1 Measuring genetic variation in the multi-ethnic Million Veteran Program (MVP)

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- 34 relatedness

35 Abstract

36 The Million Veteran Program (MVP), initiated by the Department of Veterans Affairs (VA), aims to collect 37 consented biosamples from at least one million Veterans. Presently, blood samples have been collected 38 from over 800,000 enrolled participants. The size and diversity of the MVP cohort, as well as the 39 availability of extensive VA electronic health records make it a promising resource for precision 40 medicine. MVP is conducting array-based genotyping to provide genome-wide scan of the entire cohort, 41 in parallel with whole genome sequencing, methylation, and other omics assays. Here, we present the 42 design and performance of MVP 1.0 custom Axiom® array, which was designed and developed as a 43 single assay to be used across the multi-ethnic MVP cohort. A unified genetic quality control analysis 44 was developed and conducted on an initial tranche of 485,856 individuals leading to a high-quality 45 dataset of 459,777 unique individuals. 668,418 genetic markers passed quality control and showed high 46 guality genotypes not only on common variants but also on rare variants. We confirmed the substantial 47 ancestral diversity of MVP with nearly 30% non-European individuals, surpassing other large biobanks. 48 We also demonstrated the quality of the MVP dataset by replicating established genetic associations 49 with height in European Americans and African Americans ancestries. This current data set has been 50 made available to approved MVP researchers for genome-wide association studies and other 51 downstream analyses. Further data releases will be available for analysis as recruitment at the VA 52 continues and the cohort expands both in size and diversity.

53 Introduction

The Department of Veterans Affairs (VA) initiated the Million Veteran Program (MVP) in 2011 to create a mega-biobank of at least one million samples with genetic data linked to nationally consolidated longitudinal clinical records¹. The initial and continuing goal of MVP is to create a national

57 resource for research to improve the health of United States Veterans and, more generally, to 58 contribute to our understanding of human health. MVP has currently collected samples from over 59 800,000 Veteran participants and with continued recruitment efforts expects to exceed a total of 1 60 million participants in the next 2 to 3 years. 61 While MVP is similar in some respects to other large biobank projects such as the UK Biobank, 62 the Kaiser Permanente Research Program on Genes, Environment, and Health (RPGEH), the China 63 Kadoorie Biobank (CKB), and the DiscovEHR initiative²⁻⁴, it is unique in several ways. MVP is one of the 64 largest single biobanking efforts to date, satisfying the need for larger genetic datasets while also 65 benefiting from a very rich, nationally integrated longitudinal clinical database housed in the largest 66 consolidated healthcare network in the United States. This feature allows for enhanced clinical 67 phenotyping capabilities. The availability of additional self-reported health and lifestyle survey 68 information augments clinical data from the Veterans Information Systems and Technology Architecture 69 (VistA) – the VA's Electronic Health Record (EHR). 70 Furthermore, with over 29% of participants self-reporting non-white ethnicity, MVP has 71 substantial diversity in genetic ancestry, meeting a pressing need for greater diversity in genome-wide 72 association analyses to discover novel associations, reduce false positives, and increase research equity^{5–} 73 ⁸. As such, the MVP cohort provides an unprecedented opportunity for increasing the power of genome-74 wide association studies (GWAS) and will enable association discoveries for clinically important low 75 frequency and rare variants possible only in larger sample sizes. Reliable typing of these variants may 76 provide explanations of missing genetic susceptibility in complex or non-Mendelian diseases. However,

the genetic diversity of MVP also poses challenges in genotype quality control.

In this report, we introduce the first installment of MVP genotype data consisting of 459,777
samples surveyed at 668,418 markers. In brief, we 1) describe the design of a research genotyping array
with emphasis on clinically useful and/or rare variants applicable to multi-ethnic backgrounds; 2)

describe the generation and quality control of genotyping data; 3) highlight some of the unique features
of the current MVP dataset, including exploratory analyses of genetic ancestry; and 4) replicate effect
sizes of previously reported variants associated with height in European Americans and African
Americans. Overall, we find that the MVP genetic dataset, linked to deep phenotypic data, is a highquality and diverse resource for performing genetic analyses.

86 Materials and Methods

87 Human subjects and data and sample collection

88 The VA Central Institutional Review Board (IRB), as well as the local IRBs at the VA Boston

89 Healthcare System and the VA Connecticut Healthcare System, approved this project. An overview of

90 the recruitment strategies and protocols is given in a previous publication¹. Briefly, participants were

91 recruited from approximately 60 VA healthcare facilities across the United States on a rolling basis.

92 Informed consent was obtained from all participants. Participants consented to a blood draw and to

have their DNA analyzed, as well as to linking their genetic information with their full clinical, survey and

94 other health data. Participants were also invited to answer two separate surveys about basic

95 demographic information and lifestyle characteristics.

96 Blood drawn from consenting participants was shipped to the central biorepository in Boston,

97 Massachusetts where DNA was extracted and later shipped to two external vendors for genotyping on a

98 custom Axiom[®] array designed specifically for MVP (MVP 1.0). A description of the MVP 1.0 array design

99 features is detailed in Supplementary Materials.

100 Thermo Fisher Scientific (formally Affymetrix) Axiom[®] Genotyping Platform

The MVP 1.0 custom Axiom[®] array is based on the Axiom[®] Genotyping Platform. The Axiom
 genotyping platform utilizes a two-color, ligation-based assay using 30-mer oligonucleotide probes

103	synthesized in situ onto a microarray substrate. Each single nucleotide polymorphism (SNP) feature
104	contains a unique oligomeric sequence complementary to the genomic sequence flanking the
105	polymorphic site on either the forward or the reverse strand. Solution probes bearing attachment sites
106	for one of two dyes depending on the 3' (SNP-site) base (A or T, versus C or G) are hybridized to the
107	target complex, followed by ligation for specificity. Oligonucleotide sequences complementary to the
108	forward or reverse strands are referred to as probesets. A marker (SNP or indel) can be interrogated by
109	the forward and/or reverse strand probeset.
110	For additional details of the Axiom [®] Genotyping Platform, see the Supplemental Materials and

111 Methods.

112 Genotype calling

We received unprocessed Axiom[®] genotype data for 485,856 unique samples assayed by two vendors, referred to as Vendor 1 and Vendor 2, and performed genotype calling in batches grouped by vendor and sample processing date. Using data provided by the vendors and generated from our internal genotype calling process (see Supplemental Materials and Methods for details), we first analyzed the standard Axiom[®] genotype quality metrics and compared these metrics between the two vendors.

After calling genotypes, we applied an advanced normalization procedure for mitigating plateto-plate variation developed in collaboration with ThermoFisher Scientific Inc. The procedure was applied selectively on a per-batch basis to probesets exhibiting high plate-to-plate variance. After plate normalization, we applied standard marker quality control procedures to clean and harmonize genotype calls across all the batches (Supplemental Materials and Methods), followed by advanced sample QC.

124 Advanced sample QC

125 Sample contamination

126	To detect and mitigate sample contamination, we assessed heterozygosity with PLINK, version
127	1.9, by calculating the F coefficient and quarantining samples with an F coefficient of less than -0.1. We
128	assessed excess relatedness by using the relatedness inference software KING, version 2.0, and
129	quarantined samples having a kinship coefficient of at least 0.1 with 7 or more other samples within
130	MVP. These samples had high dish QC (DQC) and low call rates and were outliers compared to the
131	majority of samples in the MVP dataset (Figure S5D). Because a call rate below 98.5% correlated with
132	excess sample heterozygosity or relatedness, we removed samples (15,436, or 3.00%) with call rates
133	below this threshold ⁹ . All samples that were removed or quarantined from the current release of MVP
134	data will be re-genotyped and included in the future data releases.
135	Sample mislabeling
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136 137 138 139	We identified samples and plates demonstrating potential mislabeling issues by analyzing genotype concordance between intentional duplicate samples that were sent blinded to the vendors as new samples for genotyping. Of the 25,867 intentional duplicate pairs, only 211 (0.82%) pairs were highly discordant (greater than 1% discordance). Samples on plates with discordant intentional duplicate

143 Sample misidentification

144	To discriminate between misidentified intentional duplicates (same samples intentionally
145	genotyped twice), technical duplicates (controls repeatedly genotyped by vendors), and monozygotic
146	twins, we calculated sample relatedness with the KING software, version 2.1 ¹⁰ . Monozygotic twins were
147	confirmed by cross-referencing EHR data. Pairs with birth dates differing by no more than one day and
148	having unique participant identifiers and first names were considered verified monozygotic twin pairs.
149	Unverified samples were quarantined as potentially mislabeled and will be re-genotyped.
150	Sex check
151	To confirm sample gender, we extracted markers genotyped on the X chromosome while
152	excluding the pseudoautosomal region, used the sex-check command from PLINK, and compared the
153	expected F coefficient on the X chromosome to the gender recorded in the sample's EHR for all
154	samples ¹¹ . Participants whose reported gender differed from that inferred by PLINK were quarantined
155	from subsequent analysis. We also removed remaining samples on plates with 4 or more gender
156	mismatches to account for potential plate swaps. The threshold is relatively low because of the low
157	percentage of females in our dataset.
158	Advanced marker QC
159	Advanced marker QC pipeline

We implemented three main approaches to create the advanced marker QC pipeline: (1) exclude probeset calls from all batches for probesets that failed advanced QC tests; (2) exclude probeset calls in a given batch for which the probeset is not recommended; and (3) choose the best probeset per marker for markers interrogated by multiple probesets, and exclude probesets calls from all batches for

the "not-best" probesets. Details of each steps of the advanced marker QC are available in Supplemental
 Materials and Methods and in Figure S4, Figure S6A, and Figure S7A.

The advanced marker QC pipeline produced an inclusion list of probesets that met quality standards across the entire MVP dataset. For each batch, we included a probeset in the dataset if it met all three criteria: 1) included in the inclusion list; 2) recommended in that batch; and 3) was the best probeset for a marker interrogated by multiple probesets. We then generated a list of probesets per batch, created PLINK marker list binary files for each batch, and then merged all batches together using the PLINK merge command.

172 Reproducibility of genotype calling

173 To assess the consistency of genotype calls across time and vendors, we analyzed the 174 discordance between 25,867 intentional duplicate samples that were sent to the vendors blinded. After 175 confirming these sample pairs were genetically identical through KING relatedness inference, we 176 determined the number of minor allele pairs (MAPs) for each marker. A MAP is any pair of genotypes for 177 a marker where both pairs are called and the pair contains at least one minor allele. We then calculated 178 the number of discordant genotyping pairs per MAP for each marker. Normalizing by the number of 179 MAPs renders different MAF bins comparable in the discordance calculation. Otherwise, rare markers 180 will always have extremely low discordance rates, as most samples carry the homozygous major 181 genotype.

Additionally, within the 485,856 samples genotyped in the MVP cohort, we included 2,064 positive control samples. We called the genotypes of the positive controls along with other MVP samples across 112 batches organized by genotyping scan date for 668,418 markers passing advanced marker quality control. These genotypes were compared to the consensus positive control genotype.

To construct the consensus genotype sequence, we calculated the frequency of each marker
across the panel of 2,064 positive control samples. Markers with MAF of less than 1% were set to
homozygous in the consensus sequence, and markers with MAF of greater than 49% were set to
heterozygous in the consensus sequence. For markers with MAF greater than or equal to 1% and less
than or equal to 49% (536, or 0.082% of markers) or that had no observed calls (18,158, or 2.76%), we
set the consensus genotype to missing.
We calculated concordance across all common (MAF ≥ 5%) and low frequency (MAF < 5%)

193 markers, where MAFs were assessed over the entire MVP sample. We then calculated concordance

between the consensus sequence and each positive control. Concordance was defined as the number of

195 matching called genotypes over the total number of called genotypes. Uncalled markers in either the

196 positive control or the consensus sequence were not included in either the numerator or the

197 denominator of the concordance calculation. We then plotted the concordance distribution for each

198 batch's positive controls across time.

Comparing MVP allele frequencies to those from gnomAD and UK Biobank

200Genome Aggregation Database (gnomAD) version 2.1 data were downloaded from201https://gnomad.broadinstitute.org/downloads. Markers in both gnomAD and MVP were matched on202chromosome, start position, end position, reference allele, and alternative allele. For any mismatch, we203checked strands and indel notations. Reference and alternative alleles were corrected and frequencies204recomputed when strands were flipped. Indels had their genomic coordinates and alleles recoded and205harmonized.

206 UK Biobank summary data were downloaded from <u>https://gbe.stanford.edu</u>. Markers shared 207 between the UK Biobank and MVP were matched using SNP rsIDs. Since information on marker 208 chromosome, genomic positions, reference allele, and alternate allele were not provided in the

summary statistics, we were unable to check for swapped alleles. However, we expect variant

annotation in MVP and the UK Biobank to be well harmonized as both were genotyped on Axiom[®]

arrays and following the same standard Axiom[®] marker QC workflow.

For this analysis, European Americans (EA) were defined as samples with greater than 0.9 GBR proportion based on ADMIXTURE results (described below), resulting in a sample size of 311,365. We used PLINK to compute allele frequencies by genetic ancestry subgroup via "--freq" using default filters and quality control parameters.

216 Genetic relatedness

217 We performed additional preprocessing of the MVP dataset before performing the genetic 218 relatedness analysis. We applied standard PLINK 1.9 filters for genotype missingness (>5% removed), 219 MAF (<1% removed), and sample missingness (>5% removed)¹¹. We then conducted pairwise relatedness inference using KING 2.1 to identify related pairs¹⁰. KING explicitly accounts for population 220 221 structure and is therefore an appropriate algorithm for our sample, which contains diverse genetic 222 ancestry. However, KING is also known to overestimate relatedness in the presence of recent admixture. 223 Therefore, we selected SNPs with low load in PCs 1-3 for a second round of KING as in the UK Biobank¹². 224 The first round of KING was run with the command "--related --degree 3" to identify all potential 225 pair of individuals with closer than 3rd degree relatedness. From this result, we excluded all individuals 226 with more than 200 3rd degree relatives and also families with more than 100 members as suspected 227 sample processing artifacts such as low-level sample contamination. Then, a set of unrelated individuals 228 was defined using the largest independent vertext sets() function in the Python version of the igraph 229 tool. Principal component analysis (PCA) was then conducted with the unrelated samples. Only SNPs 230 with greater than 0.01 MAF and less than 0.015 missingness were considered for this PCA. 23 regions of 231 high LD defined in the UK Biobank¹⁸ were also excluded, and then SNPs were pruned using an R² 232 threshold of 0.1, window of 1000 markers, and step size of 80. In the end, 90,288 SNPs were selected for PCA, which was conducted using PLINK v2.00a2LM with the command "--pca var-wts approx" to obtain
variant weights and fast PCA approximation. Low weight SNPs in PC1, PC2, and PC3 were selected by
adjusting the absolute weight threshold to keep at least two thirds of the input SNPs which led to 60,118
SNPs being put forward for the next round of KING.

The second round of KING was again conducted with the command "--related --degree 3". The effect of using SNPs with low weights in PCs 1-3 on the distribution of number of relatives per individual is shown in Figure S10 A-B. We flagged 35 individuals with more than 200 3rd degree relatives (UK Biobank reported 9 individuals with more than 200 3rd degree relatives), as well as all members of two clusters that were tightly interconnected with each other (Supplemental Materials and Methods and Figure S10 C-D, Figure S11).

243 We defined genetically identical pairs as those having a kinship coefficient of 0.45 or greater 244 (the maximum kinship coefficient output by KING is 0.5). However, given the large number of intentional 245 duplicates samples in our dataset, we only considered genetically identical pairs as monozygotic twin 246 pairs after cross-referencing EHR data as above. Parent-child pairs were defined as those having a kinship coefficient of greater than or equal to 0.19 and less than 0.45 and having less than 0.0025 247 248 percent of the genome held with zero alleles identical-by-state (IBSO). Sample pairs with a kinship 249 coefficient greater than or equal to 0.19 and less than 0.45 and IBSO greater than or equal to 0.0025 250 were designated full siblings. Any pairs of participants with a kinship coefficient between 0.0884 and 251 0.19 were inferred to be second-degree or third-degree relatives. To identify potential trios in our 252 sample, we extracted parent-child pairs in which a sample appears twice. We then assessed the kinship 253 coefficient between the other two participants. If the other two participants were not a related pair and 254 consisted of one male and one female, we identified these three samples as a trio.

255 Genetic ancestry

- 256 For genetic ancestry analysis, we used the same set of markers used for relatedness analysis and
- applied LD pruning with PLINK (--indep-pairwise 1000 50 0.05), which left us with 50,000 markers.
- 258 Principal component analysis

259For 1000 Genomes Project projection PCA, we merged the MVP dataset with the 1000 Genomes260Project Phase 3 reference panel¹³. The 1000 Genomes Project dataset was first filtered to ensure261scalable merging with the MVP dataset. Markers with MAF less than 1% and any samples constituting262related pairs were removed prior to LD pruning with PLINK using the same parameters as above. We263then calculated PCs using the 1000 Genomes Project dataset and projected the MVP samples onto them264using EIGENSOFT, version 6.0.1¹⁴.

We also calculated the PCs on the filtered MVP dataset alone using the FastPCA method from the EIGENSOFT package for within-cohort PCA. For this PCA, we excluded all related individuals, whereas we kept all related individuals in the 1000 Genomes project PCA.

268 ADMIXTURE analysis

269 In order to quantify ancestry proportions in MVP, we ran the program ADMIXTURE, version 1.3, 270 on the MVP samples in supervised mode using five reference populations from the 1000 Genomes 271 Project dataset as training data¹⁵. We chose the five reference populations based on their global 272 geographic location to ensure global representativeness. The Yoruba in Ibadan, Nigeria (YRI) samples 273 serve as a proxy for West African ancestry, the Luhya in Webuye, Kenya (LWK) for East African ancestry, 274 the British in England and Scotland (GBR) for European ancestry, the Han Chinese in Beijing, China (CHB) 275 for East Asian ancestry, and the Peruvians from Lima, Peru (PEL) for Native American ancestry (Figure 276 S8C). Participants with more than 80% of their genetic ancestry attributed to one reference population

277	were assigned to that reference. Remaining participants who had greater than 90% of their genetic
278	ancestry derived from two reference populations were assigned to that pair of populations. Any
279	participants not meeting the above two criteria were assigned to a separate subgroup (MVP_OTHER)
280	and were assumed to contain admixture from three or more reference populations.

281 UMAP analysis

We used Uniform Manifold Approximation Projection (UMAP), a dimensionality reduction method that is useful for visualizing both global and local structure in data, to further visualize the genetic ancestry of the MVP cohort. A UMAP embedding was calculated based on the first 10 principal components of unrelated samples using hyperparameters n_neighbors of 15 and min_distance of 0.1, which were suggested by a previous study on UK Biobank data¹⁶. We then visualized the population structure by projecting subpopulations identified by our ADMIXTURE analysis onto the UMAP embedding.

289 GWAS of Height

Height measurements, dates of measurement, dates of birth for each participant were extracted from the VA healthcare system's EHR. Any height measurement outside the range of 48 to 84 inches was excluded¹⁷, and inches were converted to meters. Age at measurement was calculated by subtracting the date of birth from the date of height measurement. Individuals younger than 18 or older than 120 years old were excluded. Sex was genetically determined sex by PLINK.

295 Markers whose genotype missingness was greater than 1%, as well as non-autosomal markers, 296 were removed. Samples whose missingness was over 5% were also excluded. Using the results of the 297 relatedness analysis described below, we also removed all closely related pairs.

After marker and sample filtering, we ran association tests using BOLT-LMM¹⁸ with sex, age, agesquared and the first 10 PCs as covariates. LD scores were calculated from the 1000 Genomes Project

300	population subsets using ldsc 1.0 ¹⁹ . Model SNPs were generated using PLINK 2.0 by pruning unrelated
301	samples with an R-squared threshold of 0.2 (pairwise-indep 1000 50 0.2). Principal components (PCs)
302	were also generated using PLINK 2.0 (pca approx) on the cohorts that had model SNPs extracted.
303	We extracted the effect size, direction of effect, and allele for each previously associated marker
304	from the GWAS catalog on March 21, 2019 and then extracted the effects for the markers present in the
305	MVP association analysis. We then scaled the effect values within each study to between 0 and 1 to
306	account for different height units and plotted the previously derived effects against those inferred in
307	MVP.

308 Results

309 The MVP 1.0 Array

310 Array design and content

311 The MVP 1.0 array was based on the Applied Biosystems[™] Axiom[®] Biobank Genotyping Array 312 with additional custom content further developed for MVP (Figure 1). The Axiom® Biobank Genotyping Array incorporates multiple content categories that are important for translational medicine research 313 314 and discovery,²⁰ including modules for genome-wide coverage of common European variants, rare 315 coding SNPs and indels, pharmacogenomics markers, expression quantitative trait loci (eQTLs), and loss-316 of-function markers (further described in Supplemental Materials and Methods). The MVP 1.0-specific 317 modules were mainly SNPs and indels known to be associated with diseases and traits of interest to 318 MVP (especially psychiatric disorders and rheumatoid arthritis), as well as a set of SNPs selected to 319 improve African American imputation performance (Supplemental Materials). In total, 723,305 320 probesets interrogating 686,682 unique bi-allelic markers (SNPs and indels) based on the GRCh37 321 genome build were tiled onto the MVP 1.0 array. Among these, 270 are mitochondrial markers, 142 are

in the non-pseudoautosomal regions of the Y chromosome, 1,139 are in the pseudoautosomal regions

- 323 (PAR1 and PAR2) of the X and Y chromosomes, 18,026 are in the non-pseudoautosomal regions of the X
- 324 chromosome, and the remaining 667,105 markers are autosomal markers (Table S1).

325 MVP 1.0 Genotyping Quality Control and Assessment

326 Assessment of overall genotyping performance

327 Figure S3 is an overview of the steps taken to ensure high quality genotype data for the MVP 328 cohort. Advanced genotype and sample QC were conducted in addition to the standard Affymetrix good 329 practice guidelines and are described in Materials and Methods and Supplemental Materials and 330 Methods. In addition, we further devised a batch variation correction step to apply to markers that 331 showed significant allele frequency differences between releases (Supplemental Methods and Figure S4, 332 Figure S6A). 333 We investigated multiple quality control metrics for across and within the two assay vendors. 334 Median Axiom[®] DQC values for all genotyping batches were greater than 95 for either vendor (Figure 335 S5A). Median QC call rate was also high, exceeding 99% for each genotyping batch (Figure S5 B-C). 336 Overall, sample call rates and other genotype quality control metrics demonstrated high-quality 337 genotype calls for MVP regardless of genotyping vendor (more detail in Supplemental Materials and

338 Methods).

339 Marker and sample QC and selection

The MVP 1.0 array contains a large amount of novel, custom marker content that has not been validated on other arrays. These markers were assayed with more than one probeset, requiring advanced marker QC to determine which probesets for a given marker performed best across all genotyped batches and to remove systematically poor quality probesets. Ultimately, we retained 668,418 markers representing 97.34% of the original markers and included 459,777 samples from a total

of 485,856 unique genotyped samples in this data release. As expected, almost 98% of the markers that
were previously tested on the Axiom biobank array were associated with a probeset that passed quality
control, whereas 77% of the markers in the MVP 1.0 custom modules were associated with a probeset
that remained after quality control. Additionally, although sample missingness (the fraction of missing
genotype calls per individual; see Supplemental Materials and Methods) was slightly higher for Vendor 1
than for Vendor 2, almost all genotyped samples from both vendors exhibit missingness of less than 5%
(Figure S6A).

352 We also either excluded or guarantined samples that did not meet sample QC criteria. Excluded 353 samples include those expected to be removed by design or for known logistical or data errors. These 354 samples include positive controls, samples with no or multiple unique participant identifiers, and 355 samples in intentional duplicate pairs with the lower call rate. Quarantined samples are those that are 356 temporarily removed from the dataset due to quality concerns. For instance, we investigated 1,149 pairs 357 of samples with high relatedness to discriminate between misidentified intentional duplicates, technical 358 duplicates (controls repeatedly genotyped by vendors), and monozygotic twins. While we confirmed 49 359 monozygotic twins by cross-referencing with EHR data, the remaining 1,100 unintentional duplicate 360 pairs could not be verified through independent means and were guarantined from data release as 361 potentially mislabeled and will be re-genotyped. We also cross-checked genetically determined sample 362 sex with EHR-reported gender information. Among the 485,856 unique genotyped samples, 2,000 363 (0.41%) did not have any reported gender information from either the EHR or self-report, and 2,073 364 (0.43%) of the remaining samples had a genetic sex that was opposite of the reported gender. We 365 quarantined these samples for further analysis and potential re-genotyping (Table S2). The total number 366 of samples that were excluded or quarantined from the current release of MVP genotype data and the 367 reasons for doing so are summarized in Table 1. All guarantined samples removed from the current data

368 release will undergo further quality control validation, be sent back to the vendors for re-genotyping, or

- 369 will be otherwise verified before being included in subsequent data releases.
- 370 Marker missingness and discordance by MAF
- 371 We assessed marker missingness in correlation with MAF. Overall, the MAF distribution of MVP
- 1.0 is highly skewed toward rare variants with 42.89% of markers below 1% MAF and 33.89% below
- 373 0.1% (Figure 2A). This result is by design, as the content of the MVP array focuses on markers associated
- 374 with potential disease phenotypes. We find that MAF is correlated with marker missingness, as shown in
- Figure 2C and Figure S6B, with lower frequency variants missing in a larger fraction of samples. Despite
- this trend, missingness among low frequency markers is still relatively low. For example, 87.29% of rare
- 377 markers (MAF < 0.1%) are missing in less than 5% of genotype calls.
- 378 Additionally, we examined marker genotype discordance rates across intentional duplicate
- 379 sample pairs with respect to MAF. Discordance is calculated per minor allele pair (MAP) for each marker,
- and markers are binned by MAF. We find a correlation between MAF and discordance rate, with lower
- 381 frequency variants having a higher rate of minor allele discordance (Figure 2B and Figure S6C).

382 Duplicate and positive control samples for continuous quality assessment

Importantly, because we employed two separate vendors for genotyping, we intentionally
included 25,291 duplicate samples that were blinded to the vendors for independent assessment of
genotype quality. This amounts to a target of 5% of all genotyped samples and is an effort to accurately
assess genotyping quality on a continuous basis. Sample concordance among intentional duplicates or
positive controls was very high with a median concordance rate greater than 99.8% across all
comparisons (Figure S7A).

Assessing concordance in positive control samples also provides valuable information about the consistency and reproducibility of the MVP 1.0 array's genotypes over time. Along with the MVP samples, 2,064 positive control samples were genotyped on the MVP 1.0 array. As discussed in the

392 Materials and Methods section, we constructed a consensus genotype sequence across 657,459

- markers using this panel of positive controls. For markers in the consensus sequence, 543,691 (82.70%)
- were homozygous, 95,079 (14.46%) were heterozygous, and 18,689 (2.84%) were uncalled.
- 395 Concordance for each of the 2,064 positive controls samples is defined as the number of markers that
- agree with the consensus sequence divided by the number of called markers in the consensus sequence.
- 397 Overall positive control concordance is shown in Figure S7A, and the distributions by batch of
- 398 concordance values across all positive controls are shown in Figure S7 B-D. The median concordance
- rate between each positive control sample and the consensus sequence was 99.93% for all markers,
- 400 99.89% for common (MAF ≥5%) markers, and 100.00% for low frequency (MAF < 5%) markers. The
- 401 minimum observed concordance rate between a positive control and the consensus occurs when
- 402 analyzing common markers, but this concordance rate is still high at 99.05%.

403 **Concordance with HapMap samples**

To further test concordance and genotyping quality, we genotyped 96 HapMap samples (from Coriell cell lines) on the MVP 1.0 array. 210,630 markers are present in both the MVP 1.0 array and HapMap release 27, and among these markers, 205,647 (97.20%) are classified as recommended (see Supplemental Materials and Methods, Standard marker quality control). When these 205,647 markers were analyzed over the 96 HapMap samples, and when HapMap and Axiom® uncalled genotypes were removed from the numerator and denominator, the sample concordance across all population groups is 99.70% (Table 2). Axiom® sample call rate for recommended markers is 99.85%.

411 Assessing rare allele genotyping quality

Given the importance of rare markers in clinically-related studies, we evaluated the analytical validity of MVP 1.0 rare markers by observing the concordance of MAFs for rare markers with overlap between MVP 1.0 and either the gnomAD or the UK Biobank (Figure 2 D-E). These databases are large enough for detection of very low MAFs, and agreement of MVP 1.0 marker MAFs with MAFs from these

416	databases provides evidence for the accuracy of MVP 1.0 calls. MAFs were considered to agree when
417	the lower bound of the regression slope's 95% confidence interval was ≥ 0.9. This value leaves some
418	margin of error for expected differences between the databases in population structure (non-Finnish
419	Europeans vs. European Americans [EA]), technology (genotype arrays vs. exome sequencing), technical
420	processes (batch, user, etc.), and sample size. We used the MVP EA subgroup to benchmark
421	performance because it has a larger sample size which provides better confidence in assessing
422	frequency of rare markers, and has large complementary subgroups in gnomAD and the UK Biobank. We
423	classified markers into three subgroups by MAF: rare variants (< 1%), low frequency variants (1-5%), and
424	common variants (>5%). The EA subgroup yielded 321,290 (48.1%) rare markers, 46,626 (6.97%) low
425	frequency markers, and 300,375 (44.9%) common markers.
426	From the gnomAD database, we compared the allele frequencies derived from the non-Finnish
427	European subgroup (N = 55,860) of the exome call set. This subgroup provided the largest cohort that
428	was comparable in population structure. In total, a majority of MVP rare variants were found in gnomAD
429	(69%, or 221,374 of 321,290 markers), and we found MAF agreement between MVP and gnomAD with a
430	slope of 0.9290 (95% CI: 0.9002, 0.9578).
431	From the UK Biobank, we compared allele frequencies derived from the self-reported white
432	British ancestry group (N > 330k). We found MAF agreement as supported by the strong coefficient of
433	determination (R ²) of 0.9864 and slope of 0.9536 (95%CI: 0.9841, 0.9887) between 46,872 overlapping
434	markers.
435	While comparison against both sources met the \geq 0.9 agreement threshold, we observed a small
436	set of about 6000 extremely discrepant markers (defined as having MAF > 0.001 in one database but
437	MAF < 0.001 in the other) between MVP and gnomAD. About 53% of these markers were also present in
438	the UK Biobank. For these discrepant markers, MAFs in the UK Biobank were much closer to MVP MAFs
420	

than those in gnomAD, and only one quarter of the overlapping UK Biobank markers retained the

440 "extremely discrepant" label. This is expected and consistent with previous observations that MAFs of 441 MVP and the UK Biobank are in close agreement. The extremely discrepant markers between MVP and 442 gnomAD may be attributed to the gnomAD-exome database having a smaller sample size than the UK Biobank. The lowest MAF limit for MVP's EA subgroup is 1.6x10⁻⁶ (1 of 622,730 total alleles), 8.9x10⁻⁶ (1 443 444 of 111,720) for gnomAD's non-Finnish subgroup, and 1.4x10⁻⁶ (1 of 674,398) for UK Biobank. At very low 445 frequencies, the absolute difference between rare variants, but not necessarily the relative difference, 446 will be small. A given marker with a MAF of 0.001 in MVP and 0.01 in gnomAD will have an absolute 447 difference of 0.009, but a relative difference of 10-fold. This is a common situation in our pairwise 448 marker comparisons since overlapping marker MAFs are heavily clustered near zero (Figure 2 D-E). This 449 could also explain the relatively higher variance observed in the lower extremes when comparing MVP 450 against gnomAD versus against the UK Biobank. Overall, our results nonetheless show that our rare 451 variant calls are highly consistent and within a reasonable range of agreement with overlapping markers 452 in gnomAD and the UK Biobank. However, it is important to note that precision of very rare variants 453 assayed using SNP chips have been reported to show variable quality²¹. Thus, visual inspection of calls 454 underlying initial association results are always required.

455 **Population analysis of MVP samples and a test GWAS on height**

456 The MVP Cohort

In addition to quality assessment of MVP 1.0 genotyping results, we also performed exploratory analysis of the current population represented in the MVP samples. Based on data from the VistA EHR, the genotyped participants in the MVP cohort have a median age of 65 years at time of enrollment, and 8.33% are female. Although the percentage of female participants is low, reflecting the demographics of the Veteran population, this percentage corresponds to 46,924 female participants in the current release.

463	Considering the samples that have already been genotyped, the MVP cohort is relatively more
464	diverse than other large biobanks on which data is available. For example, more than 94% of UK Biobank
465	participants self-report as British, Irish, or "any other white background" ^{4,12} , and the Kaiser RPGEH
466	biobank has 81% of samples reporting as "white, non-Hispanic". The MVP cohort on the other hand, has
467	70.9% of participants self-reporting as "white" and "non-Hispanic or Latino" and agrees with United
468	States 2010 census information indicating 63.7% of respondents self-reporting as "White alone" and
469	"Not Hispanic or Latino" ²² .

470 Analysis of relatedness

We examined the degree to which samples in the MVP population are related. Of the
approximately 105.70 billion possible MVP sample pairings, 15,384 pairs appeared to be third degree
relatives or closer. The number of pairs for each type of relative pair, including trios, is shown in Table
S8. Compared with the UK Biobank, this installment of MVP samples has a reduced fraction of related
pairs.

476 Analysis of genetic ancestry

Assessing genetic ancestry for genotyped samples is an important tool for many applications, such as correcting for biases caused by population structure, constructing tests for natural selection, and determining disease risk by genetic ancestry, among other tasks²³. To assess genetic ancestry in our sample, we visualized and then quantitatively assessed genetic ancestry of MVP samples relative to external reference populations.

Runs of homozygosity (ROH) were measured using PLINK with a minimum ROH length of 1,000 Kb. The median total length of ROH is approximately 15.65 Mb, and the median number of blocks per sample is 10. In Figure 3A, we plotted the total length of ROH per individual by genetic ancestry subgroup for the five most common subgroups as defined in the Materials and Methods. MVP GBR PEL

samples have a wide distribution of total ROH length but also some of the longest total lengths of all
samples. Samples with African ancestry or admixed between three or more reference populations
(MVP_OTHER) have the shortest total length of ROH per sample. Samples of mainly European ancestry
have intermediate total ROH length. The total length of ROH per sample varies depending on the genetic
ancestry subgroup.

We also compared MVP samples to those in the 1000 Genomes Project. We first ran a PCA on the 1000 Genomes Project phase 3 samples and then projected the MVP samples onto these PCs. We find that most MVP samples lie close to reference populations of European origin. In addition, when we performed PCA on MVP samples alone, we found that genetic ancestry subgroups contain more complex intercontinental population structure, with a sizeable fraction of MVP samples exhibiting admixture with respect to African and Asian references samples (Figure 3B, Figure S9).

497 To assess ancestry proportion for each sample in MVP, we ran the program ADMIXTURE in 498 supervised mode using five 1000 Genomes Project Phase 3 reference populations: Han Chinese in 499 Beijing, China (CHB); British in England and Scotland (GBR); Luhya in Webuye, Kenya (LWK); Peruvians 500 from Lima, Peru (PEL); and Yoruba in Ibadan, Nigeria (YRI)¹⁵. Most participants have the largest 501 percentage of their genome aligning with the GBR population (Figure S8C). However, a substantial 502 fraction of samples contains a moderate amount of genetic ancestry similar to the YRI reference 503 population. Examples were also found of participants who have almost 100% of their genetic ancestry 504 aligning to each of the five reference populations except for LWK. Using ADMIXTURE analysis results, we 505 grouped the MVP samples into sixteen subgroups and determined the proportion of MVP samples 506 belonging to each (Figure 3C). For example, 326,777 samples have over 80% of their genome aligning 507 with the GBR reference population (MVP_GBR) whereas 58,267 samples have 80% or more of their 508 genome aligning with YRI (MVP YRI). Excluding samples with more than 80% of their genome aligning to 509 one reference population, 25,295 of the samples have 90% or more of their genome aligning with a

combination of GBR and YRI reference populations (MVP_GBR_YRI). Approximately 16,351 samples
(MVP_OTHER) have neither 80% of their genome aligning with one reference population nor 90%
aligning with a combined pair, indicating substantial admixture between three or more reference
populations.

514 Finally, we visualized the diverse ancestry composition of MVP using a non-parametric 515 dimensionality reduction method called UMAP (Figure 3D). As shown through PCA and ADMIXTURE, the 516 largest cluster corresponds to samples with largely European ancestry. In this visualization, the distance 517 between samples and clusters is not to be directly interpreted as genetic distance. Although there are 518 distinct clusters (such as individuals with Asian ancestry forming a tight cluster within themselves on the 519 top left corner, and another small cluster of likely Polynesians in the middle of the plot), most MVP 520 samples of different ancestries form a large single cluster rather than clusters with distinct breaks. This 521 large cluster shows a continuum of ancestry proportion that transitions from GBR on the top right to 522 different levels of admixture with YRI and PEL proportions. This is in line with a previous report based on 523 32,000 US individuals in the National Geographic Genographic Project cohort²⁴.

524 GWAS of height

To further validate the quality of our genotype data and the utility of MVP 1.0 array, we conducted a GWAS of height in both the EA and African American (AA) MVP subpopulations. EAs were defined as individuals with greater than 90% GBR proportion, and AA were defined as individuals with greater than 60% YRI and less than 40% GBR based on ADMIXTURE results (Figure S8 A-B). Our GWAS of height within EA and AA cohorts showed moderate inflation of λ_{GC} =1.12 and λ_{GC} =1.13, with pseudoheritability of 0.396 and 0.378, respectively^{19,25,26}, a level comparable to previous association studies in height without genotype imputation²⁷. Of the 822 reported associations with height listed in the GWAS catalog²⁸, 230 were present in

532 Of the 822 reported associations with height listed in the GWAS catalog²⁸, 230 were present in 533 the MVP EA GWAS, and 209 were present in the MVP AA GWAS. We assessed whether we could

534	replicate effect sizes and direction of effects for markers present in MVP EA and AA GWAS by plotting
535	these against the GWAS catalog effect sizes and direction of effects (Figure 4). For the two
536	subpopulations, the MVP associations perfectly replicated the directions of effect in most markers (two
537	SNPs had near 0 effect size in EA). However, as most GWAS catalog associations are derived from
538	Europeans, the overall correlation across all markers was lower for the AA cohort (r=0.69) compared to
539	the EA cohort (r=0.85).
540	Overall, we show that the performance of MVP 1.0 and the quality of its genotyping across

541 459,777 individuals of diverse ethnic background is very consistent and accurate by a variety of metrics.

542 **Discussion**

543 In this report, we provide an overview of the design of the MVP 1.0 genotyping array, the 544 development of accompanying quality control analyses, and of our initial data exploration of an interim MVP genotyping dataset that consists of nearly 460,000 Veterans. Our results demonstrate that the 545 546 MVP 1.0 chip and the subsequent QC procedures have addressed notable challenges characteristic of 547 large projects with individuals of diverse genetic background, and that the resulting genotype calls is of 548 high-quality akin to other projects similar in scope. By using a single chip and unified quality control across the diverse cohort, we aimed to minimize batch effects between different ancestries and provide 549 550 an initial genome-wide scan before whole genome sequenced samples become available.

551

Addressing the challenges of MVP

552 MVP's large, diverse and still-growing cohort poses numerous challenges for designing 553 genotyping procedures and their subsequent quality assessment/quality control protocols. Genotyping 554 large and ethnically diverse cohorts along with clinically relevant markers is even more challenging due 555 to the finite number of probesets that can fit on a single array. However, using different arrays for

different ethnic groups can also exacerbate the differences between these groups and lead to batcheffects.

558 To address the limitations of array-based genotyping in diverse cohorts, we carefully selected 559 array content to maximize clinical utility while at the same time ensuring both broad coverage of 560 variants and robust imputation capabilities across different ethnic groups. We also developed 561 comprehensive quality controls for markers and samples both before and after genotyping, including: 562 intentional duplication of ~5% randomly selected samples over time, blinded to assay technicians, to 563 detect and mitigate batch variation; assessment of genotyping concordance using positive control 564 samples and HapMap samples (Figure S7A, Table 2); comparing MVP 1.0 MAFs to those in gnomAD and 565 the UK Biobank (Figure 2); and conducting a GWAS of height to replicate previously reported results 566 (Figure 4). Overall, we retained and released 459,777 samples and 668,418 markers after QC for the 567 initial release of data. Although QC metrics vary slightly over time and genotyping vendors, the final 568 genotyped sample set show consistently high call rates (98.5%) and genotype concordance over 569 intentional duplicates (99.8%) both within and between vendors and over time. Furthermore, marker concordance is also high even for rare markers. Additionally, genotype concordance, MAF, and GWAS 570 571 association results are generally in strong agreement with external or previously reported results. These 572 results indicate that the design of the MVP 1.0 array and the associated quality control and assessment 573 procedures provide a robust, reliable method for both genotyping common, low-frequency, and rare 574 variants in a large, ethnically diverse cohorts.

575 Challenges remain, however, and the MVP 1.0 array has several limitations. Notably, although 576 concordance rates were high, our results demonstrate that low-frequency and rare variants are still 577 more difficult than common variants to genotype accurately using the MVP 1.0 array. Additionally, while 578 we added markers to MVP 1.0 to increase coverage for African Americans, we lack boosters for other

ethnic groups, such as Asian and Native American populations, which currently comprise smaller butgrowing proportions in the MVP population.

581 The MVP dataset is ethnically and genetically diverse

582 Our exploratory analysis indicates that the MVP dataset and samples offer unique value for

583 disease research. One particularly valuable aspect of the MVP dataset is the ethnic diversity it

encompasses. Genetic ancestry analysis suggests that the MVP dataset contains sub-populations with

both homogeneous and admixed genetic ancestry from multiple global populations. The largest sub-

586 population corresponds to 71% samples of mostly European descent, with the remaining samples

587 showing substantial African, East Asian, and Native American ancestry.

588 Since MVP recruits participants from United States Veterans who receive care at VA hospitals,

the demographics of the MVP dataset diverge from those of the United States population.

590 Approximately 8.5% of MVP samples are female, which is similar to the fraction of women in the

591 Veteran population²⁹. MVP participants are also substantially older than the United States population

592 with a median age of 68 as opposed to 37.9 years³⁰. However, the demographics of MVP may change

593 with increasing use of the VA by more recent Veterans who have completed their service. The

594 proportion of female Veterans is projected to continuously grow and nearly double to 16.5% by 2043²⁹.

595 Meanwhile, the proportion of Veterans from minority populations is expected to increase by

approximately 50% over the same time period²⁹. Thus, the VA and MVP is in a unique position for

597 further inclusion of participants from diverse backgrounds.

598 The MVP dataset is an invaluable disease research resource

599 MVP has several unique features that make it an invaluable resource for human disease 600 research. As evidence of the general utility of this resource, initial reports using an earlier tranche of 601 ~300,000 genotyped participants have reported substantial new findings regarding the genetics of blood

602	lipids, a major cardiovascular risk factor ³¹ . Not only is MVP ideal for studying the burden of chronic
603	disease, which increases with age, many of the clinical records in its EHR span several decades, allowing
604	for robust longitudinal analysis. This is possible as patients using the VA health services do not lose
605	coverage even after changing employers or residence. Additionally, MVP provides an opportunity to
606	study diseases disproportionately affecting US veterans, such as PTSD ³² , alcohol and substance abuse
607	disorders ³³ , as well as other deployment-related conditions and their impact on human health.
608	In conclusion, the high-quality genotype data generated using the MVP 1.0 array provides a
609	valuable resource for researchers investigating the effect of both rare and common genetic variants
610	within MVP. This quality-controlled genotype data as well as the results from genetic ancestry and
611	relatedness analyses are made available to all approved researchers. The genotype data can be linked to
612	the full EHR of participants, often covering decades of care provided by the VA. MVP is a continuously
613	expanding research cohort made available by participants with diverse backgrounds and altruistic
614	intentions to support research that will benefit their fellow Veterans and others.

615 Supplemental Data

616 Supplemental Data include 11 Figures and 6 Tables.

617 Author Contribution

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- 619 PK, SP Built Data and software pipelines platforms and optimized them, DH, MB Performed wet lab
- 620 assays for blood processing and isolating DNA, HH-Z, YS, ML, BRG, SJ, NS, TLA, JH, CP, JM, SM, GDH, RP, JC,
- 521 JMG, JG, CJO, ERH, HZ, TJO, PST, SP are members of MVP genomic working group, SP conceived and
- 622 supervised the work.

623

624 **Declaration of Interests**

625 The authors declare no competing interests

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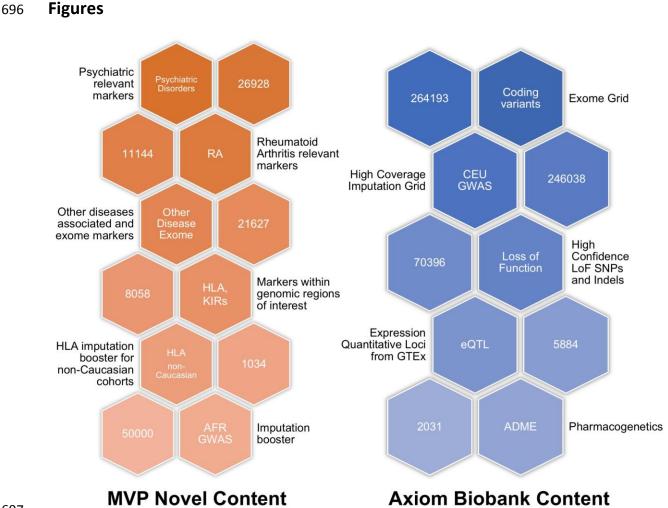
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690 Web Resources

- 691 gnomAD: <u>https://storage.googleapis.com/gnomad-</u>
- 692 public/release/2.1/vcf/exomes/gnomad.exomes.r2.1.sites.chr*.vcf.bgz

- 693 UK Biobank: <u>https://github.com/rivas-lab/public-</u>
- 694 <u>resources/blob/master/uk_biobank/variant_filter_table.tsv</u>



697

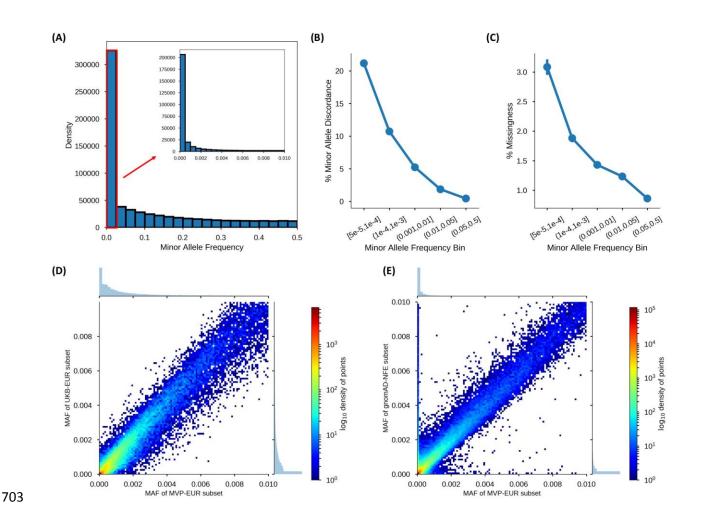
698 Figure 1. Key MVP 1.0 genotyping array modules. The modules are divided into those shared with the

Axiom[®] Biobank Genotyping Array and those unique to the MVP 1.0 array, along with descriptions and

counts of unique markers in each module. Counts represent the number of markers in the module, and

701 markers can be in more than one module.

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704 Figure 2. Quality control assessments on the MVP dataset after performing the Advanced Marker 705 Quality Control procedures. (A) MAF distribution after sample QC filtering. The inset diagram shows the 706 distribution for markers with a MAF below 1%. (B) Cumulative fraction of markers for intentional 707 duplicate discordance rates per MAP, separated by MAF bin. (C) Proportion of markers with fraction of 708 missing calls, separated into MAF bins as represented by grayscale color, after sample QC filtering. (D) 709 Comparison of MAFs between the EA subset of MVP (MVP-EUR) and the UK Biobank European subset 710 (UKB-EUR). (D) Comparison of MAFs between MVP-EUR and the non-Finnish European subset of 711 gnomAD (gnomAD-NFE).

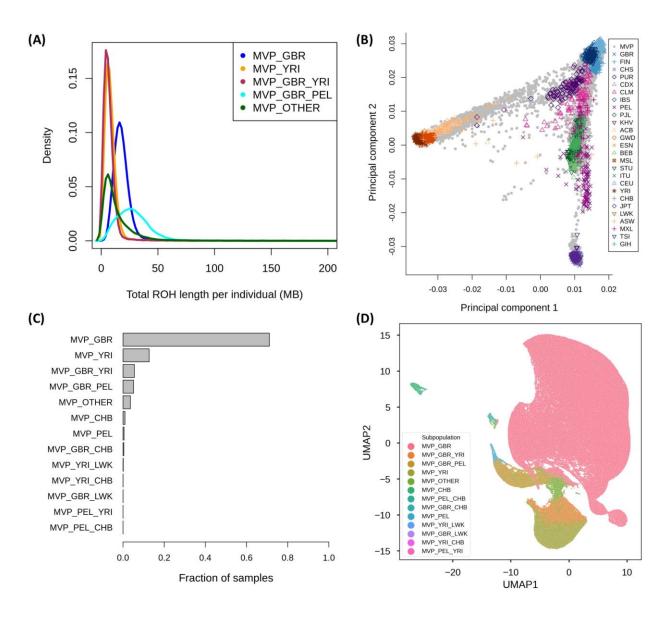
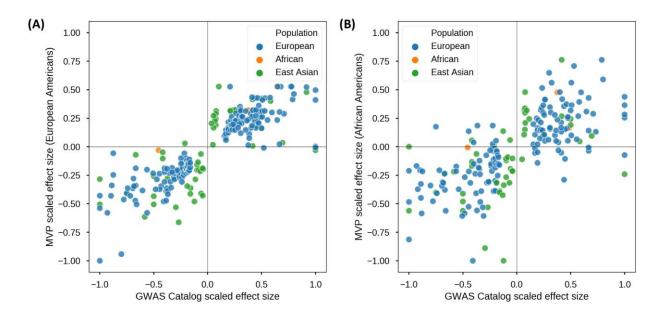


Figure 3. Analysis of genetic ancestry in the MVP dataset. (A) Density plots of the total length of runs of
homozygosity (ROH) per individual in each genetic ancestry subgroup. Only the top five most common
subgroups are shown. (B) Principal component analysis of the 1000 Genomes Project Phase 3 dataset
with MVP samples projected onto principal components 1 and 2. (C) The number of MVP samples in
each genetic ancestry subgroup as inferred by ADMIXTURE percentages and our thresholds. Subgroups
with no samples are not shown. (D) Visualization of ancestry subgroups using Uniform Manifold
Approximation Projection.



721

Figure 4. GWAS of height with MVP cohort. (A) Replication of the direction of effect for markers
previously associated with height as annotated in the NHGRI-EBI GWAS Catalog in the MVP cohort of
non-related European Americans (N=291,609). Color coding denotes the genetic ancestry of the original
cohort in which the markers were associated with height. (B) Same as (A) except using the MVP cohort
of non-related African Americans (N=73,190).

727 **Tables**

728 Table 1. Quarantine and exclusion criteria for MVP samples, and sample count per category.

Category	Number of	Percentage of
	samples	samples
Starting MVP sample set for analysis	514,383	
Intentional duplicate samples	25,291	
Uniquely genotyped individuals	485,856	100.00%
Samples with call rates below 98.5%	15,436	3.18%
Positive control samples	3,236	0.66%
Samples with sex misclassification	1,450	0.29%
Samples on plates containing 4 or more sex misclassifications	2,619	0.53%
Unintentional duplicate samples	1,149	0.23%
Samples on plates containing an intentional duplicate with		
high discordance	9,975	2.05%
Samples with high heterozygosity	248	0.05%
Samples with no or multiple unique participant identifiers	71	0.01%
Intentional duplicate samples with high discordance	413	0.08%
Samples with 7 or more "relatives"	466	0.09%
Samples excluded from the dataset	28,527	5.87%
Samples quarantined from the dataset	31,836	6.55%
Final sample set in current data Release	459,777	

729 Percentages are calculated from the total number of genotyped samples, including positive controls and

duplicate samples (514,383). Categories are not mutually exclusive (i.e., a sample can be removed due

to more than one category and is counted in each applicable category in the table).

733 Table 2. Concordance rates across 96 HapMap samples genotyped on the MVP 1.0 array.

		Metrics over recommended ^a markers		Metrics over all markers	
Population	Number of samples	Average sample concordance (%)	Average sample call rate (%)	Average sample concordance (%)	Average sample call rate (%)
ALL	96	99.70	99.85	99.35	99.49
CEU	28	99.70	99.85	99.34	99.47
СНВ	20	99.70	99.86	99.37	99.51
JPT	20	99.68	99.84	99.35	99.51
YRI	28	99.71	99.86	99.34	99.49

^a Recommended markers are those that were classified into one of the recommended SNP classes

following execution of the Axiom[®] Best Practices Genotyping workflow for the 96 co-clustered samples.

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