# Dynamic changes in oligomeric complexes of UPR sensors induced by misfolded proteins in the ER

Arunkumar Sundaram<sup>1</sup>, Suhila Appathurai and Malaiyalam Mariappan<sup>1\*</sup>

Department of Cell Biology Nanobiology Institute Yale School of Medicine Yale West Campus West Haven, CT 06516, USA

\*Correspondence: malaiyalam.mariappan@yale.edu

#### 1 Abstract

2

The endoplasmic reticulum (ER) localized unfolded protein response (UPR) sensors, IRE1a, 3 PERK, and ATF6α, are activated upon accumulation of misfolded proteins caused by ER 4 stress. It is debated whether these UPR sensors are activated either by the release of their 5 negative regulator BiP chaperone or directly binding to misfolded proteins during ER stress. 6 7 Here we simultaneously examined oligomerization and activation of all three endogenous UPR sensors. We found that UPR sensors existed as preformed oligomers even in unstressed 8 cells, which shifted to large oligomers for PERK and small oligomers for ATF6a, but little 9 changed for IRE1a upon ER stress. Neither depletion nor overexpression of BiP had 10 significant effects on oligomeric complexes of UPR sensors both in unstressed and stressed 11 cells. Thus, our results find less evidence for the BiP-mediated activation of UPR sensors in 12 mammalian cells and support that misfolded proteins bind and activate the preformed 13 oligomers of UPR sensors. 14

- 15
- 16

### 17 Introduction

18

The endoplasmic reticulum is the major organelle for the synthesis of secretory and 19 20 membrane proteins. These proteins enter the ER through the Sec61 translocon channel and mature with the help of cascade of chaperones, folding enzymes and post-translocation 21 22 modifications (Rapoport, 2007; van Anken 2005). The proteins that fail to achieve their native state are recognized and eliminated by the ER associated degradation (ERAD) 23 pathways (Brodsky, 2012; Christianson and Ye, 2012). Thus, only folded proteins are 24 packaged into vesicles for their transport to the Golgi apparatus. However, environmental 25 stress, nutrient protein overload, or expression of mutant proteins overwhelms ERAD 26 machinery, thus leading to accumulation of misfolded proteins in the ER. The excess of 27 misfolded proteins activates the conserved unfolded protein response (UPR) pathway, which 28 transmits the information of the folding status of the ER to the cytosol and nucleus (Walter 29 and Ron, 2011). This leads to activation of transcriptional and translational programs to 30 increase the ER protein folding capacity by upregulating chaperones folding enzymes, and 31 ERAD machinery (Lee et al., 2003; Shoulders et al., 2013). In case of failure to attenuate the 32 UPR due to prolonged stress, the cells commit suicide by initiating apoptotic pathways. The 33 dysfunction or overactive UPR signaling has been implicated in numerous human diseases 34

including type 2 diabetes, neurodegenerative diseases, and cancer (Han et al., 2009; Hetz,

- 36 2012; Wang and Kaufman, 2016).
- 37

In metazoans, three UPR sensors, IRE1 $\alpha$ , PERK and ATF6 $\alpha$  are known to detect the 38 accumulation of misfolded proteins in the lumen and transmit the signal to the cytosol 39 (Walter and Ron, 2011). IRE1 $\alpha$  is a transmembrane kinase/endonuclease (RNase) that, upon 40 41 ER stress, initiates the unconventional splicing of XBP1 mRNA (Cox et al., 1993; Mori et al., 1993; Yoshida et al., 2001; Calfon et al., 2002). The spliced XBP1 mRNA encodes an active 42 transcription factor that upregulates genes such as chaperones and ER degradation machinery 43 to improve the ER protein folding capacity (Lee et al., 2003; Shoulders et al., 2013). In 44 addition, IRE1a can also reduce protein synthesis load at the ER through promiscuously ER-45 localized mRNAs encoding membrane and secretory proteins, a process known as IRE1a-46 dependent mRNA decay (RIDD) (Hollien and Weissman, 2006; Hollien et al., 2009). PERK 47 is a transmembrane kinase, and its luminal domain shares a limited homology (~12%) to the 48 luminal domain of IRE1a (Zhou et al., 2006). Upon ER stress, PERK phosphorylates 49 eukaryotic translation initiation factor to shut down the overall protein synthesis, thus 50 counteracting protein overload at the ER (Harding et al., 1999; Sood et al., 2000). However, 51 52 some mRNAs that have small open reading frames in their 5'untranslated regions are translated by phosphorylated eIF2 $\alpha$ , thereby production of transcription factors such as ATF4 53 54 (Ameri and Harris, 2008). ATF6α is an ER-localized transmembrane transcription factor (Haze et al., 1999). During ER stress conditions, ATF6α transported to the Golgi apparatus, 55 56 where its cytoplasmic domain is released from membrane domain by S1P and S2P-mediated proteolysis (Ye et al., 2000; Nadanaka et al. 2007; Shindler and Schekman, 2009). The 57 cleaved ATF6a moves to the nucleus and drives transcription of genes encoding chaperones 58 and ERAD machinery for restoring ER homeostasis (Lee et al., 2003; Shoulders et al., 2013). 59 60

While there is tremendous progress has been made in understanding the biology of 61 UPR effectors, the mechanism of UPR sensors activation remains incompletely understood. 62 There are two major models have been actively debated for the activation of UPR sensors 63 (Walter and Ron 2011; Snapp, 2012). The first model is similar to other stress sensing 64 pathways such as the heat shock response that is strongly regulated by the binding and 65 availability of a chaperone (Arsene et al., 2000; Anckar et al., 2011). Accordingly, BiP binds 66 with monomers of IRE1a and PERK, thus preventing oligomerization and activation in 67 unstressed cells. During ER stress, BiP is sequestered by misfolded proteins, thus allowing 68

IRE1 $\alpha$  and PERK to freely diffuse, oligometrize and become activated. This model is 69 supported by the evidence that IRE1a and PERK associate with BiP in unstressed cells and 70 that the association is disrupted in the presence of ER stress (Bertolotti et al., 2000; Okamura 71 et al., 2000; Oikawa et al., 2009; Carrara et al., 2015). The activation of ATF6α appears to be 72 slightly different from other two sensors since it seems to form oligomers under unstressed 73 conditions but associated with BiP (Nadanaka et al. 2007; Gallagher et al., 2016; Shen et al., 74 75 2002). Upon ER stress, ATF6a moves from the ER to the Golgi, which appears to correlate with the release of BiP from ATF6 $\alpha$  (Shen et al., 2002). 76

77

In the second model, unfolded proteins may directly bind to the luminal sensor 78 domains of UPR sensors with concomitant release of BiP from the luminal domains. This 79 binding may drive oligomerization change and activation of UPR sensors (Walter and Ron, 80 2011). The first evidence supporting this model came from crystal structures of yeast Irelp 81 luminal domain, which resembles the peptide-binding groove of MHC-I (Credle et al., 2005). 82 Based on this, the Peter Walter group proposed the peptide-binding hypothesis. This idea is 83 corroborated by the detection of interaction between misfolded proteins and Ire1p (Kimata et 84 al., 2007; Gardener et al., 2011). However, there are studies challenge the peptide-binding 85 86 model. First, the human IRE1a luminal domain structure exhibits a narrow peptide-binding groove of MHC-1, which may not accommodate misfolded proteins, although a recent study 87 suggests a mutation in the groove of MHC-1 seems to interfere with the detection of 88 misfolded proteins in the ER lumen (Kono et al., 2017). Unlike yeast Ire1p, human IRE1a 89 90 does not seem to interact with misfolded proteins (Oikawa et al., 2012). Second, the fact that monomeric form of IRE1a cannot bind to unfolded peptides in vitro raises the question of 91 how monomers of IRE1a can efficiently bind to misfolded proteins in cells during ER stress 92 conditions (Gardner and Walter, 2011). 93

94

It has been challenging to determine which of these models is occurring in 95 mammalian cells. One of the key requirements to test these different models is to monitor the 96 endogenous oligomeric complexes of all three UPR sensors under homeostatic and ER stress 97 conditions in cells. Although size fractionation assays to probe the oligomerization of UPR 98 sensors were successful, they were laborious to test different time points of stress since it 99 involves examining several fractionated samples. This approach is further complicated by the 100 fact that all three UPR sensors are relatively low abundant proteins in cells. Thus, it is not 101 feasible to detect these proteins in diluted size-fractionated samples. An imaging-based 102

approach that monitors ER stress dependent higher order oligomers (or clusters) proves to be useful for probing IRE1 $\alpha$  activation in both in yeast and human cells. However, there is less evidence for the ER stress-dependent cluster formation at the endogenous levels of IRE1 $\alpha$ (Sundaram et al., 2017).

107

Blue native poly acrylamide gel electrophoresis (BN-PAGE) immunoblotting has 108 109 been successfully used to monitor the complex or oligomer formation of mitochondrial protein import machinery (Wittig et al., 2006). Recent studies have used BN PAGE to follow 110 111 the dynamics of the Sec61 translocon complexes during the translocation into the ER lumen (Conti et al., 2011) and ligand-dependent oligomerization of NLRC4 inflammasome (Kofoed 112 and Vance 2011). We have recently used this approach to specifically monitor the role of the 113 Sec61 translocon in controlling IRE1α complexes (Sundaram et al., 2017). In the current 114 study, we have employed this technique to investigate oligomerization dynamics of all three 115 endogenous UPR sensors during ER stress. We found that all three UPR sensors existed as 116 oligomeric complexes even under homeostatic conditions. BN-PAGE can robustly detect ER 117 stress dependent changes in the oligomeric complexes of PERK and ATF6a. While the 118 endogenous oligometric complexes of IRE1 $\alpha$  were not significantly changed during ER stress, 119 120 a slight overexpression of IRE1 $\alpha$  exhibited oligomerization changes in an ER stress dependent manner. Surprisingly, depletion of BiP had less impact on the oligomeric 121 complexes of UPR sensors. Also, overexpressing BiP did not affect the oligomeric complexes 122 of UPR, but significantly reduced all three UPR sensors sensitivity to respond to the 123 124 accumulation of misfolded proteins. Thus, our results find less evidence for the BiP-mediated activation of UPR sensors, but rather support that misfolded proteins binding to preformed 125 oligomers of UPR sensors may be crucial for activation. 126

- 127
- 128

#### 129 **Results**

130

# 131 Changes in the endogenous complexes of UPR sensors under homeostatic and ER stress132 conditions

133 To monitor the changes in the endogenous complexes of IRE1α, PERK or ATF6α during

homeostatic and ER stress conditions, we used a BN-PAGE immunoblotting procedure

135 (Wittig et al., 2006; Sundaram et al., 2017). HEK293 cells were treated with thapsigargin

136 (TG), which induces ER stress by inhibiting calcium transport into the ER, and prepared

digitonin lysates for BN-PAGE immunoblotting. The activation of the endogenous IRE1a 137 was monitored by probing its phosphorylation status using a phos-tag based immunoblotting 138 (Yang et al., 2009; Sundaram et al., 2017). A significant proportion of IRE1a was activated 139 after one hour of ER stress and inactivated within six hours of ER stress treatment (Figure 140 1A). In accordance with previous studies (Lin et al., 2007; Sundaram et al., 2017), PERK was 141 activated throughout the stress period as shown by its phosphorylation (Figure 1A). ATF6a 142 was activated upon ER stress as shown by the loss of signal due to the proteolytic release of 143 the N-terminal fragment after its migration to the Golgi apparatus (Figure 1A). Similar to 144 IRE1a, ATF6a was robustly attenuated within eight hours of stress period since the full-145 length ATF6α signal appeared back during the later hours of ER stress (Figure 1A). 146 147

- Consistent with our previous findings (Sundaram et al., 2017), BN-PAGE 148 immunoblotting revealed that IRE1a existed as predominantly two complexes: ~480 kDa and 149  $\sim$ 720 kDa complexes. Changes in IRE1 $\alpha$  complexes were not apparent between unstressed 150 and stressed cells, albeit the ~240kDa band become disappeared upon ER stress and appeared 151 back in the later hours of ER stress (Figure 1B). ER stress dependent changes in PERK 152 complexes were evident since PERK moved from a ~900 kDa complex to a ~1200 kDa 153 154 complex upon ER stress (Figure 1C). BN-PAGE detected two large complexes of ATF6a under homeostatic conditions: ~720 kDa and ~1200 kDa, which were nearly disappeared 155 during initial hours of ER stress and appeared back in the later hours of ER stress (Figure 156 1D). To rule out the possibility that oligomerization changes of UPR sensors on BN-PAGE 157 are specific to TG treatment, we performed BN-PAGE analysis with cells treated with DTT, 158 which induces protein misfolding in the ER by blocking protein disulfide bond formation. All 159 three UPR sensors were robustly activated in cells treated with DTT (Figure 1E). In line with 160 TG treatment, there were no significant changes in IRE1a complexes between unstressed and 161 stressed cells (Figure 1F). Interestingly changes in PERK complexes were less noticeable 162 with DTT treatment compared to TG treatment since not all the 900 kDa complex moved to 163 the 1200 kDa complex (compare, Figure 1C and Figure 1G). ATF6a signal was disappeared 164 throughout DTT treatment, suggesting that the ER is experiencing continuous stress and is 165 not restored (Figure 1F). 166
- 167

Since ATF6α is proteolytically cleaved during ER stress, we were not able to detect
 the changes in ATF6α complexes. Furthermore, our ATF6α antibody failed to detect cleaved
 both N- and C-terminal fragments of ATF6α. To determine the changes in ATF6α complexes

during ER stress, we inhibited S1P and S2P proteases that are responsible for the cleavage of 171 ATF6α using a previously described serine protease inhibitor, 4-(2-aminoethyl) benzene 172 sulfonyl fluoride hydrochloride (AEBSF) (Okada et al., 2003). In the presence of the 173 inhibitor, ATF6α cleavage was nearly abolished as shown by immunoblotting (Figure 1I, 174 bottom). Interestingly, the larger complex of 1200 kDa band significantly reduced during ER 175 stress, whereas little change occurred with the smaller complex of 720 kDa (Figure 11), 176 177 suggesting that ER stress dependent decreased oligomerization of ATF6a is necessary for its transport to the Golgi apparatus. 178

179

Since all three UPR sensors appeared as large complexes on BN-PAGE, we wanted to 180 exclude the possibility that the slow migration of UPR sensors on BN-PAGE was caused by 181 their association with lipid or/and detergent micelles. We therefore tested the endogenous 182 complexes of UPR sensors by a chemical crosslinking approach. HEK293 cells were treated 183 with a cysteine reactive crosslinker and analyzed by a low percentage standard SDS PAGE 184 immunoblotting. Remarkably, consistent with BN-PAGE data, all three UPR sensors entirely 185 shifted to high molecular weight crosslinked adducts both in unstressed and stressed cells 186 (Figure 1- figure supplement A, B, and C). Although BiP is a vastly abundant chaperone than 187 188 all three UPR sensors, it showed significantly less crosslinked adducts compared to UPR sensors (Figure 1- figure supplement 1D). Given that the total protein profile did not 189 190 significantly change with all concentrations of the crosslinker suggests that only stable oligomers like UPR sensors can be efficiently crosslinked at these concentrations (Figure 1-191 192 figure supplement 1E). For IRE1 $\alpha$  and ATF6 $\alpha$ , we do not expect to detect changes in crosslinked adducts between unstressed and stressed cells because the former did not change 193 with stress on BN-PAGE, and the signal for the later mostly disappeared with stress. 194 Interestingly, PERK also did not show any noticeable change in crosslinked adducts between 195 unstressed and stressed cells (Figure 1- figure supplement 1B). The precise reason for this is 196 unclear, but it is likely due to the limited resolution of the SDS PAGE to differentiate ~900 197 kDa complex of PERK in unstressed cells from ~1200 kDa complex in stressed cells. 198 Collectively, these results suggest that all three UPR sensors existed as preformed oligomeric 199 complexes and become activated upon ER stress by changing the oligomerization status for 200 201 both PERK and ATF6 $\alpha$ , but little to no change in IRE1 $\alpha$  oligomerization at the endogenous levels. 202 203

- 205
- 204

#### 205 Overexpression of IRE1a exhibits ER stress dependent changes in its complexes

Although ER stress dependent changes in UPR complexes were obvious for both PERK and 206 ATF6 $\alpha$ , we were not able to detect changes in IRE1 $\alpha$  complexes. This was surprising to us 207 since previous studies have suggested that stress dependent higher order oligomerization is 208 important for IRE1a activity (Li et al., 2010). We therefore increased the intensity of ER 209 stress to detect changes in IRE1a complexes. All three UPR sensors were robustly activated 210 from low to high concentrations of DTT treatment (Figure 2A). Surprisingly, even at a high 211 dosage of DTT treatment, we did not notice appreciable changes in IRE1a complexes (Figure 212 2A). However, the size of PERK complexes enhanced with increasing concentration of the 213 stress, whereas ATF6a signal disappeared at all concentrations of DTT treatment (Figure 2, C 214 and D). 215

216

We hypothesized that ER stress dependent changes were not detected for IRE1a 217 complexes since the concentration of the endogenous IRE1 $\alpha$  is extremely low to form higher 218 order oligomers (Kulak et al., 2014). To test this, we used HEK293 IRE1 $\alpha$ -/- cells 219 complemented with recombinant IRE1 $\alpha$ , expression of which is relatively higher than the 220 endogenous IRE1a, but it showed only a little constitutive activation under homeostatic 221 222 conditions (Figure 2, E and F). In supporting our hypothesis, the overexpressed IRE1a exhibited an ER stress dependent change in its complexes on BN-PAGE since a large 1200 223 kDa complex appeared with increasing concentrations of DTT (Figure 2G). By contrast to 224 TG treatment, ER stress dependent change was less noticeable for PERK complexes, whereas 225 226 ATF6 $\alpha$  signal disappeared upon treating with DTT (Figure 2, H and I). We next simultaneously compared the effect of TG or DTT treatment that had on recombinant IRE1a 227 complexes. At low and high-stress treatment with either TG or DTT resulted in efficient 228 activation of all three UPR sensors (Figure 2J). Consistent with our previous studies 229 (Sundaram et al., 2017), ER stress dependent changes in recombinant IRE1a complexes were 230 not obvious under low-stress conditions with TG treatment, but a modest increase in the size 231 of IRE1a complexes occurred under high-stress conditions with TG treatment (Figure 2K). 232 However, ER stress dependent increase in the size of recombinant IRE1 $\alpha$  complexes was 233 conspicuous under both low and high-stress conditions with DTT treatment (Figure 2K). The 234 size of PERK complexes increased under both under low and high-stress conditions with TG 235 treatment, but their size increase was apparent with only high-stress conditions with DTT 236 treatment (Figure 2L). As expected, ATF6a complexes were responsive to both low and high-237 stress conditions as ATF6a signal disappeared under both conditions (Figure 2M). Together 238

these results suggest that the activation of endogenous IRE1α does not require a significantchange in its oligomeric complexes.

241

### 242 Depletion of BiP has little effects on complexes and activation of UPR sensors

Since BN-PAGE can detect ER stress dependent changes in complexes of IRE1a, PERK, or 243 ATF6α, we wanted to test the role of BiP in regulating oligomerization of these complexes in 244 245 cells. Since BiP has been suggested to be a negative regulator by inhibiting the oligomerization and activation of UPR sensors (Okamura et al., 2000; Bertolotti et al., 2000), 246 we expected that depletion of BiP might lead to significant changes in oligomeric complexes 247 of UPR sensors in both unstressed and stressed cells. On the other hand, the depletion of 248 Sec61 translocon would serve as a control since its depletion selectively changes IRE1 $\alpha$ 249 complexes as well as activates IRE1 $\alpha$  (Sundaram et al., 2017). We therefore transiently 250 depleted BiP or the Sec61 translocon in cells using siRNA-mediated knockdown. Probing the 251 phosphorylation status of IRE1 $\alpha$  and PERK revealed that a small amount of IRE1 $\alpha$  and 252 PERK were activated in BiP depleted cells during unstressed conditions and that became 253 fully activated upon ER stress (Figure 3A). Depletion of BiP appeared to have little to no 254 effect on the cleavage of ATF6α in unstressed as well as stressed cells relative to control 255 256 siRNA depleted cells (Figure 3A). Consistent with the previous studies (Adamson et al., 2016), depletion of the Sec61 translocon selectively activated about 50% of IRE1 $\alpha$  in 257 unstressed cells, and that became fully activated upon ER stress. While the depletion of the 258 Sec61 translocon did not affect PERK, a significant loss of ATF6α signal occurred relative to 259 260 the control (Figure 3A). Since our ATF6α antibodies only detect the uncleaved form of ATF6 $\alpha$ , we were not able to validate whether the loss of signal represented the activation of 261 ATF6 $\alpha$  or the level of ATF6 $\alpha$  was reduced owing to the depletion of the Sec61. ATF6 $\alpha$  was 262 also not efficiently activated in the Sec61 translocon depleted cells upon ER stress since it 263 remains predominantly uncleaved upon ER stress (Figure 3A). Intriguingly, the levels of 264 IRE1a and PERK were slightly increased upon either depleting BiP or the Sec61 translocon 265 in cells (Figure 3A). 266

267

BN-PAGE analysis of BiP depleted cells revealed no significant changes occurred
with complexes of all three UPR sensors in both unstressed cells and stressed cells in
comparison to control siRNA treated cells (Figure 3, B-D). Consistent with our recent studies
(Sundaram et al., 2017), depletion of the Sec61 translocon led to a enrichment of 720 kDa
complex of IRE1α compared to control or BiP siRNA treated cells both under normal and

stress conditions (Figure 3B). In contrast, the Sec61 translocon depletion did not affect either

- 274 PERK or ATF6α complexes (Figure 3C, D). Unlike all three UPR sensors, BiP migrated as
- predominantly a single species ~140 kDa on BN-PAGE, whereas the Sec61 translocon ran
- predominantly as a  $\sim$ 140 kDa form as well as a  $\sim$  350 kDa form, which is in agreement with
- previous studies (Figure 3E, F) (Conti et al., 2015; Sundaram et al., 2017). Together these
- data suggest that the depletion of BiP had minor effects on the complexes and activation of
- all three UPR sensors both under unstressed and stressed conditions.
- 280

# BiP overexpression does not impact complexes of UPR sensors but suppresses activation of UPR sensors

We next tested whether overexpression of BiP would reduce the size of UPR complexes as 283 well as blocks the activation of UPR sensors. Consistent with the previous studies (Kohno et 284 al., 1993; Bertolotti et al., 2000), overexpression of BiP above the endogenous level 285 significantly suppressed the activation of IRE1 $\alpha$  as reflected by a significantly reduced 286 phosphorylation of IRE1a upon TG induced ER stress (Figure 4A). To our surprise, PERK 287 was activated as shown by its phosphorylation status even in BiP overexpressing cells treated 288 with TG, albeit slightly less efficient than the control. Interestingly, the ATF6a protein level 289 290 was about two-fold increased in BiP overexpressing cells and that the ER stress dependent cleavage of ATF6 $\alpha$  was nearly blocked (Figure 4A). BN PAGE analysis of IRE1 $\alpha$  in BiP 291 292 overexpressing cells revealed that the overall pattern of IRE1a complexes was not significantly affected in the presence or absence of the TG treatment (Figure 4B). However, 293 294 we noticed a large complex (~1200 kDa) that specifically formed for IRE1a upon BiP overexpression in a non-ER stress dependent manner. BiP overexpression also did not affect 295 the size of PERK complexes in unstressed cells. Consistent with the activation data, PERK 296 normally moved from a smaller complex to a large complex on BN-PAGE in BiP 297 overexpressing cells upon treatment with TG (Figure 4, A and B). ATF6α complexes were 298 not affected by BiP overexpression in unstressed cells, but it blocked the disappearance of 299 ATF6α complexes upon ER stress (Figure 4D). These results were further corroborated by 300 BiP overexpressing cells treated with DTT (Figure 4, E-H). Nevertheless, we observed one 301 particular difference for PERK between TG and DTT treated cells. While the activation of 302 PERK was not significantly affected by BiP overexpression in TG treated cells, it nearly 303 blocked activation of PERK upon DTT treatment (Figure 4, E and G). Taken together, our 304 data suggest that the overexpression of BiP does not impact the oligomeric complexes of all 305

three UPR sensors, but interferes with the activation of UPR sensors upon ER stress,

307 presumably by sequestering misfolded proteins away from UPR sensors.

308

#### **IRE1***α* can interact with misfolded proteins in cells

The results above argue against the model that BiP binds to monomers of UPR sensors and 310 inhibits constitutive oligomerization and activation. We therefore favour the model that 311 312 misfolded proteins might bind and activate the preformed oligomeric complexes of UPR sensors, which would have a higher affinity for misfolded proteins. To support this model, we 313 attempted to capture the interaction between the UPR sensors and misfolded proteins in the 314 ER lumen. However, we failed to see the interaction by coimmuoprecipitation experiments. 315 We reasoned that either the interaction is weak or it is sensitive to immunoprecipitation 316 conditions. To circumvent this issue, we first expressed a misfolded alpha1-antitrypsin 317 variant, null (Hong Kong) (NHK), into HEK293 IRE1a-/- complemented with HA-tagged 318 IRE1a and induced ER stress with DTT. The cells were then treated with a reversible lysine 319 reactive crosslinker. The crosslinked samples were immunoprecipitated for IRE1a using an 320 HA antibody. Indeed, we noticed an interaction between IRE1a and misfolded antitrypsin 321 (Figure 5A), which was slightly improved with ER stress. As previously reported (Bertolotti 322 323 et al., 2000; Oikawa et al., 2009), we noticed an interaction between IRE1α and BiP, which was reduced as the intensity of the stress increased (Figure 5A). We obtained a similar result 324 when we treated cells with TG (Figure 5B). Thus, the interaction between misfolded 325 antitrypsin and IRE1a suggest that misfolded proteins bind and activate IRE1a and likely 326 327 other UPR sensors.

328

#### 329 **Discussion**

In mammalian cells, three UPR branches, IRE1a, PERK and ATF6a become activated upon 330 accumulation of misfolded proteins in the ER (Walter and Ron, 2011). Once activated, these 331 UPR sensors initiate transcriptional and translational programs to improve the protein folding 332 capacity of the ER. How these UPR sensors detect the accumulation of misfolded proteins, 333 and how they become activated have been debated in the field (Snapp, 2012). In the first 334 model, the luminal sensor domains of all three UPR sensors bind to BiP under homeostatic 335 conditions (Bertolotti et al., 2000; Okamura et al., 2000; Shen et al., 2002; Carrara et al., 336 2005). As misfolded proteins accumulate during ER stress, BiP releases, and the UPR sensors 337 become activated. In the second model, misfolded proteins directly bind and activate all three 338 UPR sensors during ER stress (Gardner and Walter, 2011; Gardner et al., 2013). In both 339

models, oligomerization changes in UPR sensors appear to play a crucial role in activation.
One critical barrier to test these different models is to reliably monitor the changes in
oligomerization status of all three UPR sensors during ER stress conditions. In the present
study, we have monitored all three UPR sensors side by side by employing a BN-PAGE
immunoblotting technique. This method allows us to directly compare changes in complexes
of all three UPR sensors in unstressed and stressed cells (Figure 5C).

346

Our BN-PAGE data suggest that all three UPR sensors existed as preformed 347 348 oligomers under homeostatic conditions. There are two alternative possibilities to this claim. First, it is likely that preformed oligomers of UPR sensors may reflect their interaction with 349 their partner proteins in cells. Second, it is possible that the UPR sensors migrate slower in 350 the BN-PAGE due to their association with lipids and detergent micelles, although all three 351 sensors have only a single transmembrane domain. However, there are several of our 352 observations argue against these alternative possibilities. First, our crosslinking data provide 353 evidence that all three endogenous UPR sensors completely shifted to larger size crosslinked 354 adducts upon chemical crosslinking, suggesting that they form stable oligomeric complexes. 355 Second, BiP is a known interacting protein of all three UPR sensors, but its depletion does 356 357 not result in any apparent changes in the size of UPR complexes, suggesting that interacting proteins of UPR sensors may not significantly impede their migration on BN-PAGE. Third, 358 359 the fact that IRE1a and to a lesser extent PERK with DTT treatment can be activated with no significant changes in oligomerization suggest that they are already in preformed oligomers 360 361 since the monomeric form of IRE1 $\alpha$  is inactive (Zhou et al., 2006; Li et al., 2010). Furthermore, our BN-PAGE data with ATF6a is consistent with previous studies that the 362 ATF6 $\alpha$  appears to be in higher order oligomers under homeostatic conditions (Nadanaka et 363 al. 2007; Gallagher et al., 2016). 364

365

The endogenous IRE1α complexes exhibit little changes upon activation by ER stress 366 treatment even at high concentrations of DTT. This data is contrary to the current model that 367 IRE1 $\alpha$  is proposed to be in monomers in unstressed cells and becomes oligomerized for 368 activation upon ER stress (Kimata and Kohno, 2011; Hetz, 2012; Walter and Ron, 2011). 369 It is unlikely that BN-PAGE cannot detect IRE1a oligomerization status since it can 370 apparently detect the oligomerization changes for PERK and ATF6a. Moreover, ER stress 371 dependent oligomerization changes for IRE1a can be observed with a slight overexpression 372 of IRE1 $\alpha$ . At present, it is unclear why the endogenous IRE1 $\alpha$  cannot be further oligomerized 373

upon ER stress. One possibility is that there are not sufficient oligomers of IRE1 $\alpha$  in the ER 374 membrane to form higher order oligomers upon ER stress. Alternatively, unlike PERK and 375 ATF6 $\alpha$ , the Sec61 translocon represses IRE1 $\alpha$  oligomerization, thereby attenuating IRE1 $\alpha$ 376 activity during ER stress (Plumb et al., 2015; Sundaram et al., 2017). However, 377 overexpression of IRE1a can form ER stress dependent higher order oligomers since the 378 overexpression results in free IRE1a oligomers that are not associated with Sec61 (Plumb et 379 380 al., 2015). Interestingly, ER stress dependent changes in oligomerization of recombinant IRE1a are apparent with DTT treatment, but less noticeable with TG treatment, suggesting 381 382 that IRE1 $\alpha$  is more responsive to DTT treatment. While PERK is robustly oligometrized and activated by TG induced ER stress, it is slightly less sensitive to DTT induced 383 oligomerization and activation, which is in agreement with the earlier studies (DuRose et al., 384

385

2006).

386

ATF6α signal quickly returns within six hours of ER stress treatment, suggesting that 387 the activation of ATF6 $\alpha$  is attenuated despite the presence of ER stress. In contrast to 388 previous findings (Lin et al., 2007), ATF6α inactivation rate is very similar to attenuation of 389 IRE1a signalling during ER stress. This discrepancy may be due to the use of heterologously 390 391 expressed ATF6 $\alpha$  in the previous studies, which responds inefficiently to ER stress, whereas the endogenous ATF6 $\alpha$  in the current study and studies from the Mori group show a robust 392 activation and inactivation to ER stress treatments (Okada et al., 2003). Unlike IRE1a and 393 PERK, changes in oligomerization of ATF6α cannot easily be monitored since the loss of 394 395 ATF6α signal owing to the release of the cytosolic N-terminal domain of ATF6α from its membrane anchor domain during ER stress. However, the inhibition of ATF6 $\alpha$  cleavage by 396 AEBSF (Okada et al., 2003) proves to be a useful tool to monitor ER stress dependent 397 conversion of two different ATF6a oligomeric complexes to a single oligomeric complex. It 398 remains to be determined whether the protease inhibitor has any secondary effects on the 399 oligomerization of ATF6a. 400

401

Our ability to monitor stress dependent changes in all three UPR sensors in parallel
motivated us to test the role of BiP in inhibiting the oligomerization of these sensor proteins.
According to this model, we predicted that the depletion of BiP should enhance the
oligomerization of UPR sensors, whereas overexpression of BiP should reduce the size of
UPR oligomers even under normal conditions. However, we found no significant changes in
oligomerization of all three UPR sensors upon significant depletion of BiP in cells. This is

also further supported by our observation that all three UPR sensors are largely remain inactive upon BiP depletion. Furthermore, it is unclear why the depletion of BiP leads to slightly increased protein levels for IRE1 $\alpha$  and PERK. It is likely that BiP may be involved in the turnover of these UPR sensors since a recent study implicates BiP in the degradation of IRE1 $\alpha$  (Sun et al., 2015). It remains to be seen whether the residual amount of BiP in the depleted cells is sufficient to bind and suppress the activation of UPR sensors under homeostatic conditions.

415

Consistent with the previous studies, the overexpression of BiP effectively suppressed 416 all three UPR sensors under DTT stress conditions (Kohno et al., 1993; Bertolotti et al., 417 2000). Surprisingly, PERK can still be activated by TG treatment, whereas the activation of 418 IRE1α and ATF6α are suppressed. This result implies that PERK is the most sensitive arm of 419 the UPR and such that it is also not easily attenuated during ER stress conditions. 420 Furthermore, the overexpression BiP has no impact on reducing the size of oligomers of UPR 421 sensors. Taken together, these results raise the question, how are UPR sensors activated? Our 422 data point to the peptide-binding model (Credle et al., 2005), where UPR sensors are 423 activated by directly binding to misfolded proteins (Figure 5C). Importantly, our findings of 424 425 preformed oligomers of UPR sensors in unstressed cells may explain the robust nature of UPR response even at low levels of ER stress (Rutkowski et al., 2006) since oligomers of 426 427 UPR sensors would have a higher affinity for misfolded proteins (Gardner et al., 2011). Our crosslinking data that captures the interaction between IRE1 $\alpha$  and a misfolded protein further 428 429 support the peptide-binding model. However, it remains to be determined whether PERK and ATF6a can also interact with misfolded proteins. In this model, the interaction between UPR 430 sensors and BiP might play an important role by increasing the local BiP concentration 431 around UPR sensors, thus preventing inappropriate activation during homeostatic conditions. 432 Future studies should focus on how binding of misfolded proteins could activate UPR sensors 433 using assays that use physiological concentration of purified full-length UPR sensor proteins. 434 It is also necessary to consider using different misfolded substrates for binding studies with 435 UPR sensors since each UPR sensor may prefer different misfolded substrates. 436 437

438 Materials and methods

439

440 Antibodies and Reagents:

- 441 Antibodies were purchased: anti-IRE1α (3294, Cell Signaling, RRID:AB 823545), anti-
- 442 PERK (3192, Cell Signaling, RRID:AB 2095847), anti-IRE1α (20790, Santa Cruz,
- 443 RRID:AB\_2098712 ), anti-Tubulin (ab7291, Abcam, RRID:AB\_2241126), anti-BiP/GRP78
- 444 (610979, BD Biosciences, RRID:AB\_398292). Anti-HA, anti-Sec61α, and anti-GFP were a
- 445 gift from Dr. Ramanujan Hegde. Anti-mouse Goat HRP (11-035-003, Jackson
- 446 Immunoreserach), anti-rabbit Goat HRP (111-035-003, Jackson Immunoreserach, RRID:
- 447 AB\_2313567), and anti-HA magnetic beads (88836, Fisher scientific).
- 448
- 449 Reagents were purchased: DMEM (10-013-CV, Corning), FBS (89510-186, VWR),
- 450 Penicillin/Streptomycin (15140122, Gibco), Lipofectamine 2000 (11668019, Invitrogen),
- 451 Doxycycline (631311, Clontech), AEBSF (A8456, Sigma), TG (BML-PE180-0005, Enzo
- 452 Life Sciences), Tunicamycin (T7765, Sigma), BMH (bismaleimidohexane) (22330,
- 453 ThermoFisher), DSP (dithiobis(succinimidyl propionate)) (22585, ThermoFisher) Protease
- 454 inhibitor cocktail (11873580001, Roche), Digitonin (300410, EMD Millipore), Phos-tag
- 455 (300-93523, Wako), 3-12% BN-PAGE Novex Bis-Tris Gel (BN1003BOX, Invitrogen),
- 456 SuperSignal West Pico or Femto Substrate (34080 or 34095, Thermo Scientific). All other
- 457 common reagents were purchased as indicated in the method section.
- 458

### 459 Cell culture and ER stress treatment

HEK 293-Flp-In T-Rex cells were cultured in high glucose DMEM (Corning) containing
10% FBS (Gibco), 100 U/ml penicillin and 100 µg/ml streptomycin (Gibco) at 5% CO2.
IRE1αα-/- HEK293-Flp-In T-Rex cells and IRE1α complemented cells were previously
described (Plumb et al., 2015). The cells were transfected with plasmid or siRNA oligos

- using Lipofectamine 2000 according to manufacturer's protocol. For ER stress treatment,
- HEK293 cells were counted and plated in 6 well plates  $(1.5 \times 10^6)$  and grown overnight. The
- cells were then treated with either DTT or TG. All the concentrations and treatment time
- 467 were as indicated in either result or figure sections.
- 468

For the depletion experiments,  $0.5 \times 10^6$  cells were plated and transfected next day with either BiP siRNA (GGAGCGCAUUGAUACUAGA) or Sec61 $\alpha$  siRNA (Plumb et al., 2015). After 24 hours of first transfections, cells were again transfected with siRNA oligos. After 72 hours of the first transfection, cells were treated with TG as indicated in the figure legends. For BiP expression experiment, 0.5 x 10<sup>6</sup> cells were plated and grown overnight. The cells were then transfected with transfected with rat BiP plasmid (a kind gift from Dr.

475 Ramanujan Hegde) or empty vector. After 24 hours of transfection, cells were treated with

476 ER stress inducers as mentioned in the figure legends. After the treatment, cells were washed

with 1xPBS, harvested in 1.2ml 1xPBS. The cells were spun at 10,000g for 1 minute, and the

- 478 pellets were flash frozen and stored at -80C.
- 479

### 480 Chemical crosslinking

481 HEK293 cells were plated on six well plates ( $0.75 \times 10^6$  cells/ well) and grown overnight. 482 The cells were either left untreated or treated with 5µg TG/ml for 60 minutes. After the 483 treatment, the cells were washed once with KHM buffer (20mM HEPES pH 7.4, 110mM 484 NaCl, 2mM MgAc) and permeabilized with 0.005% Digitonin for 5min on ice. The digitonin 485 buffer was removed and washed once with KHM buffer. Subsequently, the permeabilized 486 cells on plates were treated with 0.5 to 10µM BMH in KHM buffer for 30 minutes on ice. 487 The cells were directly harvested in 2X SDS sample buffer, boiled, separated on 6% Tris-

488 Glycine based gels and immunoblotted with the indicated antibodies in the Figure S1.

489

490 For DSP crosslinking, HEK293 IRE1 $\alpha$ -/- cells complemented with IRE1 $\alpha$ -HA were plated on

491 six well plates (0.75 x  $10^6$  / well). The cells were then transfected with NHK  $\alpha$  1 antitrypsin

492 plasmid (a Kind gift from Dr. Peter Cresswell) and induced with 10 ng of Doxycycline to

drive the expression of IRE1 $\alpha$ -HA. After 24 hours of transfection, the cells were washed with

494 KHM and treated with 1mM DSP for 30 minutes at room temperature. The crosslinking

reaction was then quenched with 0.1M Tris pH 8.0 for 15 min and harvested in RIPA buffer

496 by incubating for 30 minutes in the cold room. The cell lysates were centrifuged at 18,500g

497 for 15 min at 4°C. The supernatant was incubated with anti-HA magnetic beads for 2 hours at

4°C, washed, eluted with 2X SDS sample buffer and processed for immunoblotting with the
indicated antigens in the figure.

500

### 501 **BN-PAGE Immunoblotting**

The cell pellets were lysed using 2% digitonin buffer (50mM BisTris pH 7.2, 1x protease
inhibitor cocktail [Roche], 100mM NaCl and 10% Glycerol) for 45 minutes. The cell lysates
were then diluted to a final concentration of 1% digitonin and 50mM NaCl and centrifuged at
18,500g for 20 minutes at 4°C. The supernatant was collected and mixed with BN-PAGE
sample buffer (Invitrogen) and 5% G520 (Sigma).

507

The samples were run using 3-12% BN-PAGE Novex Bis-Tris (Invitrogen) gel at 150 V for 1 508 hour with the dark blue buffer (50mM Tricine pH 7, 50mM BisTris pH 7 and 0.02% G250) at 509 room temperature. The dark blue buffer was then exchanged with the light blue buffer 510 (50mM Tricine pH 7, 50mM BisTris pH 7 and 0.002% G250) for 4 hours in the cold room. 511 To probe BiP, the gels were run for 1 hour with the dark blue buffer at room temperature and 512 3 hours with the light blue buffer in the cold room. After electrophoresis, the gel was gently 513 514 shaken in 1x Tris-Glycine-SDS transfer buffer for 20 minutes to remove the residual blue dye. The transfer was performed using PVDF membrane (EMD Millipore) for 1 hour and 30 515 minutes at 85V. After transfer, the membrane was fixed with 4% acetic acid and followed 516 with a standard immuno blotting procedure. 517

518

### 519 Phostag assay

- 520 IRE1α phosphorylation was detected by previously described method (Yang et al., 2010).
- 521 Briefly, 5% SDS PAGE gel was made containing 25µM Phos-tag (Wako). SDS-PAGE was

run at 100 V for 2 hours and 40 minutes. The gel was transferred to nitrocellulose (Bio-Rad)and followed with immunoblotting.

524

### 525 Acknowledgement

We thank Mariappan lab for useful discussions. We thank Sha Sun for providing comments
on the manuscript. A.S. was supported by the Rudolph J. Anderson Postdoctoral Fellowship.
This work is supported by the Yale School of Medicine start-up package and NIH

529 1R01GM11738601.

530

531

### 532 **References**

- Adamson, B., T.M. Norman, M. Jost, M.Y. Cho, J.K. Nunez, Y. Chen, J.E. Villalta, L.A.
- 534 Gilbert, M.A. Horlbeck, M.Y. Hein, R.A. Pak, A.N. Gray, C.A. Gross, A. Dixit, O. Parnas,
- A. Regev, and J.S. Weissman. 2016. A Multiplexed Single-Cell CRISPR Screening Platform
- Enables Systematic Dissection of the Unfolded Protein Response. Cell. 167:1867-1882
- 537 e1821.
- 538
- Ameri, K., and A.L. Harris. 2008. Activating transcription factor 4. Int J Biochem Cell Biol.
  40:14-21.
- 541

542	Anckar, J., and L. Sistonen. 2011. Regulation of HSF1 function in the heat stress response:
543	implications in aging and disease. Annu Rev Biochem. 80:1089-1115.
544	
545	Aragon, T., E. van Anken, D. Pincus, I.M. Serafimova, A.V. Korennykh, C.A. Rubio, and P.
546	Walter. 2009. Messenger RNA targeting to endoplasmic reticulum stress signalling sites.
547	Nature. 457:736-740.
548	
549	Arsene, F., T. Tomoyasu, and B. Bukau. 2000. The heat shock response of Escherichia coli.
550	Int J Food Microbiol. 55:3-9.
551	
552	Bertolotti, A., Y. Zhang, L.M. Hendershot, H.P. Harding, and D. Ron. 2000. Dynamic
553	interaction of BiP and ER stress transducers in the unfolded-protein response. Nat Cell Biol.
554	2:326-332.
555	
556	Brodsky, J.L. 2012. Cleaning up: ER-associated degradation to the rescue. Cell. 151:1163-
557	1167.
558	
559	Calfon, M., H. Zeng, F. Urano, J.H. Till, S.R. Hubbard, H.P. Harding, S.G. Clark, and D.
560	Ron. 2002. IRE1 $\alpha$ couples endoplasmic reticulum load to secretory capacity by processing
561	the XBP-1 mRNA. Nature. 415:92-96.
562	
563	Carrara, M., F. Prischi, P.R. Nowak, M.C. Kopp, and M.M. Ali. 2015. Noncanonical binding
564	of BiP ATPase domain to Ire1 and Perk is dissociated by unfolded protein CH1 to initiate ER
565	stress signaling. Elife. 4.
566	
567	Christianson, J.C., and Y. Ye. 2014. Cleaning up in the endoplasmic reticulum: ubiquitin in
568	charge. Nat Struct Mol Biol. 21:325-335.
569	
570	Conti, B.J., P.K. Devaraneni, Z. Yang, L.L. David, and W.R. Skach. 2015. Cotranslational
571	stabilization of Sec62/63 within the ER Sec61 translocon is controlled by distinct substrate-
572	driven translocation events. Mol Cell. 58:269-283.
573	

- 574 Cox, J.S., C.E. Shamu, and P. Walter. 1993. Transcriptional induction of genes encoding
  575 endoplasmic reticulum resident proteins requires a transmembrane protein kinase. Cell.
- 576 73:1197-1206.
- 577
- 578 Credle, J.J., J.S. Finer-Moore, F.R. Papa, R.M. Stroud, and P. Walter. 2005. On the
- 579 mechanism of sensing unfolded protein in the endoplasmic reticulum. Proc Natl Acad Sci U
- 580 S A. 102:18773-18784.
- 581
- DuRose, J.B., A.B. Tam, and M. Niwa. 2006. Intrinsic capacities of molecular sensors of the
  unfolded protein response to sense alternate forms of endoplasmic reticulum stress. Mol Biol
  Cell. 17:3095-3107.
- 585
- 586 Ellgaard, L., and A. Helenius. 2003. Quality control in the endoplasmic reticulum. Nat Rev587 Mol Cell Biol. 4:181-191.
- 588
- Gallagher, C.M., and P. Walter. 2016. Ceapins inhibit ATF6αalpha signaling by selectively
  preventing transport of ATF6αalpha to the Golgi apparatus during ER stress. Elife. 5.
- Gardner, B.M., D. Pincus, K. Gotthardt, C.M. Gallagher, and P. Walter. 2013. Endoplasmic
  reticulum stress sensing in the unfolded protein response. Cold Spring Harb Perspect Biol.
  5:a013169.
- 595
- Gardner, B.M., and P. Walter. 2011. Unfolded proteins are Ire1-activating ligands thatdirectly induce the unfolded protein response. Science. 333:1891-1894.
- 598
- Han, D., A.G. Lerner, L. Vande Walle, J.P. Upton, W. Xu, A. Hagen, B.J. Backes, S.A.
- 600 Oakes, and F.R. Papa. 2009. IRE1αalpha kinase activation modes control alternate
- endoribonuclease outputs to determine divergent cell fates. Cell. 138:562-575.
- 602
- Harding, H.P., Y. Zhang, and D. Ron. 1999. Protein translation and folding are coupled by an
- endoplasmic-reticulum-resident kinase. Nature. 397:271-274.
- 605

606	Haze, K., H. Yoshida, H. Yanagi, T. Yura, and K. Mori. 1999. Mammalian transcription
607	factor ATF6 $\alpha$ is synthesized as a transmembrane protein and activated by proteolysis in
608	response to endoplasmic reticulum stress. Mol Biol Cell. 10:3787-3799.
609	
610	Hetz, C. 2012. The unfolded protein response: controlling cell fate decisions under ER stress
611	and beyond. Nat Rev Mol Cell Biol. 13:89-102.
612	
613	Hollien, J., and J.S. Weissman. 2006. Decay of endoplasmic reticulum-localized mRNAs
614	during the unfolded protein response. Science. 313:104-107.
615	
616 617 618	Hollien J, Lin JH, Li H, Stevens N, Walter P, Weissman JS. 2009. Regulated Ire1-dependent decay of messenger RNAs in mammalian cells. J Cell Biol. 186(3):323-31.
619	Kimata, Y., Y. Ishiwata-Kimata, T. Ito, A. Hirata, T. Suzuki, D. Oikawa, M. Takeuchi, and
620	K. Kohno. 2007. Two regulatory steps of ER-stress sensor Ire1 involving its cluster formation
621	and interaction with unfolded proteins. J Cell Biol. 179:75-86.
622	
623	Kimata, Y., and K. Kohno. 2011. Endoplasmic reticulum stress-sensing mechanisms in yeast
624	and mammalian cells. Curr Opin Cell Biol. 23:135-142.
625	
626	Kimata, Y., D. Oikawa, Y. Shimizu, Y. Ishiwata-Kimata, and K. Kohno. 2004. A role for BiP
627	as an adjustor for the endoplasmic reticulum stress-sensing protein Ire1. J Cell Biol. 167:445-
628	456.
629	
630	Kofoed, E.M., and R.E. Vance. 2011. Innate immune recognition of bacterial ligands by
631	NAIPs determines inflammasome specificity. Nature. 477:592-595.
632	
633	Korennykh, A.V., P.F. Egea, A.A. Korostelev, J. Finer-Moore, C. Zhang, K.M. Shokat, R.M.
634	Stroud, and P. Walter. 2009. The unfolded protein response signals through high-order
635	assembly of Ire1. Nature. 457:687-693.
636	
637	Kulak, N.A., G. Pichler, I. Paron, N. Nagaraj, and M. Mann. 2014. Minimal, encapsulated
638	proteomic-sample processing applied to copy-number estimation in eukaryotic cells. Nat
639	Methods. 11:319-324.

-		-
6	Λ	n
υ	4	υ

640	
641	Lee, A.H., N.N. Iwakoshi, and L.H. Glimcher. 2003. XBP-1 regulates a subset of
642	endoplasmic reticulum resident chaperone genes in the unfolded protein response. Mol Cell
643	Biol. 23:7448-7459.
644	
645	Li, H., A.V. Korennykh, S.L. Behrman, and P. Walter. 2010. Mammalian endoplasmic
646	reticulum stress sensor IRE1 $\alpha$ signals by dynamic clustering. Proc Natl Acad Sci U S A.
647	107:16113-16118.
648	
649	Lin, J.H., H. Li, D. Yasumura, H.R. Cohen, C. Zhang, B. Panning, K.M. Shokat, M.M.
650	Lavail, and P. Walter. 2007. IRE1 $\alpha$ signaling affects cell fate during the unfolded protein
651	response. Science. 318:944-949.
652	
653	Mori, K., W. Ma, M.J. Gething, and J. Sambrook. 1993. A transmembrane protein with a
654	cdc2+/CDC28-related kinase activity is required for signaling from the ER to the nucleus.
655	Cell. 74:743-756.
656	
657	Nadanaka, S., T. Okada, H. Yoshida, and K. Mori. 2007. Role of disulfide bridges formed in
658	the luminal domain of ATF6 $\alpha$ in sensing endoplasmic reticulum stress. Mol Cell Biol.
659	27:1027-1043.
660	
661	Oikawa, D., Y. Kimata, and K. Kohno. 2007. Self-association and BiP dissociation are not
662	sufficient for activation of the ER stress sensor Ire1. J Cell Sci. 120:1681-1688.
663	
664	Oikawa, D., Y. Kimata, K. Kohno, and T. Iwawaki. 2009. Activation of mammalian
665	IRE1aalpha upon ER stress depends on dissociation of BiP rather than on direct interaction
666	with unfolded proteins. Exp Cell Res. 315:2496-2504.
667	
668	Oikawa, D., A. Kitamura, M. Kinjo, and T. Iwawaki. 2012. Direct association of unfolded
669	proteins with mammalian ER stress sensor, IRE1abeta. PLoS One. 7:e51290.
670	
671	Okada, T., K. Haze, S. Nadanaka, H. Yoshida, N.G. Seidah, Y. Hirano, R. Sato, M. Negishi,
672	and K. Mori. 2003. A serine protease inhibitor prevents endoplasmic reticulum stress-induced

673	cleavage but not transport of the membrane-bound transcription factor $ATF6\alpha$ . J Biol Chem.
674	278:31024-31032.
675	
676	Okamura, K., Y. Kimata, H. Higashio, A. Tsuru, and K. Kohno. 2000. Dissociation of
677	Kar2p/BiP from an ER sensory molecule, Ire1p, triggers the unfolded protein response in
678	yeast. Biochem Biophys Res Commun. 279:445-450.
679	
680	Pincus, D., M.W. Chevalier, T. Aragon, E. van Anken, S.E. Vidal, H. El-Samad, and P.
681	Walter. 2010. BiP binding to the ER-stress sensor Ire1 tunes the homeostatic behavior of the
682	unfolded protein response. PLoS Biol. 8:e1000415.
683	
684	Plumb, R., Z.R. Zhang, S. Appathurai, and M. Mariappan. 2015. A functional link between
685	the co-translational protein translocation pathway and the UPR. Elife. 4.
686	
687	Rapoport, T.A. 2007. Protein translocation across the eukaryotic endoplasmic reticulum and
688	bacterial plasma membranes. Nature. 450:663-669.
689	
690	Rutkowski, D.T., S.M. Arnold, C.N. Miller, J. Wu, J. Li, K.M. Gunnison, K. Mori, A.A.
691	Sadighi Akha, D. Raden, and R.J. Kaufman. 2006. Adaptation to ER stress is mediated by
692	differential stabilities of pro-survival and pro-apoptotic mRNAs and proteins. PLoS Biol.
693	4:e374.
694	
695	Schindler, A.J., and R. Schekman. 2009. In vitro reconstitution of ER-stress induced ATF6a
696	transport in COPII vesicles. Proc Natl Acad Sci U S A. 106:17775-17780.
697	
698	Schuldiner, M., S.R. Collins, N.J. Thompson, V. Denic, A. Bhamidipati, T. Punna, J. Ihmels,
699	B. Andrews, C. Boone, J.F. Greenblatt, J.S. Weissman, and N.J. Krogan. 2005. Exploration
700	of the function and organization of the yeast early secretory pathway through an epistatic
701	miniarray profile. Cell. 123:507-519.
702	
703	Schwanhausser, B., D. Busse, N. Li, G. Dittmar, J. Schuchhardt, J. Wolf, W. Chen, and M.
704	Selbach. 2011. Global quantification of mammalian gene expression control. Nature.
705	473:337-342.
706	

707	Shen, J., X. Chen, L. Hendershot, and R. Prywes. 2002. ER stress regulation of ATF6a
708	localization by dissociation of BiP/GRP78 binding and unmasking of Golgi localization
709	signals. Dev Cell. 3:99-111.
710	
711	Shoulders, M.D., L.M. Ryno, J.C. Genereux, J.J. Moresco, P.G. Tu, C. Wu, J.R. Yates, 3rd,
712	A.I. Su, J.W. Kelly, and R.L. Wiseman. 2013. Stress-independent activation of XBP1s and/or
713	ATF6α reveals three functionally diverse ER proteostasis environments. Cell Rep. 3:1279-
714	1292.
715	
716	Snapp, E.L. 2012. Unfolded protein responses with or without unfolded proteins? Cells.
717	1:926-950.
718	
719	Sood, R., A.C. Porter, K. Ma, L.A. Quilliam, and R.C. Wek. 2000. Pancreatic eukaryotic
720	initiation factor-2alpha kinase (PEK) homologues in humans, Drosophila melanogaster and
721	Caenorhabditis elegans that mediate translational control in response to endoplasmic
722	reticulum stress. Biochem J. 346 Pt 2:281-293.
723	
724	Sun, S., G. Shi, H. Sha, Y. Ji, X. Han, X. Shu, H. Ma, T. Inoue, B. Gao, H. Kim, P. Bu, R.D.
725	Guber, X. Shen, A.H. Lee, T. Iwawaki, A.W. Paton, J.C. Paton, D. Fang, B. Tsai, J.R. Yates,
726	3rd, H. Wu, S. Kersten, Q. Long, G.E. Duhamel, K.W. Simpson, and L. Qi. 2015.
727	IRE1aalpha is an endogenous substrate of endoplasmic-reticulum-associated degradation.
728	Nat Cell Biol. 17:1546-1555.
729	
730	Sundaram, A., R. Plumb, S. Appathurai, and M. Mariappan. 2017. The Sec61 translocon
731	limits IRE1 $\alpha$ alpha signaling during the unfolded protein response. Elife. 6.
732	
733	van Anken, E., and I. Braakman. 2005. Versatility of the endoplasmic reticulum protein
734	folding factory. Crit Rev Biochem Mol Biol. 40:191-228.
735	
736	Walter, P., and D. Ron. 2011. The unfolded protein response: from stress pathway to
737	homeostatic regulation. Science. 334:1081-1086.
738	
739	Wang, M., and R.J. Kaufman. 2016. Protein misfolding in the endoplasmic reticulum as a
740	conduit to human disease. Nature. 529:326-335.

741	
742	Wittig, I., H.P. Braun, and H. Schagger. 2006. Blue native PAGE. Nat Protoc. 1:418-428.
743	
744	Yang, L., Z. Xue, Y. He, S. Sun, H. Chen, and L. Qi. 2010. A Phos-tag-based approach
745	reveals the extent of physiological endoplasmic reticulum stress. PLoS One. 5:e11621.
746	
747	Ye, J., R.B. Rawson, R. Komuro, X. Chen, U.P. Dave, R. Prywes, M.S. Brown, and J.L.
748	Goldstein. 2000. ER stress induces cleavage of membrane-bound ATF6 $\alpha$ by the same
749	proteases that process SREBPs. Mol Cell. 6:1355-1364.
750	
751	Yoshida, H., T. Matsui, A. Yamamoto, T. Okada, and K. Mori. 2001. XBP1 mRNA is
752	induced by ATF6 $\alpha$ and spliced by IRE1 $\alpha$ in response to ER stress to produce a highly active
753	transcription factor. Cell. 107:881-891.
754	
755	Zhou, J., C.Y. Liu, S.H. Back, R.L. Clark, D. Peisach, Z. Xu, and R.J. Kaufman. 2006. The
756	crystal structure of human IRE1 $\alpha$ luminal domain reveals a conserved dimerization interface
757	required for activation of the unfolded protein response. Proc Natl Acad Sci U S A.
758	103:14343-14348.
759	
760	Figure legends
761	
762	Figure 1. Changes in the endogenous complexes of IRE1 $\alpha$ , PERK and ATF6 $\alpha$ during
763	ER stress. (A) HEK293 cells were treated with 7.5µg of thapsigargin (TG) for the indicated
764	time points and lysed with digitonin. The lysates were separated by SDS PAGE and
765	immunoblotting for the indicated proteins. A phos-tag based immunoblotting was performed
766	for probing phosphorylated IRE1aa. (B) The digitonin lysates from A were analyzed by BN-
767	PAGE immunoblotting with IRE1 $\alpha$ antibodies, (C) PERK antibodies, or (D) ATF6 $\alpha$
768	antibodies. (E-H) HEK293 cells were treated with 5mM DTT for the indicated time points
769	and analyzed as in (A-D). (I) HEK293 cells were pretreated with a serine protease inhibitor
770	AEBSF (250 $\mu$ M) for 1hr and subsequently treated with DTT at the indicated time to induce
771	ER stress. The digitonin lysates were analyzed as in A and D. Experiments shown are
772	representative of experiments repeated at least two times during different days.
773	

# Figure 2. Overexpressed IRE1α, but not the endogenous IRE1α, exhibits ER stress

## 775 induced changes in its complexes.

analyzed for immunoblotting with the indicated antigens. (B-D) The samples from A were

(A) HEK293 cells were treated with the indicated concentrations of DTT for 2.5 hours and

analyzed by BN-PAGE immunoblotting and probed for the indicated antigens. (E) An

- immunoblot comparing the expression levels between the endogenous IRE1 $\alpha$  in HEK293 and
- 780 IRE1 $\alpha$ -HA complemented into HEK293 IRE1 $\alpha$ -/- cells. (F) HEK293 IRE1 $\alpha$ -/- complemented
- 781 IRE1 $\alpha$ -HA cells were treated with increasing concentration of DTT for 2.5 hrs and analyzed
- by immunoblotting for the indicated antigens. (G-I) The samples from F were analyzed by
- 783 BN-PAGE immunoblotting and probed for the indicated antigens. (J) HEK293 IRE1α-/-
- $rac{1}{100}$  complemented IRE1 $\alpha$ -HA cells were induced with either low stress by treating with a low
- concentration of TG  $(2.5\mu g/ml)$  or DTT (2mM) or high stress by treating with a high
- concentration of TG (25µg) or DTT (30mM) for 2.5 hours. The cell lysates were analyzed by
- standard immunoblotting for the indicated antigens. (K-M) The samples from J were
- analyzed by BN-PAGE immunoblotting for the indicated antigens. Most of the experiments
- shown are representative of experiments repeated at least two times during different days.

# Figure 3. Depletion of BiP neither affects complexes or activation of all three UPR sensors

(A) HEK293 cells were transfected with siRNA oligos directed against either BiP siRNA or Sec61 $\alpha$  for two consecutive days. After 72 hours of transfection, the cells were treated with 2.5 $\mu$ g of TG for the indicated time points and analyzed by immunoblotting for the indicated antigens. (B-F) The samples from A were analyzed by BN-PAGE immunoblotting for the indicated antigens. Experiments shown are representative of experiments repeated at least two times during different days.

799

776

# Figure 4. Overexpression of BiP has little effects on complexes of IRE1α, PERK, and ATF6α

(A) HEK293 cells were transfected with either an empty plasmid (control) or plasmid

803 encoding BiP. After 16 hours of transfection, media was replenished and grown for another

804 24 hrs. The cells were treated with the indicated concentrations of TG for 2 hrs. The cells

- 805 were harvested and analyzed by immunoblotting for the indicated antigens. (B-D) The
- samples from A were analyzed by BN-PAGE immunoblotting for the indicated proteins. (E)
- As in A, the cells were transfected with either an empty plasmid or plasmid encoding BiP and

- treated with the indicated concentrations of DTT for 2 hrs. The cells were harvested and
- analyzed by immunoblotting for the indicated antigens. (F-H) The samples from E were
- analyzed by BN-PAGE immunoblotting for the indicated proteins. Experiments shown are
- 811 representative of experiments repeated at least two times during different days.
- 812

### 813 Figure 5. The UPR sensor IRE1α interacts with misfolded antitrypsin

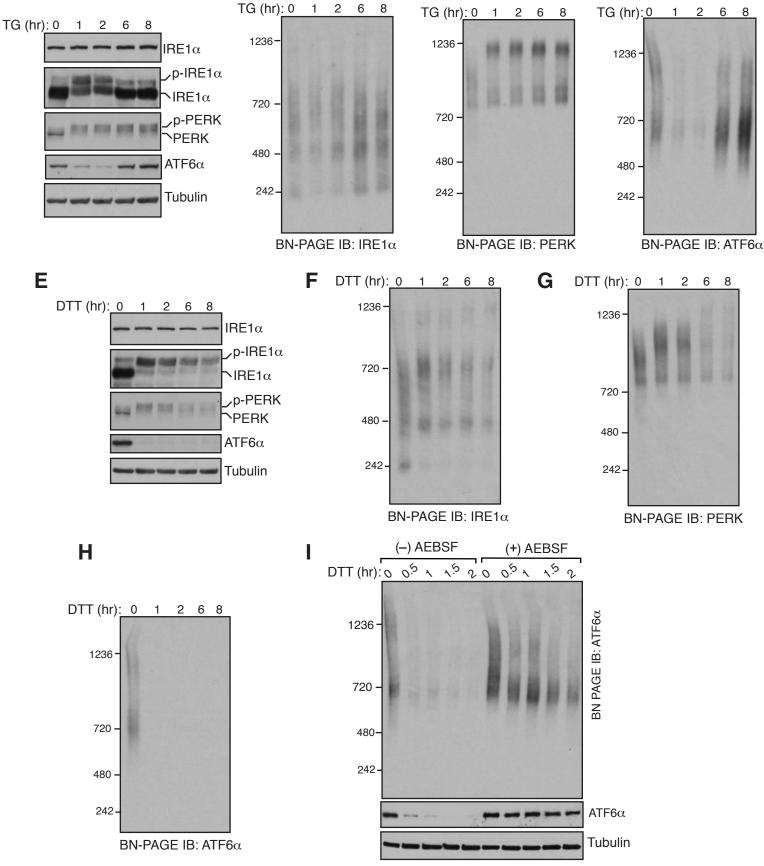
814 (A) HEK293 IRE1 $\alpha$ -/- cells complemented with IRE1 $\alpha$ -HA were transfected with either empty plasmid or NHK α1 antitrypsin and induced with 10 ng of doxycycline to drive the 815 expression of IRE1a-HA. After 24 hrs of transfection, the cells were reacted with DSP 816 crosslinker (XL). As a control, HEK293 cells transfected NHK a1 antitrypsin and treated 817 with the crosslinker. The crosslinked samples were immunoprecipitated with anti-HA 818 magnetic antibodies and analyzed by immunoblotting with the indicated antibodies. (B) The 819 procedure was identical to A, but the cells were treated with 5µg TG for 1 hr before 820 crosslinking. Experiments shown are representative of experiments repeated at least two 821 times during different days. (C) Models for oligomerization and activation of UPR sensors. 822 Step 1: All three endogenous UPR sensors exist as preformed oligomers associated with BiP 823 in cells. Step 2: Upon ER stress, oligomers of UPR sensors bind to misfolded proteins with 824 825 concomitant release of BiP from UPR sensors. Step 3: Once binding to misfolded proteins, IRE1 $\alpha$  may undergo conformational changes without major changes in the oligomerization 826 827 state, which in turn activates its kinase and RNase activities. PERK is activated and phosphorylated through assembling into large oligomers from small oligomers upon binding 828 829 with misfolded proteins. Conversely, misfolded proteins binding to ATF6a oligomers induce disassembly of oligomers, thus migrating to Golgi for activation. 830

831

### 832 Figure 1- figure supplement 1: Chemical crosslinking of UPR sensors in cells

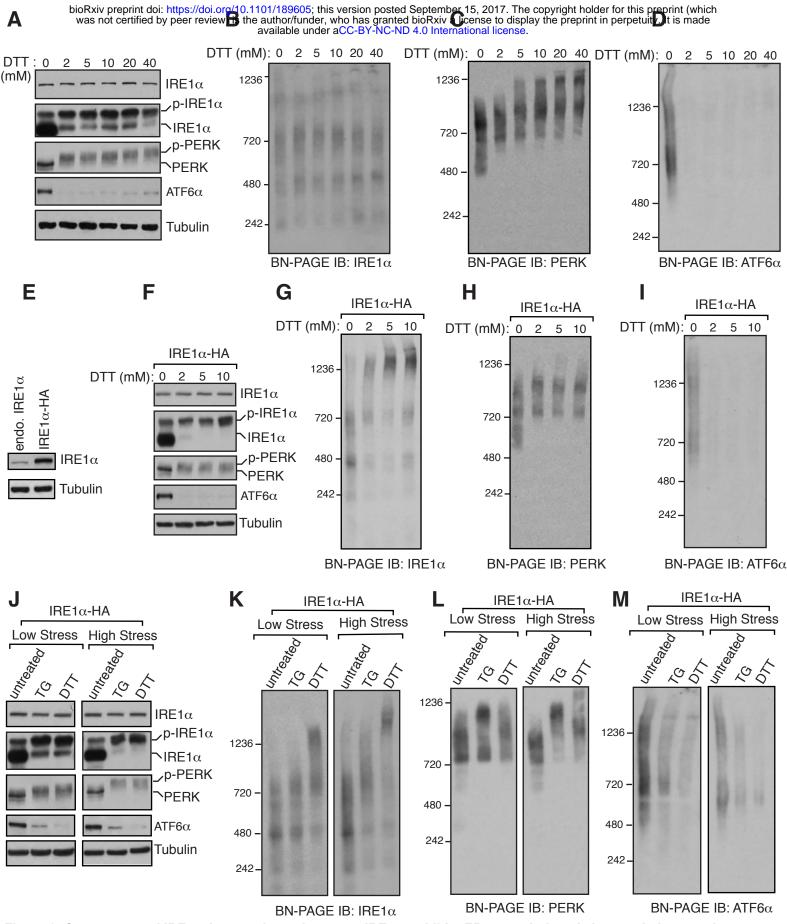
833 (A-E) HEK293 cells were either left untreated or treated with 5µg TG/ml for 60 min. After

- the treatment, the cells were permeabilized with a low concentration of Digitonin.
- Subsequently, the permeabilized cells were treated with 0.5 to  $10\mu$ M BMH for 30 min on ice.
- The cells were directly harvested and analyzed by either immunobloting with the indicated
- antigens or coomassie blue staining.

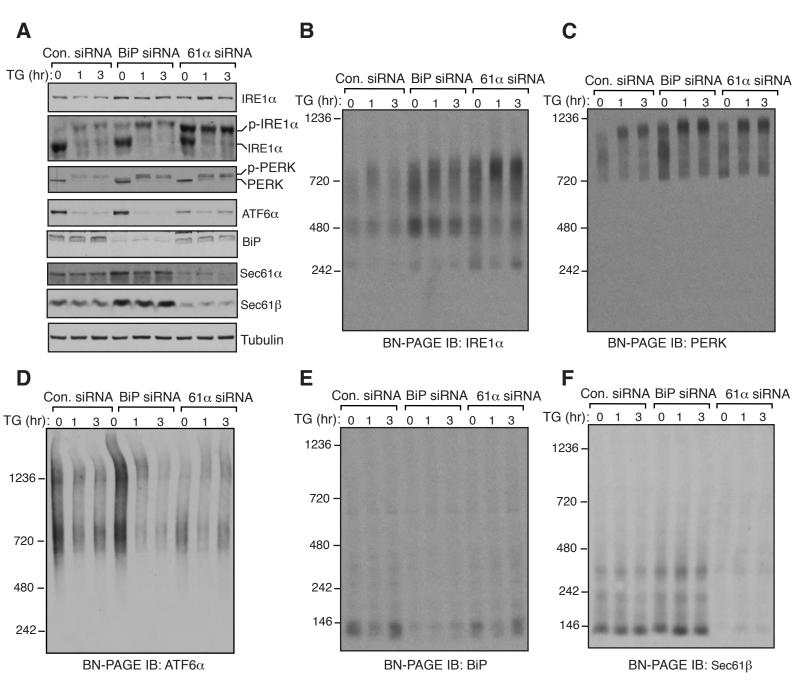


**Figure 1. Changes in the endogenous complexes of IRE1α, PERK and ATF6α during ER stress.** (**A**) HEK293 cells were treated with 7.5μg of thapsigargin (TG) for the indicated time points and lysed with digitonin. The lysates were separated by SDS PAGE and immunoblotting for the indicated proteins. A phos-tag based immunoblotting was performed for probing phosphorylated IRE1α. (**B**) The digitonin lysates from **A** were analyzed by BN-PAGE immunoblotting with IRE1α antibodies, (**C**) PERK antibodies, or (**D**) ATF6α antibodies. (**E-H**) HEK293 cells were treated with 5mM DTT for the indicated time points and analyzed as in (**A-D**). (**I**) HEK293 cells were pretreated with a serine protease inhibitor AEBSF (250μM) for 1hr and subsequently treated with DTT at the indicated time to induce ER stress. The digitonin lysates were analyzed as in **A** and **D**. Experiments shown are representative of experiments repeated at least two times during different days.

The following figure supplements are available for figure 1: Figure 1 - figure supplement 1

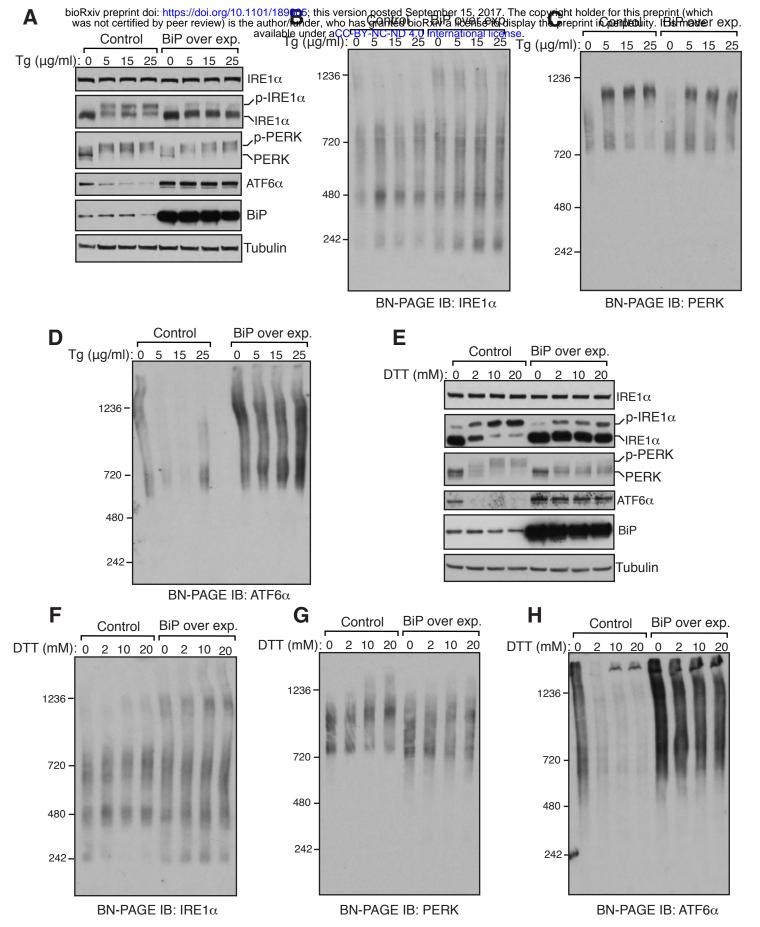


**Figure 2. Overexpressed IRE1a, but not the endogenous IRE1a, exhibits ER stress induced changes in its complexes.** (**A**) HEK293 cells were treated with the indicated concentrations of DTT for 2.5 hours and analyzed for immunoblotting with the indicated antigens. (**B-D**) The samples from **A** were analyzed by BN-PAGE immunoblotting and probed for the indicated antigens. (**E**) An immunoblot comparing the expression levels between the endogenous IRE1α in HEK293 and IRE1α-HA complemented into HEK293 IRE1α-/- cells. (**F**) HEK293 IRE1α-/- complemented IRE1α-HA cells were treated with increasing concentration of DTT for 2.5 hrs and analyzed by immunoblotting for the indicated antigens. (**G**-I) The samples from F were analyzed by BN-PAGE immunoblotting and probed for the indicated antigens. (**J**) HEK293 IRE1α-/- complemented IRE1α-HA cells were induced with either low stress by treating with a low concentration of TG (2.5µg/ml) or DTT (2mM) or high stress by treating with a high concentration of TG (25µg) or DTT (30mM) for 2.5 hours. The cell lysates were analyzed by standard immunoblotting for the indicated antigens. (**K-M**) The samples from **J** were analyzed by BN-PAGE immunoblotting for the indicated antigens. (**K-M**)



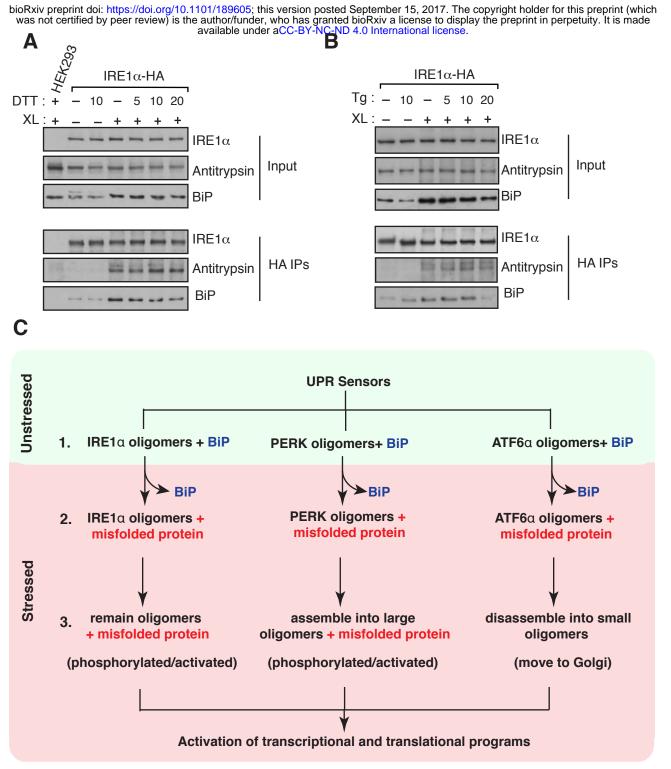
#### Figure 3. Depletion of BiP neither affects complexes or activation of all three UPR sensors

(**A**) HEK293 cells were transfected with siRNA oligos directed against either BiP siRNA or Sec61α for two consecutive days. After 72 hours of transfection, the cells were treated with 2.5µg of TG for the indicated time points and analyzed by immunoblotting for the indicated antigens. (**B-F**) The samples from **A** were analyzed by BN-PAGE immunoblotting for the indicated antigens. Experiments shown are representative of experiments repeated at least two times during different days.



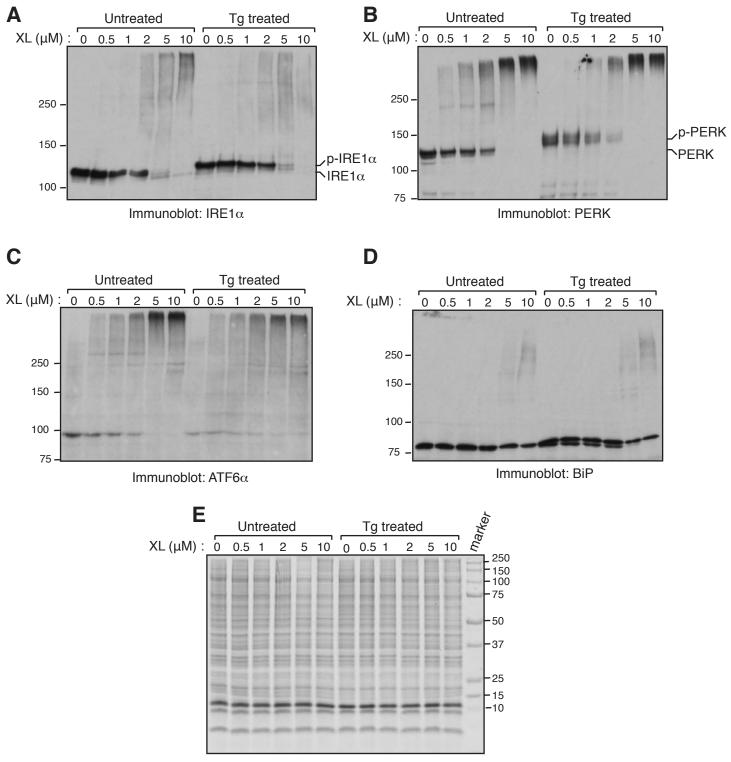
#### Figure 4. Overexpression of BiP has little effects on complexes of IRE1a, PERK, and ATF6a

(A) HEK293 cells were transfected with either an empty plasmid (control) or plasmid encoding BiP. After 16 hours of transfection, media was replenished and grown for another 24 hrs. The cells were treated with the indicated concentrations of TG for 2 hrs. The cells were harvested and analyzed by immunoblotting for the indicated antigens. (B-D) The samples from **A** were analyzed by BN-PAGE immunoblotting for the indicated proteins. (E) As in **A**, the cells were transfected with either an empty plasmid or plasmid encoding BiP and treated with the indicated concentrations of DTT for 2 hrs. The cells were harvested and analyzed by immunoblotting for the indicated antigens. (F-H) The samples from **E** were analyzed by BN-PAGE immunoblotting for the indicated antigens. (F-H) The samples from **E** were analyzed by BN-PAGE immunoblotting for the indicated proteins. Experiments shown are representative of experiments repeated at least two times during different days.



#### Figure 5. The UPR sensor IRE1a interacts with misfolded antitrypsin

(A) HEK293 IRE1α-/- cells complemented with IRE1α-HA were transfected with either empty plasmid or NHK α1 antitrypsin and induced with 10 ng of doxycycline to drive the expression of IRE1α-HA. After 24 hrs of transfection, the cells were reacted with DSP crosslinker (XL). As a control, HEK293 cells transfected NHK α1 antitrypsin and treated with the crosslinker. The crosslinked samples were immunoprecipitated with anti-HA magnetic antibodies and analyzed by immunoblotting with the indicated antibodies. (B) The procedure was identical to A, but the cells were treated with 5µg TG for 1 hr before crosslinking. Experiments shown are representative of experiments repeated at least two times during different days. (C) Models for oligomerization and activation of UPR sensors. Step 1: All three endogenous UPR sensors exist as preformed oligomers associated with BiP in cells. Step 2: Upon ER stress, oligomers of UPR sensors bind to misfolded proteins with concomitant release of BiP from UPR sensors. Step 3: Once binding to misfolded proteins, IRE1α may undergo conformational changes without major changes in the oligomerization state, which in turn activates its kinase and RNase activities. PERK is activated and phosphorylated through assembling into large oligomers from small oligomers upon binding with misfolded proteins. Conversely, misfolded proteins binding to ATF6α oligomers induce disassembly of oligomers, thus migrating to Golgi for activation.



Coomassie blue stained Gel

#### Figure 1- figure supplement 1: Chemical crosslinking of UPR sensors in cells

(A-E) HEK293 cells were either left untreated or treated with  $5\mu g$  TG/ml for 60 min. After the treatment, the cells were permeabilized with a low concentration of Digitonin. Subsequently, the permeabilized cells were treated with 0.5 to  $10\mu M$  BMH for 30 min on ice. The cells were directly harvested and analyzed by either immunobloting with the indicated antigens or coomassie blue staining.