

1 Long-lived rodents reveal signatures of positive selection 2 in genes associated with lifespan and eusociality

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19

20 **Abstract**

21 The genetic mechanisms that determine lifespan are poorly understood. Most research has been
22 done on short lived animals and it is unclear if these insights can be transferred to long-lived
23 mammals like humans. Some African mole-rats (Bathyergidae) have life expectancies that are
24 multiple times higher than similar sized and phylogenetically closely related rodents. We obtained
25 genomic and transcriptomic data from 17 rodent species and systematically scanned eleven lineages
26 associated with the evolution of longevity and eusociality for positively selected genes (PSGs). The
27 set of 319 PSGs contains regulators of mTOR and is enriched in functional terms associated with (i)
28 processes that are regulated by the mTOR pathway, e.g. translation, autophagy and mitochondrial
29 biogenesis, (ii) the immune system and (iii) antioxidant defense. Analyzing gene expression of PSGs
30 during aging in the long-lived naked mole-rat and up-regulation in the short-lived rat, we found a
31 pattern fitting the antagonistic pleiotropy theory of aging.

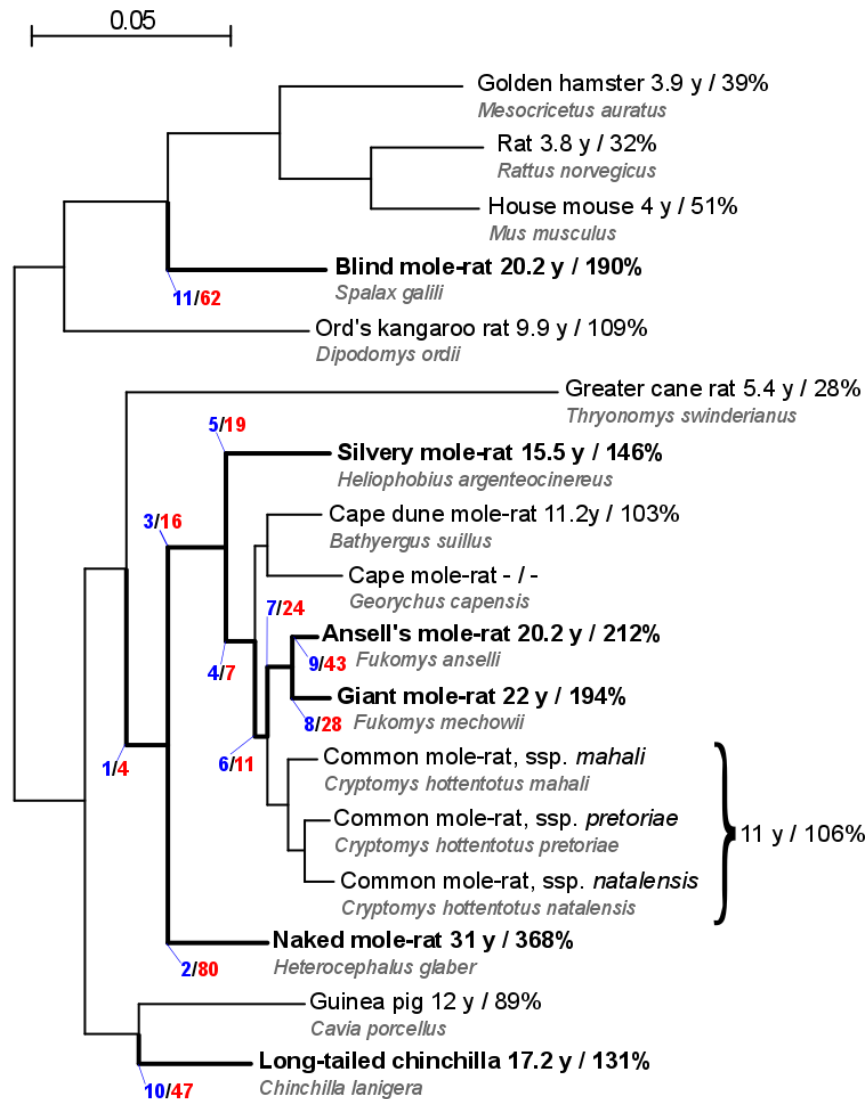
32 **Introduction**

33 Most of the available information about the genetic mechanisms that govern lifespan and aging were
34 obtained by studying single-gene mutations in invertebrates or short-lived, highly inbred vertebrate
35 species. However, it is not clear whether insights about aging relevant genes and pathways gained
36 from these species can be applied to long-lived species like human ¹. In addition, lifespan extensions
37 under artificial laboratory conditions resulting from single gene mutations or other genetic,
38 pharmacologic and/or lifestyle interventions are far smaller than natural variation of lifespan among
39 species shaped by natural selection. Moreover, it is not clear to what extent genetic variation is
40 responsible for intraspecific heritable differences in lifespan overlaps with the genetic architecture of
41 lifespan macroevolution. As a case in point, maximum lifespan in captivity varies about two orders of
42 magnitude and is positively correlated with body mass in vertebrates ^{2,3}, but the two traits are
43 negatively correlated within species, the most extreme example being dog breeds ⁴. Therefore,

44 comparative evolutionary approaches that search for genetic differences between closely related
45 species that are short- and long-lived with respect to their body mass may reveal novel candidate
46 genes and pathways or open new perspectives on known ones.

47 Rodents are an ideal taxon for such an approach. While the majority of species is short-lived, such as
48 mice, rats and hamsters, there are long-lived exceptions, such as chinchillas, blind mole rats (BMR,
49 *Spalax* sp.) and several African mole-rat species including the naked mole-rat (NMR, *Heterocephalus*
50 *glaber*)^{5,6}. Furthermore, genome and transcriptome sequences of short- and long-lived species are
51 available and can be used for comparative analysis.

52 African mole-rats (family Bathyergidae) are subterranean rodents that feed from roots and tubers.
53 The family comprises six genera; for five out of these, maximum lifespan records are available for at
54 least one species. Notably, and in contrast to most other rodents, none of these species has a
55 maximum lifespan of below ten years or below the predictions of the power-law that describes body
56 mass/lifespan relationships in mammals⁶. At the extreme of this distribution, Zambian mole-rats
57 from the *Fukomys micklemi* clade⁷ (the best studied representative being the Ansell's mole-rat *F.*
58 *anselli*, AMR), the giant mole-rat (GMR, *Fukomys mechowii*) and NMR, have maximum lifespans of at
59 least ca. 20, 22 and 31 years, respectively. These values are 212%, 194% and 368% with respect to
60 the predicted lifespan based on their body mass⁵, GMR percentage calculated with own lifespan
61 data and same formula). In contrast, the established biomedical model organisms rat (*Rattus*
62 *norvegicus*) and mouse (*Mus musculus*) have a maximum lifespan of 3.8 and 4 years, respectively,
63 which is 32% and 51% of the predicted value. Remarkably, the greater cane rat (*Thryonomys*
64 *swinderianus*) that is closely related to the African mole-rats reaches only 28% of the predicted
65 maximum lifespan⁵ (Fig. 1).



66
67
68 **Figure 1.** Nucleotide-based phylogeny of the analyzed rodents. Species or branches regarded in the
69 present analyses as long-lived or leading to longevity, respectively, are depicted in bold. The branch
70 numbers used in the text are shown in blue. The numbers of genes with signs of positive selection on
71 the branches are colored in red. The first number after the species name shows the recorded
72 maximum lifespan and the second number is the percentage of the observed vs. expected maximum
73 lifespan based on the respective body mass. The maximum lifespans and ratios were taken from ⁵,
74 except for silvery mole-rat (personal communication by R. Sumbera) and giant mole rat (own data).
75 For these two species, the expected maximum lifespans were calculated with the same mammalian
76 allometric equation used by ⁵. The scale bar represents 0.05 substitutions per site.

77

78 Due to a number of unique phenotypes, the NMR became the focus of intensive research⁸. It was
79 the first vertebrate for which eusociality was discovered⁹. The NMR shows (i) the longest lifespan
80 among rodents, (ii) no aging-related decline in reproductive and physiological parameters, as well as
81 (iii) no observable aging-related increase in mortality rate¹⁰. Among thousands of examined animals
82 only six recently discovered cases of spontaneous tumors have been described^{11,12}. Interestingly,
83 cancer resistance is shared with BMR, which is also long-lived but, despite its name, rather distantly
84 related to African mole-rats (Fig. 1). However, different mechanisms are proposed for cancer
85 resistance in these two taxa. While high-mass hyaluronan mediated early contact inhibition was
86 suggested as a key player in NMR¹³, a concerted necrotic cell death mechanism in response to
87 hyperproliferation was proposed for BMR¹⁴.

88 The search for signatures of positive selection represents a powerful approach to identify the genetic
89 basis of these unique biological features. Positive selection is the fixation of an allele in a taxon
90 driven by its positive effect on fitness. Once an adaptive phenotype evolved in a given species or
91 evolutionary clade, some of the genes under positive selection likely play a role in it. In protein-
92 coding sequences (CDSs), positive selection results in an increased rate of non-synonymous
93 substitutions as compared to genetic drift. Statistical models based on the ratio of non-synonymous
94 to synonymous substitution rates (d_N/d_S) are widely used in comparative genomics and allow the
95 identification of specific amino acids within a given gene that changed due to positive selection¹⁵⁻¹⁷
96 Consequently, several studies performed genome-scale scans for positively selected genes (PSGs) in
97 African mole-rats and BMR. The first study¹⁸ searched for PSGs on the very long NMR branch in a
98 four-species¹⁹ comparison with human as an outgroup and the mouse and rat as further rodents.
99 Among the 142 identified PSG candidates, three were members of a five-protein complex involved in
100 alternative lengthening of the telomeres. The second study²⁰, used ten species with the guinea pig
101 (*Cavia porcellus*) as most closely related species and scanned for PSGs along the branches leading to
102 NMR, Damaraland mole-rat (*Fukomys damarensis*) and their last common ancestor (LCA), identifying
103 334, 179 and 82 candidates, respectively, including candidates associated with neurotransmission of

104 pain in the NMR. A third study²¹ used species from all six African mole-rat genera and searched the
105 branch of the LCA of all African mole-rats that follows divergence from the guinea pig. Signs of
106 positive selection were identified in 513 genes, including loci associated with tumorigenesis, aging,
107 morphological development and sociality. All three studies suffer from a methodological limitation
108 that is common in positive selection studies: in none of these, a closer related species than guinea
109 pig was included. As guinea pig is not the closest relative of African mole rats not expressing the
110 phenotypes of interest, it cannot be excluded that fixation of detected signs of positive selection
111 predates – and therefore could not contribute to – the evolution of these phenotypes²². A fourth
112 study²³ examined the BMR branch using the Chinese hamster (*Cricetulus griseus*) as the most closely
113 related outgroup. Among the 48 PSG candidates, several were linked to necrosis, inflammation and
114 cancer.

115 To better resolve the above-mentioned ambiguities and to achieve a higher resolution of positive
116 selection along rodent phylogenetic branches leading to longevity and eusociality, we analyzed
117 genomic and transcriptomic data of 17 species – data from public sources and original data
118 generated for this study. In particular, we generated genomic data for the greater cane rat as a key
119 species absent from previous analysis and for the silvery mole-rat (SMR, *Heliophobius*
120 *argenteocinereus*). We systematically scanned 11 evolutionary branches (6 corresponding to extant
121 species and 5 to ancestral branches). This approach enables us to date precisely the occurrence of
122 signatures of positive selection with respect to the evolution of the phenotypes of interest on
123 multiple evolutionary branches of rodents. In addition, we generated RNA-seq data from young and
124 old NMRs and laboratory rats (*Rattus norvegicus*) to analyze the overlap between PSGs and genes
125 regulated during aging. Based on this, we discuss the implications of these results on our
126 understanding of the genetic basis of aging, lifespan and sociality.

127

128 **Results and Discussion**

129 As starting points for our analysis, we generated CDS libraries for five rodent species (NMR, AMR,
130 GMR, SMR and greater cane rat) based on transcriptomic and genomic data. (Table S1/S2). Together
131 with publicly available rodent CDS catalogs (Table S1), we obtained data for 17 species, including
132 several additional African mole-rats, the chinchilla, BMR and short-lived outgroups like the guinea
133 pig, mouse and rat (Fig. 1). From these sequences, we predicted orthologs and best matching
134 isoforms between the species, calculated alignments and applied multiple times the branch-site test
135 of positive selection ²⁴.

136 Based on the lifespans of the extant species, we regarded six extant as well as five ancestral branches
137 as leading to enhanced longevity and examined them for positive selection (Fig. 1). In total, we
138 detected 341 PSGs ($p < 0.05$, branch-site test). Our PSG assignment is based on nominal p-values, a
139 common approach in genome-wide scans ^{21,25,26} since the main error source of such analyses are
140 alignment errors ²⁷ which result in extremely small p-values and therefore cannot be controlled by
141 multiple test corrections. Furthermore, simulations have shown that the empirical false positive rate
142 is very low if an appropriate filtering is used to remove alignment errors and unreliable results ²⁸.

143 Twenty genes were found on multiple branches (Table S3), resulting in a non-redundant set of
144 319 PSGs (Table S4-S15). Signs of positive selection for the same gene on multiple branches indicate
145 possible parallel evolution. Among those, we found *AMHR2* (anti-Mullerian hormone receptor type
146 2) to be positively selected both on branch 2 (NMR) and branch 11 (BMR). While *AMHR2* plays a role
147 in male fetal development and in ovarian follicle development of the adult female ²⁹, no function
148 with regard to aging is described yet. However, the protein kinase domain of *AMHR2* contains the
149 greatest number of longevity-selected positions based on a regression analysis with 33 mammalian
150 species ³⁰. This domain contains 3 of 8 and 2 of 3 positively selected sites on branch 2 (NMR) and
151 branch 11 (BMR), respectively.

152

153 **Different studies on positive selection in mole-rats show minor overlaps**

154 First, we compared our list of PSGs with the PSGs detected in previous studies of positive selection in
155 mole-rats^{18,20,21,23} (Table S16). As observed before,²¹ PSGs from different studies show no or small
156 overlaps. This is not surprising because the branches examined in previous studies represent
157 different phylogenetic entities than those used here, even though some of them are named similarly.
158 For example, Kim et al. examined an “NMR branch” using the house mouse as closest related species
159¹⁸. In our study, the sister taxon to NMR is represented by other African mole-rats and the house
160 mouse is used only as an outgroup (Fig. 1). In a similar way, the analysis of the African mole-rat
161 ancestor by previous studies^{21,23} differs from ours as we incorporated the greater cane rat as closest
162 related short-lived species and used guinea pig as an outgroup. We therefore analyzed evolutionary
163 processes on a shorter phylogenetic distance that closely matches the appearance of the phenotypes
164 under investigation. In addition, there are methodological differences between the studies, e.g.
165 regarding ortholog prediction or alignment filtering. Unfortunately, the contribution of these
166 technical variables to the discrepancies cannot be assessed as the alignments used for the previous
167 studies are not available and cannot be compared with those generated and provided in our study
168 (Supplement Data).

169

170 **Positive selection and age-related regulation are linked**

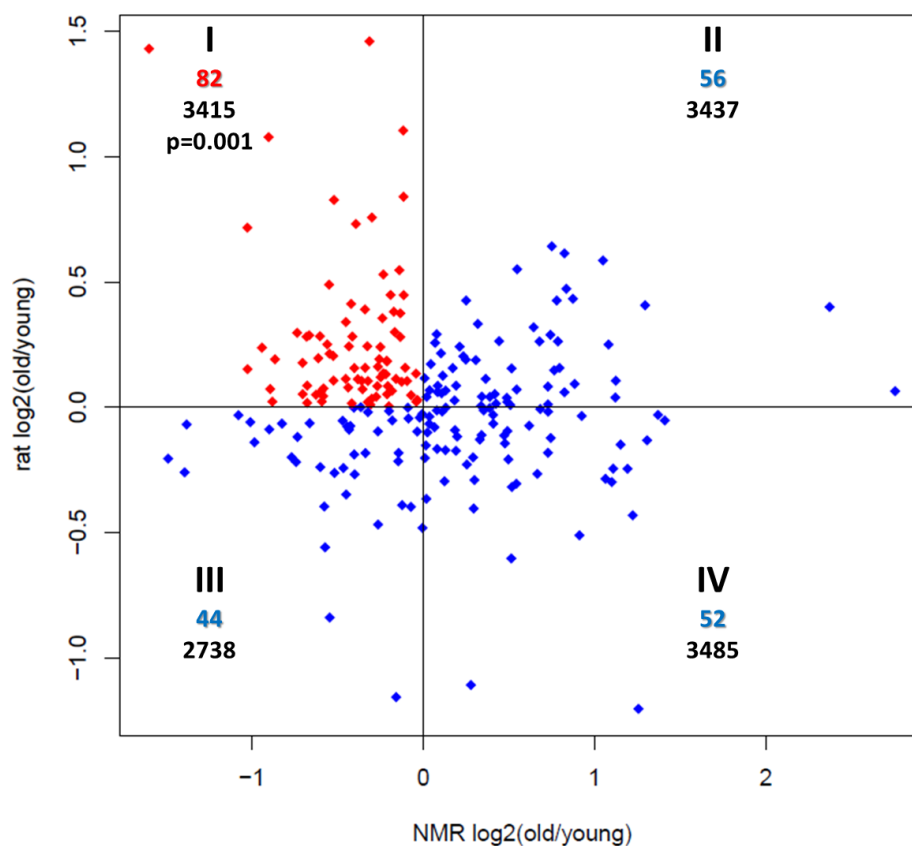
171 Next, we analyzed the direction of the regulation of PSGs during aging to identify potential links
172 between positive selection on the analyzed branches and genetic determinants of lifespan. In
173 general, directionality analysis of gene regulation during aging is complicated by the fact that the
174 direction itself is not informative, whether the respective gene function is either causing or
175 counteracting aging. E.g. up-regulation of a causative gene may accelerate aging and shorten lifespan
176 while adaptive up-regulation to counteract aging phenotypes may extend longevity. We recently
177 observed that PSGs in short-lived and fast-growing killifish were significantly more often up- than

178 down-regulated during aging¹⁷. This finding is consistent with the concept of antagonistic pleiotropy
179³¹ suggesting that the same genes that are positively selected in short-lived species for fast growth
180 and maturation at young age are drivers of aging at old age. The antagonistic pleiotropy hypothesis is
181 well supported, e.g. by the fact that growth rate and lifespan are negatively correlated, both
182 between species and within many species^{6,32}. If, however, up-regulation of PSGs in short-lived
183 species may cause aging, we hypothesized that selection for longevity is more compatible with
184 attenuation of gene activity – either on the level of protein function or gene regulation – since
185 avoiding damage is easier than improving repair.

186 Following this hypothesis, we performed RNA-seq in liver from old vs. young males of both long-lived
187 NMRs and short-lived rats (>21 vs. 2-4 years and 24 vs. 6 months, respectively; Table S17-S19).
188 Indeed, the union of PSGs showed preference for down-regulation in NMR and for up-regulation in
189 rats in respect to all regulated genes ($p=0.0089$, Lancaster procedure³³). Moreover, the down-
190 regulation in the long-lived and up-regulation in the short-lived species originate largely from the
191 same genes as in a combined view on aging related expression changes in NMR and rat (Fig. 2), PSGs
192 showed a highly significant preference for quadrant I (down in NMR, up in rat; $p=0.0014$, one-sided
193 fisher test, quadrant I against the sum of II, III, IV). These results indicate that identified PSGs are
194 associated with expression changes during aging of long- and short-lived rodents consistent with the
195 antagonistic pleiotropy theory of aging.

196 To functionally annotate PSGs in respect to aging, we performed gene ontology (GO) term
197 enrichment analysis. Regarding all genes, there was a significant enrichment for down-regulation in
198 126 terms during NMR aging while no term was enriched for up-regulation (Table S20, $FDR<0.05$,
199 GAGE). The enriched 126 terms were summarized into 16 categories (Tables S21/S22, REVIGO).
200 Among the six top categories are “translation” (GO:0006412), “cellular respiration” (GO:0045333),
201 “response to oxidative stress” (GO:0006979) and “iron ion homeostasis” (GO:0055072) previously
202 linked to aging (see below). With respect to possible pleiotropic effects, translation and cellular
203 respiration are also key components of the growth program. To evaluate the PSGs in respect to these

204 categories, we built the union of genes for each category and tested for overrepresentation of PSGs.
205 Regarding all PSGs, there was a significant overlap with “cellular respiration” ($p=0.0022$, one-sided
206 fisher test) and “response to oxidative stress” ($p=0.029$). Regarding only the 82 PSGs that were down-
207 regulated in NMR and up-regulated during rat aging (quadrant I, Fig. 2), all four categories were
208 significantly enriched (cellular respiration: $p=2.1 \times 10^{-6}$, response to oxidative stress: $p=0.022$, iron ion
209 homeostasis: $p=8.5 \times 10^{-4}$, translation: $p=0.011$; Table S23). This again suggests that PSGs are linked to
210 aging relevant processes in an antagonistic pleiotropic way. The result is also consistent with the
211 hyperfunction theory of aging that suggests that antagonistic pleiotropy works via a mechanism of
212 “perverted” growth. According to this theory the growth program that is beneficial during youth is
213 not entirely stopped after finishing development and causes damage from that point on. The theory
214 further claims that the master regulator mTOR governs this growth program^{34,35}.



215
216 **Figure 2.** PSG expression changes during aging of NMR and laboratory rat. The roman numbers
217 describe the quadrant, the colored numbers below that show the number of PSGs in the respective
218 quadrant and the black numbers at the bottom give the total regulated genes in the quadrant. The

219 red marked quadrant (I) represents PSGs that were down regulated in the long-lived NMR and up
220 regulated in the short-lived rat and tested against the sum of three blue marked quadrants (II, III, IV)
221 with Fisher's exact test (one-sided). The resulting p-value is shown in quadrant I. The total number of
222 PSGs shown in this plot (234) is lower than the unique number of all PSGs (319) due to missing
223 expression of genes in NMR and/or rat as well as missing log₂-fold-changes in at least one of the
224 species (DEseq2).

225

226 **Inflammation and host defense are enriched in branches leading to longevity**

227 Subsequently, we searched for enriched gene ontologies in the union of PSGs across the 11 branches
228 along which longevity evolved and in each of these branches separately (Table S24). We found
229 enrichments of genes involved in inflammatory response (GO:0006954; FDR=0.0068, Fisher's exact
230 test) and defense response (GO:0006952, FDR=0.0092). Aging is tightly associated to the delicate
231 balance between pro-inflammatory responses to resist potentially fatal infections and the inexorable
232 damages that are accumulated by this^{36,37}. Chronic inflammation is described as a major risk factor
233 for aging and aging-related diseases such as atherosclerosis, diabetes, Alzheimer's disease,
234 sarcopenia and cancer³⁸.

235

236 **mTOR, autophagy and translation pathways show signs of positive selection leading to longevity**

237 On branch 2 (NMR), we found *RHEB* (Ras homolog enriched in brain) coding for a direct regulator of
238 mTOR (mechanistic target of rapamycin) and on branch 9 (AMR) its paralog *RHEBL1* to be positively
239 selected, a situation consistent with the concepts of parallel evolution as well as of
240 subfunctionalization of genes after duplication. mTOR operates as a central regulator of cell
241 metabolism, growth, inflammation and proliferation and was identified as a key regulator of aging
242 and aging-related diseases in yeast, nematodes, fruit flies, and mice^{39,40}.

243 mTOR is also a key regulator of autophagy⁴¹. Autophagy is a cellular protective cleaning mechanism,
244 required for organelle homeostasis, especially mitochondria. While enhanced autophagy was shown
245 to be associated with lifespan extension in worms, flies and mice, inhibition of autophagy,
246 conversely, leads to premature aging in mice⁴². An essential autophagy gene, *LAMP2* (lysosomal
247 associated membrane protein 2), was identified as PSG on branch 2 (NMR) and branch 11 (BMR). As
248 a receptor for chaperone-mediated autophagy and a major protein component of the lysosomal
249 membrane, *LAMP2* is required for degradation of individual proteins through direct import into the
250 lysosomal lumen^{43,44}. Aging-dependent decrease of *LAMP2* expression was observed in mouse liver.
251 Reinstatement of juvenile *LAMP2* levels in aged mice significantly reduces aging-dependent decline
252 of cell function and restores the degree of cell damage to that found in young mice⁴⁵.

253 Besides the lysosome, another cellular protein quality control and degradation system is the
254 proteasome. While impaired proteasome function and subsequent accumulation of misfolded
255 proteins were tightly correlated with aging and aging-related neurodegenerative disorders like
256 Parkinson's and Alzheimer's disease, long-lived humans have sustained proteasome activity⁴⁶⁻⁴⁸. Two
257 proteasome subunit genes, *PSMG1* (proteasome assembly chaperone 1) and *PSMB4* (proteasome
258 subunit beta 4), were identified as PSGs on branch 11 (BMR). *PSMB4* has been classified as a driver
259 for several types of tumors⁴⁹ and is a known interaction partner of PRP19 (pre-mRNA-processing
260 factor 19 or senescence evasion factor) that is essential for cell survival and DNA repair⁵⁰.

261 Another aging relevant downstream process regulated by mTOR is translation. We identified two
262 ribosomal proteins, *RPL7L1* and *RPL27A*, on branch 3 (LCA of all African mole-rats except NMR).
263 While in general, cytosolic ribosomal proteins are up-regulated with aging in humans⁵¹, rats⁵² and
264 killifish⁵³ both genes are significantly down-regulated during NMR aging (FDR≤0.05, DESeq2). This fits
265 the down-regulation of translation-related processes during NMR aging in general (see above).
266 Furthermore, the protein synthesis machinery is a driver of replicative senescence in yeast⁵⁴. The
267 longitudinal aging study in killifish⁵⁵ highlighted the starting values at 10 weeks and the amplitude of
268 age-dependent increase of ribosomal proteins to be negatively correlated with lifespan. Inhibition of

269 protein synthesis by reduction of ribosomal proteins was shown to extend lifespan in worms⁵⁶ and
270 mice⁵⁷.

271

272 **Positive selection leading to longevity affects mitochondrial biogenesis and regulation of oxidative**
273 **stress**

274 Besides regulation of cytoplasmic translation of nuclear encoded genes, mTOR is also involved in
275 mitochondrial translation. There appears to be a complex interplay between mTOR signaling,
276 mitochondrial gene expression and oxygen consumption as well as production of reactive oxygen
277 species (ROS)⁵⁸⁻⁶⁰. Across multiple longevity-associated branches we identified PSGs that are
278 involved in mitochondrial biogenesis (Table 1). We found, e.g., an enrichment of "mitochondrial
279 translation" (GO:0032543, FDR=0.044) on branch 5 (SMR), the mitochondrial transcriptional
280 termination factor (MTERF) on branch 2 (NMR) and six mitochondrial ribosomal proteins (MRPs)
281 distributed on branches 5 (SMR), 7 (LCA of AMR and GMR) and 11 (BMR). Furthermore, nuclear
282 encoded genes of respiratory chain complex I (*NDUFA9* and *NDUFB11*: NADH ubiquinone
283 oxidoreductase subunits A9 and B11) and complex IV (*COX14*: cytochrome c oxidase assembly factor
284 COX14) were identified as PSGs. Of note, we found 6 of these 15 genes to be significantly down-
285 regulated during aging in NMR (Table 1). This suggests a functional relation of these genes to the
286 aging process in an extremely long-lived rodent and is concordant with the down-regulation of genes
287 involved in cellular respiration during NMR aging described above.

288

289 **Table 1.** Mitochondrial biogenesis genes under positive selection on longevity-associated branches.

Gene	Positively selected on branch		NMR aging	Function in mitochondrium
	Branch number	Branch description		
<i>NDUFA9</i>	8	GMR	↓	Complex I
<i>MTERF</i>	2	NMR	ns	Transcription
<i>SDHAF2</i>	2	NMR	ns	Complex I
<i>UQCRC2</i>	2	NMR	↓	Complex III
	3	LCA after NMR divergence		
<i>NDUFB11</i>	5	SMR	↓	Complex I
<i>MRPS11</i>	5	SMR	↓	Translation
<i>MRPS36</i>	5	SMR	↓	Translation
<i>MRPL30</i>	5	SMR	↓	Translation
<i>TRNT1</i>	11	BMR	ns	RNA processing
<i>COX14</i>	11	BMR	ns	Complex IV
<i>DARS2</i>	11	BMR	ns	RNA processing
<i>MRPL57</i>	11	BMR	ns	Translation
<i>MRPL15</i>	11	BMR	ns	Translation
<i>MRPL28</i>	7	Fukomys LCA	ns	Translation
<i>GATC</i>	10	long-tailed chinchilla	ns	RNA processing

290 Note: Branch numbers refer to figure 1. LCA – last common ancestor; ↓ – significantly lower
 291 expressed in liver of old animals compared to young animals (FDR<0.05); ns – not significantly
 292 changed.
 293

294 Studies in mouse and the short-lived killifish have shown that expression of MRPs and complex I
 295 genes is negatively correlated with individual lifespan^{55,61}. Knock-down of MRPs in worms results in
 296 an impaired assembly of respiratory complexes and life-extension⁶². Furthermore, we recently
 297 identified a significant enrichment of mitochondrial biogenesis genes including those for multiple
 298 MRPs, complex I components and MTERF among PSGs on two ancestral branches of annual killifishes
 299 on which lifespan was shortened considerably and independently from each other¹⁷. Altogether,
 300 these results raise again the intriguing possibility that similar or even the same genes could be
 301 causally linked to the evolution of both short and long lifespan.

302 Mitochondria are also the main source of ROS that cause oxidative stress, i.e. damages to DNA,
 303 proteins and other cellular components⁶³. Oxidative stress is thought to play a major role in the
 304 pathogenesis of neurodegenerative diseases⁶⁴ and even the determination of lifespan in general
 305 (“oxidative stress theory of aging”)⁶⁵. On branch 3 (LCA of all African mole-rats except NMR), we

306 found an enrichment of oxidoreductase activity (GO: GO:0016491; FDR=0.024) and positive selection
307 of *TXN* (thioredoxin), coding for an oxidoreductase enzyme that acts as an antioxidant extending
308 lifespan in fly⁶⁶ and potentially also in mice^{67,68}. As an example of continued evolution, *TXN* was
309 found to be positively selected also on branch 7 (LCA of AMR and GMR). *SOD2* (superoxide dismutase
310 2) and *CCS* (copper chaperone for superoxide dismutase) are PSGs on branch 10 (chinchilla) and
311 branch 2 (NMR), respectively. Both genes are involved in ROS defense and affect aging/lifespan in
312 several species^{69,70}. This is interesting because in recent years, it has been repeatedly questioned
313 that the oxidative stress theory of aging has much relevance for bathyergid rodents, given that
314 several studies failed to find improved antioxidant capacities and/or less accumulation of oxidative
315 damage in NMRs compared to the much shorter-lived mice⁷¹⁻⁷³. This is consistent with our finding of
316 down-regulation of processes involved in “response to oxidative stress” (GO:0006979) during aging in
317 NMR (see above). On the other hand, significantly higher levels of oxidative damage on proteins and
318 lipids in non-reproductive as compared to reproductive females of the Damaraland mole-rat were
319 found⁷⁴. Since non-reproductive individuals live shorter (and hence age faster) than their
320 reproductive counterparts in *Fukomys* sp.⁷⁵⁻⁷⁷, these results are consistent with the oxidative stress
321 theory of aging. The diverse signs of positive selection on branch 2 (NMR), 3 (LCA of all African mole-
322 rats except NMR) and 7 (LCA of AMR and GMR) may suggest that the impact of oxidative stress on
323 aging differs between NMR and other African mole-rats.

324 ROS production and ROS-induced damage to biomolecules are intertwined with the formation of
325 advanced glycation end-products (AGEs). AGEs are stable bonds between carbohydrates and
326 proteins/lipids which are formed in a non-enzymatic fashion. AGEs activate membrane-bound or
327 soluble AGER (AGE specific receptor) and AGEs/AGER have been linked to several aging-related
328 diseases including Alzheimer’s disease and diabetes⁷⁸. Interestingly, *AGER* was found to be a PSG on
329 branch 9 (AMR) and branch 10 (chinchilla). The role of AGEs/AGER in aging is complex and Janus-
330 faced⁷⁹. *AGER* is significantly up-regulated in liver during NMR aging. Similarly, in skin AGE levels rise

331 with chronological age in AMR, but surprisingly are higher in the skin of slow aging breeders than of
332 faster aging non-breeders⁸⁰

333

334 **Additional links between positive selection and longevity**

335 The gene *APOA1* (apolipoprotein A1) was found as PSG on branch 7 (LCA of AMR and GMR) and
336 significantly up-regulated during NMR aging in liver. APOA1 is a component of HDL-particles which
337 are as transporter of cholesterol relevant for aging-associated diseases. Polymorphisms of APOA1 are
338 associated to coronary artery disease⁸¹. Furthermore, APOA1 is an interaction partner of APOE a
339 well-described genetic risk factor for Alzheimer's and cardiovascular diseases⁸² and the locus with
340 the largest statistical support for an association with extreme longevity⁸³. Same as *MTERF* (see
341 above), *APOA1* is one of nine genes that we recently found to be positively selected on both of two
342 ancestral sister branches of annual fishes on which lifespan was independently reduced¹⁷.

343 *TF* (transferrin) was identified as PSG on branch 4 (LCA of Cape, Cape dune, giant, AMR and common
344 mole-rats). TF is an iron-binding protein responsible for transport of iron in the bloodstream and
345 therefore essential for iron homeostasis⁸⁴. Neurons regulate iron intake via the TF receptor and
346 dysregulation of this tightly controlled process in the brain is associated with neurodegenerative,
347 age-related diseases like Parkinson's and Alzheimer's⁸⁵. TF is significantly down-regulated during
348 NMR aging which is consistent with the down-regulation of "iron ion homeostasis" (GO:0055072)
349 related processes during NMR aging in general (see above).

350

351 **Selection signatures of social evolution among African mole rats is consistent with a scenario of** 352 **ancestral eusociality**

353 Although all African mole-rats are strictly subterranean and occupy similar nutritional niches, intra-
354 familiar variety of social and mating systems is amazingly high. Solitariness and polygamy in some

355 genera (*Heliophobius*, *Georychus* and *Bathyergus*) contrast sharply with social organization in others
356 (*Heterocephalus*, *Fukomys* and *Cryptomys*). In the latter, stable monogamous bonding of (typically
357 one) reproductive founder pair coupled with prolonged philopatry and reproductive altruism of their
358 offspring result in extended and cooperatively breeding family units, which can grow to considerable
359 size. There has been much debate whether eusociality in African mole-rats is a derived or ancestral
360 trait^{86,87}. The first scenario assumes a solitary LCA of African mole-rats (branch 1) and subsequent
361 independent, parallel evolution of eusocial habits on branch 2 (NMR) and branch 6 (LCA of AMR,
362 GMR and common mole-rats) and/or branch 7 (LCA of AMR and GMR)²¹. In contrast, the second
363 scenario suggests a eusocial LCA of all mole-rats (branch 1) and independent loss of this phenotype in
364 the SMR (branch 5) and the LCA of the genera *Bathyergus* and *Georychus* (Cape and Cape dune mole-
365 rat). Recent phylogenetic approaches are more supportive of scenario 2^{88,89} however, a PSG-based
366 analysis of the issue is still lacking. Accordingly, we searched our data in support of one or the other
367 scenario.

368 All four PSGs found on the ancestral branch 1 of all African mole-rats are involved in signaling,
369 resulting in enrichments, e.g., of "positive regulation of cell communication" (GO:0010647,
370 FDR=0.0092) and "positive regulation of signaling" (GO:0023056, FDR=0.0092). Enrichments in signal
371 transduction were identified as major common pattern of the multiple independent occurrences of
372 eusociality in bees⁹⁰ strongly suggesting parallel evolution in eusocial insects and mammals.

373 On the other hand, members of the major histocompatibility complex (MHC) were identified as PSG
374 on branch 2 (NMR) and on branch 7 (LCA of AMR and GMR). MHC genes have a central function in
375 the acquired immune system and immune dysfunction is involved in many neurodevelopmental
376 disorders as well as social behavior deficits in mice and humans^{91,92}. MHCs have been implicated in
377 language impairment and schizophrenia^{93,94}. A human allele of *HLA-A* was associated with autism
378 defined as a pattern of behavior identified by deficits in communication and reciprocal social
379 interactions⁹⁵.

380 Additionally, we found two signals with a potential link to social evolution on branch 2 (NMR), but
381 not branch 6 (LCA of AMR, GMR and common mole-rats) or 7 (LCA of AMR and GMR). The first were
382 innate immune system related enrichments "positive regulation of T cell activation" (GO:0050870,
383 FDR=0.027) and "positive regulation of leukocyte cell-cell adhesion" (GO:1903039, FDR=0.027). In
384 social ants and bees, it was shown that several innate immune genes have a pattern of accelerated
385 amino acid evolution compared both to non-immunity genes in the same species and immune genes
386 in solitary fly⁹⁶. Second, we found *NOTCH2* positively selected only on branch 2 (NMR). The encoded
387 protein is one of four Notch-receptors. Notch signaling regulates interactions between physically
388 adjacent cells and has a central role in the development of many tissues, including neurons⁹⁷. It was
389 demonstrated that Notch signaling represses reproduction in worker honeybees depending on the
390 presence of the queen and that chemical inhibition of Notch signaling can overcome the repressive
391 effect of queen pheromone in regard to the worker ovary activity⁹⁸.

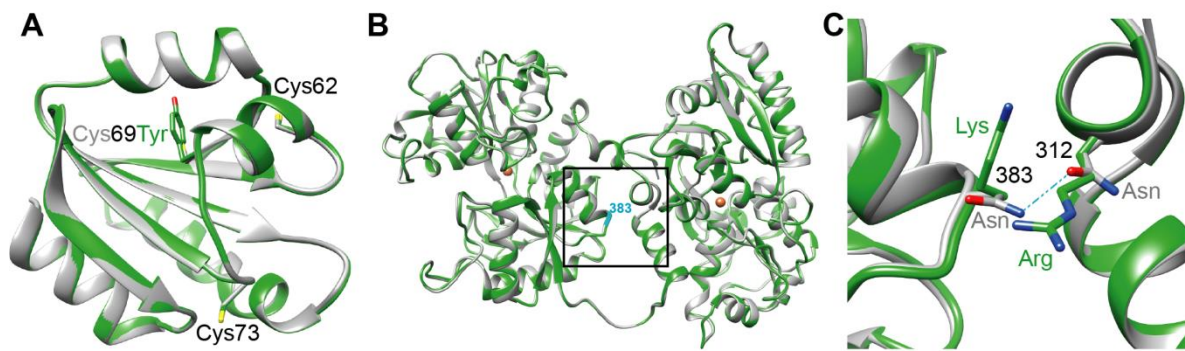
392 On the other hand, *HSD11B1* (hydroxysteroid 11-beta dehydrogenase 1) was identified as PSG on
393 branch 6 (LCA of AMR, GMR and common mole-rats), but not branch 2 (NMR). The encoded protein
394 catalyzes reversibly the conversion of the stress hormone cortisol to the inactive metabolite
395 cortisone⁹⁹. Cortisol concentration was shown to inversely correlate with social ranks in NMRs¹⁰⁰
396 and with anti-social and isolation behavior in human adolescents^{101,102}. Furthermore, cortisol
397 regulates carbohydrate metabolism that is another common enriched GO-Term in the evolution of
398 eusociality in bees⁹⁰. Not linked to eusociality but still noteworthy, *HSD11B1* is significantly down-
399 regulated during aging in the NMR and knockout of *HSD11B1* in mice improves their cognitive
400 performance in aging¹⁰³. Furthermore, inhibition was described as a risk factor for cardiovascular
401 disease and diabetes type 2¹⁰⁴.

402 Taken together, our data are in best agreement with a scenario assuming eusociality (or a
403 predisposition for it) in the LCA of all African mole-rats, followed by further independent, branch-
404 specific evolution or loss of the phenotype leading to the distinct social genera that live today.

405

406 **Homology modeling suggests functional consequences of amino acid changes under positive**
407 **selection**

408 To evaluate the structural impact of positively selected amino acid changes, we performed homology
409 modeling using exemplary the sites of highest probability for selection in cytoplasmic thioredoxin
410 (TXN) and transferrin (TF). As mentioned above *TXN* is positively selected on branch 7 (LCA of AMR
411 and GMR). In TXN of these species, there is a tyrosine residue that replaces Cys69. The latter,
412 together with Cys62 and Cys73, constitute highly conserved mammalian non-catalytic cysteines. The
413 local structure around Cys69 and Cys62 in TXN is important for interaction with the cytoplasmic
414 thioredoxin reductase (TR1; ¹⁰⁵), which ‘recycles’, i.e. re-reduces, the catalytic cysteines of oxidized
415 TXN. The modeling using the structure of a fully reduced human TXN (1ERT; ¹⁰⁶) as template suggests
416 that the rather bulky side chain of Tyr69 can be accommodated in the structure of TXN (Fig. 3A),
417 hence allowing for a productive helical interface region to TR1. TXN recycling is inhibited by
418 formation of a disulfide bridge between Cys62 and Cys69 (Fig S1; see ¹⁰⁷), e.g. under highly oxidative
419 conditions, thereby diminishing the pool of catalytically active TXN under oxidative stress ^{108,109}.
420 Obviously, that disulfide bridge cannot form in AMR and GMR because of Cys69Tyr. From this, we
421 conclude that Tyr69 is compatible with TXN recycling also under oxidative stress. Moreover, Cys69 is
422 known to be a target for posttranslational modifications with impact on e.g. anti-apoptotic/apoptotic
423 signaling pathways (for a review see: ¹¹⁰), raising interesting questions on physiological consequences
424 of the Cys69Tyr replacement.



425
426 **Figure 3.** Homology models of Ansell's mole-rat (AMR) thioredoxin (TXN) and transferrin (TF). (A)
427 Overview of the modeled AMR TXN structure (green) superimposed onto the fully reduced human
428 TXN template structure (1ERT, grey). Residues discussed in the text are labeled, numbering according
429 to position in the human sequence. Color code of Cys69 and Tyr69 corresponds to the respective
430 ribbon representation. Heteroatoms: sulfur in yellow, oxygen in red. (B) Overview of the modeled
431 AMR TF structure (green) superimposed onto the rabbit TF template structure (1JNF, grey). The
432 position of the Asn383Lys site discussed in the text at the boxed center of the lobe interface
433 numbered and indicated in cyan. Brown spheres: Fe³⁺ coordinated in the template structure (1JNF,
434 ion radius enlarged for better visibility). (C) Detail of the TF lobe interface. Shown is a magnification
435 of the boxed region in (B). Coloring and numbering as in (B), side chain nitrogen atoms (blue), oxygen
436 atoms (red). Potential hydrogen bond in 1JNF (light blue) as discussed in the text. Numbering (black)
437 according to positions in the rabbit TF structure (1JNF).

438 TF is a PSG on branch 4 (LCA of Cape, Cape dune, giant, AMR and common mole-rats) and Ser383Lys
439 is the site of highest probability for selection. Serum TFs form a bilobal structure, and each lobe
440 contains two dissimilar domains with a single iron-binding site. Inspecting the structure of the AMR
441 TF modeled on the rabbit protein (1JNF; ¹¹¹) as template, we realized that Lys 383 is located at the
442 interface between the two lobes (Fig. 3B). In the rabbit TF two juxtapositioned Asn residues at
443 position 383 and 312 might form an H-bond and this constellation could stabilize the inter-lobe
444 interactions (Fig. 3C). In contrast, the juxtaposition of the positively charged side chains of Lys383
445 and a conserved Arg312 in the AMR structural model (Fig. 3C) would be expected to weaken the

446 lobe-lobe interaction due to electrostatic repulsion. The functional consequences for TF or TXN
447 implied by this modeling require experimental validation.

448 **Conclusions**

449 We provided a systematic scan for PSGs on evolutionary branches of the African mole-rat family and
450 other rodents leading to longevity and eusociality. Due to the incorporation of species from all six
451 genera of the African mole-rats as well as its closest relative, the cane rat, into the analysis, we were
452 able to examine considerably more extant and ancestral branches than previous studies. This
453 enabled the analysis to provide a high resolution of positive selection on branches on which the
454 mentioned traits had evolved.

455 Analyzing the gene expression of PSGs, we found a highly significant pattern of down-regulation in
456 the long-lived NMR and up-regulation in the short-lived rat, fitting the antagonistic pleiotropy theory
457 of aging¹¹² and the hyperfunction theory of aging. The latter claims mTOR as a central hub affecting
458 aging and lifespan³⁵. Correspondingly, the PSGs and enriched functional terms cover many of the
459 processes that are regulated by the mTOR pathway, e.g. translation, autophagy and mitochondrial
460 biogenesis. Furthermore, with *RHEB* and its ortholog *RHEB1L* direct regulators of mTOR¹¹³ are under
461 positive selection in two of the branches. In addition, we linked positive selection with immune
462 system and the antioxidant defense, processes known to be involved in regulation of lifespan.

463 With regard to evolution of eusociality, our findings are in line with the theory that the LCA of all
464 African mole-rats had at least a predisposition for social lifestyle that was lost in some lineages, while
465 in other lineages the ancestral phenotype has further evolved, leading to the distinct social
466 phenotypes in the extant species.

467 Moreover, we exemplarily showed potential functional relevance of the positively selected sites by
468 homology modeling on the protein level. This may encourage experimental follow-up studies since all

469 sequences and alignments including the identified positively selected sites are accessible via
470 supplement data.

471 **Methods**

472 **CDS data**

473 We examined nine African mole-rat species covering all six genera. Additionally, our analysis
474 comprises eight outgroup species, including the long-lived BMR and the chinchilla. mRNA sequences
475 of seven distantly related outgroup species were obtained from RefSeq along with their CDS
476 annotation (Table S1). For the NMR we used a recently published *de novo* transcriptome assembly
477 ¹¹⁴. RNA-seq data for six mole-rat species was obtained from GenBank Sequence Read Archive, study
478 SRP061925 ²¹. The reads were assembled and annotated using FRAMA as described in ¹¹⁴.

479 For AMR and GMR, purification of RNA from 13 and 17 tissues, respectively, was done using Qiagen
480 RNeasy Mini Kit following the manufacturer's description. Novel RNA-seq was performed for both
481 species as described in Table S2. *De novo* transcriptome assemblies of the generated data were
482 performed using FRAMA ¹¹⁴ (see Table S1).

483 For the SMR and the greater cane rat genome sequencing was performed to complement the
484 transcriptome data. DNA was isolated from liver tissue of two female SMR individuals and a male
485 liver of the greater cane rat using DNeasy Blood & Tissue (Qiagen). DNA was then converted to
486 Illumina libraries and sequencing was done as given in Table S2. Sequence reads were cleaned by
487 removal of adaptors and low-quality regions at the ends (i.e. regions with more than 10% with
488 quality score ≤ 20). Low quality reads (i.e. less than 50% remained) and duplicons were discarded. *De*
489 *novo* genome sequence assembly was performed using CLC assembler (CLC Genomics) with default
490 settings. The CDS annotation was done using AUGUSTUS ¹¹⁵ with AMR CDSs as hint.

491 All animals were housed and euthanized compliant with national and state regulations. Read data
492 was deposited as ENA (European Nucleotide Archive) study PRJEB20584.

493

494 **Identification of positively selected genes**

495 To scan on a genome-wide scale for genes under positive selection, we fed the CDSs of the described
496 species set along with the branches we wanted to examine (Fig. 1) into the PosiGene pipeline²⁸.
497 GMR was used as PosiGene's anchor species. Regarding the SMR, for which we had both a genome
498 and a transcriptome assembly, we used generally the transcriptome assembly, except for those
499 ortholog groups in which no SMR ortholog could be assigned via transcriptomic but via genomic data.
500 This was accomplished by calling the three PosiGene modules separately, feeding both assemblies
501 independently in the first module (ortholog assignment) and deleting all genome-based SMR
502 sequence in those ortholog groups that contained transcriptome-based SMR CDSs before calling the
503 second module. An overview about the number of genes and sequences tested for positive selection
504 in the different branches is shown in Table S1. We considered all genes with nominal p-values ≤ 0.05
505 as PSGs.

506

507 **Gene ontologies**

508 We determined enrichments for GO categories with Fisher's exact test based on on the R package
509 GOstats. The resulting p-values were corrected using the Benjamini-Hochberg method (FDR).

510

511 **Differentially expressed genes during NMR and rat aging**

512 The young and old rats (strain Wistar) had an age of 6 (n=4) and 24 (n=5) months, respectively. The
513 young NMRs had an age of 3.42 ± 0.58 years (average \pm sd, n=6). The old NMRs were at least 21 years

514 old (recorded lifetime in captivity, n=3). All examined animals were males. All animals were housed
515 and euthanized compliant with national and state regulations. For both species, purification of RNA
516 from liver samples was done using Qiagen RNeasy Mini Kit following the manufacturer's description.
517 In short, we performed RNA-seq using Illumina HiSeq 2500 with 50 nt single read technology and a
518 sequencing depth of at least 20 mio reads/sample (Table S17). For NMR, the read mapping was
519 performed with STAR ¹¹⁶ (--outFilterMismatchNoverLmax 0.06 --outFilterMatchNminOverLread 0.9 --
520 outFilterMultimapNmax 1) against the public genome (Bioproject: PRJNA72441) that we had
521 annotated before by aligning the above mentioned NMR transcriptome reference using BLAT ¹¹⁷ and
522 SPLIGN ¹¹⁸. Rat reads were aligned against the mentioned RefSeq reference using bwa aln ¹¹⁹ (-n 2 -o
523 0 -e 0 -O 1000 -E 1000). Read data and counts were deposited as GEO (Gene Expression Omnibus)
524 series GSE98746. Differentially expressed genes (FDR≤0.05, Table S18, S19) and fold-changes were
525 determined with DESeq2 ¹²⁰. GAGE ¹²¹ was used to determine enriched gene ontologies based on
526 fold-changes (Table S20). Gene ontologies with FDR≤0.05 were summarized using REVIGO (allowed
527 similarity=0.5) ¹²². Four of the six largest summarized categories of the resulting treemap (Table
528 S21/S22) were further analyzed due their aging relevance (representative terms given): “translation”
529 (GO:0006412), “cellular respiration” (GO:0045333), “response to oxidative stress” (GO:0006979) and
530 “iron ion homeostasis” (GO:0055072). For each of these categories the union of genes across gene
531 ontology terms was built. These unions were tested for significant overlaps with (i) the union of PSGs
532 across branches and (ii) the union of PSGs across branches that were down-regulated during aging in
533 NMR and up-regulated in rat (Fisher's exact test). Functional annotation of the PSGs in respect to the
534 four categories is given in Table S23).

535

536 **Homology modeling of protein structure**

537 Models were built in SWISS-MODEL (<http://swissmodel.expasy.org>;) ^{123,124}. No further optimization
538 was applied to the resulting TXN and TF models. Superimposition of the model and template
539 structures and rendering was carried out using CHIMERA ¹²⁵.

540

541 **Data availability**

542 Read data for AMR, GMR, SMR and greater cane rat was deposited as ENA (European Nucleotide
543 Archive) study PRJEB20584. Read data for NMR and rat was deposited as GEO (Gene Expression
544 Omnibus) series GSE98746.

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849 **Author contributions**

850 MP, PD and KS initiated the project. MP, AS and KS managed the project. PD, HB, TH, SH and MS
851 provided samples; MGr was in charge for the sequencing; MB and AS performed assemblies and gene
852 expression analyses; AS searched for PSGs; AS and HAK applied statistical tests; MGö performed
853 protein structure modeling; AS, MP, PD, AC, HB, CC and CM interpreted the data; AS, MP, PD, AC and
854 MGö wrote the manuscript. All authors read and approved the final manuscript.

855 **Competing interests**

856 The authors declare no competing financial interests.

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859 **Supplementary information**

860 **Supplementary tables: S1-S24.xls**

861 Table S1. Data Sources for assemblies and sequence statistics.

862 Table S2. Samples that were sequenced to create genome/transcriptome assemblies.

863 Table S3. PSGs on multiple branches.

864 Table S4. Overview of positively selected genes ($FDR \leq 0.05$) on examined branches.

865 Table S5. Results on branch 1.

866 Table S6. Results on branch 2.

867 Table S7. Results on branch 3.

868 Table S8. Results on branch 4.

869 Table S9. Results on branch 5.

870 Table S10. Results on branch 6.

871 Table S11. Results on branch 7.

872 Table S12. Results on branch 8.

873 Table S13. Results on branch 9.

874 Table S14. Results on branch 10.

875 Table S15. Results on branch 11.

876 Table S16. Overlaps between this and previous studies.

877 Table S17. Samples that were RNA-sequenced to examine gene regulation during aging.

878 Table S18. DESeq2 result for gene expression comparison of young (\emptyset 3.42 years) vs. old (>21 years)
879 naked mole-rats.

880 Table S19. DESeq2 result for gene expression comparison of young (6 months) vs. old (24 months)
881 rats.

882 Table S20. GAGE gene ontology enrichment for expression changes during NMR aging (\emptyset 3.42 vs > 21
883 years, FDR \leq 0.05, all down-regulated) .

884 Table S21. REVIGO treemap result of GAGE enrichment for differential expression during NMR aging.

885 Table S22. REVIGO representative categories (representative term given) of GAGE enrichment for
886 differential expression during NMR aging.

887 Table S23. PSGs in aging relevant summarized REVIGO categories and quadrant 1 (up-regulated in rat
888 and down-regulated in NMR).

889 Table S24. Gene ontologies enriched for PSGs on examined branches based on GOSTats and Fisher's
890 exact test (FDR \leq 0.05).

891

892 **Supplement data:** ftp://genome.leibniz-fli.de/pub/mrps2017/supplement_data.tar.gz

893

894 The package contains visualizations of alignments and positively selected sites for all genes and
895 branches that were analyzed in this article.

896