Neural circuit mechanism for generating categorical representations

Nagaraj R. Mahajan[1] and Shreesh P. Mysore[2,3]*

[1]Department of Electrical and Computer Engineering, Johns Hopkins University

[2]Departments of Psychological and Brain Sciences, Johns Hopkins University

[3]The Solomon H. Snyder Department of Neuroscience, Johns Hopkins University

*Corresponding and Lead author; shreesh.mysore@jhu.edu

Categorical neural representations underlie various forms of selection and decision-making. They promote robust signaling of the winner in the presence of input ambiguity and neural noise. Here, we show that a 'donut-like' inhibitory mechanism, in which each competing option suppresses all options except itself, is highly effective at generating categorical responses. It far surpasses motifs of feedback inhibition, recurrent excitation, and divisive normalization invoked frequently in decision-making models. We demonstrate experimentally not only that this mechanism operates in the midbrain spatial selection network in barn owls, but also that it is required for categorical signaling by it. Indeed, the functional pattern of neural inhibition in the midbrain forms an exquisitely structured 'multi-holed' donut consistent with this network's combinatorial inhibitory function. Moreover, simulation results reveal a generalizable neural implementation of the donut-like motif for categorization across brain areas. Self-sparing inhibition may be a powerful circuit module central to categorical selection.

INTRODUCTION

Selection among competing options is a fundamental component of adaptive behavior. Categorical neural representations have been reported in multiple brain areas to underlie selection and decision-making across animal species (Bathellier et al., 2012; Freedman and Assad, 2006; Freedman et al., 2001; Hirokawa et al., 2019; Jiang et al., 2018; Jovanic et al., 2016; Mysore et al., 2011; Mysore and Knudsen, 2011a; Niessing and Friedrich, 2010; Xin et al., 2019). These representations, which involve the transformation of continuously varying inputs into discrete output classes, involve a large, abrupt change in responsiveness across the selection boundary (Fig. 1A – red). They enhance the reliability of neural signaling of the selected category (or 'winner') specifically when competing options on opposite sides of the selection boundary are very similar to one another (i.e., when there is input ambiguity), and in the face of neural response variability (i.e., neural 'noise') (Fig. 1A-red vs. orange).

This overall robustness to sensory and neural noise, of the decoding of the winner from neural responses, can be quantified using boundary discriminability (*bd'*) - the discriminability between two competing options on either side of the selection boundary (d-prime; Methods): bd' is higher for more categorical response profiles (Fig. 1A and 1B-left, open symbols: red vs. orange). However, *bd'* is also higher for response profiles that are more robust-to-noise *without* being more categorical - for instance, scaled response profiles (Fig. 1A- orange vs blue). By contrast, a metric that is insensitive to scaling and that captures, specifically, the strength of categorization by response profiles is the commonly used categorization index ((Freedman and Assad, 2006; Mysore and Knudsen, 2011a); it operates effectively on the normalized response profiles; Fig. 1B-middle inset). A modified version, *CatI,* takes into account response variability

as well: it compares the average between-category discriminability with the average within-category discriminability (Fig. 1B-right, filled symbols; methods). Thus, both scaling-up of responses as well as enhancing their categorical nature can improve the overall robustness-to-noise of the neural signaling of the winner (Fig. 1AB, black vs. red). Biologically, however, the limited dynamic ranges of neural responses (which, in turn, limit the range of scaling possible), as well as the inherent discreteness of categorical responses, make categorical neural representations a preferable solution to promote robust-to-noise selection.

Despite the clear utility of categorical representations, their pervasiveness in brain areas (Bathellier et al., 2012; Freedman and Assad, 2006; Freedman et al., 2001; Hirokawa et al., 2019; Jiang et al., 2018; Jovanic et al., 2016; Mysore et al., 2011; Mysore and Knudsen, 2011a; Niessing and Friedrich, 2010; Xin et al., 2019), as well as the range of theoretical formulations and computational models proposed to account for them (Engel et al., 2015; Machens et al., 2005; Mysore and Kothari; Wang, 2012), identifiable neural circuit mechanisms that control their production have remained elusive. Specifically, it is unclear what aspects of circuit architecture are essential for generating categorical responses.

RESULTS

Donut-like inhibitory motif controls categorical signaling in model circuits

We reasoned that properties of neural inhibition, which is known to be involved in comparing representations of competing options (Bollimunta and Ditterich, 2012; Hartline et al., 1956; Mysore et al., 2010; Olsen et al., 2010), would impact the nature of competitive response profiles. This led to two concrete hypotheses re circuit mechanisms. The first was that feedback inhibition between the options, i.e., inhibition whose strength is iteratively influenced by both

options, may play a key role. This was motivated by extensive modeling of categorical decision-making (Machens et al., 2005; Wang, 2008), work on direction selectivity in the retina (Chen et al., 2016), as well as work on spatial selection in barn owls (Mysore and Knudsen, 2012). The second hypothesis was that a donut-like pattern of competitive inhibition, i.e., one in which each option suppresses others more strongly than it suppresses itself, may play a key role. This was motivated by work in turtles (Sereno and Ulinski, 1987) as well as by the need, in categorical profiles, for enhanced response differences across the selection boundary: we reasoned that having strong inhibition to 'other' options, but weak "self" inhibition may enhance response differences.

To assess the computational validity as well as relative efficacies of these hypothesized circuit mechanisms, we turned to modeling. Starting with a generic baseline circuit capable of comparing the representations of competing options (Fig. 1C- model on top-left; Methods;(Bollimunta and Ditterich, 2012; Hartline et al., 1956; Olsen et al., 2010)), we examined the impact of introducing just feedback inhibition (Fig. 1C- bottom-left), just donut-like inhibition (Fig. 1C- top-right), or both (Fig. 1C- bottom-right), on the production of categorical response profiles in the presence of noise. Response profiles were measured to a classic two-stimulus morphing protocol in which the relative strength of the two stimuli (S1 and S2) was systematically varied, resulting in two categories (S1> S2 and S1<S2; Fig. 1E-bottom, graphic; (Freedman and Assad, 2006; Mysore and Knudsen, 2011a; Niessing and Friedrich, 2010)). Model neurons in the circuit were simulated with noisy, sigmoidal input-output functions (fano factor of 6; 30 repetitions per 'neuron', n=50 'neurons'; Methods). The resulting response profiles from the four models clearly involved a scaling component (Fig. 1E-left), and therefore,

we compared both scaling-dependent robustness-to-noise (*bd'*) as well as the scaling-insensitive

categorization strength (*CatI*) across the response profiles (ANOVA with HBMC correction;

Methods).

Fig. 1. Modeling: Donut-like inhibition surpasses other hypothesized circuit motifs in

generating categorical responses to competing stimuli.

(A) Schematic showing 4 different mathematically generated response profiles, as a function of

continuously varying input. Vertical line: categorization or selection boundary. Translucent

band: variability in responses; fano factor of 6 used to generate these responses (Methods).

Black filled dots: Two competing inputs just straddling the selection boundary: nearly equal in

input value (at a distance of 3 units from the selection boundary), but belonging to different

categories. (B) Left (open symbols): Boundary discriminability (bd'); defined as d-prime

between the responses to the two competing inputs indicated in A (Methods), computed for each

response profile in A (colors). <u>Middle-inset</u>: Response profiles in A normalized between 0 and 1;

only means are shown; red profile transitions more abruptly than black, orange and blue

profiles are equally gradual (linear). <u>Right</u> (filled symbols): Categorization index (CatI;

accounts for response variability as well; Methods) for response profiles in A. (Freedman and

*Assad, 2006; Mysore and Knudsen, 2011a). **(C, D)** Computational models incorporating*

different circuit motifs (Methods; Fig. S1). All models built upon generic baseline circuit capable

of comparing competing options (C; top-left). Each model shows two 'channels'; each channel is

the group of neurons (numbered) involved in representing a stimulus (S1 or S2); the goal of

these models is to signal if S1>S2 (category 'A') or S2>S1 (category 'B'). Circles - output

neurons; ovals - inhibitory neurons; arrows with pointed heads - excitatory connections, arrows

with flat heads - inhibitory connections. (C) Four circuit models: baseline circuit (top-left),

inclusion of feedback inhibition (bottom-left), donut-like inhibition (top-right) or both (bottom-

right; Methods). (D) Four more circuit models: baseline circuit with recurrent amplification in

each channel (top-left), followed by inclusion of feedback inhibition (bottom-left), or donut-like

*inhibition (top-right) or both (bottom-right). **(E)** Simulated response profiles of 'example' output*

neuron 1 from each of the models in C (<u>left</u>) and D (<u>right</u>); obtained using a two-stimulus (S1

and S2) morphing protocol (bottom inset; Methods). Responses are mean ± s.e.m of 30

repetitions. The continuously varied input parameter was the relative strength of the two stimuli

(S2-S1). Lines – best sigmoidal fits. Bottom schematic: Morphing protocol; stimulus strength

schematized by size of dot. Input-output functions of model neurons were sigmoids with Gaussian

noise (Methods; fano factor=6). Right-Inset: Response profiles normalized between 0 and 1

*(same conventions as B-inset). **(F, G)** 'Population' summary of bd' (F) and CatI (G) of response*

We found that feedback inhibition between competing channels had no effect on *bd'* (Fig.

1EF – green vs. grey; p= 0.99) or on *CatI* (Fig. 1E-inset and G – green vs. grey; p =0.99), and

this result was largely independent of the strength of feedback inhibition (Fig. S1A). By contrast,

introduction of a donut-like pattern of inhibition provided a boost in robustness-to-noise (Fig.

1EF – purple vs. grey; p =5.99 e-8), and an even greater boost in the strength of categorization of

the response profiles (Fig. 1E-inset and G – purple vs. grey; p = 5.98e-8). The magnitudes of

improvement were inversely related to the strength of "self" inhibition, reaching the maximum

when "self" inhibition was zero (Fig. S1B; *bd':* $\rho = -0.89, p = 1.64\ e\text{-}4$; *CatI:* $\rho = -0.81, p = 2.6$

e-3 Pearson correlation test), thereby revealing the importance of donut-like inhibition over

feedback inhibition for categorical responses. These results held true also when we started with a

circuit that included recurrent excitation, a common element in models of decision-making

thought to play a role in categorical selection (Fig. 1D, and E-G: right panels, Fig. S1C;

(Albantakis and Deco, 2009; Machens et al., 2005; Wang, 2002)).

Donut-like inhibition, therefore, emerged as the most important single motif for

producing categorical responses (Fig. 1G, right axis; 61% of combined *CatI*), and this held true

over the range of fano factor values tested (Fig. S1D). Whereas the presence of feedback

inhibition or both recurrent excitation and feedback inhibition enhanced the impact of the donut-

like motif (Fig. 1FG: blue vs. purple, red vs. purple; p< 6.023 e-8 in both cases), without the

donut-like motif, they were nearly ineffective, either individually or together, at signaling the winning option categorically in the presence of input ambiguity and neural noise (Fig. 1FG: green, orange, brown).

Functionally donut-like inhibitory motif operates in the barn owl midbrain

We wondered, next, if the donut-like inhibitory motif operates within a neural selection circuit. An excellent site in the brain to investigate this is the midbrain spatial selection network in vertebrates (Knudsen, 2011; Mysore and Knudsen, 2011b). It includes the superior colliculus, SC (optic tectum, OT, in non-mammals), a sensorimotor hub that is required for target selection for spatial attention (Lovejoy and Krauzlis, 2010; McPeek and Keller, 2004). Neurons in the intermediate and deep layers of the SC (SCid/OTid) encode a topographic spatial map of stimulus priority (priority = physical salience + behavioral relevance) (Fecteau and Munoz, 2006), and OTid neurons signal the highest priority stimulus categorically*:* they respond with a high firing rate when the stimulus inside their RF is the one with highest priority, but their responses are suppressed to a low level when the RF stimulus is no longer the one with highest priority (Mysore et al., 2011; Mysore and Knudsen, 2011a, 2014). The source of this competitive response suppression in the OTid is a group of GABAergic midbrain tegmental neurons called Imc in birds (isthmi pars magnocellularis; (Wang et al., 2004)): Imc inactivation abolishes all competitive interactions in the OTid and thereby its ability to signal the winner (Marin et al., 2007; Mysore and Knudsen, 2013).

Fig. 2. Barn owl midbrain selection network contains functional donut-like inhibitory motif operating along azimuthal space.

(A) Schematic of avian midbrain selection network. <u>Left</u>: Superficial (OTs), and intermediate-deep (OTid) layers of optic tectum; numbers: individual layers (1-15). Columns across layers of

OT tissue, from left to right, encode individual locations in space (here, azimuth)

topographically (Knudsen, 1982). Imc (purple): Isthmi pars magnocellularis, inhibitory neurons

in midbrain tegmentum. Ipc (orange): Isthmi pars parvocellularis, cholinergic neurons. Imc and

Ipc receive focal input from OT10 (dark grey projections). Ipc projects back focally to OT

(orange projections). Imc projects back broadly across OTid and Ipc (purple projections);

thought to spare the portion of OT providing input (absence of purple projections in the column

occupied by black OT10 neuron; '?' (Wang et al., 2004): unknown if congruent portion of Ipc

space map is also spared of Imc projections. <u>Right</u>: Network model showing the OT-Imc-Ipc

circuit; conventions as in Fig. 1. Simulations from this model in Fig. S2. Dashed lines: Unknown

*if these connections exist functionally. **(B-F)** Measurement of the strength of net "other"*

inhibition from Imc → OTid with paired recordings in Imc and OTid. "Net" indicates the

combined inhibition due to both the direct (Imc → OTid) and indirect (Imc → Ipc → OTid)

pathways (text). "Other" indicates that the OTid neuron encodes for (distant) spatial locations

outside Imc neuron's RF. (B) Experimental setup. Iontophoresis and recording electrode (I) in

the portion of Imc (encoding for stimulus S2); recording electrode (R) in the portion of OTid

encoding for distant location (and stimulus S1). (C) Schematic of OTid and Imc space maps

(quadrilaterals) showing RFs of neurons being recorded (dotted ovals) and stimulus protocol

(black filled dot – S1; gray filled dot – S2). S1 and S2 are looming visual stimuli of fixed contrast

but different loom speeds (strength; Mysore 2010); S1 = 9.6 %/s, S2 = 19.2%/s (Methods). (D)

Raster responses of example OTid neuron to paired stimulus protocol in C, in the Imc-intact

condition (left column; black data) and Imc-off condition (right column – red data). Imc

inactivation by (reversible) iontophoresis of kynurenic acid (a pan-glutamate receptor

blocker;(Mysore and Knudsen, 2013); Methods). Gray shading: stimulus duration (E) Response

firing rates of this OTid (computed from rasters in D; spike count window = 100-350 ms); mean

± s.e.m. Lines: best Gaussian fits. Filled dots: responses to S1 at locations inside the OTid RF;

open circles, outside RF (Methods). (F) Scatter plot showing OTid responses in Imc-intact vs.

Imc-off conditions. Line: Best fit straight line; slope = 0.31, r^2 =0.76. % change in responses

directly estimates the strength of suppression at this OTid neuron due to Imc (here, 69%;

100(1-0.31)). **(G-K)** Measurement of the strength of net "self" inhibition from Imc → OTid with*

paired recordings in Imc and OTid. (conventions as in B-F). (G, H) OTid neuron is spatially

aligned with Imc neuron (both encode overlapping locations); distance between OTid and Imc

RF centers = 1.5°. (K) Line: Best fit straight line; slope = 0.93, R^2 =0.95. % change in responses

*directly estimates the strength of suppression at this OTid neuron due to Imc (here, 7%). **(L)***

Population summary of strength of net "other" inhibition (red; n=19 Imc-OTid pairs), and

strength of net "self" inhibition (blue; n=28 pairs) from Imc→ OTid. Average distance between

OTid and Imc RF centers in "other" experiments = 26.8° +/- 2.3°; in "self" experiments = 2.9°

+/- 0.7 °. p<1e-5 (red vs. blue), p=<1e-5 (red vs 0), p=0.12 (blue vs. 0), paired t-tests with

HBMC correction (Methods). See also Fig. S2.

This network has been shown to contain both feedback inhibition among competing

channels and recurrent excitation within each channel. It implements the former as direct, long-

range inhibition between Imc neurons (Fig. 2A-right – blue; not shown in 2A-left for clarity;

(Goddard et al., 2014; Wang et al., 2004)), and the latter, as response amplification by a group of

cholinergic neurons, Ipc (Fig. 2A; orange), that connect in a point-to-point manner with the OT

(Asadollahi and Knudsen, 2016; Marin et al., 2005; Wang et al., 2006).

What, however, of the donut-like motif? Imc neurons are known to receive input from a

focal portion of the OT (within layer 10; OT_{10}), but to suppress OTid activity broadly across the

space map by sending projections via two pathways (Fig. 2A): (a) directly to the OTid (layers

11-15) (Fig. 2A – purple;(Wang et al., 2004)), and (b) indirectly to the OTid by inhibiting the

potent point-to-point amplifiers of OTid activity, namely the cholinergic Ipc (Fig. 2A –orange;

(Marin et al., 2007; Wang et al., 2004)). Incidentally, simulations with a circuit model in which

the recurrent amplifier is also the recipient of powerful inhibition, as in the OT-Imc-Ipc circuit,

confirm the superiority of the donut-like motif for producing categorical representations (Fig.

S2A-C).

Whereas anatomical tracing studies (Wang et al., 2004) have indicated that the direct

projections from the Imc to the OT may spare the portion of the OT providing input to the Imc

(Fig. 2A; absence of purple projections in portion of OT around black neuron), this not been

established functionally. Crucially, whether or not the indirect inhibitory pathway involving the

Ipc exhibits the donut-like motif is unknown (Fig. 2A, purple '?'). This is critical because this

indirect pathway is known to constitute the dominant route of inhibition from Imc to OTid

(Wang et al., 2004): the majority of Imc projections target the Ipc (rather than the OTid; (Wang

et al., 2004)), and Ipc provides substantial amplification of OTid activity (1.43x, on average;

(Asadollahi and Knudsen, 2016)).

To address functionally whether the Imc-Ipc-OT circuit implements a donut-like pattern

of competitive inhibition, we made dual extracellular recordings in the barn owl OTid and Imc

(Methods; Fig. 2BG). We did so to measure directly the strength of the net competitive inhibition delivered by Imc neurons onto OTid neurons encoding for stimuli at distant locations ("other" inhibition), and separately, the strength of net inhibition delivered by Imc onto OTid neurons encoding for overlapping locations ("self" inhibition), (Fig. 2BH).

To measure the strength of "other" inhibition, we recorded the responses of OTid neurons to a stimulus (S1) inside their receptive field (RF; Fig. 2B, C-left; Methods) while simultaneously presenting a competing stimulus outside the RF (S2; at a distant azimuthal location from S1; Methods). Responses to S1 in the OTid are known to be suppressed by a distant S2, by an amount depending on their relative strength (Mysore et al., 2010; Rizzolatti et al., 1974). Notably, this suppression is known to be abolished upon focally inactivating the portion of Imc representing S2, revealing Imc as the primary source of competitive suppression in the OTid (Marin et al., 2007; Mysore and Knudsen, 2013). Therefore, to estimate the strength of inhibition delivered by Imc neurons to OTid neurons encoding 'other' locations, we compared the responses to paired presentation of S1 and S2 when Imc was intact (Fig. 2C-left) versus when the portion of Imc encoding S2 (spatially mismatched with the OTid recording site), was focally and reversibly inactivated (Fig. 2C-right). Inactivation was achieved by the blockade of excitatory synaptic inputs onto Imc neurons through iontophoresis of kynurenic acid (Methods). The difference in OTid responses between the Imc-intact (Fig. 2D-left; 2E-black) and the Imc-inactivated (Fig. 2D-right; 2E-red) conditions quantified the amount of suppression due to Imc onto the "other" OTid location, thereby directly estimating the strength of net "other" inhibition. (No change in OTid responses would indicate zero competitive suppression by Imc onto that OTid neuron.)

We found that Imc neurons exerted strong inhibition onto OTid neurons encoding for

distant, non-matched spatial locations (Fig. 2F, L-red; mean strength = - 40.47 % +/- 17.70 %,

n==19 paired neurons; p=9.43e-9, t-test against 0; mean distance between centers = 26.74 °). We

verified that the results were specifically due to Imc inactivation by observing that OTid

responses to paired S1 and S2 after recovery from iontophoresis (measured 15 min after the drug

was turned off; Methods) returned to pre-drug levels (Fig. S2D). Indeed, the suppression

provided by Imc accounted for nearly all the suppression exerted by the stimulus S2 (Fig. S2E).

In these experiments, Imc was inactivated effectively (median = 95%, 95% CI = [87%, 103%]; p

= 3.8e-6, sign test, n=19; Fig. S2F).

To measure the strength of self-inhibition, we recorded the responses of OTid neurons to

a single stimulus (S1) presented inside their RF (Fig. 2G; Methods). We compared these OTid

responses when Imc was intact (Fig. 2H-left) versus when the portion of Imc encoding S1, i.e.,

spatially matched to the OTid recording site, was focally and reversibly inactivated (Fig. 2H-

right). Any difference in OTid responses between the Imc-intact (Fig. 2I-left; 2J-black) and the

Imc-inactivated (Fig. 2I-right; 2J-blue) conditions quantified the amount of suppression due to

Imc onto the "self" OTid location, thereby directly estimating the strength of net 'self-

inhibition'.

We found that Imc neurons exerted no significant inhibition onto OTid neurons encoding

for overlapping spatial locations (Fig. 2K, L-blue; mean strength = -3.7 %, s.d. = 12.2%, n = 28

neuron pairs; p = 0.12, t-test against 0; mean distance between centers = 2.86 °). We verified that

these results were not due to ineffectiveness of iontophoresis by observing that the suppression

of Imc responses by kynurenic acid was substantial (Fig. S2F), and not distinguishable from that

in the "other" case (Fig. S2F; p = 0.68, ranksum test, Imc suppression by drug in "self" vs.

"other" cases). Therefore, strength of net "self" inhibition in the OTid was substantially weaker

than the strength of net competitive inhibition (Fig. 2L, red vs. blue; p = 7.8e-11, two sample t

test with HBMC correction; Methods). Together, these findings demonstrated the presence of a

(net) donut-like, spatial pattern of inhibition across the OTid azimuthal space map (i.e. when S1

and S2 were separated along the azimuth).

284   Donut-like inhibitory motif in the barn owl midbrain is multi-holed

Imc neurons are strikingly asymmetric in their encoding of elevational versus azimuthal space.

The majority (67%) exhibit RFs with multiple discrete firing fields ("lobes") distributed along

the elevation, but not the azimuth (multilobed RFs exhibit upto 3 lobes along the elevation;

(Mahajan and Mysore, 2018); Fig. 3A,G-left panels).This unusual RF structure has been shown

to be essential for Imc to achieve selection at all possible pairs of spatial locations in the face of

scarcity of its neurons, and it does so does using a novel, combinatorially optimized inhibitory

strategy (Mahajan and Mysore, 2018).

A direct consequence of multilobed encoding of elevational space is that there are gaps

between the lobes of an Imc RF, constituting locations that are outside that neuron's RF (Fig.

3AB-left panels; light red bar). If the donut-like inhibitory motif is to operate also for

(categorical) selection among competing stimuli separated along the elevation, then the spatial

pattern of inhibition must respect a very strict condition: namely, that, multilobe Imc neurons

ought to send strong competitive inhibition to OTid neurons encoding locations in the gaps

between RF lobes, but weak or no inhibition to OTid neurons encoding locations within the other

RF lobes of the same Imc neurons (weak self-inhibition), predicting a 'multi-holed' donut (Fig.

S3A).

To test experimentally if this strict requirement holds true, we again made dual

extracellular recordings in the OTid and Imc. We first recorded from an Imc neuron, mapped out

its spatial RF, and applied previously published analyses to determine if it was a multilobed RF

(two-lobed RF in Fig. 3AB – left panels and Fig. S3B-D; three-lobed RF in Fig. 3GH – left

panels and Fig. S3E-G; Methods;(Mahajan and Mysore, 2018)). Next, we positioned a second

electrode in the OTid such that the spatial RF of the OTid neuron was either centered within the

gap between Imc RF lobes (Fig. 3AB – right panels and Fig. 3C-left, light red bar) or it

overlapped one of the lobes of the Imc neuron's RF (Fig. 3GH – right panels and Fig. 3I – left,

light blue bar). We, then, recorded the responses of the OTid neuron to a stimulus inside its RF

(S1; Fig. 3C-left and I-left; Methods) while simultaneously presenting a competing stimulus (S2)

at a distant location along the elevational axis such that S2 was within a (different) lobe of the

RF of the Imc (Fig. 3C-left – S1 in the Imc RF gap – light red bar and S2 within an Imc RF lobe;

Fig. 3I-left – S1 within one Imc RF lobe and S2 within a different one – light blue bar). We

compared OTid responses when Imc was intact (Fig. 3CI-left panels) versus when the portion of

Imc encoding S2 was focally and reversibly inactivated (Fig. 3CI-right panels). As before, any

observed response differences directly estimated, respectively, the strength of net inhibition

exerted by the Imc neuron onto the OTid neuron encoding the gap location ("gap" inhibition), or

the strength of net inhibition exerted by the Imc neuron due to a stimulus within one of its lobes,

onto the OTid neuron encoding for a location within a different lobe of the Imc's RF ("different

lobe" inhibition).

Gap inhibition

Imc intact   *Imc off*

Different lobe inhibition

Imc intact   *Imc off*

Fig. 3. Midbrain selection network in barn owl contains multi-holed donut-like inhibitory

motif operating across 2-D sensory space (azimuth x elevation).

(A-F) Measurement of the strength of net "gap" inhibition from Imc → OTid with paired

recordings in Imc and OTid. (A) Spatial receptive fields (RFs) of an Imc neuron (left) and an

OTid neuron (right) from a paired Imc-OTid recording experiment. Imc RF is two-lobed (text;

Fig. S3B-D; Methods; (Mahajan and Mysore, 2018)). OTid RF lies in the gap between the lobes

of the Imc RF. (B) Binarized versions of RFs in A, at 60% max. firing rate in each case. Red

horizontal bar: highlights the relative position of OTid RF to Imc RF lobes. (C-F) Conventions

as in Fig. 2C-F. C, Red vertical bar indicates that OTid RF is in the gap between Imc RF lobes.

F, Line: Best fit straight line; slope = 0.65, R^2 =0.95. % change in responses directly estimates

*the strength of inhibition at this OTid neuron due to Imc (here, 35%). **(G-L)** Measurement of the*

strength of net "different lobe" inhibition from Imc → OTid with paired recordings in Imc and

OTid. Conventions as in A-F. (G, H) Three-lobed spatial RF of an Imc neuron (left; Fig. S3E-G;

Methods). RF of OTid neuron overlaps with one of the lobes of Imc neuron's RF (blue bar). (L)

Line: Best fit straight line; slope = 1.18, R^2= 0.9. % change in responses directly estimates the

*strength of inhibition at this OTid neuron due to Imc (here, -18%). **(M)** Population summary of*

strength of net "gap" inhibition (red; n=12), and strength of net "different lobe" inhibition

(blue; n=17) from Imc→OTid. Open red and blue box plots: reproduced from Fig. 1L. p<1e-5

(red vs. 0), p=0.35 (blue vs. 0); p=<1e-5 (red vs blue), paired t-tests with HBMC correction.

See also Fig. S3.

We found that Imc neurons exerted strong competitive inhibition onto OTid neurons that

encoded locations in the gaps between the Imc RF lobes (Fig. 3D-F, M-red), but weak

competitive inhibition onto OTid neurons that encoded for locations within a different lobe of the

Imc's RF (Fig. 3J-L, M-blue). The mean strength of "gap" inhibition (along elevation) was -

55.88% (Fig. 3M-red, s.d. = 20%, n==12 neuron pairs; p = 1.02 e-6, t-test against 0 with HBMC

correction; Fig. S3IJ-red). The mean strength of "different lobe" inhibition was 2.43 % (Fig. 3M-

blue, s.d. = 10.3 %, n==17 neuron pairs; p = 0.35, t-test against 0 with HBMC correction; Fig.

S3IJ-blue), and was not distinguishable from the average "self" inhibition (Fig. 3M- blue vs.

translucent blue; p-value = 0.09; two sample test with HMBC correction). Imc was inactivated

effectively in both sets of experiments (median = 98.2% median, 95% CI = [96.46% 99.99%];

Fig. S3K).

Taken together, these results demonstrated that the Imc implements precisely organized,

multi-holed donut-like patterns of inhibition onto the OTid space map, with each Imc neuron's

net inhibitory effect creating a pattern complementary to its (multilobed) RF structure (Fig.

S3A).

Donut-like inhibitory motif is required for categorization by barn owl midbrain

Considering the wiring complexity of the (multi-holed) donut-like connectivity between Imc

(and Ipc) and OT, we wondered if it served a functional purpose. Specifically, we investigated

whether it was necessary for the categorical signaling of the highest priority stimulus by the

OTid. To test this, we reasoned that causally introducing "self" inhibition onto an OTid neuron

to disrupt the donut-like pattern of inhibition would be most effective if achieved via the indirect,

but potent, pathway, i.e., by increasing "self" inhibition onto Ipc, (Fig. 4A). As the net "self"

inhibition at most OTid neurons is weak or zero (Figs. 2L and 3M), activating Imc → Ipc

projections (or Imc → OTid projections) for spatially aligned neuron pairs would be infeasible

because such projections are unlikely to exist. Instead, we mimicked this effect by focally

inactivating Ipc neurons (Fig. 4B-right), while recording simultaneously the responses of

spatially matched OTid neurons to the two-stimulus morphing protocol used to study categorical

signaling in the OTid (Fig. 4C left vs. right; protocol shown in Fig. 4E–bottom, same as Fig. 1E–

bottom; Methods;(Mysore and Knudsen, 2011a)).

Fig. 4. Donut-like inhibitory motif is required for categorical signaling of the strongest

stimulus in the barn owl midbrain selection network.

(A) Network model showing the OT-Imc-Ipc circuit in the barn owl. Magenta, dashed arrow:

Introduction of "self" inhibition in the Imc→Ipc pathway; would disrupt donut-like inhibition,

but is infeasible (Figs. 2 and 3; text). (B) Schematized is iontophoretic inactivation of Ipc neuron

aligned with OTid neuron; a feasible causal

manipulation that disrupts the donut-like

inhibition within channel 1 by de-activating

the donut-like motif onto the amplifier. (C)

Paired recordings in OTid and Ipc such that

RF of OTid neuron overlaps with that of Ipc

neuron. Conventions as in Fig. 2C. Stimulus

protocol used is the same two-stimulus

morphing protocol used in model simulations

in Fig. 1E; the relative strength between S1

and S2 was systematically varied. (D) <u>Left</u>:

OTid response rasters in the Ipc-intact

condition. <u>Right</u>: OTid response rasters in

the Ipc-off condition. Distance between OTid

and Ipc RF centers = 5°. (E) OTid response

firing rates, computed from D over the 100-400 ms time window. Black: Ipc-intact condition,

 magenta: Ipc-off condition. Dashed lines: best fitting sigmoid or straight line to data, chosen

 based on AIC criterion. Black: AIC (sigmoid) = 29.07, AIC (line) = 40.92; magenta: AIC

 (sigmoid) = 37.75, AIC (line) = 34.67. **(F, G)** *Population summary of effect of Ipc inactivation*

 on bd' (F) and CatI (G); n=11 neuron pairs; data in orange are from example neuron pair in D-

 E. Top panels: measured values. Bottom panels: Data in top panels replotted after normalizing

 to Ipc-intact values. Diamond: average of magenta data; p <1e-4 (bd'), t-test, and p <1e-4

 (CatI), ranksum tests against 1 with HBMC correction in F and G. See also Fig. S4.

      We found that disrupting the donut-like inhibitory motif (by introducing "self" inhibition

 onto the Ipc) caused a substantial reduction in categorical signaling in the OTid (Fig. 4D-E:

 example neuron pair)**.** Across the population of tested neuron pairs, categorization as well as

 robust-to-noise signaling were nearly abolished, with the median reduction in *CatI* of 104.7%

 and a mean reduction in *bd'* of 112.42% (Fig. 4FG; n=11 neuron pairs; *bd'*: s.d. = 37.8%; p =

 3.93e-9, t-test against 1 with HBMC correction; *CatI*: 95% CI of median = [91.52%, 117.92%];

 p = 2.55 e-5, ranksum test against 1 with HBMC correction). Thus, the midbrain spatial selection

 network not only contains the specialized donut-like inhibitory circuit motif, but also critically

 depends on it for categorical, robust-to-noise signaling of the highest priority stimulus.

 Divisive normalization model is not effective for generating categorical representations

 The structured (multi-holed) donut-like organization of inhibition stands in contrast to another

 computational mechanism that has been invoked in the decision-making literature, namely

 divisive normalization (Albantakis and Deco, 2009; Carandini et al., 1997; Kouh and Poggio,

2008; Louie et al., 2013). It involves the pooling of inhibition due to various active channels,

with the inhibitory elements inhibiting each other, and with the pooled inhibition delivered

uniformly (rather than in a donut-like manner) to the output elements (Fig. 5A;(Carandini et al.,

1997; Kouh and Poggio, 2008)).

Our results (Figs. 2-3) show that the midbrain spatial selection network (OT-Imc-Ipc)

does not implement pooled divisive normalization for selection across space. To test, more

generally, whether the divisive normalization model is effective for generating categorical

response profiles, we simulated a circuit model of normalization (Fig. 5A) and obtained model

neuron responses to the two-stimulus morphing protocol (Fig. 5A; same protocol as in Figs. 1E

and 4). *CatI* was substantially lower for this model than that obtained with just the donut-like

inhibitory motif (Fig. 5C right panel: mean *CatI* = -0.05 for normalization model compared to

0.331 for donut model in Figure 1, p = 1.5 e-29, t-test with HMBC correction, gold vs. purple;

left panel: p = 1e-12, t-test of gold vs. purple,). Thus, the normalization mechanism is not

effective for generating categorical responses (consistent with findings from modeling in visual

cortex (Busse et al., 2009)). Indeed, it was the presence of self-inhibition, specifically, that

caused this circuit to be ineffective: a modified version of the circuit in Figure 5A which did not

include self-inhibition (Fig. 5B), was very effective, further attesting to the primacy of the donut-

like motif for categorization (Fig. 5C right panel: blue vs. gold data, mean *CatI* = 0.279 for

normalization model with donut compared to 0.022 for normalization model, p = 1.15e-14, t-test

with HBMC correction; left panel: p = 8.8e-19, t-test of blue vs. gold with HBMC correction).

(Incidentally, the donut-like motif is capable also of keeping the responses of the circuit in

check, in a sense, 'normalizing' the responses, as the overall drive increases (Fig. 1E)).

Fig. 5. Modeling: Divisive normalization model operating across the space map is not effective

for producing categorical responses.

(A) Schematic of normalization circuit model with pooled inhibition and inhibitory feedback

(Carandini et al., 1997; Kouh and Poggio, 2008). (B) Schematic of normalization circuit sans

self-inhibition. (C) Plot of bd' (left panel) and CatI (right panel) computed from the responses of

neuron 1 in the normalization model (A; gold data) and the model sans self-inhibition (B; blue

data), to the standard two-stimulus morphing protocol (as in Fig. 1E). For comparison, the bd'

and CatI values for donut-like motif, from Fig. 1FG, reproduced here for comparison. Right

panel (CatI): p = 5.2e-23 (gold vs. purple), p=8.8e-19 (gold vs. blue), paired t-tests with HBMC

correction; left panel (bd'): p = 1.9e-31 (gold vs. purple), p=5.13e-31 (gold vs. blue), paired t-

tests with HBMC correction.

Generalizable implementation of the donut-like motif

Both in the barn owl brain and in the various models considered in Figures 1-4, the circuit

architectures included feedforward inhibition from inhibitory neurons to the 'output' neurons,

with the donut-like motif instantiated as the absence of feedforward self-inhibition. Several

models of decision making in humans and monkeys do include a feedforward inhibitory

component (Churchland and Ditterich, 2012; Ratcliff and McKoon, 2008; Roe et al., 2001;

Usher and McClelland, 2001). Examining those models in light of our findings reveals that they

indeed implement a donut-like motif, although this aspect of the circuit is not highlighted in the

models.

However, another established class of models of decision making does not include

feedforward inhibition, but only involves inhibition in a recurrent path between the competing

options (Machens et al., 2005; Wong and Wang, 2006). To test if feedforward implementation of

the donut-like motif was necessary for categorization or whether its efficacy generalized to

recurrent implementations as well, we simulated a version of our circuit model that did not

include feedforward inhibition, and that implemented the donut-like motif only via a recurrent

route (Fig. 6AB). For completeness, we simulated this model both without (Fig. 6A) and with

amplification (Fig. 6B). We found that this implementation of the donut-like motif also

successfully produced categorical representations (Fig. 6C; CatI=0.29 (black), 0.34 (light blue)).

Nonetheless, the feedforward implementation of the donut-like motif (Fig. 1CD bottom right

panels) offered additional benefits to categorization (Fig. 6C, right panel: black (Fig. 6A) vs.

dark blue (Fig. 1C-bottom–right), p = 1.7e-25; light-blue (Fig. 6B) vs. orange (Fig. 1D-bottom–

right), p = 2.5e-31; paired t-tests with HBMC correction), indicating that if a neural circuit (for

476 instance, the avian midbrain selection network) is able to implement this version of the donut, it

477 can do better than one with a purely recurrent implementation.

478   These results, together with the (seemingly hidden) presence of the donut-like motif in

the leading classes of decision-making models that have been used to account for categorical

neural responses across brain areas and animal species (Bathellier et al., 2012; Churchland and

Ditterich, 2012; Freedman and Assad, 2006; Freedman et al., 2001; Jiang et al., 2018; Machens

et al., 2005; Niessing and Friedrich, 2010; Ratcliff and McKoon, 2008; Roe et al., 2001; Usher

and McClelland, 2001; Wang, 2008; Wong and Wang, 2006), directly support the generality of

the donut-like motif for producing categorical representations.

Fig. 6. **Generalizable implementation of the donut-like motif.**

(A-B) Circuit with implementation of donut-like motif purely via a recurrent route, i.e., in the

absence of any feedforward inhibition without (A) and with amplification (B; blue arrow);

compare with, respectively, Fig. 1CD bottom-right panels. Red ovals: inhibitory neurons, black

circles: excitatory/output neurons, dashed gray ovals: populations of neurons representing each

*stimulus or category. **(C)** Plot of bd' (left panel; black and light blue data) and CatI (right*

panel; black and light blue data) computed from the responses of neuron 1 in circuits in A and B

to the standard two-stimulus morphing protocol (as in Fig. 1E). For comparison, the bd' and

CatI values for donut-like motif, from Fig. 1CD bottom-right panels, are reproduced here (dark

blue and orange data, respectively). '*': p<0.05. Left panel: black (A) vs. dark blue (Fig. 1C-

bottom–right), p = 1.7e-23; light-blue (B) vs. orange (Fig. 1D-bottom–right), p = 4.7e-34;

paired t-tests with HBMC correction. Right panel: black (A) vs. dark blue (Fig. 1C-bottom–

right), p = 1.7e-25; light-blue (B) vs. orange (Fig. 1D-bottom–right), p = 2.5e-31; paired t-tests

*with HBMC correction. **(D-G)** Graphical summary. (D) Donut-like inhibition, i.e., inhibition (-)*

driven by preferred inputs (+) and delivered to all non-preferred inputs, can be implemented in

neural circuits either in a feedforward manner (E) or in a recurrent manner (F), to generate

categorical representations (G). (E, F) Red ovals: inhibitory neurons, black circles:

excitatory/output neurons. E, dashed thin red arrow indicates absence of inhibitory projection. F

based on Figures 1-4; G is a simplified representation of model in B, in which populations of

neurons representing each category or choice (dashed grey circle) mutually inhibiting one

another.

Discussion

Our results discover the power of a donut-like inhibitory motif for generating categorical

representations (Fig. 5C-E), and its ability to convert even linear response profiles to categorical

ones (Fig. 1E-inset: orange/brown vs. purple). The donut-like inhibitory motif may, therefore, be

a conserved neural circuit module for categorical selection and decision-making in general,

potentially playing a functional role in sculpting categorical responses that arise through learning

as well (Freedman and Assad, 2006; Xin et al., 2019).

Here, we described the donut-like motif in the context of selection across space, which is

underpinned by well-organized topographic maps of space. However, for many forms of

selection, such organized maps do not exist, with olfactory categorization being an extreme

example (Niessing and Friedrich, 2010), involving dense combinatorial coding of odors. The

findings from this study suggest that detailed investigations of the properties and connectivity of

inhibitory neurons in those cases may be a fruitful endeavor. In the subcortical circuit studied

here, which is conserved across all vertebrates (Knudsen, 2011; Wang et al., 2004), the long-

range suppression that is necessary for the donut-like motif is implemented by inhibitory neurons

with far reaching projections. Alternatively, it could also be implemented, for instance, in

cortical circuits, through long-range excitation contacting local inhibitory neurons. Additionally,

our results testing different implementations of the donut-like motif to match leading classes of

models of decision-making support the generality of this neural circuit motif for implementing

categorization across brain areas.

A seeming alternate mechanism for categorization that we did not examine in detail is the

biophysical specification of input-output functions of the neurons to be step-like. However, the

limited power of this mechanism for producing categorical responses in general circumstances,

together with the past demonstration that the steepness of the i/o functions of neurons can be

uncorrelated with whether selection is categorical (Mysore et al., 2011), made this alternative not

compelling. Another alternative are highly recurrent networks, which have been shown in

modeling to be capable of generating categorical outputs from multiplexed encoding through

dynamics (Chaisangmongkon et al., 2017; Mante et al., 2013). However, because it is difficult to extract specific, testable neural circuit mechanisms from such a network, and in light of the recently reported counterpoint to mixed-selectivity descriptions (Hirokawa et al., 2019), we focused, here, on structured circuit mechanisms. We considered explicitly the alternatives of feedback inhibition and recurrent amplification. Contrary to prior proposals (Mysore and Knudsen, 2012; Wang, 2008), feedback inhibition, by itself, was not effective for producing categorical response profiles, nor is recurrent amplification by itself, nor the two of them combined. However, if present along with the donut-like motif, they enhanced its efficacy for categorization. Our results, therefore, illuminate the potential mechanistic reason for the success of various past models that have accounted for categorical responses, namely, their inclusion of donut-like inhibition (rather than of amplification or feedback inhibition). Considering that other important functions have been proposed for these two motifs - the implementation of a flexible selection boundary (feedback inhibition; (Fadok et al., 2017; Jovanic et al., 2016; Mysore and Knudsen, 2012)), and evidence accumulation (recurrent amplification; (Wang, 2008, 2012)), selection circuits may need to include these motifs. Together with the donut-like inhibitory motif, they can then effectively implement attractor dynamics for flexibly categorical decision-making (Machens et al., 2005; Mysore and Knudsen, 2012; Niessing and Friedrich, 2010; Wang, 2008). An intriguing open question in this context, even in the avian midbrain selection network, is how the wiring of the exquisitely organized (multi-holed) donut-like connectivity is achieved in the brain.

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Methods

Animals. We performed experimental recordings in 7 head-fixed awake adult barn owls viewing a visual screen passively (Tyto alba). Both male and female birds were used; the birds were shared across studies. All procedures for animal care and use were carried out following approval by the Johns Hopkins University Institutional Animal Care and Use Committee, and in accordance with NIH guidelines for the care and use of laboratory animals. Owls were group housed in flight runs within the aviary, each containing up to 6 birds. The light/dark cycle was 12 hr/12 hr.

Neurophysiology. Experiments were performed following protocols that have been described previously (Mysore et al., 2010; Mysore and Knudsen, 2013). Briefly, epoxy-coated, high impedance, tungsten microelectrodes (A-M Systems, 250μm, 5-10MΩ at 1 kHz) were used to record single and multi-units extracellularly in the OTid. Multi-barrel glass electrodes (Kation Scientific, Carbostar– 3LT, 0.4-1.2MΩ at 1kHz) filled with kynurenic acid (a competitive inhibitor of ionotropic glutamate receptors; pH 8.5-9 at a concentration of 40mM) were used to record from and inactivate neurons in the Imc and Ipc. Inactivation was performed using micro iontophoresis by ejecting kynurenic acid with an eject current of -450 nA to -500 nA; data were collected starting

15 min after drug ejection commenced. A retain current of +15 nA was used to prevent leakage of

the drug from the tip of the electrodes when drug was not being iontophoresed. Recovery data

were measured 15 min after drug ejection was ceased.

OT, Imc and Ipc targeting. We navigated to the OT (based on well-established methods

(Knudsen, 1982)), and then navigated to the Imc using the OT's topographic space map as

reference. The Imc is an oblong structure that is 2.8mm rostrocaudally and 0.35mm dorsoventrally,

appearing as a 700-μm x 350-μm elliptical disk in coronal sections. It lies parallel to the

rostrocaudal axis of the OT, located approximately 16 mm ventral to the surface of the brain, and

approximately 500 μm medial to the medial-most part of the OT. We targeted the Imc following

published methods (Mysore and Knudsen, 2013) Imc targeting has been validated previously using

dye injections and lesions (Mahajan and Mysore, 2018). Dorsoventral penetrations through the

Imc were made at a medial-leading angle of 5˚ from the vertical to avoid a major blood vessel in

the path to the Imc. The Ipc lies roughly 500-700 um medial to the Imc and its targeting was

confirmed based on the neural response characteristics of the neurons (characteristic bursty

responses; (Asadollahi et al., 2010)).

Data collection and spike sorting. Multi-unit spike waveforms were recorded using Tucker Davis

Technologies hardware interfaced with MATLAB. The responses of neurons were measured by

counting spikes during a time window (typically 100-350 ms) following stimulus onset.

The automated 'wave-clus' spike-sorting toolbox was used for spike sorting (Chaure et al.,

2018). We included only those units for analysis for which fewer than 5% of the recorded spikes

were within 1.5 ms (inter-spike interval; ISI) of each other.

Model details (Related to Figs. 1, S1, S2, 5)

Input output functions. The input output functions (firing rate f, as a function of the saliency, l)

of the neurons in the model were modeled using sigmoid functions using previously published

methods(Mysore and Knudsen, 2012).

608
$$f(l) = c + s \left(\frac{l^m}{l^m + L_{50}^m} \right)$$

where c, is the baseline firing rate of the neuron; l, is the saliency parameter of the stimulus (e.g.

loom speed of the stimulus, loudness of an auditory stimulus, contrast of the stimulus, speed of a

moving stimulus) that can vary continuously over a range; s, is the maximum change in the firing

rate of the neuron; L_{50} is the saliency value at which the neuron's firing rate changes by 50% of

the maximum change; and m, is a parameter that controls the slope of the sigmoid.

Excitatory neurons. The excitatory neurons in Figs. 1, S1, S2, and S4 were simulated using the

following parameters (which are consistent with the parameters obtained by fitting a sigmoid to

response functions of OTid neurons (Mysore and Knudsen, 2012):

619
$$c = 5.3, s = 22.2, L_{50} = 11.6, m = 2$$

Inhibitory neurons. The inhibitory neurons in Figs. 1, S1, S2, and S4 were simulated using the

following parameters (which are consistent with the parameters obtained by fitting a sigmoid to

response functions of Imc neurons (Mysore and Knudsen, 2012):

$$c = 5, s = 15, L_{50} = 8, m = 10$$

Recurrent excitation neurons. The excitatory neurons that provide recurrent amplification in Fig.

S2 were simulated using the following parameters (which are consistent with the parameters

obtained by fitting a sigmoid to response functions of Ipc neurons; (Asadollahi et al., 2011)):

$$c = 8.4, s = 36, L_{50} = 5.8, m = 3.3$$

The inhibition sent from the inhibitory neurons onto excitatory neurons is modeled using

input and output divisive factors as below using previously published methods (Mysore and

Knudsen, 2012).

$$f = \left(\frac{1}{s_{out}} + 1\right) \cdot \left(\frac{c}{s_{in}+1} + s\left(\frac{l^m}{l^m + L_{50}^m + s_{in}^m}\right)\right), \text{ where}$$

- $s_{in} = d_{in}.I, s_{out} = d_{out}.I$ are the input and output divisive factors.

- d_{in} and d_{out} are parameters that control the strength of input and output division and I is

the output (firing rate) of the inhibitory neuron sending the inhibition.

The value of these parameters chosen were $d_{in} = 0$ and $d_{out} = 0.25$ consistent with

previously published methods (see Fig. 5D in (Mysore and Knudsen, 2012)).

Feedback inhibition. In models in which the inhibitory neurons inhibit each other (e.g. Fig. 1C,

bottom left panel, blue connections; feedback model), the feedback inhibition was modeled as

below using previously published methods(Mysore and Knudsen, 2012).

643
$$I(t) = \left(\frac{1}{i_{out}} + 1\right) \cdot \left(\frac{c}{i_{in} + 1} + s\left(\frac{l^m}{l^m + L_{50}^m + i_{in}^m}\right)\right)$$

645
$$i_{in}(t) = r_{in} \cdot I'(t-1), \quad i_{out} = r_{out} \cdot I'(t-1)$$

where I' is the output (firing rate) of the other inhibitory neuron at time $(t-1)$.

These equations were iteratively applied until there was no further change in the output of

the neurons (i.e., steady state was reached).

The values of the feedback parameters used were $r_{in} = 0.8, r_{out} = 0.01$ consistent with

previously published methods. We also varied these two parameters (varying feedback; Fig. S1A)

to study their effect on boundary discriminability and categorization index.

"Self" inhibition and Donut. In models in which the excitatory neuron receives inhibition from

more than one inhibitory neuron (e.g. Fig. 1C, top left panel; Baseline model), the inhibition from

these sources was combined as below.

656
$$f = \left(\frac{1}{s_{out1}} + 1\right) \cdot \left(\frac{1}{s_{out2}} + 1\right) \cdot \left(\frac{c}{s_{in1} + s_{in2} + 1} + s\left(\frac{l^m}{l^m + L_{50}^m + s_{in1}^m + s_{in2}^m}\right)\right)$$

where, $s_{in1} = d_{in} \cdot I_1, s_{out1} = d_{out} \cdot I_1, s_{in2} = (s * d_{in}) \cdot I_2, s_{out2} = (s * d_{out}) \cdot I_2$

I_1 is the output (firing rate) of the inhibitory neuron 1 and I_2 is the output (firing rate) of the

inhibitory neuron 2.

The parameter value s controls the strength of self-inhibition, and ranges between 0 and 1.

In models that have 'donut-like connectivity', s is set to 0 (Fig. 1C, top right panel; Donut model).

In models which do not have the donut-like connectivity (Fig. 1C, top left panel; Baseline model),

s is set to 1.

We also vary the value of s systematically between 0 (donut) and 1 (maximum self-

inhibition) to study the effect of the strength of "self" inhibition on the boundary discriminability

and categorization index (Fig. S1B).

Recurrent excitation. In the models with recurrent excitation, (Fig. 1D), the output of the neuron

is scaled by a factor (k, k= 2.5 in Fig. 1FG). We also vary the scaling factor to study its effect on

boundary discriminability and categorization index (Fig. S1C).

In the model in Fig. S2, the output of the neuron which receives recurrent amplification is

modeled as below.

$$f(l) = (1 + e_{out}).\left(\frac{1}{s_{out} + 1}\right).\left(\frac{c}{s_{in} + 1} + s\left(\frac{l^m}{l^m + L_{50}^m + s_{in}^m}\right)\right)$$

where, $e_{out} = e_a.A,\quad e_a = 0.01$, and A is the output of the neuron sending the amplification.

The value of the parameter is chosen as 0.01 to yield results consistent with the amplification effect

of Ipc on OT responses as reported in previously published work (Ipc inactivation results in a 31%

decrease in the OTid responses on average; (Asadollahi and Knudsen, 2016)).

Models for Figs. 5 and 6. To implement the models in Figs. 5 and 6 (normalization model and

donut-like motifs purely via a recurrent route), we used the input-output functions and the effect

of divisive inhibition described above. The primary feature of these models that is different from

models in Figs. 1, S1 and S2 is that the excitatory neurons send inputs to inhibitory neurons, which

then inhibit those excitatory neurons. The output of an excitatory neuron at time t is calculated by

applying divisive inhibition (from the inhibitory neurons at time t-1) to its activity at time t-1. This

activity is then used to calculate the activity of the inhibitory neurons at time t as below.

689
$$I(t) = (1 + e_{out}).I(t - 1)$$

where, $e_{out} = e_a.A,$ $e_a = 0.01$, and A is the output of the neuron sending the input at time t-1.

This process is repeated iteratively until steady state activity is obtained. The initial activities (at

t=0) of the inhibitory neurons are set to their baseline level, and of the excitatory neurons are

calculated from the stimulus inputs without any divisive inhibition.

For the models with recurrent amplification (Fig. 6AB), the output of the neuron is scaled by a

factor (k, k= 2.5).

Calculating boundary discriminability and categorization index for the models (Figs. 1, S1,

S2, 5)

For each of the models in Fig 1, S1 and S2, we used a two-stimulus strength morphing

protocol described in previously published work (Mysore and Knudsen, 2011a). We presented

stimulus S1 at location 1 and stimulus S2 at location 2. As the strength of the first stimulus was

increased, the strength of the second stimulus was decreased (Figs. 1E, 4E). Responses of the

model output neuron (#1) were simulated using this protocol. Random noise from a standard

normal distribution was added to the responses. The variance of the noise added depended on the

mean value of the responses (m) and a fano factor value (ff): variance = ff *m. This was repeated

30 times (to mimic 30 reps of data collection from a 'neuron') and was used to compute the

response profiles (mean +/- s.e.m.) of that neuron from different model circuits. Similarly,

response profiles for 50 neurons were obtained for each model circuit. For all the model runs

reported in Figs. 1, S1, S2 and 5, we used a fano factor value of 6. We also varied the fano factor

value to test the effect of noise on model performance (Fig. S1D).

This stimulus presentation protocol results in 2 categories (Category 1: S1 > S2 and

Category 2: S1 < S2). We measured the boundary discriminability (bd') of the responses of neuron

1 by calculating the d-prime between the responses of neuron to stimuli pair straddling either side

of the selection boundary and at a distance of 3 units from the boundary as:

$$bd' = \frac{\mu_1 - \mu_2}{\sqrt{0.5(s_1^2 + s_2^2)}}$$

where, μ_1 and s_1 are the mean and the standard deviation of the responses of the neuron to the

stimuli pair from category 1 near the boundary; and μ_2 and s_2 are the mean and the standard

deviation of the responses of the neuron to the stimuli pair from category 2 near the boundary.

To compute the categorization index, we compared two quantities: (modified from

previously published work; (Freedman and Assad, 2006; Mysore and Knudsen, 2011a)) (a) the

mean within-category d-prime (WCD') between the responses of the neuron to pairs of stimulus-

pairs (S1 and S2) that are in the same category, and (b) the mean between-category d-prime (BCD')

between the responses of the neuron to pairs of stimulus-pairs that are in different categories. The

pairs are chosen while ensuring that (i) the number of pairs used to calculate both these metrics

are the same, and (ii) the distribution of the distances between the chosen pairs for calculating both

the metrics are the same. The categorization index is calculated from these two metrics as:

$$\text{Categorization index (CatI)} = \frac{\text{mean}(BCD') - \text{mean}(WCD')}{\text{mean}(BCD') + \text{mean}(WCD')}$$

CatI= 1 indicates idealized, step-like responses; = 0 indicates linear, non-categorical responses; <0 indicates better discriminability within category than between categories.

Data collection protocol.

Visual stimuli used here have been described previously (Mysore et al., 2010; Mysore and Knudsen, 2011a). Briefly, looming visual dots are flashed at different locations on a tangent TV monitor in front of the owl. Looming stimuli were dots that expanded linearly in size over time, starting from a size of 0.6˚ in radius. The speed of the loom was decided based on the stimulus protocol and varied between 9.6°/s and 21.6°/s. Visual stimuli were presented for a duration of 250ms with an inter stimulus interval of 1.25s to 4s.

Receptive fields (RFs). For measuring spatial RFs of Imc, OTid and Ipc neurons, a single stimulus of a fixed contrast was presented at the sampled locations. The order of locations at which the stimulus was presented was randomized to minimize adaptation. A location is considered to be inside the RF if it evokes responses significantly different from that of baseline response. All other locations are considered to be outside the RF.

The following stimulus protocols were used for measuring data reported in Figs. 2, 3.

I. **Paired OTid and Imc data collection**

    1. *"Other" inhibition*

To measure the strength of "other" inhibition sent from an Imc neuron to a distant location in the OTid space map, we simultaneously recorded from the Imc neuron (with a multi-barrel glass electrode filled with the kynurenic acid), and a spatially misaligned "other" OTid neuron (with a tungsten electrode) as described below; we ensured that the half-max of the RF of the OTid neuron lay outside (did not overlap with) the half-max of the Imc RF.

For measuring "other" inhibition, we recorded the following data curves together in an interleaved manner.

a) Tuning curve (TC) centered at the OTid RF peak: A 1-dimensional spatial tuning curve centered at the peak of the OTid RF. The stimulus had a loom strength of 9.6°/s.

b) Tuning curve centered at the OTid RF peak + competing stimulus centered at the Imc RF peak (TCC): The same curve as in a), but along with a (distant) competitor positioned at the peak of the Imc RF. The strength of the competing stimulus was chosen to be between 19.2°/s. This second competing stimulus drives the Imc neuron, which sends strong competitive inhibition to the OTid neuron. Since the competing stimulus was more salient than the stimulus driving the OTid neuron, the responses of the OTid neuron were strongly suppressed consistent with previous published results (Mysore et al., 2010).

c) Tuning curve (TC) centered at the Imc RF peak: 1-dimensional spatial tuning curve centered at the Imc RF. The loom strength of the stimulus was chosen to be 9.6°/s.

We measured the above 3 curves both when the Imc neuron is intact ('baseline' condition) and focally inactivated ('inactivation' condition) and compare the responses as below. In a subset of the data, we also measured the curves after the responses of the Imc neurons recovered (Fig. S2D, 'recovery' condition) from focal iontophoretic inactivation. Inactivation curves were measured 15

min after the drug ejection was started. Recovery curves were measured 15 min after the drug

ejection was stopped.

We analyzed the data from these three curves as below.

First, a distant competing stimulus is known to typically suppress OTid responses (Mysore

et al., 2010; Rizzolatti et al., 1974), something that we confirmed by comparing the responses of

OTid neurons to the TC (curve a)) and TCC (curve b)); Fig. S2E. Notably, it is also known that

some OTid neurons do not show such suppression, and any such neurons were excluded from our

analyses (Mysore et al., 2011).

Next, to measure the amount of Imc inactivation, we compared the responses of the Imc

neurons to the TC measured at the Imc RF peak (curve c) in the baseline condition versus the

inactivation condition. Kynurenic acid was able to effectively shut down Imc responses: (Fig 2F;

red, median strength of Imc inactivation = 95%, 95% CI = [87%, 103%], p = 3.8e-6, sign test,

n=19).

Finally, to measure the strength of "other" inhibition, we compared the TCC responses (curve

b) in the baseline condition and the inactivation condition. In the baseline condition, the Imc

neuron was intact, driven by the competing stimulus and sent strong inhibition to the OTid neuron.

In the inactivation condition, the Imc neuron was shut off, as the result of which the OTid neuron

was released from inhibition and exhibited an increase in responses. The difference between these

two curves quantified the strength of "other" inhibition from the Imc onto OTid.

2. *"Self" inhibition*:

To measure the strength of "self" inhibition sent from an Imc neuron to a matched location in the

OTid space map, we simultaneously recorded from the Imc neuron (with a multi-barrel glass electrode filled with the kynurenic acid), and a spatially aligned "self" OTid neuron (with a tungsten electrode) as described below; we ensured that the half-max of the RF of the OTid neuron overlapped with the half-max of the Imc RF.

We recorded a spatial tuning curve centered at the peak of the OTid (and therefore, Imc) RF. The loom speed of the stimulus used was 9.6°/s; this stimulus drives both the OTid and the Imc neuron.

We compared the responses of the OTid neuron in the Imc-intact (baseline) and Imc inactivated condition and the difference quantified the strength of "self" inhibition (Fig. 2L, blue).

To measure the amount of Imc inactivation, we compared the responses of the Imc neuron in the baseline and the inactivation condition as before. kynurenic acid was able to effectively shut down Imc responses: (median strength of Imc inactivation = 92%, 95% CI = [86% 98%], p = 7.5e-9, sign test).

3. *"Gap" inhibition*:

To measure the strength of "self" inhibition sent from an Imc neuron with a multilobed RF to the locations in the OTid space map between the RF lobes (gaps), we simultaneously recorded from the Imc neuron (with a multi-barrel glass electrode filled with the kynurenic acid), and "gap" OTid neuron (with a tungsten electrode), the RF (half-max) of which was located in the gap between the half-max of the lobes of the Imc RF (Fig. 3A-C). We recorded the same set of curves as in the "other" inhibition case (see above) from the gap OTid neuron, and performed similar analyses on the data to quantify the strength of "gap" inhibition.

4.   *"Different lobe" inhibition*:

To measure the strength of inhibition sent "from" locations within one lobe of an Imc neuron

with a multilobed RF to the locations in the OTid space map within other lobes of the Imc RF

("different lobe" inhibition), we simultaneously recorded from a multilobe Imc neuron (with a

multi-barrel glass electrode filled with the kynurenic acid), and an OTid neuron (with a tungsten

electrode), the RF (half-max) of which overlapped with one of the lobes of the Imc RF (Fig. 2G-

I). Then we measured the same set of curves as in the "other" case while ensuring one additional

detail. We recorded the TC curve (curve a) with stimulus (S1) centered at the OTid RF peak (and

therefore within one of the lobes of the Imc RF as well). For the TCC (curve b), the second

stimulus S2 was centered at the peak of a different lobe of the Imc neuron's multilobe RF. Thus,

both stimuli excited the Imc neuron (during curve b) as they lay within its RF, but only S1

excited the OTid neuron and S2 served as a (distant) competitor from the its perspective.

An OTid neuron in this configuration was defined as a "different lobe" OTid neuron; the

half max of its RF overlapped with the half max of one of the lobes of the Imc RF, but not of

other lobes. (Note that by definition, every "different lobe" OTid neuron is also a potentially

"self" OTid neuron, and admits to the use of the appropriate stimulus protocol to measure "self"

inhibition. However, the opposite is not true, since "self" OTid neurons can be identified for Imc

neurons with single lobed RFs as well, but "different lobe" OTid neurons are only defined for

multilobe Imc neurons).

We applied similar analyses as in the "other" case to the data from "different lobe" OTid

neurons and quantified the strength of "different lobe" inhibition.

II.   Paired OTid and Ipc data collection

To test if the donut-like inhibitory motif is required for (robustness-to-noise and) categorization, we made paired recordings at spatially aligned Ipc and OTid neurons. We used a strength morphing stimulus protocol described in previously published work (Mysore and Knudsen, 2011a). Briefly, we presented one stimulus (S1) inside the RF of the OTid neuron (and also Ipc neuron because their RFs overlap). Simultaneously, we presented a competing stimulus (S2) 30˚ away along azimuth from S1. As the strength of the S1 decreased, the strength of S2 stimulus increased (Fig. 4E).

We applied the same analyses described above for the model in Figs. 1, S1 to compute the boundary discriminability and the categorization index for experimental data reported in Fig. 4.

Data analyses and statistical tests. All analyses were carried out with custom MATLAB code. Parametric or non-parametric statistical tests were applied based on whether the distributions being compared were Gaussian or not, respectively (Lilliefors test of normality). The Holm-Bonferroni correction was used to account for multiple comparisons; the abbreviation "with HBMC correction" in the text stands for "with Holm-Bonferroni correction for multiple comparisons". All tests were two-sided. Data shown as a ± b refer to mean ± standard deviation, unless specified otherwise. The '*' symbol indicates significance at the 0.05 level (after corrections for multiple comparisons, if applicable). Correlations were tested using Pearson's correlation coefficient (*corr* command in MATLAB with the 'Pearson' option).

Code and data availability. Software code and the data that support the findings of this study are available from the corresponding author upon reasonable request.

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1001 **Supplemental Figures**

1002

1003 *Fig. S1. Donut-like inhibition surpasses other circuit motifs in its ability to generate*

1004 *categorical representations over a large range of values of key model parameters and amounts*

of response noise (modeling). (A) Effect of varying strength of feedback inhibition in model in

Fig. 1C-bottom-left, on bd' (left) and CatI (right). r_{in}: input divisive factor; r_{out}: output divisive

factor (Methods). Range of variation based on previously published work (Mysore and Knudsen,

2012). Maximum bd' over the range of parameters is = 0.5478 (lower than from the donut-like

motif, bd' = 0.785); maximum CatI = 0.157 (lower than from the donut-like motif, CatI = 0.331).

(B) Effect of varying strength of "self" inhibition in model in Fig. 1C-top-right on bd' (left) and

CatI (right). Left: Corr = -0.89, p = 1.64e-4 (correlation test). Right: Corr = -0.81, p = 3e-3

(correlation test). Maximal effects on bd' and CatI are when "self" inhibition=0, i.e., when the

circuit has donut-like inhibition. *(C)* Effect of varying strength of recurrent amplification in

model in Fig. 1D-top-left, on bd' (left) and CatI (right). Red lines: values from circuit with

donut-like motif only. <u>Left:</u> bd' increases with amplification (corr=0.68; p = 1e-3; corr test),

saturating around k=10; the increase is as expected because of the progressive scaling up of

responses due to amplification. Note that physiological range is under 3 (Asadollahi and

Knudsen, 2016). <u>Right</u>: However, CatI does not change systematically (corr= -0.14; p =0.54;

corr test), indicating that varying the strength of amplification either does not affect the

estimates in Fig. 1F for this motif. *(D)* Comparison across three models of bd' (left) and CatI

(right) from simulated response profiles as a function of fano-factor. Models are circuit with

donut-like inhibitory motif only (Fig. 1C, top-right), or circuit with recurrent amplification and

feedback inhibition together (Fig. 1D, bottom-left), or circuit with all three motifs combined

(Fig. 1D, bottom-right).

Fig. S2. Donut-like inhibition surpasses other circuit motifs even in the case of biologically

grounded model (model based on barn owl Imc-Ipc-OT connectivity and function), and

supporting data for Fig. 2 (experiments). (A) *Baseline + recurrent excitation model, similar to*

the one in Fig. 1D, top-left, but with Ipc providing recurrent amplification, and receiving

inhibition from Imc. Bottom-left: Adding feedback inhibition to model in top-left. Top-right:

Adding donut-like motif to model in top-left. The donut-like motif is 'added' by setting "self"

inhibition to zero; this is done both for the direct inhibitory pathway from Imc → OTid, and the

indirect pathway: Imc → Ipc → OTid. Bottom-right: Adding both feedback inhibition and donut-

*like inhibition. **(B)** bd' for these four models in A, computed on the response profiles of model*

neuron 1 obtained by simulating with the same two-stimulus morphing protocol used in Fig. 1E.

Shown ghosted in for comparison are the results from the circuit model in Fig. 1C, top-right,

that has just the donut-like motif without any recurrent amplification or feedback inhibition. '':*

*p = 9.5e-10, ANOVA followed by HBMC correction for multiple comparisons. **(C)** Same as B,*

but CatI. Results show that the supremacy of the donut-like motif over recurrent amplification

alone, or the combination of that with feedback inhibition, in generating categorical response

profiles still holds in the (biologically grounded) model of the barn owl midbrain circuit. '': p =*

*9.6e-10, ANOVA followed by HBMC correction for multiple comparisons. **(D)** Recovery of OTid*

responses from kynurenic acid iontophoresis for experiments in Fig. 2. <u>Data on left</u>: "Other"

experiments. OTid responses revealed significant change during iontophoresis (red dots; subset

of the data reproduced from Fig. 2L), but returned to pre-drug baseline (horizontal line) in

recovery (black dots; p=0.32, t-test against 0). Recovery data obtained 15 min after

iontophoretic eject current was switched to retain current; Methods). Data show recovery,

demonstrating that the effects reported Fig. 2 are due specifically to drug iontophoresis/Imc

inactivation. <u>Data on right</u>: "Self" experiments. OTid responses showed no significant change

during iontophoresis (blue dots; reproduced from Fig. 2L), and stayed around zero in recovery

*(black dots; p=0.58, t-test against 0. **(E)** "Other" experiment. Comparison of suppression*

provided by Imc with that due to S2 (i.e., the maximum amount of suppression experienced by the

OTid neuron in this stimulus protocol). This is done by comparing (i) % suppression of OTid

responses by stimulus S2 when Imc is intact, computed by comparing OTid responses to S1 alone versus the paired presentation of S1 and S2 (teal;(Mysore et al., 2010)), with (ii) % suppression of OTid responses to paired presentation of S1 and S2 produced by Imc inactivation, computed by comparing OTid responses in the Imc-intact to Imc-off conditions (red; data reproduced from Fig. 2L). Consistent with (Mysore and Knudsen, 2013), nearly all the suppression due to competitor S2 (teal) is supplied by Imc (red) (p= 0.22, t-test, teal vs. red), verifying that Imc supplies powerful "other" inhibition. (F) Quantifying the effectiveness of Imc inactivation by kynurenic acid iontophoresis in the "other" (red) and "self"-inhibition (blue) experiments. In both cases, the inactivation was highly effective. Red: median % change in response; median = 95%, 95% CI = [87%, 103%], p = 3.8 e-6, sign test; Blue: median % change in responses median =0.92, 95% CI = [86%, 98%], p = 7.45e-9, sign test.

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Fig. S3. Detecting the number of Imc RF lobes, and other supporting experimental data for

Figure 3. **(A)** Schematic representation of a multi-holed donut. Left: Two-lobed RF of a putative

Imc neuron (left; blue ovals are the RF lobes). Right: Pattern of inhibition created by this neuron

in the OTid such that it spares all the locations form which it receives input. This complementary

output projection pattern results in two "holes" – areas where no inhibition arrives due to the

*neuron on the left. **(B)** Imc RF reproduced from Fig. 3A-left. **(C)** Firing rate map from B*

converted to density of points in 2-D plane following published procedures (Mahajan and

*Mysore, 2018). **(D)** <u>Left</u>: Density peaks clustering method (Rodriguez and Laio, 2014) is applied*

to the data in B, forcing the method to yield either 1 cluster, 2 clusters, ... upto 6 clusters.

Following that, the gap statistic model selection metric (Tibshirani et al., 2001) is applied to the

clustering results to identify the optimal number of clusters in the data. The optimal number is

the number for which the "gap value" exceeds 0 for the first time; here it is 2. <u>Right</u>: Same as B,

but with the two colors indicating the two distinct lobes identified by the clustering method (+

*gap statistic) as the optimal two best clusters in the RF data. **(E-G)** Same as B-D, but for Imc RF*

*in Fig. 3G-left; determined to be three-lobed. **(H)** Pie-chart showing proportion of two-lobed vs.*

*three-lobed RFs across all the multi-lobed Imc neurons recorded in this experiments (Fig. 3). **(I)***

Recovery of OTid responses from kynurenic acid iontophoresis for experiments in Fig. 3.

Conventions as in Fig. S2D; data from Fig. S2D reproduced here for comparison. Data show

recovery ("Gap" expt: p =0.39, t-test of black data against 0; "Other" lobe expt: p=0.29,

ranksum test of black data against 0), demonstrating that any effects reported Fig. 3 are due

*specifically to drug iontophoresis/Imc inactivation. **(J)** Comparison of suppression provided by*

Imc with that due to S2 (i.e., the maximum amount of suppression experienced by the OTid

neuron in this stimulus protocol) in the "gap" (red data) and "different lobe" (blue data)

experiments. Conventions as in Fig. S2E; data from Fig. S2E reproduced here for comparison.

<u>"Gap" expt</u>: Consistent with (Mysore and Knudsen, 2013), nearly all the suppression due to

competitor S2 (teal) is supplied by Imc (red) (p= 0.47, teal vs. red, ranksum test). <u>"Different</u>

<u>lobe" expt</u>: The Imc neuron that is being inactivated does NOT provide any of the inhibition to

the OTid neuron due to S2 (p = 0.35, blue dots against 0, t-test). This clearly demonstrates,

consistent with the predictions of (Mahajan and Mysore, 2018), that a different Imc neuron

exists, which has one RF lobe encoding S2's location, but other RF lobes (if there are others for

that neuron) not encoding S1's location, thereby delivering inhibition to that location! This is

one of the 'signature' properties for the combinatorial encoding of space described in that study.

(K) Quantifying the effectiveness of Imc inactivation by kynurenic acid iontophoresis in the

"gap" (red) and "different lobe" (blue) experiments. "Gap" expt: p =1.13 e-12 t-test against 0;

"Other" lobe expt: p =1.53e-5, signtest. Conventions as in Fig. S2F; data from Fig. S2F

reproduced here for comparison.

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1104 ***Fig. S4. Ipc is effectively inactivated (experiments re. Figure 4).** Quantifying the effectiveness*

of Ipc inactivation by kynurenic acid iontophoresis in the

"necessity of donut-like inhibition" experiment. The

inactivation was highly effective: (mean % change in responses

= -77.42%, sd =22.82% p =5.3e-7, t-test against 0).