1   **Maternally derived sex steroid hormones impact sex ratios of loggerhead sea turtles**

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Abstract

Global warming could drive species with temperature-dependent sex determination to extinction by persistently skewing offspring sex ratios. Evolved mechanisms that buffer these biases are therefore paramount for their persistence. Here, we tested whether maternally-derived sex steroid hormones affect the sex-determination cascade and provide a physiological mechanism to buffer sex ratio bias in the endangered loggerhead sea turtle (*Caretta caretta*). We quantified estradiol and testosterone in nesting females and their egg yolks at oviposition, before incubating nests in situ at standardised temperatures. Upon hatchling emergence, we developed a new, non-lethal method to establish the sex of individuals. Despite standardised incubation temperatures, sex ratios varied widely among nests, correlating non-linearly with the estradiol:testosterone ratio in egg yolks. Males were produced at an equal ratio, with females produced either side of this optimum. This result provides evidence that maternal hormone transfer forms a physiological mechanism that impacts sex determination in this endangered species.

Introduction

Fifty years after the discovery of environmental sex determination, our understanding of its evolutionary significance, underlying mechanisms and ecological consequences in the light of environmental change remains incomplete[1–5]. Most reptile and some fish species undergo temperature-dependent sex determination (TSD), in which gonad differentiation is regulated by temperature at a critical period of embryogenesis[6,7]. Some species produce males at moderate temperatures and females at hot and cold extremes (e.g. the American alligator *Alligator mississippiensis*[8], Type II TSD), but, more commonly, TSD species produce an increasing proportion of a specific sex across a range of incubation temperatures (Type Ia: Males at low temperatures, e.g. the painted turtle *Chrysemys picta*[9]; Type Ib: Females at low temperatures, e.g. the tuatara *Sphenodon punctatus*[10]). In all cases, both sexes are produced across a transitional range of temperatures, centered on a pivotal temperature at which both sexes develop in equal proportions. While the pivotal temperature varies among clutches within a population[11], studies have generally focused on quantifying population-level means as important proxies to estimate sex ratios and predict population dynamics[4,12,13]. These population-level proxies suggest that rising global temperatures present the potential for extreme sex ratio biases in TSD species, with implications for population dynamics and extinction risk[4].

The adaptive value of TSD is still debated, but fitness advantages under sex-specific thermal environments are predicted by the more favoured Charnov-Bull theory of differential fitness[14,15]. The postulates of this theory have been demonstrated in eggs of the Jacky dragon (*Amphibolurus muricatus*) that were experimentally treated with an aromatase inhibitor, constraining embryos to develop as males at female producing temperatures. These males showed lower lifetime reproductive success than controls[3]. While demonstrating the adaptive value of TSD, the use of an aromatase inhibitor to manipulate sex in this study also highlights the role of sex steroid hormones on the TSD mechanism[16–18].

Exogenous application of estradiol (E_2) to the incubating eggs of some species can feminise TSD embryos incubated at male-producing temperatures[19–22]. In addition, the application of

62 testosterone (T), the precursor androgen of E_2, can also feminise embryos via the synthesis of

63 E_2 from T by the aromatase enzyme [20,23], and indeed the use of aromatase inhibitors can force

64 male development[24,25]. Both temperature and exogenous treatment with E_2 activate the same

65 molecular pathways, altering the transcription of the chromatin modifier gene *Kdm6b*, and

66 conferring sensitivity to a sex-determining gene, *Dmrt1*[2].

67 In model TSD species that exhibit Type 1a TSD, such as the slider (*Trachemys scripta*) and

68 the painted turtle, maternal transfer of sex steroid hormones into eggs varies seasonally[16,26].

69 Elevated concentrations of maternal investment in yolk E_2 and greater E_2:T ratios increase the

70 likelihood of feminisation at a given temperature, effectively reducing the pivotal temperature

71 of a clutch by providing substrate that will prime the activation of female-producing

72 molecular pathways[16,26]. Should these patterns be found in non-model species, variation in

73 maternal hormone transfer to eggs could be a universal mechanism to (i) change the threshold

74 at which temperature affects an individual's sex development, (ii) modify the sex ratio of the

75 clutch, and (iii) possibly buffer against the negative effects of rapid global temperature

76 increase.

77 There is a particular need to understand the impacts of climate change on the demographics of

78 threatened species. As a consequence of rising temperatures, extreme feminisation of sea

79 turtle populations has been forecast by the end of the century[4,27–29]. Some studies suggest

80 effects are already visible in adult populations[28]. There has been much study into how

81 behavioural responses, such as modified phenology or nest site selection, may mitigate the

82 effects of a warming environment[30,31], but no overall trends preventing extirpation are visible.

83 There has been, however, little consideration for physiological mechanisms that may increase

84 variation in the pivotal temperature, and therefore on sex ratio. Understanding possible

85 physiological mechanisms has been constrained in sea turtles in particular by the lack of non-

86 lethal methods to sex neonates (but see[32]). This issue is especially important for endangered

87 populations, where sacrificing individuals is not possible.

88 Here, we tested whether maternally-derived sex steroid hormones affect the sex-

89 determination cascade and the resulting offspring sex ratios in an endangered sea turtle

90   population. Focusing on loggerhead turtles (*Caretta caretta*) nesting in the Cabo Verde

91   archipelago, we standardised the thermal environment of clutches in an experimental field

92   hatchery, exposed to natural conditions. Should temperature be the sole driver of sex

93   determination, similar sex ratios among clutches would be expected under these standardised

94   thermal conditions. Alternatively, any inter-clutch variation would arise from intrinsic

95   characteristics of the eggs, such as maternally-derived hormones. To test these hypotheses,

96   we quantified E_2 and T concentrations in the plasma of nesting females, their egg yolks and

97   neonates. We developed a non-lethal sexing method using circulatory hormone profiles of

98   neonates, and determined the clutches' sex ratios. Inter-clutch variation in sex ratio was then

99   linked to yolk hormone concentrations. Finally, we illustrate how maternal hormone transfer

100 impacts sex ratio in the face of IPCC climate change predictions, by re-parameterising a

101 previously used mathematical model[4] to forecast the future population dynamics of this

102 endangered nesting aggregation.

103

104 **Results**

105 This study focused on loggerhead turtles nesting on the island of Boavista in the Cape Verde

106 archipelago. First, using enzyme-linked immunosorbent assays (ELISA – Enzo LifeSciences),

107 we quantified concentrations of the sex steroid hormones E_2 and T in both the blood plasma

108 of 26 nesting females and up to two of their eggs directly after oviposition. Clutch sizes were

109 recorded at this time. High levels of individual variation were observed in adult plasma

110 hormone levels (SI appendix, Table S1), with a mean T concentration of 1148.48 ± 148.63

111 (SE) pg/ml, a mean E_2 concentration of 235.79 ± 22.71 (SE) pg/ml, and a mean E_2:T ratio of

112 0.32 ± 0.05 (SE). Linear models (LM) showed positive correlations between E_2 and T in both

113 the female plasma (SI Appendix, Fig. S1A, $F_{1,16} = 4.608$, $p = 0.048$) and their egg yolks (SI

114 Appendix, Fig. S1B, $F_{1,23} = 7.338$, $p = 0.013$). In reptiles, maternally derived hormones are

115 constant across all eggs of a given clutch[33], which we confirmed with a subset of clutches

116 where two egg yolks were analysed (Paired t-tests: T: df = 11, t = 0.224, p = 0.827; E_2: df =

117 10, t = -0.885, p = 0.397; E_2:T: df = 9, t = -1.173, p = 0.271).

5

118   There was a significant non-linear correlation between T concentrations in adult plasma and

119   egg yolks (SI appendix, Fig. S2A: LM: $F_{1,14}$ = 5.263, p = 0.038), where concentrations of yolk

120   T were lowest in eggs originating from females with intermediate levels of plasma T, but did

121   not correlate with clutch size (SI appendix, Fig. S2B: $F_{1,14}$ = 0.032, p = 0.862). In contrast,

122   adult female plasma E_2 concentrations were not correlated with E_2 in the egg yolk (SI

123   appendix, Fig. S2C: LM: $F_{1,21}$ = 0.908, p = 0.351), but as clutch size increased, yolk E_2

124   concentrations significantly decreased (SI Appendix, Fig. S2D: LM: $F_{1,21}$ = 4.945, p = 0.037).

125   The maternal E_2:T ratio showed a non-linear relationship with the E_2:T ratio in the egg yolk

126   (SI Appendix, Fig. S2E: $F_{1,14}$ = 6.493, p = 0.023), and was not correlated with clutch size (SI

127   Appendix, Fig. S2F: $F_{1,14}$ = 1.682, p = 0.215).

128   Immediately after oviposition, the clutches of these 26 females and two others (n = 28) were

129   relocated into an *in-situ* experimental hatchery that was protected from terrestrial predation,

130   yet exposed to natural sand and weather conditions. We buried clutches at a depth of 55 cm to

131   standardise the thermal incubation environment. We confirmed the standardised thermal

132   environment using data loggers placed at the centre of the clutch (mean thermosensitive

133   period temperature = 30.02 ± 0.05 (SE) °C, SI Appendix, Fig. S3). The small amount of

134   temperature variation observed was explained by differences in clutch size ($F_{1,26}$ = 4.418, p =

135   0.045), resulting from increased metabolic heat produced from more developing embryos in

136   larger clutches[34]. Assuming the pivotal temperature of this population to be 29 °C, as has

137   previously been used for this population[4], this incubation temperature would produce 12.89 ±

138   0.01 (SE) % male offspring if temperature was the sole determinant of sex ratio (Fig. 1A).

139   Incubation duration, the time between oviposition and neonate emergence, is also often used

140   as a proxy to predict offspring sex ratios (e.g. r^2 = 0.73 in nests in Brazil[35]) and was recorded

141   for each clutch[35]. Using the established logistic relationship between incubation duration and

142   sex ratio observed in loggerhead turtles from Kyparissia, Greece (Fig. 1B, the closest location

143   where the relationship between incubation duration and offspring sex ratio has been

144   quantified for loggerhead turtles), the predicted sex ratio of our study clutches would be 47.5

6

145   ± 6 (SE) % males[36]. This suggests that levels of sex ratio variation are far greater than those

146   we would expect from temperature alone.

147   While the incubation duration represents a reasonable proxy for estimating the sex ratio of sea

148   turtle offspring, currently the only accurate method to resolve individual sex requires

149   sacrificing neonates and histological examination - a limiting factor for endangered

150   populations[35,37]. However, we developed a new method to ascertain individual sex without the

151   need to sacrifice animals. After taking $100 - 150$ µl of blood from 365 offspring from 28

152   clutches after emergence (mean offspring per clutch = 13 ± 4 (SE)), we measured plasma

153   hormone concentrations using ELISA. Hatchling hormone levels varied among individuals

154   (SI Appendix, Table S1) and among clutches, with the average E_2:T ratio of clutches ranging

155   from 1.06 ± 0.13 (SE) to 3.56 ± 0.68 (SE). We used affinity propagation clustering (APC) on

156   hatchling E_2:T ratios guided by incubation duration to identify clusters of individuals with a

157   similar hormonal phenotype. APC iteratively considers the similarity of a data point to its

158   neighbours. Importantly, it does not require the number of possible clusters to be defined *a*

159   *priori,* as is necessary for other clustering approaches such as k-means[38]. We identified three

160   APC clusters (Fig. 2A). Two of these originate from clutches with short incubation durations,

161   the classic trait of female neonates, and were distinguished by differences in their mean E_2:T

162   ratio (SI Appendix, Fig. S4, Cluster 1: mean = 4.45 ± 0.26 (SE), Cluster 2: mean = $1.72 \pm$

163   0.05 (SE), t-test: df = 44.08, t = 10.273, p < 0.001). The third group is formed by individuals

164   from clutches with longer incubation durations (t-test: df = 299.3, t = -32.933, p < 0.001) and

165   a low E_2:T ratio (SI Appendix, Fig. S4, mean = 1.52 ± 0.06 (SE)), the characteristics of male

166   sea turtle neonates.

167   Several positive theoretical controls were used to confirm this method since neonate

168   sacrificing is not possible. First, linear mixed effect models (LMM) using clutch ID as a

169   random factor revealed significant differences in hormone levels between the two sexes, that

170   were directly comparable to previous studies in which individuals' sex was confirmed

171   through histology[39,40]. As expected, T levels were higher in males (Fig. 2Bi, LMM: $F_{1,60}$ =

172   10.673, p = 0.002, mean = 63.63 ± 2.89 (SE) pg/ml) than in females (mean = 52.54 ± 2.34

173   (SE) pg/ml), and conversely E_2 levels were higher in females (Fig. 2Bii, LMM: $F_{1,57}= 7.521$,

174   p = 0.008, mean = 92.94 ± 3.06 (SE) pg/ml) than in males (mean = 81.66 ± 3.16 (SE) pg/ml),

175   as was the overall E_2:T ratio (Fig. 2Biii, LMM: $F_{1,48} = 28.652$, p < 0.001, females: mean =

176   2.22 ± 0.09 (SE); males: mean = 1.52 ± 0.06 (SE)). LMMs did not detect any difference in

177   weight ($F_{1, 348} = 0.024$, p = 0.878) or size ($F_{1, 218} = 0.766$, p = 0.382) between the sexes, as

178   would be expected under these conditions by the Charnov-Bull theory[14]. Second, by

179   combining individual offspring sex into an estimate of clutch sex ratio, and comparing this to

180   the incubation duration, we found the specific logistic regression curve that characterises

181   incubation durations in Type Ia TSD species (Fig. 2C). The pivotal duration was fitted to a

182   value of 57.25 days (95% CIs: 57.09, 57.43), with a transitional range of incubation durations

183   of 2.15 days (95% CIs: 1.52, 2.77). Importantly, if individual sex were incorrectly assigned,

184   this distinctive logistic regression curve of TSD species would not be seen. With this method,

185   we determined that clutch sex ratios were on average 40.49 ± 8.98 (SE) % male. This

186   suggests 26.1% more males and far more variation in clutch sex ratio than would be expected

187   based on incubation temperatures alone. Our sex ratio estimate is slightly below (7.1%) that

188   estimated from parameters based on incubation durations in Kyparissia, suggesting

189   population differences in development rate exist, likely as a result of different average pivotal

190   temperatures among rookeries.

191   After establishing that the inter-clutch variation in sex ratio (and also in incubation duration,

192   see SI Appendix Supplementary Analysis) was too great to be produced by temperature alone,

193   we tested whether metabolic heat and/or maternal hormone transfer in the yolk predicted

194   incubation duration and the estimated sex ratio. Yolk T correlated negatively with both

195   incubation duration (LM, $F_{1,22} = 10.624$, p = 0.003) and the proportion of males produced

196   within a clutch (Fig. 3A, Binomial generalised linear mixed effect models (GLMM), $x^2 =$

197   4.371, df = 1, p = 0.037), but metabolic heat had no detectable effect (incubation duration

198   model: $F_{1,22} = 2.436$, p = 0.133, sex ratio model: $x^2 = 2.111$, df = 1, p = 0.146). There was no

199   relationship between yolk E_2 and incubation duration or clutch sex ratio (Fig. 3B, incubation

200   duration: $F_{1,23} = 3.169$, p = 0.088, sex ratio: $x^2 = 0.183$, df = 1, p = 0.669), yet the yolk E_2:T

201 ratio showed a non-linear relationship with both incubation duration (Fig. 3C, $F_{1,21}$ = 12.882,

202 p = 0.002) and sex ratio independently of temperature (x^2 = 39.319, df = 2, p < 0.001). A

203 maximum incubation duration of 57.2 days was observed at an equal hormone ratio (E_2:T of

204 1.05, y = -7.8x^2 + 16.3x + 48.7) with the highest levels of male offspring developing at this

205 point. Because of the presence of a possible outlier with a high yolk E_2:T ratio, we re-

206 analysed the data without this point. The same patterns remained with significant non-linear

207 relationships between yolk E_2:T ratios and incubation duration ($F_{1,20}$ = 6.292, p = 0.021) as

208 well as between yolk E_2:T ratios and hatchling sex ratios (x^2 = 7.584, df = 2, p = 0.022).

209 Male offspring production was highest when maternal investment of E_2 and T to the yolk was

210 equal. Asking whether the production of either sex is more costly in terms of total hormone

211 investment, we compared the total hormone concentration (E_2 + T) with the overall E_2:T ratio.

212 This relationship was again non-linear, with total hormone investment being highest when the

213 E_2:T ratio was unequal (SI Appendix, Fig. S5A, LM: $F_{2,22}$ = 4.951, p = 0.017), suggesting that

214 producing females requires more maternal investment than males. The total hormone

215 investment also showed a non-linear relationship with clutch size (SI Appendix, Fig S5B,

216 log(E_2 + T): $F_{2,22}$ = 4.306, p = 0.026), with an initial increase in investment across clutch sizes

217 between 65 and 75 eggs, after which investment declined with increasing clutch size.

218 Finally, to illustrate how maternal hormone transfer could impact population dynamics, we

219 re-parameterised a previously published mathematical projection of neonate sex ratios for the

220 Cape Verde population[4], which assumed a fixed pivotal temperature of 29 °C. We made the

221 simple assumption that the effect of maternally derived hormones on sex ratio is constant

222 across a thermal gradient and applied the 26.1% observed difference in male offspring

223 production for the coming century (Fig. 4). With a mechanism of this possible strength, the

224 population is unlikely to reach the levels of extreme feminisation previously forecasted –

225 instead of female production reaching over 97% in 2100, it is likely to instead reach

226 approximately 71%. As it remains to be determined how maternal hormone transfer interacts

227 with different incubation temperatures, this model only illustrates the potential importance of

228 trans-generational hormone transfer for population dynamics.

229

230 **<u>Discussion</u>**

231 It is widely speculated that global warming can drive species with temperature-dependent sex

232 determination to extinction because of the over-production of one sex. But, given the many

233 considerable historical shifts in climate experienced by TSD species, they are likely to have

234 evolved behavioural and/or physiological mechanisms to avoid unviable biases in offspring

235 sex ratio[5]. By experimentally standardising the thermal environment of loggerhead sea turtle

236 nests *in-situ*, we investigated whether maternally derived hormones correlate with offspring

237 sex independently of temperature. First, we developed a non-lethal method to determine the

238 sex of neonates upon their nest emergence, using affinity propagation clustering based on

239 individual circulatory sex steroid hormones and their incubation duration. With this method,

240 we found a non-linear relationship between the clutch sex ratios and the ratios of maternally

241 derived E_2:T within the egg yolks under standardised thermal conditions. Low concentrations

242 of equal investment in both hormones within the yolk maximise the production of male

243 offspring, while increasing the concentration of either E_2 or T, along with overall hormone

244 investment, feminises the clutches. Re-parameterising an existing model that predicts sex

245 ratio biases in response to climate change demonstrated that this trans-generational

246 mechanism could prevent the predicted extreme feminisation of loggerhead turtles in Cabo

247 Verde.

248 To date, an inability to determine neonate sex non-lethally has constrained the study of TSD

249 mechanisms in endangered sea turtles (but see[32]). A clustering approach that identifies

250 individuals with similar phenotypes (here hormone profiles) that match control traits of male

251 and female offspring (incubation duration) overcame this problem. Using E_2:T thresholds to

252 define neonate sex has been verified with histological analysis in loggerhead[40] and green[39]

253 turtles, but as E_2:T levels vary considerably among clutches, it is difficult to delineate a

254 population level threshold *a priori*. Using an APC method guided by incubation duration to

255 group hormone profiles, a common proxy for sex ratio, we avoid the need to define thresholds

256 and, importantly, the need to sacrifice individuals[35]. Because ethically we cannot validate this

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257   method further, we rely on strong indirect evidence, such as (i) the identified significant

258   difference in circulating E_2:T ratios of male and female offspring (ii) the pivotal duration both

259   match those reported in other studies[36,39–42], and (iii) the relationship between sex ratio and

260   incubation duration fits the known logistic regression curve observed in Type Ia TSD species.

261   We anticipate that this non-lethal approach will prove an invaluable tool for both research on

262   TSD in sea turtle species and also for wider conservation.

263   Despite the standardised thermal environment of clutches within this experiment, high levels

264   of variation in incubation duration and sex ratio were observed among nests, both of which

265   correlated with maternally derived hormones within the egg yolk. The relationship between

266   the yolk E_2:T ratio and clutch sex ratio was best described by a quadratic curve, centred on an

267   equal concentration of both hormones and ranging from 0.37 to 1.73. When maternal

268   investment of E_2 and T was equal, incubation durations were long, and males were produced.

269   If hormone investment was biased in either direction, sex ratios became increasingly

270   feminised. The effects of elevated levels of both E_2 and T on sex ratios in this study are

271   consistent with experimental manipulation of these hormones in other species[2,20,22]. In

272   addition, the non-linear relationship explains studies where exogenous application of E_2 has

273   unexpectedly produced male hatchlings (e.g.[43,44]). In such cases, E_2 application, combined

274   with existing maternal contributions, may have resulted in shifting the E_2:T ratio within the

275   eggs closer to one, forcing male development. Interestingly, in all other reptiles for which

276   data are available, the E_2:T ratios that are transferred to the yolk consistently remain below or

277   above the ratio of one[45]. Thus, our study shows how maternal transfer of both hormones can

278   influence the feminisation process of reptiles under natural conditions.

279   Total hormone concentrations within the yolk were lowest at an equal, male producing, E_2:T

280   ratio. If this ratio departed from 1:1 in either direction, total concentrations of yolk hormones

281   increased. As E_2 and T positively correlate within the egg, if investment in either hormone is

282   elevated, there is an associated increase in the other. The outcome is that greater investment is

283   required to skew E_2:T ratios in a manner that favours the production of female offspring. This

284   maternal investment provides initial hormonal substrate with which to prime the reactions

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285 required to activate molecular pathways that result in female gonad development. When E_2:T

286 ratios are skewed, and total hormone concentrations are high, feminisation is easily achieved

287 through either the presence of E_2 directly, or by the synthesis of E_2 from its precursor, T, by

288 the aromatase enzyme. When E_2 and T are in equilibrium, low concentrations of E_2 are not

289 sufficient to feminise the clutch. However, product-feedback inhibition of aromatase

290 receptors likely prevents further E_2 being synthesised from T, and consequently male

291 offspring are produced.

292 There is no doubt that temperature is the primary determinant of sex in TSD species, but

293 studies have repeatedly recorded variation in sex ratios among clutches exposed to similar

294 temperature regimes[32,46]. Maternally-derived E_2 and T can affect the same developmental

295 pathways as temperature, and explain some of this variation by priming reactions required to

296 initiate female producing pathways[2,22]. Interestingly, the effects of these hormones on sex

297 determination vary by experiment and species, likely as the results of adaptation to local

298 nesting conditions (e.g.[43,44]). However, here we show that in sea turtles, a clutch specific

299 threshold exist for feminisation that is the product of an interaction between temperature and

300 maternal hormone transfer. A shift towards an equal E_2:T ratio and lower maternal investment

301 will increase the pivotal temperature away from the feminisation threshold, and consequently

302 warmer temperatures would be required to feminise a clutch. This aligns with the sex ratios

303 observed within this study, which contained 26.1% more males than expected from a pivotal

304 temperature of 29 °C. However, this mechanism will be constrained by physiological limits of

305 maternal hormone investment. Our findings provide mechanistic explanations for the high

306 inter-clutch variation that has been observed in TSD systems (eg[11,46]), and also clarify

307 occasions where female offspring have been produced at assumed male producing

308 temperatures, or vice versa[32].

309 Two maternal traits show a relationship with levels of hormone transfer to the clutch. Firstly,

310 T concentrations within the yolk correlated non-linearly with those in maternal plasma.

311 Disentangling the cause of such a relationship is complex as it is likely to result from multiple

312 physiological cascades[47]. As vitellogenesis and follicular development in sea turtles occurs

12

313   prior to migration, it is also likely that T concentrations in maternal plasma varies when yolks

314   is formed[48]. However, this relationship does allow us to link T investment to maternal state.

315   Should maternal T vary in response to environmental cues, as in the spined toad (*Bufo*

316   *spinosus*), it may allow nesting females to plastically match the individual development

317   threshold of feminisation to the ambient temperature, and maintain more constant sex ratios

318   across a nesting season[49]. Similar differences in the maternally-transferred E_2:T ratio in the

319   egg yolk of a population of painted turtles resulted in a seasonal shift of the pivotal

320   temperature, albeit in a direction that accelerated female production as temperatures

321   increased[16]. Secondly, total E_2 investment within eggs decreased as clutch size increased, and

322   total hormone concentrations were low in large clutches. Thus, in large clutches with more

323   metabolic heat production, the developmental threshold of feminisation is increased –

324   minimising sex bias. We infer from these results that there are two distinct mechanisms that

325   can affect the ratio of E_2:T within the yolk, which explains how elevated investment in either

326   hormone can lead to feminisation. There is considerable variation in circulating T and E_2

327   levels between sea turtle populations and species (SI Appendix, Table S1), which may

328   suggest an element of local adaptation in response to environmental conditions, and a

329   heritable component of baseline physiological levels[50].

330   TSD species will require behavioural and/or physiological responses to maintain viable sex

331   ratios in the face of future climate change. Here, we highlight a previously under-considered

332   physiological mechanism for individual variation in the TSD process within sea turtle species.

333   There is a need for management plans that use temperature-based models to predict future sex

334   ratios to account for maternal hormonal influence, as this will have considerable implications

335   for population dynamics.

336

337   **Methods**

338   *Sample Collection*

339   We studied nesting loggerhead sea turtles on the island of Boavista, part of the Cabo Verde

340   archipelago in the eastern Atlantic. The sampling site (15°58'18.6"N, 22°48'06.2"W) is a 400

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341   m stretch of coastline on the southern tip of this island. Twenty-eight nesting females were

342   sampled between 17 July and 1 August 2017. Immediately after oviposition, females were

343   individually marked with PIT (AVID) and metal (Inconel) tags[51]. Blood samples of 1-4 ml in

344   volume were collected from the dorsal cervical sinus of 26 females using a 40 mm, 21-gauge

345   needle and 5 ml syringe, and stored within lithium heparin containers. Finally, curved

346   carapace length (CCL) and width (CCW) were measured (± 0.1 cm).

347   The clutches of these turtles (containing 83 ± 3 (SE) eggs) were relocated to an experimental

348   hatchery protected from predation, situated on the nesting beach. At this point, up to two eggs

349   from the 28 clutches were removed from each clutch for yolk hormone analysis, and the rest

350   of the clutch was buried at a depth of 55 cm. By using a standard depth, temperature was

351   controlled for, while maintaining an otherwise natural environment. A TinyTag™

352   temperature logger was placed at the centre of each clutch, programmed to take a reading

353   every 15 minutes throughout the incubation period (accuracy ± 0.2 °C). As anticipated, the

354   uniform depth standardised the incubation temperature of the nests to 30.05 ± 0.05 (SE) °C

355   during the middle third of incubation, the period where embryo sex is established. This

356   variation in temperature is extremely conserved, and is representative of the thermal variation

357   produced within treatments under controlled laboratory incubations[52,53].

358   Upon emergence, twenty hatchlings were randomly selected for blood sampling (100 – 150

359   μl) from the dorsal cervical sinus, using a 26-gauge needle and 1 ml syringe[54]. Samples were

360   stored within lithium heparin coated tubes. Notch-to-notch straight carapace length (SCL)

361   and, width (SCW) were measured using digital callipers (± 0.01 mm), and weight was

362   measured with a digital scale (± 0.1 g)).

363   The blood samples of both the adults and offspring were refrigerated for up to 48 h before

364   being centrifuged to extract plasma. Egg yolks were separated from the albumen, and all

365   samples were stored at -20 °C until extraction.

366

367   *Hormone extraction*

14

368   Commercially available Enzyme-Linked Immunosorbent Assay (ELISA) kits for both E_2

369   (Catalogue # ADI-900-174, ENZO Life Sciences) and T (Catalogue # ADI-900-065) were

370   used to measure steroid levels in all samples. Details for hormone extraction protocols are

371   given in SI methods. Not all blood samples had sufficient volume for hormone extraction.

372   Consequently, we extracted E_2 from 24 adults and 388 hatchling blood samples, and T from

373   19 adult and 367 hatchling blood samples. This provided us with E_2:T ratios for 18 adult

374   females, and 365 hatchlings. E_2, and T were successfully extracted from the yolks of 26 out of

375   the 28 sampled clutches. One yolk T measurement was removed as an outlier, being more

376   than three standard deviations from the mean (mean: 741.26 ± 502.29 (SD) pg/g, outlier:

377   2882.05 pg/g).

378

379   *Statistical Analyses*

380   All analyses were conducted with R 3.3.3, using the R packages *lme4* and *lmerTest* for fitting

381   linear mixed models (LMMs) and generalized linear mixed models (GLMMs). A paired t-test

382   was used to compare intra-clutch E_2 and T levels between two eggs in a subset of clutches (n

383   = 13), to test whether that there was variation in hormone investment between eggs in a

384   clutch. As there was no difference between eggs from the same clutch, for subsequent

385   analyses the average hormone was used where possible, while a single egg was used for the

386   remainder of the clutches. Correlations between E_2 and T in female plasma and yolks, the

387   effect of clutch size on temperature, and the effects of metabolic heat and maternally derived

388   hormones on incubation duration were tested using general linear models (LM). A non-linear

389   relationship between the E_2:T ratio on incubation duration was fitted using a quadratic curve.

390   Similarly, when considering the relationship between E_2:T and total hormone investment, we

391   also fit a quadratic model. LMs were also used to estimate the correlation of clutch size and

392   plasma hormone concentrations with yolk hormone concentrations.

393   We used Algorithm Propagation Clustering (APC) to identify individual sex, using the R

394   package *apcluster*[38]. Cluster assignment was made based on the plasma E_2:T ratio of

395   hatchlings, guided by their incubation duration. Determining neonate sea turtle sex using the

15

396　E_2:T ratio has previously been extremely accurate (96% and 96.7% respectively) for

397　artificially incubated eggs of loggerhead and green sea turtles[39,40] that were ultimately

398　sacrificed for verification. Since variation likely exists among rookeries, those thresholds

399　however cannot be blindly applied to new populations. T-tests were used to compare hormone

400　levels of putative male and female hatchlings. A response curve of these estimated sex ratios

401　to incubation duration was produced using the logistic equation function of the R package

402　*embryogrowth* to further verify the accuracy of our non-lethal sexing method.

403　After identifying the sex of individuals, LMMs were used to compare individual size and

404　weight between the sexes and the APC clusters. Finally, we used binomial GLMMs to

405　determine whether individual hatchling sex was predicted by maternal hormone investment or

406　temperature. For all LMM and GLMM analyses, clutch was included as a random factor to

407　account for individual variation. Model selection was based on AIC criteria, using a

408　likelihood ratio tests to select for the best models. P-values of the selected models were

409　obtained by with the *car* R package, and models were verified for over-dispersion.

410　Thermal estimates of sex ratio were calculated using the equation first presented by Girondot

411　in 1999 with the R package *embryogrowth*, under an assumed pivotal temperature of 29 °C[55].

412　Estimates of sex ratio based on incubation duration were made based on data from a study on

413　a neighbouring loggerhead sea turtle population that nests in Kyparissia, Greece, and was

414　confirmed with histology[36]. To generate an illustrative model that compared the results of our

415　study with future predictions based on temperature alone, we extracted data from a previously

416　published study predicting sex ratios until 2100 based on a fixed pivotal temperature of 29 °C

417　alone. We then compared our observed mean clutch sex ratio to that expected from a pivotal

418　temperature of 29 °C, and added the difference, along with 95% confidence intervals, to the

419　original prediction.

420　

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434

Author Contributions

436   E.L. and C.E. designed the experiment. T.R. facilitated the fieldwork. E.L. and C.E.

437   conducted the fieldwork. E.L. analysed the data and drafted the initial manuscript, with

438   feedback from C.E.. All authors approved the manuscript.

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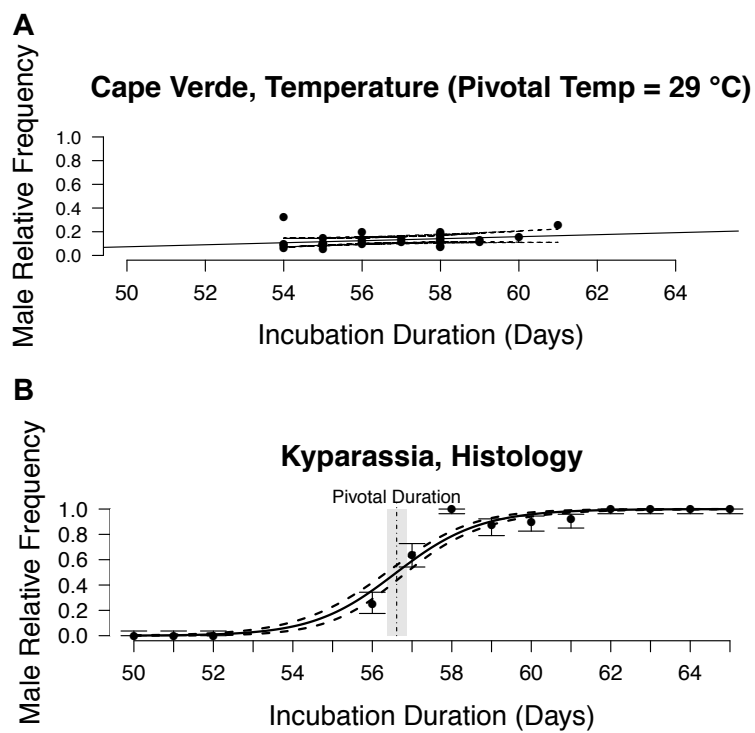
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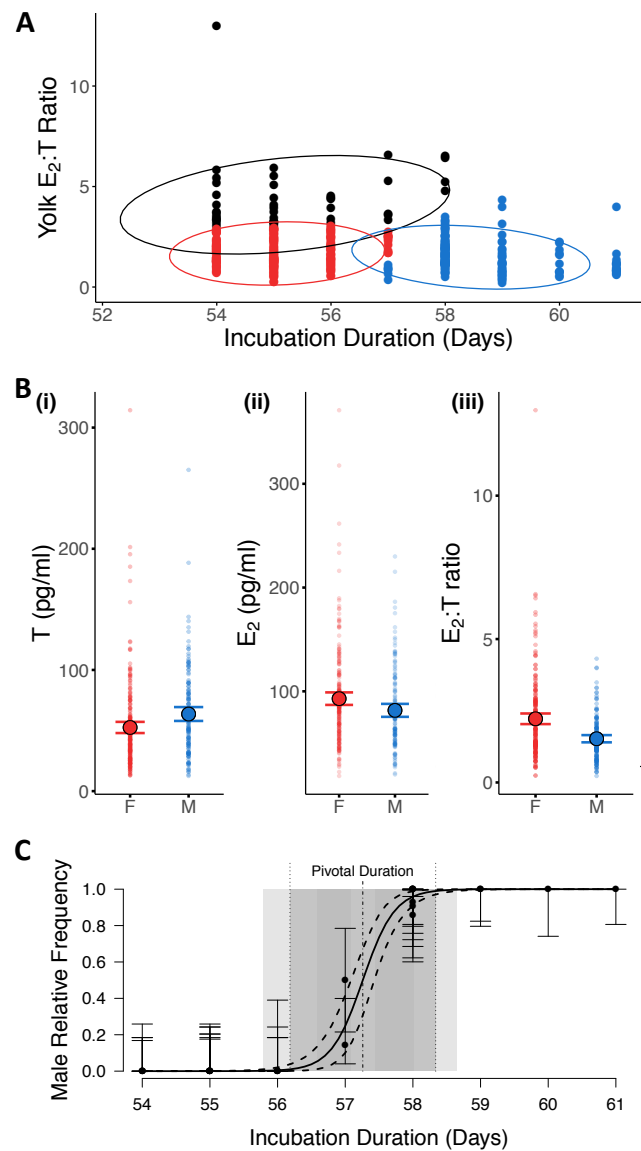
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621   **Figures**

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623   **Fig. 1: Sex ratios of study clutches A) as would be expected with a pivotal temperature**

624   **of 29 °C B) based on the relationship between incubation duration and clutch sex ratios**

625   **in Kyparassia**

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Fig. 2: Individual sex as calculated by affinity propagation clustering (APC). A) APC identifies three different clusters based on individual E_2:T ratio and clutch incubation duration. These clusters equate to female (red and black) and male (blue) offspring; B) Significant differences in the concentrations of T ($F_{1,60} = 10.673$, p = 0.002), E_2 ($F_{1,57} = 7.521$, p = 0.008) and the E_2:T ratio ($F_{1,48} = 28.652$, p < 0.001) between male and female offspring (mean, 95% confidence intervals and raw data are shown); C) Frequency of male offspring estimated by APC in relation to incubation duration. The pivotal duration was estimated at 57.25 days.
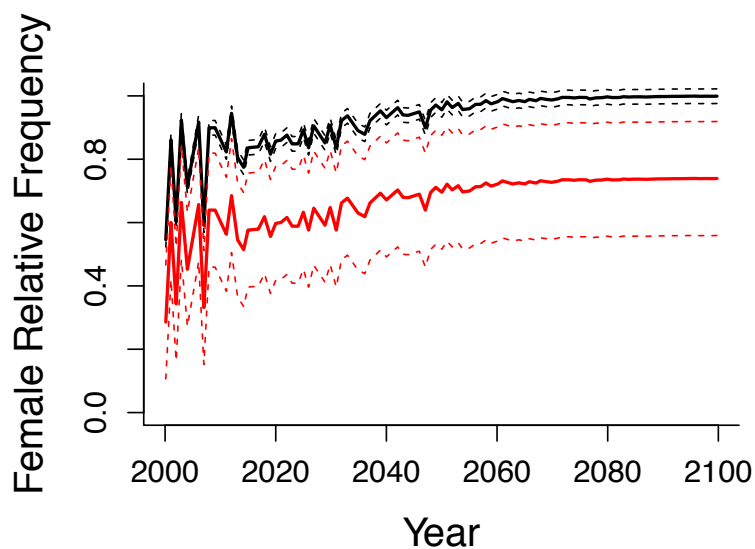
% Female • 0 ● 25 ● 50 ● 75 ● 100

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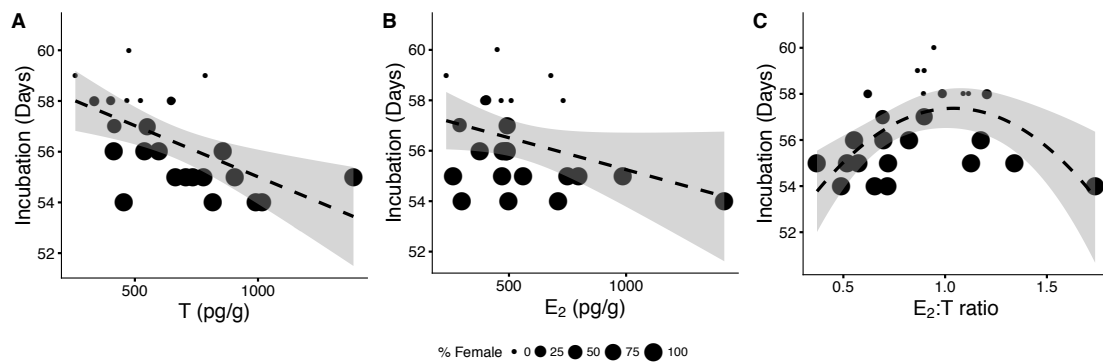
Fig. 3: Relationship between maternally derived A) Testosterone T ($F_{1,22}$ = 10.624, p = 0.003), B) Estradiol E_2 ($F_{1,23}$ = 3.169, p = 0.088) and C) E_2:T ratio ($F_{1,21}$ = 12.882, p = 0.002) and concentrations within egg yolks and incubation duration. Size of data points relates to the sex ratio as proportion of females as determined by APC.

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Fig. 4: The population sex ratio of Cape Verde over the next century if it was determined by temperature alone [4] (black) and incorporating the effect of hormones observed here on the sex determining mechanism (red) along with the 95% confidence intervals.

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