1	Computed tomography reveals hip
2	dysplasia in <i>Smilodon</i> :
3	Implications for social behavior in an
4	extinct Pleistocene predator
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25 **Abstract**

26 Reconstructing the behavior of extinct species is challenging, particularly for 27 those with no living analogues. However, damage preserved as paleopathologies on 28 bone can record how an animal moved in life, potentially reflecting patterns of behavior. 29 Here, for the first time, we use computed tomography (CT) to assess hypothesized 30 etiologies of pathology in a pelvis and associated right femur of an adult *Smilodon* fatalis saber-toothed cat, one of the best-studied mammal species from the Pleistocene-31 32 age Rancho La Brea asphalt seeps, Los Angeles, California. The pelvis exhibits 33 massive destruction of the right acetabulum that previously was interpreted, for nearly a 34 century, to have resulted from trauma and infection. We evaluated this historical interpretation using CT imaging to supplement gross morphology in identifying 35 symptoms of traumatic, infective, or degenerative arthritis. We found that the pathologic 36 37 distortions are inconsistent with degenerative changes that started only later in life, as in the case of infective or traumatic arthritis. Rather, they characterize chronic remodeling 38 39 that began at birth and led to degeneration of the joint over time. These findings suggest 40 that this individual suffered from hip dysplasia, a congenital condition common in 41 domestic dogs and cats.

The individual examined in this study reached adulthood (at least four to seven years of age) but never could have hunted properly nor defended territory on its own. As such, this individual, and other critically pathologic *Smilodon* like it, likely survived to adulthood by association with a social group that assisted it with feeding and protection. The pathologic specimens examined here in detail are consistent with a spectrum of social strategies in *Smilodon* supported by a predominance of previous studies. This

application of a relatively new and interdisciplinary technique to an old question
therefore informs the longstanding debate between social and solitary hypotheses for
the behavior of an extinct predator.

51

52 Introduction

The saber-toothed cat *Smilodon fatalis* is one of the most well-studied apex 53 54 predators from the late Pleistocene epoch, if not across the entire history of fossil mammals [1]. Much of our knowledge about this species comes from the Rancho La 55 Brea asphalt seeps in Los Angeles, California, United States, which selectively trapped 56 57 and preserved thousands of individuals of *Smilodon* from at least 50,000 years ago until the species' extinction approximately 11,000 years ago [1]. The seeps functioned as a 58 59 carnivore trap: a large herbivore stuck in the asphalt inadvertently would attract large 60 carnivores and scavengers, which themselves would become entrapped in great 61 numbers [2]. Studies of *Smilodon* at Rancho La Brea have enabled reconstruction of its feeding behavior as an ambush predator specializing on herbivorous megafauna, 62 63 inferences derived using independent approaches ranging from comparative morphology (e.g. [3]) to stable isotopes (e.g. [4]). As well, the abundant specimens 64 65 include numerous examples of healed injuries, or pathologies [5]. Paleopathologies 66 preserved as skeletal lesions are a phenomenon that tends to be rare at more typical 67 fossil sites that do not involve preservation in asphalt, do not exhibit a carnivore bias, or generally have undergone different taphonomic processes; but this phenomenon is 68 captured by Rancho La Brea's large sample sizes. 69

70 As bone remodels throughout an animal's life in response to stress, strain, and 71 injury [6–9], paleopathologies can preserve a record of realized behavior and 72 supplement the picture of potential behavior presented by skeletal morphology. 73 Differences in the distribution of pathologies throughout the skeleton, for example, distinguish *Smilodon* from a contemporaneous predator, the dire wolf *Canis dirus*, 74 reflecting differences between the two species in hunting behavior and potential prev 75 preference corroborated by independently gathered data (e.g. [4]). While injuries in dire 76 77 wolves tended to be concentrated around its distal limbs, supporting the hypothesis that it was a pursuit predator, *Smilodon*'s injuries tended to cluster around the midline of its 78 79 body, supporting inferences that it ambushed and grappled with prey [5]. As the 80 aggregate result of how an animal moved over the course of its life, pathologies present a relatively direct record of the animal's interactions with its prey, its environment, and 81 82 even its conspecifics—including, potentially, intraspecific interactions such as agonistic 83 behavior and sociality.

Sociality, the degree to which individuals live with conspecifics in aroups or 84 85 societies [10], is difficult to infer in *Smilodon* given that it has no direct living analogues. 86 Estimated to have weighed between 160 and 350 kg [3,11], Smilodon was at least the size of the Siberian tiger (*Panthera tigris altaica*), the largest extant felid: some 87 88 estimates reach 369 to 469 kg, placing *Smilodon* in the range of the largest extant 89 ursids [12,13]. No felid living today has *Smilodon*'s elongate, knife-like canines or stocky 90 and powerful build. As well, Smilodon (of the extinct felid lineage Machairodontinae) is 91 distantly related to extant large felids (Felinae), introducing further uncertainty. Based on its robust morphology (e.g. [14,15]) and on evidence from stable isotopes (e.g. [4]), it 92

93 likely stalked and ambushed its prey; therefore, it may have been similar to the African 94 lion (*Panthera leo*), which has a similar hunting strategy and is social [16]. Yet sociality 95 varies across mammalian species, including among members of a single genus; other 96 extant pantherines like tigers (*Panthera tigris*) are solitary [17], complicating inferences 97 of behavior based on ancestral reconstructions. Advocates of the solitary-cat hypothesis cite Smilodon's small relative brain size determined using endocranial casts [18] as 98 evidence of solitary behavior, because sociality exerts high cognitive demands [19,20]. 99 100 However, compared to ungulates and primates, carnivores have tended to decouple 101 sociality and relative brain size, so that a smaller braincase does not necessitate a lack 102 of sociality [21]. The gregarious-cat hypothesis has drawn support from the high ratios 103 of *Smilodon* relative to prey at Rancho La Brea [14,22,23] (although detractors point out 104 that some extant large cats aggregate at kills and carcasses despite otherwise being solitary [20]) and from comparisons between Rancho La Brea and playback 105 106 experiments used to estimate carnivore abundance in Africa, which suggest that the densities of *Smilodon* at Rancho La Brea are more consistent with it having been social 107 rather than solitary [24,25]. The lack of size sexual dimorphism in Smilodon relative to 108 109 living or fossil lions has supported monogamy rather than polygynous breeding in Smilodon, if the saber-tooth did have a social structure [26]. Most relevant to the current 110 study, the existence of healed injuries in *Smilodon* also has been interpreted as 111 112 evidence for social behavior, with the assumption that recovering from serious injury would be difficult if not impossible without cooperative sociality [27]. 113 The current study centers on a *Smilodon* specimen (LACMHC 131) that has 114

earned the distinction of being "the most strikingly pathological object in the collection of

116 Rancho La Brea fossils" [22]. The specimen is a right innominate bone exhibiting massive distortion and destruction of the hip socket (Figure 1). Moodie [22], restricted in 117 118 1930 to an inspection of the gross morphology, regarded this specimen as having been 119 infected following violent trauma, possibly during an encounter with a conspecific, which 120 also led to dislocation of the femur from the hip. Moodie found no pathologic bones 121 potentially associated with the injured innominate; because of disarticulation by flowing asphalt over thousands of years, associated elements are rarely encountered at 122 123 Rancho La Brea. However, after over half a century, Shermis [23] described a pathologic femur (LACMHC 6963; Figure 2) associated with another pathologic pelvis. 124 125 Later, this femur was determined to be associated instead with the Moodie specimen 126 [27], enabling examination of the effects of a single injury on associated skeletal 127 elements using newer technology. 128 Fig 1. Photographs of LACMHC 131, a pathologic pelvis belonging to Smilodon 129 *fatalis.* (A) Lateral view of right side showing destruction of the acetabulum; 130 anterodorsal end to the right. (B) Lateral view of left side showing the intact acetabulum but exostoses around the anterodorsal acetabular rim; anterodorsal end to the left. (C) 131 Dorsal and (D) ventral views showing asymmetry in the pelvis; anterior end to the right. 132 133 Fig 2. Photographs of LACMHC 6963, a pathologic right femur belonging to Smilodon fatalis. (A) Anterior and (B) posterior views of full femur, excluding the distal 134 135 epiphysis, which was broken after death; proximal end on the left. (C) Anterior and (D) 136 posterior close-up views of the proximal end. (E) Dorsal close-up view of the femoral head, greater trochanter, and lesser trochanter in lower center background. (F) Lateral 137 138 close-up view of the greater trochanter and lesser trochanter (lower center), which is

enlarged into a round knob. The upper scale bar refers to A and B and the lower scalebar refers to C, D, E, and F.

141 In the present study, we supplement gross morphology and analyze LACMHC 142 131 and 6963 using computed tomography, observing, for the first time, the internal bone structure of a pathologic non-primate mammal. We evaluate the historical 143 144 inference that the injury was the result of trauma and assess different etiologies of pathology: traumatic arthritis, infective arthritis, or degenerative arthritis. Finally, we 145 146 explore the implications of the diagnosis on reconstructions of sociality in *Smilodon* and the potential contribution of paleopathology to a growing interdisciplinary body of 147 148 literature supporting sociality in this extinct predator.

149

150 Materials and Methods

151 All specimens examined are from the collections of the La Brea Tar Pits and 152 Museum, part of the Los Angeles County Natural History Museum (LACM), Los Angeles, California. Different fossiliferous asphaltic deposits (which became manmade 153 154 "pits" during the historical excavation process) at Rancho La Brea had different periods of asphalt seep activity, thus entrapping organisms over different timespans over the 155 156 past 55,000 years with varied depositional environments and taphonomic histories. In 157 this context, we reduced potential variability in these factors by selecting all specimens 158 from a single deposit, Pit 61/67. Pit 61/67 is the most recent deposit at Rancho La Brea that precedes the late Pleistocene megafaunal extinctions at around 11,000 years 159 160 before present [28], at which point *Smilodon fatalis* became extinct.

161 We examined the external surfaces of the pathologic pelvis including the right innominate (LACMHC 131) and associated pathologic right femur (LACMHC 6963). As 162 163 specimens for comparison, we inspected an unassociated non-pathologic right femur 164 (LACMHC K-3232) and a non-pathologic pelvis (LACMHC K-2584) from the same 165 deposit and of similar sizes and ontogenetic stages as the pathologic specimens. Initial 166 surface scanning of all specimens was carried out using an Artec Space Spider (Artec 3D) as a means of digital preservation and to provide a 3D visual with color. The 167 168 surface scans were processed in Artec Studio 12 and fused into a model with a resolution of 0.2 mm. CT imaging of LACMHC 131, 6963, and K-3232 was performed at 169 170 the S. Mark Taper Foundation Imaging Center, Los Angeles, California, on a GE 171 Revolution (GE Healthcare, Waukesha, WI) 256-slice scanner with 0.625 mm slice thickness. Imaging parameters were KVP=120, mA=300, 0.5 second rotation time, and 172 0.51 pitch using a medium body FOV. The data were acquired in the axial plane, 173 reformatted into soft tissue and bone algorithms, and viewed in the axial, coronal and 174 sagittal planes. CT images were converted to 3D models using the segmentation 175 176 software Mimics (Materialise). Geomagic Freeform (3D Systems) was used to upload 177 and determine placement of the plane for cross-sections of the 3D reconstructions. 178 No permits were required for the described study, which complied with all 179 relevant regulations.

181 **Results**

182 LACMHC 131 is a pathologic but complete pelvis with all sutures completely fused (Figure 1; File S1; Movie S1). The distal end of LACMHC 6963, the pathologic 183 femur, is broken and missing (Figure 2; File S1), precluding verification of distal 184 epiphyseal fusion. However, the proximal epiphyses are completely fused to the shaft, 185 186 and the size of the femur is comparable to large adult femora belonging to other 187 Smilodon preserved at Rancho La Brea. Therefore, the Smilodon individual represented 188 by these two specimens is inferred to have been of adult age. There are no signs of callus, or bone regeneration and healing, that typically are 189 190 seen on imaging following fracture. Rather, the osteophytes are likely a sign of bone 191 remodeling secondary to malformation of the joint with subsequent necrosis. The 192 changes in the right acetabulum and right femur are consistent with those expected 193 from repetitive subluxation and subsequent necrosis. The right acetabulum is shallow 194 and elliptical-shaped as opposed to concentric-shaped. A hole in the bone, likely the result of posthumous asphaltic wear based on its sharp edges, marks the thin medial 195 196 wall of the acetabulum, which is lined otherwise with exostoses. The left acetabulum 197 appears non-pathologic; however, the ilium anterodorsal to the acetabulum-origin of 198 the quadriceps femoris muscles—bears rugose ridges (Figure 1; File S1, Movie S1) 199 absent on typical *Smilodon* pelvic specimens (Figure 3). The head of the pathologic 200 right femur is flattened and laden with anatomical distortions; as well, the lesser 201 trochanter is enlarged into a round knob (Figure 2; File S1). The non-pathologic right femur, the comparative specimen, bears a round head that is appropriately developed 202 203 (Figure 4), fitting snugly into a concentric-shaped socket such as the left acetabulum of

the pathologic pelvis or either acetabulum of the non-pathologic pelvis (Figure 3) and
thereby allowing for an axis of rotation and movement expected for *Smilodon* to function
normally.

Fig 3. Photographs of LACMHC K-2584, a non-pathologic pelvis belonging to Smilodon fatalis. (A) Lateral view of right side; anterodorsal end to the right. (B) Lateral view of left side; anterodorsal end to the left. (C) Dorsal and (D) ventral views; anterior end to the right.

211 Fig 4. Photographs of LACMHC K-3232, a non-pathologic right femur belonging 212 to Smilodon fatalis. (A) Anterior and (B) posterior views of full femur; proximal end on 213 the left. (C) Anterior and (D) posterior close-up views of the proximal end, including the 214 spherical femoral head, greater trochanter, and lesser trochanter. (E) Dorsal close-up 215 view of the femoral head, greater trochanter, and lesser trochanter in lower center 216 background. (F) Lateral close-up view of the greater trochanter and lesser trochanter 217 (lower center). The upper scale bar refers to A and B and the lower scale bar refers to 218 C, D, E, and F.

219 The four cardinal findings of arthritis on imaging are bony sclerosis, osteophytes, 220 joint space narrowing, and subchondral cysts. The CT images of the pathologic specimens reveal evidence of degenerative changes in the right hip joint and a lack of 221 222 fractures from traumatic impact (Movie S2; Datasets S1-S3). The images demonstrate 223 findings of sclerosis and osteophytes in both the right acetabulum and femoral head. 224 which are changes consistent with degenerative arthritis. Profuse remodeling with 225 osteophyte formation marks the right femoral head, likely in response to the 226 degenerative process from repeated subluxation and dysplasia.

227

228 **Discussion**

229 **Diagnosis**

The arthritic degeneration seen in the pathologic specimens and visualized on CT imaging must have arisen from one of three etiologies: traumatic arthritis, infective arthritis, or degenerative arthritis. Findings on the specimens make the etiologies of infective arthritis or traumatic arthritis less likely. In the case of infective arthritis, the presupposition is that the specimen developed typically before an insult that led to infection and subsequent obliteration of the hip joint. This assumption also holds true for the case of a traumatic arthritis etiology following an injury or fracture.

However, the anatomical distortions of the right femoral head, in conjunction with the obliteration of the right acetabulum seen in the gross specimens as well as on CT imaging, suggest chronic changes that led to degeneration over time (Figure 2). The degeneration of the head of the femur as seen would not be expected if the etiology of degenerative change in the hip joint were due to infection or trauma, as the development of the pelvis and femur presumably would have been complete before the insult or injury occurred during the adult cat's life.

Instead, the condition of the right acetabulum and right femoral head
demonstrates anatomy consistent with developmental distortion. Typically, the head of
the femur develops in conjunction with the acetabulum of the pelvis [29]. The spherical
femoral head fits into the concentric-shaped acetabulum to form a ball-and-socket joint
that enables a four-legged creature to ambulate normally, lie down, sit down, stand up,

and function without subluxation, or displacement, of the femoral head out of the pelvic
socket [29]. In developmental hip dysplasia, however, the acetabulum of the pelvis does
not develop appropriately, which subsequently affects the development of the head of
the femur [29]. An elliptical acetabulum, as opposed to concentric-shaped, causes
continual subluxation of the femoral head, which can result in coxa plana, or necrosis of
the bony nucleus of the femoral head. This subsequent coxa plana produces flattening
and degeneration of the normally spherical femoral head [30].

Proper anatomical development and ossification of the hip joint rely on continuous and symmetrical pressure of the femoral head on the acetabulum, and dysplasia results from improper positioning of the femoral head within the acetabulum [29,31]. Dysplastic hips are characterized by a pathologic restructuring of the hip and accelerated remodeling of the joint in response to abnormal forces and tensions that create stress. This produces formation of new bone in some areas and resorption of bone in others, ultimately causing degenerative joint disease [29].

263 This pathology starts to impact movement at the time of first walking, although 264 minimal pain would ensue because of the animal's flexibility at its early age. As the joint 265 cartilage wears out, however, bone would rub on bone. The ensuing forces would make the bone stiffer, resulting in osteophytes or bone spurs as well as sclerosis that 266 manifests on CT imaging as increased bone density (Movie S2; Datasets S1-S2). At this 267 268 point, loading the limb would cause pain, and range of motion would be limited. 269 Therefore, the animal examined in this study would have spent as little time as possible 270 on its right hind leg, needing to compensate for the handicap by increasing the load on 271 its left hind leg. This compensation would explain the exostoses on the left ilium

anterodorsal to the otherwise non-pathologic acetabulum (Figure 1; File S1; Movie S1),

indicating abnormal pulling of the quadriceps femoris muscles originating in this area.

274 Hip dysplasia in modern carnivorans

Hip dysplasia is a heritable, polygenic condition that can affect a range of mammal species [29], including humans [32–34]. Feline hip dysplasia is reported clinically relatively rarely [35,36], but canine hip dysplasia (CHD) is one of the most prevalent orthopedic diseases in domestic dogs [37] and, since it is similar to developmental dysplasia of the human hip [38], is very well studied.

280 Embryologically, articular joints differentiate from skeletal mesenchyme in situ 281 with the support of surrounding tissues that sustain mechanical and physiological forces 282 that tend to pull on the joints [29,39]. Dog hip joints are normal at birth, as teratologic 283 factors and the mechanical stresses that could displace the femoral head are rare at 284 this time [29]. In humans, fetus positioning-particularly the legs in adduction and 285 extension—contributes to the development of hip dysplasia; the congruity of the 286 acetabulum and femoral head is not maintained, making joint laxity more likely [29]. 287 Additionally, suboptimal muscle function may be a major contributor to joint laxity, which 288 in turn has been postulated to be a major contributor to the characteristic acetabular 289 and femoral changes observed in hip dysplasia [29].

Epiphyseal ossification normally begins by 12 days of age in canines. In canines that eventually develop CHD, anatomical changes of the femoral head and pelvic socket begin prior to week three of development [40]. In dysplastic hips, the teres ligament, which is crucial for holding the femoral head in place, is too short; this produces luxation, or dislocation, of the top of the femoral head, beginning at around seven weeks

of age [29]. This luxation increases throughout development, degrading the articular
 cartilage that surrounds the femoral head and delaying ossification of the femur and
 acetabulum [29]. Dysplasia also results in shortening of the affected limb, as the femoral
 head is positioned higher in the acetabulum.
 The overall results of these physiologic changes are mechanical imbalance and

acetabulum and femoral head, and osteophytes in the acetabulum to compensate for

instability in the hip joint causing displacement due to opposing forces from the

302 cartilage loss [29].

300

303 Hip dysplasia and osteoarthritis in domestic and wild cats

304 Feline hip dysplasia (FHD) often is not detected clinically in domestic cats 305 [36,41], possibly because it does not commonly cause overt functional impairment or 306 because cats are able to compensate for the resulting lameness better than dogs 307 [42,43]. As a result, much fewer clinical cases of FHD are reported [35,36] in contrast to 308 cases of CHD [37]. In these cases, osteoarthritis (also known as degenerative joint 309 disease, or DJD) of the hip secondary to FHD is well known [44]. Osteoarthritis was 310 recorded in 43 of 45 (95.6%) of cats with FHD [45]. As well, in 5 of 13 (38.5%) cases of hip osteoarthritis with an identifiable radiographic or historical cause, hip dysplasia was 311 312 pinpointed as the cause, with the remaining cases resulting from trauma or equivocal 313 between trauma and dysplasia [42].

Reports of FHD in non-domestic large cats are even rarer than in domestic cats. Snow leopards in zoologic institutions have exhibited hip dysplasia; across 14 zoos, seven cases were classified as moderate to severe [46,47]. At least two individual snow leopards necessitated total hip replacement before being able to breed [46,47]. Beyond

318 snow leopards, accounts of functional impairment in the hip of non-domestic large cats 319 tend to report osteoarthritis, which can be associated with FHD but may also stem from trauma and increased age [48-50]. 320

321 For wild-caught large cats, the only comprehensive study of which we are aware 322 is a survey of 386 individuals (283 wild-caught) across three felid genera mounted as 323 exhibit skeletons in a range of North American natural history museums [49]. Though not focusing specifically on hip dysplasia, the study tracked DJD, which may be 324 325 associated with hip dysplasia [42,45]. The sample recorded DJD in 9.7% of 31 tigers, 2.3% of 88 African lions, and 5.1% of 59 mountain lions, and none in five other species 326 327 of big cat. These frequencies are low compared to domestic cats, perhaps owing to 328 differences in body size, diet, and lifestyle between large wild cats and domestic cats [44]. Though this study identified instances of non-inflammatory osteoarthritis in the 329 330 shoulder, elbow, and stifle, it found none in the hip. However, 4% of all joints afflicted by 331 spondyloarthropathy—a form of inflammatory arthritis—comprised the hip [49].

332

Behavioral implications for Smilodon

333 Previous workers have inferred social behavior from *Smilodon's* pathologies, 334 interpreting signs of healing as evidence that the animal continued to live after injury. 335 Given the severity of many of the injuries, authors argue, the animal would have starved 336 to death had it not lived within a social structure. The fact that the present pathology 337 would have manifested from a young age, hindering the animal's ability to hunt prey and 338 defend territory over the course of its life, is even more indicative of social structure. 339 Smilodon's large body size necessitated preving on megaherbivores for 340 adequate sustenance [3]. To do so, like most large cats today, they would have used

341 their hindlimbs for propulsion and acceleration [51–53]. This pounce behavior would have been emphasized in *Smilodon*. Its ratio of total forelimb length to total hindlimb 342 343 length is greater than those of living felids, while its ratio of tibia length to femur length 344 ranks lower than those of living felids [14]. The shorter hindlimbs lacking the distal limb 345 elongation seen in cursorial animals suggest that *Smilodon* was an ambush predator 346 surpassing the ability of felids today [54]. Hunting large prey is dangerous [55]; after the 347 initial leap powered by its hindlimbs, *Smilodon* would have grappled with its struggling 348 prey, as evidenced by traumatic injuries radiating dorsolaterally to where the ribs 349 articulate with the spine [5]. As it subdued prey with its robust forelimbs [15,52] under 350 enough torgue to injure the lumbar vertebrae [5], *Smilodon* would have needed to 351 leverage itself against the ground using its hindlimbs. Therefore, the pelvis and femur 352 would have been critical to multiple phases of *Smilodon*'s hunting strategy.

The dysplastic individual would have encountered much difficulty hunting in this 353 354 manner. Yet, as evidenced by its large size and by complete fusion of its pelvic and femoral elements, it had reached adult age. (Studies of the detailed timing of epiphyseal 355 356 fusion in large wild cats are lacking, but distal femoral epiphyses fuse at around the 357 same time as or soon after proximal femoral epiphyses in domestic cats and dogs [56,57]; given this, the broken distal end likely also had a fused epiphysis.) Adulthood in 358 359 *Smilodon* is likely equivalent to at least four years old, given that the forelimb and/or 360 hindlimb in the African lion completely fuses between 4.5 and 5.5 years of age [58–60]; 361 this is supported by bone histological work quantifying at least four lines of arrested 362 growth (LAGs; one per growth year) in limb bones with fused epiphyses belonging to Smilodon fatalis from the Talara asphaltic deposits in Peru [61]. Some of the LAGs in 363

the *Smilodon* histological specimens likely have been masked by secondary bone
remodeling [61], which may be more extensive in larger-bodied taxa [62], and these
specimens may be older than the number of visible LAGs suggest; therefore, the
pathologic specimen may be quite a bit older than four years of age.
To sustain growth to this age, the animal must have secured prey items without

necessarily hunting them. We propose that this individual, and other critically pathologic *Smilodon* like it, survived to adulthood by association with a social group that assisted it with feeding and protection. Evidence for sociality in *Smilodon* historically has been drawn from three main sources: quantification of sexual dimorphism in the size and shape of skeletal elements, ontogenetic patterns in teeth and bone, and comparisons of Rancho La Brea with extant carnivore communities comprising social and solitary members.

Extant large felids are predominantly solitary, with neighboring and often related 376 females tending to feed cooperatively more often and in larger numbers than males. 377 378 The pride-dwelling, male-dominated African lion is the social outlier, though African lion 379 females aggregate as well [63]. High levels of sexual dimorphism mark the African lion: 380 males have manes, larger body size, and upper canines 25% larger than in females [64,65]. Rancho La Brea Smilodon fatalis exhibits discernible levels of sexual 381 382 dimorphism in its canine teeth but less pronounced than the African lion's, suggesting 383 that its social structure differed from that of P. leo [26]. Instead, Smilodon may have 384 been solitary with females occasionally sharing prey, as is the case for most extant felids with low craniodental sexual dimorphism; or-if social-then its breeding system 385 386 likely differed from those of any large felids today [26].

387 In Rancho La Brea *Smilodon*, levels of sexual dimorphism in overall cranial 388 shape (though not size) are on par with those in extant pantherine cats, supporting the 389 existence of a social structure in *Smilodon* [66]. However, pantherines span the social 390 African lion to the solitary leopard (*Panthera pardus*), and therefore this comparison 391 generated equivocal support for solitary polygyny or unisexual groups [66]. On the other 392 hand, sexual dimorphism in various craniodental measurements for Smilodon fatalis from Talara is greater than at RLB and more consistent with sociality; the higher 393 394 proportion of females to males at Talara further supports cooperative hunting among 395 females [61]. Among social carnivorans today, social structure varies by resource level: 396 larger groups during times of plenty or in the presence of competitors; pairs or 397 individuals when resources are limited [67,68]. The difference in *Smilodon* sexual 398 dimorphism between Rancho La Brea and Talara may well reflect true differences in social structure stemming from differences in competitor density or resource limitation, 399 400 which may vary across sites or through time.

401 Ontogenetic growth patterns in teeth and bone support inferences of sociality 402 from skeletal sexual dimorphism. In *Smilodon*, the teeth appear to mature earlier than 403 when sutures and long-bone epiphyses fuse. At Rancho La Brea, most sampled specimens show significant pulp cavity closure of the lower canine (14 of 19 specimens 404 over approximately 80% closure), a sign of dental maturation [69]. In contrast, RLB 405 406 pantherine pulp cavities compared against the *Smilodon* sample were more evenly 407 distributed across the closure percentage range—suggesting that the asphalt seeps 408 tended to trap older *Smilodon* or, more likely (because other assessments have yielded estimates of a full range of ages in Smilodon [70]), that teeth mature earlier in Smilodon 409

410 than in pantherines. At Talara, age determination by dentition yields low estimated 411 counts of juveniles, but age determination based on limb epiphyseal fusion yields 41% 412 juveniles [61]. Histology of Talara *Smilodon* long bones reinforces this mismatch, as an 413 apparent adult femur with fused epiphyses and seven LAGs may have been still growing, based on the lack of avascular and acellular subperiosteal lamellar bone [61]. 414 415 The lag in maturation between the teeth and the limb bones suggests delayed weaning, prolonged juvenile dependence, and extended familial care until the full adult hunting 416 417 morphology—saber canines and robust limbs—was complete. This social scenario 418 would help explain how the individual in this current study was able to survive to 419 adulthood given its debilitating handicap.

420 Lastly, the relatively high abundance of *Smilodon fatalis*—the most abundant 421 carnivoran at Rancho La Brea, second to the dire wolf—has been interpreted as evidence for sociality. A full range of ages is present among Rancho La Brea *Smilodon*; 422 in contrast, animals interpreted to be solitary, such as the American lion *Panthera atrox*, 423 424 are represented largely by adult individuals [70]. Furthermore, the proportions of social 425 and solitary species at Rancho La Brea parallel those drawn to audio playbacks of 426 herbivore distress calls in the African savanna [24,25]. Extant social felids occur in 427 higher densities than solitary species, and multiple individuals congregate around fresh 428 kills; therefore, the high incidence of S. fatalis at Rancho La Brea makes sense less if it 429 were solitary and more so if it were social.

431 Conclusions

432 Novel application of computed tomography to an old guestion of paleopathology 433 has enabled diagnosis of hip dysplasia, a lifelong condition, in an individual *Smilodon* 434 fatalis saber-toothed cat, informing the longstanding debate between social and solitary 435 hypotheses for the behavior of this extinct predator. This individual likely was not the 436 only *Smilodon* afflicted with hip dysplasia and preserved by the asphalt seeps; at least 437 one other pelvic specimen—described by Shermis [23]—appears superficially similar to 438 the pelvis examined in this study, and further study may reveal it to be dysplastic as well. The individual examined in this study reached adulthood (at least four to seven 439 years of age) but could never have hunted nor defended territory on its own, given its 440 locomotor impairment that would have been present since infancy. As such, this 441 442 individual likely survived to adulthood by association with a social group that assisted it 443 with feeding and protection.

Further conclusions are limited by the lack of a comprehensive and systematic 444 comparative dataset comprising pathologic postcranial specimens from extant species, 445 446 a persistent limitation of paleopathological studies [5]. Natural history museums may 447 acquire cranial remains from zoos or similar institutions but often lack storage to 448 accommodate postcranial skeletons, especially for large carnivores. While radiographic 449 studies on domestic cats and dogs are informative as to the nature of hip dysplasia, these studies tend to examine pathologic bones in situ, still embedded in a muscular 450 451 framework, as opposed to the isolated context in which paleopathological specimens 452 are found. Computed tomography may be key to building such a dataset in the future.

453 Within the scope of this study, we cannot completely rule out the hypothesis that 454 the pathologic animal was a scavenger and therefore could have obtained food outside 455 the context of a social structure. It is also possible that, despite its disability, its large 456 body size and fearsome canines made it a strong interference competitor. However, the pathologic specimens that we have examined here in detail are consistent with the 457 458 predominance of studies supporting a spectrum of social strategies in this extinct predator. In many extant carnivores, sociality offers the benefits of cooperative hunting 459 460 and cooperative rearing of young [71]. These benefits likely also applied to the extinct Smilodon in the late Pleistocene. As Smilodon coexisted with a rich megafaunal 461 462 carnivore assemblage including dire wolves, American lions, and short-faced bears, 463 cooperative sociality may have aided its success as a predator in a crowded field.

464

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659 Supporting Information

660

- 661 Movie S1. A video movie of a structured light surface scan of LACMHC 131, the
- 662 pathologic pelvis belonging to Smilodon fatalis. Movie created in Adobe Photoshop CC
- 663 by Carrie Howard.

664

- 665 **Movie S2.** A video scrolling anteroposteriorly through LACMHC 131, the pathologic
- 666 pelvis belonging to *Smilodon fatalis*. Ventral is at top. Video created by Carrie Howard
- 667 from CT scans generated at the S. Mark Taper Foundation Imaging Center at Cedars
- 668 Sinai Medical Group.

669

File S1. Three-dimensional PDF of the pathologic pelvis (LACMHC 131) and femur(LACMHC 6963).

672

Dataset S1. Compressed zip file containing the full computed tomography scan ofLACMHC 131, the pathologic pelvis.

675

Dataset S2. Compressed zip file containing the full computed tomography scan of

677 LACMHC 6963, the pathologic femur.

- 679 **Dataset S3.** Compressed zip file containing the full computed tomography scan of
- 680 LACMHC K-3232, the non-pathologic right femur.

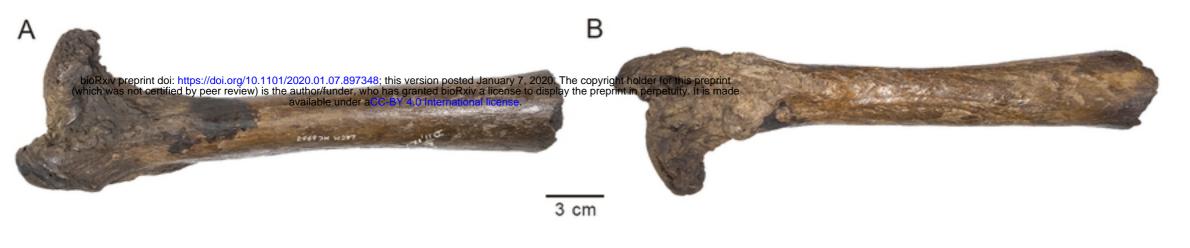






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3 cm





















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