

1 **Cryo-EM structures reveal two distinct conformational states in a**
2 **picornavirus cell entry intermediate.**

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24

25 **AUTHOR SUMMARY**

26 Nonenveloped viruses need to provide mechanisms that allow their genomes to
27 be delivered across membrane. This process remains poorly understood. For
28 enterovirus such as poliovirus, genome delivery involves a program of
29 conformational changes that include expansion of the particle and externalization
30 of two normal internal peptides, VP4 and the VP1 N-terminus, which then insert
31 into the cell membrane, triggering endocytosis and the creation of pores that

32 facilitate the transfer of the viral RNA genome across the endosomal membrane.
33 This manuscript describes five high-resolution cryo-EM structures of altered
34 poliovirus particles that represent a number of intermediates along this pathway.
35 The structures reveal several surprising findings, including the discovery of a new
36 intermediate that is expanded but has not yet externalized the membrane
37 interactive peptides, the clear identification of a unique exit site VP1 N-terminus,
38 the demonstration that the externalized VP1 N-terminus partitions between two
39 different sites in a temperature-dependent fashion, direct visualization of an
40 amphipathic helix at the N-terminus of VP1 in an ideal position for interaction with
41 cellular membranes, and the observation that a significant portion of VP4 remains
42 inside the particle and accounts for a feature that had been previously ascribed to
43 part of the viral RNA. These findings represent significant additions to our
44 understanding of the cell entry process of an important class of human pathogens.

45

46 **ABSTRACT**

47 The virions of enteroviruses such as poliovirus undergo a global conformational
48 change after binding to the cellular receptor, characterized by a 4% expansion,
49 and opening of holes at the two and quasi-three-fold symmetry axes of the capsid.
50 The resultant particle is called a 135S particle or A-particle and is thought to be on
51 the pathway to a productive infection. Previously published studies have concluded
52 that the membrane interactive peptides, namely VP4 and the N-terminus of VP1,
53 are irreversibly externalized in the 135S particle. However, using established
54 protocols to produce the 135S particle, and single particle cryo-electron

55 microscopy methods, we have identified at least two unique states that we call the
56 early and late 135S particle. Surprisingly, only in the “late” 135S particles have
57 detectable levels of the VP1 N-terminus trapped outside the capsid. Moreover, we
58 observe a distinct density inside the capsid that can be accounted for by VP4 that
59 remains associated with the genome. Taken together our results conclusively
60 demonstrate that the 135S particle is not a unique conformation, but rather a family
61 of conformations that could exist simultaneously.

62

63 **INTRODUCTION**

64 Poliovirus is a small (~30nm) non-enveloped, positive sense, single-stranded RNA
65 virus. It belongs to the genus Enterovirus of the Picornaviridae, and is the
66 causative agent of poliomyelitis [1]. The icosahedral capsid of the virus, which is
67 made of four proteins, namely VP1, VP2, VP3, and VP4, encloses the viral genome
68 [2]. The capsid is structurally similar to other members of the family such as
69 Rhinovirus, Coxsackievirus A16, and Enterovirus 71 and Enterovirus D68, the
70 latter three having caused recent epidemics of hand-foot-and-mouth disease, and
71 even flaccid paralysis in China and the United States[1,3,4]. Therefore, studies on
72 poliovirus are not only crucial to support the global effort to eradicate poliovirus,
73 but also to tackle important emerging pathogens.

74

75 Poliovirus entry into cells is initiated when the virus interacts with its receptor,
76 CD155, also known as poliovirus receptor (PVR) [5], an immunoglobulin-like
77 molecule which is expressed at the intercellular junctions of epithelial cells [6].

78 Receptor engagement is concomitant with the loss of the “pocket factor” [7], a
79 stabilizing ligand [8] that binds in the hydrophobic core of VP1 [9]. Structurally,
80 receptor binding results in an icosahedral expansion of the capsid by 4% [10],
81 resulting in a 135S, or A- particle [11-14]. These particles are metastable,
82 infectious [15,16] and RNA-containing. Therefore, it is thought that the 135S
83 particle represents a critical step along the pathway to infection [16]. Unlike mature
84 native virions (160S particles), whose capsids form a closed surface, the expanded
85 135S particles have holes in the capsid at the icosahedral 2-fold and quasi-3-fold
86 axes. These openings permit the externalization of the hydrophobic, membrane-
87 interactive N-terminal extension of capsid protein VP1 [11,14,17] and the
88 myristoylated protein, VP4 [18]. *In vitro* studies with model membranes have
89 shown that these polypeptide chains are responsible for anchoring the virus to the
90 host cell membrane [11,19]. Earlier tomographic studies from our group
91 demonstrated umbilical connections between the virus and receptor decorated
92 liposomal membranes [20] were wide enough to accommodate single-stranded
93 RNA genome. This could be the manner in which the genome is protected from
94 the degradative effects of RNases [21]. However, the molecular and structural
95 underpinnings of this process still remain elusive.

96

97 In the present study we report five high-resolution reconstructions of the 135S
98 uncoating intermediate particles, with refined atomic models for four of them.

99 Taken together, our results describe a multi-step model for picornavirus capsid
100 uncoating and subsequent steps in genome translocation.

101

102 **RESULTS**

103

104 **Three structures produced by incubation with mAb**

105

106 Initially we set out to investigate the structure of the “breathing” poliovirus particle.

107 Previous studies showed that native poliovirions, when incubated at 37°C (but not

108 at 25°C), transiently expose membrane-interactive polypeptides VP4 and the N-

109 terminus of VP1 [22]. These two exposed polypeptides could be trapped outside

110 the capsid by specific antibodies, some of which neutralized infectivity [22]. The

111 release of the antibodies by freeze-thaw restored infectivity, demonstrating that the

112 process was reversible [22]. An early attempt to characterize the breathing particle

113 trapped using an Fab that recognized residues 39-55 of VP1 [23] produced a low-

114 resolution structure [24]. This structure revealed an RNA-containing virus particle

115 whose capsid was trapped in an icosahedrally symmetric expanded state that was

116 purported to be different from previously reported expanded forms of poliovirus.

117 Weak and poorly ordered density located above the icosahedral 2-fold hole was

118 attributed to peptide-bound Fab molecules getting “stuck” in random orientations

119 when the VP1 N-terminus was partially withdrawn into the particle interior [24].

120

121 In the current study we have leveraged improvements in EM and computer

122 hardware, data collection software, and image processing pipelines to re-examine

123 with higher detail the antibody-trapped states relevant to the uncoating process,

124 starting with the poliovirus “breathing” particle. This particle was produced by

125 incubating native poliovirus with a commercially available monoclonal antibody
126 (kind gift from Quidel Corp.) that was raised against a peptide corresponding to
127 VP1 residues 42-55 (PALTAVETGATNPL). Although the antibodies used in this
128 and previous studies recognize the same region of the N-terminus of VP1, this
129 antibody differs from the one previously used, which is no longer available. Thus,
130 in contrast to the earlier antibody which showed significant binding when
131 incubating virus with the Fab, we could only obtain significant decoration of
132 particles using the intact monoclonal antibody provided by Quidel. Single particle
133 screening cryo-EM experiments were conducted to determine conditions that
134 would yield the greatest number of virus-mAb complexes. An optimal number of
135 complexes was observed after a 90-minute incubation of the virus at 39°C with
136 intact (bivalent) antibody.

137

138 Under those conditions, three main structural classes were obtained (Fig. 1a),
139 each containing roughly one-third of the usable particle images. Class 1 was not
140 labeled by antibodies and was indistinguishable from previously solved 160S
141 native virus [2], having an RNA core; VP4 and the N-terminus of VP1 bound on the
142 inner surface of the capsid; and a closed protein shell that lacked large holes (left
143 column, Fig. 1a). Classes 2 and 3 both consisted of capsids that were expanded
144 by about 4%, with large holes at the 2-fold axes. The class 2 particles appeared
145 to contain a full complement of RNA (middle column, Fig. 1a), whereas class 3
146 appeared similar to previously-studied 80S empty capsids [10,25,26] (rightmost

147 column, Fig. 1a), which lack RNA, VP4, order in residues 1-68 of VP1, and which
148 have either unobstructed or partially obstructed holes at the quasi-3-fold axes.

149

150 **The 80S-like class.** In the icosahedral reconstructions of the class 3 particles, the
151 density for the Fab portion of the bound antibody (for simplicity we will refer to this
152 here and in subsequent sections as the Fab) was a flat roundish feature whose
153 connection with the capsid was not directly apparent (rightmost column, Fig. 1a).
154 This density enclosed only the lower half of the Fab and was only visible at a much
155 lower contour level than the capsid protein, suggesting both low occupancy, and
156 variability in the position and angle of the Fab with respect to the virus. The
157 position of the Fab, over the 2-fold hole, was similar to one of two sites for Fab
158 binding in the low-resolution structure of an 80S-Fab complex described previously
159 [27].

160

161 To further assess the interaction of the Fab with the capsid, we performed an
162 asymmetric focused classification on 542,460 asymmetric units of the virus, using
163 a cylindrical mask that enclosed the 2-fold axis of the capsid as well as the Fab.
164 While the capsid was resolved to 4.2Å in the icosahedrally averaged maps, the
165 focused class in (Fig. 1b.) resolved to 6.5Å. This class had a distinct tube-like
166 density extending from above the VP2 helix (residues 90-100) at the 2-fold axis to
167 the underside of the Fab (Fig. 1b). We interpret this density to be the N-terminus
168 of VP1.

169

170 **135S-like particles (m135).** Class 2 (which we will call m135) was the most
171 interesting of the 3 classes (Fig. 2 and middle column of Fig. 1a), as it shared
172 several of the physical characteristics of the canonical 135S particles. The m135
173 reconstruction was resolved to 2.8Å resolution in the capsid region, after masking
174 and "sharpening" (see Methods) (Table S1, Fig. S1), and a detailed atomic model
175 was constructed and refined for ordered amino acids in capsid proteins VP1, VP2,
176 and VP3. Like a canonical 135S particle, the m135 reconstruction includes a
177 significant central density for the RNA (but whose apparent icosahedral symmetry
178 is an artefact of the calculation), lacks VP4 on the inner surface of the capsid, and
179 has an expanded capsid with holes at the 2-fold and quasi-3-fold axes (middle
180 column Fig. 1a). In this structure and in all of the remaining 135S structures
181 described below, the pocket factor is missing from the hydrophobic pocket of VP1
182 [9]. Similar to 135S-like particles for other enteroviruses [28,29], this structure has
183 an additional portion of the N-terminal extension of VP1 (residues 64-69) that is
184 ordered, and extends outward along the quasi-3-fold axis (Fig. 2b-d).

185

186 The density for the Fab is diffuse and rod-shaped, stretching between two 2-fold-
187 related copies of the quasi-3-fold hole (Fig. 2a and middle column of Fig. 1a). The
188 rod-like density feature is only evident above the noise level for the lower half of
189 the Fab, which suggests variability in the position and orientation of the Fab. The
190 weakness of the ordered Fab density (in the icosahedrally averaged maps) is
191 consistent with a model in which specific Fab binding (to residues 42-55 of the VP1

192 N-terminus) at some of the quasi-3-fold sites near the virus surface is precluded
193 by steric conflicts with previously bound Fabs.

194

195 In the high-resolution m135 structure, we see two general categories of structural
196 change relative to native virus. One category corresponds to movement of the
197 beta barrels of the major capsid proteins, VP1-3, away from one another when the
198 capsid expands. As a consequence, several polypeptide segments (which, in
199 native 160S virus, stabilize the "closed" capsid arrangement) detach from their
200 neighbors and become disordered. On the inner surface of the capsid, these
201 segments include VP4 and portions of the N-terminal extensions of VP1 and VP2.
202 On the outer surface of the capsid, these segments include all C-termini of VP1,
203 VP2, and VP3, as well as loops extending from the beta-barrel cores of VP1 and
204 VP2 (see below). The general pattern of beta barrel shifts and polypeptide chain
205 disordering that occurs upon the expansion of poliovirus has previously been
206 analyzed [10,25,26,30]. A specific listing of ordered and disordered residues is
207 tabulated (see Table S2) for the m135 atomic model, and for the three other atomic
208 models described below.

209

210 The second, particularly interesting, set of structural changes involves the
211 polypeptide segments that contact the VP1 N-terminus as it exits through the
212 quasi-3-fold hole. Notably, the GH loop of VP3 (residues 176-185) uncoils,
213 changing from a compact structure that plugs the quasi-3-fold in virions into a more
214 extended structure that leaves room for residues 62-68 (residues 1-61 were not

215 modellable in this density) of VP1 to thread through the quasi-3-fold (Fig. 2c-d).
216 Additionally, the "bottom" loop of VP2 (residues 26-58), which encircles the bottom
217 of the VP2 beta barrel, rearranges at its tip (residues 43-55) to move out of the
218 way of the externalizing VP1 N-terminus (Fig. 2d-e). Finally, a portion of the GH
219 loop of VP1 (residues 216-236) rearranges to facilitate the externalization of the
220 VP1 N-terminus; and the C-terminal extension of VP2 (residues 262-272) retracts
221 from its position on the outside of the virion to bind to the inner surface of the capsid
222 (Fig. 2f). The movement of the VP1-3 beta barrels is strikingly consistent with all
223 of the 135S structures reported here and in those previously published for
224 poliovirus and other enteroviruses [17,25,30-32](see ordered residue lists, Table
225 S2). In particular, the four key contact areas (Fig. 2c-f) either change their
226 conformation or become disordered as a result of the 160S-to-135S conversion.

227

228 Finally, we considered the possibility that our antibody-trapped "breathing"
229 particles would regain infectivity if the antibody were released by freeze-thawing,
230 as was reported in the original report of "breathing" of poliovirus [22]. To test this
231 possibility, virus samples were incubated with VP1 antibodies either at 39°C
232 (where breathing is expected) or 25°C (where breathing is not expected) for 1.5 h
233 (the same as for the EM experiment) and immunoprecipitated with Protein A/G
234 coated magnetic beads at room temperature. Unbound virus was washed away,
235 and the bound virus was released from the beads by freeze-thawing the solution.
236 Next, the released virus was serially diluted and used to infect naïve Vero cells.
237 Greater cytopathic effect was observed in virus particles retrieved from incubating

238 the virus with the antibody at higher temperature than the virus particles retrieved
239 from the room temperature incubation (Fig S2a-b). This suggests that some of the
240 antibody-bound particles must have retained a full or nearly full complement of
241 proteins (presumably including VP4) and remained infectious, even when
242 accounting for non-specific binding of native virus to the antibody.

243

244 **Comparison of m135 particles with “canonical” 135S particles.**

245

246 Historically, three methods were used to convert 160S virus to 135S particles, and
247 the resulting particles were not distinguishable by any of the tests then available.
248 One method involved the binding of virus by the poliovirus receptor at the cell
249 surface at 37 °C in a natural infection [11-13]; the second involved incubation of
250 virus with the soluble ectodomain of the receptor at 37°C [33,34]; and the third
251 involved heating 160S particles at 50°C in the presence of divalent cations that
252 stabilize the meta-stable 135S intermediate [11,15,35]. To assess whether or not
253 the m135 particle was indeed 135S-like, we decided to solve cryo-EM structures
254 for both the soluble-receptor-triggered and heat-triggered 135S particles.
255 Consistent with our "standard recipes" for producing 135S particles [8,15], we
256 incubated native virus for 3 min, either with solubilized PVR at 37°C, or alone at
257 50°C. Receptor-triggered and heat-triggered 135S structures (designated "r135"
258 and "h135", respectively) were solved at 3.2Å resolution (after density-based
259 masking and sharpening) (Table S1, Fig. S1b-c).

260

261 As expected, the capsids of both r135 and h135 were expanded by 4%, with holes
262 at the 2-fold axes, were full of RNA, and failed to show well-ordered native-like
263 VP4 pentamers lying flat on the inner surface of the capsid (Fig. 3a). The r135 and
264 h135 structures were identical, except for the occasional shift, by one or two
265 residues along the polypeptide chain, where an order-to-disorder transition
266 occurred. The set of "buildable" residues whose main chain atoms could be
267 included in each atomic model are listed in Table S2.

268

269 Surprisingly, both r135 and h135 maps lacked a visible extension of the VP1 N-
270 terminus through the quasi-3-fold hole, which was supposed to be the structural
271 hallmark of a 135S particle, according to the literature [11,17,30,36]. In both cases,
272 residue 69 was the first ordered residue of VP1; residues 43-53 of the bottom loop
273 of VP2 (which supports the VP1 N-terminus) were disordered; and the quasi-3-fold
274 hole was partially blocked by the GH loop of VP3 (Fig. 3b). The lower portion of
275 the loop was similar to that seen in native 160S virus (Fig. 3c), but the upper portion
276 was different from either the 160S structure or previously described 135S
277 structures in which the VP1 N-termini were exposed. In short, while the
278 literature[17,29,32] (and our own experience [14]) had led us to expect a 3 min
279 incubation to produce an expanded particle with the VP1 N-termini extended, the
280 3 min incubation in this study produced an expanded and fenestrated capsid in
281 which most or all of the VP1 N-terminus remained inside the capsid. Therefore, we
282 propose that the category of particles that was previously called "135S" most likely
283 consists of distinct states, namely, an "early state", wherein the capsid has

284 undergone an expansion but most copies of the VP1 N-terminus are on the inside
285 of the capsid and the quasi-3-fold hole still remains blocked, and a subsequent
286 “late state”, characterized by the opening of the quasi 3-fold hole and the
287 emergence of many copies of the VP1 N-termini through them. In support of this
288 possibility, a careful re-reading of the literature [9,15,22,36] showed that 135S
289 particles with extended N-termini were typically detected only after extensive
290 purification and/or prolonged incubations.

291

292 **Receptor-induced 135S particles incubated with mAb at 25°C**

293

294 To test our hypothesis, that VP1 N-termini (from expanded particles) become
295 externalized after an extended time, we followed the method described in Tsang
296 et al [37], first incubating the virus with receptor for 3 mins at 37°C, followed by a
297 1hr incubation with antibody at 25°C. This protocol was carried out twice, once with
298 a smaller "screening" data set, and once with a larger one. Data collection
299 parameters are summarized in Table S1.

300

301 As predicted, incubation of the pre-formed "early 135S particle" with antibody for
302 1hr at 25°C did decorate the virus particles with Fab-shaped density features. (Fig.
303 4a). Surprisingly, the Fab portions of the antibody molecules did not bind at quasi-
304 3-fold positions, to produce a low-level rod-shaped density, such as that seen in
305 the m135 map (Fig. 2a and Fig 1a middle column). Instead, the Fab density in the
306 icosahedrally-averaged map was poised above the 2-fold hole. Its position was

307 similar to that of the much weaker hemispherical density in the decorated 80S
308 particles (rightmost column, Fig. 1a). However, in the new "r135+m25"
309 reconstruction, density for the Fab was much better ordered, with the upper half of
310 the Fab domain visible, and separated from the lower half by an appropriately
311 placed gap in the density between subdomains (Fig. 4b). Unlike the decorated 80S
312 map, where the Fab may have been freely spinning around the viral 2-fold axis,
313 here the Fab appears to have a preferred orientation, with each of its two hinges
314 sitting on a (partly disordered) EF loop of VP2. (Note that the Fab envelope is a
315 sufficiently low-resolution feature that imposing an icosahedral twofold operation
316 on the Fab density envelope had only a minimal effect on the density shape). The
317 density for the Fab being weaker than that of the capsid can be attributed, at least
318 in part, to the fact that at most 30 copies of the Fab can bind the virion over the 2-
319 fold axis. Both the contour level needed to visualize the Fab and the quality of fit
320 of this density to the Fab model indicated higher occupancy and more structural
321 consistency in this complex than we saw in our other complexes with Mab.

322

323 In the r135+m25 icosahedrally averaged map, and in all of the focused classes
324 that were calculated from the r135+m25 image stack, we looked under the bottom
325 surface of the Fab, trying to find density for a peptide connection (perhaps similar
326 to the density connections that we saw in the focus classes of antibody-decorated
327 80S particles (Fig. 1b)). Instead, the bottom surface of the Fab (above the 2-fold
328 axis) consistently lacked an obvious connection; and the 2-fold hole was occupied
329 by a density that we tentatively identify as the partially retracted C-terminus of VP2

330 (Fig. 4b). In contrast, in focused classifications centered on the 2-fold axis,
331 including the quasi-3-fold holes, we observed 61% of the asymmetric particles
332 exhibiting a clear density for the N-terminus of VP1 emerging from the quasi 3-fold
333 hole (Fig. 4c), with the GH loop of VP3 in an extended conformation as described
334 in the m135 model (Fig. 2c.).

335

336 **Visualizing the exposed N-terminal helix of VP1.** Interestingly, we observed a
337 focused class for the screening data comprising of 21% of the 966,840 asymmetric
338 units of the virus where the lower half of the Fab contacts both VP2 shoulders, but
339 asymmetrically tilts towards one of the shoulders (Fig. 4d). This causes the top
340 half of the Fab to become less well ordered compared to the lower half of the
341 molecule. In this class, the tilted Fab leans against a long, straight, upward-
342 projecting density feature. The projecting density is unusual in its appearance with
343 one wide dimension and a flat dimension (Fig. 4d).

344 The observed shape of the density closely matches the shape of an idealized alpha
345 helix built with the sequence of the first 20 residues of VP1 (the amphipathic
346 character of this helix is known to be evolutionarily conserved [11]). The helical
347 density observed in our map (Fig. 4d) appears on the top surface of the VP2 EF
348 loop, in the same location that a difference density peak was previously observed
349 between low-resolution structures of V8 protease treated and untreated 135S
350 particles [31] (Fig. S3b). Similar column-like density features were seen in some
351 of our other focus classes, but the density was never quite as convincing as it was
352 in this example.

353

354 Taken together these data suggest that the Fab in r135+m25 particles is bound to
355 a copy of the VP1 N-terminus that has exited at a nearby quasi-3-fold axis, and its
356 location above the 2-fold hole is determined by interactions of the framework
357 region of the Fab and the extreme N-terminal residues of VP1 with the VP2 GH
358 loops.

359

360

361 **Receptor-induced 135S particles incubated with mAb at 37°C**

362

363 To account for the difference in the binding pattern of the Fab between the m135
364 and r135+m25 reconstructions, we postulated that lowering the temperature had
365 an effect on the pattern of Fab binding. To that end the experiment was performed
366 in the same manner as the r135+m25 conditions, except that the temperature for
367 incubating with antibody was maintained at 37°C. The Fab density that was
368 observed (Fig. 5a-b) appeared to be a superposition of the m135 and r135+m25
369 binding patterns. Thus, the density for the Fab is rod-like (as it was in the m135
370 reconstruction), but there is also significant density above the 2-fold axis which
371 includes density corresponding to the upper half of the Fab (as was observed in
372 the r135+m25 reconstruction). The reduced occupancy of well-ordered Fab
373 molecules at the 2-fold site (in r135+m37, relative to r135+m25) suggests that the
374 higher temperature of incubation with antibody destabilizes the interaction of Fab
375 with the VP2 GH loop. As was the case in the m135 structure, the r135+m37

376 reconstruction has readily interpretable density for the VP1 N-terminus exiting at
377 the quasi-3-fold hole. Given that the r135+m37 map appears to be a lower-
378 resolution (see Table S1) superposition of two better-determined structures, and
379 not relevant to biological infection, we elect not to report an atomic model for it.

380

381 **Possible pentamers of capsid protein VP4.**

382

383 The small myristoylated capsid protein VP4 [18], is believed to play an important
384 role in the protection of viral RNA (as RNA crosses the gap between virus and
385 membrane) and in the penetration of host cell membranes[38,39]. In 160S virions,
386 prior to capsid expansion, pentamers of poliovirus VP4 lie flat on the inner surface
387 of the capsid, cupping the VP3 beta tube in a ring of five myristates [9,18] (Fig. 6,
388 leftmost panel). The N-terminal residues (1-45) of VP4 intertwine in a pinwheel
389 arrangement to form a 60Å diameter disk, while the C-terminal residues (46-70)
390 extend away from the disk, towards the 3-fold axes, in a 5-pointed star
391 arrangement [2]. In contrast, in 80S empty capsids, most if not all of the RNA is
392 gone and there is no density for VP4 [25,26] (Fig. 6, rightmost panel). But what
393 happens to VP4 in between those initial and final states?

394

395 Our five high-resolution structures of 135S-like particles offer some intriguing
396 indications that many of the VP4 pentamers may, indeed, remain intact within the
397 virus capsid, with their distances from the protein shell increasing as the capsid
398 shifts outward. Like many other 135S-like expanded picornavirus structures, our

399 maps show a distinct 10Å-thick layer on the outer surface of the unstructured RNA
400 density (top row center of Fig. 1a, and Fig. 3a right). Although this layer has
401 commonly been attributed to RNA [29,32], we believe that its shape and
402 dimensions are consistent with VP4 (see below).

403

404 In "early 135S particles" (i.e. expanded but with the VP1 N-terminus mostly inside),
405 as seen in the "r135" and "h135" structures, the putative VP4 density is consistently
406 cup-shaped in profile (Fig. 6 second panel from left). Seen face-on, the density
407 feature has a radial position and a diameter similar to that of a native VP4
408 pentamer. Like VP4 pentamers, the density feature is around 10Å thick (which is
409 much too thin to be duplex RNA). As in native virus, the center of the cup (near the
410 5-fold axis) contacts the VP3 beta tube; but further from the 5-fold axis, unlike
411 native virus, the density is seen to curve away from the capsid (as if it were peeling
412 off of the inner surface of the capsid). Notably, the shape of the density is
413 pentagonal, with a large central hole, which differs from the star-shaped density of
414 the native pentamer. This would require some concerted conformational change
415 to occur as the pentamer detached.

416

417 In the "late 135S particles" (expanded, with the VP1 N-terminus mostly
418 externalized), as seen in the m135, r135+m25 and r135+m37 data sets, the cup-
419 shaped density feature is slightly flatter, and is positioned slightly further away from
420 the inner capsid surface (at ~14Å) (Fig. 6, third panels from left).

421

422 These results are consistent with claims from published literature (15, 40) in which
423 VP4 was detected biochemically in preparations of 135S particles. Moreover,
424 because VP4 plays a key role in viral infection [40], at least some VP4 must remain
425 present in 135S particles to explain the observation that these particles remain
426 infectious [15,16]. Therefore, we conclude that the thin layer immediately adjacent
427 to the capsid that has previously been attributed to RNA is more likely to be
428 residual VP4 that is not externalized until the RNA genome is released.

429

430 **Discussion.**

431

432 Picornavirus cell-entry is still an area of active research. In the present study we
433 have gained further insight into the dynamics of the expanded genome-containing
434 infectious intermediate of poliovirus, which is known as the 135S particle.
435 Importantly, we show that the 135S particle, which was thought to be a unique
436 structure, is instead an ensemble of structures, that expansion is not completely
437 coupled to externalization the N-terminal extension of VP1 as previously thought,
438 and that a significant fraction of VP4 remains in partially occupied but well-ordered
439 structures on the inner surface of the particle.

440

441 **Decoupling expansion and externalization of the VP1 N-terminus** – One of the
442 unexpected findings of the current study was the observation of 135S particles
443 which were expanded but had not externalized the VP1 N-terminus. Thus in the
444 r135 and h135 structures, where the 135S particles had been prepared by

445 protocols that were believed to result in both expansion and externalization of the
446 VP1 N-terminus, icosahedrally averaged maps showed that the VP3 GH loop was
447 in a conformation that blocked the hole at the quasi-3-fold axes and there was no
448 detectable density for the VP1 N-terminus. This observation contrasts with
449 biochemical studies that show that the VP1 N-terminus was accessible to
450 proteases and to antibodies and with earlier structural studies of 135S particles of
451 poliovirus and other enteroviruses. Indeed, we observed weak but significant
452 density for the externalized VP1 N-terminus in a recent intermediate resolution
453 (5.5Å) structure of the 135S particles that were prepared by the same heating
454 protocol [30]. To address this apparent discrepancy, we tried applying our current
455 cryoEM software protocols for classification and data processing to the older lower-
456 resolution data set and produced a fenestrated reconstruction that lacked
457 significant density for the VP1 N-terminus (data not shown). We conclude that the
458 samples that produced both structures contained a mixture of structures and that
459 the methods used to select particles to be included in the earlier lower-resolution
460 reconstruction resulted in enrichment in a minority population of particles with the
461 VP1 N-terminus exposed.

462

463 **Where does the VP1 N-terminus exit the virion** - Previous structural and
464 biochemical characterization of the 135S particle of poliovirus (and other related
465 picornaviruses) using cryoEM, crystallography, and antibody studies, led to a
466 model wherein, upon expansion, the N-terminus of VP1 initially exits via the 2-fold
467 hole, and later gets locked into the quasi-3-fold channel through a “gear-shift”

468 motion[17,24,27,30]. However, in none of our recent panel of structures did we
469 observe evidence for such a motion. Instead, the density for the N-terminus of VP1
470 in 135S particles, whenever it was externalized and trapped by an antibody, was
471 consistently observed to occupy the quasi-3-fold channel. Although we cannot rule
472 out a model in which VP1 transiently exits via a hole at the 2-fold axis during the
473 dynamic process of externalization, our recent maps suggest that any such
474 externalized polypeptide is either quickly re-internalized, or quickly migrates to the
475 quasi-3-fold axis. It is also worth noting that even after a long incubation with the
476 monoclonal antibody (m135, r135+m25, and r135+m37), in all cases densities for
477 the VP1 N-terminal extension and for the antibody were consistently weaker than
478 capsid, which suggests that some VP1 N-termini may remain inside the capsid.

479

480 **A revised model for the virus-to-135S transition.** Taken together our current
481 results suggest a process with three major steps. First, upon binding to the
482 receptor at 37°C or exposure to high temperature (50°C) the virus expands and a
483 significant fraction of VP4 is externalized. This step is concomitant with the loss
484 “pocket factor” and of internal and external stabilizing interactions among
485 neighboring protomers through their N- and C-termini and loops on the outer
486 surface. In a second step, the residues in the VP1 N-terminus are then reorganized
487 such that residues 69-64 run up through the quasi-3-fold. It is clear that this second
488 step must happen during infection because biochemical studies show that the VP1
489 N-terminus is inserted into membranes in *in vitro* models [11,19] and during
490 infection, and plays a key role in the late stages of viral entry [41]. We would argue,

491 however, that the externalization of the VP1 N-terminus is transient and reversible
492 on the time scales relevant to infection (minutes) and that exposure of a significant
493 fraction of the VP1 N-termini on this time scale requires the presence of a trap.
494 Trapping agents could include cellular membranes during infection [11,15],
495 liposomes in *in vitro* model systems [11,19,20], or antibodies that bind the VP1 N-
496 terminus once exposed [22,24]. In order to explain the observation of stable
497 structures in which the VP1 N-terminus is clearly externalized, we postulate a third
498 step in which the VP1 N-terminus slowly becomes locked on the outside of the
499 capsid even in the absence of trapping agents, perhaps by forming stable
500 interactions with the GH loops of VP3 and VP1, as seen in the m135 structure, in
501 our previous intermediate-resolution reconstruction of the poliovirus 135S
502 particle[30], and in the structures of the 135S particles of other enteroviruses
503 [17,29,42]. What proportion of the N-termini are exposed in 135S particles, during
504 natural infections, in the presence of cellular membranes, is now an open question.

505

506 **Wither the VP1 N-terminus after leaving the capsid.** Although it is clear that
507 residues 69-64 of the VP1 N-terminus exit the capsid at the quasi-3-fold, the
508 course of the externalized residues 1-61 that are distal to the exit point is less clear.
509 In all of our structures, residues 61-56 are disordered. Based on where Fab
510 density is seen, residues 55-42 (which constitute the antibody binding site) can be
511 located either above a 2-fold axis (r135+m25), or above a
512 quasi-3-fold axis (m135), or both (r135+m37). Finally, the results from an earlier
513 low-resolution structure of the 135S particles [31] and the clear density

514 amphipathic helix of VP1 in the r135+m25 structure reported here demonstrates
515 that a significant fraction of the first 20 amino acids of VP1 can bind at the top of
516 the EF loop of VP2. These results are summarized in Fig. 7.

517

518 **Significance of the amphipathic helix of VP1.** Earlier studies from our
519 group[11,19] demonstrated the externalization of the VP1 N-terminus and
520 described its role in the binding of 135S particles to membranes. Using floatation
521 assays and V8-protease-based cleavage of the 135S particles, the membrane-
522 interacting part of the peptide was localized to the first 30 amino acids in the
523 sequence[11,19]. Further analysis predicted that the first 20 amino acids would
524 adopt a helical conformation[11], with the helix having a hydrophobic and a
525 hydrophilic side (a common membrane-interactive structural motif). Subsequently,
526 single particle cryoEM analysis of V8-cleaved and uncleaved 135S particles[36]
527 suggested that the externalized VP1 N-terminus would sometimes be anchored on
528 top of the VP2 EF-loop. However, the density for the amphipathic helix had never
529 been visualized directly. In the present study, we were fortunate to be able to
530 visualize clear density for the entire helix, and to confirm that the helix is located in
531 the same location that the V8-cleavage difference density from an earlier study
532 [31] had predicted it to be (Fig. 4d, Fig. S3b). The fact that the helix is only clearly
533 visible in focused reconstructions from the screening data set suggests that the
534 interactions between the helix and the VP2 may be weak. However, we speculate
535 that, even if the localization of the helix at the tip of the VP2 EF loop is transient at
536 physiological temperature, by increasing the number of copies of the N-termini at

537 a higher elevation on the virus particle, this interaction may drive the association
538 with membrane, may facilitate engulfment of the virus particle at the cell surface
539 and internalization into endosomes [43], and may serve as an asymmetric trigger
540 for the translocation of the genome into the cytosol [20]

541

542 **Difference in antibody density under different conditions.** Another puzzling
543 aspect of the structures presented here is the observation that the Fab in the
544 complexes produced by incubating with PVR at 37°C then with antibody at 25 °C
545 binds above the 2-fold hole, whereas the complexes produced during higher-
546 temperature incubations showed Fab density above the quasi-3-fold axes. A likely
547 explanation for our observations is that some of the N-termini of VP1 become
548 externalized via the quasi-3-fold hole during the initial 3-min. incubation with
549 receptor at 37°C, and their N-terminal helices find the binding site on the VP2 EF
550 loop, where they remain trapped once the temperature is lowered to 25°C. Upon
551 subsequent incubation with antibody at room temperature, this configuration is
552 further stabilized by nonspecific contacts between the antibody, the VP1 N-
553 terminal helix, and the VP2 EF loops. In the maps (Fig. 4a-b), this binding mode
554 manifests as a well-ordered density that recognizably corresponds to both halves
555 of the Fab portion of the antibody.

556

557 In contrast, when the particles are maintained at 37°C or 39°C during antibody
558 binding, the higher temperature reduces the stability of the specific interactions of
559 the VP1 N-terminal helix with the VP2 EF loop, the nonspecific interactions of the

560 hinges of the Fab with the VP2 EF loop “shoulders”; and the nonspecific
561 interactions of the VP1 helix with the sides of the Fab. Thus, the 2-fold binding of
562 Fab was reduced at 37 °C, and almost eliminated at 39°C. Instead, a greater
563 proportion of the Fab moieties were bound closer to the quasi-3-fold hole, where
564 Fab orientations were less well-constrained, and the Fab density was
565 consequently more diffuse.

566

567 **Presence of VP4 in an expanded particle.** VP4 is a myristoylated, pore-forming
568 protein that is implicated in the translocation the RNA genome across
569 membranes[18,38,40]. This protein was thought to be completely lost after
570 conversion to the 135S state[11-13]. However, biochemical and infectivity assays
571 indicate that at least 25% of VP4 remains associated with the virus particle, and
572 that the 135S particle remains infectious[15,44] (Fig. 6b and Fig. S2). In several
573 related picornaviruses, reports of expanded virus structures have noted the
574 disappearance of VP4 from the inner surface of the capsid, and have attributed all
575 of the unstructured density inside of the capsid to RNA, including a thin 10Å-thick
576 layer at the periphery of the icosahedrally-averaged "RNA ball"[29,32]. However,
577 in our panel of expanded genome-containing structures, we can alternatively
578 account for the density under the 5-fold peak using a native atomic model of the
579 VP4 pentamer (Fig. 6). Moreover, an unmistakable gradual curving of the VP4
580 layer away from the capsid, peeling off of the inner surface, was seen in the r135
581 and h135 structures (Fig. 6.) that is remarkably similar in appearance to the density
582 that has been ascribed to RNA in previous studies with other enteroviruses[29,32]

583 . VP4 has the capacity to bind to RNA[45] and perhaps that is the reason it is being
584 held in place (at its original radius in native virus) while the rest of the capsid
585 expands radially. Nevertheless, it remains unclear how VP4, thus separated from
586 the capsid, possibly still associated with RNA, and with pentamers intact or not,
587 manages to exit the capsid and to embed itself in the membrane. This will be an
588 important avenue to explore in understanding the crucial genome-translocation
589 step in picornavirus infection.

590

591 **Materials and Methods**

592

593 **Virus and antibody.**

594 Poliovirus type 1 Mahoney strain was purified by infecting Hela S3 suspension
595 cells at an MOI=10 for 6hrs at 37°C. Infected cells were spun down, washed with
596 phosphate buffered saline (PBS:137 mM NaCl, 2.7 mM KCl, 1.1 mM KH₂PO₄, 6.5
597 mM Na₂HPO₄, 0.7 mM CaCl₂, 0.5 mM MgCl₂) and frozen at -80°C. Virus was
598 released from the cells by repeated freeze-thawing of the infected cell pellet in
599 RSB buffer (10mM Tris pH 7.3, 10 mM NaCl, 1.5 mM MgCl₂, 1% IGEPAL CA-630)
600 and clarification of the cell debris through centrifugation. The virus was pelleted
601 through ultracentrifugation and layered on a CsCl density gradient. Subsequently,
602 the virus band was collected and dialysed against PBS before being flash frozen
603 in liquid nitrogen and stored at -80°C.

604

605 The anti-VP1 monoclonal antibody (one of two monoclonal antibodies in a pan-
606 Enterovirus ELISA detection kit) was obtained from Quidel (Athens, Ohio).

607

608 **Plaque Assay**

609 Vero cells were grown in 6-well plates until confluency at 37°C and 5% CO₂. The
610 cells were then infected with serial dilutions of poliovirus. At 36 h post-infection
611 cells were fixed with 10% formaldehyde and stained with 0.1% crystal violet.

612

613 **In vitro generation of poliovirus 135S and 135S-like particles**

614 Anti-VP1 antibody triggered 135S-like particles were generated by mixing 18μL of
615 virus suspension (0.4mg/ml) with 3μL of anti-VP1 antibody (3.2mg/ml) and
616 incubated for 1.5 h at 39°C in a thermal cycler. To generate 135S particles, PV
617 suspension was first buffer exchanged into a low salt buffer (20mM Tris-Cl pH 7.5,
618 2mM MgCl₂). The receptor-triggered conversion of PV was induced by mixing
619 18.6μL of PV (0.5mg/ml) with 0.4μL of PVR/CD155 (6.1mg/ml) on ice before
620 incubating at 37°C for 3 min. The reaction was quenched on ice. Heat-triggered
621 135S particles were generated by heating native PV (in the low salt buffer) to 50°C
622 for 3 minutes, before quenching the reaction on ice. The r135+m25/37 particles
623 were produced by incubating PV with PVR, as described above, followed by the
624 addition of the anti-VP1 mAb (150x molar excess over virus) and incubation at
625 25°C or 37°C for 1 h.

626

627 **Cryo-Electron Microscopy and data collection**

628 Carbon-backed lacey grids (Ted Pella) were glow discharged in an easiGLO
629 (PELCO, Fresno, California) glow discharge unit by applying a current of 15mA for
630 30s. To the freshly glow-discharged grids, 3.0-3.5 μ L of virus or virus-ligand mixture
631 was applied. The samples were then allowed to adsorb on the grid for 30s before
632 blotting away the excess buffer using a blot force of 6 and blot time of 6s in a FEI
633 Vitrobot Mark IV (ThermoFisher). The grids were plunge frozen in a liquid ethane
634 slush and stored in liquid nitrogen until imaging. Data collection parameters are
635 listed in Table S1.

636

637 **Image processing**

638 Image processing of micrographs was performed within Relion 3.0 [46]. Individual
639 movie frames were aligned and dose-weighted with the Relion implementation of
640 motion correction by applying a negative B-factor of -150 [47]. CTF parameter
641 estimation was performed using Gctf v1.06 [48] on dose-weighted micrographs.
642 Particle-picking was performed in crYOLO[49] by training the neural network on an
643 initial subset of ~300 manually picked particles from several micrographs sampling
644 a range of defocus values. Subsequently, the particle picker was deployed on the
645 complete dataset to identify and save particle co-ordinates which were then
646 imported into Relion. The picked particles were extracted, binned by 4 and
647 subjected to a single round of 2D classification and obviously junk classes were
648 discarded prior to 3D classification. The particle stack was refined against a
649 representative class obtained at the end of the 3D classification. After the first
650 round of refinement, the dataset was subjected to CTF refinement [46] by

651 estimating beam tilt, per-particle defocus and astigmatism followed by Bayesian
652 polishing [46] with default parameters. Icosahedral symmetry was imposed in
653 every round of refinement and the reference model was filtered to 60Å to prevent
654 model bias. The final resolution (0.143 gold standard FSC) of the structures (Table
655 S1) was obtained through the Postprocessing job type in Relion, where a density-
656 based mask enclosing the capsid was applied to estimate the FSC between two
657 independently processed half datasets. Local resolution estimates were also
658 obtained by running the Relion's implementation of local resolution algorithm.

659

660 **Asymmetric focused classes**

661 After assignment of icosahedral orientations to the particle images, a cylindrical
662 mask was prepared using SPIDER[50] with a diameter of 105Å, centered on the
663 viral 2-fold and enclosing two copies of the quasi 3-fold axis. The initial height of
664 475Å was then limited to a spherical shell by applying minimum cutoff at 154Å.
665 Then, using `relion_particle_symmetry_expand` a new STAR file containing 60
666 icosahedrally related orientations for each particle in the stack was generated. This
667 symmetry expanded stack was then subjected to a masked 3D classification
668 routine in which orientational and translational searches were disabled, the T-value
669 was set to 40, and 5 density classes were generated.

670

671 **Model building and refinement**

672 A starting model for m135 was assembled from 1HXS and previously published
673 high-resolution structures of the expanded poliovirus structures [25,51] were

674 docked into the density using COOT [52]. Where none of the pre-existing models
675 for the density fit well, the segments were constructed *de novo*.
676 Models were iteratively built and refined in REFMAC5 and/or phenix_refine and/or
677 phenix_real_space_refine, until no further improvement was possible [53-55]. To
678 avoid model bias, the Fourier transforms of the experimental maps (both
679 amplitudes and phases) were used as refinement standards. The model included
680 a central protomer (VP1, VP2, and VP3) and neighboring proteins related by
681 icosahedral symmetry. Strong restraints were included for stereochemistry,
682 symmetry, and temperature factors.

683

684 **Data Availability**

685 Cryo-EM maps and masks for m135, r135, h135, r135+m25 and r135+m37
686 conditions are deposited in the EMDB with accession codes EMD- 20275, EMD-
687 20276, EMD-20469, EMD-20546 and EMD-20474 respectively. Atomic co-
688 ordinates for all the maps except r135+m37 can be accessed from the PDB with
689 the following codes 6P9O (m135), 6P9W (r135), 6PSZ (h135), 6Q0B (r135+m25).
690

691 **Figure preparation**

692 Figures and illustrations were prepared using PyMol (Schrödinger, LLC), Chimera
693 [56], ChimeraX [57] sourced through the SBGrid Consortium[58] and compiled in
694 Adobe Illustrator (Adobe, USA)

695

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709

710

711 **Author Contributions.**

712 Conceived and designed the experiments: JMH, MS, DJF, PNMS, KK.

713 Performed the experiments: PNMS, KK, EH, EG. Analysed the data: PNMS,

714 DJF, JMH. Wrote the paper: DJF, PNMS, JMH, MS.

715

716

717 **References**

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938

939 **Figure Legends**

940

941 **Figure 1: Anti-VP1 antibody trapped expanded particle** (a.) Top row: The 3D
942 classes from the dataset, with undecorated 160S particles (left), the anti-VP1
943 mAb decorated 135S-like particle (middle, orange border) and the mAb
944 decorated empty particle (right, yellow border). Second row: Radially colored
945 isosurface representations of the refined particles are shown at a high contour,
946 where the holes at the 2-fold are clearly observed. Third row, isosurface
947 representations of the mAb-decorated 135S (center) and 80S particles (right)
948 contoured at a lower level showing the diffuse density attributable to the bottom
949 half of the Fab part of the mAb. (b) Density for an asymmetric focus class
950 calculated from the mAb decorated 80S particle is depicted in the context of the
951 2-fold related VP2 subunits (yellow) and a model of a Fab (pink). The tube-like
952 density for the N-terminus of VP1 (arrow) is seen above one of the two 2-fold
953 related VP2 helices.

954

955 **Figure 2: Anti-VP1 antibody trapped genome containing particle (m135) is**
956 **similar to the canonical 135S particle.** (a.) Cage density depiction of the mAb-
957 decorated m135 structure in the vicinity of the 2-fold axes showing the rod
958 shaped density for the lower half of the Fab portion of the mAb and the better
959 ordered density for the capsid proteins of the virus (b.) The canonical poliovirus
960 protomer consisting of VP1 (blue), VP2 (yellow) and VP3 (red) is shown
961 juxtaposed with 5-fold related copies of VP1 (cyan) and VP2 (gold). The cyan,
962 gold, and red copies together form the “5-3-3 triangle” having one 5-fold and two
963 3-fold symmetry axes at its corners. The quasi 3-fold lies at the center of this
964 triangle. Panels (b) and (c) are viewed from outside the virus. (c.) The N-terminus
965 of VP1 (dark blue) is seen exiting the virus capsid through the quasi 3-fold hole.
966 To accommodate this, the GH loop of VP3 (labeled) becomes rearranged and
967 partially disordered. Panels (d-f) are viewed from inside the virus. (d.) The exiting
968 N-terminus (dark blue) contacts the tip of the VP2 bottom loop (labeled) (e.) The
969 bottom loop of VP2, in m135 (yellow), is rearranged relative to native VP2
970 (magenta) (f.) In m135, the C-terminus of VP2 (dark yellow) becomes ordered on
971 the capsid inner surface, rather than exiting at the 2-fold, as in native virus
972 (magenta).

973

974 **Figure 3: Receptor-catalyzed, and heat-triggered 135S particles (r/h135).** (a.)
975 Radially colored isosurface rendering of the “early” 135S particle at a high
976 contour (left panel) reveals an opening at the 2-fold axis. The panel on the right is
977 shown at a lower contour level with a clipping plane inserted to highlight the re-
978 organised density inside the capsid. These two panels are based on the r135
979 map, because the two structures are virtually identical (b.) Close up of the quasi-
980 3-fold region. Density for the GH loop of VP3, overlaid on the model, is very
981 similar in the r135 (blue) and h135 (purple) maps. In this conformation, the GH
982 loop of VP3 plugs the quasi-3-fold hole. (c.) Stereo views compare the GH loops
983 of VP3 from the r135 (red), m135 (salmon), and native (orange) structures. The
984 r135 conformation is more similar to the native conformation, as opposed to the
985 m135 model where the GH loop becomes more extended and forms new beta-
986 sheet interactions with a radially-oriented segment of the VP3 D strand.

987

988 **Figure 4: Receptor catalyzed 135S particle incubated with the anti-VP1**
989 **antibody at 25°C (r135+m25).** (a.) Radially colored isosurface rendering of the
990 “late” 135S particle shown at high contour (left) to highlight the hole at the 2-fold
991 axis and at a lower contour (right) to highlight binding of the Fab (pink) relative to
992 the capsid (b.) The interaction between the Fab density and the capsid is better
993 resolved following a focused classification centered around the 2-fold symmetry
994 axis of the capsid. (c.) A focus map from (b.) compared with the m135 model,
995 helps to visualize the N-terminus of VP1 (blue) exiting the capsid from the quasi-
996 3-fold hole. The density in this region is poorly resolved in the icosahedral
997 averaged reconstruction (d.) A focused class obtained from the screening data,
998 using a cylindrical mask centered on the 2-fold axis. This map clearly shows a
999 column of density that accommodates the first 20 amino acids of the N-terminus
1000 of VP1 (blue). The helix bound to the top surface of VP2 (yellow) is stabilized in a
1001 unique orientation by contacts with the Fab (pink). Clearly, the portions of VP2
1002 that bind the base of the helix must be rearranged, in some unknown way that
1003 differs from the native conformation of VP2 that is shown (yellow).

1004

1005 **Figure 5: Receptor catalyzed 135S particle incubated with the anti-VP1**
1006 **antibody at 37°C (r135+m37).** (a.) Radially colored isosurface rendering of the
1007 “late” 135S particle that is produced after receptor-catalyzed expanded virus is
1008 incubated with anti-VP1 antibody at 37°C for 1h. (a.) The panel on the left is
1009 contoured at a higher level to show surface details such as holes at the 2-fold
1010 and density in the quasi-3-fold. At a lower contour (right), smeared density due to
1011 Fab binding (beige) is apparent. (a. and b.) The Fab density in r135+m37
1012 appears to be a super-position of the rod-shaped Fab density that extends over
1013 the quasi-3-fold (as seen in m135) and the 2-fold-centered density (as seen in
1014 the r135+m25 reconstruction). (c.) a close-up view of the showing the VP1 N-
1015 terminus emerging at quasi-3-fold (compare Figs. 2c and 4c).

1016

1017 **Figure 6: Location of VP4 before and after capsid expansion.** Profile (top
1018 panel) and face-on (lower panel) view of VP4 (green) in native, r135, m135 and

1019 empty states. The native orientation and location of VP4 has been preserved to
1020 highlight the changes in the density, as the capsid expands. Prior to RNA
1021 release, VP4 pentamers, somewhat rearranged, appear to remain at their original
1022 radius, on the outer surface of the RNA ball, as the rest of the capsid expands
1023 outward.

1024

1025 **Figure 7: Schematic of VP1 N-terminal stabilization in the r135+m25 state.**

1026 Four distinct areas in the N-terminal extension of VP1 (dashed blue curve) can
1027 be stabilized by binding to the Fab (pink) and the viral capsid (grey). This overall
1028 binding pattern is suggested by (i) the observation of residues 70-62 in the m135
1029 complex; (ii) the expectation that amino acids from the 55-42 range (the epitope
1030 for the anti-VP1 Ab) will bind to the underside of the anti-peptide mAb; (iii) the
1031 anchoring of the N-terminal helix (around residue 21) to the top surface of VP2;
1032 and (iv) the nonspecific stabilization of the amphipathic N-terminal helix along the
1033 side of the Fab in a focus map. Although the pathway of the N-terminal extension
1034 is unlikely to be unique, the diagram does help us to understand why the
1035 increased prevalence of Fab moieties at the 2-fold hole (in r135+m25) is
1036 evidence for the increased anchoring of the amphipathic helix (residues 1-21) on
1037 the top surface of VP2, presumably stabilized by lower temperature and longer
1038 incubation before the antibody is introduced.

1039

1040 **Figure S1: Resolution and quality of maps.** (a.) Representative, raw
1041 micrographs of the datasets used in the analysis, (b.) Masked (continuous line)
1042 and unmasked (dotted line) Fourier shell correlation plots of m135 (red), r135
1043 (green), h135 (cyan), r135+m25 (blue) and r135+m37 (purple). At a 0.143 cut-off
1044 level, the resolution estimates range from 2.8 to 3.6Å. (c.) Local resolution
1045 estimates in all the maps were calculated using Relion and the distribution of
1046 resolution values within in the masked region is plotted. (d.) In the best resolved
1047 dataset (m135), individual amino acid side chains are easily discriminated.

1048

1049 **Figure S2: Binding of anti-VP1 mAb to the VP1 N-terminus and infectivity of**
1050 **mAb released poliovirions** a.) The anti-VP1 antibody specifically recognizes

1051 and binds the N-terminus of VP1 only after the N-terminus has been externalized
1052 at 39°C. Poliovirus particles were complexed with the antibody at room
1053 temperature or at 39°C for 1.5 h and immunoprecipitated with magnetic Protein A
1054 coated beads. After thorough washing of the beads to remove unbound material,
1055 the samples were examined on SDS-PAGE gels. Lane 1, Ladder; lane 2, PV
1056 particles only; lane 3, antibody; lane 4, soluble fraction of antibody plus 160S PV
1057 after binding at RT; lane 5, pelleted fraction of antibody plus 160S PV after
1058 binding at RT; lane 6, soluble fraction of antibody plus 160S PV after binding at
1059 39°C; lane 7, pelleted fraction of antibody plus 160S PV after binding at 39°C.

1060 Bottom panel, silver staining of the gel to enhance the relatively weak VP4 signal
1061 b.) Expanded poliovirus particles are infectious. Native (160S) poliovirus particles
1062 previously incubated with anti-VP1 antibody at room temperature or at 39°C for
1063 1.5 h were immunoprecipitated with magnetic Protein A coated beads. After
1064 thorough washing of the beads to remove unbound material, the beads were

1065 freeze-thawed to release poliovirus particles. Released poliovirus was serially
1066 diluted and plated on naïve Vero cells. After 36 h of incubation at 37°C, plaques
1067 were visualized by staining with crystal violet.

1068

1069 **Figure S3: Visualising poliovirus VP1 N-terminus** (a.) Asymmetric focused
1070 classes calculated for the r135+m25 dataset with percentage population per
1071 class. Class3 is depicted in Fig. 4b-c. (b.) Difference density, shown here in
1072 stereo, was calculated from previously published low-resolution reconstructions
1073 of poliovirus 135S particles, either untreated or treated with V8 protease, which
1074 cleaves at residue 31 of VP1. As a guide, we have superimposed an alpha
1075 carbon model of r135+m25. The blue alpha helix (putatively residues 1-21 of
1076 VP1) was fitted to a focused class of r135+m25 (as shown in Fig. 4d-e). Observe
1077 that the putative VP1 helix model, bound to the top of VP2, is similar in position
1078 and orientation to the previously reported difference map feature.

1079

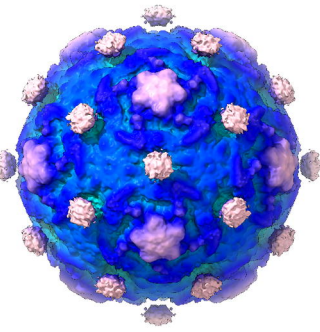
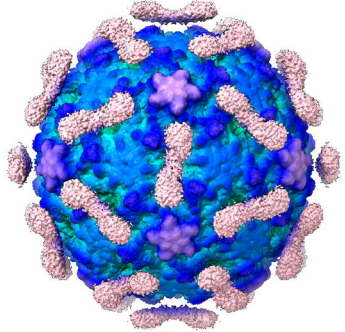
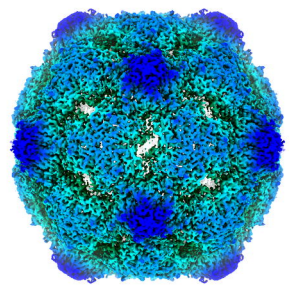
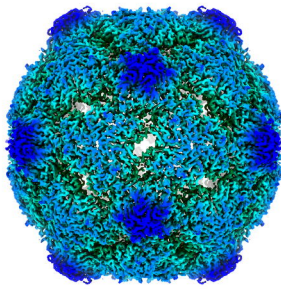
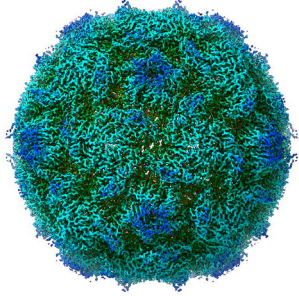
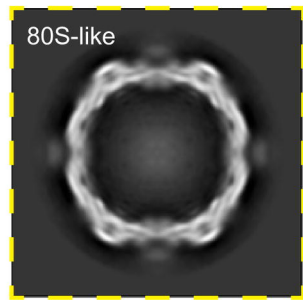
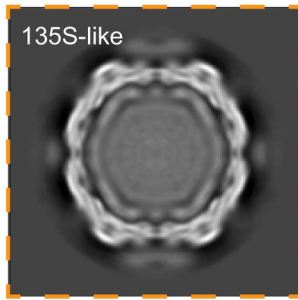
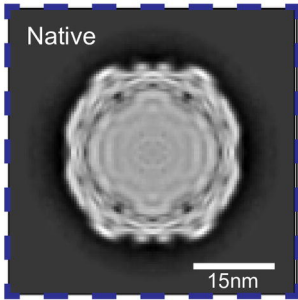
1080

1081 **Table S1: Data collection parameters and refinement statistics.** *The
1082 refinement statistics for the r135+m25 model are shown with the placeholder Fab
1083 and VP4 included as well as the capsid.

1084

1085

1086 **Table S2: List of residues that differ from the native structure.** *Differently
1087 ordered residues are those residues that significantly differ in position from the
1088 native model (1HXS) following a least squares superposition of individual capsid
1089 proteins.



b.

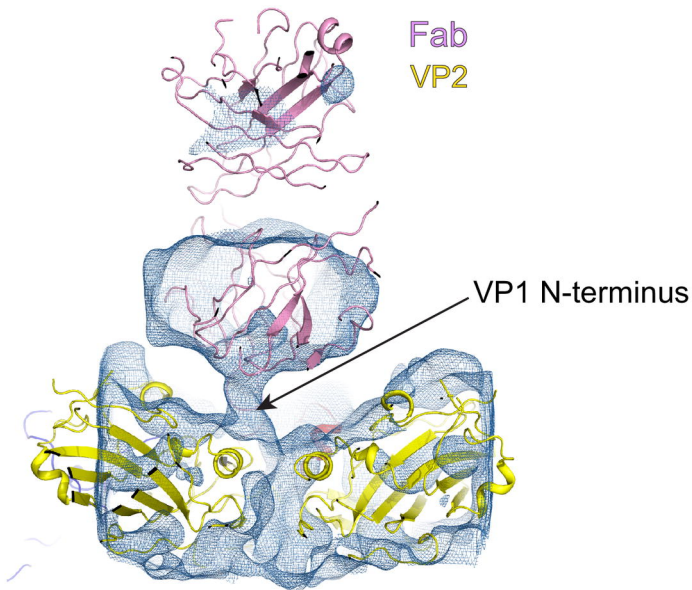


Figure 1: Anti-VP1 antibody trapped expanded particle

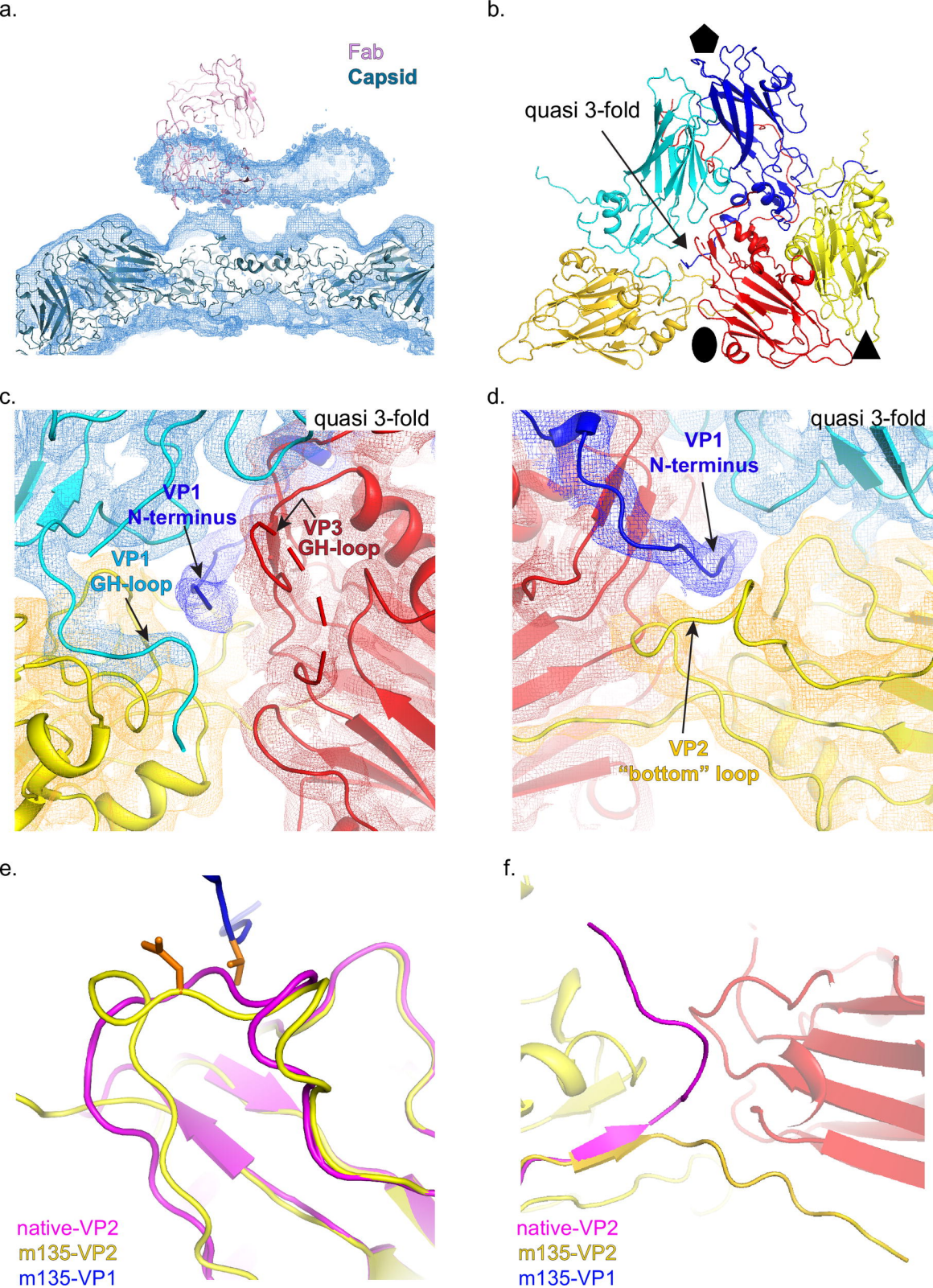
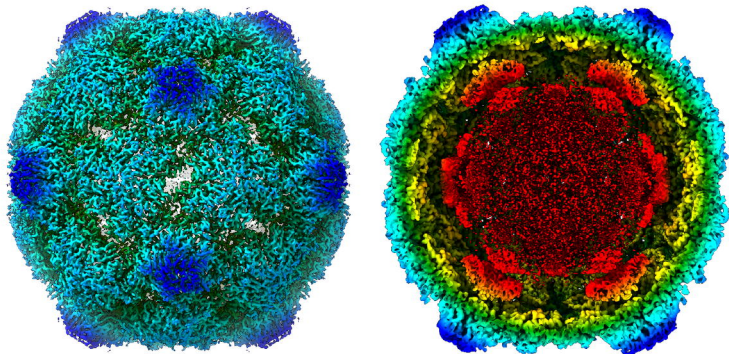
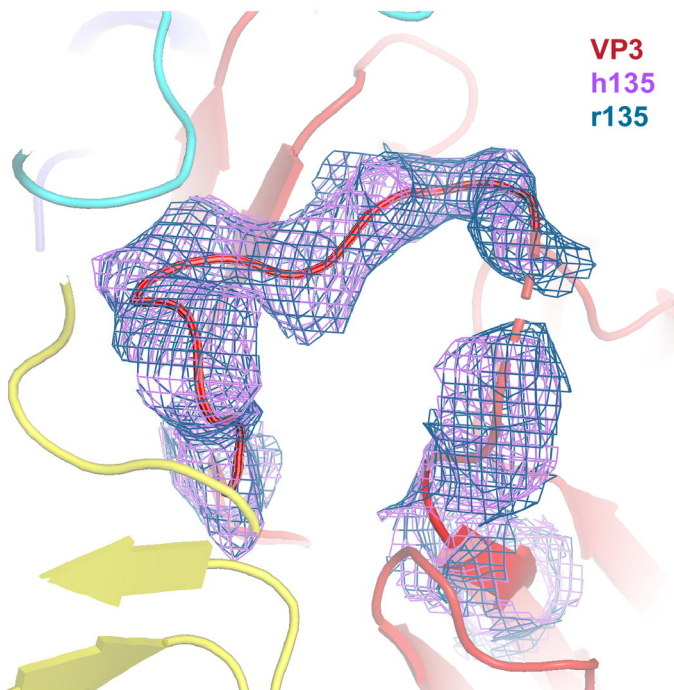


Figure 2: Anti-VP1 antibody trapped genome containing particle (m135) is similar to the canonical 135S particle.

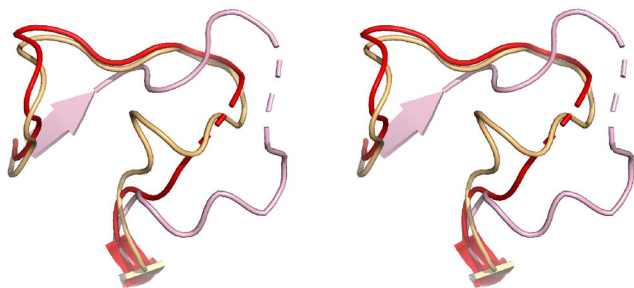
a.



b.

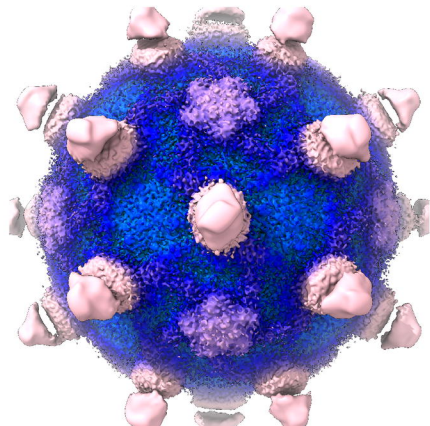
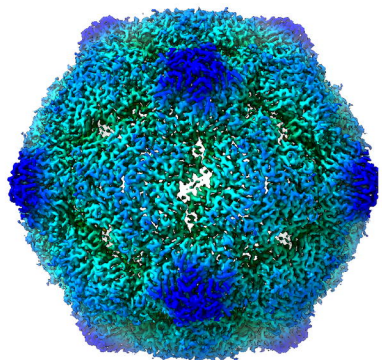


c.

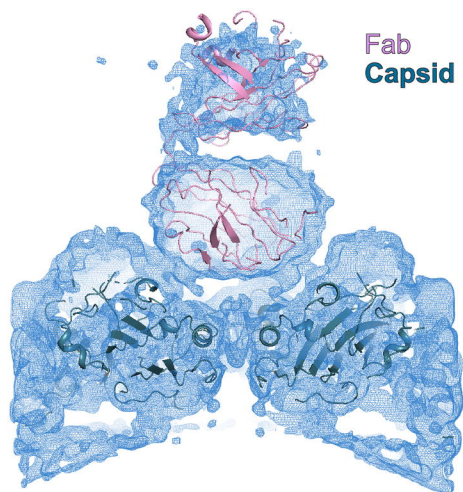


native r135 m135

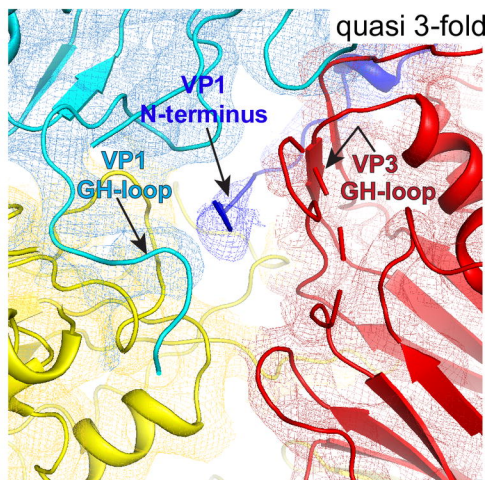
Figure 3: Receptor-catalyzed, and heat-triggered 135S particles (r/h135).



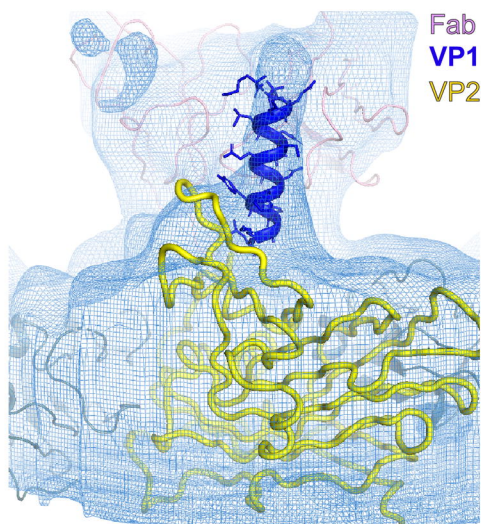
b.



c.



d.



90°
↻

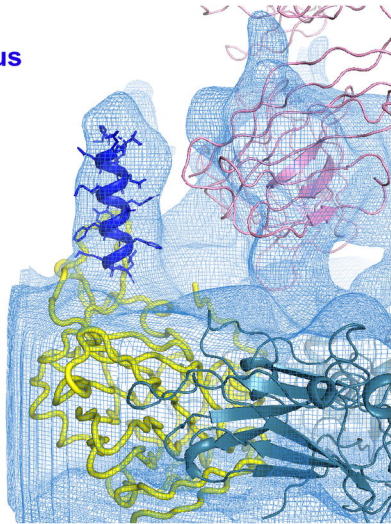


Figure 4: Receptor catalyzed 135S particle incubated with the anti-VP1 antibody at 25°C (r135+m25).

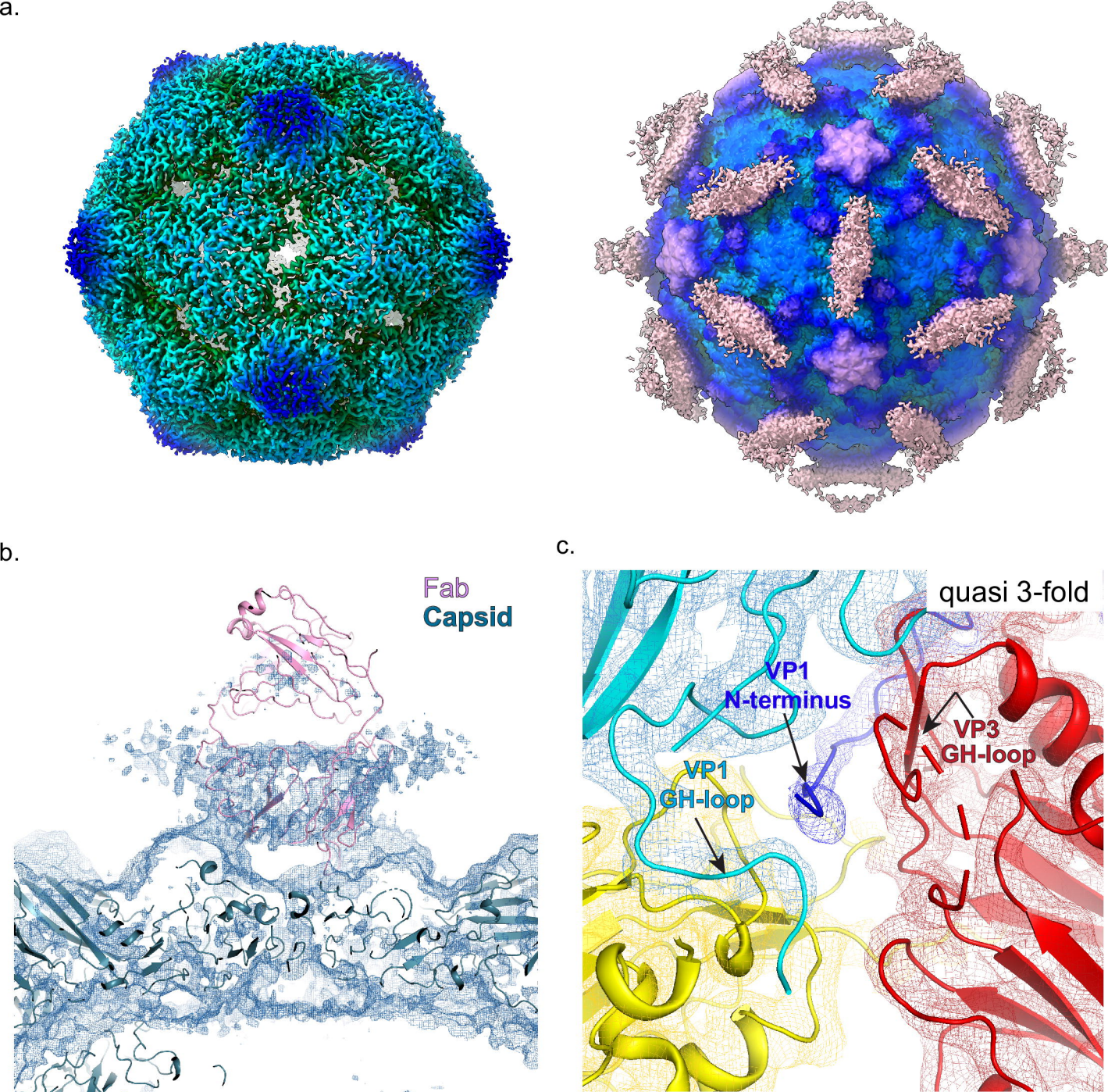


Figure 5: Receptor catalyzed 135S particle incubated with the anti-VP1 antibody at 37°C (r135+m37)

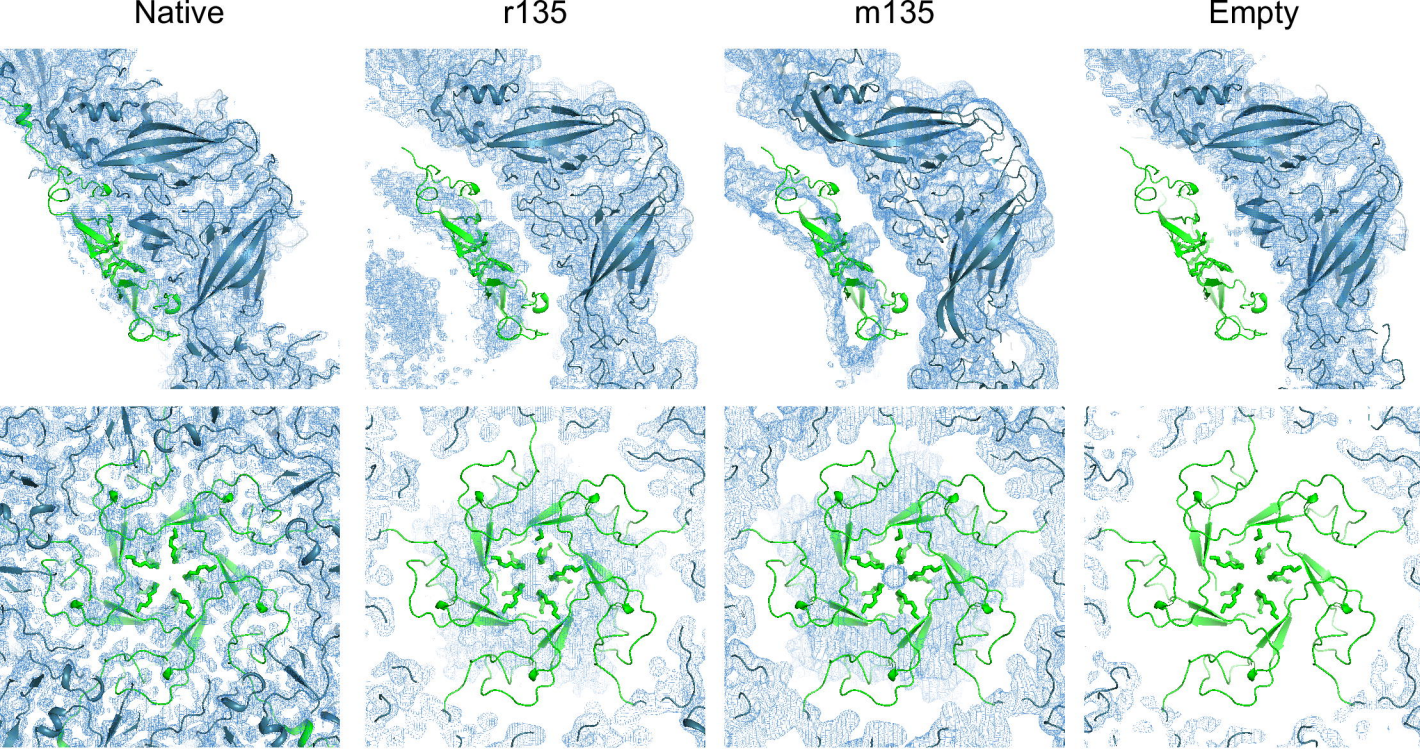


Figure 6: Location of VP4 before and after capsid expansion.

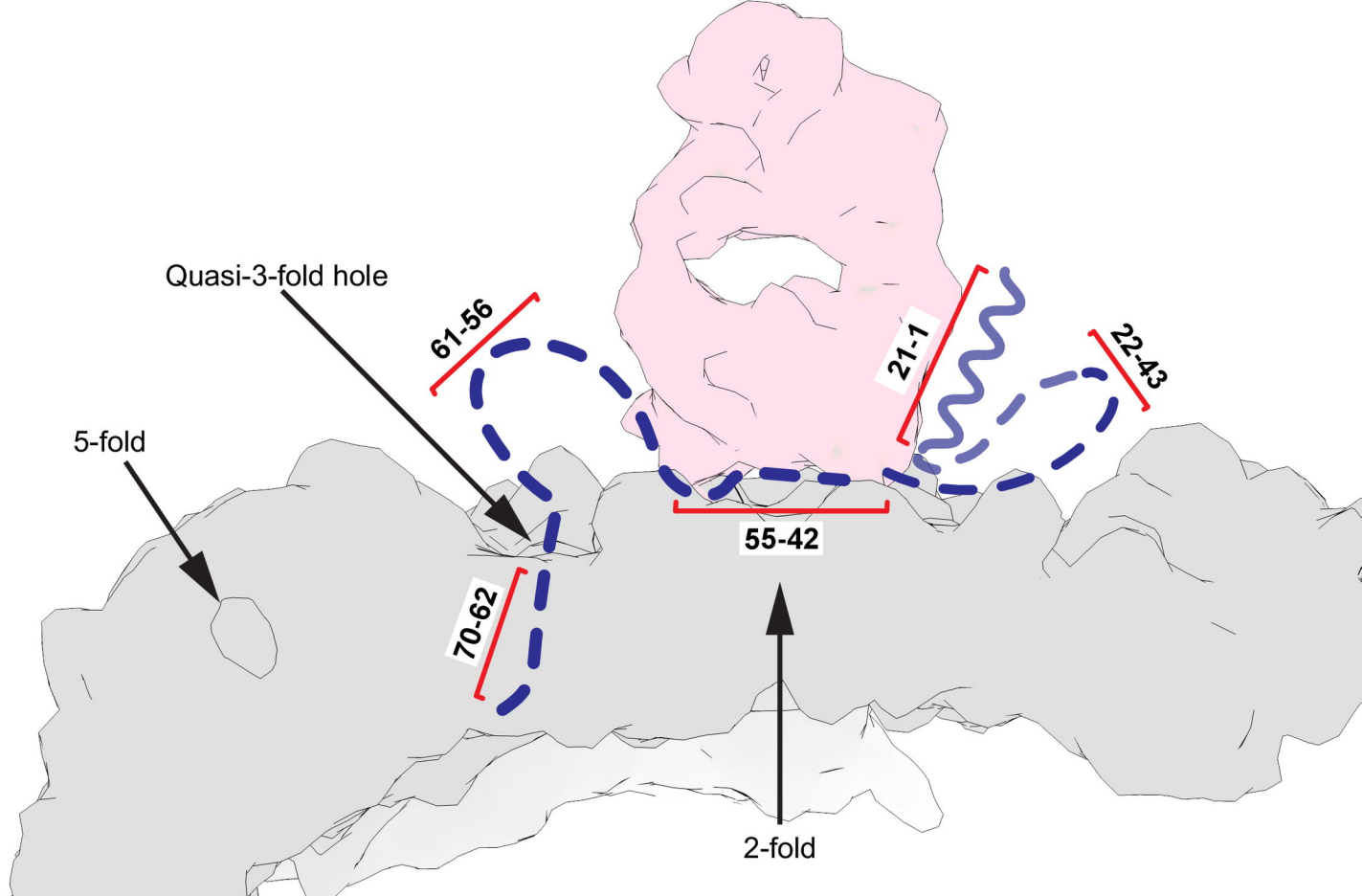


Figure 7: Schematic of VP1 N-terminal stabilization in the r135+m25 state.