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7	Quantification of anti-parasite and anti-disease immunity to malaria as a function of age
8	and exposure
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## 47 Abstract

Malaria immunity is complex and multi-faceted, and fundamental gaps remain in our 48 49 understanding of how it develops. Here, we use detailed clinical and entomological data 50 from three parallel cohort studies conducted across the malaria transmission spectrum in 51 Uganda to quantify the development of immunity against symptomatic *Plasmodium* 52 falciparum as a function of age and transmission intensity. We focus on: anti-parasite 53 immunity (i.e; ability to control parasite densities) and anti-disease immunity (i.e; ability 54 to tolerate higher parasite densities without fever). Our findings suggest a strong effect of 55 age on both types of immunity, that remains significant after adjusting for cumulative 56 exposure. They also show a non-linear effect of transmission intensity, where children 57 experiencing the lowest transmission appear to develop immunity faster than those 58 experiencing higher transmission. These findings illustrate how anti-parasite and anti-59 disease immunity develop in parallel, reducing the probability of experiencing 60 symptomatic malaria upon each subsequent P. falciparum infection. 61 62

#### 64 Introduction

65	The last decades have seen substantial declines in malaria transmission in sub-Saharan
66	Africa that are largely attributable to increased access to effective control measures,
67	including insecticide-treated bednets, indoor residual spraying of insecticide and
68	artemisinin-based combination therapy(1,2). In settings where transmission has been low,
69	increased access to effective control interventions opens the possibility for malaria
70	elimination. In highly endemic settings, however, there are concerns around the potential
71	impact of failing to sustain interventions that reduce but do not stop transmission. Short-
72	term decreases in malaria incidence due to reductions in transmission could be offset over
73	time by reductions in population immunity to malaria resulting from lower exposure to
74	parasites (3-5).
75	

76 Gradual acquisition of immunity against symptomatic malaria is a key driver of the epidemiology of malaria in endemic settings, where the incidence of disease typically 77 78 peaks in early childhood and then declines, while the prevalence of detectable 79 asymptomatic parasitemia increases throughout childhood before declining in adulthood 80 (6-12). While these epidemiologic patterns have been described across the transmission 81 spectrum, there are still many fundamental gaps in our understanding of the factors 82 driving the development of immunity, and of the independent roles of age and repeated 83 infection. One reason it has been challenging to study immunity to malaria is that there 84 are currently no reliable and quantifiable immune correlates of protection that can be 85 measured in epidemiological studies. In addition, there are few available datasets that

86 include both detailed clinical data and independent metrics of exposure at the individual87 level.

88

89	Here, we use data from three parallel cohort studies conducted across the spectrum of
90	malaria transmission in Uganda to model and quantify the development of immunity
91	against symptomatic malaria as a function of transmission intensity and age. A key
92	strength of these studies is that they involved detailed clinical and entomological
93	surveillance of all study households. We focus on two specific types of immunity: anti-
94	parasite immunity (i.e; the ability to control parasite densities upon infection) and anti-
95	disease immunity (i.e; the ability to tolerate higher parasite infections without developing
96	objective fever), as they have been described as independent components of clinical
97	immunity (13).
00	

98

## 99 **Results**

100 The three cohorts enrolled a total of 1021 children aged 6 months to 10 years from 331

101 randomly chosen households across the three study sites. This analysis was limited to

102 data from 773 children who experienced at least one patent *P. falciparum* infection

103 between August 2011 and November 2014. Table 1 summarizes the general

104 characteristics of the participants included in this analysis.

105

106 Participants living in Nagongera experienced the highest incidences of symptomatic

107 malaria (median 2.6 episodes per person year), followed by those living in Kihihi

108 (median 1.6 episodes per person year) and Walukuba (median 0.6 episodes per person

109	year) (Table 1 and Figure 1). These incidences were consistent with results from monthly
110	entomological surveys conducted in all cohort households, with significantly higher
111	annual entomological inoculation rates (aEIR) recorded in Nagongera (median 51
112	infectious bites per year, range 10-582) as compared to Kihihi (median 8 infectious bites
113	per year, range 4-47) and Walukuba (median 2 infectious bites per year, range 1-8).
114	Interestingly, prevalence of asymptomatic parasitemia did not follow this same
115	relationship; the prevalence of asymptomatic parasitemia was highest in Nagongera, and
116	prevalences in the lower transmission sites were similar.
117	
118	aEIR as a metric of individual exposure
119	To assess whether entomological metrics were a good indicator of individual exposure to
120	P. falciparum, we correlated the measured annual EIRs (aEIR) for each household
121	(Figure 2a) with estimates of the average individual hazard of infection (Figure 2b).
122	Individual hazards were estimated by fitting time-to-event models to the incidence data
123	from each site. We found a significant correlation between these two independent metrics
124	of exposure across sites ( $R^2 = 0.38$ , p<0.001). While aEIR explained less of the variance
125	between individuals within each site, the correlation was still significant for both
126	Nagongera ( $R^2 = 0.03$ , p=0.005) and Kanungu ( $R^2 = 0.12$ , p<0.001).
127	
128	Anti-parasite immunity
129	Parasite densities developed upon infection decreased with increasing age in all settings

130 and for both symptomatic (passive detection) and asymptomatic (detected during routine

131 visits) infections. Despite the large variability in parasite densities recorded within and

132 between individuals, this trend is evident in the raw data (Figure 3a). A trend towards 133 lower parasite densities was also observed among individuals living in settings with 134 higher aEIRs (Nagongera), as compared to settings with lower aEIR (Kihihi and 135 Walukuba). 136 137 We considered multiple candidate models to describe the association between parasite 138 density, age and aEIR (supplementary material). Models allowing smooth (non-linear) 139 relationships with aEIR best fit the data. Models allowing for two-way interactions 140 between age and aEIR also outperformed models that didn't include interactions. 141 142 In moderate and high transmission settings (households with aEIR > 5), increasing age 143 and increasing exposure were independently associated with decreases in the parasite 144 densities. On average, parasite densities decreased by a factor of 0.76 (95%CI 0.74-0.77) 145 for each additional year of age and by a factor of 0.73 (95%CI 0.70-0.76) for each two-146 fold increase in the aEIR. The relationship was less evident for the lower transmission 147 households (aEIR<5). In these settings, there continued to be a decreasing association 148 with age, but the expected parasite densities at any given age were equal or lower to those 149 observed in the higher exposure (aEIR>10) settings. 150 151 Figures 4a and 5a present the predicted parasite densities, as a function of age and aEIR, 152 according to the best fitting model. While an individual aged 1 year exposed to an aEIR 153 of 10 is expected to develop a parasite density of 14610 parasites/µL (95%CI 5924–

154 36031 parasites/µL) upon infection, the expected parasite density goes down to 3237

155	parasites/ $\mu$ L (95%CI 1381–7586 parasites/ $\mu$ L) by age 10 years. In contrast, the expected
156	parasite density in an individual living in a setting with aEIR of 150 will be similar 13071
157	parasites/ $\mu$ L (95%CI 5256– 32503 parasites/ $\mu$ L) at age 1 year, but significantly lower by
158	age 10 years (999 parasites/ $\mu$ L (95%CI 398–2508 parasites/ $\mu$ L )).
159	
160	To test whether the observed associations with age could be explained by the cumulative
161	exposure over a life time, we also fit models where, instead of adjusting for the aEIR, we
162	adjusted for the cumulative number of infectious bites (i.e. the product of age and aEIR).
163	Results from these models are consistent with a smaller, yet independent effect of age on
164	the development of anti-parasite immunity; for any given level of cumulative exposure,
165	each additional year of life was associated with decreases in parasite densities by a factor
166	of 0.82 (95%CI 0.81-0.85).
167	
168	Anti-disease immunity
169	We define anti-disease immunity as the ability to tolerate a given parasite density without
170	developing objective fever. Thus, we were interested in modeling temperatures recorded
171	at specific parasite densities, as a function of age and aEIR. Similar to the models
172	characterizing anti-parasite immunity, models including smooth effects and interactions
173	outperformed simpler models.
174	
175	As expected, we found a strong association between parasite densities and objective
176	temperature (Figure S1). Increases in parasite densities above 1000 parasites/ $\mu$ L were
177	associated with higher expected temperatures across ages and transmission settings. In

178	addition, we found a negative association between objective temperature at a given
179	parasite density and age (Figures 3b, 4b and 6). In moderate and high transmission
180	settings (aEIR>5), the objective temperature at a given parasite density decreased on
181	average by 0.08 $^{\circ}$ C (95%CI 0.07-0.10 $^{\circ}$ C) for each additional year of life. Thus, while the
182	expected temperature for a child aged 1 year living in a setting with aEIR of 10 with a
183	parasite density of 40,000 would be 38.8 °C (95% CI 38.5-39.2 °C), the expected
184	temperature would decrease to 37.6 °C (95% CI 37.3-38.0 °C) if the same child
185	experienced the infection at age 10 years (Figure 6). This association was similar and
186	remained significant even when adjusting for cumulative exposure and for the differences
187	in incidence of non-malarial fever across age-groups (Figure S3).
188	
188 189	Similar to the anti-parasite immunity results described above, the observed association
	Similar to the anti-parasite immunity results described above, the observed association between exposure level and anti-disease immunity was less evident than the association
189	
189 190	between exposure level and anti-disease immunity was less evident than the association
189 190 191	between exposure level and anti-disease immunity was less evident than the association with age. For moderate and high transmission settings (aEIR 5 to 300) there was a clear
189 190 191 192	between exposure level and anti-disease immunity was less evident than the association with age. For moderate and high transmission settings (aEIR 5 to 300) there was a clear negative association between objective temperature at a given parasite density and aEIR.
189 190 191 192 193	between exposure level and anti-disease immunity was less evident than the association with age. For moderate and high transmission settings (aEIR 5 to 300) there was a clear negative association between objective temperature at a given parasite density and aEIR. The objective temperature decreased by $0.07 ^{\circ}$ C (95%CI 0.05-0.10 $^{\circ}$ C) for each two-fold
189 190 191 192 193 194	between exposure level and anti-disease immunity was less evident than the association with age. For moderate and high transmission settings (aEIR 5 to 300) there was a clear negative association between objective temperature at a given parasite density and aEIR. The objective temperature decreased by 0.07 °C (95%CI 0.05-0.10 °C) for each two-fold increase in aEIR. However, the relationship did not follow this trend for lower
189 190 191 192 193 194 195	between exposure level and anti-disease immunity was less evident than the association with age. For moderate and high transmission settings (aEIR 5 to 300) there was a clear negative association between objective temperature at a given parasite density and aEIR. The objective temperature decreased by 0.07 °C (95%CI 0.05-0.10 °C) for each two-fold increase in aEIR. However, the relationship did not follow this trend for lower transmission settings. Children living in the lowest transmission settings (aEIR 1 to 5)

199	As an alternative way to characterize anti-disease immunity, we used our best fitting
200	model to predict the fever threshold, defined as the minimum parasite density associated
201	with objective fever (temperature> 38 $^{\circ}$ C), across levels of age and aEIR (figure 5b).
202	Results from this analysis show that, for settings with moderate and high transmission
203	(aEIR>5), the fever threshold increases both with age and increasing exposure. Thus,
204	while a 1 year old child living in a setting with aEIR of 10 presenting with a parasite
205	density as low as 3747 parasites/ $\mu$ L (95%CI 777-11129 parasites/ $\mu$ L) will be expected to
206	be febrile, children older than 6 years of age exposed to very high transmission (aEIR
207	150) might be afebrile even with parasite densities higher than 60000 parasites/ $\mu$ L.
208	
209	Overall immunity against symptomatic malaria
210	Finally, to characterize the association between age and aEIR on the overall risk of

211 developing symptomatic malaria upon infection (i.e.; the combined effect of anti-parasite

- and anti-disease immunity), we fit a series of models where the outcome of each
- 213 independent observed infection (i.e.; symptomatic malaria or asymptomatic parasitemia)
- 214 was modeled as a function of age and aEIR.

215 Results from this analysis are consistent with results from the anti-parasite and anti-

- 216 disease models (Figure 7). While young children living in low transmission settings
- 217 (aEIR=5) are expected to develop symptomatic malaria in most their infections, the
- 218 probability that an infection results in symptomatic malaria decreases as a function of age
- and exposure. The expected probability of symptomatic disease for a child aged 1 year
- living in a setting with aEIR of 50 is 0.92 (95%CI 0.79-0.97), but it decreases to 0.51
- 221 (95%CI 0.29-0.73) by age 10 years.

222

# 223 Sensitivity analyses

224	Our main analyses include data from all visits regardless of their type (routine vs passive
225	case detection). Thus, the expected values modeled here may be biased by the frequency
226	of active vs passive episodes detected. In particular, it is possible that we have under-
227	sampled the instances of asymptomatic disease, and thus, our estimates of the expected
228	parasite densities may be an over-estimate of those present in the population. To address
229	this, we performed sensitivity analyses where we up-weighted the episodes of
230	asymptomatic parasitemia, to account for potentially unobserved asymptomatic
231	infections. Results from these analyses were qualitatively identical to the main analysis
232	reported here and are presented in the supplementary material (Figure S4).
233	
234	To explore whether differences in the prevalence of certain host genetic polymorphisms
234 235	To explore whether differences in the prevalence of certain host genetic polymorphisms between sites could be driving some of our findings, we also performed sensitivity
235	between sites could be driving some of our findings, we also performed sensitivity
235 236	between sites could be driving some of our findings, we also performed sensitivity analyses limiting the dataset to those subjects without the sickle hemoglobin mutation ( $\beta$
235 236 237	between sites could be driving some of our findings, we also performed sensitivity analyses limiting the dataset to those subjects without the sickle hemoglobin mutation ( $\beta$ globin E6V), known to protect against malaria (17,18). Even though the sample size of
<ul><li>235</li><li>236</li><li>237</li><li>238</li></ul>	between sites could be driving some of our findings, we also performed sensitivity analyses limiting the dataset to those subjects without the sickle hemoglobin mutation ( $\beta$ globin E6V), known to protect against malaria (17,18). Even though the sample size of these analyses was significantly smaller, results were unchanged qualitatively (Figure
<ul> <li>235</li> <li>236</li> <li>237</li> <li>238</li> <li>239</li> </ul>	between sites could be driving some of our findings, we also performed sensitivity analyses limiting the dataset to those subjects without the sickle hemoglobin mutation (β globin E6V), known to protect against malaria (17,18). Even though the sample size of these analyses was significantly smaller, results were unchanged qualitatively (Figure S5). Similarly, restricting the dataset to children without two other known
<ul> <li>235</li> <li>236</li> <li>237</li> <li>238</li> <li>239</li> <li>240</li> </ul>	between sites could be driving some of our findings, we also performed sensitivity analyses limiting the dataset to those subjects without the sickle hemoglobin mutation ( $\beta$ globin E6V), known to protect against malaria (17,18). Even though the sample size of these analyses was significantly smaller, results were unchanged qualitatively (Figure S5). Similarly, restricting the dataset to children without two other known polymorphisms (the $\alpha$ -thalassemia 3.7 kb deletion or glucose-6-phosphate dehydrogenase

244 **Discussion** 

245	Our findings illustrate how anti-parasite and anti-disease immunity develop gradually and
246	in parallel, complementing each other in reducing the probability of experiencing
247	symptomatic disease upon infection with P. falciparum. While anti-parasite immunity
248	acts to restrict the parasite densities that develop upon each subsequent infection, anti-
249	disease immunity increases the tolerance to high parasite densities. Thus, older children
250	are less likely to develop symptomatic malaria upon infection both because they tolerate
251	parasite densities better without developing fever, and because they are less likely to
252	develop high parasite densities.
253	
254	Our results indicate independent effects of age on the acquisition of both anti-parasite and
255	anti-disease immunity. These independent age effects may reflect maturation of the
256	immune system as well as other physiological changes that decrease the propensity to
257	fever (13,19). Furthermore, our findings are consistent with independent effects of
258	transmission intensity on the acquisition of these two types of immunity. While the

259 results obtained for moderate and high transmission settings (aEIR >5) are consistent and

260 expected, and suggest that immunity develops faster in settings where individuals get

261 infected by *P. falciparum* more often, the results obtained for the lowest transmission

settings are harder to reconcile. These results were largely driven by observations

263 collected in the Walukuba site, and as such it is possible that site-specific characteristics

264 may have driven them. Walukuba was previously a relatively high transmission rural

area, but substantial decreases in transmission intensity have been observed since 2011,

266 likely due to urbanization. While our sensitivity analyses suggested that differences in the

267 prevalence of three well characterized host-genetic polymorphisms between sites do not

268	explain these discrepant results, it is still possible that other unmeasured site-specific
269	characteristics may have driven them. A lower parasite diversity in Walukuba, for
270	example, could cause this difference, as developing an effective immune response against
271	fewer parasite strains may be much easier than developing immunity against a more
272	diverse pool (20,21). Testing this hypothesis would require careful characterization of the
273	complexity and diversity of infections in each of our cohort settings.
274	
275	While site specific characteristics may underlie the observed high levels of clinical
276	immunity against malaria in the low transmission setting, it is also possible that this
277	finding reflects biologically relevant differences in how immunity against malaria
278	develops. For example, it has been hypothesized that immunity may develop optimally in
279	individuals that are exposed at a low rate, and that more frequent infections may interfere
280	with the development of robust immune responses (22,23). Answering this question will
281	require further detailed studies across transmission settings, with careful characterization
282	of both exposure and infection outcomes.
283	There are several limitations to this study. With a study design including routine visits
284	every 3 months, we are likely to have missed several asymptomatic infections,
285	particularly in the moderate and high transmission settings. Moreover, since infections
286	were detected using microscopy, we were unable to detect sub-patent infections, and we
287	lack knowledge about the genetic complexity of each infection. While it is possible that
288	the expected values modeled here (expected parasite density and fever threshold) were
289	biased by these sources of measurement error, sensitivity analyses suggest that the
290	relationships observed were robust. Secondly, while we found an independent association

291	between the average household aEIR and both anti-parasite and anti-disease immunity, it
292	is not clear that this is the most relevant metric of exposure for the development of
293	clinical immunity to malaria. Alternative metrics such as the number of discrete
294	infections, the number of "strains" seen or the total parasite-positive time might be more
295	relevant, but require the collection of additional data, including more frequent sampling.
296	Finally, while this study provides very detailed insight into how two types of clinical
297	immunity to malaria develop in endemic settings as a function of age and repeated
298	exposure, it says nothing about the duration of immunity.
299	
300	Prior studies have tried to model the processes driving acquisition of clinical immunity
301	against malaria. However, these models have been generally informed by aggregated
302	epidemiological data (age-incidence and age-prevalence) which limits their capacity to
303	isolate the contributions of age and repeated exposure (24-26). Our results quantify how
304	anti-parasite and anti-disease immunity develop in children across the malaria
305	transmission spectrum, and they support strong a strong independent effect of age and a
306	perhaps paradoxical effect of exposure. The methods proposed here to model anti-
307	parasite and anti-disease immunity may also provide a framework to select individuals
308	with immune and non-immune phenotypes for evaluations of immune correlates of
309	protection.
310	

311 Methods

312 Ethics Statement

313	The study protocol was reviewed and approved by the Makerere University School of
314	Medicine Research and Ethics Committee, the Uganda National Council for Science and
315	Technology, the London School of Hygiene & Tropical Medicine Ethics Committee, the
316	Durham University School of Biological and Biomedical Sciences Ethics Committee, and
317	the University of California, San Francisco, Committee on Human Research. All
318	parents/guardians were asked to provide written informed consent at the time of
319	enrollment.
320	
321	Data
322	We used data from three parallel cohort studies conducted in Uganda in sub-counties
323	chosen to represent varied malaria transmission(14). Walukuba, in Jinja district, is a peri-
324	urban area near Lake Victoria that has the lowest transmission among the three (annual
325	entomological inoculation rate (aEIR) estimated to be 2.8(14)). Kihihi, in Kanungu
326	district, is a rural area in southwestern Uganda characterized by moderate transmission
327	(aEIR=32). Nagongera, Tororo district, is a rural area in southeastern Uganda with the
328	highest transmission (aEIR=310)(14,15). Details on how the study households and
329	participants were selected has been described elsewhere(14). Briefly, all households were
330	enumerated, and then approximately 100 households were selected at random from each
331	site. Between August and September 2011, all children from these households aged
332	between 6 months and 10 years who met eligibility criteria were invited to participate. As
333	the cohorts were dynamic, additional children from participating households were invited
334	to participate if they became eligible while the study was ongoing. Unless participants
335	were withdrawn from the study either voluntarily or because they failed to comply with

336 study visits, they were followed-up until they reached 11 years of age. Children from 31 337 randomly selected additional households were enrolled between August and October 338 2013 to replace households in which all study participants had been withdrawn. For this 339 analysis, we used data collected from visits between August 2011 and November 2014. 340 The studies included passive and active follow-up of participants. Parents/guardians were 341 encouraged to bring their children to designated study clinics for any illness. All medical 342 care was provided free of charge, and participants were reimbursed for transportation 343 costs. All children who reported fever in the previous 24 hours or were febrile at the time 344 of the visit (tympanic temperature  $> 38.0^{\circ}$ C) were tested for malaria infection with a 345 thick blood smear. Light microscopy was performed by an experienced laboratory 346 technician who was not involved in direct patient care and verified by a second 347 technician. Parasite density was calculated by counting the number of asexual parasites 348 per 200 leukocytes (or per 500 leukocytes, if the count was <10 asexual parasites/200 349 leukocytes), assuming a leukocyte count of  $8,000/\mu$ l. A blood smear was considered 350 negative when no asexual parasites were found after examination of 100 high power 351 fields. 352 If the smear was positive, the patient was diagnosed with symptomatic malaria and 353 received treatment with artemether-lumefantrine (AL), the recommended first-line 354 treatment in Uganda. Episodes of complicated or recurrent malaria occurring within 14 355 days of therapy were treated with quinine. In addition, routine evaluations were 356 performed every three months, including testing for asymptomatic parasitemia using

357 thick blood smears.

358

359	Entomological surveys were also conducted every month at all study households. During
360	these surveys, mosquitoes were collected using miniature CDC light traps (Model 512;
361	John W. Hock Company). Established taxonomic keys were used to identify
362	female Anopheles mosquitoes. Individual mosquitoes were tested for sporozoites using an
363	ELISA technique (15). All female Anopheles mosquitoes captured in Walukuba and
364	Kihihi were tested; in Nagongera testing was limited to 50 randomly selected female
365	Anopheles mosquitoes per household per night due to the large numbers collected.
366	Therefore, for each household and/or site it was possible to calculate multiple
367	entomological metrics, including the average human biting rate (average number of
368	female Anopheles mosquitoes caught in a household per day), the average sporozoite rate
369	(the average proportion of mosquitos that tested positive for <i>Plasmodium falciparum</i> ) and
370	the entomological inoculation rate (EIR, the product of the household human biting rate
371	and the site sporozoite rate).

372

#### 373 Statistical analyses

374 The purpose of these analyses was to model and quantify the development of immunity

against symptomatic malaria, as a function of age and exposure, measured by the

household EIR.

377 We modeled two specific types of immunity that have been previously described as

378 components of immunity to malaria. We defined anti-parasite immunity as the ability to

379 control parasite densities upon infection and anti-disease immunity as the ability to

380 tolerate parasite infections without developing objective fever. Thus, for models of anti-

381 parasite immunity, the outcome of interest was the parasite density recorded (using thick

382	blood smear) at each parasite positive study visit. For models of anti-disease immunity				
383	the outcome of interest was the objective temperature recorded during parasite positive				
384	visits, conditional on the parasite density. In addition, we also modeled overall immunity				
385	against symptomatic malaria. For these analyses, the outcome of interest was the				
386	probability of presenting with fever given infection (parasite positivity).				
387					
388	In order to model the association between the outcomes and covariates of interest we				
389	used generalized additive models (gams). Gams provide a good framework, as they allow				
390	for smooth non-linear relationships. Details on the specific models explored are provided				
391	in the supplementary material. In summary, the models followed the following form.				
392					
393	1) Anti-parasite immunity				
394					
395	$Log_{10}(Parasite \ density)_{ijk} = f(age_{ijk}, Log_2 aEIR_j)$				
396	2) Anti- Disease immunity				
397					
398	$Temperature_{ijk} = f(age_{ijk}, Log_2aEIR_{j}, Log_{10}Parasite density_{ijk})$				
399					
400	3) Overall immunity against symptomatic malaria				
401					
402	$P(symptomatic \ malaria \ upon \ infection)_{ijk} = f(age_{ijk}, \ Log_2 aEIR_j)$				
403					

404	Where <i>i</i> is an	index for	or individuals,	j for househ	olds and $k$ f	or specific	visits. Thus,
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- 405  $age_{ijk}$  represents the age of child *i* from household *j* during visit *k*, and  $aEIR_i$  represents
- 406 the average annual EIR recorded for household *j*. We included the EIR as an average
- 407 (time-invariant) covariate, as we were interested in modeling the impact of the average
- 408 exposure to malaria over time on the development of clinical immunity.
- 409 All models were fitted in the R statistical framework using package mgcv(16). To
- 410 account for clustering, all models included random effects at the individual and
- 411 household levels. Best fitting models were selected based on Akaike's Information
- 412 Criterion and the percent deviance explained.
- 413

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- 419
- 420 Competing interests
- 421 None

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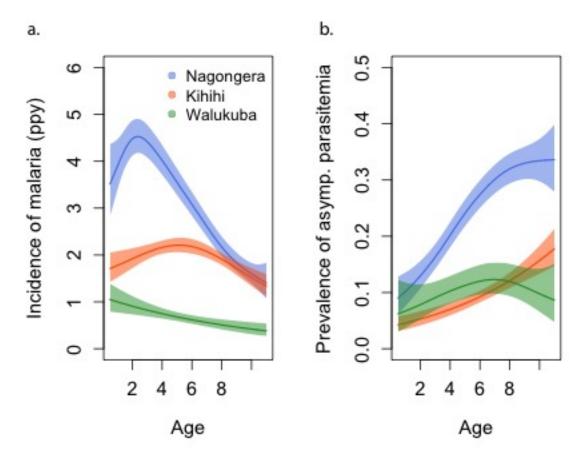
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#### **Tables and figures**

Table 1: Characteristics of the study participants 

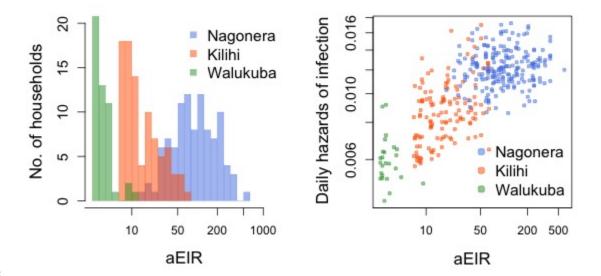
Characteristic	Nagongera	Kihihi	Walukuba
Number of households	106	100	76
Number of children	329	305	139
Female, <i>n (%)</i>	151(46)	150 (49)	66 (47)
Mean age at enrollment, years (sd)	4.4 (2.7)	4.6(2.6)	4.3 (2.6)
Mean follow up time, months (range)	23.5 (0, 38.8)	24.4 (0.8, 38.8)	22.1 (2.3, 3.9)
Symptomatic malaria			
Symptomatic Malaria episodes, n	2447	1555	207
Median number of symptomatic malaria episodes/child, n (range) Median incidence of symptomatic malaria episodes ppy (range)	6 (0, 29) 2.6(0, 10)	4 (0, 30) 1.6 (0, 15.2)	1 (0. 12) 0.6 (0, 5.1)
Asymptomatic parasitemia			
Asymptomatic parasitemia episodes, n Median number of asymptomatic parasitemia	955	331	145
episode/child, n (range)	2 (0, 12)	0 (0, 11)	1 (0, 10)
Median prevalence of asymptomatic parasitemia (range)	0.12 (0.07-0.17)	0.05 (0.02-0.10)	0.07 (0.03-0.11)
Household malaria exposure			
Household aEIR, median (range) 558	51 (10-582)	7.7 (3.6-47)	2.1 (1.5-8.1)





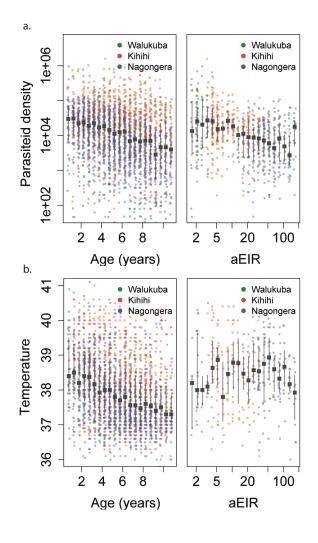
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Figure 1: Incidence of malaria (a) and prevalence of asymptomatic parasitemia (b) in the three study sites as a function of age. Shaded areas represent 95% confidence bounds.



595 Figure 2: **a.** Distribution of the average annual entomological inoculation rate (aEIR)

experienced by the study households in the three study sites. **b.** Correlation between themeasured aEIRs and the estimated individual hazards of infection.

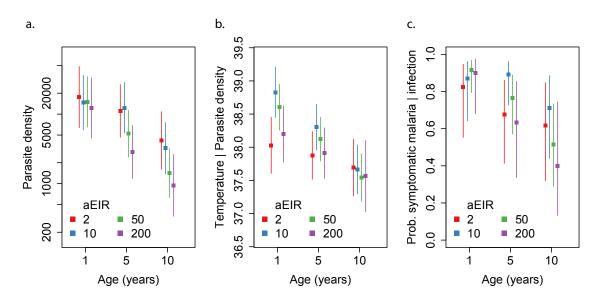


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Figure 3: Trends in parasite densities (a) recorded during symptomatic (passive surveillance) infections and routine (active surveillance) visits as a function of age (left) and aEIR (right); and trends in the objective temperature (b) recorded during visits in which participants were found to have a parasite density between 50,000 parasites/ $\mu$ L and 200,000 parasites/ $\mu$ L, as a function of age (left) and aEIR (right). Each point represents a measurement obtained during a study visit. The median and interquartile range are shown

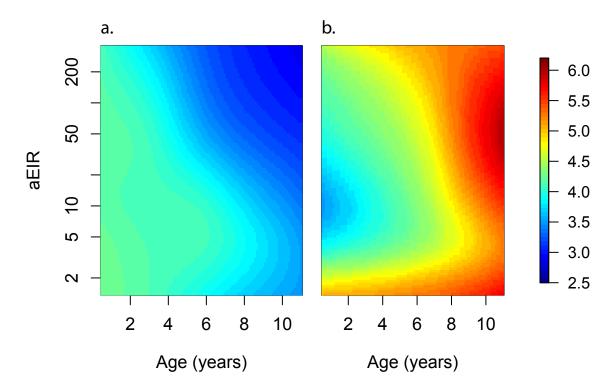
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641 Figure 4: Results from models quantifying anti-parasite immunity (a), anti-disease

642 immunity (b) and overall immunity against symptomatic malaria (c). Each plot shows, 643 for specific ages and aEIRs, the expected parasite density (/ $\mu$ L) (a), objective temperature 644 given a density of 40,000 parasites/ $\mu$ L (b) and the probability of developing symptomatic 645 malaria upon infection (c), estimated using the best fitting model. 95% confidence 646 intervals of the estimates are also shown.



666 667

668 Figure 5. Results of models quantifying anti-parasite (**a**) and anti-disease immunity (**b**).

669 These results are similar to those presented in Figure 4, but for the full range of ages and 670 aEIRs included in the data. Panel **a.** shows expected parasite densities (log 10) after

671 infection at different ages and levels of exposure (aEIR). Panel **b.** shows the expected

672 fever thresholds (parasite densities required to develop a temperature 38°C or greater).

673 Variance estimates for these plots are presented in the supplementary materials.

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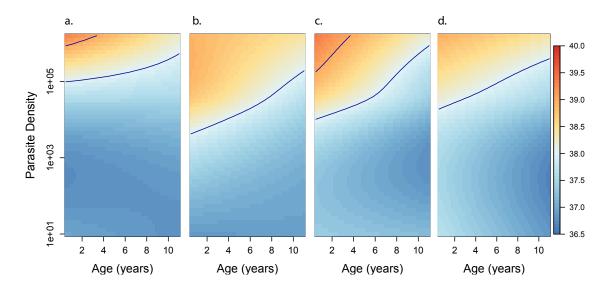


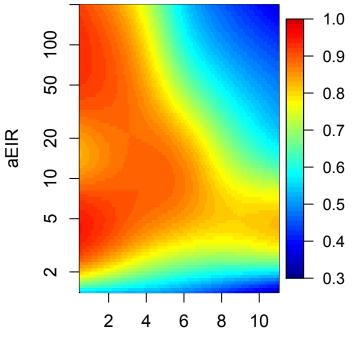


Figure 6. Results of the best model quantifying anti-disease immunity. Each panel shows
 how the expected objective temperature (°C) varies as a function of age and parasite

how the expected objective temperature (°C) varies as a function of age and parasite
density, for different transmission settings. a. aEIR=2; b. aEIR=10; c. aEIR=50; d.

684 aEIR=200. Contours indicating the fever threshold (38°C) and 39°C are also shown.

685 Variance estimates for these plots are presented in the supplementary materials.



Age (years)

696 697 Figure 7. Results of the best model exploring overall immunity against symptomatic

- 698 malaria, as a function of age and exposure (aEIR).
- 699 Colors represent the probability of developing symptomatic malaria upon infection.

700 Variance estimates for these plots are presented in the supplementary materials.