

**Plasma amyloid β levels are driven by genetic variants near *APOE*, *BACE1*,
APP, *PSEN2*: A genome-wide association study in over 12,000 non-demented
participants**

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Abstract

INTRODUCTION: There is increasing interest in plasma A β as an endophenotype and biomarker of Alzheimer's disease (AD). Identifying the genetic determinants of plasma A β levels may elucidate important processes that determine plasma A β measures.

METHODS: We included 12,369 non-demented participants derived from eight population-based studies. Imputed genetic data and plasma A β 1-40, A β 1-42 levels and A β 1-42/A β 1-40 ratio were used to perform genome-wide association studies, gene-based and pathway analyses. Significant variants and genes were followed-up for the association with PET A β deposition and AD risk.

RESULTS: Single-variant analysis identified associations across *APOE* for A β 1-42 and A β 1-42/A β 1-40 ratio, and *BACE1* for A β 1-40. Gene-based analysis of A β 1-40 additionally identified associations for *APP*, *PSEN2*, *CCK* and *ZNF397*. There was suggestive interaction between a *BACE1* variant and *APOE* ϵ 4 on brain A β deposition.

DISCUSSION: Identification of variants near/in known major A β -processing genes strengthens the relevance of plasma-A β levels both as an endophenotype and a biomarker of AD.

Keywords

Plasma amyloid β levels; genetic epidemiology; genome-wide association study; *APOE*; *BACE1*; *APP*; *PSEN2*; Alzheimer's disease; preclinical biomarkers; plasma biomarkers

1. Introduction

A β deposition is one of the hallmarks of Alzheimer's disease (AD). Amyloid β (A β) peptides are the products of the catalytic processing of the A β precursor protein (APP) by the β -secretase, BACE1 and the γ -secretase complex [1]. A β peptides are able to self-assemble in soluble A β oligomers but also in insoluble fibrils that can aggregate as plaques in the brain parenchyma or in the wall of blood vessels where they constitute defining hallmarks of Alzheimer's disease (AD) [2] and cerebral amyloid angiopathy (CAA), which is seen in many patients [3]. A β peptides are mainly produced in the brain where *APP* and *BACE1* are both highly expressed [1], but also in circulating blood platelets [4], in the pancreas [5] and the kidney [6].

There is strong evidence pointing toward a central role of A β peptides in the pathophysiology of AD [7]. Studies have shown that a large variety of rare mutations in genes involved in A β production, including *APP*, *PSEN1* and *PSEN2*, lead to autosomal dominant early-onset forms of AD and to lobar hemorrhage from cerebral amyloid angiopathy [8]. Moreover, apolipoprotein E (*APOE*) ϵ 4, the major genetic risk factor for AD in the general population [9], has been implicated in A β aggregation, deposition and clearance, both in brain and in blood vessels [7, 10]. Although for long the A β –pathway did not emerge in our genome-wide association studies (GWAS) of AD [11], our most recent GWAS study highlighted A β –processing pathway and APP catabolic process pathway in late-onset Alzheimer's disease (LOAD) [12]. We and others have also explored the genetics of A β through genome-wide association studies (GWAS) on quantitative measures of A β peptides, in the cerebrospinal fluid (CSF) or brain, through Pittsburgh Compound B (PiB) positron emission tomography (PET) scan or autopsy [13-17].

Combining the effect of AD genetic loci in a genetic risk score shows that the combined AD genes are statistically significantly related to CSF A β 42 [17].

Although A β can be assessed in CSF and brain (PiB PET), these are of limited use for clinical and epidemiological studies in the population, either because of lower compliance (CSF) or higher costs (PiB PET). There is increasing interest in A β metabolism in blood. A β peptides produced in the brain can be degraded locally or transported into the CSF and the blood stream where they can be detected [18]. Although the brain-derived A β peptides in the circulation cannot be distinguished from A β derived from blood platelets, kidney or pancreas, a recent study using immunoprecipitation coupled with mass spectrometry to measure plasma A β 1-40/A β 1-42 and APP/A β 1-42 ratios was able to accurately predict individual brain amyloid- β -positive or -negative status [19]. Also, studies assessing A β 1-40 and A β 1-42 using immunoassays show that these can predict A β status in the brain as assessed by PiB PET [20] and that changes in the blood and plasma occur simultaneously [21].

In our studies, we have also shown that plasma A β concentrations are prospectively associated with the future risk of developing AD [22-25]. Despite the fact that we have used less sensitive techniques to measure plasma A β levels, we found modest but significant correlation with amyloid burden in the CSF and in the brain [26, 27]. Identifying the genetic determinants of plasma A β levels may elucidate important processes that determine plasma A β measures. With this goal, we previously conducted a GWAS meta-analysis of plasma A β levels in 3,528 non-demented participants, but failed to find genome-wide significant associations [28], indicating a lack of power. As the more sensitive measures are not yet available in large samples with genome wide-genetic data we therefore aimed to increase the studied sample size of our previous work.

The present study is a GWAS meta-analysis of plasma A β levels in over twelve thousands individuals aiming to elucidate processes that determine plasma beta amyloid.

2. Methods

2.1. Study populations

We included data from 12,369 European-descent participants from eight studies, the Framingham Heart Study (FHS; n=6,735), the Rotterdam study (RS, n=1,958), the Three City Study (3C; n=1,954), the Atherosclerosis Risk in Communities Study (ARIC; n=830), the Washington Heights-Inwood Community Aging Project (WHICAP; n=193), the Epidemiological Prevention study Zoetermeer (EPOZ; n=397), the Alzheimer's Disease Neuroimaging Initiative (ADNI; n=173) and the Erasmus Rucphen Family Study (ERF; n=129). In each study, we excluded participants with prevalent dementia at the time of blood sampling used for plasma A β assessment (see Supplementary Materials and Methods 1 for a detailed description of each study).

2.2. Plasma A β assessment

Each study used different protocols for blood sampling, plasma extraction and storage and plasma A β assessment that have been detailed in previous publications [22, 23, 25, 29-31]. In the FHS, Rotterdam and 3C Study, plasma A β levels were measured at different times because of cost considerations. Various assays were used to quantify plasma A β 1-40 and A β 1-42 levels (see Supplementary Materials and Methods 2 for a detailed description of the protocols used in each study and Supplementary Table 1 for baseline characteristics of the study populations).

2.3. Genotyping

Each study used different genotyping platforms as previously published [11]. After applying pre-imputation variant and sample filters, genotypes were imputed using the 1000 Genomes phase 1 version 3 (all ethnicities) imputation panel and various imputation pipelines (see Supplementary Methods 3). *APOE* genotyping was performed as part of protocols specific to each study (see Supplementary Methods 4).

2.4. Statistical analyses

2.4.1. Plasma A β levels

Plasma A β levels were expressed as pg/mL. In each study and for each A β dosage, we excluded values that were over or below 4 standard deviations around the mean. To study the variations of plasma A β levels in a consistent way across studies, we performed a ranked-based inverse normal transformation of plasma A β levels in each study. If they were significantly associated with plasma A β levels, this transformation was performed after adjusting for batch effect and other technical artifacts.

2.4.2. Genome-wide association studies

Each study performed genome-wide association studies of plasma A β 1-40 and A β 1-42 levels and A β 1-42/A β 1-40 ratio using 1000 Genomes imputed data. According to the imputation pipelines used, genetic information was available either as allele dosages or genotype probabilities. In each study, we excluded results from variants that had low imputation quality (r^2 or info score < 0.3), variants with low frequency (minor allele frequency < 0.005 or minor allele count < 7) and variants that were available in small number of participant ($n < 30$). Association of

genetic variations with plasma A β levels were assessed in linear regression models adjusted for sex and age at blood collection. If significantly associated with plasma A β levels, principal components were added in the models to account for population structure.

2.4.3. Genome-wide meta-analysis

Before meta-analysis, we applied a series of filters and quality check that were previously published (see Supplementary Figures 1 and 2) [32]. We performed an inverse variance weighted genome-wide meta-analysis, accounting for genomic inflation factors using the METAL software [33]. Finally, we retained variants that had been meta-analyzed at least in the 3 largest available populations (FHS, RS and 3C). Statistical significance was defined as a p-value below 5×10^{-8} . Signals with p-values between 1×10^{-5} and 5×10^{-8} were considered suggestive. Additional graphs and analyses were done using R v3.6.1. To confirm the *APOE* signal we obtained in our genome-wide meta-analysis, we reran our analysis using genotyped *APOE* $\epsilon 4$ and *APOE* $\epsilon 2$ status, adjusting for age and sex.

2.4.4. Gene-based and pathway analyses

We tested aggregated effects of SNPs located within genes using Multi-marker Analysis of GenoMic Annotation (MAGMA) v1.07 tool [34]. For each dosage, a total of 18,089 genes were tested, resulting in a significance threshold of 2.76×10^{-6} . Pathway analyses were also performed with MAGMA v1.07 [34]. The following gene sets were used: GO (biological process, cellular component and molecular function, KEGG, Biocarta and Reactome). Pathway p-values were corrected for multiple testing using the FDR method.

2.4.5. Association analyses with A β brain deposition

We related allelic variation at the SNP of interest with a standard measure of amyloid burden in the brain on Positron Emission Tomography (PET) imaging [35] in 193 Framingham Heart Study (FHS) participants [36] (see Supplementary Materials and Methods 5 for a detailed description of the protocols used). As a pre-specified hypothesis, we examined this association separately for persons with at least one *APOE* $\epsilon 4$ allele and those without. We report the odds ratio of having a positive amyloid scan associated with having a single copy of the allele of interest, using additive genetic models adjusted for age and sex.

2.4.6. Association with AD

For significant variants and genes, we checked for association with AD. Summary statistics from the most recent genetic meta-analyses of AD were used [12, 37].

3. Results

3.1. Genome-wide significant variants associated with plasma A β levels

After meta-analysis, we identified 21 variants reaching genome-wide significance across two loci (Supplementary Figures 3 to 8).

The first locus was located on chromosome 19, in the *APOE* gene, with significant associations with plasma A β 1-42 levels and plasma A β 1-42/A β 1-40 ratio (Figures 1 and 2). For both associations, the most significant variant was rs429358 with p-values of 9.01×10^{-13} and 6.46×10^{-20} for A β 1-42 levels and A β 1-42/A β 1-40 ratio, respectively (Table 1). The minor allele of this variant, which denotes *APOE* $\epsilon 4$, was associated with lower plasma A β 1-42 levels (effect size=-0.167 standard deviations (SD); 95% confidence interval (CI)=[-0.212 ; -0.121]) and lower plasma A β 1-42/A β 1-40 ratio (effect size=-0.212 SD; 95% CI=[-0.257 ; -0.121]; Table 1 and

Supplementary Figure 9). We confirmed these associations using the directly genotyped *APOE* $\epsilon 4$ status (Supplementary Figure 10).

The second genome-wide significant locus was an intronic variant in the *RNF214* gene. The function on *RNF214* is largely unknown. The gene is located on chromosome 11, near the *BACE1* gene. *BACE1* encodes the β -secretase and is involved in the initial, $A\beta$ -producing step of APP processing (Figure 3). For the most significant variant, rs650585, the minor allele was associated with lower plasma $A\beta 1-40$ levels (effect size=-0.073 SD; 95% CI=[-0.099; -0.047]; p-value= 2.56×10^{-8} ; Table 1 and Supplementary Figure 9). This variant is in LD ($R^2=0.75$, 1000 Genomes phase 3) with a *BACE1* synonymous variant, rs638405, which was also associated with plasma $A\beta 1-40$ levels (effect size=-0.071 SD, p-value= 1.21×10^{-7}).

3.2. Gene and pathway-based analyses of plasma $A\beta$ levels

Next, we performed gene-based tests (Table 2, Supplementary Figures 6-8). We again observed the *APOE*, *RNF214* and *BACE1* genes ($p=3.87 \times 10^{-13}$, $p=2.33 \times 10^{-7}$ and $p=3.2 \times 10^{-9}$, respectively), for which we had identified genome-wide significant single variant associations. Next to these genes, four genes showed gene-wide significant signals ($p < 2.76 \times 10^{-6}$). We found that the *APP* and *PSEN2* genes were associated with plasma $A\beta 1-40$ levels ($p=1.67 \times 10^{-7}$ and $p=2.63 \times 10^{-6}$, respectively). Interestingly, at the SNP level, there were two peaks reaching suggestive evidence for association with $A\beta 1-40$ levels in *APP* gene (Supplementary Figure 11), probably explaining its strong association at the gene level. The two other genes were *CCK*, associated with plasma $A\beta 1-40$ levels ($p=2.63 \times 10^{-6}$), and *ZNF397* associated with plasma $A\beta 1-42/1-40$ ratio ($p=2.27 \times 10^{-6}$). The formal pathway analyses did not yield any significant results (Supplementary Tables 2-4).

3.3. Association of the *BACE1* locus with PET $A\beta$ deposition

We tested the association of the top hit rs650585 from the *BACE1* locus (see above) with A β deposition in the brain from subsets of the FHS population. We found an association of rs650585 with an increase of deposition in FHS-Gen3 only among *APOE* ϵ 4 positive individuals ($p = 0.02$) (Supplementary Table 5).

3.4. Variants associated with plasma amyloid associate with the risk of AD

The *APOE* ϵ 4 allele is known to be associated with a higher risk of AD [38]. We did not find significant evidence for association between genotyped *APOE* ϵ 2 and circulating A β peptides levels, despite the protective effect of this variant on the risk of AD (Supplementary Figure 10).

A significant association of *APP* gene with AD ($p=8.42 \times 10^{-7}$) was reported [37]. Interestingly, one of the two peaks in *APP* reported as suggestively associated with A β 1-40 levels (Supplementary Figure 11) was also associated with AD, whereas the second peak was not (Supplementary Figure 12) [37]. Nominal significant associations of *RNF214* ($p=4.8 \times 10^{-5}$) and *BACE1* ($p=1.1 \times 10^{-3}$) with AD were reported while *PSEN2* was close to nominal association ($p=5.1 \times 10^{-2}$) [37].

4. Discussion

Plasma A β 1-40 and A β 1-42 levels are increasingly of interest as biomarkers for AD. To uncover the important molecular processes underlying plasma A β we performed GWAS of plasma A β measured in 12,369 non-demented subjects. Despite that we did not use the recently developed specific immune-assays we uncovered that plasma A β is influenced by variants in and near *APOE*, *BACE1*, *PSEN2* and *APP*. These four genes code for known key proteins involved in A β processing. We also identified additional signals for the genes *CCK* and *ZNF397*. The variant near *BACE1* seemed also to be associated with A β in the brain as measured by PET imaging and

were also associated with the risk of AD. In summary, plasma A β can be used to disentangle its molecular background.

The *BACE1* region encompasses several genes (*PCSK7*, *RNF214*, *BACE1*, *CEP164*) and a *BACE1* anti-sense long non-coding RNA (*BACE1-AS*). Although the top variant in the GWAS is located in an intron of *RNF214*, the gene-based analyses shows a significant association to *BACE1* that is more significant than the gene based test of *RNF214*. Since the β -secretase activity of *BACE1* is necessary for A β peptide production, it is likely that *BACE1* or a local regulation of *BACE1* expression are responsible for this signal. We also found gene-wide significant associations with plasma A β 1-40 levels in *APP* and *PSEN2*, two major actors of the A β metabolism. *APP* is obviously a central element of its own metabolism and *PSEN2* is a key component of the γ -secretase which processes the *APP* C99 fragment into A β peptides [1]. The top variants at the *PSEN2* and *BACE1* loci were also nominally associated with A β 1-42 levels in the same direction as A β 1-40 levels, which is in agreement with knowledge that *PSEN2* and *BACE1* activities indifferently produce A β 40 and A β 42 peptides. Conversely, the *APOE* ϵ 4 allele had the strongest association with A β 1-42 levels but was not even nominally associated with A β 1-40. This suggests that the *APOE* ϵ 4 isoform is not involved in the early process of A β peptide production but in more downstream events, such as A β aggregation or clearance. These results might also illustrate the greater ability to aggregate of A β 1-42 peptides compared to A β 1-40, and the influence of *APOE* isoforms in the regulation of this process [10]. Interestingly, associations of *APOE* ϵ 2 with plasma A β levels were not significant and effect sizes were very small. Contrary to *APOE* ϵ 4, the effect of *APOE* ϵ 2 on amyloid markers has been much less studied and seems to be focused on specific brain regions, which could explain why we could not

detect any association [39]. This could also suggest that other, $A\beta$ -independent, mechanisms are involved in the lower risk of AD observed in *APOE* $\epsilon 2$ carriers [40].

The *CCK* gene is located in a region that was reported in a GWAS on neurofibrillary tangle [16]. Cholecystokinin (CCK) is a neuropeptide and gut hormone that regulates pancreatic enzyme secretion and gastrointestinal motility, and acts as a satiety signal. It is released simultaneously from intestinal cells and neurons in response to a meal. A sulfated form of cholecystokinin-8 may modulate neuronal activity in the brain [41]. The protein is located in axons, dendrites and the neuronal cell body and is involved in gastrin signaling and insulin secretion but also in neuron migration.

ZNF397 gene encodes a protein with a N-terminal SCAN domain, and the longer isoform contains nine C2H2-type zinc finger repeats in the C-terminal domain. The protein localizes to centromeres during interphase and early prophase, and different isoforms can repress or activate transcription in transfection studies. Interestingly, the SNP rs509477, suggestively associated with CSF $A\beta 1-42$ in a small association study [42], is located in an enhancer of *ZNF397* (Genecards: GH18J034976), acting in hippocampus middle, anterior caudate and cingulate gyrus brain regions [43]. However, this SNP was not associated with any of $A\beta$ levels or ratio in our study.

Our analysis shows associations of plasma $A\beta$ levels mainly with genes that are known for long to be involved in AD (*APOE*, *APP*, *PSEN2*), are nominally associated to AD or are expressed in brain regions. Although we cannot prove the origin, this suggests that $A\beta$ peptides measured in the blood circulation probably originate from the brain rather than from the pancreas or the kidney. This perfectly fits with recent observations showing correlation of $A\beta$ levels in blood with its levels in CSF as well as with its deposition in brain as assessed by PET imaging [19, 44].

For long, plasma A β is usually considered as a poor biomarker of AD in the literature. A previous meta-analysis reported that plasma A β levels were not useful to make a clinical diagnosis of AD [45]. Many of the cohorts participating in the present study have previously reported that low plasma A β ₄₂ and A β _{42/40} ratio levels were associated with development of AD after several years of follow-up [22-25]. The results of the present study are consistent with the hypothesis that A β in blood is predictive of AD pathophysiology and this view is strengthened by our present observation that *APOE* ϵ 4, is both associated with low plasma A β ₄₂ and A β _{42/40} ratio and high AD risk. Some of those studies have also reported that this association remained significant after adjusting for *APOE* ϵ 4 [25], suggesting that variations of plasma A β levels are not only an endophenotype of A β , but are also involved in AD pathophysiology. As such, plasma A β levels would not be only useful as a biomarker of an active amyloid metabolism in the brain but could also be considered as a biomarker for preventive interventions. In this light there are intriguing reports that hemodialysis or peritoneal dialysis are able to lower A β in the brain [46, 47]. Further, the association we observed between variants near *BACE1* and plasma A β ₄₀ is also of interest in the light of the ongoing trials testing BACE inhibitors, even though the lack of association of these variants with AD risk should be further investigated [48].

Our study has several strengths. First, it is, to date, the largest study of circulating amyloid peptides. This enabled us to identify factors of A β metabolism and we are optimistic about the relevance of the genetic signals that suggest blood levels of A β may have a clinical utility. Second, this study was conducted in non-demented participants and therefore is relevant for the study of early amyloid pathophysiological processes. Third, we carefully normalized the plasma A β data before running GWAS, thus taking into account some of the heterogeneity that has been described when using plasma A β levels.

Our study has also limitations. The state of current knowledge makes it difficult to extrapolate the role of these actors from the plasma compartments to the brain and further research in this area is needed. Second, the assays used in this study non-selectively measured A β concentrations and could not distinguish monomers from oligomers of A β , whether free or protein-bound. Therefore, our interpretation of the present results might differ from other studies in which assays used selectively measured monomers or oligomers of A β [49]. Future studies should carefully choose assays that allow measurements of each form of A β as this will facilitate interpretation with regard to the balance between A β production, aggregation and clearance.

In summary, our results indicate that genetic determinants of plasma A β 40 and A β 42 levels are close to genes known to be central actors in APP metabolism in AD. Increasing the statistical power of plasma A β analyses may potentially lead to the identification of currently unknown players in A β metabolism, novel hypotheses and hopefully, new preventive or therapeutic targets against Alzheimer's disease.

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Three City Study

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Atherosclerosis Risk in Communities Study

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Figure 1. Association of frequent genetic variants with plasma A β 1-42 in the *APOE* locus.

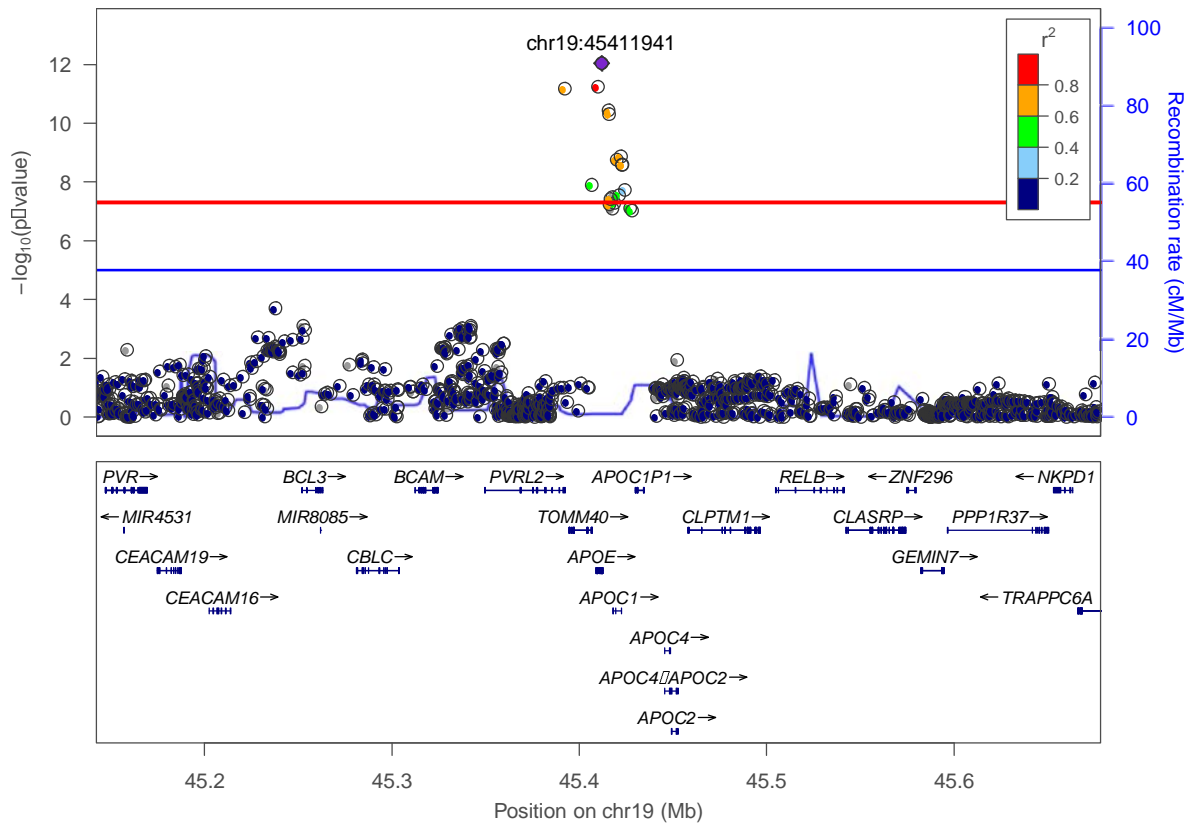


Figure 2. Association of frequent genetic variants with plasma A β 1-42/A β 1-40 ratio in the *APOE* locus.

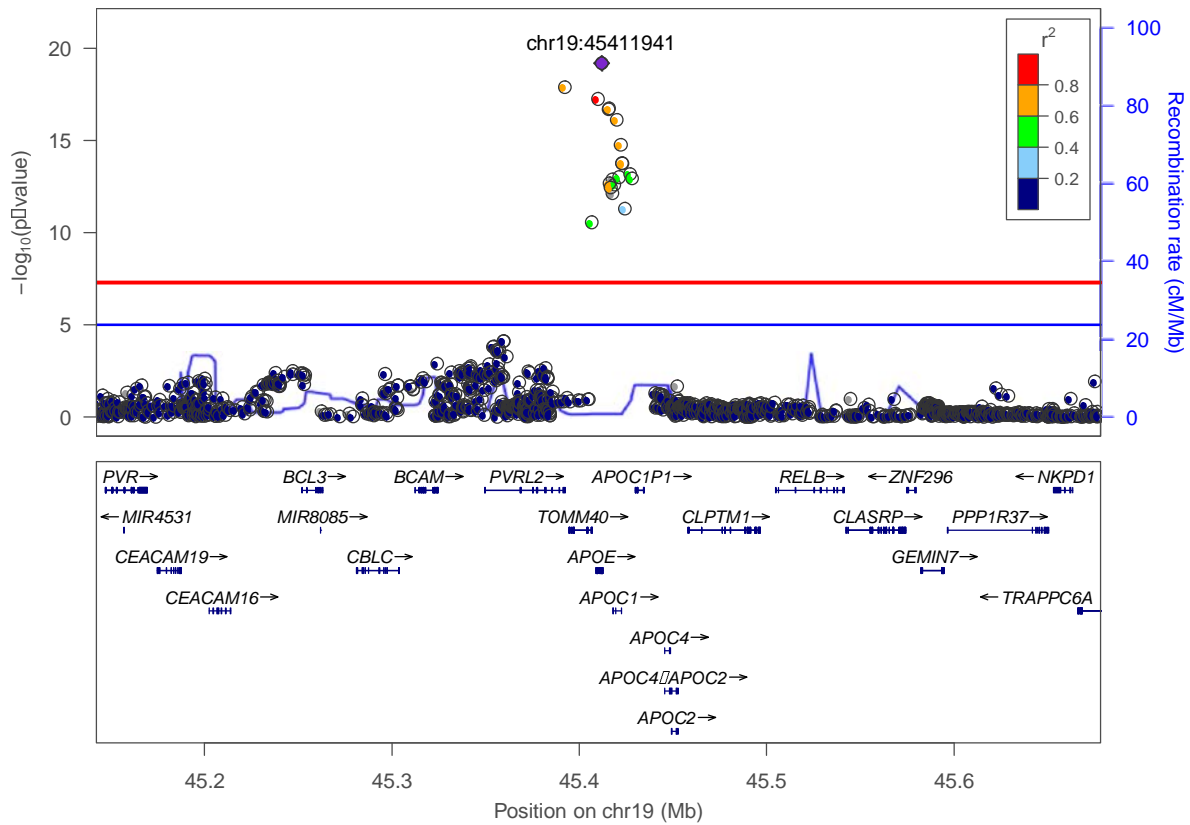


Figure 3. Association of frequent genetic variants with plasma A β 1-40 in the BACE1 locus.

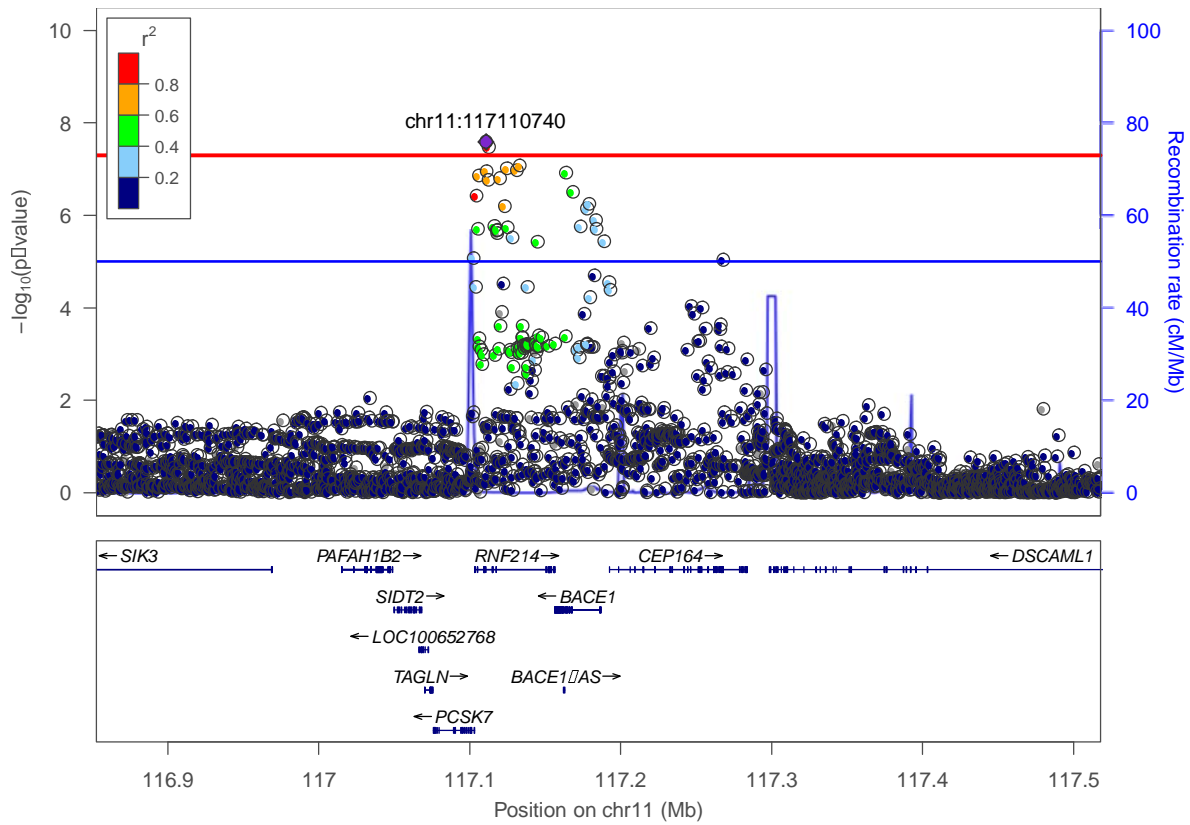


Table 1. Association of top variants from genome-wide significant loci with plasma A β levels and amyloid-related traits.

	EAF	Effect	Standard Error	P-value	I ²
rs650585 (chr11:117110740, T/C, intron; RNF214/BACE1)					
<i>Plasma</i>					
A β 1-40	41.3%	-0.073	0.013	2.56x10 ⁻⁸	3.1%
A β 1-42	41.3%	-0.035	0.013	9.57x10 ⁻³	27.8%
A β 1-42/A β 1-40 ratio	41.4%	0.033	0.013	1.39x10 ⁻²	0.0%
<i>AD risk</i> ^a	40.8%	0.033	0.015	2.30x10 ⁻²	8.3%
rs429358 (chr19:45411941, C/T, missense; APOE)					
<i>Plasma</i>					
A β 1-40	13.4%	0.023	0.023	3.11x10 ⁻¹	23.7%
A β 1-42	13.4%	-0.167	0.023	9.01x10 ⁻¹³	32.3%
A β 1-42/A β 1-40 ratio	13.4%	-0.212	0.023	6.46x10 ⁻²⁰	52.6%
<i>AD risk</i> ^a	21.6%	1.20	0.019	0.00x10 ⁺⁰⁰	72.1%

Abbreviations. EAF: effect allele frequency; AD: Alzheimer’s Disease

Notes. For plasma measures, “Effect” represents the mean variation of the standardized variable (i.e. transformed so that mean=0 and standard deviation=1). In each block, the rsID of the top SNP is followed by its GRCh37 position, Effect/Non-effect alleles, functional category and closest genes. ^a: results obtained from [Kunkle_2019]

Table 2. Associations of variants aggregated according to genes with plasma A β levels.

Gene Symbol	Chromosome	Start Position	Stop Position	N. SNPs	P-value
<i>Plasma Aβ1-40</i>					
PSEN2	1	227,057,885	227,083,806	84	2.63x10 ⁻⁶
CCK	3	42,299,317	42,307,699	20	2.63x10 ⁻⁶
RNF214	11	117,103,341	117,157,161	143	2.33x10 ⁻⁷
BACE1	11	117,156,402	117,186,975	70	3.20x10 ⁻⁹
APP	21	27,252,861	27,543,446	787	1.67x10 ⁻⁷
<i>Plasma Aβ1-42</i>					
APOE	19	45,409,011	45,412,650	2	3.14x10 ⁻¹⁰
APOC1	19	45,417,504	45,422,606	3	2.52x10 ⁻⁹
<i>Plasma Aβ1-42/Aβ1-40 ratio</i>					
ZNF397	18	32,820,994	32,847,097	48	2.27x10 ⁻⁶
APOE	19	45,409,011	45,412,650	2	3.87x10 ⁻¹³
APOC1	19	45,417,504	45,422,606	3	6.79x10 ⁻¹³

Start and stop positions are given according to GRCh37. Gene-wide significance level is computed for 18,089 genes i.e. 2.76x10⁻⁶