to elevated temperatures ($\geq 32°C$) in species such as *Acropora*

hyacinthus (Ruiz-Jones & Palumbi, 2017) and *Stylophora pistillata, Porites sp.* and *Acropora*

eurystoma (Maor-Landaw & Levy, 2016). This protein is also a co-chaperone of Hsp70, making

it an important marker for thermal stress in corals (Cyr *et al.*, 1994; Walter & Ron, 2011). The

close proximity (within a LD block of <10% recombination frequency) to our SNPs suggests a

possible role for these genes in determining variation in thermal tolerance among colonies of *O.*

faveolata.

We also found genes repeatedly observed across multiple markers and linkage groups, but

their function and relationship to thermal tolerance in corals is unknown. These genes included

galanin receptors, 5-hydroxytryptamine (serotonin) receptors, and collagen proteins. Galanin

receptors are known to modulate neural responses and have been shown to play an important role

in responses to stress, such as pain, emotional stimuli, and disease (Mitsukawa *et al.*, 2009; Lang

et al., 2014; Sciolino *et al.*, 2015). In cnidarians, 5-hydroxytryptamine (serotonin) receptors may

serve as a neural signaling molecule and radiolabeling studies have localized the distribution of

these proteins around nerve tissues within host cells (Hajj-Ali & Anctil, 1997; Dergham &

Anctil, 1998; Westfall *et al.*, 2000). Collagen is a component of the extracellular matrix and may

be related to wound healing and regeneration in cnidarians (Reitzel *et al.*, 2010; Stewart *et al.*,

2017). Despite these genes having an unknown role in thermal tolerance, their continued

expression and linkage to significant SNPs indicate they may contribute to tolerance in the coral

host.

In addition to genetic analysis, high-throughput sequencing has also enabled widespread

application of RNA-Seq approaches to profile gene expression (Wang *et al.*, 2009). These

methods have been widely adopted to study transcriptional responses to thermal stress in corals

(DeSalvo *et al.*, 2008; Voolstra *et al.*, 2009; Leggat *et al.*, 2011; Meyer *et al.*, 2011; Oliver &

Palumbi, 2011; Bellantuono *et al.*, 2012a, 2012b; Barshis *et al.*, 2013; Kenkel *et al.*, 2013;

Palumbi *et al.*, 2014). One finding that has emerged consistently from these studies is the

observation that corals vary widely in their transcriptional and phenotypic responses to thermal

stress (Hunter, 1993; Ayre *et al.*, 1997; Marshall & Baird, 2000; Baums *et al.*, 2013). Many

studies have demonstrated variation in gene expression among coral phenotypes, both in natural

populations and in controlled studies (López-Maury *et al.*, 2008; DeSalvo *et al.*, 2010; Meyer *et*

al., 2011; Granados-Cifuentes *et al.*, 2013).

Here, we built upon these studies by quantifying variation in transcriptional responses to

thermal stress in the context of known genetic relationships and thermal tolerance phenotypes.

We found that heat-tolerant and -susceptible corals differed substantially in their responses to

thermal stress. Focusing on the genes differentially expressed as a function of the type ×

treatment interaction, we identified a cluster of genes that were expressed at higher levels in heat-

tolerant corals than their susceptible counterparts, and were down-regulated during thermal stress

whereas susceptible corals up-regulated the same genes (Fig 6a). These included genes associated

with protein metabolism (ribosomal protein genes, E3 ubiquitin protein ligase, and ubiquitin-

conjugating enzyme E2), regulation of apoptosis (cathepsin-L and AP-1), and genes associated

with calcium-binding (calcium-binding protein CML19, calretinin, neurocalcin, and a voltage-

dependent L-type calcium channel).

Differentially expressed genes that overlapped with our association study included

collagen genes, mucins, DnaJ proteins, and glutathione s-transferase. Inferring functional

consequences from gene expression profiles is always uncertain, but these patterns suggest that

thermal tolerance phenotypes in corals may be achieved in part by down-regulating energetically

expensive processes such as protein synthesis, and in part by altering expression of the regulatory

machinery controlling apoptosis.

We also identified a cluster of genes showing the opposite pattern (up-regulated by heat-

tolerant corals during thermal stress), which included a fluorescent protein. These proteins are

commonly reported in studies of Cnidarian stress responses (Smith-Keune & Dove, 2008;

Rodriguez-Lanetty *et al.*, 2009; Roth & Deheyn, 2013), and our findings provide additional

evidence these genes may play a role in variation among corals' thermal tolerances.

Overall, our study provides a novel perspective on the potential for corals to adapt to

ocean warming by estimating heritability of variation in thermal tolerance for a Caribbean reef-

builder. We found that corals sampled from a natural population in Panama varied widely in their

bleaching responses during an experimental thermal stress treatment. We used multilocus SNP

genotyping to infer genetic relatedness among corals and estimate narrow-sense heritability (h^2)

for variation in bleaching responses, revealing that variation in this trait is primarily attributable

to additive genetic variation. This suggests substantial genetic potential for adaptation to ocean

warming in this population, although the complexities of multivariate selection suggest caution in

predicting responses to selection from a single trait. We used the same SNP genotypes to test for

associations between bleaching responses and genotypes at each marker, identifying genetic

markers for bleaching responses that can be directly applied in restoration and conservation

efforts to identify heat-tolerant corals. We used expression profiling to demonstrate that heat-

tolerant corals respond to thermal stress differently than susceptible corals, and functional

analysis of the differentially expressed genes suggests differential regulation of protein

metabolism and apoptosis in heat-tolerant corals. Our findings provide crucial data for models

aiming to predict corals' adaptation to ocean warming, and identify genetic markers for thermal

tolerance that may be useful for restoration efforts as conservation biologists work to reverse the

global degradation of coral populations resulting from changing ocean conditions.

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Supplementary Information

Supplementary Table S1. Excel spreadsheet. Summary of sequencing yields, processing, and

mapping efficiencies for 2bRAD and RNASeq sequencing libraries.

Supplementary Table S2. Excel spreadsheet. Complete list of genes linked to SNP markers

significantly associated with variation in bleaching responses (within 10 cM of the markers).

Supplementary Table S3. Excel spreadsheet. Complete list of genes differentially expressed as a

function of temperature, thermal tolerance type, or their interactions.

Supplementary Figure 1. Sanger sequence data results for all samples (colonies).

Supplementary Figure 2. Illumina MiSeq sequence data results for all samples (colonies).

919
920 Supplementary Figure 3. Quantification of algal symbiont densities using qPCR reveals variation
921 in bleaching phenotypes as a function of symbiont types and site.
922
923 Supplementary Figure 4. Heatmaps showing relative expression of genes that were differentially
924 expressed between heat-tolerant and heat-susceptible corals and between heat-stressed and
925 control samples.
926

Data Accessibility

Reference numbers for data in public repositories: sequence data archived at NCBI's Sequence.

Read Archive under accession SUB3108676.

Scripts used for analysis can be found at https://github.com/Eli-Meyer.

Authors' Contributions

KD and EM conceived the study and conducted analyses. KD collected samples,

conducted thermal stress experiments, prepared sequencing libraries, and wrote the manuscript.

HE contributed to sample collection and fieldwork assistance. HT developed the heritability

analysis pipeline. All authors have read and approved the manuscript.

Figures and Tables

Figure 1. Map of Collection Sites around Bocas del Toro Archipelago, Panama. (a) Map of the
seven locations where coral genotypes were collected around the archipelago. (b) Proportion of
dominant symbiont types found at each site across colonies collected.

Figure 2. An example of the striking contrast between bleaching phenotypes of heat-susceptible
and -tolerant corals sampled for this study. Each panel of six images represents fragments from a
single colony, with control fragments indicated with "-C" (bottom of each panel) and heat-
stressed fragments indicated with "-S" (top of each panel). Bleaching responses varied widely
among colonies, but very little among fragments prepared from each colony.

Figure 3. Quantification of algal symbiont densities using qPCR reveals variation in bleaching phenotypes. Bars represent the log fold change (2^{-ddCt}) of symbiont abundance between control and stress samples across colonies after four weeks in control and experimental conditions. Starred colonies indicate those selected for RNASeq, showing contrasting abundances.

Figure 4. Mapping statistical associations between SNP genotypes and bleaching responses onto the linkage map identifies genomic regions associated with thermal tolerance in *O. faveolata* a) using the fold change between control and stress bleaching responses, b) relative change in stress samples, and c) relative change in control samples. Genetic markers are mapped against the linkage groups, indicated by alternating colors. Three markers on linkage groups 3, 5 and 7 were significantly associated (gray lines) with variation in bleaching responses during thermal stress, six markers on linkage groups 2, 3, 6, 7, 9 and 16 were significantly associated with variation in relative symbiont change in stress samples, and three markers on linkage groups 4, 5 and 8 were significantly associated with variation in relative symbiont change in control samples (FDR<0.05).

Figure 5. Heatmap showing relative expression of genes that were differentially expressed
between heat-tolerant and heat-susceptible corals. The 187 DEG in this category are shown here,
with samples and genes grouped with hierarchical clustering based on similarity in gene
expression patterns. In the heatmap, blue indicates low expression, black moderate expression,
and yellow indicates high expression. Colored bars indicate the type and treatment of each
sample included in this analysis; red type refers to tolerant phenotypes, light blue refers to
susceptible phenotypes, yellow bars are control samples, and dark blue bars are samples in heat
stress treatment.

Figure 6. Type × treatment effects on gene expression fall into two general categories. a) 159 genes were downregulated in heat-tolerant corals (red) and upregulated in heat-susceptible corals (blue). b) 18 genes showed contrasting expression changes, but in the opposite directions: up-regulated in heat-tolerant corals and down-regulated in heat-susceptible corals. The remaining 33 genes showed similar patterns to (b) but were less consistent across genes, forming a third cluster (not shown).