# 1 Non-canonical aberrant DNA hypermethylation in glioma

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#### 36 Abstract

37 Aberrant DNA hypermethylation is a hallmark of cancer although the underlying molecular mechanisms are still poorly understood. To study the possible role of 5-38 39 hydroxymethylcytosine (5hmC) in this process we analyzed the global and locusspecific genome-wide levels of 5hmC in primary samples from 54 gliomas and 72 40 41 colorectal cancer patients. Levels of 5hmC in colorectal cancer were very low and no 42 consistent changes were detected between control tissues and tumors. As expected, 43 levels of 5hmC in non-tumoral brain samples were high and significantly reduced at the 49,601 CpG sites in gliomas. Strikingly, hypo-hydroxymethylation at 4,627 (9.3%) of 44 these CpG sites was associated with aberrant DNA hypermethylation. The DNA regions 45 containing these CpG sites were enriched in H3K4me2, and presented a different 46 genuine chromatin signature to that characteristic of the genes classically aberrantly 47 48 hypermethylated in cancer. We conclude that this data identifies a novel 5hmC-49 dependent non-canonical class of aberrant DNA hypermethylation in glioma.

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# 52 Introduction

53 DNA methylation at the fifth position of cytosine (5mC) has been one of the most 54 studied epigenetic modifications in mammals to date. 5mC is involved in the regulation 55 of multiple physiological and pathological processes, including cancer, and when 56 located at gene promoters, it is usually linked to transcriptional repression.

57 As distinctive features of tumorigenesis, local DNA hypermethylation and global 58 hypomethylation have been attributed to changes in 5mC levels [10; 11]. However, the 59 discovery a few years ago, of 5-hydroxymethylcytosine (5hmC), a new epigenetic mark 60 resulting from 5mC oxidation, is reshaping our view of the cancer epigenome [29; 47]. 61 This 5mC to 5hmC conversion in mammals is mediated by ten-eleven translocation proteins (TET1, TET2, and TET3), a family of a-ketoglutarate (aKG) and Fe(II)-62 63 dependent dioxygenases[21; 47]. Global levels of 5hmC in the genome fluctuate 64 considerably according to tissue type, and are consistently around 10-fold lower than 65 those of 5mC, though it is interesting that the highest levels of both marks are found in 66 brain [13; 16; 24; 32; 37; 44].

Several studies suggest that 5hmC is not only an intermediate of DNA demethylation,
but that it also plays a role in cancer biology [23; 33; 41; 46; 55]. In this vein, a broad
loss of 5hmC has been reported in different human cancers including melanoma,
glioma, breast, colon, gastric, kidney, liver, lung, pancreatic, and prostate cancers [17;
23; 27; 30; 32; 34; 55].

The fact that there are now methods available that distinguish 5mC and 5hmC positions at single-base resolution within the genome prompted us to reassess the role of DNA methylation status in tumorigenesis from a 5hmC perspective. The method used here allowed us to describe global and genome-wide locus-specific 5mC and 5hmC patterns in colon and brain samples, to identify a specific chromatin signature associated with changes of these epigenetic marks in cancer and, most notably, to describe a novel noncanonical type of aberrant DNA hypermethylation in cancer.

#### 79 **Results**

#### 80 Global changes of 5mC and 5hmC in cancer

81 To evaluate the role of 5hmC in the changes of DNA methylation observed in cancer, 82 we first analyzed the levels of 5hmC and 5mC at repetitive DNA in 84 normal and 123 83 tumor samples obtained from patients with colorectal cancer and glioma. Bisulfite 84 pyrosequencing was used to determine the level of both epigenetic modifications in 4 85 different types of repeated DNA: the retrotransposons LINE-1 and AluYb8, and the pericentromeric tandem repeats Sat-alpha and NBL-2 [49]. These 4 DNA regions 86 87 contain most of the genomic methylation and, consequently, global DNA methylation 88 level is highly dependent on their 5mC content [51]. As expected, 5mC levels at most 89 repeated DNA in healthy tissue was high, and was reduced in tumor samples (Figure 90 1A). In contrast, the levels of 5hmC at repeated DNA in healthy tissue was very low, 91 and tumoral tissue showed even lower levels of 5hmC in these DNA regions (Figure 92 **1B**). However, the differences were very small and, consequently, they cannot explain 93 the global loss of this mark in cancer observed by mass spectrometry [23; 27; 28; 39].

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#### 95 5mC and 5hmC profiling in colorectal and brain tissue

96 As changes in 5hmC at repeated DNA were not able to explain the global changes 97 previously observed by mass spectrometry, we hypothesized that these changes 98 primarily occur at single copy sequences. To investigate this possibility in more detail, 99 we first used 450K Infinium methylation arrays to determine the level and genomic 100 distribution of 5mC and 5hmC at 479,423 CpG sites in 11 non-tumoral colorectal 101 samples and 5 healthy brain tissue samples, all from different donors. A preliminary 102 examination of the data revealed that the beta values of the oxidized samples (true 5mC) 103 were much lower than their non-oxidized counterparts (5mC+5hmC) in brain 104 (Wilcoxon rank sum test; p<0.001; W=2.34e13) than in colorectal (Wilcoxon rank sum 105 test; p<0.001; W=5.52e13) tissues (see Materials and Methods), which indicates that, as 106 expected, levels of 5hmC are higher in brain tissue than in the colon (Figure 2A). In 107 line with this, we identified 111,633 and 5,089 hydroxymethylated CpG sites (5hmC 108 sites) in brain and colorectal tissue respectively (Figure 2A, and Supplementary 109 Table 1 and 2) (see Materials and Methods).

110 The analysis of the genomic distribution of the 5hmC sites showed that, in both 111 colorectal and brain tissue, hydroxymethylation is enriched at the low CpG-density 112 regions interrogated by the array (Wilcoxon non-parametric test; p<0.001, D=-0.29, and 113 p < 0.001, D=-0.5, respectively) (Figure 2B). Consequently, the 5hmC sites were 114 enriched in non-CpG islands (non-CGI) in both colon and brain (chi-square test; 115 p<0.001; OR=1.93, and p<0.001, OR=3.45, respectively) and infrequent in CGIs (chi-116 square test; p<0.001, OR=0.14, and p<0.001, OR=0.13) (Figure 2C). With respect to 117 genes, 5hmC sites were enriched in introns in both brain and colorectal tissue (chi-118 square test; p<0.001, OR=1.82, and p<0.001, OR=1.76, respectively), but were less 119 frequent than expected in intergenic regions in colorectal tissue and in gene promoters 120 in brain tissue (chi-square test; p<0.001, OR=0.58, and p<0.001, OR=0.6) (Figure 2D). 121 Moreover, hydroxymethylated CpG sites were farther away from centromeres in brain 122 (Wilcoxon non-parametric test, p<0.001, D=0.01) and telomeres in both colorectal 123 tissues and brain (Wilcoxon non-parametric test, p<0.001, D=0.07, and p<0.001, 124 D=0.02, respectively) than the median in terms of other background sites, although the 125 size of these shifts was rather small (Figure 2-figure supplement 1A).

126 To identify possible chromatin marks associated with 5hmC sites in colorectal and brain tissue, we compared these CpG sites with previously published data on a range of 127 128 histone modifications and chromatin modifiers in 10 different cell types (see Materials 129 and Methods) (Figure 2E). This approach identified statistically significant associations 130 (Fisher's exact test; p<0.05) between the 5hmC sites and the active histone marks 131 H3K4me1, H3K36me3, and H4K20me1, in both colorectal and brain tissue (Figure 2E). Interestingly, in colorectal tissue, 5hmC was also enriched in other activating 132 133 histone posttranslational modifications (PTMs) such as H3K79me2, and H3K4me2 134 (Figure2E). Finally, a similar framework was used to test for the enrichment of our 135 selected probes over the computer-generated chromatin segmentation states from the 136 ENCODE ChromHMM project (see Materials and Methods). In total, fifteen states were 137 used to segment the genome, and these were then grouped and colored to highlight 138 predicted functional elements. This approach showed that the hmC sites were 139 significantly enriched in states associated with enhancers and transcription in both 140 colorectal and brain tissue (Fisher's exact test; p < 0.05) (Figure 2-figure supplement 141 **1B**).

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# 143 Locus-specific alterations of 5hmC in colorectal cancer (CRC) and glioma

To identify differentially hydroxymethylated CpG sites (d5hmC) at single copy sequences in cancer, we used 450K methylation arrays to analyze 11 additional colorectal tumors and 9 primary tumors obtained from patients with glioma (see

147 Materials and Methods). A total of 49,601 CpG sites that were hypohydroxymethylated 148 were identified in gliomas, but almost no hyper-hydroxymethylated sites were found 149 (see Materials and Methods) (Figure 3A and Supplementary Table 3). In contrast, no 150 significant methylation changes were found in colorectal tumors (Figure 3A) and thus 151 subsequent stages of the study focused on glioma alone. Hierarchical clustering using 152 the differentially hydroxymethylated CpG sites showed the correct classification of 153 normal and tumor samples (Figure 3B). The analysis of the genomic distribution of the 154 hypo-hydroxymethylated CpG sites in gliomas showed an enrichment at low CpG 155 density regions (Wilcoxon rank sum test, p<0.001, D=-0.41), and consequently at non-156 CpG islands (chi-squared test, p<0.001, OR=2.53) (Figure 3C). With respect to gene 157 location, hypo-hydroxymethylation was more frequent in introns (chi-squared test, 158 p<0.001, OR=1.77) (Figure 3C).

159 То identify possible chromatin signatures associated with DNA hypo-160 hydroxymethylation in gliomas, we compared our list of hypo-hydroxymethylated CpG 161 sites with previously published data on a range of histone modifications and chromatin modifiers in 11 different cell types (see Materials and Methods) (Figure 3D). 162 163 Interestingly, this approach showed an enrichment of hypo-hydroxymethylation at 164 chromatin regions marked with the activating histone PTMs H3K4me1, H3K36me3, 165 H4K20me1 and H3K79me2 (Fisher's exact test, p<0.05) (Figure 3D), but not with the 166 repressive histone modification H3K27me3, which has been previously shown to be 167 associated with aberrant DNA hypermethylation in cancer [38; 52] (Figure 3D). A 168 similar framework was used to test for the enrichment of our selected probes over the 169 computer-generated chromatin segmentation states from the ENCODE ChromHMM 170 project. Using this approach, we found that hypohydroxymethylated CpG sites were 171 significantly associated with transcription regulation and enhancers (Fisher's exact test; 172 p < 0.05) (**Figure 3E**).

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# 174 DNA hypo-hydroxymethylation identifies a novel type of non-canonical aberrant 175 DNA hyper-methylation in glioma

To study the relationship between changes in 5mC and 5hmC in glioma, we first identified aberrantly methylated CpG (d5mC) sites. The comparison of the methylation data between tumoral and control samples (see Materials and Methods) identified 2,727 hypo- and 12,050 hyper-methylated CpG sites in gliomas (**Supplementary Tables 4** and 5). Next, we compared these d5mC sites with the previously identified hypohydroxymethylated CpG sites (Figure 3A, Supplementary Table 3). Interestingly, this
approach showed that 4,627 (38.4%) of the CpG sites aberrantly hypermethylated in
gliomas also lose 5hmC (Figure 4A, Supplementary Table 6).

184 To investigate, at a functional genomic level, the characteristics of these two classes of 185 aberrantly hypermethylated CpG sites in gliomas we first analyzed their genomic 186 distribution in relation to density of CpG sites and we found that the hypermethylated 187 CpG sites that lose 5hmC (hyper5mC-hypo5hmC) were enriched in low density CpG 188 regions (Wilcoxon rank sum test, p<0.001, D=-0.11) as compared with the 189 hypermethylated CpG sites that showed no changes in 5hmC (hyper5mC) (Wilcoxon 190 rank sum test, p<0.001, D=-0.23) (Figure 4B, Supplementary Tables 6 and 7). In line 191 with this, hyper5mC-hypo5hmC sites were strongly depleted from CGIs (chi-squared 192 test, p<0.001, OR=0.42) (Figure 4B). Hierarchical clustering using the differentially 193 methylated CpG sites showed that the hyper5mC-hypo5hmC sites were slightly more 194 methylated in control brain samples than the hyper5mC sites, and that they were more 195 uniformly hypermethylated in glioma (Figure 4C). To further corroborate our results, 196 we took advantage of recently published data on the whole-genome bisulfite sequencing 197 (WGBS) in glioma [42]. We found that, in addition to a large percentage of CpGs (n: 198 4,051; 88%) showing the same patterns of change as in our methylation arrays, the WGBS analysis identified more than  $10^6$  new hyper5mC-hypo5hmC sites, thus 199 200 confirming that this is a frequent event in glioma (Figure 4-figure supplement 1).

201 Next, to identify possible chromatin signatures associated with the two classes of 202 aberrantly hypermethylated CpG sites in gliomas, we compared our data with 203 previously published data on a range of histone modifications and chromatin modifiers 204 in 11 different cell types (see Materials and Methods) (Figure 5A). This approach 205 confirmed the association between hyper5mC and the repressive histone marks 206 H3K9me3 and H3K27me3 (Fisher's exact test, p<0.05) [36; 38; 52]. The hyper5mC-207 hypo5hmC sites showed a completely different chromatin signature, with enrichment in 208 the activating histone PTMs H3K4me1, H3K36me3, H3K79me2 and H4K20me1 209 (Fisher's exact test, p<0.05) (Figure 5A). Notably, as compared with the chromatin 210 signature of the whole set of hypo-hydroxymethylated CpGs in glioma, these CpG sites 211 were particularly enriched at the H3K4me2 histone mark (Fisher's exact test, p<0.001,

OR in [1.19, 1.78] for all cell lines in the Broad Histone project) (**Figure 5B**).

These results indicate that the hyper5mC sites behave like the aberrantly hypermethylated canonical CpG sites in cancer, whilst the hyper5mC-hypo5hmC sites

215 represent a novel and functionally different non-canonical type of aberrantly methylated 216 DNA sequence in glioma (Figure 5A, 5B, Supplementary Tables 6 and 7). In support 217 of this notion, experiments focused on the computational prediction of functional 218 elements confirmed the enrichment of canonical aberrant hypermethylation in 219 promoters and repressed sequences and revealed a completely different pattern for non-220 canonical hypermethylation, one which is more closely associated with enhancers and 221 transcriptional regulation (Fisher's exact test; p < 0.05) (Figure 5-figure supplement 222 1).

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# Distinct functional role of canonical and non-canonical aberrant hypermethylation in glioma

226 To identify possible differences between the functional role of canonical and non-227 canonical aberrant DNA hypermethylation in glioma we first ascribed CpG sites to 228 specific genes and then used HOMER to carry out gene ontology analyses of each 229 group of genes (see methods). Using this approach, we identified 1,921 genes 230 displaying canonical hypermethylation, 2,042 displaying non-canonical 231 hypermethylation and 938 displaying both types of aberrant hypermethylation (Figure 232 6A, Supplementary Tables 8, 9 and 10). As expected, GO analyses showed an 233 enrichment of development and differentiation processes in canonical genes [6] (Figure 234 **6A**, Supplementary Table 11). In contrast, non-canonical genes were strongly enriched 235 in cell signaling and protein processing pathways (Figure 6A, Supplementary Table 236 12).

To further investigate the functional role of canonical and non-canonical hypermethylation in cancer, we compared our methylation data with previously published gene expression data in the same type of tumor (see Materials and Methods). Results showed that 681 (31%) of the canonical and 585 (24%) of the non-canonical aberrantly hypermethylated genes were repressed in gliomas (**Figure 6B**).

Genomic distribution analysis of both types of aberrant hypermethylation confirmed the enrichment of canonical hypermethylation in exons (chi-squared test, p<0.001, OR=1.79 for general exons, OR=2.01 for first exons), while non-canonical hypermethylation was more frequent in introns (chi-squared test, p<0.001, OR=1.7) (**Figure 6C**). The genes frequently downregulated in glioma, *SLC14A* and the *SMAD7*, represent two bona fide examples of this pattern of non-canonical aberrant hypermethylation (**Figure 6D**, **Figure 6-figure supplement 1**).

- 249 Taken as a whole, these results indicate that both types of aberrant hypermethylation
- 250 have a similar effect on gene expression, but that they affect different types of genes and
- 251 gene regions.

#### 252 Discussion

During recent decades, it has largely been accepted that aberrant genomic DNA methylation is a hallmark of cancer [10; 11] and the best-known DNA methylation alterations in tumors were the aberrant hypermethylation of CpG island promoters, and global DNA hypomethylation. In both cases, the alterations were mostly attributed to changes in the overall content and genomic distribution of 5mC [10; 11].

- 258 The vast majority of studies on DNA methylation and cancer have been based on the 259 sodium bisulfite modification of the genomic DNA, a chemical reaction that allows C 260 and 5mC to be distinguished by polymerase chain reaction [19]. However, this approach 261 cannot distinguish between 5mC and 5-hydroxymethylcytosine (5hmC), the latter being 262 a chemical modification of the cytosine first identified in bacteriophages in 1952 [54], 263 and which has recently been found to be quite abundant in specific mammalian tissue 264 [29]. 5hmC is synthesized from 5mC by the Ten-eleven Translocation (Tet) Enzymes, a 265 family of proteins that can also catalyze the successive conversion of 5hmC to 5-266 formylcytosine and then to 5-carboxylcytosine, both of which can be transformed to 267 unmodified C [40]. Although 5hmC was originally described as simply a demethylation 268 intermediate of C [16; 25], recent data suggest that this may be an epigenetic mark in its 269 own right [3; 22]. Thus, as most previous studies did not distinguish between 5mC and 270 5hmC, and it appears that DNA hydroxymethylation might play a specific role in 271 cancer, in this work we aimed to re-evaluate changes in DNA methylation in cancer, 272 paying special attention to the specific contribution of 5hmC.
- 273 To identify the DNA regions affected by hydroxymethylation changes in cancer, we 274 first focused on four types of repeated DNA (LINE1, Sat α, NBL2 and ALUYB8). 275 Among them, the LINE1 repeat is of particular interest because it contains almost 20% 276 of the genomic 5mC, and it has been proposed to be a surrogate of global DNA 277 methylation [51]. Our results confirmed that tumors lose 5mC at repeated DNA [7]. 278 However, the level of 5hmC at repeated DNA in healthy samples was very low and no 279 significant differences were observed compared to tumors, which indicates that the 280 global DNA hypo-hydroxymethylation previously observed in cancer [23; 27; 28; 39] 281 does not principally occur at repeated DNA. As changes in 5hmC at repeated DNA 282 could not explain the global differences previously observed by mass spectrometry, we decided to study the possible contribution of single copy sequences. Genome-wide 283 284 profiling of 5mC and 5hmC of healthy tissue identified a 10-fold increase in abundance 285 of CpG sites frequently hydroxymethylated in brain compared to colorectal tissue,

286 providing evidence that the level of this epigenetic mark is highly tissue type-287 dependent, and also that it is very abundant in the brain [16; 24; 29; 32; 37; 44]. 288 Moreover, 5hmC was enriched in regions with low CpG density and in introns in both 289 colorectal and brain tissue. As the 5hmC is enriched in different genomic regions, these 290 results support the notion that 5hmC is not simply a demethylation intermediate [1]. 291 Interestingly, 5hmC co-localized in regions marked with the activating histone PTM 292 H3K4me1. This histone mark has been previously associated with gene enhancers [20; 293 48], which suggests that DNA hydroxymethylation might play a role in gene regulation 294 in trans. Moreover, we have recently found an association between H3K4me1 and DNA hypomethylation during aging in stem and differentiated cells [12], which may 295 296 represent an interesting link between aging and cancer at these genomic regions. 297 Colorectal tumors showed more changes with respect to 5mC than to 5hmC. However, 298 in contrast, glioma presented more changes in 5hmC than in 5mC, suggesting that the 299 dynamics of DNA methylation and hydroxymethylation in cancer is highly tumor-type 300 dependent. Moreover, the great number of hypo-hydroxymethylated single CpG sites in 301 glioma could explain the global differences previously observed by mass spectrometry 302 [23; 27; 28; 39] and suggests that, in contrast to 5mC, most DNA hypo-303 hydroxymethylation in brain tumors occurs at single copy sequences.

304 The behavior of 5hmC led us to next identify two types of CpG sites aberrantly 305 hypermethylated in glioma: aberrantly hypermethylated CpG sites that showed no 306 changes in 5hmC; and hypermethylated CpG sites that lose 5hmC. The first of these 307 sites display similar chromatin signatures to previously described genes aberrantly 308 hypermethylated in cancer (i.e. enrichment in the repressive histone marks H3K9me3 309 and H3K27me3) [36; 38; 52]. In contrast, the second type of aberrantly 310 hypermethylated CpG sites were enriched in the activating histone PTMs H3K4me1, 311 H3K36me3, H3K79me2, H4K20me1 and H3K4me2. As these CpG sites present a 312 genuine chromatin signature which is different to the repressive chromatin signature of 313 the classical genes aberrantly hypermethylated in cancer [36; 38; 52], we conclude that 314 they represent a novel 5hmC-dependent non-canonical class of aberrant DNA 315 hypermethylation in glioma. As this gain in 5mC is inversely correlated with loss of 316 5hmC, it was not possible to identify this significant alteration in previous studies using 317 the classical sodium bisulfite-based technologies, since they are not able to distinguish 318 between the two chemical modifications.

319 Aberrant DNA hypermethylation in cancer was discovered more than 30 years ago, but 320 the underlying molecular mechanisms are still poorly understood. For example, it has 321 been proposed that genes enriched in bivalent histone modifications (H3K4me3 and 322 H3K27me3) and polycomb group proteins during embryo development are prone to 323 become aberrantly hypermethylated in cancer [36; 38; 52] but the molecular basis of 324 this is unknown. Our data suggest that tumor cells might in fact acquire aberrant DNA 325 methylation through various different pathways. Moreover, in the case of the noncanonical hypermethylation, the previous loss of 5hmC suggests that aberrant 326 327 hypermethylation at these DNA regions could be due to an attempt by the cell to reverse 328 or repair the loss of 5hmC at functionally sensible loci. This possibility is supported by 329 the fact that the non-canonical aberrant hypermethylation described here seems to play 330 an important role in gene regulation. Intriguingly, 5hmC at gene promoters has also 331 been proposed to protect from aberrant hypermethylation in colorectal cancer [50]. 332 Thus, although it seems that 5hmC plays an important role in the regulation of the DNA 333 methylation changes in cancer, more research is needed to fully understand its role.

334 The non-canonical aberrant hypermethylation described here seems to have a similar 335 overall effect on gene expression as classical canonical hypermethylation, although the 336 type of genes and the genomic regions affected are very different. Previous research has 337 shown that the repression of developmental genes affected by canonical aberrant 338 hypermethylation promotes tumorigenesis [6]. However, the possible functional role of 339 disruption of cell signaling and protein processing pathways affected by the non-340 canonical hypermethylation described in this study remains to be elucidated. Future 341 research is thus needed to address this issue, and to determine whether the two types of 342 aberrant DNA hypermethylation have distinct functional roles in cancer.

343

#### 344 Materials and methods

#### 345 Normal samples and primary tumors

The colon and brain samples analyzed in this study were collected at the Hospital Universitario Central de Asturias (HUCA), the Hospital Virgen de la Salud, Toledo, and the Hospital Universitario Puerta de Hierro, Madrid. The samples studied comprised 72 normal colons, 13 normal brains, 72 colorectal primary tumors and 54 glioblastomas. The study was approved by the Clinical Research Ethics Committee and all the individuals involved provided written informed consent.

352

#### 353 Pyrosequencing assays

354 5mC and 5hmC patterns at repetitive sequences (LINE1, ALUBY8, Sat  $\alpha$  and NBL2) 355 were analyzed by pyrosequencing using previously described primers [49]. To calculate 356 5hmC levels, each sample was analyzed using two methods performed in parallel; an 357 oxidative bisulfite conversion (oxBS) and a bisulfite-only conversion (BS), in 358 accordance with the TrueMethyl® Array Kit User Guide (CEGX, Version 2) with some 359 modifications. Briefly, DNA samples were cleaned using Agencourt AMPure XP 360 (Beckman Coulter) then oxidated with 1 µL of a KRuO4 (Alpha Aeser) solution (375 361 mM in 0.3 M NaOH), after which bisulfite conversion was performed using EpiTect 362 bisulfite kit (Qiagen®).

After PCR amplification of the region of interest in oxBS and BS samples, pyrosequencing was performed using PyroMark Q24 reagents, and vacuum prep workstation, equipment and software (Qiagen®). 5hmC levels were obtained when methylation values of oxBS samples (represents true 5mC) were subtracted from their corresponding BS treated pairs (the latter representing 5mC+5hmC).

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#### 369 Genome-wide DNA methylation analysis with high-density arrays

370 Microarray-based DNA methylation profiling with was performed the 371 HumanMethylation 450 BeadChip [2]. Oxidative bisulfite (oxBS) and bisulfite-only 372 (BS) conversion was performed using the TrueMethyl® protocol for 450K analysis 373 (Version 1.1, CEGX) following the manufacturer's recommended procedures. 374 Processed DNA samples were then hybridized to the BeadChip (Illumina), following 375 the Illumina Infinium HD Methylation Protocol. Genotyping services were provided by 376 the Spanish Centro Nacional de Genotipado (CEGEN-ISCIII) (www.cegen.org). DNA

377 methylation data were downloaded from ArrayExpress accession numbers E-MTAB-

378 6003 (brain) and E-MTAB-xxx (colon).

379

# 380 HumanMethylation450 BeadChip data preprocessing

381 Raw IDAT files were processed using the R/Bioconductor package minfi [15] (version 382 1.14.0), implementing the SWAN algorithm [35] to correct for differences in the 383 microarray probe designs. No background correction or control probe normalization 384 was applied. Probes where at least two samples had detection p-values > 0.01, and 385 samples where at least 5500 probes had detection p-values > 0.01 were filtered out. M-386 values and beta values were computed as the final step in the preprocessing procedure. 387 In line with a previously published methodology [5], M-values were used for the 388 statistical analyses and beta values for effect size thresholding, visualization and report 389 generation.

390

# 391 Batch effect correction

In order to detect whether there was any batch effect associated with technical factors, the visualization technique of multidimensional scaling (MDS) was employed to highlight any strange interaction affecting the different samples. Where necessary, posterior adjustment of the samples was performed by means of the SVA method [31] implemented in the R/Bioconductor sva package (version 3.14.0).

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#### 398 *Computation of hydroxymethylation levels*

399 Beta values from oxBS samples were subtracted from their corresponding BS treated 400 pairs, generating an artificial dataset representing the level of 5hmC for each probe and 401 sample as per a previously published methodology [45]. One further dataset was created 402 to represent the 5mC levels using beta values from oxBS samples.

403

# 404 Detection of differentially methylated probes

Differential methylation and hydroxymethylation of an individual probe was determined by a moderated t-test implemented in the R/Bioconductor package limma [43]. A linear model, with methylation or hydroxymethylation levels as response and the sample group (normal/tumoral) as the principal covariate of interest, was then fitted to the methylation or hydroxymethylation data. Surrogate Variables generated using SVA were also included in the model definition, but excluding those found to be correlated to

411 the phenotype of interest. P values were corrected for multiple testing using the 412 Benjamini-Hochberg method for controlling false discovery rate (FDR). An FDR 413 threshold of 0.001 was employed to determine differentially methylated and 414 hydroxymethylated probes. Additionally, these probes were filtered according to their 415 effect size, keeping only those probes with methylation or hydroxymethylation changes 416 between-groups which exceeded the median of all differences for the same comparison. 417 The probes without no significant 5hmC signal on control samples were filtered out 418 from the set of hypo-hydroxymethylated probes in glioma.

419

# 420 Identification of hydroxymethylated probes

In order to identify those probes representing the regions where the 5hmC mark is located, a differential hydroxymethylation analysis was performed as described previously [14] using a dataset containing both oxBS and BS versions of the control samples. Probes with significant differences in beta values between the BS and oxBS samples were considered to be enriched for the 5hmC mark. An FDR threshold of 0.001 was employed. No filtering on effect size was applied in this case.

427

#### 428 Histone enrichment analysis

429 In order to analyze the enrichment of histone marks on a subset of probes, we used the 430 information contained in the UCSC Genome Browser Broad Histone track from the 431 ENCODE Project. Histone mark peaks were downloaded for every combination of cell 432 line and antibody. For each track, a 2x2 contingency table was built to represent the 433 partition of the whole set of possible probes in the microarray with respect to the membership of the subset of interest and the overlap between the probes and the histone 434 435 peaks. A Fisher's exact test was used to determine whether there was significant 436 enrichment of the selected histone mark for the subset of interest. P-values were 437 adjusted for multiple comparisons using the Benjamini-Hochberg method for 438 controlling FDR. A significance level of 0.05 was used to determine whether the given 439 combination of histone mark and cell line presented a significant change in proportion. 440 Additionally, the base-2 logarithm of the Odds Ratio (OR) was used as a measure of 441 effect size.

442

#### 443 Chromatin segment enrichment analysis

444 Data from the BROAD ChromHMM Project were downloaded from the UCSC Genome 445 Browser site. Each of the tracks comprising this dataset represents a different 446 segmentation generated by a Hidden Markov Model (HMM) using Chip-Seq signals 447 from the Broad Histone Project as inputs. The segmentations were later curated and 448 labelled according to their functional status [8; 9]. In order to detect any significant 449 enrichment in the proportion of probes in a given subset of interest belonging to one 450 functional category, an analysis strategy similar to the one employed for the detection of 451 histone enrichment was performed. In this case, a 2x2 contingency table was built using 452 segments of a given functional status rather than antibodies. A Fisher's exact test was 453 employed, and significant combinations were detected using a FDR threshold of 0.05 454 (Benjamini-Hochberg procedure). Again, the base-2 logarithm of the OR was used as a 455 measure of effect size.

456

# 457 Genomic region analysis

458 The probes in the microarray were assigned to a genomic region according to their 459 position relative to the transcript information extracted from the R/Bioconductor 460 package TxDb.Hsapiens.UCSC.hg19.knownGene (package version 3.1.2). A probe was 461 said to be in a promoter region if it was located in a region up to 2kb upstream of the 462 transcription start site (TSS) of any given transcript. Similarly, a set of mutually 463 exclusive regions were defined inside the transcripts, namely 5UTR, 3UTR, First Exon, 464 Exon and Intron. A probe could only belong to one category, hence if the location of a 465 probe overlapped with two or more regions in different transcripts, it was assigned to 466 the region with a higher level of precedence (i.e. in the order stated above, earlier 467 mention indicates higher precedence). If a probe was not assigned to any of these 468 special regions, it was labelled by default as Intergenic. A contingency table was built 469 for each of the subsets, partitioning the whole set of probes according to membership to 470 a given category and the subset of interest. A Pearson's  $\chi^2$  test was used to determine 471 whether there was any significant change in proportion between the number of probes 472 marked as belonging to a given region inside and outside the subset of interest. A 473 significance level of 0.05 was employed, and effect size measured by OR.

474

#### 475 *CGI status analysis*

476 Similar to the genomic region analysis, probes were labelled according to their relative 477 position to CpG-islands (CGIs), the locations of which were obtained from the

478 R/Bioconductor package FDb.InfiniumMethylation.hg19 (package version 2.2.0). The 479 generation procedure of these CGIs is described by [53], i.e. 'CpG shores' were defined 480 as the 2kbp regions flanking a CGI. 'CpG shelves' were defined as the 2kbp regions 481 either upstream of or downstream from each CpG shore. Probes not belonging to any of 482 the regions thus far mentioned were assigned to the special category 'non-CGI' with 483 each probe being assigned to only one of the categories. A 4x2 contingency table was 484 constructed for each subset of probes in order to study the association between the given 485 subset and the different CGI categories. A  $\chi 2$  test was used to determine whether any of 486 the categories had a significant association with the given subset. For each of the CGI 487 status levels, a 2x2 contingency table was defined and another  $\chi^2$  test used to independently evaluate the association of the given subset with each status level, a 488 489 significance level of 0.05 being employed for all tests. Effect size was reported as the 490 OR for each of the individual tests.

491

# 492 Analysis of CpG density

For each of the probes in the HumanMethylation450 microarray, CpG density was measured as the number of CG 2-mers present divided by the number which would be theoretically possible in a 2kbp window with the CpG under study at its centre. A Wilcoxon non-parametric test was used to determine if any significant difference existed between the CpG density of each subset of interest and that of the array probes in the background. A significance level of 0.05 was employed for all tests. Effect size was measured using Cliff's Delta (D).

500

# 501 Gap distance analysis

502 Distance to both the centromere and telomere was measured for each of the probes in 503 the HumanMethylation450 microarray. In order to find significant differences between 504 the probes within the subset of interest and those in the background, a Wilcoxon non-505 parametric test was used. Once again, a significance level of 0.05 was employed for all 506 tests, and Cliff's Delta (D) was used as a measure of effect size.

507

#### 508 Microarray background correction

509 Although it is sometimes referred to as a genome-wide solution, the 510 HumanMethylation450 BeadChip only covers a fraction of the entire genome. In its 511 27K predecessor, the probes were mainly located at gene promoter regions, while the newer HumanMethylation450 BeadChip additionally includes probes located insidegenes and in intergenic regions [4].

514 The irregular distribution of probes can however lead to unwanted biases when studying 515 whether a selected subset of probes is enriched with respect to any functional or clinical 516 mark. For this reason, here a reference to the background distribution of features was 517 included in all statistical tests performed in order to prevent our conclusions from being 518 driven by the irregular distribution of probes. In qualitative tests (CGI status, genomic 519 region, or histone mark enrichment), the contingency matrix was built to represent the 520 background distribution of the microarray. In quantitative tests (CpG density, distance 521 to centromeres and telomeres) the corresponding metric was compared between the 522 subset of interest and the remaining probes in the microarray. Thus, any significant 523 result would indicate a departure from the fixed background distribution and ignore any 524 bias inherent in the test.

525

#### 526 *Gene ontology analysis and annotation*

527 Probe sets were converted to gene sets by using the annotation information from the 528 R/Bioconductor package TxDb.Hsapiens.UCSC.hg19.knownGene (version 3.1.2). A 529 probe was assigned to a gene if the probe was contained within the overlap of all the 530 genomic regions represented by the different transcripts belonging to that gene, or in a 531 2kbp region upstream of the corresponding TSS. Probes converted this way can be 532 assigned to one or more genes, or to zero (i.e. intergenic probes).

After gene conversion, each subset of interest was analyzed using the HOMER software tool [18]. The software was configured to use the whole set of genes represented in the HumanMethylation450 architecture as a background. HOMER tested the genes in each subset of interest against 21 different databases, including the Gene Ontology (GO) Biological Process, Molecular Function and Cellular Component ontologies, as well as KEGG and Reactome pathway databases, among many others.

539

# 540 *Circular visualization and track smoothing*

In order to plot the CpG and histone peak information on the circular genome-wide and example graphs, smoothing was applied to the data. CpG enrichment information for canonical and non-canonical hypermethylation was generated by partitioning the genome into intervals of 10kbp and assigning to each a score corresponding to the average coverage of the selected CpGs in the interval.

546

# 547 Whole-genome bisulfite sequencing (WGBS) datasets

548 Supplementary data referenced in [42] was used as a validation dataset in glioblastoma. 549 Previously processed data in the form of quantified methylation for each CpG measured 550 in both strands of the genome was downloaded and filtered. Only methylation measures 551 from CpGs having a total read count higher than 10 were retained.

The resulting dataset comprised only two samples (normal and tumoral), so a descriptive strategy was used to distinguish the different types of probes according to their methylation status. Hydroxymethylated probes were identified as those having a 555 5hmC measure higher than 0.1. Differentially methylated probes were defined as those having an absolute difference in their methylation values, between the control and 557 tumor samples, higher than a given threshold (0.2 for 5mC and 0.1 for 5hmC).

558 The validation datasets may contain either one or two methylation measures for each 559 CpG in the genome as they measure methylation in both strands. Strand-agnostic CpG 560 regions representing the CpG dinucleotides with at least one measure were defined in 561 order to compute the degree of intersection between the WGBS and methylation arrays 562 results.

563

# 564 TCGA expression datasets

565 In order to analyze changes in gene expression, samples of glioblastoma multiforme 566 (GBM) were selected from among the data generated by the TCGA Research Network 567 (http://cancergenome.nih.gov). Expression Level-3 pre-processed data was obtained for 568 572 GBM samples (10 controls and 562 tumors). The moderated t-test approach in the 569 R/Bioconductor package *limma* was used to assess the differential expression status of 570 each gene in the TCGA datasets. The normalized expression ratio in the TCGA datasets 571 was used as the response variable, and the sample group (normal/tumoral) as the 572 covariate of interest. No adjustment for possible confounders was performed in this 573 case. An FDR threshold of 0.001 was used to correct for multiple hypotheses. No 574 filtering on effect size was applied in this case.

575

### 576 Data analysis workflow

577 All the necessary steps for upstream and downstream analyses were defined and 578 implemented using the Snakemake tool [26], which helps data scientists to generate a

- 579 reproducible and inherently parallel processing pipeline. Individual workflow tasks
- 580 were implemented in R (version 3.2.2) and Python (version 3.4.3).

581

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- 599 **Competing interests:** The authors declare that no competing interests exist.
- 600

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# 805 Figure legends

806

#### 807 Figure 1. 5mC and 5hmC levels at repetitive DNA sequences in glioma and CRC.

5mC (A) and 5hmC (B) values of several repetitive regions (AluYb8, LINE-1, NBL-2, and Sat-alpha) measured by bisulfite pyrosequencing in controls and glioma (left panels) and CRC (right panels). Individual CpG site values for each repeat are displayed. P-values are shown.

812

813 Figure 2. Characterization of DNA 5hmC in normal brain and colon samples. (A) 814 Box plots showing differences between average Beta values of 5mC+5hmC (BS) and 815 true 5mC (OxBS) in both normal brain and colon. On the right are Hilbert curves 816 showing the amount and genomic distribution of 5hmC in brain and colon. (B) 817 Associations between 5hmC and CpG density. (C) Distribution of 5hmC CpG sites 818 relative to CpG island status and compared to the array background (450K). (D) 819 Distribution of 5hmC CpG sites relative to different genomic regions. (E) Heatmaps 820 showing significant enrichment of the 5hmC CpG sites, identified in brain and colon, 821 with different histone marks contained in the UCSC Browser Broad Histone track from 822 the ENCODE project. Color code indicates the significant enrichment based on log2 823 odds ratio (OR).

824

825 Figure 3. Alterations of 5hmC in CRC and glioma. (A) Bar plot showing the number 826 of dh5mC sites in CRC and glioma. (B) Unsupervised hierarchical clustering and 827 heatmap including CpG sites with 5hmC loss in glioma. (C) Associations between 828 5hmC loss in glioma and density of CpGs (upper panel), CpG island status (middle 829 panel), and different genomic regions (lower panel). (D) Heatmaps showing significant 830 enrichment of hypo 5hmC CpGs identified in glioma with different histone marks 831 contained in the UCSC Browser Broad Histone track from the ENCODE project. (E) 832 Heatmaps showing significant enrichment of hypo 5hmC CpGs in gliomas with fifteen 833 "chromatin states" generated by a Hidden Markov Model (HMM) (right panel). Color 834 codes indicate the significant enrichment based on log2 odds ratio (OR).

835

Figure 4. Relationships between changes in 5mc and 5hmc in glioma. (A) Euler
diagram illustrating overlap of CpGs that lose 5hmC (hypo 5hmC) and gain 5mC (hyper
5mC) in glioma. (B) Associations between hypermethylated CpG sites that lose (or not)
5hmC and CpG density and CpG island status, compared to the array background

840 (450K). (C) Unsupervised hierarchical clustering and heatmap including CpG sites with

- 841 5mC changes (hyper- and hypomethylation) in glioma. Hypo- (purple) and non-hypo
- 842 (orange) 5hmC overlapped CpGs are indicated by colored lines on the annexed track.
- 843 Average beta methylation values are displayed from 0 (blue) to 1 (yellow).
- 844

845 Figure 5. Canonical and non-canonical hypermethylation in glioma. (A) Heatmaps 846 showing significant enrichment of CpG sites in glioma which exclusively gain 5mC 847 (canonical hypermethylation) (upper panel), and both lose 5hmC and gain 5mC (non-848 canonical hypermethylation) (lower panel), with different histone marks contained in 849 the UCSC Browser Broad Histone track from the ENCODE project. Histone PTMs 850 related to activation and repression are distinguished by colors as indicated in the key. 851 (B) Circular representation of two representative chromosomes (12 and 17), indicating 852 genomic location of canonical (orange) and non-canonical (purple) hypermethylation in 853 glioma. Inner tracks display chromatin marks (H3K9me3, H3K27me3, and H3K4me2), 854 generated for NH-A cells. Two examples of genes showing canonical and non-canonical hypermethylation associated with specific chromatin signatures are displayed below. 855

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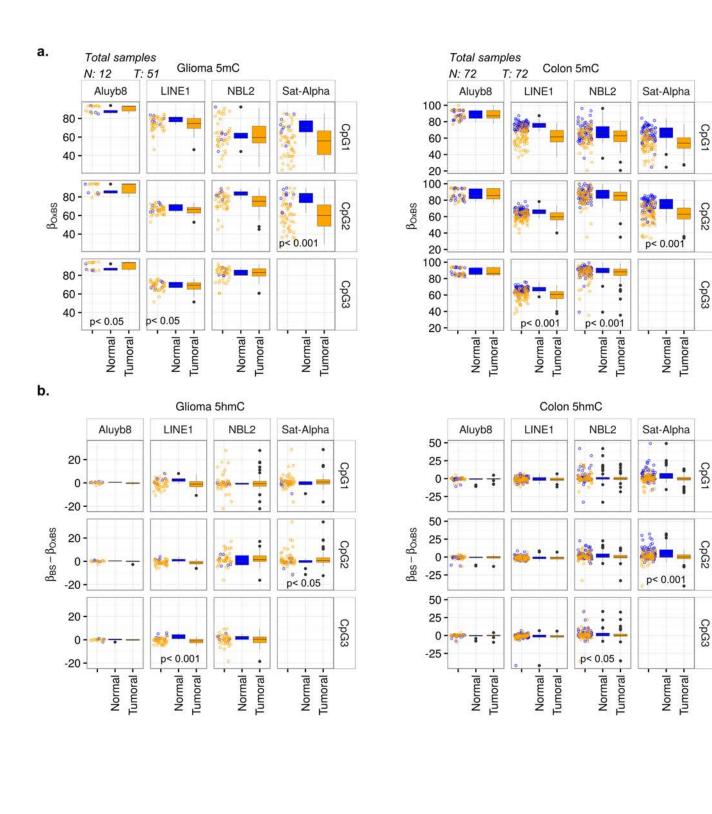
857 Figure 6. Functional role of canonical and non-canonical hypermethylation in 858 glioma. (A) Euler diagrams showing number of genes associated with canonical 859 hypermethylation, non-canonical hypermethylation, or both. On the right are 860 representative gene ontology terms (Biological process) of genes associated with 861 canonical (orange) and non-canonical (purple) hypermethylation, ranked by Q-value, 862 and enrichment score (relative risk). (B) Euler diagram showing overlap of canonical 863 and non-canonical hypermethylated genes with down-regulation. (C) Associations of 864 canonical and non-canonical hypermethylation in glioma with different genomic 865 regions. (D) Representative example of one gene (SLC1A4) showing non-canonical 866 hypermethylation in glioma (orange frame). Organization of the gene, locations of 867 CpGs included in the methylation array (black dots), and transcription start site (TSS) 868 are shown below. 5mC hypermethylation (blue to yellow) and 5hmC loss (gray to blue) 869 in glioma are shown above. Whole genome bisulfite sequencing (WGBS) data [42] 870 including all the CpG sites in the same region are shown on the right. The associated 871 change in gene expression is displayed below.

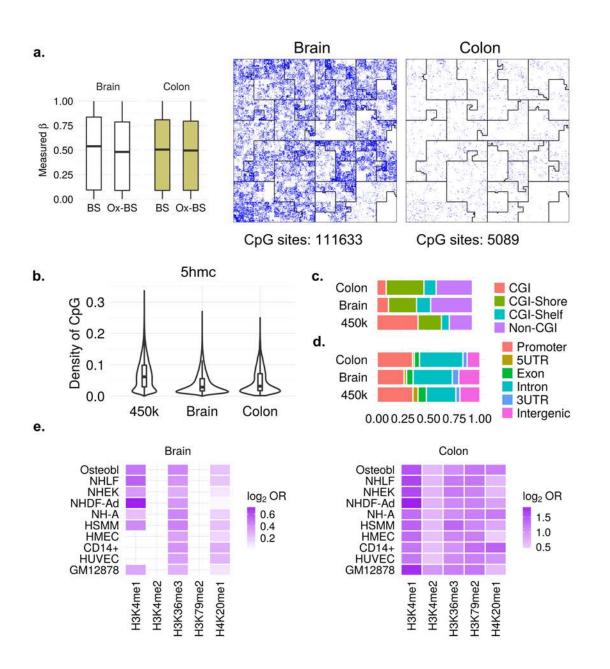
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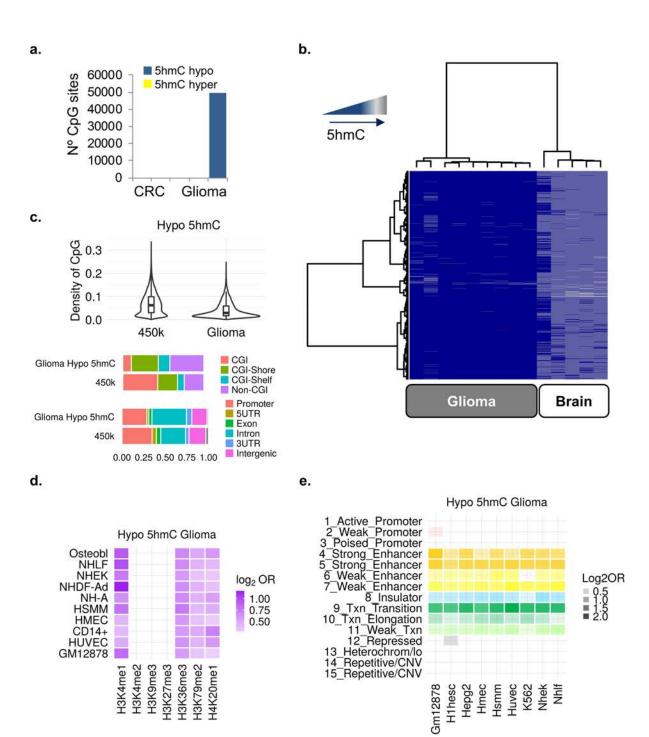
#### 873 Supplementary files

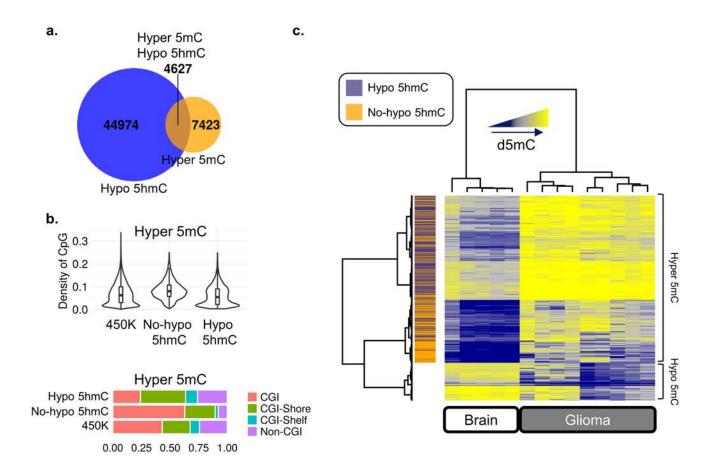
874 Supplementary Tables 1-12

875 Supplementary figures 1-4

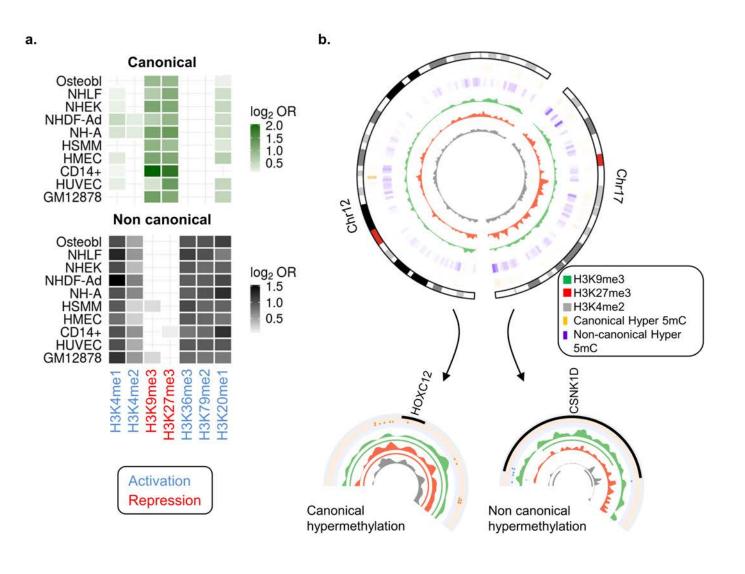


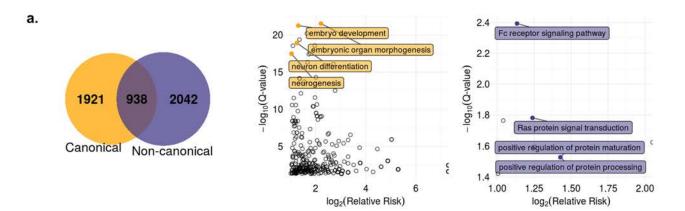






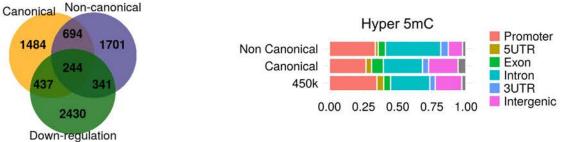
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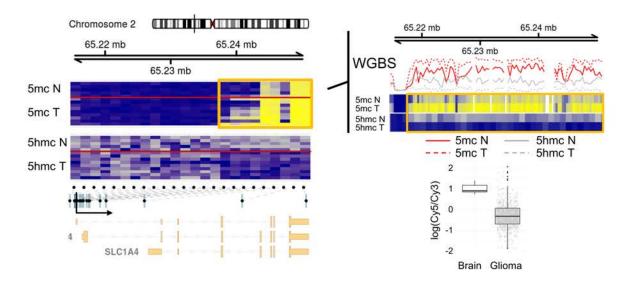


b.

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d.



# Figure 4-figure supplement 1

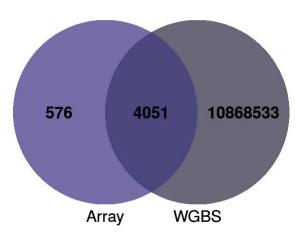
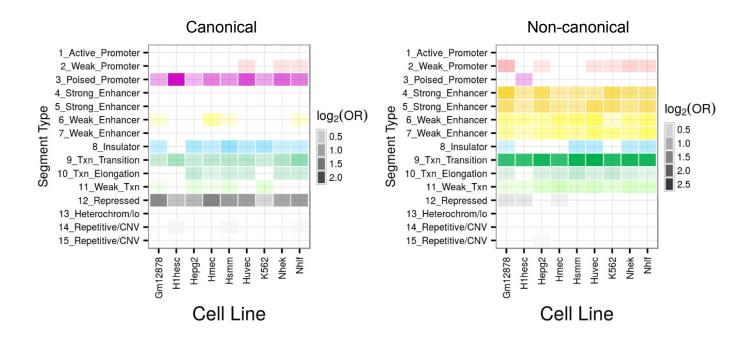


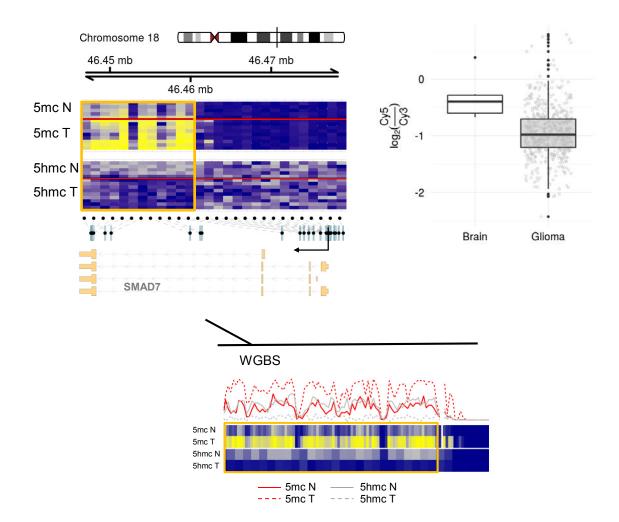
Figure 4-figure supplement 1. Venn diagram showing the overlap of hyper5mC-hypo5hmC sites in glioma obtained by methylation arrays and whole genome bisulfite sequencing (WGBS).

#### Figure 5-figure supplement 1



**Figure 5-figure supplement 1. Canonical and non-canonical hypermethylation in glioma**. Heatmaps showing significant enrichment of canonical (left panel) and non-canonical (right panel) hypermethylated CpG sites with fifteen "chromatin states" generated by a Hidden Markov Model (HMM). Colour codes indicate the significant enrichment based on log<sub>2</sub> odds ratio (OR).

## Figure 6-figure supplement 1



**Figure 6-figure supplement 1. Functional role of non-canonical hypermethylation in glioma.** Representative example of one gene (*SMAD7*) showing non-canonical hypermethylation in glioma (orange frame). Organization of the gene, location of CpGs included in the methylation array (black dots), and transcription start site (TSS) are shown below. 5mC hypermethylation (blue to yellow) and 5hmC loss (gray to blue) in gliomas are shown above. Lower panel shows the full genome bisulfite sequencing (WGBS) data including all the CpG sites in the same region. The associated change in gene expression is shown on the right.

#### Figure 2-figure supplement 1

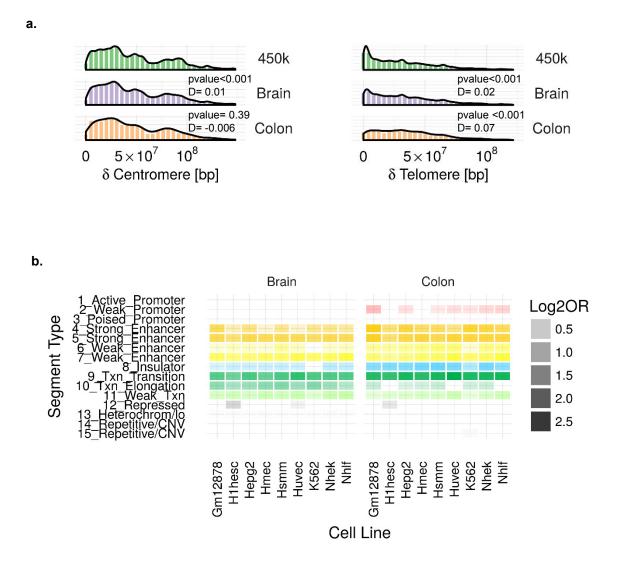


Figure 2-figure supplement 1. Characterization of DNA 5hmC in normal brain and colon samples. (a) Histograms and density plots showing the associations between 5hmC CpGs and distance to centromere (left) and telomeres (right). (b) Heatmaps showing significant enrichment of 5hmC CpG sites with fifteen "chromatin states" generated by a Hidden Markov Model (HMM). Colour codes indicate the significant enrichment based on  $log_2$  odds ratio (OR).