1 Mutational burden of giant synaptic genes may be the cause of Alzheimer's disease

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23 Abstract

All of the drug trials of the Alzheimer's disease (AD) have failed to slow progression of dementia in phase III studies, and the most effective therapeutic approach still remains controversial due to our incomplete understanding of AD pathophysiology. Amyloid beta (A β) and its cascade have been the primary focus of drug design efforts for more than a decade. However, mounting evidence indicates that mechanisms of AD etiopathogenesis are probably more complex than the previous reductionist models.

- 30 Several genome-wide association studies (GWAS) have recently shed light on dark aspects of AD from a hypothesis-free point of view. While the newly-identified AD risk genes rather raise 31 32 more questions than they answer in deciphering the amyloid cascade, as a potentially overlooked finding, many of them code for receptors and transducers of cell adhesion signaling cascades. 33 Remarkably, the hallmark genetic factors of AD, including the amyloid precursor protein (APP), 34 35 presentlins (PSEN) and APOE also take part in highly similar pathways of cell adhesion regulation and coordinate contact-guidance of neuronal growth cones in brain development, 36 albeit these $A\beta$ -independent roles remain highly underexplored. 37
- Here, we have revisited function of 27 AD risk genes in pathways of normal cell physiology. Our 38 review clearly shows that a disrupted cell adhesion signaling nexus, rather than a protein 39 40 aggregation process, is the central point of convergence in the unbiased genetic risk factors of AD. To further elucidate a potential relationship between aging and pathways of cell adhesion, 41 we have conducted an exploratory bioinformatics analysis which revealed that *cell adhesion* is 42 the most representative ontology of human genes larger than 500kb ($p=8.0\times10^{-13}$), and these 43 extremely large genes are mostly expressed in brain ($p=2.1\times10^{-17}$) and selectively take part in 44 synaptic composition ($p=2.4\times10^{-14}$). As possible driving forces of brain evolution, large genes 45 may coordinate complex wiring of synaptic circuits in neurodevelopment, and we suggest that 46 they may also be vulnerable to the impact of somatic mutations in aging due to their exceptional 47 sizes which will be assessed by statistical models. An exemplar of this notion is the giant APOE 48 receptor Lrp1b which is one of the most frequently deleted genes in various cancers and also 49 represents the only brain-specific lipoprotein receptor. Our model, the large gene instability 50 hypothesis, highlights alternate strategies for AD prevention, biomarker discovery and 51 therapeutic design based on targeting genomic instability and synaptic adhesion. 52

Keywords: Alzheimer's disease; dementia pathogenesis; cell adhesion; integrin; focal adhesion
kinase; DNA damage

Abbreviations: Alzheimer's disease (AD), Amyloid precursor protein (APP), Genome-wide
 association study (GWAS), Focal adhesion kinase (FAK), Postsynaptic density (PSD), Presenilin
 (PSEN), Src family kinase (SFK)

59 Introduction

60 More than a century has passed since the first report of a presenile dementia case by Alois 61 Alzheimer¹, and current molecular understanding of AD mostly borrows from identification of 62 the A β peptide as the main constituent of senile plaques and subsequent discovery of APP and 63 PSEN mutations in rare cases of familial AD^{2,3}. These observations were compiled to the 64 amyloid cascade hypothesis in the pre-genomic era, a theory which implicates A β species, 65 proteinous aggregates and neurofibrillary tangles as the driving force of dementia with broad 66 influence as the central model of AD etiopathogenesis⁴.

- 67 Nevertheless, due to various methodological difficulties, A β species has hardly been validated as a causal force of neurodegeneration in AD patients. Despite receiving support from preclinical 68 studies, manipulating the pathways of AB generation and clearance has also yielded 69 disappointing results in several clinical trials so far⁵. While a handful of clinical failures do not 70 necessarily disprove a theory *per se*, overemphasis on a single disease model is a dangerous 71 gamble and could be one of the many explanations for the lack of progress in AD therapeutic 72 design⁶. Accuracy of the amyloid cascade hypothesis is a topic of ongoing debate, and it goes 73 without saying that this theory may be rejected in future⁷⁻¹², a critical possibility warranting 74 development of alternate disease models for interpreting exploratory evidence including recent 75 high-throughput genomic findings. 76
- In contrast to the classical hallmarks of AD including senile plaques and neurofibrillary tangles 77 that are still of questionable etiological significance¹³, genetic risk factors temporally precede 78 earliest stages of brain development, aging, and neurodegeneration, and are expected to inform 79 on causal disease pathways. The genetic architecture of common sporadic AD is highly complex, 80 and a number of susceptibility loci have been identified by genome-wide association studies 81 (GWAS) in large elderly populations. These novel genetic factors provide unbiased insight into 82 molecular and cellular mechanisms of AD¹⁴⁻¹⁹ but their mechanistic interpretation has been under 83 powerful influence of the amyloid cascade theory so far. 84
- This report servers to provide an evidence-based framework for compiling pathways of AD 85 predisposition from an A\beta-independent point of view. The rest of this manuscript is organized as 86 follows; in the first section, we aim to revisit the role of AD risk genes in pathways of normal 87 cell physiology. We show that 27 disease-related genes strongly converge to common pathways 88 of cell-extracellular adhesion signaling and brain circuit development. In the second section, we 89 will try to explain interaction of aging as the strongest risk factor of senile dementias with the 90 91 genetic landscape of AD. Finally, several testable predictions are provided for assessment of our new disease model. 92
- 1.1 The APP family proteins are highly-conserved cell adhesion molecules

Derailed catabolism of the APP protein and generation of an aggregation-prone A β species abstract a significant proportion of molecular investigations in AD, leading to efforts to block this cascade by means of A β immunotherapies or design of secretase inhibitors⁵. In contrast,

three decades after successful cloning of the APP gene²⁰ the physiological role of its protein 97 product remains less investigated and may be the real key to understanding disease mechanisms. 98

99 APP codes for a transmembrane protein and is highly expressed in the developing brain at the 100 site of neuronal growth cones, structures that form motile tips of outgrowing axons and dendrites²¹. The A β peptide enhances interaction of developing neurites with extracellular 101 adhesion molecules and promotes outgrowth of neuronal projections^{22,23}. The full-length and 102 membrane-tethered form of APP also interacts with extracellular laminin, heparan sulfate, 103 fibronectin and collagen²⁴⁻²⁶, molecules which form the backbone of extra-cellular matrix and 104 moderate contact-guidance of growth cones in synaptic circuit formation. 105

- Interaction of APP with heparan sulfate²⁷ and laminin²⁴ stimulates assembly of hippocampal 106 connections and promote neurite outgrowth²⁸. In the other hand, antisense-downregulation of 107 APP inhibits extension of axons and dendrites²⁹. APP demonstrates a dose effect in growth cone 108 guidance³⁰ and its increased dosage in Down syndrome results in emergence of faster advancing 109 growth cones with promoted adhesive properties and larger sizes³¹. In contrast, knockdown of 110 the APP gene in zebrafish disrupts outgrowth of developing neurites³². Intriguingly, although
- 111 wild-type human APP can rescue this defective phenotype, the mutated APP of familial AD fails
- 112
- to substitute for normal function of animal gene³². 113
- Several cellular pathways are speculated to mediate the neurite-promoting effects of APP in 114 neurodevelopment. The netrin pathway of neurite guidance incorporates APP as a co-receptor³³, 115 and inactivation of APP disrupts netrin signaling and diminishes axonal outgrowth³⁴. APP also 116 binds reelin, which is a large extracellular adhesion molecule for guidance and migration of 117 neurons³⁵. In this context, interaction of reelin with APP promotes outgrowth of hippocampal 118 neurites³⁵. Functional interaction of APP and reelin requires presence of a third cell adhesion 119 molecule, $\alpha 3\beta$ 1-integrin, as well³⁵. Integrins are the main component of focal adhesions and 120 known to co-localize with the APP protein^{36,37} at dynamic neuronal adhesion sites³⁸. Intriguingly, 121 integrin modulates neurite outgrowth by interacting with APP³⁹. Similarly, integrin also acts as 122 an accessory reelin receptor for cell adhesion regulation and neuronal migration⁴⁰⁻⁴². Therefore, 123 APP, integrin, reelin (and its counterpart APOE receptors) may take part in surface adhesion 124 ligand/receptor complexes for transduction of coherent signals. 125

In addition to growth cone navigating, APP also moderates spatial migration of neurons in 126 neurodevelopment⁴³. Triple-knockout of the APP family genes results in neuronal migration 127 defects similar to human lissencephaly⁴⁴ and two candidate ligands for APP, including pancortin 128 and lingo1, orchestrate migration of neural precursor cells⁴⁵⁻⁴⁷. From a cellular and molecular 129 point of view, pathways of growth cone navigation and cell migration are highly similar, as both 130 of these events rely on specialized membrane protrusions, namely filopodia and lamellipodia for 131 cell reshaping and anchorage. These plastic cell organizations sense the directional gradients of 132 extracellular contact-guidance cues by means of surface adhesion receptors including integrins. 133 Intracellularly, filopodial adhesion receptors affect rearrangement of the actin cytoskeleton for 134 changing cell polarity and recycling focal adhesion turnover towards the protruding end and 135

powering cell movement⁴⁸. 136

137 Mounting evidence indicates that the cytoskeletal system is an important point of convergence in signaling through the APP protein. Transmembrane APP is selectively localized to the 138 cytoskeletal-rich regions of neuronal growth cones at dynamic adhesion sites^{38,49}, and the APP 139 intracellular domain (AICD) which is released after y-secretase-mediated cleavage affects 140 rearrangement of the cellular actin cytoskeleton⁵⁰. The AICD cleavage fragment of APP interacts 141 with a number of intracellular signal transducers, including Fe65, Tip60, KAI1, DISC1, Dab1, 142 X11, and Grb2 that have been identified to date⁵¹⁻⁵³. Intriguingly, all of these transducers 143 influence pathways of cytoskeletal rearrangement and affect cell movement: 144

- Fe65 and Tip60 affect the cytoskeletal system and moderate cancer cell migration⁵⁴.
- KAI1 suppresses cancer cell migration by affecting cytoskeletal assembly^{55,56}.
- DISC1 coordinates remodeling of the actin cytoskeleton in migrating neurons and growth
 cone-like protrusions⁵⁷. This protein rescues neuronal migration defects caused by loss of
 APP⁵¹.
- Dab1 is a mandatory adaptor of the APOE receptors in the reelin pathway and controls cytoskeletal remodeling in neuronal migration⁵⁸.
- X11 is a recently-discovered modulator of the reelin pathway and affects cell movement⁵⁹.
- Grb2 is an adaptor molecule that links various receptors including integrin with intracellular pathways of cytoskeletal plasticity and regulates cancer cell migration^{60,61}.

156 In accordance with the common function of these putative signal transducers, The APP family 157 genes similarly affect migration and invasion of various cancer cells by affecting the cytoskeletal 158 pathway^{62,63}. Interestingly, the key cytoskeletal regulator Rac1 controls expression of the APP 159 gene by a feedback-like mechanism in primary hippocampal neurons⁶⁴.

160 Since the early stages of nervous system evolution, the APP paralogue of Drosophila (APPL) has promoted neuronal migration⁶⁵. Phylogenetic evolution of the APP family genes reveals that cell 161 adhesion is the most consistent biological function of this family⁶⁶. From an evolutionary point 162 of view, the cytoplasmic tail of APP is probably of utmost importance, as it comprises a super-163 164 conserved NPxY amino acid motif in the form of 682YENPTY687 that has remained unchanged from roundworms to humans for more than 900 million years of evolution⁶⁷. This consensus 165 motif is known to mediate endocytic sorting of receptors and, perhaps more importantly, their 166 interaction with tyrosine-phosphorylated intracellular signal transducers⁶⁸. Two intracellular 167 adaptors of APP with established signaling roles, including Dab1 and Fe65, interact with this 168 APP motif in a phosphorylation-dependent manner^{69,70}. The ₆₈₂Tyr residue of this APP motif 169 undergoes phosphorylation and is essential to induction of synaptogenesis⁷¹. Of note, the 170 consensus NPxY motif is also present in the cytoplasmic tail of all APOE receptors and activates 171 the mandatory Dab1 adaptor of reelin pathway⁷². 172

173 In addition to playing physiological roles in neurodevelopment, the APP protein is evidenced to 174 maintain its function in mature neurons. Mouse hippocampal neurons express the APP protein 175 under physiological conditions⁷³, and APP is present in close association with NMDA glutamate 176 receptors that are central to memory-formation. In this context, APP maintains NMDA receptors 177 at postsynaptic membrane and promotes neurotransmission^{74,75}. Through its conserved NPxY

motif, APP also interacts with the postsynaptic scaffold protein AIDA-1⁷⁶, which is a protein for 178 regulating hippocampal synaptic transmission and palsticity⁷⁷. Loss of the APP family genes 179 disrupts synaptic function⁷⁸, memory formation⁷⁹, and causes an aging-related synaptic loss in 180 mice^{80,81}. Further supporting physiological roles in synaptic adhesion, the three APP family 181 members (APP, APLP1, APLP2) form trans-synaptic adhesive dimers⁸², and cleavage of the 182 APP protein changes synaptic adhesion and assembly⁸³. Lastly, APP mutations are shown to 183 disrupt this regulatory effect⁸⁴. An exhaustive review of the APP protein in pathways of normal 184 cell physiology is beyond the scope of this manuscript and the interested reader is referred to 185 recent publications⁸⁵⁻⁸⁷. 186

187 1.2 The γ -secretase complex is a membrane-tethered enzyme for signaling of cell 188 adhesion receptors

189 PSEN1 and PSEN2 genes code for catalytic subunits of the transmembrane γ -secretase enzyme 190 and various mutations in these genes cause autosomal-dominant AD. Since cleavage of the APP 191 protein by γ -secretase is a mandatory step for A β generation, accelerated catabolism of APP in 192 the amyloidogenic pathway is considered the mechanism of AD development in individuals with 193 mutated PSEN genes, a hypothesis which has been extrapolated to common sporadic AD as well.

- Unexpectedly, PSEN mutations of familial AD were recently found to cause an almost complete 194 loss of γ -secretase function⁸⁸ and reduce generation of the putatively-neurotoxic A β_{40} , A β_{42} and 195 A β_{43} species occasionally to undetectable levels^{89,90}. In further contradiction, knock-in mice 196 harboring the mutated PSEN1 gene of familial AD are phenotypically similar to knockout strains 197 which lack γ -secretase function, and both of these strains demonstrate impaired hippocampal 198 199 plasticity⁹¹. This line of evidence suggests a loss-of-function mechanism for PSEN mutations of 200 familial AD, and potentially explain the paradoxical worsening of cognitive function and accelerated brain atrophy in γ -secretase inhibitor trials of AD^{92,93}. 201
- In contrast to the narrow focus on derailed pathways of APP catabolism, unbiased proteomic 202 profiling has revealed that the γ -secretase complex has a broad spectrum of substrate specificity 203 for cell surface receptors with signaling roles^{94,95}. For instance, the γ -secretase enzyme cleaves 204 the APOE/reelin receptors⁹⁶, as well as DSG2, TREM2 and ephrin receptors which are all coded 205 by AD risk genes^{94,97,98}. A candidate gene of familial AD, Notch3⁹⁹, is mandatorily cleaved by γ -206 secretase prior to signaling¹⁰⁰. Loss of γ -secretase results in erroneous axonal pathfinding due to 207 derailed netrin signaling¹⁰¹, and has also been shown to disrupt cell adhesion force generation¹⁰². 208 209 While it is difficult to pinpoint a particular signaling path that mediates detrimental effects of γ -210 secretase dysfunction in familial AD, it is tempting to speculate pathways of synaptic adhesion 211 and contact-guidance in its etiopathogenesis.

Recent nanoscale microscopy reveals that expression of the γ-secretase complex is selectively enriched in postsynaptic compartments during normal synaptic maturation¹⁰³. A neurophysiological role for γ-secretase is further supported by observing that this enzyme interacts with a number of synaptic adhesion molecules including δ-catenin and N-cadherin, as well as glutamate receptors^{103,104}. Cleavage activity of γ-secretase modulates synaptic

transmission and adhesive properties¹⁰⁴ and this neuromodulatory effect is disrupted by familial AD mutations¹⁰⁵.

1.3 The APOE/Lipoprotein receptor pathway coordinates contact-guidance ofneurites

APOE4 is the strongest genetic risk factor of sporadic AD and explains ~6 percent of the risk of 221 disease development¹⁰⁶. In contrast, the only observed correlation of the APP locus with sporadic 222 AD has been recently reported in a large Icelandic cohort, showing that a rare protective variant, 223 A673T, explains less than 0.6 percent of the risk of sporadic AD¹⁰⁷. This variant does not 224 contribute to the risk of AD in the North American population¹⁰⁸, and its statistical significance 225 $(p=4.8\times10^{-7})$ would not have survived a similarly-powered genome-wide scan. Curiously, 226 mechanistic interpretation of the APOE4 risk isoform still mostly borrows from putative 227 influences on APP catabolism and AB clearance, and normal function of the APOE protein has 228 received less attention. 229

The APOE molecule moderates transport of lipoprotein particles in various organs by binding to the family of lipoprotein receptors. Although lipoprotein receptors aid in uptake and metabolism

the family of lipoprotein receptors. Although lipoprotein receptors aid in uptake and metabolismof lipid particles, they are not simple cargo transporters, and can activate a comprehensive nexus

of intracellular second messengers with specialized signaling roles¹⁰⁹. In this context, lipoprotein receptors including APOEr2 and VLDLr are well established regulators of brain development in reelin signaling. Activation of these two receptors by reelin triggers phosphorylation of the intracellular Dab1 adaptor. This pathway affects various aspects of cell physiology, among which cytoskeletal remodeling and neuronal migration are mainstay¹¹⁰. The reelin pathway guides extension of hippocampal neurites¹¹¹ and coordinates outgrowth of the perforant path

which represents the major input to hippocampal formation 112 .

240 The APOE molecule shares its lipoprotein receptors with reelin¹¹³, and mounting evidence

indicates that APOE undertakes a similar role in guiding outgrowth of developing neurites¹¹³⁻¹¹⁷.

- Moreover, the neurite promoting effect of APOE is isoform-dependent, with the APOE3 isoform being a more potent inducer of neuritic outgrowth than APOE4^{115,117}.
- Intracellular transducers of the APOE molecule remain less investigated in neurons, but have 244 been explored in other cells. In macrophages, APOE activates major transducers of the reelin 245 pathway including Dab1 and PI3K¹¹⁸. In vascular pericytes, the APOE molecule affects 246 rearrangement of the actin cytoskeleton and its knockdown deranges normal cell migration¹¹⁹. 247 Similar to vascular cells, the APOE isoforms also affect the proteomic signature of cytoskeletal 248 249 regulators in peripheral nerves¹²⁰. Taken together, this body of evidence suggests that APOE 250 may signal through a reelin-like pathway and influence cytoskeletal assembly, neurite outgrowth 251 and cell movement.

In addition to the APOE risk locus and the reelin gene which is correlated with AD neuropathology in postmortem human brains¹²¹, three novel AD susceptibility loci further implicate lipoprotein receptors and a reelin-like signaling pathway in this disease; F-spondin (Spon1) is correlated with rate of cognitive decline in AD and also modulates white matter

microstructure in healthy humans^{122,123}. This gene codes for a reelin domain-containing cell 256 adhesion molecule and its ortholog localizes to integrin adhesion sites in C. elegans¹²⁴. F-spondin 257 also binds APOEr2¹²⁵ and guides extension of hippocampal neurites¹²⁶. Moreover, F-spondin 258 interacts with the APP protein¹²⁷, and this interaction serves to activate signaling of the reelin 259 adaptor Dab1 in ganglion cells¹²⁸. Two other AD risk loci including Sorl1^{129,130} and CLU 260 respectively code for a lipoprotein receptor and a lipoprotein receptor ligand. Sorl1 regulates cell 261 migration^{130,131} and CLU activates reelin transducers including Dab1 and PI3K/Akt in 262 neurons¹³². 263

Perhaps unrelated to their roles in lipid metabolism, lipoprotein receptors take part in the architecture of postsynaptic structures by interacting with the major synaptic scaffold protein PSD95¹³³⁻¹³⁵ as well as neurotransmitter receptors^{133,135}. Expression of lipoprotein receptors affect synaptic density in hippocampal and cortical neurons¹³⁶, and their activation by reelin promotes synaptic plasticity¹³⁷⁻¹³⁹. Intriguingly, lipoprotein receptors share several intercellular transducers with the APP protein, including X11, Dab1, Fe65^{136,140} and also control transcriptional activation of the APP gene in the cell nucleus¹⁴¹.

1.4 AD susceptibility loci strongly converge to cell adhesion pathways

Familial AD which is caused by APP or PSEN mutations constitutes less than one percent of AD cases. In contrast, the true polygenic landscape of common sporadic AD has been partly uncovered by recent genome-wide association studies¹⁴⁻¹⁹. Remarkably, the majority of novel AD risk genes engage in pathways of cell adhesion, migration and contact-guidance:

- **DSG2** (Desmoglein-2, rs8093731) is a component of cell adhesion complexes. DSG2 gene product serves focal adhesion roles and regulates cytoskeletal assembly by interacting with β 8-integrin in endothelial cells¹⁴². DSG2 also controls cell motility and its depletion affects migration of malignant melanoma cells¹⁴³.
- EPHA1 (rs11771145) codes for a member of the ephrin-A receptor family that controls neurite adhesion and guidance. EPHA1 also moderates cell migration through integrin-linked kinase and the cytoskeletal remodeling pathway^{144,145}, and affects invasion and metastasis of colorectal cancer cells¹⁴⁶.
- FRMD4A¹⁴⁷ and FERMT2 (Kindlin-2, rs17125944) code for two members of the FERM domain family linking integrin and focal adhesion kinase (FAK) with the intracellular actin cytoskeleton^{148,149}. FERMT2 transduces cell adhesion signals and is engaged in malignant cell invasion¹⁵⁰.
- GAB2 (rs2373115), one of the earliest AD susceptibility genes to be discovered by GWAS^{14,151}, encodes a scaffolding protein acting downstream to the integrin signaling pathway. GAB2 regulates adhesion and migration of hematopoietic cells¹⁵² and also controls cytoskeletal remodeling for migration of malignant breast cancer cells¹⁵³.
- CASS4 (Hepl, rs7274581) controls focal cell adhesion¹⁵⁴ and the CAS family members take part in axon guidance by interacting with integrin¹⁵⁵. CASS4 also affects reorganization of the cytoskeleton and moderates cancer cell invasion^{154,156}.

- CD2AP (rs10948363) codes for an actin cytoskeleton binding protein¹⁵⁷. CD2AP regulates focal adhesion of kidney podocytes at contact sites by linking membrane adhesion complexes with the intracellular actin cytoskeleton¹⁵⁸.
- PTK2B (Pyk2, rs28834970) is a focal adhesion signal transducer and affects the cellular cytoskeleton^{159,160}. PTK2B controls integrin-dependent migration of T-cells¹⁶¹ and promotes invasion of malignant glioma cells¹⁶².
- PICALM (rs10792832) is a Clathrin adaptor protein and engages in membrane receptor trafficking¹⁶³. Clathrin regulates endocytosis of synaptic vesicles and moderates trafficking of the glutamate receptors¹⁶⁴. Unbiased gene-gene interaction analysis in AD has revealed that the PICALM locus interacts with DOCK1¹⁶⁵, which is an actin cytoskeleton regulator and affects cell movement¹⁶⁶.
- INPP5D (SHIP-1, rs35349669) is a key modulator of the PI3K pathway. This protein regulates platelet adhesion by modulating integrin signaling¹⁶⁷ and also coordinates movement of neutrophils in response to focal contact and adhesion¹⁶⁸.
- NYAP1 (rs1476679) codes for a signal transducer of the PI3K pathway. NYAP1 acts downstream to Contactin5 synaptic adhesion molecule and controls cytoskeletal remodeling in neurite outgrowth¹⁶⁹. Of note, Contactin5 binds amyloid precursor-like protein 1¹⁷⁰.
- Amphysin II (BIN1, rs6733839) codes for a protein that binds the cytoplasmic tail of integrin¹⁷¹ and neuronal focal adhesion kinase¹⁷² and is therefore probably involved in integrin-dependent cell adhesion. Moreover, Amphysin I which has a high level of sequence similarity (71%) with this gene product regulates outgrowth of hippocampal neurites¹⁷³ and links endocytosis mechanisms to pathways of actin cytoskeleton remodeling¹⁷⁴.
- UNC5C¹⁷⁵ (rs137875858) codes for a receptor of the netrin pathway of axon guidance¹⁷⁶.
 In addition to the noted interaction of netrin1 with the APP protein, the netrin pathway also incorporates α3β1-integrin and Down Syndrome Cell Adhesion Molecule (DSCAM) in neuronal migration and neurite outgrowth, respectively^{177,178}.
- TPBG, a recently discovered AD risk gene¹⁹, modulates cell adhesion and movement^{179,180}. TPBG localizes at focal adhesion sites in kidney podocytes and affects formation of actin stress fibers for cell remodeling¹⁸¹. Deletion of TPBG disrupts cadherin-dependent cell adhesion and suppresses cell migration¹⁸².
- HBEGF¹⁹ (rs11168036) encodes a protein that promotes integrin-dependent cell adhesion¹⁸³. HBEGF also regulates focal adhesion kinase and moderates cell migration by affecting the actin cytoskeleton¹⁸⁴.
- **USP6NL**¹⁹ (RNTRE, rs7920721) modulates integrin signaling and controls focal adhesion turnover, thereby acting as a "brake" in cell migration¹⁸⁵.
- **TREM2** (rs75932628), a novel AD locus identified by next-generation sequecing¹⁸⁶, is known to interact with the plexin-A1 adhesion molecule¹⁸⁷ which is a receptor of axon guidance. The TREM and plexin-A1 interaction is suggested to moderate cell adhesion and movement through the cytoskeletal pathway¹⁸⁸. The Plexin pathway also opposes integrin signal and inhibits cell movement¹⁸⁹.

• **TTC3**, a novel familial late-onset AD locus, maps to the Down syndrome critical region¹⁹⁰. TTC3 modulates β 1-integrin signaling in cancer cells¹⁹¹ and its increased level affects assembly of the actin cytoskeleton and disrupts neurite extension¹⁹².

- PLCG2¹⁹³ (rs72824905) encodes a phospholipase enzyme which is activated by integrin and moderates cell migration¹⁹⁴. PLCG2 activation controls adhesion of leukocytes and takes place downstream to integrin signaling¹⁹⁵.
- ABI3¹⁹³ (rs616338) affects the cytoskeletal pathway and participates in formation of membrane protrusions for cell motility¹⁹⁶. Its binding partner, the ABI3 binding protein, interacts with integrin at focal adhesion sites and suppresses malignant cell migration^{197,198}.

Taken together, the genetic architecture of AD strongly implicates various cell adhesion receptors which coordinate pathways of cytoskeletal plasticity and cell reshaping. Further aiding in formulation of a unified disease model, many of these gene products cross-talk with the integrin pathway, and this convergence spotlights the A β -independent roles of APP and γ secretase in cell adhesion signaling and synapse formation.

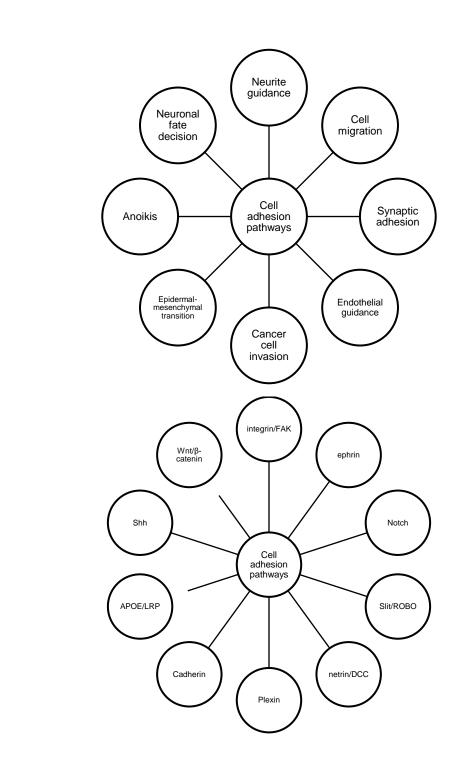
352 2 The hypothesis

353 Our model builds on the unbiased genetic architecture of AD and puts the cell adhesion signaling pathway at the center of disease nexus. Various cell adhesion regulators including integrins 354 355 coordinate cell migration, neurite elongation, and assembly of synaptic circuits in brain 356 development, and also undertake pivotal roles in maintaining synaptic integrity and homeostasis after completion of brain development¹⁹⁹. Specifically, cell adhesion molecules form a dense 357 scaffold at the postsynaptic density (PSD) sites and connect neurotransmitter receptors and 358 synaptic ion channels with the actin cytoskeleton as well as the extracellular matrix. In addition 359 360 to such mechanical support, synaptic adhesion molecules also act as biochemical sensors for modulation of postsynaptic plasticity, dendritic spine reshaping, and recycling of transmitter 361 receptors²⁰⁰. For instance, it has been shown that enhancing signaling of synaptic integrin by 362 application of its agonist peptide modulates neurotransmission²⁰¹ in a dose-dependent manner²⁰². 363 In this context, integrin promotes budding of filopodia which serve to strengthen synaptic 364 connections by cytoskeletal plasticity mechanisms²⁰³, i.e. the same mechanism by which 365 integrins control axonal adhesion and pathfinding during brain development²⁰⁴. Remarkably, 366 many of the intracellular transducers recruited by focal adhesion cascades also act as molecular 367 switches of synaptic plasticity, including various tyrosine kinases (SFK, PI3K and Akt) as well 368 as the calcium signaling pathway 205 . 369

The post-developmental roles of cell adhesion pathways in synaptic function and plasticity, which is not limited to integrins (Fig. 1), may enlighten pathways of AD from an A β independent perspective. We propose that the heritable component of AD is defined by several genetic factors that coordinate robust adhesion and assembly of synaptic circuits in brain development. This genetic landscape also defines the level of synaptic adhesion, integrity and circuit resilience in the post-developmental period, and individuals with a vulnerable genetic

- background may suffer disassembly of neural circuits due to loss of synaptic adhesion pathways
- 377 in late life.

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Figure 1. Top: Biological adhesion pathways transfer microenvironmental signals across the cell
membrane, and affect cell polarity, movement and survival. Bottom: Various pathways of
extracellular adhesion coordinate rearrangement of the actin cytoskeleton and thereby control
reshaping of membrane protrusions for movement. FAK: focal adhesion kinase; LRP: lipoprotein
receptor; Shh: Sonic hedgehog.

388 3 Aging and the Alzheimer's disease

Human aging is the strongest risk factor for dementia, but etiology of its correlation with AD remains elusive. One possibility is that AD may represent a continuation of global aging process, and cellular disruptions which happen in "normal" aging may give rise to dementia when accelerated⁷. An elegant work has recently revealed that frontal cortex cells of healthy humans accumulate ~37 new point mutations each year²⁰⁶. In line with the DNA damage hypothesis of aging, loss of genomic integrity has been previously implicated in AD predisposition^{12,207,208}.

395 From a statistical point of view, even if a purely-random and stochastic process causes 37 annual mutations in aging neurons, larger genes are expected to be disproportionately affected in late 396 life. Considering the low rate of somatic mutations estimated in aging frontal cortex cells 397 $(5.7 \times 10^{-9} \text{ mutations/bp.year}^{206})$, only near one percent of the copies of a median-sized human 398 gene will be affected by at least one somatic mutation in a 65-year individual. However, in sharp 399 contrast, the largest known human gene, CNTNAP2, which is more than 80x larger than a 400 median-sized protein-coding locus and codes for a synaptic adhesion molecule, is expected to be 401 402 highly vulnerable to somatic mutations, and only 42 percent of its copies are estimated to remain intact at the same age (Fig. 3). This high variability is due to the characteristic distribution of 403 human gene size parameter, which spans three-orders of magnitude with a long tail 404 encompassing a subset of extremely large genes (Fig. 2). Intriguingly, many of the largest known 405 human genes map to chromosomal fragile sites²⁰⁹. 406



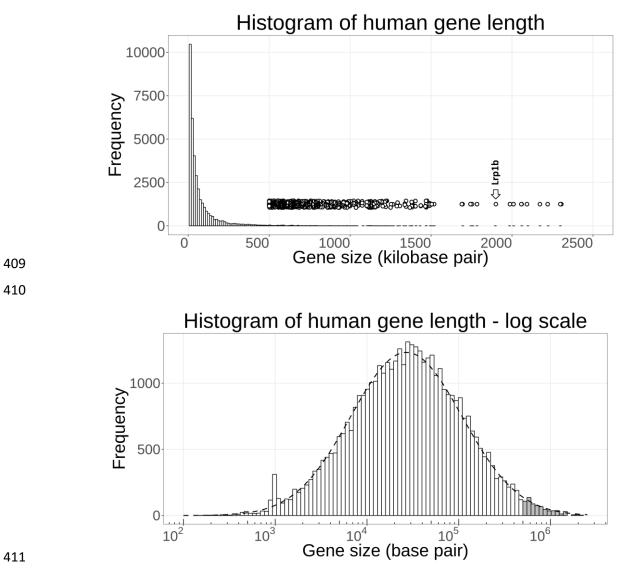
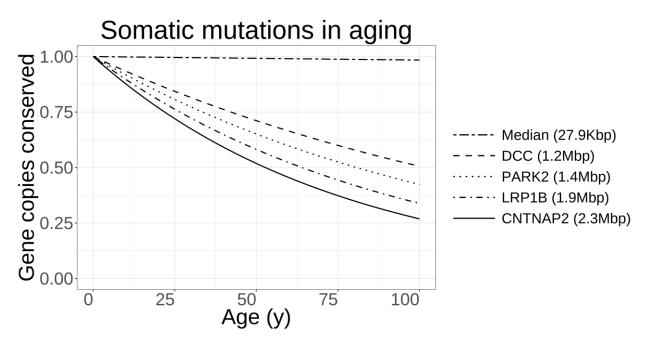


Figure 2. **Top:** Human gene length distribution has a long tail that extends towards a group of extremely-large genes in the megabase pair range. The arrow points to the giant APOE receptor, Lrp1b. **Bottom:** Human gene size parameter closely follows a log-normal distribution with parameters $\mu = \ln(26.9 \text{kbp})$ and $\sigma = 1.4$. The outlier bin near 1kbp represents the large family of olfactory receptors which have gone through extreme evolutionary expansion. Scattered circles (**top**) and grey bars (**bottom**) show the subset of large genes used in functional enrichment analyses (>500kbp).



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Figure 3. A binomial model in which somatic mutations take place at a fixed rate across the whole span of human genome has wildly different impacts on genes of various sizes. According to this model, a median-sized human gene mostly survives the mutational burden of aging, with only ~1 percent of its copies affected by any somatic mutation in late life. However, larger genes possess significantly shorter half-lives due to somatic mutations. Many of these large genes regulate synaptic function and integrity and are also known to act as tumor suppressors.

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These clues compelled us to objectively investigate whether the largest human genes non-427 randomly take part in certain cellular mechanisms. We size-sorted all of the protein-coding 428 human genes (n=18,181 genes with UniProt accession). Thereafter, a minimum gene length 429 threshold of >500kb was considered, a cut-off filter that resulted in inclusion of 234 genes 430 representing the top 1.3 percent of largest protein-coding loci. Functional annotation and tissue 431 expression profile of this gene set of interest were investigated using Database for Annotation, 432 433 Visualization and Integrated Discovery (DAVID) and standard statistical tests of gene set enrichment^{210,211}. 434

435 The most overrepresented organ for expression of this gene set was brain ($p=2.1\times10^{-17}$), followed

by amygdala ($p=1.9\times10^{-4}$) and hippocampus ($p=8.5\times10^{-4}$). Showing strong enrichment statistics, *cell adhesion* (GO:0007155) was the most representative biological process related to this gene set ($p=8.0\times10^{-13}$, Table 1) and the most overrepresented cellular component was *synapse* (GO:0045202: $p=2.4\times10^{-14}$). Other significant gene ontology terms further implicated pathways of nervous system development and function, including *neuron differentiation*, *axon*

441 *morphogenesis, axon guidance, cell motion, and synaptic transmission* (Table 2).

The strongly nonrandom selectivity of large human genes to brain, synapse and cell adhesion is a potentially enlightening observation. We suspect that these genes may have fostered adhesion and assembly of complex synaptic circuits in cognitive evolution. As an evolutionary bottleneck, extremely large genes may also be inherently costlier to be maintained in late life, and may put individuals at a neurobiological disadvantage when the burden of somatic mutations passes a critical threshold in aging. Remarkably, potential downside of large genes in late life aging has only been weakly corrected by evolutionary forces, since average human life expectancy passed the 40-year milestone only two centuries ago²¹². In this regard, AD may have unmasked a DNA maintenance and repair bottleneck in the elderly brain of modern humans due to the rapid expansion of life expectancy.

452 While somatic mutations provide a simple explanation for synaptic adhesion failure in aging, it is worth noting that extracellular adhesion pathways also form a surveillance system for continuous 453 checking of cell anchorage in solid organs. Abnormal loss of cell adhesion robustly activates a 454 specialized apoptosis program known as anoikis²¹³, with a number of AD risk loci controlling 455 such anoikis pathways including the reelin pathway which regulates anoikis of mesodermal 456 cells²¹⁴ and the ephrin cascade of axon guidance which moderates anoikis in cancer²¹⁵. Integrin is 457 also a well-characterized regulator of anoikis cell death (e.g. see²¹⁶ and reviews^{217,218}). The 458 interrelationship between pathways of neurite adhesion and cell survival is at a level that they 459 460 occasionally rely on dual-functioning *dependence* receptors, as has been determined for netrin and ephrin cascades of axon guidance^{219,220}. 461

463	Table 1. Enrichment of large human genes (>500kbp) in Gene ontology: biological process
464	annotation. p-values are corrected for multiple comparisons.

GO term: biological process	Gene count	Pvalue
GO:0007155~cell adhesion	41	8.0×10 ⁻¹³
GO:0022610~biological adhesion	41	4.0×10 ⁻¹³
GO:0016337~cell-cell adhesion	23	3.0×10 ⁻⁹
GO:0019226~transmission of nerve impulse	23	1.8×10 ⁻⁷
GO:0007268~synaptic transmission	21	2.8×10 ⁻⁷
GO:0030182~neuron differentiation	25	3.9×10 ⁻⁷
GO:0048666~neuron development	22	3.8×10 ⁻⁷
GO:0030030~cell projection organization	22	1.6×10 ⁻⁶
GO:0007156~homophilic cell adhesion	14	1.6×10 ⁻⁶
GO:0048812~neuron projection morphogenesis	17	1.7×10 ⁻⁶
GO:0031175~neuron projection development	18	3.4×10 ⁻⁶
GO:0048667~cell morphogenesis involved in neuron	16	7.4×10 ⁻⁶
differentiation		
GO:0048858~cell projection morphogenesis	17	9.3×10⁻ ⁶
GO:0032990~cell part morphogenesis	17	1.6×10 ⁻⁵
GO:0007409~axonogenesis	15	1.5×10 ⁻⁵
GO:0000904~cell morphogenesis involved in differentiation	16	4.2×10 ⁻⁵
GO:0007267~cell-cell signaling	24	1.8×10 ⁻⁴
GO:0000902~cell morphogenesis	18	2.2×10 ⁻⁴
GO:0007411~axon guidance	10	6.3×10 ⁻⁴
GO:0050808~synapse organization	8	8.0×10 ⁻⁴
GO:0032989~cellular component morphogenesis	18	7.6×10 ⁻⁴
GO:0050877~neurological system process	31	0.011
GO:0006928~cell motion	17	0.021
GO:0006887~exocytosis	8	0.036

465

467	Table 2. Enrichment of large human genes (>500kbp) in Gene ontology: cellular component
468	annotation. p-values are corrected for multiple comparisons.

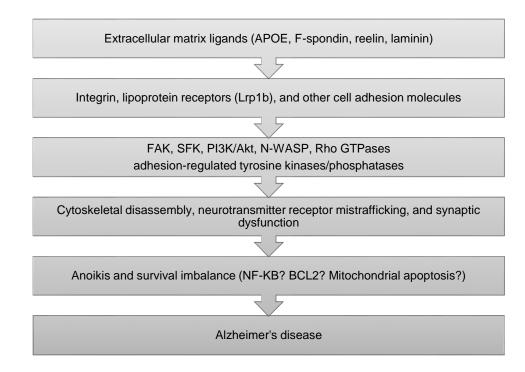
GO term: cellular component	Gene count	Pvalue
GO:0045202~synapse	33	2.4×10 ⁻¹⁴
GO:0044456~synapse part	24	1.5×10 ⁻¹⁰
GO:0030054~cell junction	31	7.8×10 ⁻⁹
GO:0005886~plasma membrane	97	1.1×10 ⁻⁸
GO:0045211~postsynaptic membrane	15	4.3×10 ⁻⁷
GO:0044459~plasma membrane part	63	3.8×10 ⁻⁶
GO:0043005~neuron projection	21	3.8×10 ⁻⁶
GO:0014069~postsynaptic density	10	2.2×10 ⁻⁵
GO:0042995~cell projection	29	2.3×10 ⁻⁵
GO:0042734~presynaptic membrane	7	7.0×10⁻⁵
GO:0031224~intrinsic to membrane	110	1.1×10 ⁻⁴
GO:0016021~integral to membrane	103	1.5×10 ⁻³
GO:0044463~cell projection part	13	2.7×10 ⁻³
GO:0031225~anchored to membrane	12	5.8×10 ⁻³
GO:0019898~extrinsic to membrane	18	0.016
GO:0030424~axon	9	0.03
GO:0030425~dendrite	9	0.03

469

471 4 Predictions

AD patients may suffer faster accumulation of DNA damage and somatic mutations in their aging neurons due to a combination of heritable factors and environmental exposures. This insult eventually disrupts cellular pathways controlled by unstable genes of synaptic maintenance and neuronal survival. Derailed signaling of the APOE-lipoprotein receptor axis may be a prototypic feature of cellular dysfunction in sporadic AD (Fig. 4).

477



478

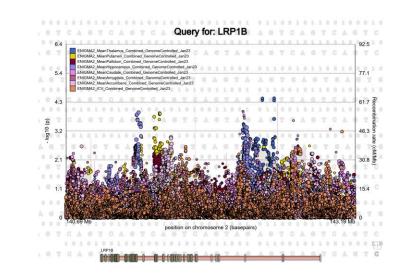
479 Figure 4. A simplified cascade of sporadic AD pathogenesis based on APOE signaling480 disruption. FAK: focal adhesion kinase; SFK: Src-family kinase.

481

We predict that mutational instability of the Lrp1b gene in human aging potentially explains the APOE4 risk factor of AD:

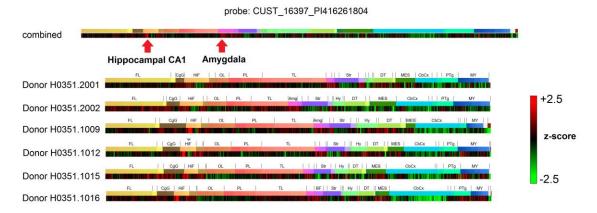
- Lrp1b is the largest member of the lipoprotein receptor family and represents the 8th largest human gene overall. Lrp1b is also one of the most frequently deleted genes in 3,131 cancer specimens and maps to a common chromosomal fragile site²²³.
- 487 Coding variants within Lrp1b are correlated with cognitive stability in aging and AD^{224,225}.
- Lrp1b controls focal adhesion, cytoskeletal remodeling and cell migration²²⁶, pathways that functionally align with the genetic architecture of AD. Lrp1b has affinity to APOE particles and also interacts with APP at cell membranes, possibly acting as a co-receptor complex for cell signal transduction^{227,228}.

- Lrp1b interacts with two postsynaptic proteins including PSD95¹³⁴ and PICK1²²⁹. PSD95
 is the major synaptic scaffold protein and connects glutamate receptors with the synaptic actin cytoskeleton. PICK1 regulates postsynaptic function and glutamate receptor function²³⁰.
- Unlike other APOE receptors that are generally expressed in various organs, Lrp1b demonstrates a restrictive pattern of brain expression²²⁷, and hippocampal CA1 neurons and amygdala show the highest Lrp1b transcription levels in the Allen human brain atlas²³¹ (Fig. 5).
- 501



b.

a.



502

Figure 5. Top: Correlation of genetic polymorphisms of the Lrp1b locus with several MRI measures of brain volume in ENIGMA-2 meta-analysis^{232,233} (*https://www.enigma-brain.org/enigmavis/visualizer/visualizer*). Bottom: Spatial expression of Lrp1b in different human brain regions in six postmortem brain samples, Allen human brain atlas (*http://human.brain-map.org*).

509 We predict that AD-type cognitive decline is correlated with somatic mutations in certain large synaptic genes including Lrp1b. Although previous models have already implicated oxidative 510 stress and DNA damage in AD^{12,207,208,234}, high-throughput results do not support an oxidative 511 etiology for somatic mutations in various organs, since oxidative stress typically causes 512 G:C \rightarrow T:A transversions^{235,236}, whereas aging cells demonstrate a clock-like signature of somatic 513 mutations with enrichment of C:G \rightarrow T:A transitions^{237,238}. Intriguingly, the C:G \rightarrow T:A fingerprint 514 was recently observed as the dominant type of somatic mutations in neurons^{236,239,240}. We predict 515 that the most frequent type of genomic instability in AD may also be similar to that of "normal" 516 aging in neurons and cancer cells. The reason for this preponderance of C:G \rightarrow T:A transitions is 517 currently unknown, and might reflect transcriptional stress, spontaneous cytosine deamination, or 518 DNA repair failure^{236,241}. 519

It is noteworthy that Lrp1b only serves to provide one example of vulnerable genes in brain 520 aging, and the true genetic landscape of sporadic AD and senile neurodegenerations in general is 521 not reducible to the APOE pathway (Fig. 6). In a manner that may be similar to engagement of 522 various tumor suppressor genes in different cancers, brain-wide expression of several unstable 523 synaptic genes may cause heterogeneity of dementia syndromes. For instance, the genetic 524 architecture of Parkinson's disease implicates many genes of the synaptic vesicular trafficking 525 system including the extremely large tumor suppressor PARK2²⁴², and the vulnerable 526 dopaminergic neurons selectively express two known tumor-suppressor cell adhesion genes, 527 including DCC²⁴³ and AJAP1²⁴⁴. 528

529

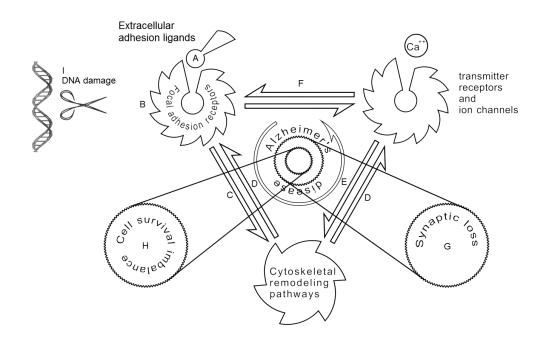


Figure 6. The proposed mechanisms of synaptic loss and neuronal death in AD. The extracellular
matrix and its cell adhesion molecules (A) modulate neuronal adhesion receptors (B). Cell
adhesion pathways affect remodeling of synaptic cytoskeleton as well as other modulators of

534 plasticity and neuronal survival, e.g. various SH3 domain containing proteins (C). The 535 postsynaptic density scaffold is anchored with the synaptic actin cytoskeleton through linking proteins, e.g. PDZ domain containing proteins (D). Function and trafficking of the 536 537 neurotransmitter receptors are controlled by cytoskeletal pathways (E) as well as cell adhesion molecules (**F**). Disruption of cell adhesion pathways in AD impairs synaptic stability and causes 538 dendritic spine loss (G), and may eventually lead to neuronal survival imbalance by triggering 539 anoikis-like mechanisms (**H**). Selective vulnerability of large genes in aging may be the cause of 540 cell adhesion disruption in AD (I). 541

542

543 5 Future perspectives

Conditional knockout of Lrp1b as well as other modulators of the APOE signaling axis (F-544 spondin, reelin, Dab1) after completion of brain development may aid in modeling cognitive 545 aspects of AD in laboratory animals. Intriguingly, conditional knockout of the Lrp1 gene which 546 is closely related to Lrp1b, but lacks its brain-specific expression profile, results in 547 neurodegenerative changes after 12 months of animal aging²⁴⁵. The single study of Lrp1b 548 knockout mice did not follow the course of abnormal phenotypes past this age¹³⁴. Lrp1 and 549 Lrp1b may also be functionally redundant and partially compensate for loss of gene function in 550 single knock-out models. 551

552 Since even the most aggressive forms of AD remain clinically-silent for decades, the short 553 lifespan of laboratory animals may not permit effective interaction of genetic factors and 554 environmental exposures to take place similar to human dementias. Therefore, accelerating the 555 aging process by crossing AD animal models with DNA-repair defective strains²⁴⁶ or exposure 556 of models to genotoxic UV irradiation may prove informative, but usefulness of these 557 gene×environment models relies on validation of DNA damage accumulation in aging human 558 brain and its characteristics.

Our hypothesis is not based on any form of etiological relevance for A β species or 559 neurofibrillary tangles in the disease cascade, and redefines these neuropathological features as 560 bystander epiphenomena downstream to other causal factors. For AD drug design, enhancing 561 function of the integrin-lipoprotein receptor signaling axis and their downstream second 562 messengers including adhesion-regulated tyrosine kinases (FAK/SFK, PI3K → Akt, and Erk) or 563 regulators of cytoskeletal actin (e.g. Rho GTPases, cofilin, and WAVE) as well as blocking 564 anoikis pathways may prove useful. Nevertheless, even the strongest AD risk locus, APOE, fails 565 to explain ~94 percent of the disease variance alone, and single pathway therapeutic approaches 566 may provide limited benefit in clinical trials. As a potentially more effective method, our model 567 warrants engineering of novel gene delivery vehicles for restoring neuronal expression of 568 unstable genes before somatic mutations reach a level that results in clinical presentation of 569 dementia. 570

571 In conclusion, our proposal, the *large gene instability hypothesis*, implicates mutational 572 vulnerability of the complex genes of synaptic adhesion and homeostasis as the primary etiology

573 of AD, and suggests a shift of paradigm from the protein aggregation process to DNA damage 574 mechanisms for disease prevention and drug design.

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- 577
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