

1 **Electrochemical carbon fiber-based technique for simultaneous**
2 **recordings of brain tissue PO₂, pH, and extracellular field potentials**

3

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21

22 **Abstract**

23

24 A method for simultaneous electrochemical detection of brain tissue PO₂ (P_tO₂)
25 and pH changes together with neuronal activity using a modified form of fast
26 cyclic voltammetry with carbon fiber electrodes is described. This technique has
27 been developed for *in vivo* applications and recordings from discrete brain nuclei
28 in experimental animals. The small size of the carbon fiber electrode (∅7µm,
29 length <100µm) ensures minimal disruption of the brain tissue and allows
30 recordings from small brain areas. Sample rate (up to 4 Hz) is sufficient to
31 resolve rapid changes in P_tO₂ and pH that follow changes in neuronal activity and
32 metabolism. Rapid switching between current and voltage recordings allows
33 combined electrochemical detection and monitoring of extracellular action
34 potentials. For simultaneous electrochemical detection of P_tO₂ and pH, two
35 consecutive trapezoidal voltage ramps are applied with double differential-

1 subtraction of the background current. This enables changes in current caused
2 by protons and oxygen to be detected separately with minimal interference
3 between the two. The profile of P_tO_2 changes evoked by increases in local
4 neuronal activity recorded using the described technique was similar to that of
5 blood oxygen level dependent responses recorded using fMRI. This voltammetric
6 technique can be combined with fMRI and brain vessel imaging to study the
7 metabolic mechanisms underlying neurovascular coupling response with much
8 greater spatial and temporal resolution than is currently possible.

9

10 **Keywords**

11

12 Fast Cyclic voltammetry, carbon fiber electrode, tissue PO_2 , pH, *in vivo*,
13 extracellular potential, neurovascular coupling

14

15 **Introduction**

16

17 The method described here has been developed to study the mechanisms
18 underlying the neurovascular coupling response (Hosford and Gourine 2018).
19 The mechanisms of neurovascular coupling contribute to accurate matching of
20 brain oxygen and glucose supply with demand. Sustained disruption of this
21 balance has been postulated to contribute to cognitive impairment and the
22 development of neurodegenerative disease (Iadecola 2017). Understanding the
23 cellular and molecular mechanisms of neurovascular coupling could be important
24 for the development of future treatments for these conditions. Yet, despite
25 intense experimental scrutiny over the last two decades, the mechanisms
26 underlying neurovascular coupling are not fully understood and are surrounded
27 by controversies (Hosford and Gourine 2018).

28

29 The 'feed-forward' hypothesis of neurovascular coupling (Attwell et al. 2010)
30 suggests that neurotransmitters released during neuronal activity signal to
31 astrocytes and pericytes to induce dilation of the cerebral vasculature (Mishra et
32 al. 2016). However, astrocytes are also sensitive to changes in partial pressure
33 of oxygen (PO_2) (Angelova et al. 2015) as well as CO_2 and protons (H^+) (Gourine
34 et al. 2010; Howarth et al. 2017; Karagiannis et al. 2016). Changes in brain
35 tissue PO_2 , PCO_2 and pH correlate with changes in neuronal activity and could

1 contribute to neurovascular coupling via a metabolic feed-back mechanism, as
2 was originally proposed by Roy and Sherrington (Roy and Sherrington 1890).

3

4 Blood oxygen level dependent functional magnetic resonance imaging (BOLD-
5 fMRI) (Buxton and Frank 1997) and 2-photon excitation brain vessel imaging
6 (Takano et al. 2006) have been widely used to study the mechanisms of the
7 neurovascular response. However, these techniques have significant limitations.
8 fMRI is non-invasive but suffers from relatively poor spatial and temporal
9 resolution. This is particularly problematic in studies of the brain using small
10 rodent models. Moreover, the BOLD fMRI signal represents a composite
11 response determined by changes in blood flow, blood volume and oxygen
12 consumption, and as such lacks specificity to the underlying haemodynamic
13 mechanisms that give rise to functional hyperaemia. Direct and simultaneous
14 recordings of neuronal activity, although notably achieved during fMRI in non-
15 human primates by Logothetis and co-workers (Logothetis et al. 2001), are not
16 routine. Optical brain imaging is usually confined to cortical structures with
17 imaging depth limited by the light scattering properties of the tissue (Helmchen
18 and Denk 2005). Additionally, to achieve simultaneous recordings of the vessel
19 diameter and neuronal activity one must introduce calcium, voltage sensitive
20 and/or cell specific dyes or genetically encoded sensors of activity. These come
21 with their own caveats; for example there is evidence that commonly used
22 calcium sensors also buffer intracellular calcium and may impair normal cellular
23 function (Bootman et al. 2018). To overcome some of these issues we aimed to
24 develop a minimally invasive technique for *in vivo* recordings of neuronal activity
25 and associated metabolic changes with high spatial and temporal resolution.

26

27 Fast-cyclic voltammetry (FCV) using carbon fiber microelectrodes (CFM) is an
28 established technique used to determine the dynamics of neurotransmitter
29 release and reuptake *in vitro* and *in vivo* (Rodeberg et al. 2017). FCV relies on
30 rapidly changing the potential of the CFM vs an Ag/AgCl₂ reference electrode
31 several times a second over a narrow voltage range to oxidise or reduce the
32 analyte of interest. The amplitude of the current flux between the CFM and the
33 analyte is recorded and used to determine the analyte concentration. Specific
34 advantages of this technique include the small electrode size making it ideal for
35 studies of localised metabolic changes in small brain areas. There is minimal

1 damage to the microcirculation within the tissue and the measurements can be
2 confined to localised brain regions located at any depth. The sub-second time
3 resolution of FCV allows detection of events that occur at the onset of neuronal
4 activity and precede increases in blood flow (Logothetis et al. 2001). Separate
5 electrochemical detection of tissue partial pressure of oxygen (PtO_2) and pH
6 using FCV has been achieved previously (Bucher et al. 2014; Hosford et al.
7 2017; Takmakov et al. 2010). Here we describe a novel FCV-based technique
8 that enables simultaneous recordings of key variables representing the
9 metabolic state of the brain: brain tissue PO_2 , pH and neuronal activity.

10

11

12 **Materials and Methods**

13

14 *Carbon fiber microelectrodes*

15

16 CFMs (diameter 7 μm) were made as described in detail previously (Hosford et
17 al. 2015; Millar and Pelling 2001). Briefly, single carbon fiber monofilaments
18 (Goodfellow Metals) of $\sim 10\text{cm}$ in length were inserted into borosilicate glass
19 tubing (1.5 mm O.D, Harvard Bioscience) pre-filled with acetone. After complete
20 evaporation of the solvent, the glass tubing was transferred to a conventional
21 horizontal micropipette puller (Model 97, Sutter Instruments) and heated to
22 taper the glass under medium-fast pull speed. The carbon fiber bridging the two
23 pulled electrodes was then cut and connected to a copper wire using a low-
24 melting point tin-bismuth alloy. The carbon fiber tip was trimmed to $\sim 80\ \mu\text{m}$ in
25 length by applying a high DC voltage source ($\sim 400\ \text{V}$) guided using a standard
26 laboratory microscope.

27

28 *Electrode Calibration*

29

30 All the recordings were performed using the equipment detailed in [Figure 1A](#).
31 CFMs oxygen sensitivity calibration was performed in phosphate-buffered saline
32 (PBS) containing (in mM) 137 NaCl, 2.7 KCl and 10 phosphate buffer (pH 7.4,
33 unless otherwise stated) saturated with nitrogen to displace the dissolved
34 oxygen. PO_2 of the solution was then increased stepwise by additions of PBS
35 saturated with 100% oxygen and monitored using an optical oxygen sensor

1 (Oxylite™; Oxford Optronix), adjusted for temperature. A calibration curve of
2 electrode faradaic current changes vs PO₂ over a range of 5-75 mmHg was
3 constructed.

4
5 pH calibration was performed in PBS adjusted to the desired pH using additions
6 of HCL or NaOH and monitored using a standard pH meter. Electrodes were
7 calibrated in a small volume (3 ml) bath that allowed rapid fluid exchange. From
8 a starting point of pH 7.4, buffer pH was changed stepwise to either 7.6, 7.2 or
9 7.0 in a random order for a particular electrode. A calibration curve of electrode
10 current changes over a pH range of 7.0-7.6 was constructed.

11
12

13 *Ethical approval and animal husbandry*

14

15 All animal experiments were performed in accordance with the European
16 Commission Directive 2010/63/EU (European Convention for the Protection of
17 Vertebrate Animals used for Experimental and Other Scientific Purposes) and the
18 UK Home Office (Scientific Procedures) Act (1986) with project approval from
19 the University College London Institutional Animal Care and Use Committee. The
20 rats were obtained from Charles River UK and housed in a temperature-
21 controlled room on a 12 h light/dark cycle. Animals had access to standard
22 laboratory chow and water *ad libitum*. On completion of the experiments, the
23 animals were humanely killed by an anaesthetic overdose (pentobarbital sodium,
24 60 mg kg⁻¹, i.v.).

25

26 *Animal preparation*

27

28 Adult male Sprague-Dawley rats (280-320g) were prepared for the experiments
29 in accord with the previously established imaging protocols (Wells et al. 2015).
30 Anaesthesia was induced by isoflurane (2.5-4.0% in oxygen-enriched air) to
31 establish vascular access by femoral vein cannulation. Anaesthesia was then
32 transitioned to α -chloralose (75 mg kg⁻¹, i.v. initial dose followed by
33 supplementary doses of 10–20 mg kg⁻¹, i.v., as required). The right femoral
34 artery was cannulated to monitor the arterial blood pressure. The trachea was
35 cannulated and the animal was mechanically ventilated (\sim 60 strokes min⁻¹;

1 stroke volume - 8 ml kg⁻¹) with oxygen-enriched room air using a positive
2 pressure ventilator (Harvard Apparatus) or an MR-compatible small animal
3 ventilator (CWE).

4

5 Arterial PO₂, PCO₂ and pH were recorded at regular intervals using a pH/blood
6 gas analyser (Siemens) and maintained within the physiological ranges (PO₂
7 100–120 mmHg, CO₂ 35–40 mmHg and pH at 7.35–7.45) by adjusting the
8 frequency and/or volume of mechanical ventilation. Body temperature was
9 maintained at 37.0±0.5°C

10

11 *Fast scan cyclic voltammetry*

12

13 After exposure of the skull surface by midline incision, access to the
14 somatosensory cortex was established via a small craniotomy (~1 mm²) The
15 dura was pierced and reflected laterally to prevent damage to the microelectrode
16 tip. Under the microscopic guidance and control of a micromanipulator, CFM was
17 inserted into the somatosensory forelimb region of the cortex (S1FL;
18 coordinates: 2.8-3.8 mm lateral, 1.3-1.5 caudal and 0.1-0.5 mm ventral from
19 Bregma).

20

21 The CFM was slowly advanced and placed into the S1FL when clear evoked
22 action potentials were recorded in response to the electrical stimulation (1 Hz) of
23 the contralateral paw. The trapezoidal voltage ramps (Figure 1B) were applied to
24 the CFM (2-4 Hz and 200 Vs⁻¹ rate of voltage change.) The CFM signals were
25 amplified (10x), passed through a 50 Hz noise eliminator (Digitimer), filtered to
26 500-5,000 Hz, digitised (Power1401; Cambridge Electronic Design) and recorded
27 for offline isolation of faradaic currents corresponding to changes in [H⁺] and
28 P_tO₂. Continuous switching between current and voltage recordings allowed
29 near-simultaneous detection of the evoked potentials (voltage), [H⁺] and P_tO₂
30 changes (current). Electrical forelimb stimulation was applied using a
31 constant-current stimulator (Digitimer). Trains of stimulation (3 Hz, 1.5 mA, 300
32 µs pulse width) were applied 3 times per animal/experimental condition with
33 intervals of at least 3 min between the stimulations. Neuronal responses were
34 analysed by integration of the evoked volley of extracellular potentials with the
35 baseline noise subtracted.

1

2

3

4 *Brainstem recordings*

5

6 Animals were anaesthetised and instrumented as described above ([Figure 1A](#)).
7 In this set of experiments the dorsal surface of the brainstem was exposed for
8 CFM recordings as previously described (Hosford et al. 2015; Hosford et al.
9 2017). The left cervical vagus nerve was exposed, separated from the
10 sympathetic trunk and placed on the bipolar silver wire electrodes for electrical
11 stimulation (800 μ A, 1 ms at 3 Hz).

12

13 *fMRI*

14

15 fMRI was performed using a 9.4T Agilent horizontal bore scanner (Agilent) as
16 described in detail previously (Wells et al. 2015). Briefly, a 72 mm inner
17 diameter volume coil was used for transmission and signal was received using a
18 4-channel array head coil (Rapid Biomedical). To assess T2* weighted BOLD
19 signals the following sequence parameters were used: TR = 5s, TI = 2s,
20 matrix size = 64 \times 64, FOV = 35mm \times 35mm, TE = 10ms, single slice
21 (slice thickness = 2mm), inversion pulse bandwidth = 20,000Hz (Hosford
22 et al. 2018). BOLD responses in the S1FL region were triggered by electrical
23 stimulation of the contralateral forelimb as described above.

24

25 **Results and Discussion**

26

27 *Voltammetric recordings*

28

29 Using the recoding setup illustrated in [Figure 1A](#), two negatively-directed
30 trapezoidal voltage ramps were applied to the CFM at an interval of 20 ms as
31 illustrated in [Figure 1Bi](#): the first from 0 to -0.5 V, the second from -0.5 to -1.0
32 V. Both voltage ramps generated a 'background' current due to the impedance
33 of the electrode/ fluid interface ([Figure 1Bii](#)). The CFM currents generated during
34 the ramps were digitised and the first was digitally subtracted from the second
35 ([Figure 1Biii](#)). This produced a *differential background current*, ([Figure 1Bvi](#))

1 resulting from the *difference* between the currents on scans 1 and 2. This
2 subtraction procedure was used to eliminate potential non-specific signals due to
3 changes in tissue impedance, temperature, etc. These non-specific signals
4 appear equally on both the scans and thus are removed by subtraction, allowing
5 discrimination of changes that occur selectively on one or the other scan.
6 Trapezoidal ramps were applied as the background current is predominantly
7 capacitive, reducing this current to a low level during the flat part of the
8 trapezoid where dV/dt is zero.

9
10 Using these recording parameters the electrode was found to generate a distinct
11 current profile in response to changes in buffer pH or PO_2 (Figure 1C). Changes
12 in $[H^+]$ caused changes in the CFM faradaic current in the voltage range between
13 -0.1 to -0.3 V. These changes occurred only in the first of the two voltammetric
14 scans and, therefore, were clearly present on the differential signal.

15
16 Oxygen is electrochemically reduced at a voltage between -0.5 and -1.0 V,
17 generating a cathodal faradaic current. The current from oxygen reduction
18 appears in the signal from the second (-0.5 to -1.0 V) ramp but not from the
19 first ramp and thus could also be seen in the differential signal. Examples of the
20 faradaic current changes in response to oxygen and pH changes in the buffer are
21 illustrated in Figure 1C. There is a clear separation between the two peak
22 currents allowing detection of changes in both analytes simultaneously with
23 minimal interference between the two. Peak current changes are shown in Figure
24 1D.

25
26 *Proposed detection mechanism*

27
28 The proposed origin of the recorded faradic current is the pH dependent
29 oxidation of the hydroquinone groups on the surface of the CFM (Runnels et al.
30 1999; Takmakov et al. 2010; Figure 1E). Our recordings support this hypothesis
31 as distinct double-peaks of approximately the same voltage range are detected
32 (Figure 1C). A third pH-dependent peak was reported by Takmakov and
33 colleagues (Takmakov et al. 2010) and was ascribed to changes in electrode
34 capacitance induced by protons disrupting the Helmholtz layer of charged water
35 molecules surrounding the electrode tip and is, therefore, non-faradic in nature.

1 Using double-differential waveform applied during sampling we were able to
2 remove this effect of capacitance change (as it is present on both the
3 waveforms) after subtraction of the resulting background current.

4
5 The reaction underlying oxygen detection is the reduction of oxygen to hydroxyl
6 ions ([Figure 1E](#)). This reaction occurs in a series of steps involving the formation
7 of intermediates, including hydrogen peroxide. It is non-reversible, as evident
8 from a single unidirectional current peak on the voltammetry scan. There have
9 been previous proposals for the mechanism of electrochemical reduction of
10 oxygen on carbon surfaces (Taylor and Humffray 1975; Zimmerman and
11 Wightman 1991). We propose the following mechanism ([Scheme 1](#)) which
12 includes the formation of a hydroperoxyl intermediate as well as hydrogen
13 peroxide.

14

15 *CFM calibration*

16

17 Relative CFM sensitivities to changes in pH and PO₂ were determined by
18 construction of standard calibration curves in the expected physiological ranges
19 of changes in both variables: 7.0-7.6 units of pH and 5-75 mmHg for PO₂. Peak
20 faradaic current for pH detection was sampled at -200 mV on each of the scans.
21 Current generated by oxygen was sampled once on each scan at 15 ms from the
22 start of the flat phase of the trapezoid as at this time point the background
23 current was minimal.

24

25 *In vitro* calibration demonstrated high CFM sensitivity to oxygen (1.1±0.1 nA per
26 10 mmHg PO₂; n= 10 electrodes) and protons (9.8 ± 0.8 nA per pH unit; n= 10
27 electrodes). Responses were linear within the physiological ranges of changes in
28 these variables (PO₂, R²=0.998; pH, R²=0.981) ([Figure 2A,B](#)). Sensitivity to
29 changes in oxygen did not change over the range of physiological pH values, nor
30 the sensitivity to protons at different PO₂ levels ([Figure 2D](#)). Current responses
31 to a 20 mmHg change in PO₂ were similar over a range of 7.0-7.6 units: 2.6±0.2
32 nA at pH 7.0, 2.6±0.2 nA at pH 7.2, 2.7±0.2 nA at pH 7.4 and 2.8±0.2 nA at pH
33 7.6. Current responses to 0.2 unit decreases in pH were similar over a 10-100
34 mmHg range of PO₂: 2.1±0.2 nA at 10 mmHg, 2.1±0.3 nA at 50 mmHg and
35 2.2±0.3 at 100 mmHg. There was minimal interference between the two

1 measurements; PO₂-sensitive current was altered by a mere 0.003±0.005nA per
2 0.1 unit pH change, while pH-sensitive current changed by 0.1±0.03 nA per 10
3 mmHg change in PO₂ (n=6, [Figure 2C and E](#)).

4
5 These recordings show that there is no significant change in current produced by
6 one analyte when the other varies over the physiological range expected in the
7 brain tissue. Cross-talk between the pH and oxygen detection signals was
8 calculated to be less than 5%, which is within the range reported for other
9 electrochemical detection techniques (Tian et al. 2009). Further, we can
10 confidently exclude potential contamination of the recorded signals by other
11 oxidizable molecules as these require a positive waveform voltage, usually +1.3
12 V (Park et al. 2011), while this technique records PO₂ and pH changes using a
13 negative (reducing) waveform of 0 to -1 V.

14

15 *In vivo application*

16

17 Using the recording setup illustrated by [Figure 3A](#), changes in brain P_tO₂ were
18 recorded during systemic hypoxia induced by a 20s-long suspension of the
19 mechanical ventilation. This resulted in an immediate sharp decrease in oxygen-
20 associated faradaic current by 3.2±0.6 nA, equivalent to a reduction in brain
21 P_tO₂ by 19±3 mmHg (n=6; [Figure 3Bi and ii](#)). Upon re-instatement of lung
22 ventilation, the brain P_tO₂ rapidly reversed and exceeded the baseline level by
23 15±4 mmHg within 10 s (n=6; [Figure 3Bii](#)).

24

25 Changes in brain P_tO₂ and pH were next recorded during systemic respiratory
26 (hypercapnic) acidosis induced by CO₂ inhalation (10% CO₂ in the inspired gas
27 mixture; 5 min). This stimulus caused a significant decrease in current by
28 0.31±0.05 nA ([Figure 3Ci](#)) in the voltage range corresponding to pH changes,
29 equivalent to a decrease in brain tissue pH by -0.11±0.02 units (n=4; [Figure](#)
30 [3Bii](#)). Systemic hypercapnia also caused a 0.54±0.1nA increase in current (n=4;
31 [Figure 3Bii](#)) over the voltage range corresponding to changes in PO₂, equivalent
32 to an increase in brain P_tO₂ by 15±3 mmHg. This reflected CO₂-induced increase
33 in global brain blood flow. Upon return to normocapnia the brain P_tO₂ decreased
34 back to baseline within 3 min. Partial recovery of brain tissue pH was recorded
35 during the same time period. The faradic current profiles recorded during

1 systemic hypoxia and CO₂-induced acidosis were found to correspond closely to
2 similar responses to changes in PO₂ and pH induced *in vitro*. Following
3 subtraction of the control scan current from the active scan current, increases in
4 oxygen concentration produce positive and increases in proton concentration
5 produce negative current increments.

6

7 Electrical stimulation of the forepaw increased the neuronal activity in the S1FL
8 of the cortex as was evident from an increase in action potential firing (recorded
9 by the CFMs during the intervals between the applications of the voltage ramps;
10 [Figure 3D](#)). Traces depicted in [Figure 3D](#) show the extracellular spike activity in
11 S1FL, time-locked to the application of the stimulus.

12

13 Electrical stimulation of the forepaw was associated with a consistent increase in
14 faradic current corresponding to an increase in P_tO₂ (n=6; [Figure 3E](#)). Increases
15 in P_tO₂ were observed 1-2 seconds after the onset of the stimulation. The
16 response was found to be biphasic with an initial increase during the period of
17 stimulation followed by a post-stimulus decrease below the baseline ([Figure 3E](#)).
18 Calibration of the CFM after each of the recordings revealed the peak increases
19 in P_tO₂ of 6.9±1.2mmHg and the post-stimulus decreases with the magnitude of
20 3.2±2.5 mmHg (n=6; [Figure 3E](#)). Activation of somatosensory pathways
21 concomitantly increased the faradic current recorded at the sample point for the
22 detection of pH changes. Changes in brain tissue extracellular pH followed a
23 similar time course, but the pH response lagged the PO₂ changes by ~1 s. The
24 pH signal displayed biphasic response profile with initial alkalinisation of
25 0.06±0.02 pH units during the period of stimulation, followed by a decrease of
26 0.03±0.01 below baseline after the termination of the stimulus (n=6; [Figure](#)
27 [3E](#)).

28

29 There is evidence that the mechanisms of neurovascular coupling might be
30 different in different brain areas (Devonshire et al. 2012). We next placed the
31 CFM within the nucleus of the solitary tract (NTS) of the brainstem, and recorded
32 changes in P_tO₂ and pH evoked by activation of *visceral* sensory pathways. The
33 NTS receives mono-synaptic afferent inputs via the vagus nerve (Berthoud and
34 Neuhuber 2000). Electrical stimulation of the vagus nerve produced biphasic
35 changes in both P_tO₂ and pH in the NTS that were markedly different from those

1 recorded in the somatosensory cortex. There was an initial decrease in P_tO_2 by
2 4.5 ± 2.2 mmHg and extracellular acidification by 0.095 ± 0.04 pH units, followed
3 by a post-stimulus overshoot in P_tO_2 by 2.3 ± 0.9 mmHg ($n=6$; [Figure 3F](#)) with
4 pH slowly recovering towards the baseline.

5 The P_tO_2 and pH recordings performed within the NTS show that this technique is
6 applicable to studies of small discrete nuclei and/or regions located deep in the
7 brain that are difficult to access using the existing imaging techniques. This
8 could be especially useful when investigating the heterogeneity of the
9 neurovascular coupling responses in the brain, as highlighted by dramatically
10 different P_tO_2 and pH response profiles recorded in the NTS ([Figure 3F](#)) and the
11 somatosensory cortex ([Figure 3E](#)).

12

13 *Comparison with fMRI*

14

15 BOLD signals induced in the S1FL by activation of somatosensory pathways were
16 recorded in identical experimental conditions to allow comparison between the
17 responses recorded using fMRI and P_tO_2 changes recorded using voltammetry.
18 Electrical forepaw stimulation induced biphasic BOLD signal changes in the S1FL
19 ([Figure 4A and B](#)). In order to compare the BOLD signal changes with measured
20 changes in P_tO_2 , the calibrated voltammetry signal was down-sampled to 0.5Hz
21 and both signals were standardised with a z-score function and overlaid ([Figure](#)
22 [4C](#)). Plotting the distance between each sample point for the two techniques
23 revealed much of the difference observed during the post-stimulus undershoot,
24 where it was maximal 6 s after the termination of the stimulus.

25

26 The data obtained show that the profile of brain P_tO_2 changes recorded using
27 this voltammetric technique is virtually identical to the profile of BOLD responses
28 recorded using fMRI, with additional advantage of simultaneous detection of
29 brain tissue pH and monitoring of the evoked neuronal activity.

30

31 *Conclusion*

32

33 Here we describe a novel experimental technique for simultaneous detection of
34 brain P_tO_2 , pH and extracellular field potentials using CFM voltammetry.
35 Electrochemical detection of P_tO_2 and pH changes with near-simultaneous

1 recordings of neuronal activity is possible in small nuclei located deep in the
2 brain. Simultaneous monitoring of blood flow (P_tO_2), metabolism (pH) and
3 neuronal activity using the CFM-based technique described here may prove to be
4 useful in studies of the metabolic mechanisms underlying the neurovascular
5 coupling response.

6

7

8

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23

24

25 **Figure Legends**

26

27 **Figure 1:** Electrochemical detection of PO₂ and pH changes using carbon fiber
28 microelectrodes (CFM). **A.** Schematic diagram of the required equipment for
29 simultaneous electrochemical and electrophysiological recordings using CFM.
30 *Left:* construction of carbon-in-glass CFM. *Right:* a block diagram of
31 electrochemical signal generation and acquisition. A voltage driver combined
32 with current amplifier is used for the electrochemical signal generation and
33 detection. A voltage amplifier is used to record neuronal activity between
34 applications of electrochemical waveform. Recorded signal is filtered by a
35 standard band pass filter. All signals are digitised online and recorded for offline
36 analysis. **B.** Electrochemical signal generation and processing: i) two inverted
37 trapezoidal drive voltage waveforms applied to the electrode; the first from 0 to
38 -0.5 V and the second from -0.5 to -1 V. ii) Background current profile
39 generated by the voltage waveform resulting from the capacitive nature of the
40 electrode. iii) Shows the background current resulting from the two applied
41 voltage waveforms combined by superimposition; the resulting combined
42 background current is stored digitally and vi) subtracted from the subsequently

1 acquired scans to provide the measure of the current generated by changes in
2 analyte concentration at the surface of the electrode. **C.** False color plots
3 illustrating current changes over the voltage range of the electrochemical
4 waveform cycling from 0 to -1 V recorded in phosphate-buffered saline. *Left*
5 shows the current changes induced by a reduction in buffer pH from 7.4 to 6.8
6 units. *Right* shows the current changes induced by an increase in buffer PO₂ from
7 0 to 50 mmHg. Sample points when the peak current changes were measured
8 are indicated for pH and PO₂. **D.** Representative recordings of CFM
9 electrochemical current changes in response to given changes in pH and PO₂. **E.**
10 Proposed detection schemes for protons (*left*) and oxygen (*right*) during the
11 voltammetric scan on the surface of the CFM. Protonation of quinone groups on
12 the surface of the CFM produces the current associated with the pH changes.
13 Oxygen is reduced via intermediate steps to hydroxide. The flux of electrons
14 from the CFM to molecular oxygen produces the current associated with changes
15 in PO₂.

16

17 **Figure 2:** Validation of the technique and calibration of CFMs. **A.** Calibration
18 curve illustrating changes in CFM electrochemical current recorded at the pH
19 sample point in response to changes in buffer pH from 7.4 to 7.0, 7.2 and 7.6.
20 Calibration points represent the means (\pm S.E.M) peak current changes of 10
21 electrodes exposed to a given change in buffer pH starting from 7.4. **B.**
22 Calibration curve illustrating changes in CFM electrochemical current recorded at
23 the oxygen sample point in response to changes in buffer PO₂ between 5-75
24 mmHg. Calibration points represent the means (\pm S.E.M) peak current changes
25 of 10 electrodes, each exposed to every PO₂ increment once. Standard linear
26 regression was applied to fit a line for both calibrations; the equation and R² are
27 indicated. **C.** Representative traces showing changes in peak current at the PO₂
28 and pH sample points during a decrease in buffer pH of 0.2 units or increase in
29 buffer PO₂ by 50 mmHg. **D.** *Left:* summary data showing peak currents
30 generated in response to a 20 mmHg increase in PO₂ over a physiological range
31 of changes in buffer pH at 7.0, 7.2, 7.4 or 7.6 units. Each point represents the
32 mean (\pm S.E.M) peak response of 12 electrodes. *Right:* summary data showing
33 peak currents generated in response to a 0.2 unit decrease in pH over a
34 physiological range of changes in PO₂ at: 10, 50 and 100 mmHg. Each point
35 represents the mean (\pm S.E.M) peak response of 9 electrodes. **E.** Summary data

1 of peak current changes recorded at the pH and PO₂ sample points in response
2 to a decrease in pH by 0.2 units (*left*) and at the pH and PO₂ sample points in
3 response to an increase in PO₂ by 50 mmHg (*right*). Shown are the means
4 (\pm S.E.M) peak current responses of 6 electrodes.

5

6 **Figure 3:** Simultaneous detection of brain tissue PO₂, pH, and extracellular field
7 potentials. **A.** Schematic illustration of the *in vivo* recording setup in an
8 anaesthetised rat showing placement of the CFM in the somatosensory cortex
9 with Ag/AgCl reference electrode in the contralateral hemisphere. The forepaw
10 was stimulated electrically to recruit somatosensory pathways. Separate
11 placement of the CFM in the nucleus of the solitary tract (NTS) and the vagus
12 nerve stimulator are also shown. **B.** The effect of apnoea on oxygen-sensitive
13 current recorded in the somatosensory cortex. i) False color plot showing
14 changes in oxygen-sensitive current over the range of the voltammetric scan
15 during a 20 s apnoeic episode. The sample point from which the oxygen-
16 sensitive current was recorded is indicated. ii) Brain P_tO₂ changes recorded using
17 CFM during systemic hypoxia (means \pm S.E.M; n=6) **C.** The effect of respiratory
18 acidosis induced by inhalation of 10% CO₂ in the inspired gas mixture on oxygen
19 and proton-sensitive currents recorded in the somatosensory cortex. i) False
20 color plot showing changes in oxygen and proton-sensitive currents over the
21 range of the voltammetric scan during systemic hypercapnia. The sample points
22 from which the oxygen and proton-sensitive currents were recorded are
23 indicated. ii) Brain tissue PO₂ and pH changes recorded using CFM during
24 systemic hypercapnia (means \pm S.E.M; n=4) **D.** Representative recording of
25 extracellular field potentials in the somatosensory cortex evoked by electrical
26 forelimb stimulation during simultaneous brain P_tO₂ and pH sampling. *Inset*
27 illustrates the intervals between pH/PO₂ sampling available for extracellular
28 potential recording. **E.** Changes in brain P_tO₂ and pH recorded in the
29 somatosensory cortex in response to electrical forelimb stimulation (3 Hz, 20 s;
30 means \pm S.E.M; n=6). **F.** Changes in P_tO₂ and pH recorded in the nucleus of the
31 solitary tract of the brainstem in response to electrical stimulation of the vagus
32 nerve (3 Hz, 20 s; means \pm S.E.M; n=6).

33

34 **Figure 4:** Comparison of brain P_tO₂ changes profile recorded using CFM
35 voltammetry and that of BOLD responses recorded using fMRI. **A.** BOLD

1 response profile illustrating changes in mean signal intensity in the
2 somatosensory cortex (S1FL) induced by the electrical stimulation of the
3 contralateral forelimb in anesthetised rats (means \pm S.E.M; n=6). **B.**
4 Representative BOLD activation map (familywise error, $p < 0.05$, $n_v = 3$)
5 taken at one coronal slice (distance from Bregma is indicated) level showing
6 activation of the somatosensory cortex in an anesthetised rat. Color bar
7 represents the t-score from statistical parametric mapping mixed-effects
8 analysis, $p < 0.05$ (uncorrected). **C.** Comparison of time course and response
9 profile of standardised P_tO_2 and BOLD responses in the S1FL of the anesthetised
10 rat evoked by forelimb stimulation. Responses are represented as a mean z-score
11 from the recordings obtained in 6 animals for each experimental measurement.
12 The standard difference is the difference calculated between the two z-scores at
13 each sample point. P_tO_2 recordings were down sampled by averaging 8 sampled
14 data points to achieve the sampling frequency of 0.5 Hz, equal to that of the
15 fMRI sampling rate.

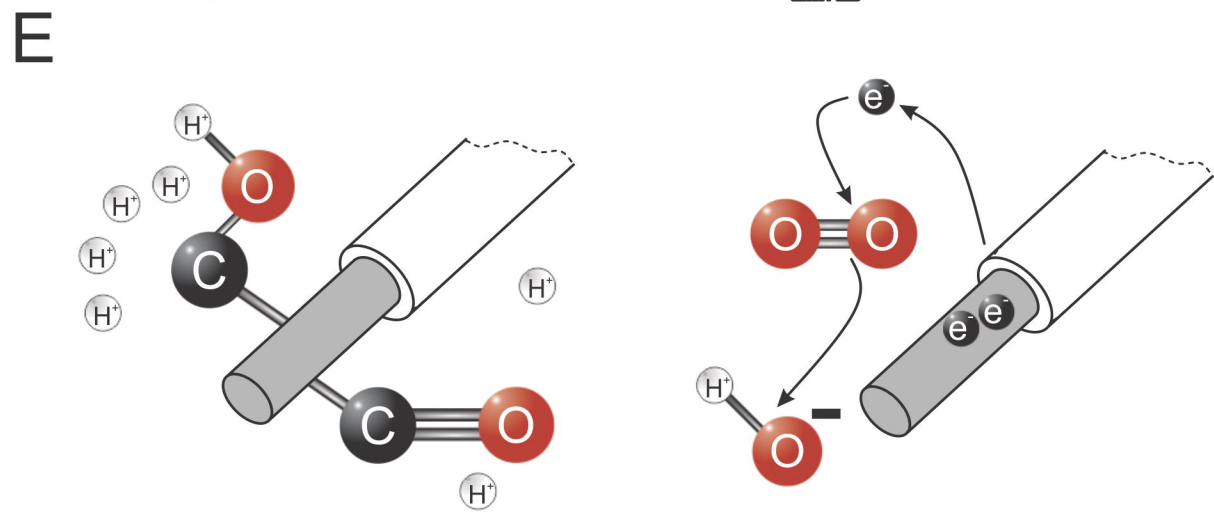
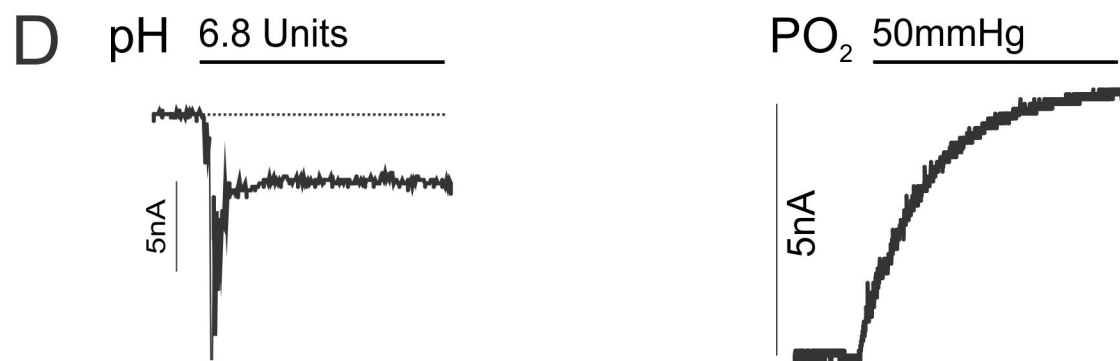
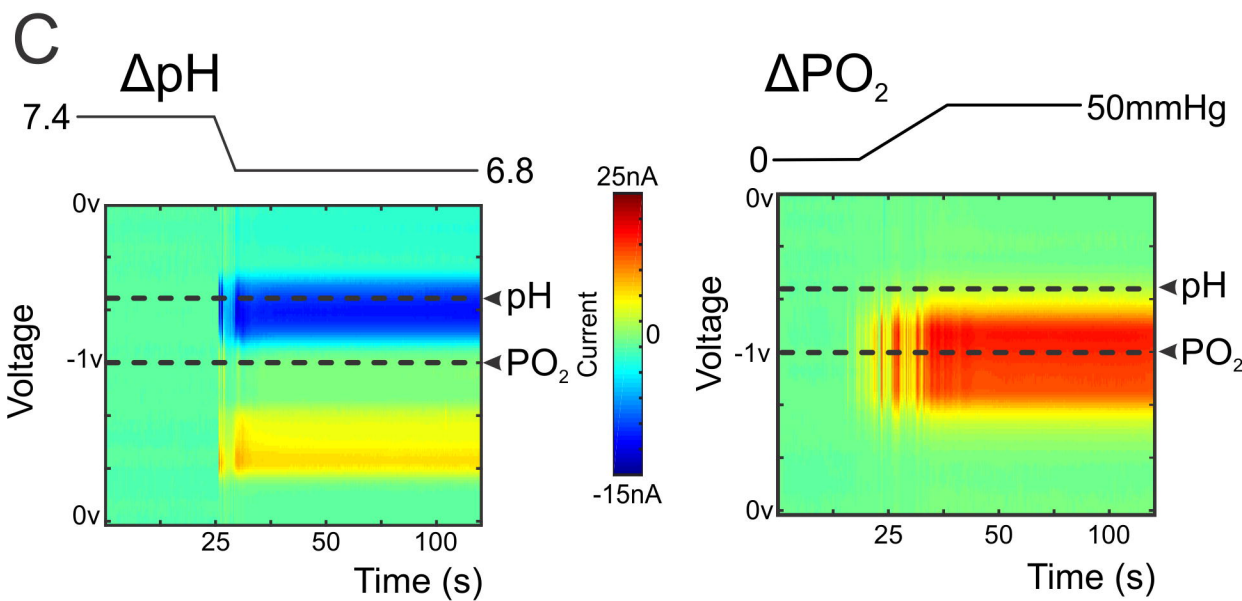
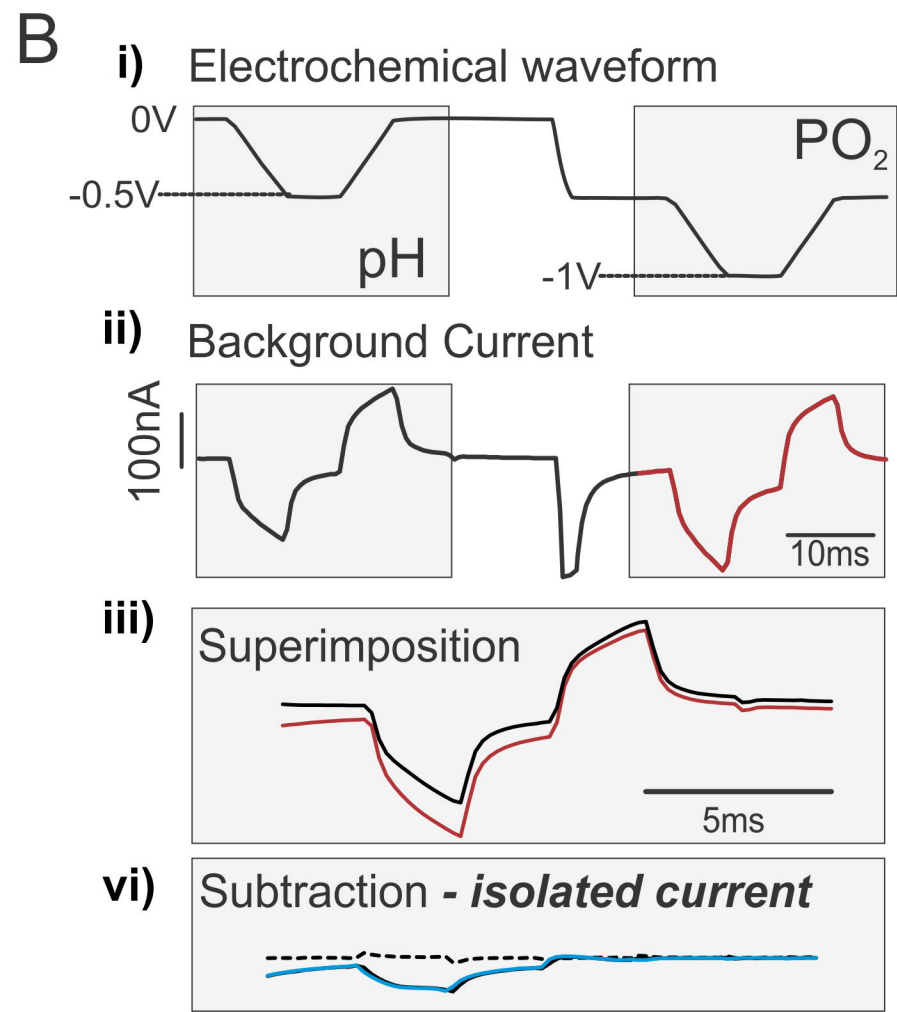
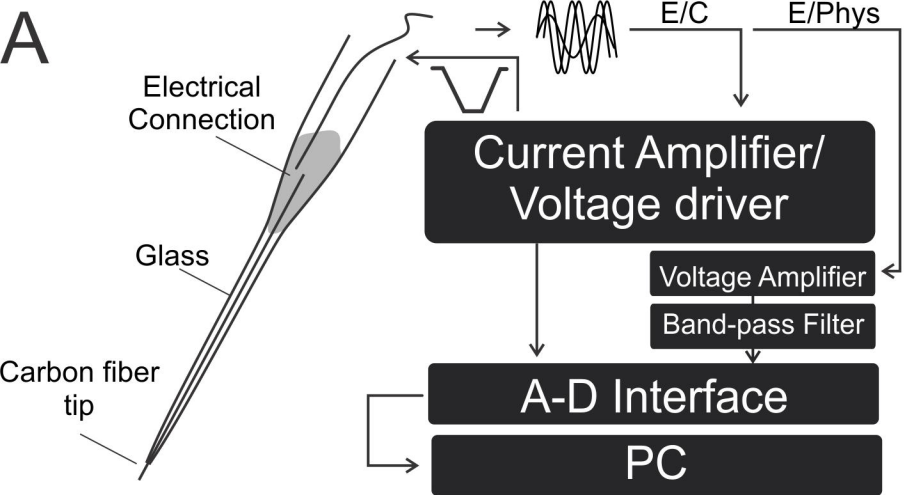
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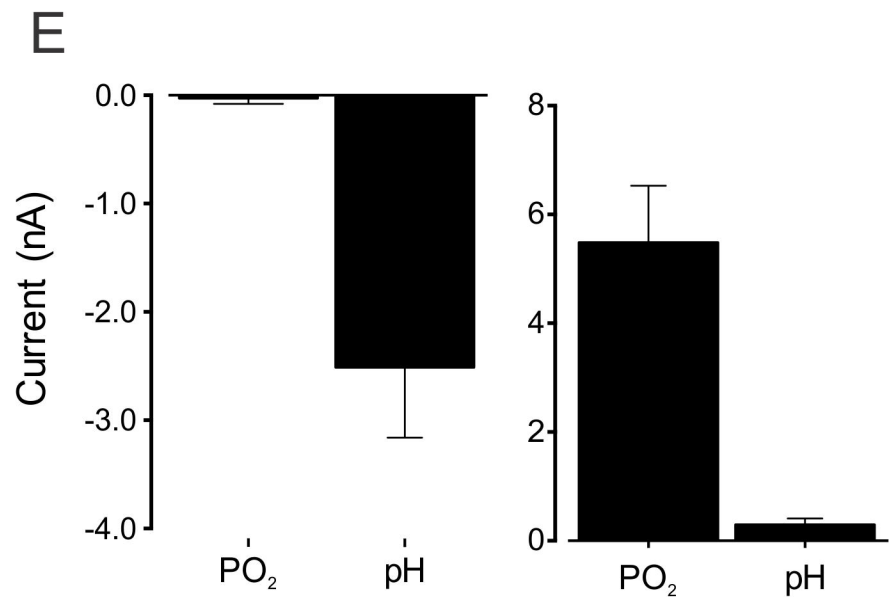
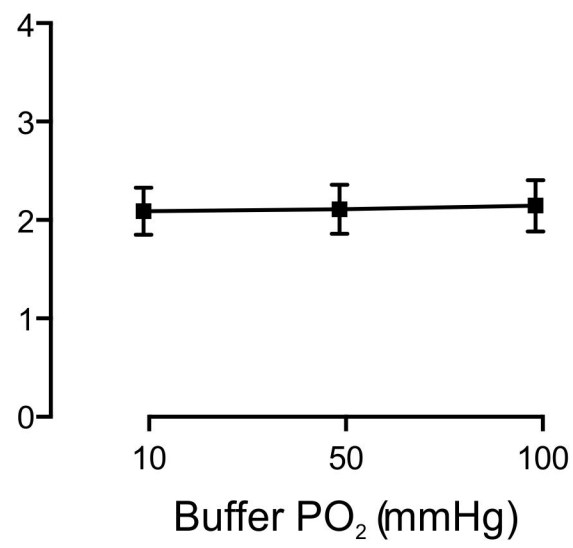
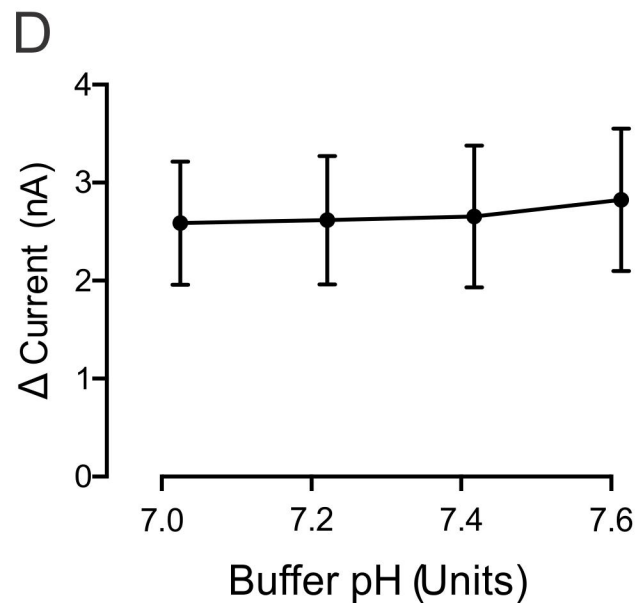
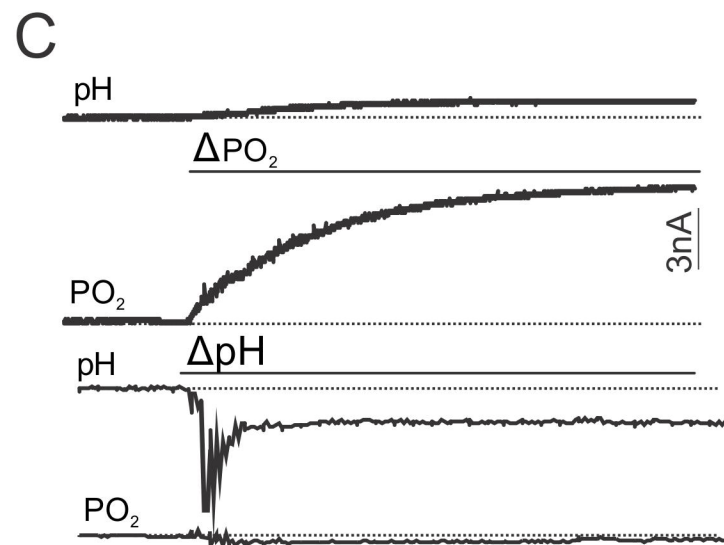
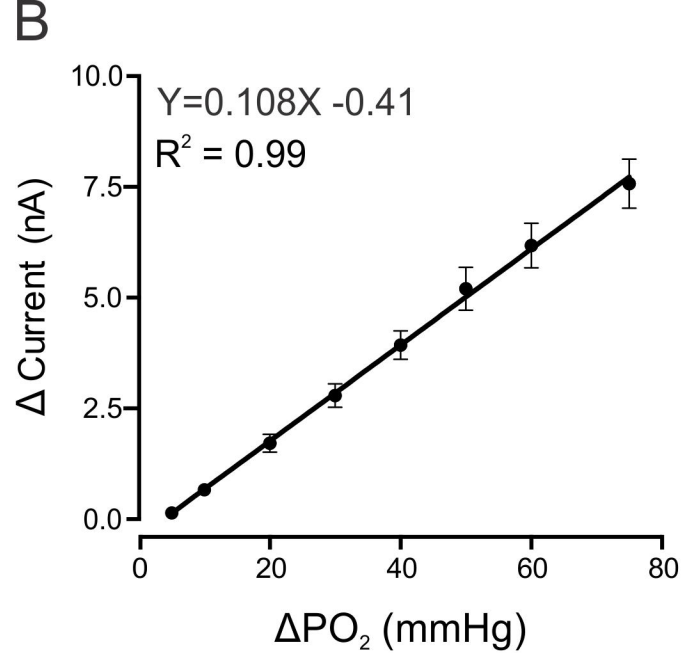
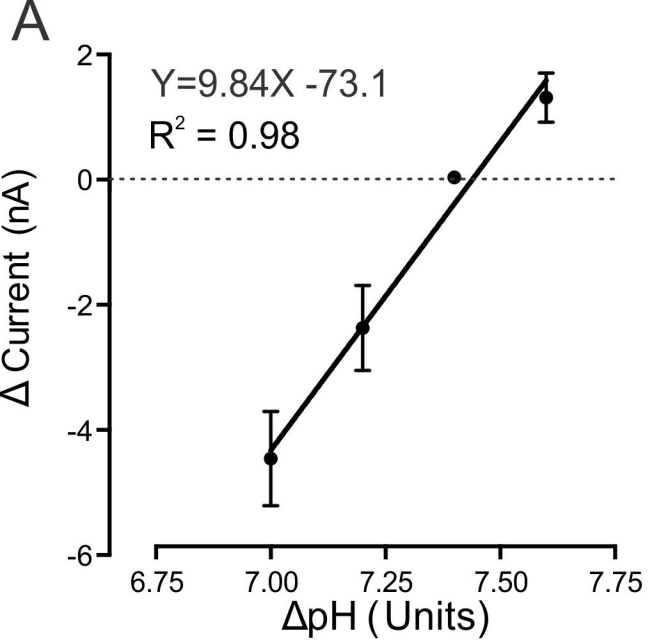
17 **Scheme 1:** Proposed intermediate and overall reaction scheme underlying the
18 reduction of molecular oxygen on the carbon surface.

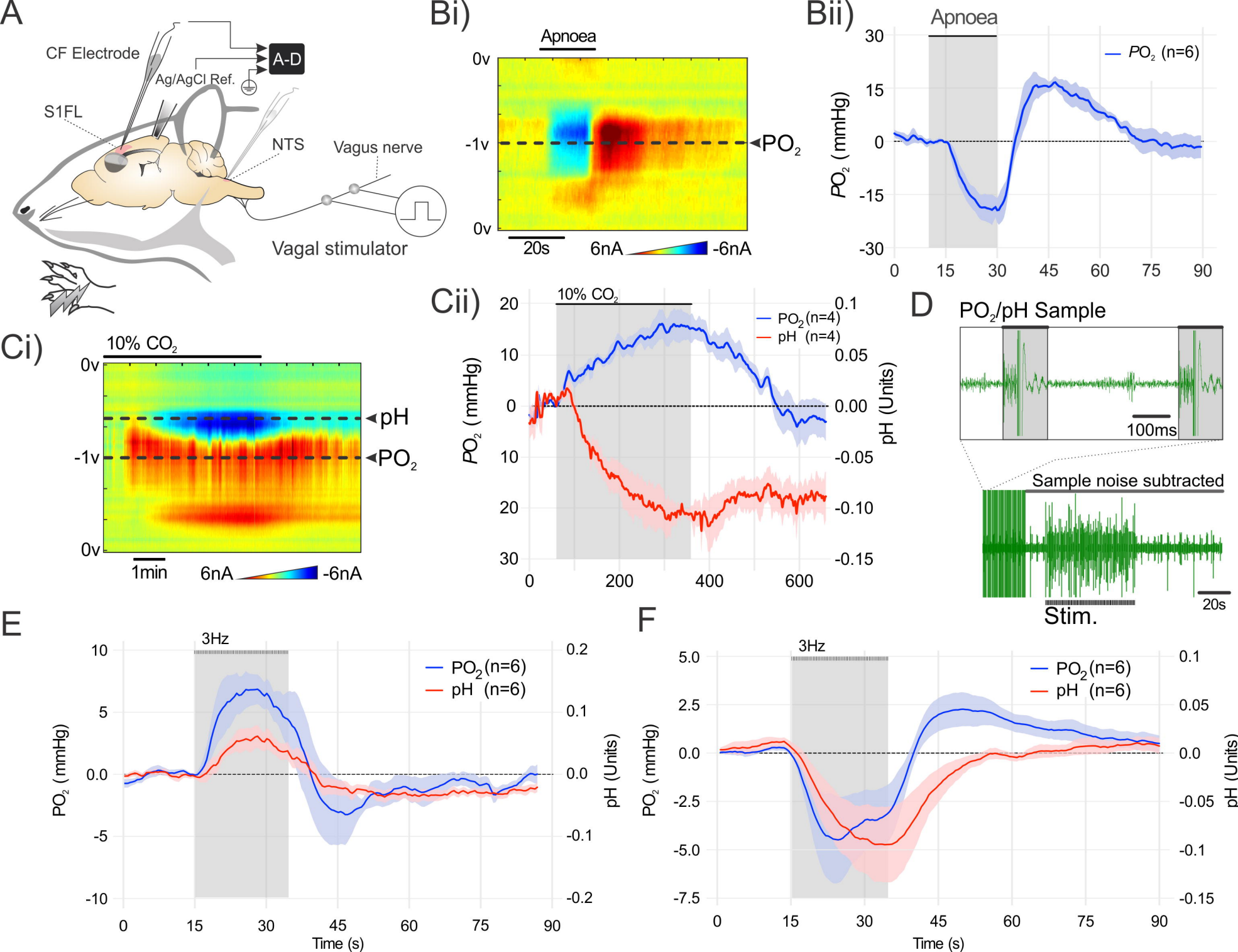
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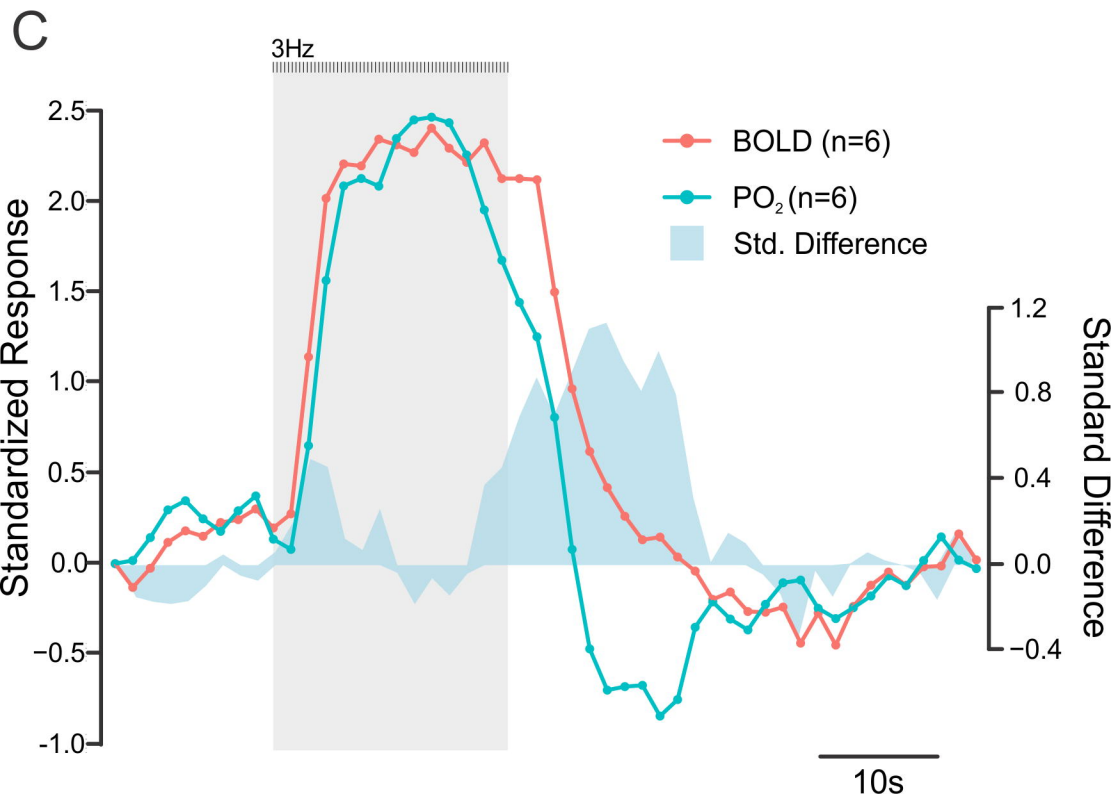
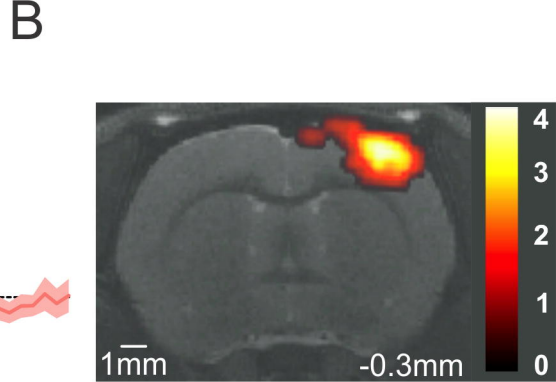
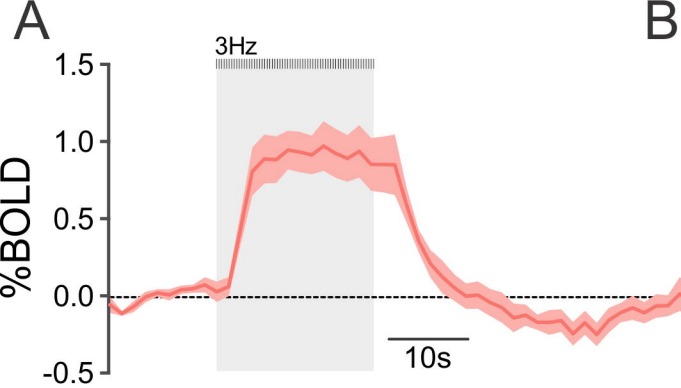
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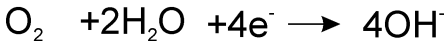
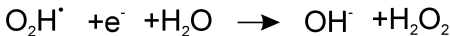
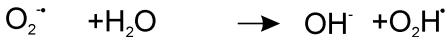








Scheme 1



overall reaction