1	Novel pelagiphages prevail in the ocean
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21 Abstract

Viruses play a key role in biogeochemical cycling and host mortality, metabolism, 22 physiology and evolution in the ocean. Viruses that infect the globally abundant 23 marine SAR11 bacteria (pelagiphages) were reported to be an important component of 24 the marine viral community. In this study, ten pelagiphages that infect three different 25 Pelagibacter strains were isolated from various geographical locations and were 26 genomically characterized. All ten pelagiphages are novel, representing four new 27 28 lineages of the *Podoviridae* family. Although they share limited homology with cultured phage isolates, they are all closely related to some environmental viral 29 fragments. Two HTVC023P-type pelagiphages are shown to be related to the 30 abundant VC 6 and VC 8 viral populations of the Global Oceans Viromes (GOV) 31 32 datasets. Interestingly, HTVC103P-type pelagiphages contain a structural module similar to that in SAR116 phage HMO-2011. Three HTVC111P-type pelagiphages 33 and HTVC106P are also novel and related to GOV VC 41 and VC 67 viral 34 populations, respectively. Remarkably, these pelagiphage represented phage groups 35 36 are all globally distributed and predominant. Half of the top ten most abundant known marine phage groups are represented by pelagiphages. The HTVC023P-type group is 37 the most abundant known viral group, exceeding the abundance of HTVC010P-type 38 and HMO-2011-type groups. Furthermore, the HTVC023P-type group is also 39 abundant throughout the water column. Altogether, this study has greatly broadened 40 our understanding of pelagiphages regarding their genetic diversity, phage-host 41 interactions and the distribution pattern. Availability of these newly isolated 42 pelagiphages and their genome sequences will allow us to further explore their phage-43 44 host interactions and ecological strategies.

45

46 Introduction

As the most abundant biological entities in the ocean, viruses play critical roles
in impacting marine biogeochemical cycling and shaping the microbial community
structure and function (1-3). They also harbor enormous genetic diversity and diverse

50 metabolic potentials (4-7). Despite the fundamental importance of marine viruses, we just began to understand the diversity of marine viral communities. In the most recent 51 decade, culture-independent metagenomic surveys (4-8), metagenomics fosmids (9, 52 10) and single-cell genomics (SCGs) (11-13) have been used to explore the marine 53 viral genetic and functional diversity and obtain novel viral genomic fragments from 54 uncultivated viruses. For example, 15,280 viral populations belonging to 867 genus-55 level viral clusters were identified from the analysis of the Global Oceans Viromes 56 57 (GOV) (5), 488,130 viral populations were recently identified from GOV 2.0 datasets (6), and more than 1,000 viral genomic fragments were retrieved from a single fosmid 58 library from the Mediterranean deep chlorophyll maximum (MedDCM) (9). These 59 studies unveiled enormous diversity of viruses in the ocean. In contrast, culture-60 dependent viral isolation has a limited contribution to reveal the marine viral diversity 61 due to the fact that many bacterial groups are not easy to be cultivated in the 62 laboratory. The number of cultured viruses from the ocean is far less compared to the 63 number of omic-assembled viruses. The culture- independent studies have unveiled 64 65 enormous diversity of viruses in the ocean, and at the same time, raised challenges on finding their potential hosts and unravelling their ecological and biological roles. 66 Considerable efforts have been made to predict the hosts of viral sequences and to 67 predict potential phage-host interactions (5, 9, 14). Despite these efforts, hosts of most 68 viral clusters identified from the GOV still remain unknown and the majority of the 69 viral clusters identified from the GOV lack any cultivated representative (5). 70 Although current isolated phages are insufficient for elucidating the natural viral 71 diversity in the ocean, the isolation and genomic analysis of some important marine 72 73 phages, such as cyanophages, SAR116 phage (15) and SAR11 phages (referred as 74 pelagiphages) (16), have greatly facilitated the interpretation of marine virome 75 datasets. The discovery of SAR11 phages (16) and a SAR116 phage (15) advocates for the importance of viral isolation. It was estimated that the isolation of 76

pelagiphages and SAR116 phage HMO-2011 increased the number of known reads of

in viral metagenomes by 30% (17). Therefore, isolation and sequencing more phages

infecting ecologically important bacterial hosts is of urgency in the area of marine
viral ecology. In addition, having phage isolates in culture has the advantages of
obtaining full genome sequences, gaining phage biological information, establishing
cultivated virus-host model systems for a better understanding the phage infection
process and ecological functions in marine ecosystems.

84 The order *Pelagibacterales* (SAR11) within the *Alphaproteobacteria*, is ubiquitous in the marine environments, accounting for approximately one-third of the 85 86 oceanic prokaryotic cells (18-20), making the SAR11 clade the largest population of closely related heterotrophic bacteria on Earth. SAR11 bacteria are typical marine 87 "difficult-to-culture" oligotrophic bacteria, exhibiting a slow growth rate and 88 requiring unusual culturing condition (18, 21). Due to the difficulty in SAR11 bacteria 89 culturing and pelagiphage isolation, the genomic and ecological study of pelagiphages 90 has just begun to be addressed by a few studies. Pelagiphages are among the most 91 abundant marine known phage groups, and they influence the population dynamics 92 and evolution of SAR11 (16). Given the ecological importance of SAR11, 93 94 pelagiphage has received much research attention since the four pelagiphage isolates were reported in 2013. Currently, 15 pelagiphages belonging to three distinct phage 95 groups have been reported, and they possess diverse genetic contents and novel life 96 strategies (16, 22). Efforts are still needed to further explore the diversity of 97 pelagiphages in order to better understand their genomic evolution and phage-host 98 99 interactions.

In this study, we isolated and sequenced ten new pelagiphages from diverse marine environments. High levels of genetic diversity were revealed across these pelagiphage genomes. We showed that these pelagiphages belong to four novel viral groups and are closely related to many environmental viral fragments. Finally, metagenomic recruitment analyses reveal the dominance of certain pelagiphage represented phage groups in the upper ocean as well as in the deep ocean.

106

107 Results and discussion

Morphology and general features of newly isolated pelagiphages. In this study, a 108 culture-dependent approach was employed to further explore the genetic diversity of 109 pelagiphages. Ten pelagiphages infecting three SAR11 strains (98.9-99.4% 16S rRNA 110 gene sequence identity) that belong to the SAR11-Ia subclade were isolated from 111 diverse marine environments (Table 1). All pelagiphages belong to the *Podoviridae* 112 family with capsid length ranging from 55 to 69 nm (Fig. 1A). It is noteworthy that 113 among 15 previously isolated pelagiphages (16, 22), 14 are podoviruses, and only one 114 115 is myovirus. No siphovirus infecting SAR11 has been reported yet. At present, all reported pelagiphages infect closely related SAR11 Ia isolates. More novel phage 116 groups are expected to be discovered when diverse SAR11 strains are used for phage 117 118 isolation.

All newly isolated pelagiphage genomes were assembled into a circular contig, indicating their genome completeness. The general features of these pelagiphages are shown in Table 1. The genome sizes of these pelagiphages vary from 32.4 to 60.8 kb with a G+C content of 30.4% to 35.0%, which is close to the G+C content of their hosts (29.0 to 29.7%) and other previously reported pelagiphages (29.7 to 35.5%) (16, 22). No tRNA sequences were identified in all 10 pelagiphage genomes.

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Marine pelagiphages possess genetic diversity and novelty. Overall, the 10 126 pelagiphages reported here share limited sequence homology with other cultured 127 phage isolates. Comparative genomics analysis categorized these pelagiphages into 128 four distinct phage groups (at the genus-level approximately) (Figs. 1B and 2). To 129 date, seven distinct phage groups were identified from pelagiphage isolates, six of 130 131 which belong to the *Podoviridae* family, suggesting that podoviruses exert primary top-down control on the abundance and dynamics of SAR11 population. The 132 prevalence and dominance of Pelagibacter podoviruses in the ocean is supported by 133 the dominance of their viromic matches (see later Viromic fragment recruitment 134 analyses). Integrase genes and other genes related to the lysogenic life cycle were not 135 136 identified in all 10 pelagiphage genomes, indicating that these pelagiphages all infect

137 SAR11 bacteria using the lytic infection strategy.

138

Match to the most abundant GOV viral clusters. HTVC023P and HTVC027P are 139 closely related, belonging to a novel HTVC023P-type phage group (Fig. 2A). Thirty-140 seven ORFs are shared between HTVC023P and HTVC027P (with 31 to 93% amino 141 acid identity) and they have a conserved overall genome arrangement with few gene 142 rearrangements (Fig. 2A). HTVC023P and HTVC027P exhibit no significant genomic 143 144 synteny with other known phage isolates, thus possessing genomic and evolutionary novelty. Approximately half of the predicted ORFs from both HTVC023P and 145 HTVC027P genomes show homology to genes from other types of phage and 146 bacterial genomes. The remaining ORFs have no homologs in the NCBI-RefSeq 147 database and some only hit environmental sequences. Of the predicted ORFs, only 148 17% were assigned to putative biological functions based on the sequence homology 149 150 analysis.

ORFs encoding the proteins necessary for phage DNA replication, packaging, 151 152 morphology and lysis were identified. Although HTVC023P and HTVC027P resemble podoviruses in morphology, only few ORFs in their genomes have the best 153 hit to other phages in the *Podoviridae* family. In the DNA replication region, both 154 HTVC023P-type pelagiphages contain a DNA polymerase gene, a DNA helicase gene 155 and a few function-unknown ORFs that are homologous to genes from siphoviruses, 156 including Dinoroseobacter phage vB_DshS-R5C, Proteobacterial phage phiJL001 157 and several Yuavirus siphophages, with low amino acid identity (ranging from 26 to 158 39%). Very few putative structural proteins could be identified with weak homology 159 160 to other phage structural proteins. For example, HTVC027P ORF85 is homologous to the putative structure protein from Cellulophaga phage 18:3, and two others ORFs 161 (HTVC023P ORF69 and HTVC027P ORF69) have small regions of homology to the 162 putative tail fiber genes from some phage genomes. These results imply the existence 163 of a novel set of phage structural proteins in the HTVC023P and HTVC027P 164 165 genomes. Terminase large and small subunit (TerL and TerS) genes involved in DNA

packaging were predicted, which are more closely related to TerL genes in some 166 bacterial genomes. Both HTVC023P and HTVC027P harbor a GroES gene that 167 encode a 10 kDa co-chaperonin. Many bacteria contain the GroEL/GroES molecular 168 chaperonin system that is responsible for proper folding of many proteins, thus 169 playing an important role in cell growth and cellular phage assembly (23, 24). 170 Bacteriophage encoded cochaperonins were identified and studied in some phage 171 genomes (25, 26). Both HTVC023P and HTVC027P GroES genes do not show 172 173 significant homology to any phage GroES, while being mostly related to GroES sequences retrieved from marine viromes (27). Sequence analyses suggests that 174 GroES in HTVC023P and HTVC027P are clustered with GroES clusters 14 and 175 cluster 1, respectively, which were among the most abundant GroES clusters 176 identified from viromic datasets (27). 177 The result of the DNA polymerase gene phylogeny analysis shows that 178 HTVC023P and HTVC027P DNA polymerases are placed with clade III DNAP 179 genes identified in a previous shotgun metaviromes study (28), and DNA polymerases 180 181 from vB DshS-R5C, Yuavirus siphoviruses and phiJL001 are more distantly related (Fig. 1C). Clade III DNA polymerases accounted for 77% of all identified DNA 182 polymerases from the Chesapeake Bay, Gulf of Maine and Dry Tortugas (28). It was 183 previously speculated that Clade III DNA polymerases are likely from lysogenic 184 phages (28), whereas our study shows that this DNA polymerase group is related to 185 lytic podoviruses represented by HTVC023P-type pelagiphages. 186 Gene-content-based network analysis reveals that 443 viral sequences (>20kb) 187 from diverse ocean regions were grouped into a viral cluster (VC 009) with 188 HTVC023P-type pelagiphages (Fig. 3), suggesting that that close relatives of 189 HTVC023P-type pelagiphages exhibit globally distribution pattern. Phylogenic 190 analysis based on the VC 009 DNA polymerase sequences reveals a high level of 191 diversity (Fig. 4). We notice that GOV populations grouped with HTVC023P-type 192 pelagiphages are exclusively from GOV viral clusters VC 6 and VC 8 (5). VC 6 and 193 194 VC 8 were two of the most globally abundant viral clusters identified in GOV study

195 (5). Genomic analysis reveals the genetic relatedness and genome synteny between HTVC023P-type pelagiphages and representative contigs from GOV VC 6 and 196 VC 8, showing a high degree of synteny (Fig. 5A). Approximately half of the contigs 197 in GOV VC 6 and VC 8 share more than 40% genes with HTVC023P-type 198 pelagiphages and most of the remaining contigs share more than 20% genes with 199 HTVC023P-type pelagiphages. In most cases, the low percentage of shared genes 200 between viral populations and HTVC023P-type pelagiphages is due to some 201 202 environmental viral sequences covering the nonconserved variable phage genome regions (data not shown). These results suggest that HTVC023P-type pelagiphages 203 and most viral populations from GOV VC 6 and VC 8 can be grouped at the 204 genus/subfamily-level. The phylogenetic analysis reveals that all GOV VC 6 and 205 VC 8 DNA polymerases are clustered with HTVC023P-type DNA polymerases, with 206 36% to 87% amino acid identity (SI Appendix, Fig. S1). 207

These two pelagiphages also show high homology with a viral single-amplified 208 genome contig, vSAG 37-F6 (13) (Over 80% of the predicted proteins in vSAG 37-209 210 F6) (Fig. 5A). The vSAG 37-F6 population was reported to be closely related to GOV VC 6 and VC 8 and has been shown to be abundant in several oceanic regions (13). 211 SAR11 was recently predicted as putative host of vSAG 37-F6 population by single-212 cell genomics (29). However, before our study, phage-host system of these extremely 213 214 important viral clusters still remained unavailable. It is noteworthy that in an earlier study, homologs of HTVC023P-type genomes were also found in single cell genomic 215 analyses of Verrucomicrobia and Bacteroidetes (AAA160P02 and AAA164-I21) (S1 216 Appendix, Fig. S2) (12), suggesting that members of the HTVC023P-type group may 217 infect different taxonomic groups of bacteria. Further investigation based on culture-218 independent or culture-dependent studies are required to explore the diversity and 219 infected hosts of this important viral group. 220

Taken together, close relatives of HTVC023P-type pelagiphages have been previously identified from some culture-independent studies and were revealed to be extremely abundant. These two HTVC023P-type pelagiphages are first known

224 cultured representatives of this important viral group.

225

Homology to the HMO-2011-type phage group. Three pelagiphages, HTVC103P, 226 HTVC104P and HTVC115P are closely related, belonging to a novel HTVC103P-227 type group (Figs. 1B and 2B). Approximately 15% of their ORFs were assigned with 228 putative functions. HTVC103P-type pelagiphages exhibit novel genomic 229 architectures, containing two functional modules, including a DNA replication 230 231 module and a phage structural and packaging module (Fig. 2B). In the DNA 232 replication module, DNA polymerase, DNA primase and single-strand binding protein were predicted from all three HTVC103P-type pelagiphage genomes. The 233 closest homologs of HTVC103P-type DNA polymerases and primases from isolated 234 phages are those found in members of the Autographivirinae subfamily and 235 Cobavirus group roseophages. Interestingly, HTVC103P and HTVC104P both share 236 12 genes with SAR116 phage HMO-2011 and HTVC115P shares 16 genes with 237 HMO-2011. Most of the HMO-2011 homologs are in the structural and packaging 238 239 modules, including genes encoding capsid, portal and terminase (Fig. 2B). In addition, there is considerable amino acid identity and conserved gene synteny between 240 HTVC103P-type pelagiphages and HMO-2011 in this region (27-68% amino acid 241 identity). Gene content-based network analysis also reveals the relatedness between 242 HTVC103P-type pelagiphages and HMO-2011-type phages (Fig. 3). These results 243 suggest that HTVC103P-type genomes are probably composed of a DNA replication 244 module and a phage structural and packaging module with distinct evolutionary 245 246 origins and histories. These results indicate that horizontal gene exchange of the 247 function module among phages may play an important role in driving evolution and genetic diversity of pelagiphages. It is likely that the transfer of a set of structural or 248 DNA replication machinery genes occurred when divergent phages infected the same 249 host cell or when there was contact between a resident prophage and an invading 250 phage. Further investigation are required to illuminate the evolutionary trajectories of 251 252 this novel phage group.

253 The DNA polymerase gene based phylogeny reveals that HTVC103P-type DNA polymerases are grouped with clade I DNA polymerases and are more distantly 254 related to DNA polymerases from other Autographivirinae phages and Cobavirus 255 roseophages (Fig. 1*C*). Clade I was another abundant DNA polymerase clade that was 256 previously identified from shotgun metaviromes (28). In contrast, the structural genes 257 of HTVC103P-type pelagiphages are most similar to those in HMO-2011-type 258 phages. This result suggests that a phylogenetic approach based on a single gene has a 259 260 limitation in revealing the evolutionary relationship among various phages. A gene content-based network can be used as a complement. Network analysis shows that a 261 group of environmental viral fragments (49 sequences, ≥ 20 kb) were clustered with 262 HTVC103P-type pelagiphages, forming a viral cluster VC_005 (Fig. 3). VC_005 263 shows distant relatedness to the HMO-2011-type group (Fig. 3). The DNA 264 polymerase gene phylogeny reveals that the DNA polymerase genes of VC 005 all 265 cluster with HTVC103P-type pelagiphages and are distinct from HMO-2011-type 266 DNA polymerases (S1 Appendix, Fig. S3). 267

268

269 The new HTVC111P-type group and HTVC106P pelagiphage. The HTVC111P-

type phage group currently comprises pelagiphage HTVC111P, HTVC112P,

271 HTVC026P and HTVC202P. Within this group, 45% to 67% of genes were shared

272 (Fig. 1*B*). Genes responsible for phage replication, morphology, packaging, and lysis

273 were identified from HTVC111P-type pelagiphage genomes with homology to genes

from diverse bacteria and bacteriophages (Fig. 2*C*). The DNA polymerase gene was

not found in the HTVC111P-type pelagiphage genomes and structural genes show

very weak similarity to proteins from other known phage genomes, suggesting that

- 277 this group of phages contain novel morphogenesis modules and may rely more on
- host replication system. The TerL gene in the HTVC111P-type genomes also show no
- significant similarity to other phage TerL genes.

Pelagiphage HTVC106P also lacks a clear relationship to any known phage
isolates. Of 70 predicted ORFs in HTVC106P, approximately 40% have homologs in

282 other organisms in the NCBI-RefSeq database and only 11 ORFs were assigned with putative functions (Fig. 2D). For the remaining ORFs, most were highly similar to 283 genes found only in metagenomic sequences. The ORFs assigned with functions are 284 involved in DNA processing, virion morphogenesis, DNA packaging and lysis. The 285 DNA replication genes were not identified in the HTVC106P genome, suggesting that 286 287 HTVC106P may rely more on the host replication system or contain a novel replication module. The morphogenesis genes of HTVC106P show homology to some 288 289 phages; for example, the HTVC106P portal protein, capsid and scaffolding protein exhibit distant homology with those of Bruynoghevirus phages, and the HTVC106P 290 tail fiber protein and some other structural proteins are homologous with those in 291 pelagiphage HTVC010P. HTVC106P contains a TerL related to the TerL in 292 pelagiphage HTVC010P (49% amino acid identity), suggesting that HTVC106P 293 possibly shares a conserved DNA packaging strategy with HTVC010P. 294 The close relatedness between HTVC111P-type pelagiphages, HTVC106P and 295 some metagenomic viral fragments are also revealed by network analysis, with 165 296

and 75 sequences (≥20kb) grouped with HTVC111P-type pelagiphages and

HTVC106P, respectively (see VC_016 and VC_018 in Fig. 3). Phylogeny of capsid

299 protein sequences reveals a high level of diversity of these two viral clusters (S1

300 Appendix, Figs. S4 and S5). Network analysis also reveals the affiliation of

301 pelagiphages with previouly identified viral clusters in GOV. The GOV viral

302 populations grouped with HTVC111P-type pelagiphages and HTVC106P are

303 exclusively from GOV viral cluster VC_41 and VC_67, respectively (5).

Approximately 80% of the viral populations (≥ 20 kb) of VC 41 and 70% of the viral

305 populations (≥20kb) of VC 67 are clustered with HTVC111P-type pelagiphages and

- 306 HTVC106P, respectively. Genomic analysis reveals the genetic relatedness and
- 307 genome synteny between HTVC111P-type pelagiphages and representative contigs
- 308 from GOV VC_41, HTVC106P and representative contigs from VC_67 (Fig. 5*B*, 5*C*).
- 309 The hosts of GOV VC 41 and VC 67 were not predicted yet (5). Most viral
- 310 populations in GOV VC_41 and VC_67 share more than 20% genes with

- 311 HTVC111P-type phages and HTVC106P, respectively, indicating a possible
- 312 relationship between these phages at the subfamily-level.
- 313

Pelagiphage lytic and lysogenic developmental strategies. Although the integrase 314 gene was not identified from all ten pelagiphages (described above), a search for 315 integrase genes reveals that a total of 27 environmental viral sequences closely related 316 to HTVC106P were found to contain a tyrosine integrase gene (PFAM, PF00589) (S1 317 318 Appendix, Fig. S6). Phage integrase mediates the site-specific recombination between phage sequence and bacterial sequences(30, 31). In addition, most of these integrase-319 containing viral sequences possess a sequence identical to SAR11 tRNA sequences 320 (tRNA-Thr or tRNA-Asn) (Sl Appendix, Fig. S6), which are likely to be the phage 321 322 integration sites. In contrast, no identifiable integrases were found from environmental viral sequences closely related to other three phage groups. Although 323 no intact prophages have been found in SAR11 genomes, lysogenic infection has been 324 reported in HTVC019P-type pelagiphages (22). Our analysis suggests that a portion 325 326 of the HTVC106P-type pelagiphages can infect the hosts via the lysogenic cycle, while other three pelagiphage types may have a strict lytic life strategy. These 327 findings indicate that pelagiphage have diverse life strategies and lytic infection 328 strategy is presumably the predominant form of pelagiphage-SAR11 interaction. 329

330

Pelagiphages dominate the marine viromes. The ecological importance of 331 pelagiphages are reflected by their sheer abundance and ubiquity, as well as the 332 333 predominance of their hosts in the ocean. In recent years, the marine viromic reads 334 increased exponentially, providing valuable resources for assessing the relative 335 abundance and distribution pattern of important viral groups in the ocean (5, 6, 32). In this study, a total of 174 marine virome datasets from various oceanic sites were 336 downloaded for the viromic fragment recruitment analysis (S1 Appendix, Table S1). 337 We mainly used the reciprocal best-hit strategy to estimate the relative abundance of 338 339 phage groups. Instead of accessing the relative abundance of each viral genome by

recruiting viromic reads with a high nucleotide identity (>95% or 90%) (9, 10, 13, 33,
34), reciprocal recruitment method estimates the relative abundance of different phage
groups at the genus or subfamily level. Due to the fact that a viral taxonomic group
comprise evolutionarily diverse genotypes, reciprocal recruitment analysis can obtain
an estimation of the relative abundance of important phage groups.

345 It is striking that within the top ten most abundant known phage groups at each marine viromic dataset, approximately half were represented by pelagiphages (Fig. 6 346 347 and Dataset S1), suggesting that pelagiphages are abundant components of the marine viral communities. The HMO-2011-type group, some cyanophage- and roseophage-348 represented groups were also abundant throughout the world's ocean (Fig. 6). The 349 new HTVC023P-type group was the most abundant viral group in the majority (80%) 350 351 of the analyzed viromic datasets, exceeding the HMO-2011-type group and the HTVC010P-type group (Fig. 7). On average, the HTVC023P-type group is 2.8 and 352 2.2 times more abundant than the HMO-2011-type group and the HTVC010P-type 353 group, respectively. The reads assigned to the HTVC023P-type group accounted for 354 355 0.55% to 7.59% of total vironic reads in various oceanic virones, suggesting that the HTVC023P-type phage group dominates the marine viromes (Dataset S1). 356

The HTVC103P-type group ranked among the five most abundant viral groups 357 in most viromic datasets. A remarkable feature of HTVC103P-type pelagiphages is 358 that they harbor a set of structural genes that are homologous to those in HMO-2011-359 type phages. HMO-2011-type phages were highly represented in some ocean viromes, 360 and the known host of HMO-2011-type phages currently comprise SAR116 and RCA 361 roseobacters (15, 35). The structural gene homology between HTVC103P-type 362 363 pelagiphages and HMO-2011-type phages raise the possibility that a portion of the 364 viromic reads that were previously assigned to the HMO-2011-type group might be assigned to the HTVC103P-type group when HTVC103P-type genomes are included 365 366 in the analysis. It was then estimated that the reads that were assigned to the HMO-367 2011-type group decreased by approximately 12% when HTVC103P-type 368 pelagiphage genomes were included in the analysis (data not shown), thus

demonstrating that the HTVC103P-type group contributed to the abundance of HMO2011-type phages in previous studies. When more related phage isolates are available,
the analysis on phage relative abundance might be different to some extent.

Among all known pelagiphage groups, the HTVC112P-type and HTVC106Ptype were less abundant than other pelagiphage groups, but they were still abundant and globally distributed. In the upper waters, the HTVC111P-type appeared to be as abundant as the HTVC019P-type group and the T4-like cyanomyoviruse group.

376 The above results further support that pelagiphages are extremely abundant and widely distributed in the ocean. Considering the ubiquity and dominance of SAR11 377 bacteria in the ocean, they are prone to be attacked by viruses. The vast population 378 sizes of SAR11 support the thriving of pelagiphages. The prevalence of pelagiphages 379 in marine viromes suggests that SAR11 populations are under intense phage infection 380 pressure. Podoviruses act as a primary contributor to SAR11 mortality and exert 381 major control on the abundance and dynamics of SAR11 population. Although there 382 is presumably significant cell loss of SAR11 populations due to the viral predation, as 383 384 described by the King of the Mountain (KoM) hypothesis, the high recombination frequencies of SAR11 may also influence the distribution of phage-defense alleles, 385 maintaining the coexistence of a high abundance of host and phages (16). 386

Among all known pelagiphage represented phage groups, the dominance of HTVC023P-type phages raises the question of what characteristics make them most successful. It presumably links to their biological traits; that is, they are likely to have higher infection efficiency (faster replicating) or they are more competitive when competing with other types of phages for hosts in complex viral assemblages.

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Vertical profiles of pelagiphages. We compared the relative abundance of major marine phage groups at different water depths. There were significant variations in the relative abundance of most phage groups on the vertical scale (Fig. 6*A*, *B*). The relative abundance of the HTVC023P-type group appeared lower in mesopelagic (200 to 1000 m) and bathypelagic (1000 to 4000 m) samples than in epipelagic samples. 398 Considering the cellular contamination reported in the bathypelagic viromes (5), it is possible that the HTVC023P-type exhibited comparable abundance throughout the 399 water column. Remarkably, we observed that the HTVC023P-type group far exceeded 400 other phage groups in the deep waters (200 to 4000 m) (Figs. 6 and 7). For example, 401 in bathypelagic viromes, the HTVC023P-type group was on average 8 and 6 times 402 more abundant than the HMO-2011-type and HTVC010P-type, respectively. 403 Moreover, four of the five most abundant viral groups in mesopelagic and 404 405 bathypelagic samples were represented by pelagiphages. In congruence, SAR11 is abundant throughout the oceanic water column and is abundant in coastal and open 406 ocean (18, 36, 37); In deep waters, SAR11subclades Ic, IIb, and Vb dominate the 407 SAR11 populations (38, 39); thus, in the deep ocean, members of these phage groups 408 are likely to infect these deep ocean SAR11 ecotypes. These results suggest that 409 pelagiphages could be as important in deep ocean ecosystems as they are in the upper 410 ocean. In contrast, the HMO-2011-type group only predominates the upper ocean, 411 which is consistent with previous study (40). HMO-2011-type phages were found 412 413 infecting SAR116 and RCA rosephages, which mainly occupy the upper ocean (15, 35). Furthermore, we observed that the relative abundance of all pelagiphage groups 414 did not exhibit significant variation between the coastal viromes and noncoastal 415 viromes (S1 Appendix, Fig. S7). 416

417

Conclusions. The 10 new pelagiphages that were described in this study greatly 418 expand our current knowledge on the abundance, diversity, distribution and genomic 419 evolution of viruses that infect SAR11 bacteria and further reinforce their ecological 420 421 importance. Metagenomic recruitment analyses demonstrate that all these pelagiphage 422 represented phage groups exhibit global distribution pattern and the HTVC023P-type group is the most dominant known viral group in the ocean. The predominance of 423 these pelagiphages in marine viromes suggests that they could play an important role 424 in controlling SAR11 population dynamics and influencing global carbon cycling. 425 426 These new pelagiphages and their hosts will serve as useful model systems subjected

427 to the further investigations of various interactions between pelagiphages and their hosts, phage driven host evolution and dynamics, as well as the potential ecological 428 impact of pelagiphages. It will also be interesting to study the mechanisms explaining 429 the dominance of major phage groups. This study is another example of how phage 430 isolation can improve the interpretation of marine viromic datasets, thus highlighting 431 the irreplaceable power of culture-dependent phage isolation and cultivation in the 432 study of marine virus functions and diversity. So far, all current known pelagiphage 433 434 isolates were isolated from strains from the SAR11 Ia subclade. Future isolation of pelaiphages that infect other SAR11 subclades may reveal more novel phage lineages. 435

436

437 Methods

438 **SAR11 strains, media, and growth conditions.** SAR11 strains *Pelagibacter*

- 439 HTCC7211 and *Pelagibacter* HTCC1062 were grown in an artificial seawater-based
- 440 mediun amended with 1 mM NH₄Cl, 100 μM KH₂PO₄, 1 μM FeCl₃, 100 μM
- 441 pyruvate, 50 μM glycine, 50 μM methionine and excess vitamins (21). HTCC7211

and HTCC1062 were grown at 17 °C and 20 °C, respectively. *Pelagibacter*

- 443 FZCC0015 was isolated from Pingtan coast in 2017, detailed information on
- 444 FZCC0015 has been described in earlier work (22). FZCC0015 was grown in a
- natural seawater-based medium amended with 100 µM pyruvate, 50 µM glycine, 50
- 446 μ M methionine and excess vitamins at 23 °C.
- 447

Source waters and pelagiphage isolation. Water samples were collected from a 448 variety of oceanic sampling stations (Table 1). To obtain the cell-free fraction, the 449 450 samples were filtrated through 0.1 µm-pore-size filters. The filtrates were stored in the dark at 4 °C until used for phage isolation. Isolation procedures for pelagiphages were 451 described in detail previously (16, 22). Briefly, 0.1µm filtered samples were 452 inoculated with SAR11 cultures. Cell growth was monitored using a Guava EasyCyte 453 cell counter (Millipore, Guava Technologies). When a decrease in cell densities was 454 detected, the presence of phage particles was confirmed by epifluorescence 455

456 microscopy. Purified pelagiphage clones were obtained by using the dilution-to-

extinction method (35). The purity of pelagiphages was verified by whole-genomesequencing.

459

460 Morphological analysis by transmission electron microscopy. Representative

461 pelagiphages were observed by transmission electron microscopy (TEM).

462 Pelagiphage lysates were filtered through 0.1µm pore-size filters and then

463 concentrated using Amicon Ultra Centrifugal Filters (30-kDa, Merck Millipore).

464 Concentrated phage particles were absorbed onto copper grids in the dark, negatively

stained with 2% (wt/vol) uranyl acetate for two minutes, and air-dried. Samples were

466 observed using a Hitachi transmission electron microscope at an acceleration voltage467 of 80 kV.

468

469 **Phage DNA preparation, genome sequencing and functional annotation.** Phage

470 lysate preparation and concentration were carried out as described in Zhang and

471 colleagues(35). Briefly, 250 ml of each phage lysate was filtered through 0.1 μm

472 Supor membrane to remove cells and cell debris. Phage lysates were concentrated by

473 centrifugal filtration using Amicon Ultra-15 30-kDa filters (Merck Millipore, Cork,

474 Ireland). Phage genomic DNA was prepared using a formamide, phenol/chloroform

475 extraction protocol(41) and sequenced on an Illumina paired-end HiSeq 2500

476 platform. The raw reads were quality-filtered, trimmed and de novo assembled with

477 default settings using CLC Genomic Workbench 11.0.1 (QIAGEN, Hilden, Germany).

The remaining gaps in each pelagiphage genome were closed by Sanger sequencing

479 of PCR products.

Prodigal(42) and GeneMark (43) were used for phage open reading frames (ORFs) prediction. The translated open reading frames (ORFs) were used as BLASTP queries to search against the NCBI nonredundant (nr) and NCBI-Refseq database. Putative functions were assigned to ORFs based on their homology to proteins of known function. In this study, genes with $\geq 25\%$ amino acid identity, $\geq 50\%$ alignment

485coverage of the shortest protein, and an E-value cutoff \leq 1E-3 were considered to be486putative homologues. A PFAM database search was performed to identify conserved487protein domains. HHPred was also employed to identify the distant protein homologs.488tRNA prediction was performed using the tRNAscan-SE program (44). Comparative489genome map and connections between homologous genes were visualized using490CIRCOs(45).

- The genomic sequences of the ten pelagiphages have been deposited in GenBank
 under the accession numbers MN698239 to MN698248.
- 493

Network analysis. Protein sequences from a total of 2591 bacterial viruses' genomes 494 were downloaded from NCBI-RefSeq (v96). 927 viral genomic sequences (≥20kb, 495 \geq 20% shared gene with any pelagiphage genome) from metagenomic fosmids, GOV 496 and GOV2.0 datasets were also included in the network analysis(5, 6, 9). All proteins 497 were compared using all-verses-all BLASTP (e-value $\leq 1E-5$, bitscore ≥ 50). Protein 498 clusters (PCs) were defined using the Markov clustering algorithm (MCL) (46). 499 500 vConTact 2.0 was then used to calculate a similarity score between every pair of genomes based on the number of PCs shared between two sequences and all pairs 501 502 using the hypergeometric similarity (47). The network was created using Cytoscape v.3.5.1 (48). 503

504

505 **Phylogenetic analysis.** A phylogenetic tree of DNA polymerase family A domain

sequences was constructed to evaluate the evolutionary relationship among

507 pelagiphages and other diverse phages. Alignment for the DNA polymerase family A

domain was constructed with MUSCLE (49) and edited with Gblock (50). The

alignment was evaluated for optimal amino acid substitution models using ProtTest

510 (51), and run with RAxML v8 (52) with a bootstrap of 500.

511 In order to evaluate the phylogenetic relationship among pelagiphages and 512 environmental viral sequences, we constructed maximum likelihood phylogenetic 513 trees of DNA polymerases and capsid proteins. Sequence alignments and editing were

performed using MUSCLE (49) and Gblocks (50), respectively. Maximum-likelihood
phylogenetic trees were constructed using FastTree 2.1 (53) with WAG substitution
model for amino acids.

517

Search for integrase genes in pelagiphage related viral sequences. Environmental 518 viral genomic sequences from the viral clusters VC_009, VC_005, VC_016 and 519 VC_018 that generated by vConTact 2.0 in this study were used for analysis. To 520 521 identify integrase genes, hmmbuild was used to build HMM files from phage 522 integrase and recombinase domains. The program hmmsearch was then used to identify the putative integrase genes by searching HMM files against the pelagiphage 523 related environmental viral sequences. Viral sequences containing the putative 524 integrase were subjected to manual inspection and comparative genomic analyse. 525 Putative integration sites were identified by searching against known SAR11 genome 526 sequences using BLASTn. 527

528

Metagenomic fragment recruitment analyses. Marine viromic datasets that were
used for accessing phage relative abundance include Pacific Ocean Virome (POV),
Scripps Pier Virome (SPV), India Ocean Virome (IOV), Malaspina Expedition
virome (ME) and Global Oceans Viromes (GOV) (*S1 Appendix*, Table S1). The

- 533 fragment reciprocal recruitment method was described in detail in a previous study
- 534 (35). The analysis procedure is summarized as follows:

535 1. Each of themarine viromic reads was searched as a query against the NCBI-

- RefSeq viral database (release 88), 18 recently published HTVC019P-type
- pelagiphage and RCA phage genomes (22, 35), 6 newly sequenced HTVC010P-
- type pelagiphage genomes and 10 pelagiphage genomes reported in this study
- using DIAMOND BLASTx (e-value cutoff of $\leq 1E-3$, bitscore cutoff ≥ 40). Reads
- 540 with homology to viral sequences were retained for the subsequent analysis.
- 541 2. The reads were assigned to the best-hit virus or best-hit bacteria using BLASTx
 542 against RefSeq viral database, RefSeq bacterial database, 11 HTVC019P-type

pelagiphages, 7 RCA phage genomes, 6 new HTVC010P-type pelagiphages and
10 newly sequenced pelagiphages.

3. Reads that returned a best-hit of the query genome from the bacteriophage
genomes included in the relative abundance analyses (*S1 Appendix*, Table S2)
were identified and extracted from the viromic datasets.

548 4. The relative abundances of each phage group were calculated and normalized as
549 the number of reads recruited to the group normalized to the total number of base
550 pairs in the virome and the average genome size (Reads mapped per kb per
551 millions of reads, RPKM).

552 Due to the large amount of sequencing data in the Global Oceans Viromes

553 (GOV) datasets (>500G), a different metagenomic analysis strategy was used to

determine the relative abundances of different phage groups in the GOV. GOV reads

were recruited onto the phage genomes (S1 Appendix, Table S2) using BLASTx with

an e-value cutoff of 1E-10. If a read was recruited to more than one phage genome,

the read was associated with the phage that provided the highest bitscore. RPKM was

also used to calculate and normalize the relative abundances of each phage group in

559 each virome datasets in GOV.

560

561 **Data Availability Statement.** The genomic sequences of the ten pelagiphages have 562 been deposited in GenBank under the accession numbers MN698239 to MN698248. 563 The data that support the findings of this study are available upon request from the 564 corresponding authors.

565

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570

571 Competing interests

572 The authors declare that they have no conflict of interest.

573

574 **References**

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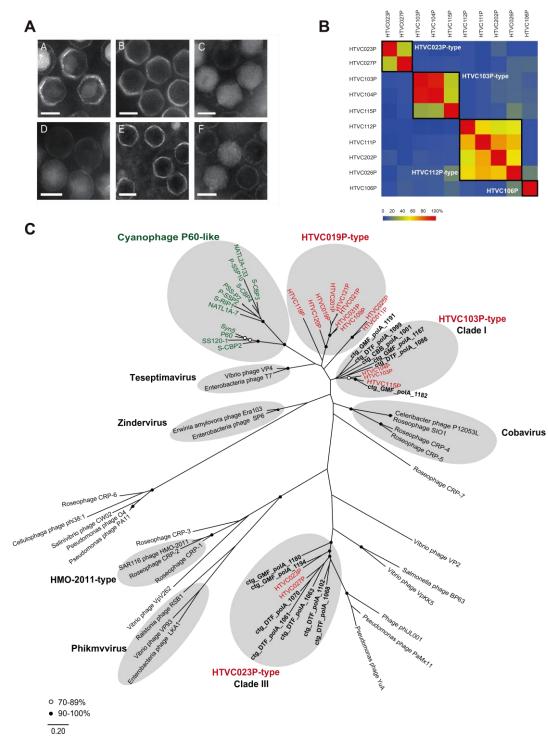
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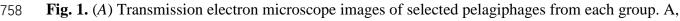
699 Figure legends

Fig. 1. (A) Transmission electron microscope images of selected pelagiphages from 700 each group. A, HTVC023P; B, HTVC027P; C, HTVC103P; D, HTVC104P; E, 701 HTVC111P; F, HTVC106P. (Scale bars: 50 nm). (B) Heatmap presentation of shared 702 genes of newly isolated pelagiphages. Phages in the same group are boxed. (C) 703 Unrooted maximum-likelihood phylogenetic tree of phage DNA polymerases 704 constructed with conserved polymerase domains. Novel DNA polymerases identified 705 706 from a previous study are in bold (28). The scale bar represents the amino acid 707 substitutions per site. Fig. 2. Genomic organization and functional annotation of distinct pelagiphage 708 genera. (A) HTVC023P-type pelagiphage genomes, (B) HTVC103P-type 709 pelagiphages are compared to SAR116 phage HMO-2011, (C) HTVC111P-type 710 pelagiphage genomes, (D) HTVC106P genome. Predicted ORFs are indicated by 711 arrows and color-coded according to their putative biological function. Homologous 712 genes were connected by dashed lines. The color of the shading connecting 713 714 homologous genes indicate the level of amino acid identities between genes. The arrows also designates the direction of transcription. Abbreviation: RNAP, RNA 715 polymerase; SSB, single-stranded DNA binding protein; endo, endonuclease; DNAP, 716 DNAP polymerase; exon, exonuclease; MazG, pyrophosphatase; DNA cytosine 717 methyltransferase; FkbM family methyltransferase; TerS, terminase small subunit; 718 TerL, terminase large subunit; GroEs, Co-Chaperonin GroES; HlyC, toxin-activating 719 lysine-acyltransferase; purM, phosphoribosylaminoimidazole synthetase; GTF, 720 721 Glycosyltransferase. 722 Fig. 3. Gene-content-based viral network of pelagiphages, related bacteriophages 723 from NCBI, and related environmental viral sequences from Mediterranean DCM (MedDCM) fosmids, GOV and GOV2.0. The nodes represent the viral genomic 724 sequences. The edges represent the similarities score between genomes based on 725 shared gene content. Viral genomes that belong to different viral clusters are indicated 726 727 by different colors. For clarity, only environmental viral sequences grouped with ten

728 pelagiphages were presented, and only bacteriophages have genome-genome similarity score of ≥ 1 with these four phage clusters were presented. Viral clusters 729 generated by vConTACT2 are provided in Dataset S2 in the supplemental material. 730 Fig. 4. Maximum-likelihood tree of DNA polymerases from the viral cluster VC 009 731 generated by vConTACT v.2.0 in this study. HTVC023P-type pelagiphages, GOV 732 populations (VC_6 and VC_8) and outgroups are indicated in green, red, and blue, 733 respectively. The names of the GOV2.0 populations are omitted in the tree. 734 735 Fig. 5. Circos comparison plot indicating the genome comparison between pelagiphages and environmental viral fragments. (A) Comparison of HTVC023P-type 736 genomes, vSAG 37-F6, and representative viral populations in VC 6 and VC 8. (B) 737 Comparison of HTVC111P-type genomes and representative viral populations in 738 VC_41. (C) Comparison of HTVC106P genome and representative viral populations 739 in VC 67. Each coloured segment represents a phage genome or viral fragment with 740 the numbers on the external surface indicating genome size in kb. Homologous genes 741 shared between genomes are connected by color lines. Only the relatedness between 742 743 pelagiphages and other sequences is indicated. Fig. 6. Box plots indicate the relative abundance of major phage groups in different 744 marine viromic datasets. Normalized read recruitment is depicted as the number of 745 reads recruited per kilobase of the genome per billions reads in the dataset. 746 Pelagiphage represented groups are colored in blue; the HMO-2011-type group is 747 colored in red. Pelagiphage groups identified in this study are marked with blue stars. 748 (A) Relative abundance of major phage groups in epipelagic, mesopelagic and 749 bathypelagic samples in POV, MES, SPV and IOV. (B) Relative abundance of major 750 751 phage groups in epipelagic, mesopelagic and bathypelagic samples in the Global Oceans Viromes (GOV). EPI, Epipelagic; MES, Mesopelagic; BAT, Bathypelagic. 752 Fig. 7. Comparison of the relative abundance between HTVC023P-type and HMO-753 2011-type, HTVC023P-type and HTVC010P-type. Upper panel: comparison of the 754 755 relative abundance in POV, MES, SPV and IOV. Lower panel: comparison of the





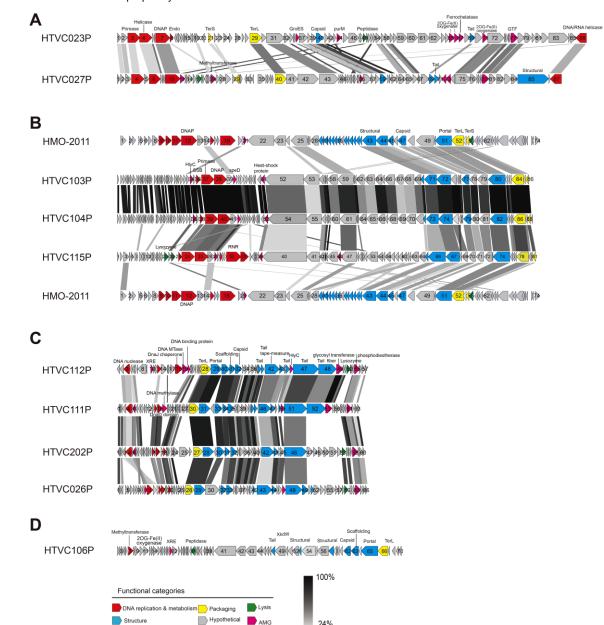


759 HTVC023P; B, HTVC027P; C, HTVC103P; D, HTVC104P; E, HTVC111P; F, HTVC106P. (Scale bars: 50

nm). (B) Heatmap presentation of shared genes of newly isolated pelagiphages. Phages in the same group are

boxed. (C) Unrooted maximum-likelihood phylogenetic tree of phage DNA polymerases constructed with

- conserved polymerase domains. Novel DNA polymerases identified from a previous study are in bold (28).
- The scale bar represents the amino acid substitutions per site.



764

Fig. 2. Genomic organization and functional annotation of distinct pelagiphage genera. (A) HTVC023P-type 765 pelagiphage genomes, (B) HTVC103P-type pelagiphages are compared to SAR116 phage HMO-2011, (C) 766 HTVC111P-type pelagiphage genomes, (D) HTVC106P genome. Predicted ORFs are indicated by arrows 767 and color-coded according to their putative biological function. Homologous genes were connected by 768 dashed lines. The color of the shading connecting homologous genes indicate the level of amino acid 769 identities between genes. The arrows also designates the direction of transcription. Abbreviation: RNAP, 770 RNA polymerase; SSB, single-stranded DNA binding protein; endo, endonuclease; DNAP, DNAP 771 polymerase; exon, exonuclease; MazG, pyrophosphatase; DNA cytosine methyltransferase; FkbM family 772 methyltransferase; TerS, terminase small subunit; TerL, terminase large subunit; GroEs, Co-Chaperonin 773 GroES; HlyC, toxin-activating lysine-acyltransferase; purM, phosphoribosylaminoimidazole synthetase; 774 GTF, Glycosyltransferase. 775

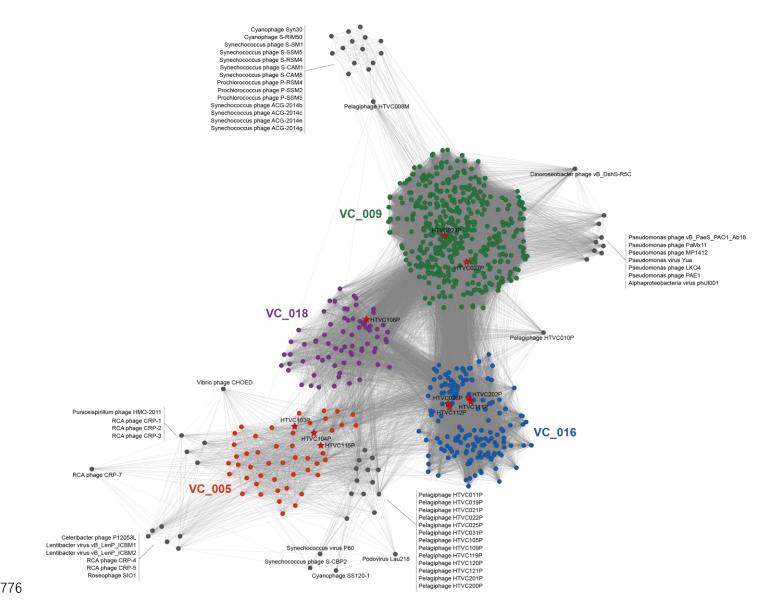
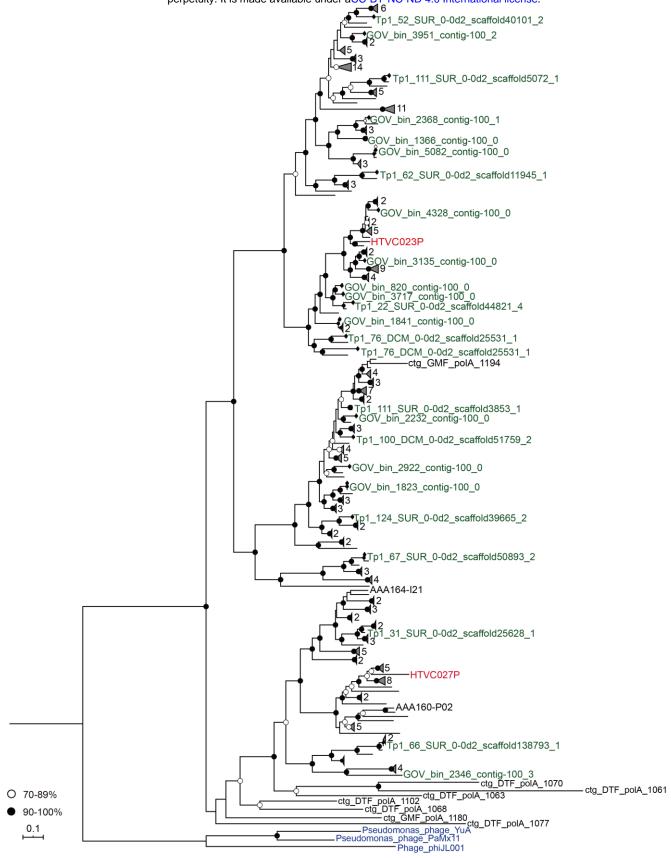
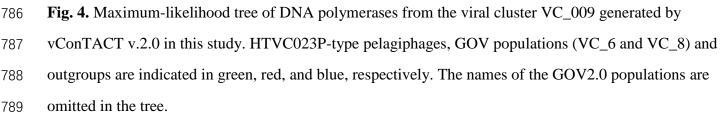
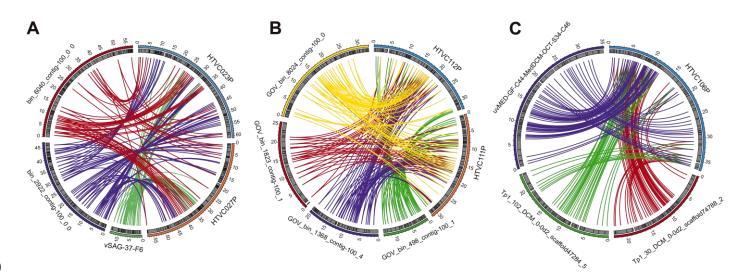




Fig. 3. Gene-content-based viral network of pelagiphages, related bacteriophages from NCBI, and related environmental viral sequences from Mediterranean DCM (MedDCM) fosmids, GOV and GOV2.0. The nodes represent the viral genomic sequences. The edges represent the similarities score between genomes based on shared gene content. Viral genomes that belong to different viral clusters are indicated by different colors. For clarity, only environmental viral sequences grouped with ten pelagiphages were presented, and only bacteriophages have genome-genome similarity score of ≥ 1 with these four phage clusters were presented. Viral clusters generated by vConTACT2 are provided in Dataset S2 in the supplemental material.



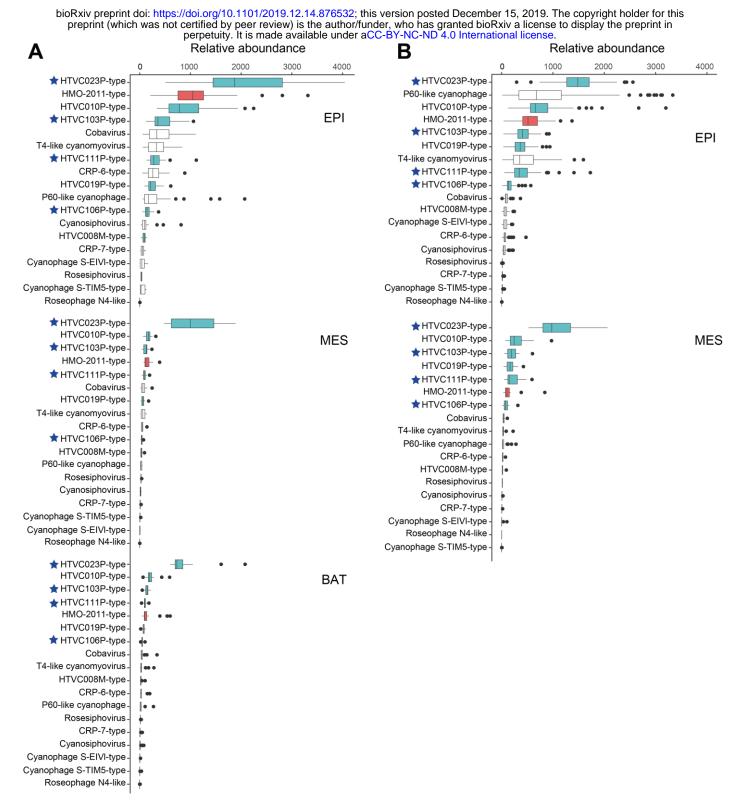




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Fig. 5. Circos comparison plot indicating the genome comparison between pelagiphages and environmental
viral fragments. (A) Comparison of HTVC023P-type genomes, vSAG 37-F6, and representative viral
populations in VC_6 and VC_8. (B) Comparison of HTVC111P-type genomes and representative viral
populations in VC_41. (C) Comparison of HTVC106P genome and representative viral populations in
VC_67. Each coloured segment represents a phage genome or viral fragment with the numbers on the
external surface indicating genome size in kb. Homologous genes shared between genomes are connected by

color lines. Only the relatedness between pelagiphages and other sequences is indicated.



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Fig. 6. Box plots indicate the relative abundance of major phage groups in different marine viromic datasets. Normalized read recruitment is depicted as the number of reads recruited per kilobase of the genome per billions reads in the dataset. Pelagiphage represented groups are colored in blue; the HMO-2011-type group is colored in red. Pelagiphage groups identified in this study are marked with blue stars. (*A*) Relative abundance of major phage groups in epipelagic, mesopelagic and bathypelagic samples in POV, MES, SPV and IOV. (*B*) Relative abundance of major phage groups in epipelagic, mesopelagic, mesopelagic, mesopelagic and bathypelagic samples in the Global Oceans Viromes (GOV). EPI, Epipelagic; MES, Mesopelagic; BAT, Bathypelagic.

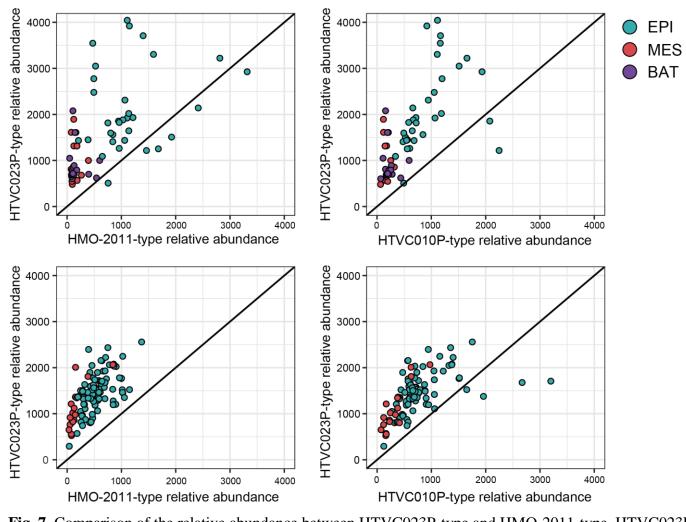


Fig. 7. Comparison of the relative abundance between HTVC023P-type and HMO-2011-type, HTVC023Ptype and HTVC010P-type. Upper panel: comparison of the relative abundance in POV, MES, SPV and IOV.
Lower panel: comparison of the relative abundance in GOV. EPI, Epipelagic; MES, Mesopelagic; BAT,
Bathypelagic.

Table 1 General features of pelagiphages analyzed in this study.

Phage	Host	Source watera	Depth (m)	Latitude	Longitude	Sampling date	Phage group	Capsid size (mean±s.d.,	Genome size (bp)	G+C %	Number of ORFs	Accession number
								nm)				
HTVC023P	HTCC1062	South China Sea SEATS	500	S18 '00'	E116 '00'	Nov-2016	HTVC023P-type	68±2	60878	35.0	86	MN698239
HTVC027P	HTCC1062	South Pole K1	150	N11 °39'	E78 °59'	Sep-2016	HTVC023P-type	69±1	57595	34.8	86	MN698241
HTVC103P	HTCC7211	South China Sea SEATS	5	S18 '00'	E116 '00'	Aug-2014	HTVC103P-type	68±1	54103	31.0	86	MN698242
HTVC104P	HTCC7211	India Ocean 105	75	S4°0′	E95 28'	Mar-2015	HTVC103P-type	67±2	54359	30.9	89	MN698243
HTVC115P	HTCC7211	India Ocean 105	500	S4°0′	E95 °28'	Mar-2015	HTVC103P-type	69±3	54819	33.2	81	MN698247
HTVC111P	HTCC7211	Mediterranean Sea	Surface	N43°42′	W7°17′	Aug-2016	HTVC111P-type	55±2	31577	30.5	64	MN698245
HTVC112P	HTCC7211	South Pole K1	150	S78 °59'	E11 %40'	Sep-2016	HTVC111P-type	Nd	32478	30.4	58	MN698246
HTVC026P	HTCC1062	Mediterranean Sea	Surface	N43°42′	W7°17′	Aug-2016	HTVC111P-type	Nd	32480	31.3	66	MN698240
HTVC202P	FZCC0015	Pingtan coast, Taiwan straight	Surface	N25°26′	E119°47′	May-2017	HTVC111P-type	Nd	32226	31.3	61	MN698248
HTVC106P	HTCC7211	India Ocean 113 station	500	N0°0′	E92°59′	Mar-2015	HTVC106P-type	56±1	36945	32.1	70	MN698244

812 ND : Not Determined.