

Alpha-band oscillations and slow potentials shifts over visual cortex track the time course of both endogenous and exogenous orienting of attention

Jonathan M. Keefe¹, Viola S. Störmer^{1,2}

¹Department of Psychology,

²Neuroscience Graduate Program

University of California, San Diego, 92092, USA

Abbreviated Title: Exogenous & Endogenous orienting of attention

Corresponding Author: Jonathan Keefe, jmkeefe@ucsd.edu

Abstract

Spatial attention can be oriented endogenously, based on current task goals, or exogenously, triggered by salient events in the environment. Based upon literature demonstrating differences in the time course and neural substrates of each type of attention, these two attention systems are often treated as fundamentally distinct. However, recent studies suggest that rhythmic neural activity in the alpha band (8-13Hz) and slow waves in the event-related potential (ERP) may emerge over occipital cortex following both exogenous and endogenous attention cues. To assess whether these neural changes index a common process of spatial attention, we conducted two within-subject experiments varying the two main dimensions over which endogenous and exogenous attention tasks typically differ: cue informativity (spatially predictive vs. non-predictive) and cue format (centrally vs. peripherally presented). Our data demonstrate that all of these cues elicit lateralized ERPs over parietal-occipital cortex and trigger lateralized decreases in occipital alpha activity prior to a target. Critically, each of these measures precisely tracked the commonly observed time course of each type of attention. These results suggest that visual-cortical enhancement in anticipation of a visual target is a universal process of visual-spatial attention, regardless of whether attention was oriented based upon top-down goals or bottom-up salience.

Introduction

Selective spatial attention can be deployed endogenously (i.e., voluntarily), following the goals and intentions of an observer, or exogenously (i.e., involuntarily), through capture by a sudden event in the environment such as a bright flash or a salient sound (Reynolds & Chelazzi, 2004; Wright & Ward, 2008). Decades of research have shown that endogenous and exogenous attention result in behavioral benefits at the attended location, reflected in higher accuracy and faster response times in discrimination or detection tasks (Posner, 1980; Posner & Cohen, 1984; for a review, see Carrasco, 2011). However, these behavioral effects typically arise at different timescales, with endogenous attentional benefits emerging slowly and sustaining for an extended time and exogenous attentional benefits emerging quickly but disappearing shortly after (with the possibility of behavioral costs thereafter, i.e., inhibition of return; Müller & Rabbit, 1989; Nakayama & Mackeben, 1989; Klein, 2000). Due to these differences in time course and origin (internal goals vs. external events), it is often assumed that these two modes of attention are fundamentally different.

This dissociation is supported by other evidence demonstrating that different neural substrates are involved in each type of attention, with separate frontoparietal networks being responsible for the exogenous and endogenous orienting of attention (Corbetta & Shulman, 2002) and unique changes in fronto-parietal connectivity emerging following each type of orienting (Bowling, Friston, Hopfinger, 2019). There is also evidence that the changes in visual-cortical activity resulting from the orienting of attention differ between these two systems. For example, some human electrophysiological studies suggest that early visual-cortical processing is affected differently depending upon how attention is deployed, with exogenous attention affecting early visual processing (P1 component of the ERP) more strongly and endogenous

attention affecting later processing more strongly (indexed by the N1 and P3 components; Hopfinger & West, 2006). Separate work recording single-unit activity in macaque MT demonstrates a similar pattern of earlier changes in firing rate following the onset of attention-grabbing (i.e. exogenous) cues and later changes following only cues that are relevant to the endogenous orienting of attention – further implicating separable influences upon visual-cortical processing by each type of attention (Busse, Katzner, Treue, 2008). These findings corroborate with other research demonstrating that only informative cues elicit gamma-frequency EEG activity (Landau et al., 2007), which has been linked broadly to cognitive processes including attention (Tallon-Baudry, 2009). Altogether, findings like these have been taken as evidence that exogenous and endogenous attention represent two attention systems that affect sensory processing in different ways (for a review, see Chica, Bartolomeo, & Lupiáñez, 2013).

However, other recent findings suggest that exogenous and endogenous attention may produce similar neural changes in sensory areas relatively early on following a cue, which can occur even prior to the processing of a target stimulus (Kastner et al., 1999; Giesbrecht et al., 2006; Störmer, McDonald, & Hillyard, 2019). Such early-arising similarities seem surprising given that both types of attention are initiated differently (salient bottom-up signals vs. endogenous top-down goals), but might imply that the behavioral benefits of both types of attention are supported by the same mechanisms for influencing visual-cortical processing despite differences in their time courses. There are two particularly strong markers of these cue-triggered enhancements in neural activity observed in the electroencephalogram (EEG). The most commonly observed and robust index of these changes is the occipital alpha rhythm, an 8-13 Hz oscillation that tends to decrease over occipital areas contralateral to an attended location while increasing at ipsilateral sites, which is thought to represent the biasing of visual-cortical

activity in anticipation of an impending target in an attentional cueing paradigm (Worden et al., 2000; Kelly et al., 2006; Green & McDonald, 2010). These changes in alpha activity have been shown to occur in endogenous cueing tasks around the same time as a slow-wave in the event-related potential (ERP), termed the Late-Directing Attention Positivity, which has been interpreted as either reflecting pre-target biasing of visual activity or the orienting of spatial attention itself (LDAP; Harter et al., 1989; Hopf & Mangun, 2000; Eimer, Van Velzen, & Driver, 2002; Green & McDonald, 2006). Both of these lateralized changes over occipital and parietal-occipital cortex typically emerge relatively late after the onset of an attention cue (~ 500 to 700 ms later), in line with the slow time course of endogenous attention. Consequently, changes in alpha activity and the slow potential shift over parietal-occipital areas have both been interpreted as unique signatures of the endogenous orienting of attention. However, more recent studies provide initial clues that this might not be the case. Peripheral, non-predictive cues often used to induce exogenous shifts of spatial attention have also been shown to modulate the occipital alpha rhythm (Störmer et al., 2016; Feng et al., 2017) and trigger slow positive deflections in the ERP (McDonald et al., 2013; Feng et al., 2014; Störmer et al., 2019). Accordingly, it has been suggested that these neural changes reflect the same visual-cortical biasing processes previously observed in endogenous attention, simply shifted in time (Hillyard et al., 2013).

Yet, these effects have been studied in very different paradigms across separate groups of participants. Because of this, there has not been a compelling test of whether these changes in alpha activity and ERPs index a common effect on visual processing following the exogenous and endogenous orienting of attention. Thus, we here test whether these neural changes represent common processes across both types of attention by conducting within-subject experiments that

systematically control for differences in task design between endogenous and exogenous attentional cueing paradigms.

In particular, we varied the two main dimensions over which endogenous and exogenous attention tasks typically differ – cue informativity (spatially predictive vs. non-predictive) and cue format (centrally vs. peripherally presented) – while holding all other parameters constant. We used auditory cues to orient spatial attention to avoid any confounds of differences in low-level visual processing triggered by different visual cues, allowing us to isolate neural activity related to the effects of the spatial orienting of attention. Our main analysis focuses on oscillatory activity and ERPs elicited by the different cue types. If modulations in alpha activity reflect a common process of visual-cortical enhancement across both types of attention, we expect to see similar changes in alpha activity across the different tasks. Given that alpha oscillations track both the spatial (Worden et al., 2000; Rihs et al., 2007) and temporal (Samaha, Sprague, & Postle, 2016; Foster et al., 2017) profiles of the deployment of endogenous attention, we would expect these similarities to be reflected in the spatial topography and time course of alpha activity. In particular, we should observe lateralized alpha activity of similar topographies across the different tasks, but find differences in the temporal dynamics of alpha oscillations mirroring the well-known time courses of exogenous and endogenous attention. Alternatively, if changes in alpha activity are absent in either task, or if they do not track the time course of the respective types of attention, we would conclude that changes in alpha activity do not index a common process of influencing visual-cortical processing following the orienting of exogenous and endogenous spatial attention. Similarly, if the slow-waves of the ERPs elicited by the different types of cues reflect the same underlying process, we would expect them to also resemble each other in terms of their spatial topography and show expected differences in their temporal

dynamics (i.e., early exogenous orienting response and later endogenous orienting response).

Overall, our results point to similar influences on visual-cortical processing for both types of attention, reflected most clearly in the occipital alpha rhythm, in support of a highly cooperative attention system.

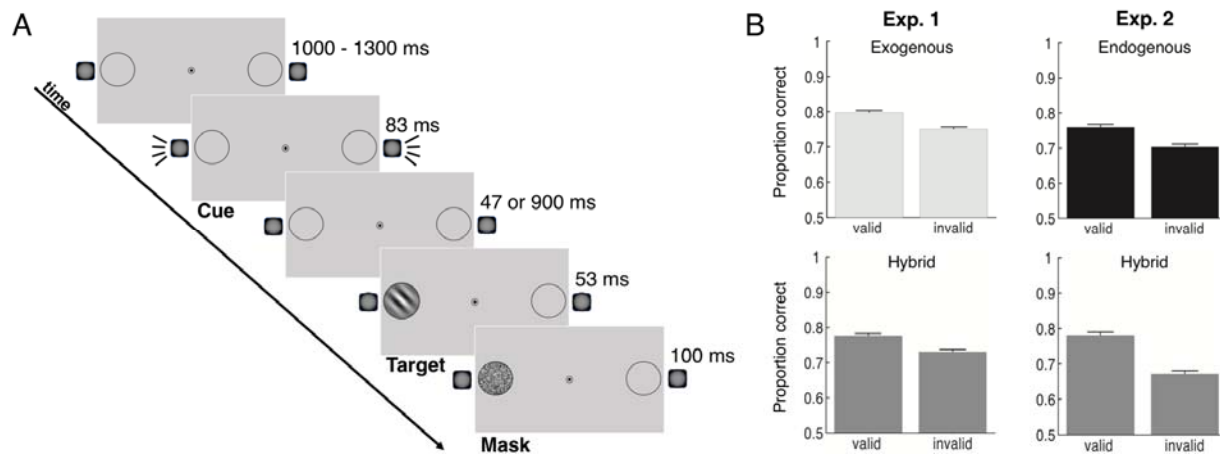


Figure 1. General task design and performance. (A) Participants discriminated the direction of rotation (clockwise or counterclockwise) of a masked Gabor patch target. Prior to the appearance of the target, participants were presented with an auditory cue that was either presented randomly 130 ms prior to the target (50% valid; Exogenous task), or informative (80% valid) as to the future target's location following a ~1000 ms SOA (Endogenous and Hybrid tasks). This sound was a lateral pink noise burst in the hybrid and exogenous attention tasks, and an up-sweeping or down-sweeping tone in the endogenous attention task. (B) Target discrimination accuracy, plotted as a function of cue validity for each of the tasks in Experiment 1 and Experiment 2, clearly reveals a benefit in accuracy at the cued vs. uncued location across all tasks. Error bars represent ± 1 standard error of the mean.

Method

Participants

Sixteen participants were included in the final sample of Experiment 1 (11 female; mean age of 21.9 years) and another 16 participants were included in the final sample of Experiment 2 (12 female; mean age of 21.7 years). For Experiment 1, data from three participants were excluded due to performance at or below chance level across all conditions ($\leq \sim 50\%$ accuracy). An additional two participants did not complete the EEG task due to an inability to suppress saccades to the cue and/or target in initial practice tasks. For Experiment 2, data from three participants were excluded due to excessive artifacts in the EEG (affecting $> 33\%$ of trials). Data from an additional participant were excluded due to inability to perform the task, as the participant reported seeing two targets of orthogonal orientation at the same location – leading them to report guessing the orientation of the target on every trial.

All participants gave informed written consent as approved by the Human Research Protections Program of the University of California, San Diego and were paid for their time (\$10/hour) or received course credit. All participants reported having normal or corrected-to-normal vision and normal hearing. Sample sizes were chosen a priori based upon a number of other studies utilizing similar cross-modal attentional cueing paradigms (McDonald, Teder-Salejarvi, & Hillyard, 2000; Green & McDonald, 2006; Störmer, McDonald, & Hillyard, 2009; McDonald et al., 2013; Feng et al., 2014).

Stimuli and Apparatus

Participants were seated approximately 45 cm in front of a 24” monitor in a sound-attenuated, electrically shielded booth. Stimuli were presented on the screen via the Psychophysics Toolbox in MATLAB (Brainard, 1997; Pelli, 1997). A small black fixation dot ($0.5^\circ \times 0.5^\circ$ of visual angle) was always present in the center of the screen, which was otherwise

uniformly gray (RGB: 127, 127, 127). A black circle ($0.1^\circ \times 0.1^\circ$) appeared around the fixation dot at the start of each trial to indicate to the participant that the trial had begun. We ran three different tasks across the two experiments that differed only in the type of cues that were presented. In the hybrid (informative peripheral cues; Experiments 1 and 2) and exogenous (uninformative peripheral cues; Experiment 1) attention tasks, the cues were ~83 ms pink noise bursts (0.5–15 kHz, 78 dB SPL) played from external speakers mounted on either side of the computer monitor. The auditory stimuli were played in stereo and their amplitude was adjusted to give the impression that the sounds were emanating from the possible target locations on the screen. In the endogenous attention task (informative central cues; Experiment 2), the attention cue was either an upward frequency sweep ranging from 750 Hz to 1,000 Hz or a downward frequency sweep from 1,250 Hz to 1,000 Hz, played from both speakers at the same time. Across all tasks, the target was a Gabor patch with a spatial frequency of 1.3 cycles/degree, turned either -45° or 45° from vertical. The contrast of the Gabor patch was determined for each participant in a calibration task prior to the main experiment (see below). The target was presented in one of two peripheral locations indicated by a black circle with a diameter of 10° visual angle, centered 31° of visual angle to the left and right of fixation. Each target was followed by a visual noise mask of the same size.

Experiment 1 Procedures

In Experiment 1 we compared whether and how the changes in visual-cortical activity elicited by a peripheral and spatially informative cue differ relative to a peripheral and spatially uninformative cue usually used in exogenous attention tasks. In other words, these cues were physically identical but differed as to whether they indicated where the target was likely to

appear. This allowed us to isolate the rapid effects of exogenous attention upon visual-cortical processing, triggered by reflexive shifts of attention to salient and peripheral cues, from the later effects of endogenous attention, which are triggered only by cues that carry temporal/spatial information about a target. All participants performed two cross-modal attention tasks, outlined in Figure 1A: the hybrid attention task and the exogenous attention task.

In the hybrid attention task, participants were asked to keep their eyes on the central fixation dot throughout each experimental block. A black circle appeared around the central fixation dot at the beginning of each trial, indicating to the participants that the trial had begun. Following the onset of this circle at a variable stimulus onset asynchrony (SOA) of 1,000 – 1,300 ms, an 83-ms auditory attention cue was presented that indicated the location of a subsequent target with 80% validity (Posner, 1980). Participants were informed about the relationship between cue and target location and were thus instructed to covertly shift their attention to the cued side in anticipation of the target. After a cue-target SOA of ~980 ms, a Gabor patch target oriented 45° either clockwise or counterclockwise from vertical was presented at one of the two peripheral locations for ~53 ms and was followed immediately by a visual noise mask for 100 ms. The noise mask always appeared at the location of the target to eliminate uncertainty about the location at which the target appeared. Following the noise mask at an ISI of 300 ms, the black circle surrounding the central fixation dot turned white, prompting a response from the participant as to which direction the target was oriented. Participants made this report using the “m” (clockwise) and “n” (counterclockwise) keys.

The exogenous attention task differed in three ways from the hybrid attention task. First, instead of being informative as to where a future target would appear, the cue of the exogenous attention task was presented randomly at the left or right side and did not carry any spatial

information about the target. Consequently, participants were instructed to ignore the cue because it would not be informative to the task. Second, the cue-target stimulus-onset asynchrony (SOA) in the exogenous task was much shorter than in the hybrid attention task (130 ms vs. ~980 ms) in order to eliminate any effects of endogenous attention and maximize the effects of exogenous attention. Third, a target was only presented on a randomly selected 50% of trials in the exogenous attention task. This was done in order to separate the neural activity elicited by the uninformative peripheral cue and the target, which would otherwise overlap given the short SOA. This design allowed us to isolate neural activity elicited by the cue without the contamination of activity elicited by the visual target. Thus, the analysis of behavioral performance was performed only on trials in which a target appeared and the analysis of EEG activity was performed only on trials in which a target did not appear (i.e., cue-only trials). On the trials in which a target was not presented, participants were asked to keep their eyes on the central fixation dot and prepare for the next trial.

All trial types were randomly intermixed, but the task performed (exogenous vs. hybrid) was blocked and the order counterbalanced between participants, such that half of the participants started with the exogenous attention task and the remaining half started with the hybrid attention task. The hybrid attention task consisted of 7 consecutive blocks of 48 trials each, whereas the exogenous attention task consisted of 7 consecutive blocks of 96 trials each in order to collect a comparable number of ERP epochs and behavioral trials for the analysis across both tasks. Note that each experimental block took approximately the same amount of time since the trial time was much shorter in the exogenous attention task. Prior to the experimental tasks, task difficulty was adjusted for each participant using a thresholding procedure that varied the contrast of the Gabor patch target to achieve about 75% accuracy (i.e., QUEST; Watson & Pelli,

1983). In this thresholding task, participants discriminated the direction of the 45°-oriented Gabor patch in the absence of any sounds. Each participant performed 72 trials of the thresholding task and the individual contrast thresholds were used for the main experiment. Participants performed 32 practice trials prior to each task.

Experiment 2 Procedures

In Experiment 2, we compared whether and how the changes in visual-cortical activity elicited by a peripheral and spatially informative cue differ relative to a central and spatially informative cue usually used in endogenous attention tasks. In other words, these cues conveyed the same information regarding where the target was likely to appear but differed in their physical properties such that only one cue conveyed spatial information itself. This allowed us to isolate the more sluggish effects of endogenous attention upon visual cortical processing, triggered by voluntary shifts of attention to cues that carry temporal/spatial information about a target, from the earlier effects of exogenous attention, which are triggered by salient and peripheral cues that capture attention. All participants performed both the endogenous attention task and the hybrid attention task.

The hybrid attention task was identical to the task described in Exp. 1 procedures. The hybrid and endogenous attention tasks differed only in the type of auditory cue presented. In the hybrid attention task, the cue was a pink noise burst presented at either the left or right speaker and the location of the cue indicated where the target was likely to appear. In the endogenous attention task, participants were presented with a centrally presented up-sweeping or down-sweeping tone on each trial. The direction of the frequency sweep of that tone (up or down) indicated where the target was likely to appear on that trial (left or right; cf., Störmer, Green,

McDonald, 2009). The sweep-direction-to-location mapping of this cue was counterbalanced across participants, such that the up-sweeping tone indicated that the target was likely to appear on the right side for half of the participants and the left side for the remaining half of participants. These different cue formats were chosen in order to dissociate the purported endogenous and exogenous components of attention; while the peripheral noise burst involved aspects of both exogenous and endogenous spatial attention tasks (i.e., peripherally presented and spatially predictive), the centrally presented sweeping tone involved aspects of only the traditional endogenous spatial attention tasks (i.e.g., symbolic central cues that are spatially predictive).

All trial types were randomly intermixed, but the task performed (hybrid vs. endogenous) was blocked and the order counterbalanced between participants. Prior to performing either of the tasks, task difficulty was adjusted for each participant using the thresholding procedure described in Exp. 1. Participants performed 7 consecutive blocks of 48 trials for each task, after completing 32 practice trials in each task. An additional short practice block (24 trials) was performed prior to the endogenous attention task in order to familiarize participants with the symbolic auditory cues. In this practice task, participants were presented the up-sweeping and down-sweeping tones and asked to report the side on which the cue indicated the target would be likely to appear, in the absence of any visual information on the screen.

EEG Recording and Analysis:

Electroencephalogram (EEG) was recorded continuously from 32 Ag/AgCl electrodes mounted in an elastic cap and amplified by an ActiCHamp amplifier (BrainProducts, GmbH). Electrodes were arranged according to the 10-20 system. The horizontal electrooculogram

(HEOG) was recorded from two additional electrodes placed on the external ocular canthi which were grounded with an electrode placed on the neck of the participant. The vertical electrooculogram was measured at electrodes FP1 or FP2, located above the left and right eye, respectively. All scalp electrodes were referenced to the right mastoid online and were digitized at 500 Hz.

Continuous EEG data were filtered with a bandpass (butter filter) of 0.01-112.5Hz offline. Data were epoched from -1,000 ms to +2,000 ms with respect to the onset of the auditory cue. Trials contaminated with blinks, eye movements, or muscle movements were removed from the analysis. Artifacts were detected in the time window -800 to 1,100ms in two steps. First, we used automated procedures implemented in ERPLAB (Lopez-Calderon & Luck, 2014; peak-to-peak for blinks, and a step function to detect horizontal eye movements at the HEOG channel). Second, for each participant, each epoch was visually inspected to check the automated procedure and the trials chosen for rejection were updated (cf., Störmer, Alvarez, & Cavanagh, 2014). Artifact-free data was digitally re-referenced to the left mastoid. For the endogenous and hybrid attention tasks, all trials were included in the EEG analysis. For the exogenous attention task, only trials with no target stimuli were included to avoid overlap of the target-elicited neural activity with the cue-elicited neural activity.

ERPs elicited by the left and right noise bursts were averaged separately and were then collapsed across sound position (left, right) and hemisphere of recording (left, right) to obtain waveforms recorded ipsilaterally and contralaterally relative to the sound. The ERPs elicited by the central cues (up- and down-sweeping tones) were averaged separately for attend-left and attend-right conditions and then also collapsed across hemisphere and hemifield. ERPs were low-pass filtered (half-amplitude cutoff at 25 Hz; slope of 12dB/octave) to remove high-

frequency noise. Mean amplitudes for each participant and condition were measured with respect to a 200 ms prestimulus period (-200 to 0 ms from cue onset), and mean amplitudes were statistically compared using both repeated-measures Analyses of Variance (ANOVAs) and paired t-tests (contralateral vs. ipsilateral to attended location). Our analysis was focused on two ERP components that have previously been associated with exogenous and endogenous spatial attention. In particular, we examined the Auditory-Evoked Contralateral Occipital Positivity (ACOP) as an index of exogenous attention (McDonald et al., 2013), and the Late-Directing Attention Positivity (LDAP) as a signature of endogenous attention (Harter et al., 1989; Eimer et al., 2002; Green & McDonald, 2006). The exact time windows and electrode sites for each ERP analysis were chosen a priori based on previous research and matched across all analyses. Both ERP components were measured at the same four parietal-occipital electrode sites (PO7/PO8/P7/P8), but in different time windows. The ACOP was measured between 260-360 ms (McDonald et al., 2013), while the LDAP was measured between 500 - 800 ms (Green & McDonald, 2006). Additional pairwise comparisons (contralateral vs. ipsilateral) were performed on successive 50 ms sections of the ERP in order to better characterize the time course of these positive deflections in each task (cf., McDonald & Green, 2008; Störmer et al., 2009).

For the time frequency analysis, scalp channels were analyzed via complex Morlet wavelets before averaging, following the methods of Lakatos et al. (2004) and Torrence and Compo (1998). Spectral amplitudes were calculated via four-cycle wavelets at 60 different frequencies increasing linearly from 2 to 40 Hz separately for each electrode, time point (every 2 ms), attention condition (left, right), and participant. Spectral amplitudes were then averaged across trials separately for each condition and participant, and a mean baseline of -350 to -150 ms from cue onset was subtracted from each time point for each frequency separately (Pitts,

Padwal, Fennelly, Martínez, & Hillyard, 2014; Störmer et al., 2016). Mean spectral amplitudes elicited by the left and right noise bursts (exogenous and hybrid attention tasks) and left-and right-directing central tones (endogenous attention task) were then collapsed across cued location (left, right) and lateral position of the electrode (left, right) to reveal attention-induced modulations ipsilateral and contralateral to the cued location. The statistical analysis was focused on alpha-band amplitude modulations over the range of 8 – 13 Hz at parietal-occipital electrode sites (PO7/PO8/P7/P8) and during the same time intervals as the ACOP (260 – 360 ms) and LDAP (500 – 800 ms) components. Replicating the ERP analysis, pairwise comparisons were performed on successive 50 ms sections of the average alpha-band amplitude values (i.e., average amplitude of oscillatory activity across 8-13 Hz) of the ipsilateral and contralateral hemispheres in each task. Data processing was carried out using EEGLAB (Delorme & Makeig, 2004) and ERPLAB (Lopez-Calderon & Luck, 2014) toolboxes and custom-written scripts in MATLAB (The MathWorks, Natick, MA).

Topographical maps

To illustrate the scalp distribution of the different ERP and time-frequency measures, we created topographical maps using spline interpolation of the voltage differences between the contralateral and ipsilateral hemispheres for each of the time windows of interest. Specifically, the contralateral-minus-ipsilateral ERPs and alpha activity difference were calculated for homologous left and right electrode sites (e.g., PO7 and PO8), with the values at midline electrode sites (e.g., POz) set to zero (Störmer et al., 2009). These difference voltage topographies were projected to the right side of the head.

Statistical Analyses

Behavior was analyzed by comparing accuracy (% correct) in the Gabor discrimination task separately for when the Gabor patch appeared at the cued location (valid trials) vs. at the uncued location (invalid trials). Behavioral and EEG data were statistically analyzed using paired t-tests and repeated-measures ANOVAs ($\alpha = 0.05$) using MATLAB (The MathWorks, Natick, MA). In order to control for spurious results in the time window analyses of the EEG data, a statistical difference between the activity of each hemisphere in a time window was only considered reliable if it was significant and was a part of a cluster of four or more significant time windows (i.e., there were 4 or more consecutive time windows with $p < .05$; Luck, 2014).

Results

Exp. 1 Behavior

As shown in Figure 1B, accuracy was higher following valid vs. invalid cues in both the exogenous and hybrid attention tasks of Experiment 1. In order to confirm the presence of this behavioral cueing benefit in each task, a two-way repeated-measures ANOVA with factors of cue validity (valid or invalid) and task (endogenous or hybrid) was performed. This analysis revealed a significant main effect of cue validity, $F(1, 15) = 33.42, p < 0.001, \eta^2 = 0.09$, confirming that the higher accuracy following valid than invalid cues was reliable. There was no main effect of task, $F(1, 15) = 1.38, p = 0.26, \eta^2 = 0.02$, nor an interaction between cue validity and task, $F(1, 15) = 0.00, p = 0.95, \eta^2 < 0.001$, indicating that neither overall task performance nor the magnitude of the observed behavioral cueing benefits differed between tasks. Follow-up paired t-tests confirmed that accuracy was higher following valid than invalid cues in both the

exogenous, $t(15) = 3.87, p = 0.002, d = 0.97$, and hybrid attention tasks, $t(15) = 3.24, p = 0.006, d = 0.81$.

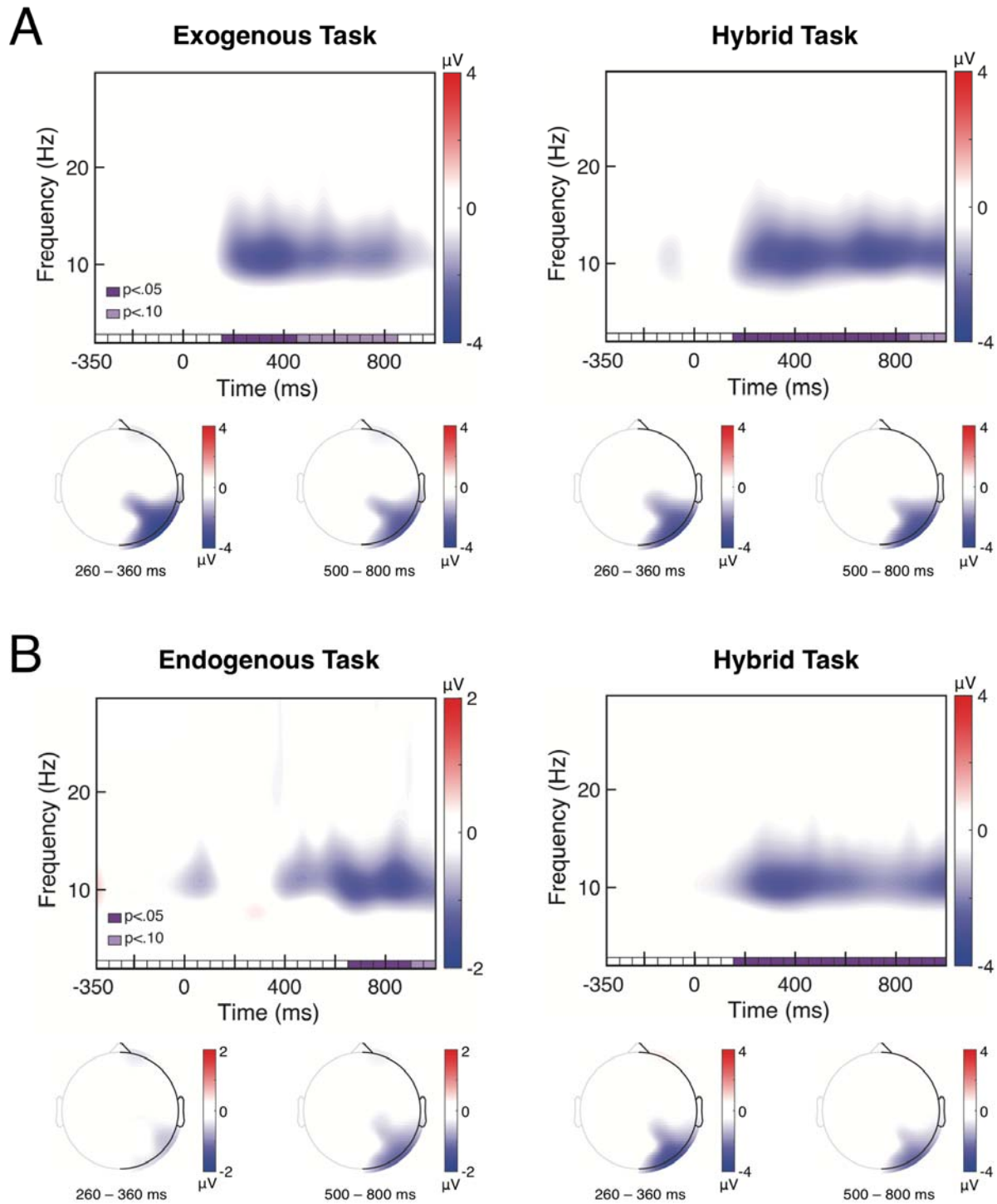


Figure 2. Grand-average time frequency plots of the contralateral-minus-ipsilateral activity over parietal-occipital scalp (PO7/PO8/P7/P8) shows clear lateralized alpha-band changes (8–13 Hz). Statistically significant ($p \leq 0.05$; dark purple boxes) and near-significant ($p \leq 0.10$; light purple boxes) differences between contralateral and ipsilateral alpha amplitude are denoted on the time axis. Topographical voltage maps show the contralateral-minus-ipsilateral alpha-band difference amplitudes, projected to the right side of the scalp, during the pre-defined ACOP and LDAP time windows. (A) Lateralized decreases in contralateral (relative to ipsilateral) alpha-band amplitude emerged rapidly following both the uninformative peripheral cues of the exogenous attention task and the informative, peripheral cues of the hybrid attention task of Experiment 1. (B) Contralateral decreases in alpha-band amplitude emerged following both the symbolic, central cues of the endogenous attention task and the informative, peripheral cues of the hybrid attention task of Experiment 2. Topographical maps show a clear contralateral occipital focus of the alpha changes in all conditions.

Exp. 1 Cue-Elicited Alpha Oscillations

If lateralized changes in alpha activity are an index of a common process of visual-cortical facilitation across exogenous and endogenous attention, then we would expect to observe changes in alpha activity of similar topographies but different time courses across each of the tasks of Experiment 1. Specifically, we should observe a quick but relatively short-lived change in response to the uninformative peripheral cues of the exogenous task and both an early and late (or sustained) change in response to the informative peripheral cues of the hybrid task.

Alternatively, if changes in alpha activity index processes unique to each type of attention, then we would expect to either not observe them in one of the tasks or find differences in the topography of alpha modulations across tasks, which would suggest separate neural sources.

As demonstrated in the contralateral-minus-ipsilateral difference plots of Figure 2A, cues in both the exogenous and hybrid attention tasks elicited lateralized changes in alpha frequency amplitude over occipital cortex, such that there was a greater decrease in alpha amplitude contralateral relative to ipsilateral to the cued location. In order to probe the time course of this lateralized oscillatory alpha activity in each task, pairwise comparisons were performed on

successive 50 ms sections of the average alpha-band amplitude values of the ipsilateral and contralateral hemispheres in each task. This analysis revealed significant lateralized alpha activity in the exogenous attention task from 150 – 450 ms ($ps < 0.04$), with marginally non-significant alpha activity stretching from 450 – 850 ms ($ps < 0.10$), and no reliable alpha activity thereafter. In contrast, significant lateralized alpha activity was present in the hybrid attention task from 150 – 850 ms ($ps < 0.05$), with marginally non-significant alpha activity from 850 – 1000 ms ($ps < 0.07$).

In order to compare the magnitude of this lateralized alpha activity across tasks, pairwise comparisons were performed on the alpha amplitude difference values (contralateral minus ipsilateral alpha amplitude) of each task in the a priori defined ACOP (260 – 360 ms) and LDAP (500 – 800 ms) time windows. These comparisons indicated that there was not a significant difference in the amplitude of lateralized alpha activity at the early time window, $t(15) = 0.01$, $p = 0.99$, $d = 0.003$, or at the late time window, $t(15) = 1.49$, $p = 0.16$, $d = 0.37$. In sum, these results show that lateralized alpha activity of similar magnitude emerges rapidly (~150ms post cue) following peripheral auditory cues, regardless of their spatial informativity, but tends to decay earlier (~450ms) when the cue is not informative about the spatial location of a target relative to when it predicts the target location (~850ms).

Together, these data show that lateralized changes in alpha activity of similar topography emerge quickly in response to peripheral cues regardless of their informativity. Importantly, however, these alpha changes only robustly sustain over the cue-target interval if a target is likely to appear at the cued location.

Exp. 1 Cue-elicited ERPs

Previous research has proposed that slow positive deflections in the ERP following informative central cues (the LDAP) and salient peripheral cues (the ACOP) may both represent either the orienting of spatial attention itself or the enhancement of visual-cortical processing prior to the onset of a target (Hillyard et al., 2016). If these positivities do in fact index a common process, we expect to observe positivities of similar topography in both the exogenous and hybrid tasks. However, the expected time course of these changes varies based upon whether the ERPs commonly index the orienting of attention or the biasing of visual cortex itself. If these slow potentials reflect the orienting of attention to a spatial location, we would expect to see a positivity of similar time course in response to each of the cues here – as exogenous attention should be shifted by the salient peripheral cues regardless of their informativity. Alternatively, if these positivities reflect the anticipatory biasing of visual-cortical activity, we would expect to observe only an early positivity in response to the uninformative cue of the exogenous task and both an early and late (or sustained) positivity in response to the informative cue of the hybrid attention task. Differences in spatial topography between these positivities or departures from the expected temporal patterns of these changes would argue against the interpretation of these positivities as common indices of attentional orienting and/or visual-cortical biasing.

As shown in Figure 3A, the ERP waveforms were more positive over the hemisphere contralateral vs. ipsilateral with respect to the cued location during, and beyond, the ACOP time window (260 – 360 ms) of both the exogenous and hybrid attention tasks. A two-way repeated-measures ANOVA with factors of hemisphere (ipsilateral vs. contralateral) and task (exogenous vs. hybrid) was performed on the ERP waveforms during the ACOP time window. This analysis revealed a main effect of hemisphere, $F(1, 15) = 20.88$, $p < 0.001$, $\eta^2 = 0.07$, indicating a significant difference between the amplitude of the ipsilateral and contralateral waveforms (i.e.,

ACOP). The magnitude of the ACOP was comparable across both tasks, as there was no significant main effect of task, $F(1, 15) = 0.78, p = 0.39, \eta^2 = 0.01$, nor an interaction between hemisphere and task, $F(1, 15) = 0.02, p = 0.90, \eta^2 < 0.001$.

Conversely, as can be seen in Figure 3A, a later contralateral vs. ipsilateral positivity (i.e., LDAP) was not readily evident in the ERP waveforms of either task. In order to test this statistically, a two-way repeated-measures ANOVA with factors of hemisphere (ipsilateral vs. contralateral) and task (exogenous vs. hybrid) was performed on the ERP waveform during the LDAP time window (500 – 800 ms). This analysis confirmed that there was no effect of hemisphere, $F(1, 15) = 0.24, p = 0.63, \eta^2 < 0.001$, nor an interaction between hemisphere and task, $F(1, 15) = 1.63, p = 0.22, \eta^2 = 0.002$, indicating that there was no hint of an LDAP. However, there was a significant main effect of task, $F(1, 15) = 24.01, p < 0.001, \eta^2 = 0.29$, indicating a general difference in average ERP magnitude between the two tasks. Altogether, these results show that a reliable contralateral positivity of comparable magnitude emerged quickly after cue onset over occipital and parietal-occipital cortex (i.e., ACOP), regardless of whether the cue was spatially informative (hybrid task) or uninformative (exogenous task).

To examine the time course of these positivities in more detail, pairwise comparisons were performed on successive 50 ms sections of the ipsilateral and contralateral ERP waveforms of each task. These comparisons indicated that the ACOP stretched from 250 – 550 ms in both the exogenous attention task (all $ps < 0.05$) and the hybrid attention task (all $ps < 0.04$).

These data argue against an interpretation of the early and late positivities previously observed in exogenous and endogenous attention tasks as common indices of visual-cortical biasing in anticipation of a target, as there was no late or sustained response to the informative peripheral cues of the hybrid task. However, the emergence of an early positivity (i.e. ACOP)

over occipital and parietal-occipital cortex in response to each of the peripheral cues suggests that the ACOP may represent a neural index of the orienting of attention and/or the initial biasing of visual-cortical processing. Notably, this orienting and/or biasing appears to occur regardless of the spatial informativity of the cue and is thus reflexive.

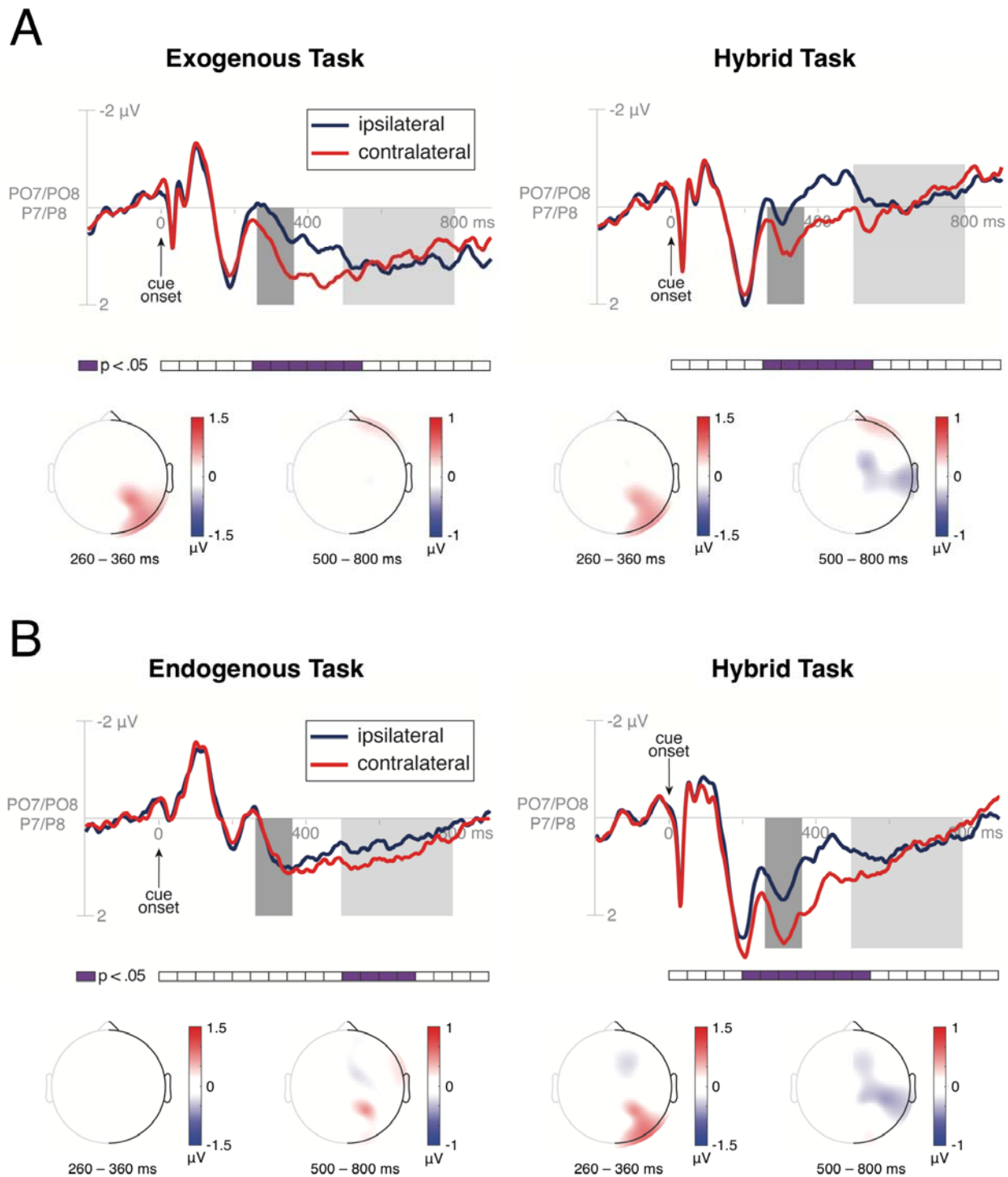


Figure 3. Grand-average ERP waveforms and topographies. ERPs at parietal-occipital scalp sites (PO7/PO8/P7/P8) were collapsed over left- and right-cue conditions and left and right hemispheres to obtain waveforms recorded ipsilaterally and contralaterally to the cued location. A priori defined ACOP and LDAP time windows are highlighted in dark gray and light gray,

respectively. Statistically significant ($p \leq 0.05$) differences between contralateral and ipsilateral waveforms are denoted in purple below the time axis. Topographical voltage maps show the contralateral-minus-ipsilateral ERP difference amplitudes, projected to the right side of the scalp during the ACOP and LDAP time windows. (A) A significant, early contralateral positivity (i.e. ACOP) was observed in response to the uninformative, peripheral cues of the exogenous attention task as well as the informative, peripheral cues of the hybrid attention task of Experiment 1. No LDAP was observed in the tasks containing peripheral sounds.

(B) A significant late positivity (i.e. LDAP) contralateral to the cued location was observed in response to the symbolic, central cues of the endogenous attention task of Experiment 2. An earlier contralateral positivity (i.e. ACOP) was observed in response to the informative, peripheral cues of the hybrid attention task.

Exp. 2 Behavior

As shown in Figure 1B, accuracy was higher following valid vs. invalid cues in both the endogenous and hybrid attention task of Experiment 2. Following the analysis strategy of Exp. 1, a two-way repeated-measures ANOVA with factors of cue validity (valid vs. invalid) and task (endogenous vs. hybrid) was performed. There was no significant main effect of task, $F(1, 15) = 0.15$, $p = 0.70$, $\eta^2 < 0.001$, but there was a significant main effect of cue validity, $F(1, 15) = 39.39$, $p < 0.001$, $\eta^2 = 0.28$, indicating that accuracy was significantly higher following valid relative to invalid cues. Interestingly, the magnitude of the observed behavioral benefits was greater in the hybrid attention task than in the endogenous attention task, as indicated by a significant interaction between cue validity and task, $F(1, 15) = 5.91$, $p = 0.03$, $\eta^2 = 0.03$. Follow-up t-tests confirmed that accuracy was higher following valid than invalid cues in both the endogenous task, $t(15) = 3.45$, $p = 0.004$, $d = 0.86$, and hybrid attention task, $t(15) = 6.12$, $p < 0.001$, $d = 1.53$.

Exp. 2 Cue-Elicited Alpha Oscillations

The results of Experiment 1 suggest that changes in alpha activity index a common process of visual-cortical facilitation across exogenous and endogenous attentional processes.

However, it is unclear from Experiment 1 alone whether the later changes in alpha activity observed in the hybrid task are similar to alpha changes elicited by central, symbolic cues typically used in endogenous cueing paradigms. If this is the case, then we would expect to observe late lateralized alpha activity of similar topography in response to informative peripheral and central symbolic cues of the hybrid and endogenous tasks, respectively. The principal differences between these neural measures should simply be their time course, with changes emerging quickly in response to the peripheral cues of the hybrid task and later in response to the central cues of the endogenous task. If lateralized changes in alpha activity index separate biasing processes in exogenous and endogenous cueing paradigms, then we would expect to either not observe differences in both tasks or find differences in the spatial topography of alpha across tasks.

As demonstrated in the contralateral-minus-ipsilateral difference plots of Figure 2B, both the endogenous and hybrid attention tasks elicited lateralized changes in alpha frequency amplitude, such that there was a greater decrease in alpha amplitude over the hemisphere contralateral relative to ipsilateral with respect to the cued location. First, in order to probe the time course of this lateralized alpha oscillatory activity in each task, pairwise comparisons were performed on successive 50 ms sections of the average alpha-band amplitude values of the ipsilateral and contralateral hemispheres in each task. This analysis revealed significant differences in alpha activity between the two hemispheres in the endogenous attention task from 650 – 900 ms (all $ps < 0.04$), with marginally non-significant alpha activity stretching from 900 – 1000 ms (all $ps < 0.09$). However, significant lateralized alpha activity was present much earlier in the hybrid attention task, lasting from 150 – 1000 ms (all $ps < 0.04$), replicating Exp. 1.

Second, in order to compare the magnitude of this lateralized alpha activity across tasks, pairwise comparisons were performed on the alpha amplitude difference values (contralateral minus ipsilateral alpha amplitude) of each task in the a priori defined time windows of the ACOP (260 – 360 ms) and LDAP (500 – 800 ms). These comparisons revealed that the amplitude of lateralized alpha activity was higher in the hybrid than endogenous attention task at the early time window, $t(15) = 2.69$, $p = 0.02$, $d = 0.67$; this difference remained present numerically at the later time window (500-800 ms), but was marginally non-significant then, $t(15) = 1.92$, $p = 0.07$, $d = 0.48$. In sum, these results reveal the presence of lateralized alpha activity following informative cues, with this activity emerging more quickly and with greater magnitude following peripheral vs. central informative cues.

Overall, these data are consistent with the hypothesis that lateralized changes in alpha activity are a general neural marker of visual-cortical enhancement following a cue, regardless of cue format or informativity. The two experiments here indicate that changes in alpha are sensitive to both the time course of attentional deployment following a cue and the spatial information carried by that cue.

Exp. 2 Cue-elicited ERPs

Though the results of Experiment 1 rule out an account of the ACOP and LDAP as common indices of biasing of visual cortex, it remains a possibility that each of these positivities indexes the orienting of attention. If this is true, then we would expect to observe positivities of similar topography in the endogenous and hybrid tasks that differ principally in their time course. This account predicts an early positivity in response to the salient peripheral cue of the hybrid task and a later positivity in response to the central, symbolic cue of the endogenous attention

task. Differences in spatial topography between these positivities or departures from the expected temporal patterns of these changes would argue against the interpretation of these positivities as common indices of attentional orienting.

As shown in Figure 3B, the ERP waveform contralateral to the cued location was more positive than the waveform ipsilateral to the cued location during, and beyond, the ACOP time window (260 – 360 ms) in the hybrid attention task. Conversely, this early positivity was not present in the endogenous attention task. To provide statistical support for these observations, a two-way repeated-measures ANOVA with factors of hemisphere (ipsilateral vs. contralateral) and task (endogenous vs. hybrid) was performed on the ERP waveform during the ACOP time window. This analysis revealed a significant main effect of hemisphere, $F(1, 15) = 11.99$, $p = 0.004$, $\eta^2 = 0.02$, and task, $F(1, 15) = 26.78$, $p < 0.001$, $\eta^2 = 0.14$, as well as a significant interaction between hemisphere and task, $F(1, 15) = 21.76$, $p < 0.001$, $\eta^2 = 0.02$, indicating that the main effects were driven by differences in the magnitude of the ACOP between tasks. Follow-up t-tests comparing the magnitude of the ipsilateral and contralateral ERP waveforms in each task revealed the presence of an ACOP in the hybrid attention task, $t(15) = 4.52$, $p < 0.001$, $d = 1.13$, but not the endogenous attention task, $t(15) = 0.08$, $p = 0.94$, $d = 0.02$.

Conversely, as can be seen in Figure 3B, a later contralateral vs. ipsilateral positivity (i.e., LDAP) was evident only in the ERP waveform of the endogenous attention task. In order to test for the presence of an LDAP in each task, a two-way repeated-measures ANOVA with factors of hemisphere (ipsilateral or contralateral) and task (endogenous or hybrid) was performed on the ERP waveform during the LDAP time window (500 – 800 ms). The analysis indicated that there was no significant main effect of task, $F(1, 15) = 0.16$, $p = 0.70$, $\eta^2 < 0.001$, nor an interaction between task and hemisphere, $F(1, 15) = 1.48$, $p = 0.24$, $\eta^2 = 0.002$. However, this analysis

revealed a marginally non-significant main effect of hemisphere, $F(1, 15) = 3.82, p = 0.07, \eta^2 = 0.01$. In order to probe this marginal effect further, follow-up t-tests were performed comparing the ipsilateral and contralateral ERP waveforms during the LDAP time window for each task. These comparisons indicated that there was no reliable LDAP in the hybrid attention task, $t(15) = 0.60, p = 0.56, d = 0.15$, but did indicate the presence of a significant LDAP in the goal-directed attention task, $t(15) = 2.54, p = 0.02, d = 0.64$. Altogether, these results indicate that a significant contralateral positivity emerged quickly following the informative, peripheral cue of the hybrid attention task (i.e., ACOP), and that an analogous – albeit smaller – contralateral positivity emerged on a later time frame (i.e., LDAP) following the informative, central cue of the endogenous attention task.

In order to examine the time course of each positivity in more detail, pairwise comparisons were performed on successive 50 ms sections of the ipsilateral and contralateral ERP waveforms of each task. These comparisons indicated the presence of a significant positivity from 200 – 550 ms in the hybrid attention task (i.e., ACOP; all $ps < 0.02$), and a significant positivity present from 500 – 700 ms (i.e., LDAP; all $ps < 0.03$) in the endogenous attention task.

Together, these data indicate that orienting attention following both peripheral and central informative cues results in a positivity in the cue-locked ERP. However, the time course of this positivity differs based upon the format of the cue – with a much earlier positive deflection emerging following peripheral cues and a relatively late positivity following central cues. Neither of these positivities sustained over the entire cue-target interval, further arguing against an account of the ACOP and LDAP as indices of the sustained biasing of visual-cortical processing. Additionally, these components appear to differ in their spatial topography, with the

ACOP showing both occipital and parietal-occipital foci and the LDAP showing only a parietal focus. This difference in topography implies that each positivity indexes partially differentiable underlying processes (see Discussion).

Discussion

A classic distinction in the attention literature is that between endogenous, or voluntary attention, and exogenous, involuntary attention. The differentiation of these two attention systems is well-motivated, as they are each initiated by different events, differ in terms of their temporal dynamics, and are implemented in segregated (though partially overlapping) brain networks (Kröse & Julesz, 1989; Müller & Rabbitt, 1989; Nakayama & Mackeben, 1989; Cheal & Lyon, 1991; Corbetta & Shulman, 2002; Peelen, Heslenfeld, Theeuwes, 2004; Chica et al., 2013). However, despite their differences, it has also been shown that each results in similar behavioral effects – improving perception of stimuli appearing at the attended location relative to unattended locations (for a review, see Carrasco, 2011). Here, we show that both endogenous and exogenous attention exert at least partially similar influences on visual-cortical processing in response to a cue and prior to the onset of a target. In particular, we found two neural signatures associated with the orienting of attention initiated by different cue types. First, oscillatory alpha activity was decreased over occipital cortex contralateral to the attended side for both endogenous (voluntary) and exogenous (involuntary) attention prior to the onset of a target, and these changes in lateralized alpha followed the expected time course known from behavioral studies. Importantly, the changes in oscillatory activity appeared within the same frequency range (8 – 13 Hz) and showed a similar contralateral-occipital focus across the tasks, consistent with the hypothesis that these changes are related to the same neural process. Secondly, we

observed positive deflections over parietal-occipital cortex in the ERP waveforms. We found an early positivity over contralateral occipital cortex in response to salient peripheral cues regardless of their spatial predictability (the ACOP), and a later and relatively weaker positive deflection distributed over parietal cortex in response to central, spatially informative symbolic cues (the LDAP). These slow-wave ERPs differed in terms of spatial topography and magnitude, suggestive of at least partially distinct underlying processes. Thus, we propose that the slow-waves observed following salient, peripheral cues (ACOP) and central, symbolic cues (LDAP) both index the orienting of attention – though the ACOP may additionally index the initial, reflexive activation of visual cortex following the engagement of exogenous attention. Changes in alpha activity, however, appear to reflect the spatially selective and temporally precise activation of neural populations in visual cortex during both exogenous and endogenous orienting of attention.

Several studies have shown that alpha activity decreases over contralateral occipital cortex with respect to a voluntarily attended location following an attention cue (Worden et al., 2000; Rihs, Michel, & Thut, 2007; Jensen & Mazaheri, 2010; Doesburg, Bedo, & Ward, 2016). These studies used spatial cueing paradigms where a centrally presented symbolic (visual) cue predicted the location of a subsequent visual target. The observed changes in alpha activity have been interpreted as reflecting top-down anticipatory visual-spatial attention signals that prepare visual cortex to bias subsequent inputs in favor of the attended location – and have often been interpreted as an important and unique index of endogenous attention (Klimesch et al., 1998; Worden et al., 2000; Thut et al. 2006; Doesburg et al., 2016). Consistent with this interpretation, these lateralized changes in alpha activity emerged on a relatively slow time scale. However, recent evidence points to the possibility of a much more rapid onset of lateralized alpha activity.

Using peripheral, salient sounds, it was shown that lateralized changes in alpha oscillations can be induced quickly and can be relatively short-lived (Störmer et al, 2016; Feng et al., 2017; see also, Bacigalupo, & Luck, 2019).

Here, by systematically varying the presentation format of the cue and its spatial informativity in a within-subject design, we were able to directly compare changes in oscillatory alpha activity following endogenous and exogenous attention cues. The data revealed clear lateralized changes in occipital alpha activity across all tasks. These lateralized alpha changes showed an extremely similar topographical distribution with a clear focus over contralateral occipital scalp sites, suggestive of a common neural source, but they differed in their temporal dynamics across tasks. While lateralized alpha activity emerged quickly following peripheral cues and was already present at about 150ms after the cue, this activity emerged later for symbolic cues (at about 650ms) and persisted throughout the entire cue-target interval only when the cue was spatially informative. Thus, these data point to two important aspects of occipital alpha oscillations. First, alpha activity appears to track spatial attention regardless of how attention was initially allocated. Secondly, the time course of alpha activity is sensitive to both the cue format (peripheral or central) and the spatial informativity (spatially informative or random) of the cue. Thus, if lateralized alpha activity reflects the anticipatory biasing of visual-cortical activity as previously suggested, such enhancement does not need to be regulated by top-down goals. Instead, alpha activity can be reflexively modulated by salient bottom-up signals that carry spatial information, regardless of the top-down goals of a given task. More generally, the pattern of alpha changes observed in the present study suggests that enhanced excitability of visual-cortical activity prior to the onset of a target (i.e., a shift of baseline activity) represents a

general mechanism of spatial attention engaged to facilitate processing of subsequent visual targets at the attended location.

We also observed slow positive deflections in the ERP waveforms in response to the different cues. Peripheral cues elicited a relatively early and transient positive deflection over parietal-occipital cortex contralateral to the cue location that was independent of the cue's spatial informativity (i.e., ACOP). Analogously, a smaller and later lateralized parietal positivity was observed following the central symbolic cues (i.e., LDAP). These ERP components have been reported previously, and they have each been linked to processes of exogenous and endogenous attention respectively (McDonald et al., 2013; Van Velzen, Forster, & Eimer, 2002). Both of the ERP components appeared as lateralized positive deflections in the ERP waveform; however, they varied substantially in timing and magnitude as well as their topographical distributions. In our tasks, the ACOP was distributed across both parietal and occipital scalp sites, whereas the LDAP showed a clear parietal focus, with no activation over occipital sites (see topographical maps in Fig. 2). While it has previously been proposed that both of these components may reflect the same attentional process, simply shifted in time (Hillyard et al., 2016), the current data suggest that this is not necessarily the case. The parietal focus of the LDAP, together with the finding that it dissipates prior to the onset of the target, is consistent with an account of the LDAP as indexing the orienting of attention to a symbolically cued location (Nobre, Sebestyen, & Miniussi, 2000; Van Velzen, et al., 2002; Green & McDonald, 2006), rather than accounts that have suggested the LDAP as reflecting the anticipatory biasing of visual processing (Hopf & Mangun, 2000; Kelly et al., 2010). This interpretation may explain the fact that the LDAP was absent when a peripheral and spatially informative cue was presented (Exp. 1), as attention was already oriented to the peripheral location by the time the LDAP is usually observed. The ACOP,

on the other hand, shows activation over parietal and occipital scalp sites, possibly indicating that it reflects a combination of the orienting response and initial biasing in visual cortex (McDonald et al., 2013; Feng et al., 2014; Hillyard et al., 2016). Together, these data suggest that the two ERP components reflect a shared process of attention – the initial orienting response – but that the occipital activation present observed in the ACOP may also represent early and reflexive biasing of neural activity in visual cortex.

The most prominent and well-established difference between endogenous and exogenous attention is the difference in their timing in affecting behavior (Nakayama & Mackeben, 1989; Müller & Rabbit, 1989). The present results further support these differences and show that the neural effects parallel the time course of behavioral benefits. What then explains these differences in timing? One possibility is that the slower timing of endogenous attention is simply due to the additional processes involved in interpreting the symbolic cue, mapping it to the corresponding target location, and planning the shift of attention to the appropriate location (Hazlett & Woldorff, 2004). Furthermore, the exact timing of these interpretation-and-mapping processes likely varies across trials, and this temporal variability could underlie the differences in magnitude of the peripheral and symbolic cueing effects observed here. Presumably, no such temporal variation occurs during the exogenous orienting of attention – where no additional mapping or planning processes are required. Thus, at this point it is difficult to disambiguate whether the differences in magnitude of ACOP and LDAP and lateralized changes in alpha activity are due to actual differences in the size of the effects, or whether they are simply a result of larger trial-by-trial variability in attentional shift time for endogenous relative to exogenous attention.

One challenge in comparing the effects of endogenous and exogenous attention is that, by definition, each is triggered by different events (e.g., cue types). Here, to tease apart the effects of different types of attention, we systematically varied cue format (peripheral vs. central) and cue informativity (spatially predictive or not). This was made possible by the inclusion of a novel, hybrid attention task that utilized an informative, peripheral cue – combining properties of cues typically used in endogenous and exogenous attention tasks. This hybrid attention task not only allowed us to disentangle the influence of cue format and informativity, but also represents a more ecologically valid cueing paradigm. In everyday life, salient events are often predictive of objects that we want to pay attention to. As such, it seems particularly adaptive for exogenous spatial attention, which may initially be captured by a salient event, to exert the same influences on visual-cortical processing as later-arriving effects of endogenous attention in order to optimize stimulus selection.

Overall, our data demonstrate that the orienting of spatial attention triggers changes in occipital alpha activity and slow deflections in the ERP waveforms – regardless of cue type. While these cue-elicited effects significantly differ in their time courses, similar to the behavioral effects of endogenous and exogenous attention, they appear strikingly similar in terms of neural processing. This suggests that endogenous and exogenous attention are – at least in part – supported by the same visual-cortical biasing mechanisms, and can thus effortlessly work together to promote most effective stimulus processing.

References

- Bacigalupo, F., & Luck, S. J. (2019). Lateralized Suppression of Alpha-Band EEG Activity As a Mechanism of Target Processing. *The Journal of Neuroscience*, *39*(5), 900–917.
<https://doi.org/10.1523/JNEUROSCI.0183-18.2018>
- Bowling, J. T., Friston, K. J., & Hopfinger, J. B. (2019). Top-down versus bottom-up attention differentially modulate frontal–parietal connectivity. *Human brain mapping*.
- Brainard, D. H. (1997). The Psychophysics Toolbox. *Spatial Vision*, *10*(4), 433–436.
<https://doi.org/10.1163/156856897X00357>
- Busse, L., Katzner, S., & Treue, S. (2008). Temporal dynamics of neuronal modulation during exogenous and endogenous shifts of visual attention in macaque area MT. *Proceedings of the National Academy of Sciences*, *105*(42), 16380–16385.
- Carrasco, M. (2011). Visual attention: The past 25 years. *Vision Research*, *51*(13), 1484–1525.
<https://doi.org/10.1016/j.visres.2011.04.012>
- Cheal, M., & Lyon, D. R. (1991). Central and Peripheral Precuing of Forced-Choice Discrimination. *The Quarterly Journal of Experimental Psychology Section A*, *43*(4), 859–880. <https://doi.org/10.1080/14640749108400960>
- Chica, A. B., Bartolomeo, P., & Lupiáñez, J. (2013). Two cognitive and neural systems for endogenous and exogenous spatial attention. *Behavioural brain research*, *237*, 107–123.
- Corbetta, M., & Shulman, G. L. (2002). Control of goal-directed and stimulus-driven attention in the brain. *Nature Reviews Neuroscience*, *3*(3), 201–215. <https://doi.org/10.1038/nrn755>
- Doesburg, S. M., Bedo, N., & Ward, L. M. (2016). Top-down alpha oscillatory network interactions during visuospatial attention orienting. *NeuroImage*, *132*, 512–519.
<https://doi.org/10.1016/j.neuroimage.2016.02.076>

- Eimer, M., Velzen, J. van, & Driver, J. (2002). Cross-Modal Interactions between Audition, Touch, and Vision in Endogenous Spatial Attention: ERP Evidence on Preparatory States and Sensory Modulations. *Journal of Cognitive Neuroscience*, *14*(2), 254–271.
<https://doi.org/10.1162/089892902317236885>
- Feng, W., Stormer, V. S., Martinez, A., McDonald, J. J., & Hillyard, S. A. (2014). Sounds Activate Visual Cortex and Improve Visual Discrimination. *Journal of Neuroscience*, *34*(29), 9817–9824. <https://doi.org/10.1523/JNEUROSCI.4869-13.2014>
- Feng, W., Störmer, V. S., Martinez, A., McDonald, J. J., & Hillyard, S. A. (2017). Involuntary orienting of attention to a sound desynchronizes the occipital alpha rhythm and improves visual perception. *NeuroImage*, *150*, 318–328.
<https://doi.org/10.1016/j.neuroimage.2017.02.033>
- Foster, J. J., Sutterer, D. W., Serences, J. T., Vogel, E. K., & Awh, E. (2017). Alpha-band oscillations enable spatially and temporally resolved tracking of covert spatial attention. *Psychological science*, *28*(7), 929-941.
- Giesbrecht, B., Weissman, D. H., Woldorff, M. G., & Mangun, G. R. (2006). Pre-target activity in visual cortex predicts behavioral performance on spatial and feature attention tasks. *Brain research*, *1080*(1), 63-72.
- Green, J. J., & McDonald, J. J. (2006). An event-related potential study of supramodal attentional control and crossmodal attention effects. *Psychophysiology*, *43*(2), 161–171.
<https://doi.org/10.1111/j.1469-8986.2006.00394.x>
- Green, J. J., & McDonald, J. J. (2010). Brief Reports: The role of temporal predictability in the anticipatory biasing of sensory cortex during visuospatial shifts of attention. *Psychophysiology*, *47*(6), 1057–1065. <https://doi.org/10.1111/j.1469-8986.2010.01025.x>

- Harter, M. R., Miller, S. L., Price, N. J., LaLonde, M. E., & Keyes, A. L. (1989). Neural Processes Involved in Directing Attention. *Journal of Cognitive Neuroscience*, *1*(3), 223–237. <https://doi.org/10.1162/jocn.1989.1.3.223>
- Hazlett, C. J., & Woldorff, M. G. (2004). Mechanisms of Moving the Mind's Eye: Planning and Execution of Spatial Shifts of Attention. *Journal of Cognitive Neuroscience*, *16*(5), 742–750. <https://doi.org/10.1162/089892904970735>
- Hillyard, S. A., Störmer, V. S., Feng, W., Martinez, A., & McDonald, J. J. (2016). Cross-modal orienting of visual attention. *Neuropsychologia*, *83*, 170–178.
- Hopf, J. M., & Mangun, G. R. (2000). Shifting visual attention in space: an electrophysiological analysis using high spatial resolution mapping. *Clinical neurophysiology*, *111*(7), 1241–1257.
- Hopfinger, J. B., & West, V. M. (2006). Interactions between endogenous and exogenous attention on cortical visual processing. *NeuroImage*, *31*(2), 774–789. <https://doi.org/10.1016/j.neuroimage.2005.12.049>
- Jensen, O., & Mazaheri, A. (2010). Shaping Functional Architecture by Oscillatory Alpha Activity: Gating by Inhibition. *Frontiers in Human Neuroscience*, *4*. <https://doi.org/10.3389/fnhum.2010.00186>
- Kastner, S., Pinsk, M. A., De Weerd, P., Desimone, R., & Ungerleider, L. G. (1999). Increased activity in human visual cortex during directed attention in the absence of visual stimulation. *Neuron*, *22*(4), 751–761.
- Kelly, S. P., Lalor, E. C., Reilly, R. B., & Foxe, J. J. (2006). Increases in Alpha Oscillatory Power Reflect an Active Retinotopic Mechanism for Distracter Suppression During

- Sustained Visuospatial Attention. *Journal of Neurophysiology*, 95(6), 3844–3851.
<https://doi.org/10.1152/jn.01234.2005>
- Kelly, S. P., Foxe, J. J., Newman, G., & Edelman, J. A. (2010). Prepare for conflict: EEG correlates of the anticipation of target competition during overt and covert shifts of visual attention. *European Journal of Neuroscience*, 31(9), 1690-1700.
- Klein, R. M. (2000). Inhibition of return. *Trends in cognitive sciences*, 4(4), 138-147.
- Klimesch, W., Doppelmayr, M., Russegger, H., Pachinger, T., & Schwaiger, J. (1998). Induced alpha band power changes in the human EEG and attention. *Neuroscience letters*, 244(2), 73-76.
- Kröse, B. J. A., & Julesz, B. (1989). The control and speed of shifts of attention. *Vision Research*, 29(11), 1607–1619. [https://doi.org/10.1016/0042-6989\(89\)90142-9](https://doi.org/10.1016/0042-6989(89)90142-9)
- Lakatos, P., Szilágyi, N., Pincze, Z., Rajkai, C., Ulbert, I., & Karmos, G. (2004). Attention and arousal related modulation of spontaneous gamma-activity in the auditory cortex of the cat. *Cognitive Brain Research*, 19(1), 1–9.
<https://doi.org/10.1016/j.cogbrainres.2003.10.023>
- Landau, A. N., Esterman, M., Robertson, L. C., Bentin, S., & Prinzmetal, W. (2007). Different effects of voluntary and involuntary attention on EEG activity in the gamma band. *Journal of Neuroscience*, 27(44), 11986-11990.
- Lopez-Calderon, J., & Luck, S. J. (2014). ERPLAB: An open-source toolbox for the analysis of event-related potentials. *Frontiers in Human Neuroscience*, 8, 213.
- Luck, S. J. (2014). *An introduction to the event-related potential technique*. MIT press.

- McDonald, J. J., Stormer, V. S., Martinez, A., Feng, W., & Hillyard, S. A. (2013). Salient Sounds Activate Human Visual Cortex Automatically. *Journal of Neuroscience*, *33*(21), 9194–9201. <https://doi.org/10.1523/JNEUROSCI.5902-12.2013>
- McDonald, John J., Teder-Sälejärvi, W. A., & Hillyard, S. A. (2000). Involuntary orienting to sound improves visual perception. *Nature*, *407*(6806), 906–908. <https://doi.org/10.1038/35038085>
- Müller, H. J., & Rabbitt, P. M. A. (1989). Spatial Cueing and the Relation between the Accuracy of “Where” and “What” Decisions in Visual Search. *The Quarterly Journal of Experimental Psychology Section A*, *41*(4), 747–773. <https://doi.org/10.1080/14640748908402392>
- Nakayama, K., & Mackeben, M. (1989). Sustained and transient components of focal visual attention. *Vision Research*, *29*, 1631–1647. [https://doi.org/10.1016/0042-6989\(89\)90144-2](https://doi.org/10.1016/0042-6989(89)90144-2)
- Nobre, A. C., Sebestyen, G. N., & Miniussi, C. (2000). The dynamics of shifting visuospatial attention revealed by event-related potentials. *Neuropsychologia*, *38*(7), 964–974. [https://doi.org/10.1016/S0028-3932\(00\)00015-4](https://doi.org/10.1016/S0028-3932(00)00015-4)
- Nobre, A. C., & Serences, J. T. (2018). Building on a Solid Baseline: Anticipatory Biases in Attention. *Trends in neurosciences*, *41*(3), 120-122.
- Peelen, M. V., Heslenfeld, D. J., & Theeuwes, J. (2004). Endogenous and exogenous attention shifts are mediated by the same large-scale neural network. *Neuroimage*, *22*(2), 822-830.
- Pelli, D. G. (1997). The VideoToolbox software for visual psychophysics: Transforming numbers into movies. *Spatial Vision*, *10*, 437–442.

- Pitts, M. A., Padwal, J., Fennelly, D., Martínez, A., & Hillyard, S. A. (2014). Gamma band activity and the P3 reflect post-perceptual processes, not visual awareness. *NeuroImage*, *101*, 337–350. <https://doi.org/10.1016/j.neuroimage.2014.07.024>
- Posner, M. I. (1980). Orienting of attention. *Quarterly Journal of Experimental Psychology*, *32*(1), 3–25. <https://doi.org/10.1080/00335558008248231>
- Posner, M. I., & Cohen, Y. (1984). Components of visual orienting. *Attention and performance X: Control of language processes*, *32*, 531-556.
- Reynolds, J. H., & Chelazzi, L. (2004). Attentional Modulation of Visual Processing. *Annual Review of Neuroscience*, *27*(1), 611–647.
<https://doi.org/10.1146/annurev.neuro.26.041002.131039>
- Rihs, T. A., Michel, C. M., & Thut, G. (2007). Mechanisms of selective inhibition in visual spatial attention are indexed by α -band EEG synchronization. *European Journal of Neuroscience*, *25*(2), 603–610. <https://doi.org/10.1111/j.1460-9568.2007.05278.x>
- Samaha, J., Sprague, T. C., & Postle, B. R. (2016). Decoding and reconstructing the focus of spatial attention from the topography of alpha-band oscillations. *Journal of cognitive neuroscience*, *28*(8), 1090-1097.
- Störmer, V. S., McDonald, J. J., & Hillyard, S. A. (2009). Cross-modal cueing of attention alters appearance and early cortical processing of visual stimuli. *Proceedings of the National Academy of Sciences*, *106*(52), 22456–22461.
- Störmer, V. S., Alvarez, G. A., & Cavanagh, P. (2014). Within-hemifield competition in early visual areas limits the ability to track multiple objects with attention. *Journal of Neuroscience*, *34*(35), 11526-11533.

- Störmer, V. S., Feng, W., Martinez, A., McDonald, J. J., & Hillyard, S. A. (2016). Salient, Irrelevant Sounds Reflexively Induce Alpha Rhythm Desynchronization in Parallel with Slow Potential Shifts in Visual Cortex. *Journal of Cognitive Neuroscience*, 28(3), 433–445. https://doi.org/10.1162/jocn_a_00915
- Störmer, V.S., McDonald, J.J., & Hillyard, S.A. (2019). Involuntary orienting of attention to sight or sound relies on similar neural biasing mechanisms in early visual processing. *Neuropsychologia*, 132, 107122.
- Tallon-Baudry, C. (2009). The roles of gamma-band oscillatory synchrony in human visual cognition. *Front Biosci*, 14, 321-332.
- Thut, G., Nietzel, A., Brandt, S. A., & Pascual-Leone, A. (2006). α -Band electroencephalographic activity over occipital cortex indexes visuospatial attention bias and predicts visual target detection. *Journal of Neuroscience*, 26(37), 9494-9502.
- Torrence, C., & Compo, G. P. (1998). A Practical Guide to Wavelet Analysis. *Bulletin of the American Meteorological Society*, 79(1), 61–78. [https://doi.org/10.1175/1520-0477\(1998\)079<0061:APGTWA>2.0.CO;2](https://doi.org/10.1175/1520-0477(1998)079<0061:APGTWA>2.0.CO;2)
- Van Velzen, J., Forster, B., & Eimer, M. (2002). Temporal dynamics of lateralized ERP components elicited during endogenous attentional shifts to relevant tactile events. *Psychophysiology*, 39(6), 874–878. <https://doi.org/10.1111/1469-8986.3960874>
- Watson, A. B., & Pelli, D. G. (1983). QUEST: A Bayesian adaptive psychometric method. *Perception & psychophysics*, 33(2), 113-120.
- Worden, M. S., Foxe, J. J., Wang, N., & Simpson, G. V. (2000). Anticipatory biasing of visuospatial attention indexed by retinotopically specific alpha-band electroencephalography increases over occipital cortex. *Journal of Neuroscience*, 63.

Wright, R. D., & Ward, L. M. (2008). *Orienting of Attention*. Oxford University Press.