1 Rapid genome sequencing for outbreak analysis of the emerging human fungal

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pathogen Candida auris

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- 15
- 16 Abstract
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18 Background: Candida auris was first described in 2009, and has since caused nosocomial outbreaks, invasive

19 infections and fungaemia across 11 countries in five continents. An outbreak of *C. auris* occurred in a

- 20 specialised cardiothoracic London hospital between April 2015 and November 2016, which to date has been the 21 largest outbreak reported worldwide, involving a total of 72 patients.
- 22 Methods: To understand the epidemiology of *C. auris* infection within this hospital, we sequenced the genomes

of outbreak isolates using Oxford Nanopore Technologies and Illumina in order to type antifungal resistance
 alleles and to explore the outbreak within its local and global context.

25 **Findings:** Phylogenomic analysis placed the UK outbreak in the India/Pakistan clade, demonstrating an Asian

26 origin. The outbreak showed similar diversity to that of the entire clade and limited local spatiotemporal

27 clustering was observed. One isolate displayed resistance to both echinocandins and 5-flucytosine; the former

28 was associated with a serine to tyrosine amino acid substitution in the gene *FKS1*, and the latter was associated

with a phenylalanine to isoleucine substitution in the gene *FUR1*. These mutations are novel for this pathogen.

30 Interpretation: Multiple differential episodic selection of antifungal resistant genotypes has occurred within a

31 genetically heterogenous population across this outbreak, creating a resilient pathogen and making it difficult to

32 define local-scale patterns of transmission as well as implementing outbreak control measures.

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35 Introduction

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The emerging fungal pathogen *Candida auris* causes nosocomial invasive infections, predominantly in intensive
care units (ICU). Since its first description in 2009 in Japan (1), reports of *C. auris* infections have been
reported in several countries (2-10). *C. auris* demonstrates intrinsic multidrug resistant (MDR) phenotype (9),
by exhibiting high level resistance to fluconazole and varying susceptibility to other azole drugs, amphotericin B

by exhibiting high level resistance to fluconazole and varying susceptibility to other azole drugs, amphotericin B
 and a newly introduced class of antifungals, echinocandins (9).

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In 2016, we described the first large-scale *C. auris* outbreak (April 2015 to November 2016) occurring within a
 single specialist cardiothoracic hospital in London (6). Due to the high uncertainty as to the time and source of

45 introduction of *C. auris* into the hospital, the rapid development of a molecular epidemiological toolkit was

46 required. Outbreaks of other fungal pathogens have been previously investigated using short-read whole-

47 genome sequencing (WGS), which provided sufficient information to discriminate between isolates and their

48 phylogenetic relationships using single nucleotide polymorphism (SNP) analysis (11-13). Recently, the

 $49 \qquad \text{handheld, portable MinION sequencer, manufactured by Oxford Nanopore Technologies, UK (ONT) has made}$

50 rapid WGS widely available in the field, and has been successfully used to analyse the molecular epidemiology

51 of recent *Salmonella*, and Ebola and Zika viruses outbreaks (14-16).

53 Here, we describe the first use of the MinION nanopore sequencing technology to determine the genetic 54 epidemiology of this fungal outbreak, both within the UK hospital and also within a global context, alongside 55 reannotating the genome of C. auris and defining novel antifungal resistance alleles. 56 57 Methods 58 59 Fungal isolates 60 61 Twenty-eight C. auris isolates were studied, consisting of 26 clinical isolates from 22 patients and two isolates 62 collected from the room of a patient known to be colonised with C. auris in the ICU (Table 1). The 63 identification of C. auris was conducted by Matrix-assisted laser desorption/ionization Time of Flight (MALDI-64 TOF) mass spectrometry (Brucker Daltonics, Fremont, CA, USA) using a formic acid - acetonitrile extraction 65 procedure. Scores were interpreted as >2.00 for species-level identification. 66 67 Library preparation and sequencing 68 69 Five clinical isolates (Table 1) representing a 146-day time frame were chosen for sequencing using the hand-70 held MinION sequencer (Oxford Nanopore Technologies, Oxford, UK). 71 72 Twenty-four isolates (Table 1), including the five clinical isolates sequenced using MinION, were chosen for 73 Illumina sequencing using Nextera library preparation method as part of the MicrobesNG service (University of 74 Birmingham, UK). These isolates represented a 155-day time frame, and included isolates from patients and the 75 environment around infected patients. Isolates of C. auris for both MinION and Illumina sequencing were 76 cultured as described in Supplementary Methods. All raw reads in this study have been submitted to the 77 European Nucleotide Archive under the project accession PRJEB20230. Details of genome assembly and 78 annotation are described in Supplementary Methods. 79 80 Alignment of Illumina reads and phylogenetic analysis 81 82 Raw Illumina reads were quality checked using FastQC (v0.11.3; Babraham Institute), and trimmed using 83 Trimmomatic (v0.30) based on a Phred quality score of 15. Alignment of reads to the 16B25 reference genome 84 and variant calling were carried out as described in Rhodes and colleagues (17). 85 86 Phylogenies for whole genome SNP data were constructed and visualised as described in Rhodes and colleagues 87 (17). The rate of evolution (represented as the number of substitutions per day) along the tree topology was 88 estimated using TempEst v1.5 (18), calibrated with sampling times. Root-to-tip regression was calculated and 89 the root of the tree was selected to maximise R^2 . 90 91 Mutation identification in ERG11, FKS1 and FUR1 genes 92 93 Orthologous sequences to C. albicans ERG11 (SC5314) were extracted from each C. auris genome. Sequences 94 were evaluated for amino acid substitutions to mutations within hot spot regions in C. albicans (19) as described 95 in Lockhart and colleagues (9). Predicted FKS1 and FUR1 genes from the genome annotation were used to 96 identify presence or absence of mutations in C. auris isolates. 97 98 Results 99 100 We sequenced 25 clinical C. auris isolates from a recently described outbreak (6), along with two environmental 101 samples to better represent the overall genetic diversity within the impacted hospital. We also sequenced eight 102 isolates derived from four patients taken days apart to establish possible within-patient diversity.

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- 104 Rapid generation of outbreak-specific C. auris reference genome and Illumina sequencing

105 106 We assembled five high quality hybrid de novo reference genomes for C. auris using Illumina short-read 107 sequences and MinION long-read sequences rapidly generated over 48 hours. Five isolates (15B5, 16B21, 108 16B25, 16B20 and 16B15a) were chosen to cover a range of dates (October 2015 to March 2016). Isolate 16B25 109 had the best overall assembly quality of 110 contigs, N_{50} = 396,317 bp and an estimated genome size of 12.3 Mb 110 (Table 3 and Supplementary Table S1). 98.94% of the 16B25 assembly mapped to the C. auris genome B8441 111 assembled by Lockhart and colleagues (9). 112 113 We generated an average of 5.2 million Illumina reads passing quality control for 27 isolates recovered during 114 the outbreak that mapped closely (average 95.5%) to our reference genome (Supplemental Material Table S2). 115 The rapid availability of long reads from MinION sequencing demonstrates this technology is ideally used in an 116 outbreak setting for providing high-quality contiguous assemblies. A total of 5,366 protein-coding genes, 4 117 rRNAs and 156 tRNAs were predicted using the genome annotation pipeline described in Supplementary 118 Methods. Table 3 summarises the general features of 16B25, along with other pathogenic *Candida* genomes. 119 The number of protein coding genes presented here is in line with the predicted number of genes in C. lusitaniae 120 (20) (n = 5,941), the closest known relative of C. auris. 121 122 There are fewer protein coding genes, tRNAs and rRNAs predicted in this genome than previously reported for 123 C. auris Ci 6684 in Chatterjee and colleagues (21), as shown in Table 3. Running our annotation pipeline on the 124 B8441 isolate presented in Lockhart and colleagues (9) found similar numbers of protein coding genes, rRNAs 125 and tRNAs (Table 3). Therefore, the different total numbers between 16B25 and Ci 6684 are likely due to the 126 different annotation pipelines and not the quality of the reference assemblies. The number of protein coding 127 genes identified in Chatterjee and colleagues is likely inflated due to over-prediction of short sequences, lack of 128 filtering of repetitive sequences, and using only GenemarkS to predict the start of genes; our pipeline used 129 additional criteria to achieve a predicted set of high-confidence genes. 130 131 Phylogenetic analysis reveals an Indian/Pakistani origin of C. auris outbreak 132 133 Phylogenetic analysis based on whole genome SNPs revealed the UK outbreak had an Indian/Pakistani origin 134 (Figure 1). SNP calls for isolates from Venezuela, India, Pakistan, Japan and South Africa (9) were also 135 included to add geographic context to the outbreak. The UK outbreak isolates were in the same clade as those 136 from India and Pakistan (Figure 1a); on average, 240 SNPs separated UK outbreak isolates from isolates 137 collected in India and Pakistan. There were no known patient travel links to India or Pakistan prior to admission 138 into hospital, however. We found an average of 84 SNPs separating isolates within the UK outbreak; later 139 isolates exhibited only 55 SNPs between them (October 2016), compared to earlier isolates (January 2016) that 140 showed an average 130 SNPs separating them. 141 142 Fitting root-to-tip regression showed there was a linear relationship between sampling time (days) and the 143 expected number of nucleotide substitutions along the tree, demonstrating clock-like evolution across the time-144 scale of the outbreak (Figure 2). The evolutionary rate of nuclear DNA (calculated from the slope of the 145 regression) equated to 1.5204^{e-3} substitutions per site, comparable with nuclear DNA of other fungal species

- 146 such as *Schizosaccharomyces pombe* beer strains $(3.0^{e-3} (22))$ and *Saccharyomyces cerevisiae* $(5.7^{e-3} (23))$. The 147 time to the most recent common ancestor (TMRCA) was estimated to be early March 2015, one month prior to
- the first patient identified with a *C. auris* infection. One isolate, 16B22b, was identified as being less diverged than average for the sampling date; five isolates (16B15b, 16B26, 16B25, 16B22a and 16B24a) were identified
- 150 as being more diverged than average for the sampling date given.
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153

152 <u>Clinical isolates of C. auris show multi-drug resistance</u>

154 Overall, 14 isolates displayed MDR to two or more classes of antifungal drugs. Only five isolates displayed

155 resistance to one drug, fluconazole. All isolates expressed elevated levels of resistance to fluconazole (MIC:

156 >256 ug/ml), with varying levels of resistance to itraconazole (MIC: 0.03 ug/ml - >16 ug/ml), voriconazole

157 (MIC: 0.12 ug/ml - 8 ug/ml) and posaconazole (which has not been previously reported in *C. auris*). Four 158 isolates also displayed resistance to amphotericin B (MIC: >2 ug/ml). 159 160 One isolate (16B15b) displayed elevated levels of resistance to all echinocandins (MIC: >8 ug/ml), but 161 remained susceptible to all azole drugs, with the exception to fluconazole. 16B15b also displayed high levels of 162 resistance to flucytosine (MIC >64 ug/ml), which was not seen in the other isolates; therefore, both mutations 163 and associated resistance were unique to this outbreak isolate. This isolate belonged to a patient who received 164 anidulafungin for 7 days for pancolitis, developing C. auris candidaemia 11 days afterwards, at which point 165 isolate 16B15a was recovered. Treatment was switched to amphotericin and 5-flucytosine for 2 weeks. Six days 166 after completing this treatment, a pan-resistant C. auris (16B15b) was recovered from the vascular tip. One non-167 synonymous SNP (nsSNP), causing a serine to tyrosine substitution (S652Y) was identified in the C. auris 168 FKS1 gene; a similar mutation (S645Y) in FKS1 has been associated with echinocandin resistance in C. 169 albicans (24). Another nsSNP caused a phenylalanine to isoleucine substitution (F211I) in FUR1, which has a 170 role in 5-flucytosine resistance (25). Neither of these mutations have been reported previously. 171 172 Orthologous sequences to C. albicans ERG11 were screened for substitutions that conferred known fluconazole 173 resistance mutations (19). The outbreak isolates all had the Y132F substitution in ERG11, confirming an 174 Indian/Pakistani origin. Lockhart and colleagues also found that these substitutions were strongly correlated 175 with geographic clades (9). 176 177 Interpretation of typing results in relation to epidemiology of the outbreak 178 179 C. auris outbreak isolates grouped into two phylogenetic clusters (A and B; 26% and 74% respectively) (Figure 180 1b). Cluster A comprised seven isolates from 2016 that had on average 245 SNPs that distinguished it from 181 Cluster B. On average 130 SNPs separated isolates within Cluster B. Cluster A was introduced into the hospital 182 in early 2016 and formed the dominant outbreak strains towards the end of the outbreak, with only 55 SNPs 183 separating those isolates. The phenotypic antifungal resistance varied among these isolates: all expressed high 184 level fluconazole resistance (MIC: 128-256 ug/ml) and susceptibility to echinocandins (micafungin, caspofungin 185 and anidulafungin MIC: 0.06-0.12 ug/ml) and 5-flucytosine (MIC 0.06 ug/ml), two clinical isolates expressed 186 cross azole resistance (MIC: 4-8 ug/ml posaconazole, 8 ug/ml voriconazole, 2-16 ug/ml itraconazole). 187 Phenotypic antifungal susceptibility profiling may therefore not be used reliably for addressing genetically 188 indistinguishable strains during nosocomial transmission analysis. 189 190 Three patients with isolates in Cluster A were admitted to the ICU. Two patients acquired C. auris whilst 191 staying in ICU, and another patient acquired C. auris on a surgical admission unit geographically placed next 192 door to the high dependency unit in the same month, but not overlapping with the ICU patients. Transmission of 193 C. auris between different units within this hospital likely occurred via the movement of C. auris-positive 194 patients or contaminated equipment. However, because we did not sequence isolates from all patients during the 195 outbreak alongside the heterogeneous nature of the founding population, we are currently unable to establish 196 routes of transmission in more detail. 197 198 Analysis and interpretation of sequencing result in relation to epidemiology of individual patient transmission 199 during the outbreak 200 201 Eight isolates within this study were sequential pairs of isolates from four separate patients (Table 1); we 202 hypothesised that there may have been nosocomial horizontal transmission between patients and/or their 203 surrounding environment, as suggested in previous studies (2,3,5,26), for the following pairs of isolates: 16B22a 204 and 16B22b from patient A (isolated 12 days apart); 16I27a (MICs were not carried out for this isolate) and 205 16B27b from patient B (isolated 1 day later); 16B24a and 16B24b from patient C (isolated 5 days apart); and 206 16B15a and 16B15b from patient D (isolated 32 days apart).

208 In patient A, 16B22a (recovered from the axilla) showed resistance to fluconazole only (Table 2). The 209 subsequent isolate from this patient, 16B22b (isolated from a central line tip) exhibited resistance to all azole 210 drugs (Table 2). A large number of SNPs (277 SNPs) separated the two isolates (Figure 1), which also separated 211 into different phylogenetic clusters (16B22a in Cluster B and 16B22b in Cluster A) suggesting independent 212 acquisition of infection by this patient within the unit. The two isolates from patient B similarly differed by a 213 large number of SNPs (164 SNPs), but were both placed in Cluster B. Given that 16I27a (from a body screen 214 sample) was isolated one day prior to 16B27b (recovered from a positive blood culture), it is likely that this 215 patients diversity represents an heterogenous infecting population. In patient C, 16B24b was isolated from a 216 clinical pacing wire sampled five days after the initial isolation of 16B24a from sputum sample. These two 217 isolates differ by over 400 SNPs, shown by their disparate position in the phylogeny (Figure 1) with 16B24a 218 placed in Cluster A, and 16B24b placed in Cluster B. 16B15b showed raised MICs to all echinocandins and 219 flucytosine, which was not displayed in 16B15a. These two isolates were separated by only 120 SNPs, and were 220 phylogenetically placed in Cluster B (Figure 1b). Our results suggest that none of these patients were infected 221 with a single, clonally propagating C. auris strain, and instead the hospital (and all patients) was seeded with a 222 genetically heterogenous population. 223

224 Three isolates (16B25, 16B20, 16I29a) clustered closely together with only 99 SNPs difference. 16I29a was 225 recovered from the environment around a C. auris-positive patient (the isolate was not sequenced). The patient 226 from which 16B20 was recovered was present in an adjacent side room at the same time, suggesting a potential 227 transmission between these patients. However, it remains unclear how the organism may have been transferred 228 between the two rooms and patients. The third isolate of this cluster (16B25) was recovered from a patient 229 present in the same room where 16I29a was recovered. The patient was placed in this room 22 days after the 230 previous C. auris-positive patient had left the room, and became positive within 14 days of being in this 231 isolation room.

232

Isolates 15B5, 16B15b and 16B21 are phylogenetically related (separated by 95 SNPs; Figure 1b), and were
 recovered from patients sharing the same bay. The bay was initially populated with the patient from whom
 isolates 15B5 and 16B15b were recovered, and then saw the introduction of the patient from which 16B21 was
 recovered. Both examples of spatiotemporal clustering suggest that there may be environmental persistence of
 C. auris resulting in transmission to patients.

239 Discussion

240

C. auris is an MDR fungal pathogen, capable of causing invasive infections. Here we report WGS of *C. auris* infections from the largest outbreak to date, occuring between April 2015 and November 2016 in a London
 hospital ICU which spread to two other wards.

244

A gold standard reference genome for the outbreak was assembled using long MinION-generated reads, and Illumina short reads. Whilst the GC bias and base quality in >80% GC regions of the genome was similar in both Illumina and MinION sequencing, Illumina was more consistent across the whole genome (Figure S1). MinION reads displayed wide variation in base quality in >85% AT regions, ranging from a quality score of zero to 1.4, whereas Illumina reads ranged between quality scores of 0.75 and 0.85 for regions >85% AT. ONT has since released new chemistry that improves read quality, and therefore the variant calling, which will provide a competitive alternative to Illumina sequencing in both outbreak settings and routine research.

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253 The speed of the MinION sequencer allowed rapid assembly and mapping of Illumina short reads to call high-

confidence SNPs, which is of great importance in an outbreak (14,15). SNPs in *ERG11* correlated with known

255 *C. albicans* hotspots (19) conferring resistance to the frontline drug fluconazole. All *C. auris* isolates in this

study that exhibited high MICs to fluconazole (>250 ug/ml) contained amino acid substitutions known to cause

resistance to fluconazole (19). Fourteen isolates were MDR, posing an important clinical challenge in the

treatment of *C. auris* infections. Within this study we have also highlighted resistance to posaconazole, which

has not previously been reported in *C. auris*, alongside echinocandin and flucytosine resistance in one MDRisolate.

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Echinocandin resistance is linked to mutations in the *FKS* genes in other *Candida* species (27), and our analysis
identified the S645Y mutation in *FKS1* in an echinocandin-resistant isolate. Flucytosine resistance was observed
in the same isolate, and was associated with the F211I mutation in *FUR1*. Our analysis suggests that systemic
echinocandin and flucytosine treatment can rapidly select for resistant genotypes across the outbreak timescale.
Rapid MinION sequencing of *C. auris* isolates would allow drug resistance mutation identification, providing
time-sensitive information in a clinical setting.

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269 Phylogenomic analysis showed weak support for monophyletic status of isolates within the hospital, suggesting 270 multiple introductions of C. auris occurred. On average, only 240 SNPs separated the UK outbreak isolates 271 from the Indian/Pakistani clade, clearly showing the UK outbreak had an Asian origin. When compared to other 272 sequenced Asian C. auris isolates (Table S3) in Lockhart and colleagues (9), these SNP numbers suggest an 273 anomalous amount of diversity within this outbreak. Future alignments will require clade-specific references 274 due to the large evolutionary distances between South America/Africa and the Indian/Pakistani clades. Although 275 the mode of introduction into the UK is unknown, temporal analysis of the outbreak isolates placed the most 276 recent common ancestor as early March 2015, which correlates closely to the first confirmed infection within 277 the hospital one month after this, suggesting a recent introduction into the UK.

278

279 Only one third of SNPs were shared between hospital environment isolates (bed and trolley of a confirmed C. 280 auris infected patient). C. auris was also recovered from inanimate surfaces, suggesting a population of 281 genotypes are capable of contaminating the hospital environment, causing onward infection of human hosts (9). 282 Given SNP differences between isolates recovered from the same patient at different bodily locations (Patients 283 A, B and C), either the outbreak diversity is due to multiple introductions, which is unlikely as all patients 284 screened negative for C. auris upon admission, or a genetically heterogenous population seeded the hospital. 285 Further, given the substantial number of SNPs separating the two isolates that were recovered from Patient C 24 286 hours apart, it appears clear that genetically disparate isolates are capable of infecting the same host at the same 287 time. The genomic diversity of C. auris within this outbreak makes mapping local-scale transmission events difficult as genetic bottlenecks may result in rapid changes in allele frequencies within local spatiotemporal 288 289 scales. Clearly, sequencing multiple isolates of C. auris from patients alongside those from other UK outbreak 290 settings is needed to more finely resolve the population genomic structure of this pathogen in order to 291 understand transmission dynamics.

292

293 This study represents the first use of ONT MinION sequencer on a human fungal pathogen. The association of 294 nsSNPs in *FKS1* and *FUR1* with echinocandin and flucytosine resistance respectively are both clinically 295 relevant and novel. Further investigation into these mutations is required to confirm these associations. 296 Epidemiological analysis suggests that contact tracing was not sufficient to resolve fine-scale spatiotemporal 297 processes across the outbreak due to the multiple differential episodic selection occurring across a genetically 298 heterogenous C. auris population. Future research into C. auris should focus on sequencing many isolates from 299 the same patient, from multiple body sites, in order to correctly establish the nature of persistence and 300 transmission of C. auris within hospital environments; the genomic approaches underpinning this study will 301 likely be cornerstones of future research into this increasingly important infectious disease. 302

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330	Clinical and outbreak data analysis: S.S.
331	Collected isolates: S.S.
332	Conceived experiments: J.R., M.C.F., D.A-J., S.S.
333	DNA extractions: A.A., J.R.
334	MinION DNA sequencing: J.R.
335	Illumina sequencing: D.M.A. and MicrobesNG
336	Bioinformatic analysis: J.R.
337	Genome annotation: R.A.F., C.A.C.

338 Manuscript preparation: J.R., R.A.F., A.A, S.S.

339 Tables

340

341 Table 1: Clinical isolates of *C. auris* included in this study

Isolate ID	Sequencing technology	Isolate date	Site	Origin
15B5	MinION, Illumina	19/10/2015	Vascath site	Patient
15B6	Illumina	22/10/2015	Swan ganz tip	Patient
15B10	Illumina	28/12/2015	Groin swab	Patient
16B12	Illumina	04/01/2016	Axilla	Patient
16B13	Illumina	11/01/2016	Fem picco site	Patient
16I29b	Illumina	18/01/2016	Bed	Environment
16I29a	Illumina	18/01/2016	Bed window trolley	Environment
16B18	Illumina	01/02/2016	CVP tip arm	Patient
16B15a	MinION, Illumina	06/02/2016	Blood culture	Patient D
16I17	Illumina	08/02/2016	Screen	Patient
16B20	MinION, Illumina	16/02/2016	Blood culture	Patient
16B16	Illumina	21/02/2016	Urine catheter	Patient

16B21	MinION, Illumina	22/02/2016	Axilla swab	Patient
16B22a	Illumina	27/02/2016	Axilla swab	Patient A
16B24a	Illumina	07/03/2016	Sputum	Patient C
16B22b	Illumina	09/03/2016	CVP tip femoral	Patient A
16B15b	Illumina	09/03/2016	CVP tip	Patient D
16B24b	Illumina	12/03/2016	Pacing wire	Patient
16B25	MinION, Illumina	13/03/2016	ECMO site	Patient C
16I27a	Illumina	14/03/2016	Screen	Patient B
16B26	Illumina	14/03/2016	SCMO site	Patient
16B27b	Illumina	15/03/2016	Blood culture	Patient B
16I30	Illumina	21/03/2016	Screen	Patient
16I33	Illumina	16/06/2016	Groin	Patient
16B31	Illumina	16/10/2016	CVP line site	Patient
16B30	Illumina	17/10/2016	Groin	Patient
16I34	Illumina	23/10/2016	Axilla swab	Patient

Table 2: MICs at time of isolation of all isolates included in this study. MICs deemed above these

breakpoint values (based on Candida albicans CSLI), and therefore resistant, are shaded in grey. ANI =

Anidulafungin; MICA = micafungin; CAS = Caspofungin; 5FC = 5-fluorocytosine; POS = posaconazole;

VOR = voriconazole; ITR = itraconazole; FLU = fluconazole; AmpB = Amphotericin B. N/A = No MICs

carried out.

Isolate ID	ANI	MICA	CAS	5FC	POS	VOR	ITR	FLU	AmpB
15B5	0.12	0.12	0.25	0.12	8	8	16	256	2
15B6	0.06	0.06	0.06	0.06	0.015	0.25	0.06	256	1
15B10	0.12	0.12	0.5	0.00	8	8	16	256	2
16B12	0.12	0.12	0.5	0.25	8		16	256	2
16B12	0.12	0.12	0.25	0.06	0.03	0.5	0.06	256	1
16I29b	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
16I29a	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
16B18	0.25	0.25	1	0.06	0.12	2	0.12	256	1
16B15a	0.12	0.06	0.12	0.06	0.008	0.06	0.015	16	0.5
16I17	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
16B20	0.06	0.12	0.5	0.06	8	8	16	256	1
16B16	0.12	0.12	0.25	0.06	0.03	0.25	0.03	256	1
16B21	0.12	0.12	0.25	0.06	0.12	0.5	0.03	16	1
16B22a	0.12	0.12	0.25	0.06	0.008	0.25	0.03	128	0.5
16B24a	0.12	0.12	0.5	0.06	8	8	8	256	2
16B22b	0.12	0.12	0.25	0.06	4	8	2	256	1
16B24b	0.12	0.12	0.25	0.06	8	8	2	256	1
16B25	0.12	0.12	0.25	0.06	0.03	0.12	0.03	64	1
16I27a	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
16B26	0.12	0.12	0.25	0.06	8	8	16	256	1
16B27b	0.12	0.12	1	0.12	8	8	16	256	0.5
16I30	0.25	0.12	0.5	0.12	8	8	16	256	2
16B15b	8	8	8	64	0.015	0.25	0.03	8	1
16B30	0.12	0.06	0.12	0.06	0.15	0.5	0.06	128	1
16B31	0.12	0.06	0.12	0.06	0.03	0.5	0.06	256	1
16I33	0.06	0.06	0.06	0.06	0.06	0.06	0.03	128	0.5
16I34	0.06	0.06	0.06	0.06	0.008	0.25	0.03	256	0.5

351	Table 3: Summary of assembly and annotation statistics of the <i>C. auris</i> 16B25 genome, the <i>C. auris</i> B8441
352	reference (9), the <i>C. auris</i> Ci 6684 reference (21), and other pathogenic <i>Candida</i> species reference genomes

(20)

Species	Genome size	Number of	GC content	Number of	Average CDS	Ploidy
	(Mb)	chromosomes or	(%)	protein coding	size (bp)	
		scaffolds		genes		

C. auris (16B25)	12.3	110	45.13	5366	1564	Haploid
C. auris (B8441)	12.4	19	45.13	5439	1548	Haploid
C. auris (Ci	12.5	99	44.53	8358	1025	Haploid
6684)						
C. albicans	14.3	7	33.5	6107	1468	Diploid
SC5314						
C. tropicalis	14.5	24	33.1	6163	1454	Diploid
MYA-3404						
C. parapsilosis	13.1	24	38.7	5733	1533	Diploid
C. lusitaniae	12.1	9	44.5	5941	1382	Haploid

355

356 Figure legends

357

358 Figure 1 – Phylogenetic analysis of C. auris isolates with bootstrap support (500 replicates) performed on WGS 359 SNP data to generate unrooted maximum-likelihood phylogenies. Branches supported to 75% or higher unless 360 otherwise stated. Branch lengths represent the average expected rates of substitution per site. a) Outbreak 361 isolates from the UK (shown in blue) were combined with isolates from around the globe, including India 362 (orange), Pakistan (red), Venezuela (pink), Japan (turquoise), and South Africa (green), to infer a possible 363 geographical origin. Isolates with known mutations in the ERG11 gene associated with resistance to fluconazole 364 in C. albicans are shaded: Y132F as red, and F126L as blue b) Given the likely Indian/Pakistani origin of the 365 outbreak isolates, phylogenetic analysis was repeated (as stated above), excluding isolates from South Africa, 366 Venezuela and Japan to illustrate the UK outbreak. Isolates separating either into Cluster A (green) or B (purple) 367 are depicted to infer likely introductions into the hospital.

368

369Figure 2
– Root-to-tip regression analysis of all 28 *C. auris* outbreak isolates. Genetic distance is plotted against
sampling time for the phylogeny of the *C. auris* outbreak. Each data point represents a tip on the phylogeny.371The R^2 for the regression and the slope, reflecting the evolutionary rate (in substitutions per site per day) is also
shown.

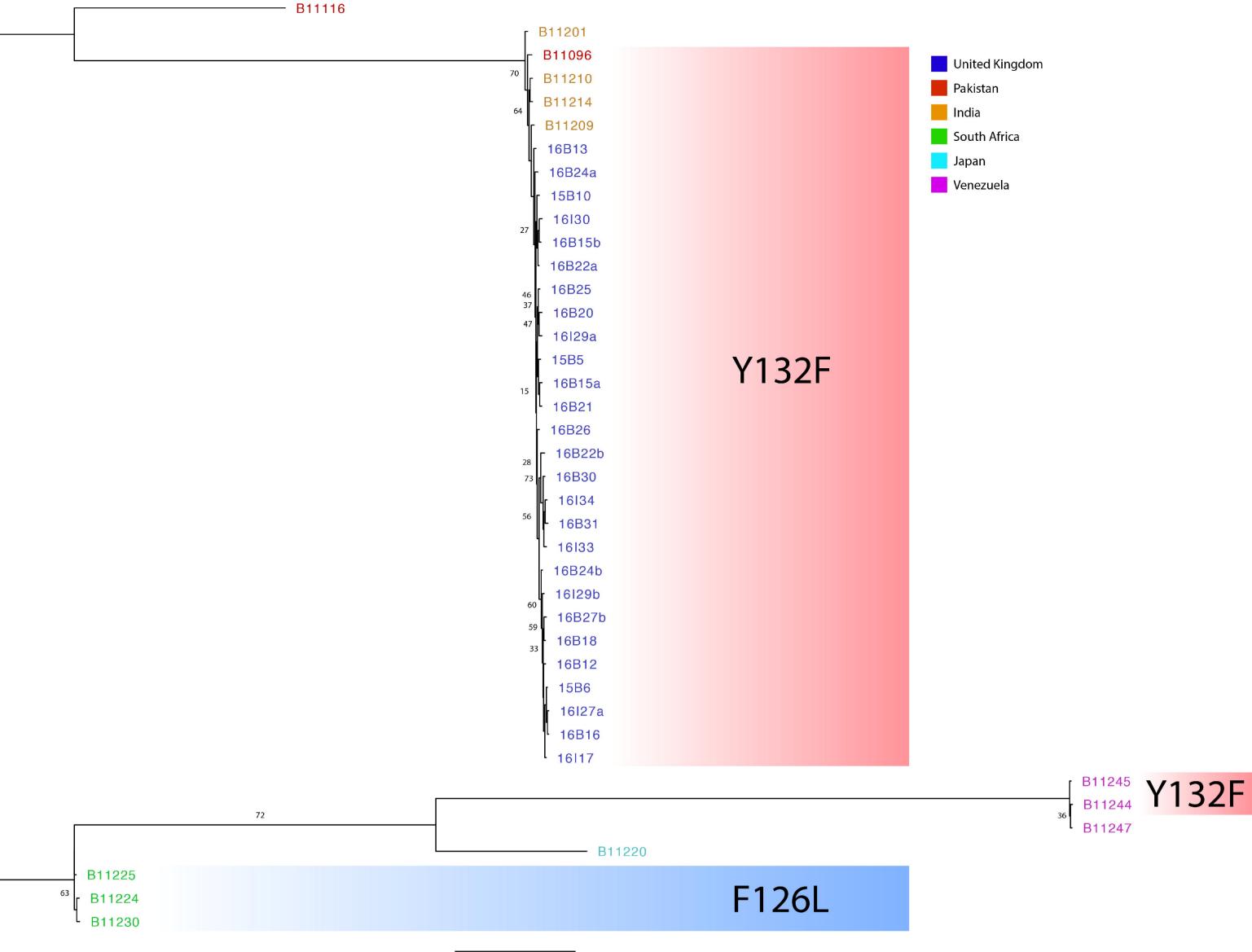
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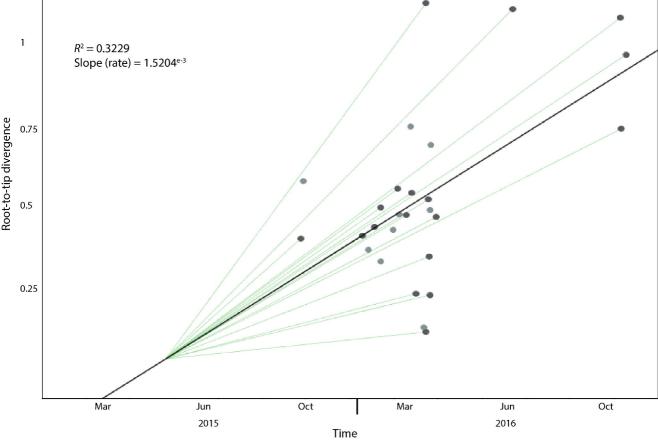
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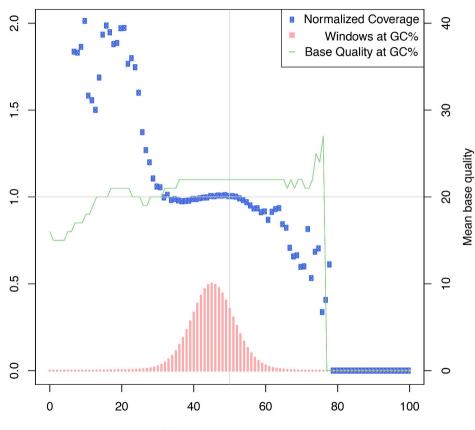
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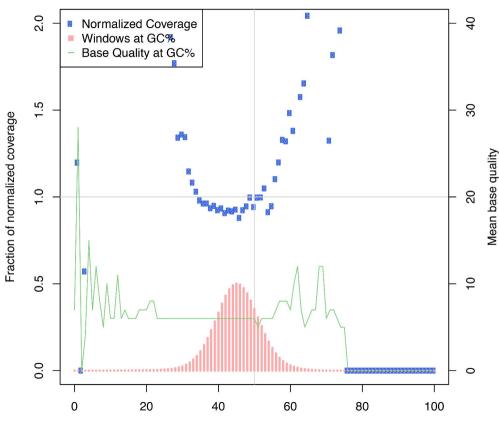








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