

1 **Inferring demographic parameters in bacterial genomic data using Bayesian and hybrid phylogenetic methods**

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48 **Abstract**

49 **Background:** Recent developments in sequencing technologies make it possible to obtain genome sequences from a
50 large number of isolates in a very short time. Bayesian phylogenetic approaches can take advantage of these data by
51 simultaneously inferring the phylogenetic tree, evolutionary timescale, and demographic parameters (such as
52 population growth rates), while naturally integrating uncertainty in all parameters. Despite their desirable properties,
53 Bayesian approaches can be computationally intensive, hindering their use for outbreak investigations involving
54 genome data for a large numbers of pathogen isolates. An alternative to using full Bayesian inference is to use a
55 hybrid approach, where the phylogenetic tree and evolutionary timescale are estimated first using maximum
56 likelihood. Under this hybrid approach, demographic parameters are inferred from estimated trees instead of the
57 sequence data, using maximum likelihood, Bayesian inference, or approximate Bayesian computation. This can
58 vastly reduce the computational burden, but has the disadvantage of ignoring the uncertainty in the phylogenetic
59 tree and evolutionary timescale.

60 **Results:** We compared the performance of a fully Bayesian and a hybrid method by analysing six whole-genome SNP
61 data sets from a range of bacteria and simulations. The estimates from the two methods were very similar,
62 suggesting that the hybrid method is a valid alternative for very large datasets. However, we also found that
63 congruence between these methods is contingent on the presence of strong temporal structure in the data (i.e.
64 clocklike behaviour), which is typically verified using a date-randomisation test in a Bayesian framework. To reduce
65 the computational burden of this Bayesian test we implemented a date-randomisation test using a rapid maximum
66 likelihood method, which has similar performance to its Bayesian counterpart.

67 **Conclusions:** Hybrid approaches can produce reliable inferences of evolutionary timescales and phylodynamic
68 parameters in a fraction of the time required for fully Bayesian analyses. As such, they are a valuable alternative in
69 outbreak studies involving a large number of isolates.

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71 **Keywords**

72 Bayesian phylogenetics, phylodynamics, molecular clock, bacterial evolution

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76 **Background**

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78 Genomic data are increasingly used to investigate infectious disease outbreaks caused by microbial pathogens.
79 Recent developments in sequencing technologies have made it possible to obtain data for a very large number of
80 samples, at low cost and within a very short timeframe. Phylogenetic methods can make use of these data to infer
81 their evolutionary dynamics, known as phylodynamic inference. For example, genome data obtained during the first
82 months of the 2013-2016 Ebola virus epidemic were used to determine the time of origin of the outbreak and the
83 basic reproductive number (R_0) of the circulating strains [1,2]. Some of the key requirements for these inferences are
84 that the data must have sufficient genetic diversity and that they should be a representative sample of the circulating
85 strains.

86

87 Serially sampled data are particularly useful because their sampling times can be used to calibrate the molecular
88 clock. This consists of calculating the rate of evolution, which is the amount of genetic change that has accumulated
89 per unit of time. The rate of evolution is key to infer an evolutionary timescale, typically represented by a
90 phylogenetic tree where the branch lengths correspond to time, known as a chronogram. Some methods assume
91 that the rate of evolution is constant over time, known as a strict molecular clock, but popular Bayesian
92 implementations, such as that in BEAST [3,4], include relaxed-clock models that use a statistical distribution to
93 describe rate variation across time and lineages (reviewed in [5]). Phylodynamic models can be used to estimate the
94 epidemic growth rate (r), R_0 , and other parameters [6,7]. Importantly, these models describe the expectation of the
95 distribution of node times in the chronogram. As such, inferences drawn from phylodynamic models rely on accurate
96 estimates of evolutionary rates and timescales. A number of statistical methods are available to assess the
97 robustness of inferences of evolutionary rates and timescales; those that are most widely used are implemented
98 under a Bayesian framework (reviewed in [8]).
99

100 Bayesian phylogenetic approaches allow sophisticated evolutionary models to be specified. For example, the
101 evolution of a pathogen during an outbreak can be defined as an exponentially growing population with considerable
102 evolutionary rate variation among lineages; which can be modelled by specifying a nucleotide substitution model, a
103 relaxed-clock model and an exponential-growth tree prior. The parameters for all these models are obtained
104 simultaneously and their estimates correspond to posterior probability distributions, such that their uncertainty is a
105 natural by-product of the analysis. Bayesian methods require specifying a prior distribution for all parameters.
106 Although specifying a prior distribution is not trivial for some parameters, their influence can be assessed by
107 comparing them to the posterior. An advantage of specifying prior distributions is that it is possible to include
108 previous knowledge about the data. As a case in point, a known probability of sampling can be represented with a
109 prior distribution in birth-death models [9].
110

111 Whilst Bayesian phylogenetic methods have many desirable properties, analysing large genomic data sets under
112 complex models is often computationally prohibitive (e.g. [10,11]). An alternative to full Bayesian methods is to
113 conduct the analysis in several steps. In this hybrid approach the phylogenetic tree, evolutionary rates and
114 timescales, and demographic parameters are estimated separately.
115

116 Phylogenetic trees can be rapidly estimated using various maximum likelihood implementations [12–15]. These
117 methods assume a substitution model, but not a molecular-clock or demographic model, such that the branch
118 lengths of the trees represent the expected number of substitutions per site, and are known as phylograms.
119

120 Next, phylograms can be used to estimate evolutionary rates and chronograms, for example, using a recently
121 developed molecular clock method based on least-squares optimisation, called LSD (Least Squares Dating) [16]. LSD
122 is more computationally tractable than Bayesian molecular-clock methods, such that it is feasible to analyse genomic
123 data sets with thousands of samples. Although LSD assumes a strict molecular clock, its accuracy is frequently similar
124 to that obtained using more sophisticated Bayesian clock models [17]. Other non-Bayesian molecular-clock methods
125 have also been developed recently with the purpose of analysing large genomic data sets [18–20].
126

127 Finally, a range of tools are available to infer phylodynamic parameters from a chronogram, such as that obtained
128 using LSD. For example: TreePar uses maximum likelihood to fit birth-death and skyline models [21]; BEAST2 [4] and
129 RevBayes [22] can fit a range of birth-death, coalescent, and Skyline models using Bayesian inference [7]; and
130 approximate Bayesian computation (ABC) approaches that use tree summary statistics have recently been
131 developed to fit phylogenetic epidemiological models [23,24]. The main disadvantage of these approaches over
132 those that are fully Bayesian is that the estimates are based on a single tree, such that uncertainties in tree topology,
133 branch lengths, and evolutionary rates are ignored. A potential solution is to repeat the analysis using non-
134 parametric bootstrap replicates, but combining the different sources of uncertainty under this framework is not
135 trivial.

136

137 Here, we compare the following two methods to infer evolutionary rates and timescales, and demographic
138 parameters:

- 139 (i) The fully Bayesian method, implemented in BEAST2, to simultaneously infer the phylogenetic tree,
140 evolutionary timescales and phylodynamic parameters;
- 141 (ii) The hybrid method: phylogram inference using maximum likelihood in PhyML v3.1 [14], chronogram
142 inference using LSD v0.3, and estimation of phylodynamics parameters in BEAST2 using Bayesian
143 inference.

144

145 To compare the performance of these two methods, we analysed previously published whole genome SNP bacterial
146 data sets of *Mycobacterium tuberculosis* Lineage 2 [25], *Vibrio cholerae* [26], *Shigella dysenteriae* type 1 [11], and
147 *Staphylococcus aureus* ST239 [27]. Because these data sets have small numbers of samples ($n=63$ for *M. tuberculosis*,
148 $n=122$ for *V. cholerae*, $n=121$ for *S. dysenteriae*, and $n=74$ for *S. aureus*) their analyses are computationally tractable
149 using both approaches. We also demonstrate the unique potential of the hybrid approach by analysing two genomic
150 data sets with larger numbers of sequences, which have been difficult to analyse using a fully Bayesian approach; a
151 global sample of *S. dysenteriae* type 1 ($n=329$) and *S. dysenteriae* type 1 lineage IV ($n = 208$) [11]. Finally, we validated
152 the performance of the hybrid approach using a simulation experiment.

153

154 Results

155

156 *Estimates of evolutionary rates and timescales*

157

158 We compared estimates of rates and evolutionary timescales using the full Bayesian approach in BEAST2 and LSD.
159 Because our data consist of SNPs, we used ascertainment bias correction by specifying the number of constant sites
160 from the core genome. In BEAST2 we used both the strict and the uncorrelated lognormal (UCLN [28]) clock models.
161 We investigated the degree of rate variation among lineages by inspecting the coefficient of rate variation, estimated
162 in the UCLN model. This parameter is the standard deviation of branch rates divided by the mean rate. The data are
163 considered to display clocklike behaviour if the distribution for this parameter abuts zero. Therefore, we used this
164 parameter to select the clock model in BEAST2 for each data set, as suggested in previous studies [29,30]. The *M.*
165 *tuberculosis* data set was the only data set to support a strict clock over the UCLN model, whereas the remaining data
166 sets favoured the UCLN model (Fig.1). We set uniform prior distributions for the clock rate, the growth rate (r) and

167 the scaled population size (Φ). In the context of pathogen evolution, r determines the speed of spread of the
168 pathogen in the host population, while Φ is proportional to the infected host population size at present.

169

170 The estimates of evolutionary rates and timescales from these different methods were largely congruent (Fig.1). In all
171 four cases, the 95% credible intervals for the evolutionary rate and age of the root node obtained with BEAST2
172 overlapped with the 95% confidence intervals obtained for the same parameters with LSD (Fig.1). However, we
173 observed some differences in the mean evolutionary rate estimates, with the estimates from BEAST2 consistently
174 producing higher values than those from LSD. The largest difference in mean rate estimates was observed in *M.*
175 *tuberculosis*, with a mean rate of 9.37×10^{-8} (95% credible interval: $4.25 \times 10^{-8} - 1.73 \times 10^{-7}$) using BEAST2, and 1.10×10^{-8}
176 (95% confidence interval: $1.00 \times 10^{-10} - 2.02 \times 10^{-7}$) in LSD (see Fig.1). In contrast we found more congruent mean rate
177 estimates in the *V. cholerae* data set, with estimates of 7.20×10^{-7} (95% credible interval: $5.87 \times 10^{-7} - 8.65 \times 10^{-7}$) for the
178 BEAST2 and 6.76×10^{-7} (95% confidence interval: $5.76 \times 10^{-7} - 8.89 \times 10^{-7}$) for LSD. The differences in estimates of the
179 root-node age were similar, with the largest difference in the mean root-node age found in *S. aureus* ST239 (mean
180 root-node age of 1958 for BEAST2 and 1949 for LSD) (Fig.1). In most cases, the estimates from BEAST2 were more
181 uncertain with credible intervals that were wider than the confidence intervals from LSD. We investigated two
182 aspects of phylogenetic data that can affect estimates of evolutionary rates; the topological uncertainty and the
183 degree of clocklike variation. We found that the maximum likelihood trees were highly supported, according to local
184 likelihood ratio tests (aLRT) [31] (which ranges from 0 to 1, for low to high branch support, respectively). The median
185 aLRT values across nodes were 0.9 for *M. tuberculosis*, 0.83 for *V. cholerae*, 0.99 for *S. dysenteriae* type 1, and 0.92 for
186 *S. aureus*.

187

188 *Assessing temporal structure using a date-randomisation test*

189

190 We assessed the reliability of our estimates of evolutionary rate and timescales by conducting a date-randomisation
191 test [32,33]. The motivation of this test is similar to that of root-to-tip regressions implemented in TempEst [34]. That
192 is, to determine whether there is sufficient sampling in the data. However, root-to-tip regressions should be
193 interpreted for visual inspection, as opposed to date-randomisations, which are a formal statistical test. The date
194 randomisation test consists in repeating the analysis several times after randomising the sampling dates. The
195 resulting rate estimates correspond to the expected values if there is no association between sampling times and
196 genetic divergence. The data are considered to have strong temporal structure if the rate estimate obtained using
197 the correct sampling times is not contained within the range of values from the randomisations. In a Bayesian
198 context, 10 to 20 randomisations appear to be sufficient [33,35]. We conducted this test in BEAST2 using 20
199 randomisations and in LSD using 100 randomisations (Fig.2). Interestingly, the results from both tests were
200 congruent, and consistent with visualisations of clock-like behaviour of the data using root-to-tip regressions
201 (Fig.S1). The *M. tuberculosis* data set had no temporal structure with either method (Fig.2): the credible interval of the
202 Bayesian estimate with the correct sampling times overlapped with those from all of the randomisations; using LSD,
203 the estimate with the correct sampling times was around the lower threshold in the program, at 1.00×10^{-10}
204 subs/site/year, which also corresponds to the value obtained for most of the randomisations. The other data sets
205 showed strong temporal structure with both date-randomisation tests: the Bayesian credible intervals using the

206 correct sampling times did not overlap with those from any of the randomisations, and the estimates from LSD using
207 the correct sampling times were not contained within the distributions of the 100 randomisations (Fig. 2).

208

209 *Inference of phylodynamic parameters*

210

211 We analysed the data sets using the exponential-growth coalescent model in BEAST₂, which has two parameters, r
212 and Φ . Because these are compound parameters, they cannot be interpreted in an absolute scale without additional
213 information about the size of the infected host population at present [36]. In most cases, the posterior distributions
214 of both parameters were very similar when using either BEAST₂ or the hybrid approach, with similar means and
215 uncertainties (Fig.3). Although the intervals overlapped in *V. cholerae*, *S. dysenteriae*, and *S. aureus*, the mode of the
216 posterior distribution of Φ was higher when using the hybrid approach. The posterior distributions of r were almost
217 identical across methods for the three data sets with temporal signal (Fig.3). The uncertainty in estimates of this
218 parameter did not include 0, except in the case of *V. cholerae*, suggesting that most of these bacterial data sets were
219 undergoing population growth. Interestingly, the *M. tuberculosis* data set, which had no temporal structure, was the
220 only data set to display large differences in estimates among the methods (Fig.3).

221

222 *Application: analysing large data sets using the hybrid approach*

223

224 Having demonstrated good performance of the hybrid approach on small data sets with strong temporal signal, we
225 applied it to analyse two published genome-wide SNP data sets whose sample size was prohibitively large to analyse
226 under a full Bayesian framework in the original publication. These data sets consisted of: (i) 329 samples of *S.*
227 *dysenteriae* type 1 from [11], which included BEAST₂ analysis of a subset of 125 samples; and (ii) 208 samples of
228 lineage IV of *S. dysenteriae* type 1, which was represented by 61 samples in the BEAST₂ analysis in the same study
229 [11]. These three data sets displayed strong temporal structure according to the date-randomisation test in LSD, with
230 rate estimates that were not contained within the range of estimates from 100 date-randomisations (Fig.4). The
231 evolutionary rate estimates from LSD were 5.93×10^{-7} (95% confidence interval: $3.65 \times 10^{-7} - 1.65 \times 10^{-6}$) subs/site/year for
232 *S. dysenteriae* type 1, and 7.04×10^{-7} (95% confidence interval: $3.92 \times 10^{-7} - 1.54 \times 10^{-6}$) subs/site/year for *S. dysenteriae*
233 type 1 Lineage IV (Fig.4). Interestingly, the estimate of r for *S. dysenteriae* type 1 lineage IV was over an order of
234 magnitude higher than that for the global data set of this bacterium, with a mean of 2.00×10^{-2} for lineage IV
235 compared with 3.40×10^{-3} for the global data set. Importantly, the posterior distributions of r for these three data sets
236 did not include zero, indicating epidemic growth (Fig.4).

237

238 *Validation using simulations*

239

240 Although our empirical analyses suggest that the hybrid and the full Bayesian method can produce largely congruent
241 results, it is unclear whether the methods are accurate. That is, whether they can recover the true parameter
242 estimates. To investigate this, we conducted a simulation experiment. We simulated 100 whole genome data sets
243 using similar parameters to those we inferred for our *S. dysenteriae* data set. We extracted the SNPs from the
244 synthetic genomes and analysed them using the hybrid and full Bayesian methods, with the same settings that we
245 used for the empirical data. Our date-randomisations in LSD indicated that all of these data sets had temporal

246 structure, with p -values of 0.00. The estimates for the age of the root-node from both methods were very similar.
247 However, it is important to note that our hybrid method uses a single tree, such that the age of the root-node is a
248 point value, whereas the full Bayesian analyses include uncertainty in this parameter. Accordingly, the estimates
249 from LSD were very close to those used to generate the data (within 5 years of the true value), and those from the full
250 Bayesian method always included the true value within their credible interval. The estimates for the demographic
251 parameters, r and Φ , had credible intervals that always included the true value for both methods, with mean values
252 that often matched those used to generate the data (Fig. 5a). Interestingly, in 10 randomly selected simulation
253 replicates, we found that the credible intervals for the demographic parameters were very similar for both methods,
254 with the hybrid approach sometimes producing more precise estimates. We found no estimation biases in any of the
255 methods (Fig. 5a).

256
257 We conducted a second set of simulations of data with no temporal structure. To do this, we generated similar
258 sequence alignments as described above, but we assigned random sampling times in our analyses in LSD and in
259 BEAST2. This means that the molecular clock calibration is effectively uninformative. The age of the root-node was
260 over estimated by both methods. In LSD this bias was of over three orders of magnitude, whereas in BEAST2 it
261 ranged between half and three orders of magnitude. The value of Φ was similarly overestimated in both methods.
262 The growth rate, r , was underestimated by several orders of magnitude with the hybrid approach, but it tended to be
263 overestimated with the full Bayesian method (Fig. 5b). A key result about the simulations with no temporal structure
264 is that Φ was always incorrectly estimated, and the true value of r was only contained within the 95% credible interval
265 in about 14% of the analyses using the full Bayesian method. Moreover, the estimates with the hybrid approach often
266 displayed larger discrepancies with the correct values.

267
268 *Computational demands of the Bayesian and the hybrid methods*

269
270 The hybrid approach was several times faster than the full Bayesian approach. For example, the computation time for
271 each randomisation of the *V. cholerae* data set each was about 2 hours using BEAST2, where as those in LSD took
272 1.23 seconds (sec). However, a key aspect of the date-randomisation test in LSD is that the tree topology and branch
273 lengths are fixed for all randomisations, where as they are re-estimated for each randomisation in BEAST2. For the *V.*
274 *cholerae* data set, a complete analysis using the hybrid approach took: 10.06 minutes (min) to infer a maximum
275 likelihood tree in PhyML, 1.23 sec to estimate the evolutionary rate and timescale in LSD, and 5 min to infer r and Φ in
276 BEAST2 to obtain effective sample sizes (ESS) of over 200 for all parameters (drawing 1×10^7 steps, with 1 minutes per
277 10^6 steps), for a total of about 15 min, and $1/12^{\text{th}}$ of the time required in BEAST2. Analysis of the full *S. dysenteriae*
278 dataset from [11], the largest data set in our study, took 10.6 sec to analyse in LSD and 1 hour infer r and Φ BEAST2
279 (drawing 5×10^7 steps, with 1.2 minutes per 10^6 steps), for the 329 sampled sequences.

280
281 **Discussion**

282
283 Our results demonstrate that, as long as a strong temporal signal is present, the hybrid and fully Bayesian methods
284 can produce congruent estimates of evolutionary parameters, even in cases where the data display substantial rate
285 variation among lineages. These methods also yielded similar estimates of demographic parameters in data sets with

286 strong temporal signal, indicating the hybrid approach is a reliable alternative to full Bayesian analyses. However, r
287 appears to be more robust than Φ to mild differences in estimates of the rate and timescale. This probably occurs
288 because the age of the root-node plays an important role in the population size under the coalescent. In particular,
289 the effective population size, and therefore Φ , are known to scale positively with the age of the root-node [37].

290

291 Obtaining congruent estimates between the two methods depends on whether the data meet certain criteria. In
292 practice, it is important to verify that the trees have high branch support and that the data have strong temporal
293 structure. The trees inferred here were highly supported, but it is likely that the hybrid approach will produce
294 misleadingly precise estimates (i.e. with narrow confidence intervals) if branch support is low, because the
295 demographic parameters will still be conditioned on a single, and possibly incorrect, tree obtained in step 1 that does
296 not capture uncertainty in the topology. In contrast, in such circumstances the Bayesian method will simply integrate
297 over phylogenetic uncertainty and yield wider credible intervals. Our simulations illustrate ideal conditions, in which
298 the data evolve under the correct model and have strong temporal structure. In this case, we find that both methods
299 produce accurate estimates with similar precision.

300

301 Our simulations of data with no temporal structure demonstrate, not only that the hybrid and full Bayesian methods
302 will produce different estimates, but that they both tend to be inaccurate. In the absence of temporal structure, LSD
303 often produces rate estimates at the lower threshold of the program, which was 10^{-30} here. This means that the
304 timescale of the chronogram is overestimated. The value of Φ is also overestimated, which occurs because this
305 parameter scales positively with the age of the root-node [37]. Although, we found that r was also overestimated, this
306 parameter is determined by the distribution of branches in the tree, such that its error is less predictable. The full
307 Bayesian method produced estimates with smaller bias. We used uniform priors for Φ and r , and the prior for the age
308 of the root was determined by the coalescent prior. It is likely that these parameters, especially Φ , will be affected by
309 different choice of priors. For empirical data with low temporal structure, the hybrid approach will likely be
310 misleading because it is conditioned on a single tree which is probably incorrect. In such cases, it may be necessary to
311 use the full Bayesian method approach because it is possible to include sources of molecular clock calibration via prior
312 parametric distributions, at the expense of much higher computational demands. For instance, a reasonable
313 calibration on the age of the root-node might be sufficient to overcome low temporal structure and to obtain reliable
314 estimates for Φ and r . To investigate this, it is important to verify that there exists a difference between the prior and
315 posterior for parameters of interest (see Boskova et al. [38] for an investigation of the prior and posterior in Bayesian
316 phylodynamics).

317

318 Our results show that the date-randomisation test in LSD appears to be as effective as it is in BEAST₂, with the
319 advantage of being much less computationally demanding. As a result, it is possible to use a larger number of
320 replicates, which can improve the power of the test. Moreover, the sampling times under a Bayesian analysis of
321 sequentially sampled data are informative about the tree topology. That is, they impose a high prior probability on
322 trees that cluster sequences with similar sampling times, which can render the date-randomisation test unreliable,
323 with an increase in type I error [39]. Moreover, in some phylodynamic models, the estimate of the age of the root-
324 node and the evolutionary rate are determined by a combination of the sequence data and their sampling times [38],
325 such that assessing temporal structure via the date randomisation test is not trivial. The date-randomisation test in

326 LSD does not suffer from these problems because sequence data alone, not tip dates, are used to infer the tree
327 topology in maximum likelihood.

328

329 Critically, the rates estimated using the date-randomisation in test in LSD are not necessarily unimodal in their
330 distribution. This occurs because a lack of temporal structure usually leads to very low rate estimates, which affects
331 randomisations in LSD and in BEAST2. In the case of LSD, very low values for the rate will correspond to the lower
332 threshold set in the program [17], which we arbitrarily set at 10^{-10} subs/site/year, such that most randomisations will
333 have this value. As such, a reasonable approach to interpret the date-randomisation test in LSD is to ensure that the
334 rate estimate with the correct sampling times is higher than those from at least 95% of the randomisations, following
335 the frequentist one-tailed p -value of $\alpha=0.05$.

336

337 **Conclusions**

338

339 As shown here, hybrid methods offer an attractive alternative to full Bayesian approaches for genome-scale data sets
340 with very large numbers of samples. The accuracy and precision of both methods are comparable, but hybrid
341 methods can perform an analysis in a about an eighth of the time required for full Bayesian analyses. Nevertheless,
342 some steps of the hybrid method used here require oversimplifications of the evolutionary process. For example, LSD
343 always assumes a strict molecular clock, such that it is impossible to assess among-lineage rate variation or to
344 pinpoint potential biological causes for why lineages have different rates. The choice of whether to use a hybrid
345 method should be made based on what parameters a user wishes to interrogate. In the context of molecular
346 epidemiology, demographic parameters (r and Φ) and divergence time information are of primary interest, all of
347 which appear robust to some among-lineage rate variation.

348

349 In this study, we used a simple demographic model, the exponential-growth coalescent. This model appears to be
350 well suited when outbreak data are sampled at an early stage, but it makes several assumptions, including that the
351 population of susceptible hosts is constant and that there is no population structure [6]. A better understanding of
352 the data used here requires more sophisticated phylodynamic models, such as those that include changes in
353 diversification parameters over time [40], and migration [41]. To this end, our results suggest that harnessing the
354 power of such models and large-scale genome sequencing can be done through hybrid approaches.

355

356 **Materials and Methods**

357

358 *Data collection*

359

360 Our bacterial data sets consisted of publically available genome data. We obtained all of our genome-wide SNP
361 alignments from a previous studies [11,25,27,35]. These data sets are freely available online
362 (github.com/sebastianduchene/bacteria_genomic_rates_data). These data have had regions with evidence of
363 recombination removed using Gubbins v2 [42].

364

365 *Phylogenetic analyses under the fully Bayesian approach*

366

367 We analysed the sequence alignments in BEAST v2.4 using the sampling times for calibration, the GTR+ Γ
368 substitution model, the exponential-growth coalescent tree prior, and two clock models; the strict and the UCLN. We
369 used the default priors for all parameters. Our Markov chain Monte Carlo (MCMC) sampling scheme consisted of a
370 chain length of 5×10^8 steps, sampling every 10^4 steps. We verified that the ESS for all parameters was at least 200. To
371 determine whether the data had temporal structure, we conducted a date-randomisation test by randomising the
372 sampling dates 20 times and repeating the analyses [33].

373

374 *Phylogenetic analyses using the hybrid approach*

375

376 We inferred phylogenetic trees using maximum likelihood in PhyML v3.1. We used the GTR+ Γ substitution model,
377 and a search strategy that combines the nearest-neighbour interchange and subtree prune and regraft algorithms.
378 To assess branch support, we calculated the aLRT score for each branch. To visually assess temporal structure, we
379 conducted a regression of the root-to-tip distances as a function of the sampling times using TempEst v1.5 [34]. To
380 determine the optimal root in this program we selected the position that maximised R^2 .

381

382 We analysed the maximum likelihood trees (i.e. phylograms) in LSD v0.3 to infer the evolutionary rate and timescale.
383 We set the sampling times as calibrations and allowed the program to determine the optimal position of the root. We
384 constrained the branching times of the estimated chronograms such that daughter nodes must be younger than their
385 parent nodes. To obtain an uncertainty around estimates of times and rates, we conducted 100 parametric bootstrap
386 replicates of the branch lengths, as implemented in the program. Therefore, the uncertainty corresponds to the 95%
387 confidence interval of the parametric bootstrap values. We conducted a date-randomisation test 100 times by
388 randomising the sampling times in the 'date' file and running LSD each time. In this version of the test, the
389 phylogenetic tree topology and branch lengths are fixed.

390

391 We used the chronograms estimated in LSD to infer demographic parameters in BEAST2. This consists in setting the
392 input file to calculate the posterior as the likelihood of the tree given the model parameters multiplied by the priors
393 on the parameters. In the exponential growth coalescent there are two parameters; Φ and r . We used an MCMC chain
394 length of 1×10^7 sampling every 10^4 steps, and we verified that all parameters had ESS values of at least 200.

395

396 *Simulations*

397 We simulated whole genome sequence alignments using the parameters from our *S. dysenteriae* data set. To do this,
398 we took the highest clade credibility tree from this data set inferred in BEAST2 and simulated the evolutionary rate
399 using NELSI [29], according to an UCLN clock model. We used a mean rate of 10^{-6} subs/site/year and a standard
400 deviation of 10^{-7} . We used Seq-Gen v1.3 [43] to simulate genome sequence alignments of 3,750,125 nucleotides using
401 the GTR+ Γ substitution model with the mean parameter estimates for the empirical *S. dysenteriae* data. Finally, we
402 extracted the SNPs from these alignments and analysed using the same method as for our empirical data. For our
403 simulations with no temporal structure we set random sampling times for our analyses in LSD and BEAST2. In all
404 cases, we conducted a date-randomisation test in LSD, as used in our empirical data analysis.

405

406 **Abbreviations**

407 LSD, Least-squares dating; ABC, Approximate Bayesian Computation; UCLN, uncorrelated lognormal clock; aLRT,
408 local Likelihood ratio test for branch support; MCMC, Markov chain Monte Carlo.

409

410

411 **Availability of supporting data**

412 The datasets generated and/or analysed during the current study are available in the github repository,
413 github.com/sebastianduchene/bacteria_genomic_rates_data

414

415 **Declarations**

416 *Ethics and consent to participate*

417 Not applicable.

418

419 *Consent to publish*

420 Not applicable.

421

422 *Availability of data and materials*

423 All the software used in this study is freely available and open source. The data are all available online
424 github.com/sebastianduchene/bacteria_genomic_rates_data

425

426 *Competing interest*

427 The authors declare no competing interests.

428

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433

434 *Author contributions*

435 SD, DD, JLG, and KEH conceived and designed the experiments. SD, JLG, JH and ZD analysed the data. SD wrote the
436 manuscript with input from all the authors.

437

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439 Not applicable.

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542

543 **Figure legends**

544

545 **Figure 1. Estimates of evolutionary rate, time to the most recent common ancestor, and the coefficient of rate**
546 **variation of the UCLN.** The histograms correspond to the posterior distribution in BEAST2 using the full Bayesian
547 approach. With the exception of the *Mycobacterium tuberculosis* data set, we used the UCLN clock model because the
548 coefficient of rate variation was not abutting zero. The red solid line is the estimate from LSD, and the dashed lines

549 correspond to the 95% confidence interval. Note that the coefficient of rate variation is not computed for LSD, which
550 assumes a strict molecular clock.

551

552 **Figure 2. Date randomisation test using LSD and BEAST2.** The left column shows histograms of the rate estimates
553 with randomised sampling times in LSD (grey). The red line corresponds to the estimate using the correct sampling
554 times. The right column shows the date randomisation test in BEAST2. The grey bars denote the 95% credible
555 intervals of substitution rate estimates from the randomisations. The red lines correspond to the 95% credible
556 interval of the rate estimates using the correct sampling times. The circles denote the mean value. The x-axis in the
557 left column and the y-axis in the right column are in logarithmic scale.

558

559 **Figure 3. Posterior estimates of demographic parameters, Φ and r using the full Bayesian and hybrid**
560 **approaches.** The red histograms correspond to the estimates from the hybrid approach, where the coalescent
561 likelihood is calculated on a fixed tree. The grey histograms correspond to the posterior estimates using the full
562 Bayesian method.

563

564 **Figure 4. Date randomisation test in LSD and estimates of demographic parameters for large data sets using the**
565 **hybrid approach.** The grey histograms correspond to rate estimates from the randomisations, while the red lines
566 correspond to the estimates using the correct sampling times. The red histograms correspond to the posterior
567 distribution of parameters Φ and r .

568

569 **Figure 5. Parameter estimates for 10 randomly selected simulations (from a total of 100).** Simulations with strong
570 temporal structure (a) had a p -value for the date randomisations test of 0.00, where as those with no temporal
571 structure (b) had a p -value of 1. Each row within each panel is for a simulated genome analysis. Estimates in red were
572 obtained using the hybrid method, while those in grey are for the full Bayesian approach. The circles correspond to
573 the mean value, except for the age of the root-node for the hybrid approach (LSD), where it is the point estimate.
574 The bars denote the 95% credible interval. The dashed lines are the value used to generate the data. Note that the x-
575 axes in (b) are in \log_{10} scale.

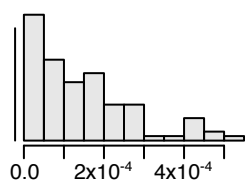
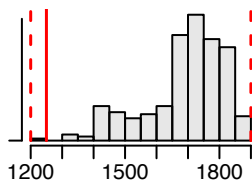
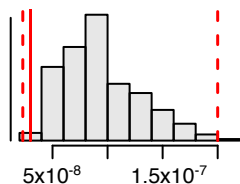
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577 **Supplementary material legends**

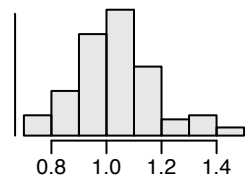
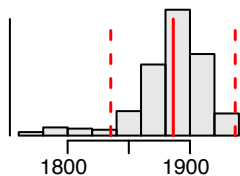
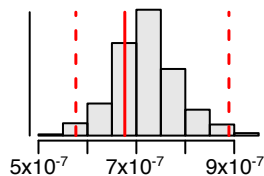
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579 **Fig.S1. Root-to-tip regression for all data sets.** The blue points correspond to tips in the tree. The black line
580 represents the linear regression of root-to-tip distance as a function of the sampling time. The root-to-tip distance is
581 measured by fitting the root of the tree that maximises R^2 .

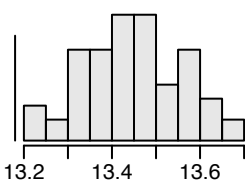
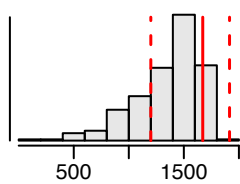
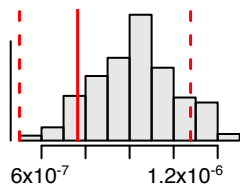
Mycobacterium tuberculosis Lineage 2



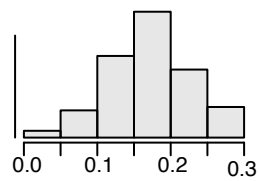
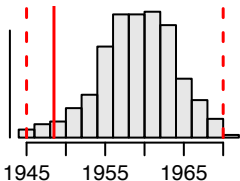
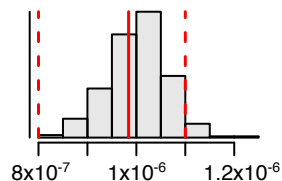
Vibrio cholerae



Shigella dysenteriae type I



Staphylococcus aureus ST239

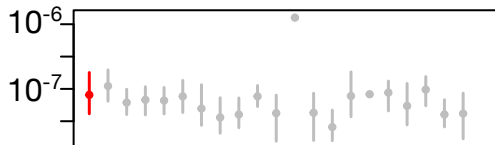
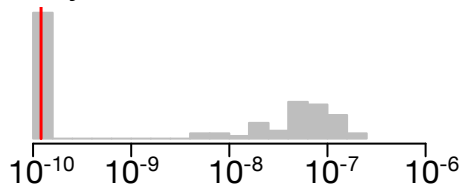


Substitution rate
(subs/site/year)

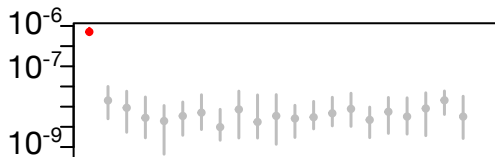
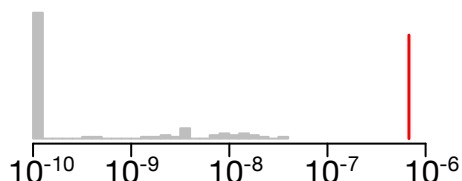
Age of root-node
(year)

Coefficient of rate
variation

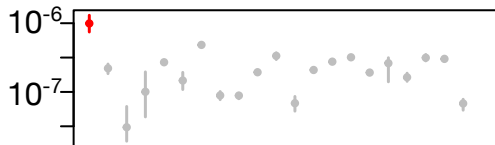
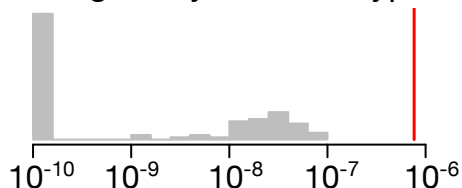
Mycobacterium tuberculosis Lineage 2



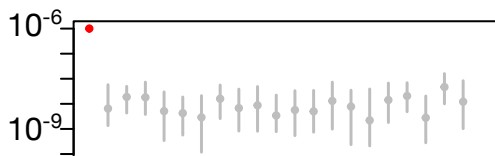
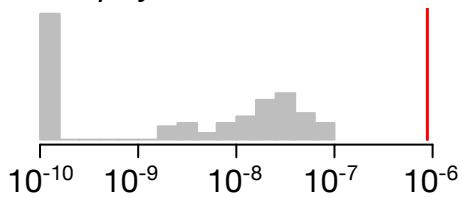
Vibrio cholerae



Shigella dysenteriae type I



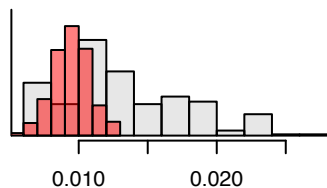
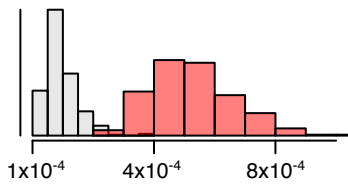
Staphylococcus aureus ST239



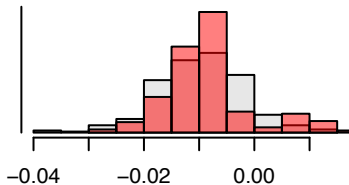
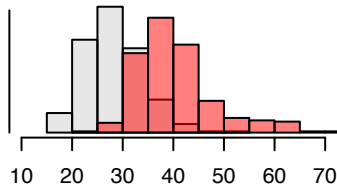
Frequency

Substitution rate
(subs/site/year)

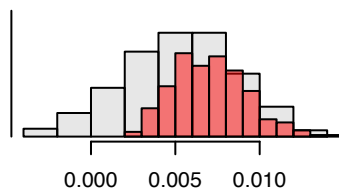
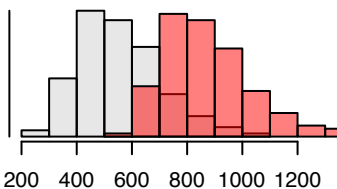
Mycobacterium tuberculosis Lineage 2



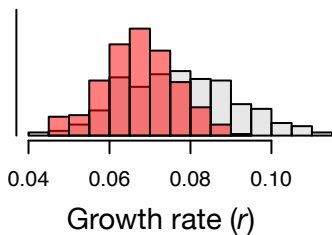
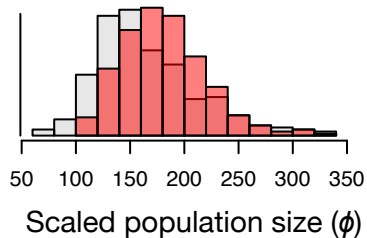
Vibrio cholerae

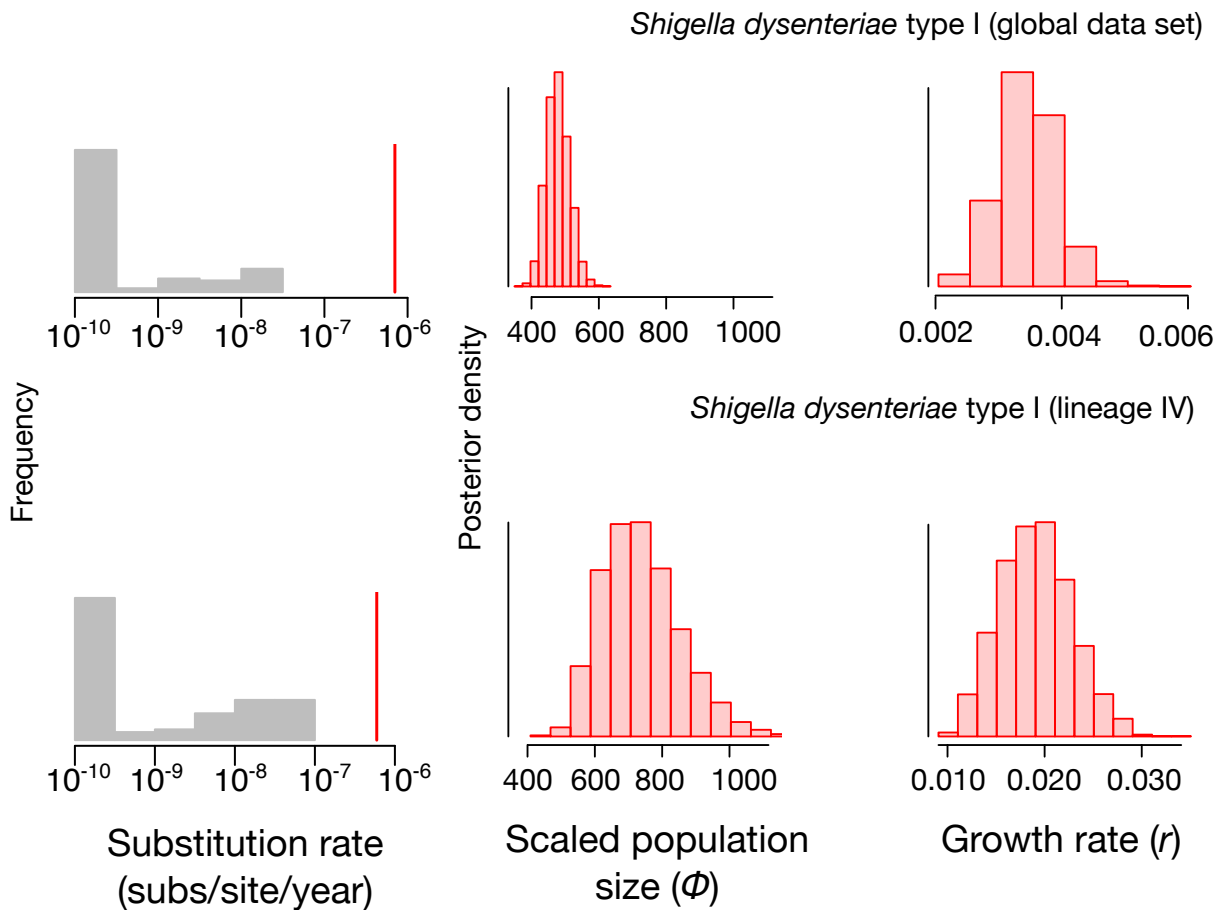


Shigella dysenteriae

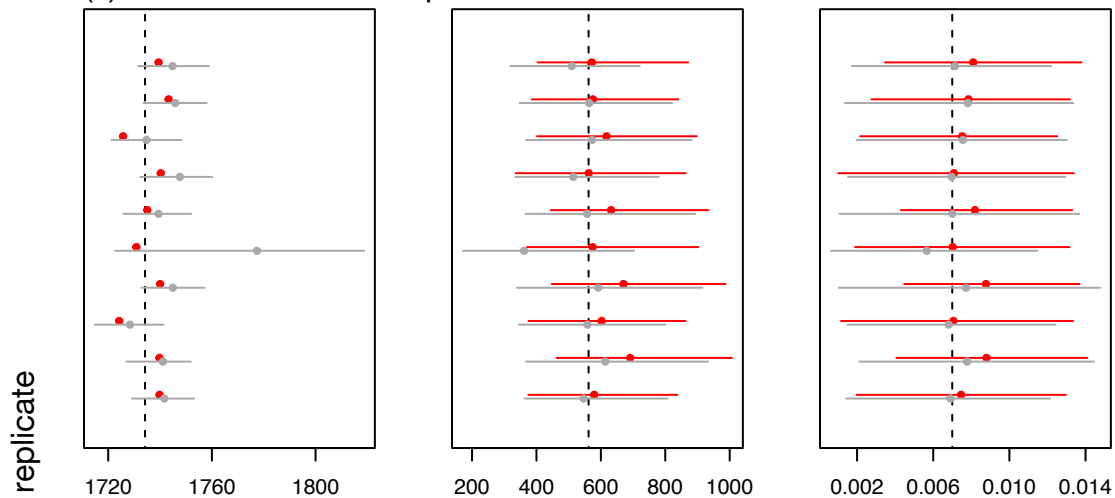


Staphylococcus aureus ST239





(a) Simulations with temporal structure



(b) Simulations with no temporal structure

