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Abbreviated title: NEURONAL ACTIVITY IN HUMAN SEIZURES

Neuronal firing and waveform alterations through ictal recruitment in humans

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1 ABSTRACT

2 Clinical analyses of neuronal activity during seizures, invariably using extracellular recordings, 3 is greatly hindered by various phenomena that are well established in animal studies: changes in 4 local ionic concentration, changes in ionic conductance, and intense, hypersynchronous firing. 5 The first two alter the action potential waveform, whereas the third increases the "noise"; all 6 three factors confound attempts to detect and classify single neurons (units). To address these 7 analytical difficulties, we developed a novel template-matching based spike sorting method, 8 which enabled identification of 1,239 single units in 27 patients with intractable focal epilepsy, 9 that were tracked throughout multiple seizures. These new analyses showed continued neuronal 10 firing through the ictal transition, which was defined as a transient period of intense tonic firing 11 consistent with previous descriptions of the ictal wavefront. After the ictal transition, neurons 12 displayed increased spike duration (p < 0.001) and reduced spike amplitude (p < 0.001), in 13 keeping with prior animal studies; units in non-recruited territories, by contrast, showed more 14 stable waveforms. All units returned to their pre-ictal waveforms after seizure termination. 15 Waveshape changes were stereotyped across seizures within patients. Our analyses of single 16 neuron firing patterns, at the ictal wavefront, showed widespread intense activation, and 17 commonly involving marked waveshape alteration. We conclude that the distinction between 18 tissue that has been recruited to the seizure versus non-recruited territories is evident at the level 19 of single neurons, and that increased waveform duration and decreased waveform amplitude are 20 hallmarks of seizure invasion that could be used as defining characteristics of local recruitment.

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22 SIGNIFICANCE STATEMENT

23 Animal studies consistently show marked changes in action potential waveform during epileptic 24 discharges, but acquiring similar evidence in humans has proved difficult. Assessing neuronal 25 involvement in ictal events is pivotal to understanding seizure dynamics and in defining clinical 26 localization of epileptic pathology. Using a novel method to track neuronal firing, we analyzed 27 microelectrode array recordings of spontaneously occurring human seizures, and here report two 28 dichotomous activity patterns. In cortex that is recruited to the seizure, neuronal firing rates 29 increase and waveforms become longer in duration and shorter in amplitude, while penumbral 30 tissue shows stable action potentials, in keeping with the "dual territory" model of seizure 31 dynamics.

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32 Introduction

A complete understanding of the mechanisms underlying seizure pathology and dynamics depends on knowledge of the local neuronal activity, and what is driving that activity. Comparative animal models have long been used to gain insights into the underlying neuronal activity during seizures (Purpura *et al.*, 1972; Fariello *et al.*, 1976; Grone & Baraban, 2015), with the paroxysmal depolarizing shift (PDS) being regarded as the intracellular correlate of ictal discharges in animal models for more than half a century (Kandel & Spencer, 1961*a*, 1961*b*; Matsumoto & Marsan, 1964; Traub & Wong, 1982).

40 More recently, early PDSs have been shown to evolve into seizures *in vivo* (Steriade & 41 Amzica, 1999), and PDSs have been recorded in resected human cortical tissue (Marcuccilli et 42 al., 2010; Eissa et al., 2016). The PDS causes a decrease in action potential amplitude and an 43 increase in half width – features that should impede standard spike sorting methods – and yet this 44 phenomenon has not been reported in several studies of single unit activity during spontaneous 45 human seizures (Wyler et al., 1982; Babb et al., 1987; Stead et al., 2010; Truccolo et al., 2011, 46 2014; Bower et al., 2012). In fact, beyond the PDS, altered action potential waveforms could be 47 expected following recruitment of a recording site to a seizure due to alterations to Na⁺ and K⁺ 48 concentrations in the intracellular and extracellular space or the effects of burst firing (Harris et 49 al., 2000).

We have shown preliminary evidence of such potential alterations (Merricks *et al.*, 2015). In tissue recruited to the seizure, traditional spike sorting methods can fail to cluster single units in human ictal recordings from neocortical layers 4/5, where neuronal cell body density is particularly high (Keller *et al.*, 2018), thereby hindering the ability to track evidence of wave shape alterations or neuronal firing patterns during and after the ictal wavefront (Merricks *et al.*,

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55 2015). However, whether this originated from alterations to neurons' intrinsic wave shapes or 56 simply from interference of action potentials from nearby, highly active cells has been unclear.

57 Here, we present analyses of neuronal activity in the human brain during focal seizures 58 using novel template matching methods in order to characterize action potential waveform 59 alterations and single unit firing patterns, as the ictal wavefront approaches, recruits, and passes 60 the local tissue. We hypothesize that, similar to observations in animal models, human focal 61 seizures consistently display alteration of intrinsic action potential shapes upon ictal recruitment. 62 Specifically, we hypothesize that the distinction between recruited and penumbral tissue is 63 maintained at the level of single neurons, with recruited cells displaying reduced spike amplitude, 64 and increased duration, an effect that is absent in penumbral sites demonstrating increased firing 65 rates, but lacking typical seizure hallmarks.

66 Materials and Methods

67 Human recordings

Adult patients undergoing surgical evaluation for pharmacoresistant focal epilepsy at 68 69 Columbia University Irving Medical Center (CUIMC) and University of Utah were implanted 70 with either a 96 channel, 4 x 4 mm "Utah"-style microelectrode array (UMA; Blackrock 71 Microsystems, Salt Lake City, UT) or Behnke-Fried style microwires (BF array; Ad-tech 72 Medical Equipment Corp, Oak Creek, WI) simultaneous to standard clinical electrocorticography 73 (ECoG) or stereo-electroencephalography (sEEG) respectively. UMAs were implanted into 74 neocortical gyri based on presurgical estimation of the ictogenic region, with electrode tips 75 reaching layer 4/5 (1.0 mm electrode length; layer confirmed via histology in Schevon et al. 76 (2012)), while BF arrays consisted of 8 microwires protruding \sim 4 mm from the tips of clinical 77 depth electrodes.

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Neural data were recorded at a sampling rate of 30 kHz on each microelectrode with a range of \pm 8 mV at 16-bit precision, with a 0.3 Hz to 7.5 kHz bandpass filter. ECoG and sEEG data were collected with a sampling rate of either 500 Hz or 2 kHz, with 24-bit precision and a bandpass filter of 0.5 Hz to ¹/₄ the sampling rate. In UMAs, the reference was either subdural or epidural, chosen based on recording quality. In BF arrays, the reference was the ninth microwire within the bundle.

All procedures were approved by the Institutional Review Boards of CUIMC and University of Utah, and all patients provided informed consent prior to surgery. Clinical determination of seizure onset zone (SOZ) and seizure spread was made by the treating physicians and confirmed prior to analysis by two board-certified neurologists (CAS & LMB). All analyses were performed offline using custom scripts and toolboxes written in MATLAB (MathWorks, Natick MA). Code is available at <u>https://github.com/edmerix</u>.

90 The timing of the passage of the ictal wavefront at individual electrodes was calculated 91 based on the MUA firing rate. A Gaussian kernel of 500 ms duration was convolved with the 92 timings of all detected spikes in the MUA, and a sustained, significant increase in the resultant 93 instantaneous firing rate was determined as the moment of local recruitment to the seizure (Smith 94 et al., 2016). A sustained (> 1 s), significant increase had to be present for classification as ictal 95 recruitment in order to discount single discharges or herald spikes. Ictal recordings without this 96 signature of tonic to clonic MUA firing were determined to be penumbral. Note that unlike the 97 SOZ, ictal recruitment and the penumbra are spatiotemporally dynamic. As such, a single 98 location, unless at the true origin of the ictal activity, may receive the synaptic input of the 99 upstream ictal activity but remain penumbral due to feed-forward inhibition initially, prior to the 100 transition to the ictal state which may occur at any point during the electroclinical seizure event.

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101 Peri-ictal single unit discrimination

102 Initial spike sorting was performed on the peri-ictal period as per Merricks *et al.* (2015). 103 Briefly, neural signals were symmetrically bandpass filtered between 300 Hz and 5 kHz (1000th 104 order FIR1) to extract multi-unit activity (MUA), from which extracellular action potential 105 spikes were detected using a voltage threshold of 4.5 σ , where $\sigma = median\left(\frac{|x|}{0.6745}\right)$, and x is the 106 MUA from that channel. This method avoids the biasing effect of large spikes on channels with 107 units with high firing rates (Quian Quiroga *et al.*, 2004). Ictal periods were blanked so that spike 108 sorting was only performed on stable spikes from the peri-ictal period.

109 Matrices of waveforms from each channel were created from 0.6 ms prior to 1 ms post 110 each detection, and principal component based semi-automatic cluster cutting was performed 111 using a modified version of the "UltraMegaSort2000" MATLAB toolbox (Hill et al., 2011). 112 Artifactually large waveforms were removed by calculating the FFT on spikes up-sampled by a 113 factor of 4, and removing those with power > 5 SD above the mean in frequencies above 2.5 kHz 114 or below 500 Hz. Spikes removed in this manner were visually inspected to ensure correct 115 classification as artefact. Clusters that satisfied the following criteria were accepted: (i) clean 116 separation from all other clusters in the Fisher's linear discriminant in principal component 117 space; (ii) less than 1% contamination of the 2 ms absolute refractory period; (iii) no clear 118 outliers based on the anticipated chi-squared distribution of Mahalanobis distances; and (iv) less 119 than 1% of estimated false negatives as estimated by the amount of a Gaussian fit to the detected 120 voltages fell below the threshold for detection, as described in Hill et al. (2011).

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Template matching through seizures

Ictal recruitment has been shown to impede standard spike sorting due to either
interference of hypersynchronous activity, intrinsic waveform alterations, or both (Merricks *et al.*,
2015; Fig. 1). We therefore developed novel methods in order to match waveforms from the ictal

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125 period, regardless of recruitment, to their putative neuronal source based on templates derived 126 from the peri-ictal units. In contrast to standard spike sorting methods, these minimized false 127 negatives at the expense of increasing false positives so as to avoid missing potential matches. 128 Cluster boundaries were defined as the 3-dimensional convex hull surrounding the features in 129 principal component space of the previously defined units from both the pre- and post-ictal 130 period (Fig. 2). This method accounts for two situations: that neurons within recruited cortex 131 maintain their wave shape but are obscured by interference from other nearby cells; or that there 132 are occasional or consistent alterations to a neuron's intrinsic waveform that are minor enough to 133 be maintained within the convex hull of feature space. The convex hull allows for alterations to 134 wave shape in any dimension (any direction away from the cluster's centroid).

To avoid ictal results being biased through differing methods, template matching was performed on spikes that were extracted from a period from 10 minutes prior to 10 minutes post seizures, including the ictal activity that had been blanked in the original peri-ictal spike sorting. Channels with unstable units during interictal periods were excluded. Units with no spikes in either the preictal or ictal time period after template matching and artefact removal were excluded from further analyses (n = 77).

141 Principal component scores were calculated on these spikes based on the previously defined principal components, and spikes that occurred within a peri-ictal unit's convex hull 142 143 were assigned to that cell. Mahalanobis distances were calculated for all matches, between their 144 location in principal component space and all peri-ictal waveforms from that unit, on the first n145 principal components that explained > 95% of the variance in the data set (Fig. 2C). The 146 expected distribution of Mahalanobis distances was calculated as the chi-squared probability 147 distribution with *n* degrees of freedom. Spikes that had < 0.1% chance of occurring in the chi-148 squared distribution were excluded.

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149 Spike metrics

The full-width at half maximum (FWHM) was calculated by up-sampling each spike by a factor of 4, normalizing the spike voltages to between [-1, 1], and finding the difference between the zero-crossings either side of the spike's trough. When calculating spike amplitude changes through the ictal transition, only units whose mean voltage at detection was at least 2.5 SD away from that channel's threshold for detection were used, to minimize the floor effect from small units that dropped below threshold.

156 The probability that each spike arose from its assigned peri-ictal unit was calculated by 157 fitting a separate Gaussian curve (with a maximum amplitude of 1) to the distribution of voltages 158 at each data point in the original unit, and calculating the mean probability across all time 159 samples. As such, a waveform passing through the most likely voltage at each separate time 160 point for that unit would have a match probability of 1 (Fig. 3). Instantaneous firing rates were 161 calculated by convolving the spike times with a Gaussian kernel (200 ms SD) with the amplitude 162 scaled to that spike's probability of matching the original unit, thereby creating probabilistic 163 firing rates for each unit through time, by probability of when the spike occurred and probability 164 that the spike originated from the putative neuronal source. As such, a waveform that had an 165 average probability of 20% across all fitted Gaussians from each data point would contribute only 0.2 spikes s⁻¹ at its most likely time point, while an exact match to the most likely voltage at 166 167 each time point would contribute 1 spike s⁻¹. Thresholds for significant increases and decreases 168 in firing rate were calculated as 3 times the square root of the firing rate divided by the duration 169 of the epoch, which approximates 3 SD for a Poisson distribution.

170 Timing of FWHM alteration relative to the earliest ictal activity was determined by the 171 earliest timepoint during the seizure that the mean FWHM remained above the preictal mean

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plus the preictal standard deviation for at least 1 second, calculated in a sliding window of 5 s
duration with a time step of 50 ms, discarding windows with fewer than 5 spikes.

174 All statistical tests for significance were performed using the Mann-Whitney U test 175 unless otherwise noted, due to the non-Gaussian distributions of data requiring non-parametric 176 testing. For all tests, the level for statistical significance (α) was set to 0.05, and Holm-177 Bonferroni correction was applied in all instances of multiple tests.

178 **Results**

179 We analysed ictal recordings from 27 patients undergoing invasive EEG monitoring as 180 part of the presurgical evaluation for intractable focal epilepsy (Tables 1 & 2; age range = 19 to 181 55; 13 female, 14 male). Six patients were implanted with Utah microelectrode arrays (UMA; 182 Blackrock Microsystems Inc, Salt Lake City, UT), and the remaining 21 patients were implanted with between 1 and 4 Behnke-Fried depth arrays with incorporated microwire bundles (BF 183 184 arrays; Ad-tech Medical Equipment Corp, Oak Creek, WI). A total of 41 seizures were reviewed 185 (10 UMA; 31 BF array), of which 27 demonstrated ictal recruitment through MUA firing rate 186 calculation in UMAs, or subsequent waveform alterations in BF arrays (see Methods; UMA: 6 187 seizures from 3 patients; BF arrays: 21 seizures from 13 patients).

188 **Dua**

Dual activity types at seizure onset

To assess the presence of physiological spike shape changes across populations of neurons, template matching using convex hulls (Fig. 2, see Methods) was employed on each UMA-recorded seizure (Table 1; 1,107 single units in total; range of units per seizure: 61 - 240; mean \pm SD maximum units per patient: 122 ± 63). The convex hull fits a 3-dimensional region around the peri-ictal unit's cluster in principal component space, within which waveforms are assigned as a putative match to that neuron. This allows for assigning unit identities without the need for maintained cluster boundaries in the data, while accepting waveform alterations that

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alter the principal component scores. In total, 938 of the 1,107 units recorded on UMAs weresuccessfully tracked through seizures using this method.

198 Individually, results from the template matching method in recordings from recruited 199 tissue (see Methods; Patients 3, 4 & 5) showed decreases in spike amplitude and increases in 200 spike full-width at half maximum (FWHM; Fig. 4, ictal waveforms in red), and were stereotyped 201 across seizures within patient (Fig. 5). At the population level, units in recruited cortex displayed 202 a significant global increase in FWHM (Fig. 6A; pre-ictal vs. ictal mean \pm SD: 0.470 \pm 0.137 ms 203 vs. 0.611 ± 0.194 ms), with 457 (81.5%) of 561 single units showing a significant (p < 0.05) 204 increase in FWHM during the seizure (Holm-Bonferroni corrected Mann-Whitney U test; range 205 across seizures: 79% - 97%; see Table 3). Meanwhile, units in penumbral cortex showed only a 206 minor increase in FWHM at the population level (Fig. 6B; pre-ictal vs. ictal mean \pm SD: 0.414 \pm 207 0.009 ms vs. 0.429 ± 0.099 ms) with only 9 (5.8%) of 156 single units showing a significant (p < 1000208 0.05) increase in FWHM during the seizure (Holm-Bonferroni corrected Mann-Whitney U test; 209 range across seizures: 4% - 16%; see Table 3). In a single case (Patient 6), the UMA was at the 210 edge of the clinically defined ictal spread, and in this patient 105 (47.5%) of 221 units showed a 211 significant increase in FWHM (pre-ictal vs. ictal mean \pm SD: 0.408 ± 0.111 ms vs. 0.421 ± 0.152 212 ms). These spike shape alterations co-existed with stable spike shapes elsewhere on the UMA at 213 the same time (Fig. 7), and this patient was incorporated into the penumbral dataset for 214 population representation in the figures (Fig. 6). FWHM increases in recruited tissue were 215 significantly larger than those in penumbral/edge case recordings (p < 0.001; one-tailed Mann-216 Whitney U test).

Similarly, units in recruited cortex showed a significant decrease in spike amplitude during the seizure (Fig. 6C; pre-ictal vs. ictal mean \pm SD: 48.82 \pm 30.91 μ V vs. 34.96 \pm 19.54 μ V), while penumbral recordings maintained their peri-ictal amplitude (Fig. 6D; pre-ictal vs.

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Spike shape changes in deep structures

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220	ictal mean \pm SD: 46.69 \pm 16.59 μ V vs. 45.08 \pm 15.05 μ V in fully penumbral cases; 47.00 \pm 21.18
221	μV vs 45.57 \pm 20.93 μV in the semi-recruited UMA). The amplitude reduction in recruited tissue
222	was significantly greater than in penumbral/edge case recordings ($p < 0.001$; one-tailed Mann-
223	Whitney U test), with recruited recordings showing significant ($p < 0.05$) decreases in amplitude
224	during the seizure in 49.3% of units versus 6.4% of units in the penumbra and 38.9% in semi-
225	recruited tissue (Holm-Bonferroni corrected Mann-Whitney U test).

226

227 To assess the spatiotemporal relationship between waveform alterations and seizure 228 recruitment within patients, beyond the capabilities of the 4 mm² Utah array, we analysed BF 229 array recordings with the equivalent template matching, blind to the clinically-defined seizure 230 onset zone and areas of propagation. In BF array recordings, 120 of 132 units were successfully 231 tracked using these methods. Thirty of 120 single units (25.0%) showed increases beyond a cutoff significance level of p < 0.05 (Holm-Bonferroni corrected Mann-Whitney U test) in FWHM 232 233 (17 seizures from 13 patients), and 30 units (25.0%; 16 seizures from 11 patients) showed 234 reduction in spike amplitude below the same significance cut-off (p < 0.05; Table 2). In 9 235 seizures from 6 patients, single units were simultaneously present on multiple separate BF arrays 236 (on different bundles of microwires, as opposed to different microwires within a single BF), of 237 which 7 seizures (6 patients) showed waveform alterations in at least one unit. Of these, 2 238 seizures (2 patients) showed significant waveform alterations in dual locations (Patients 14 and 239 15; Fig. 8; Table 2), while 5 seizures (4 patients) showed both activity types simultaneously 240 (Patients 11, 12, 16 and 21; Video 1).

We found significant waveform alterations in 13 patients. Five of these had a clinically defined SOZ in the mesial temporal lobe, and simultaneously recorded single units in the ipsilateral hippocampus demonstrated significant waveform alterations (Patients 8, 15, 16, 26 &

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244 27; Table 2). In 7 further patients, significant waveform alterations were found in tissue 245 consistent with putative seizure spread due to proximity to the SOZ, or due to seizure 246 generalization. In one case (Patient 10) we found waveform alterations in the contralateral 247 hippocampal body, consistent with propagation of the seizure through the hippocampal 248 commissural fibers. Conversely, in the 8 patients showing no significant waveform alterations, 249 the clinically defined SOZ was anatomically distant in all cases (Patients 9, 13, 17–20, 24 & 25; 250 Table 2).

251 To assess whether the classification of ictal recruitment via waveform alterations was 252 consistent with the clinically defined SOZ and regions of spread, the time from earliest ictal 253 activity to a consistent (≥ 1 s duration) increase in FWHM ≥ 1 SD above the mean preictal level 254 for each unit was calculated. FWHM was used independently of amplitude for these tests to 255 control for any potential fluctuations in amplitude introduced by the reference electrode during 256 seizures. Recordings determined to be in the SOZ showed a mean (\pm SD) delay of 10.23 \pm 3.03 s 257 (n = 6 seizures from 5 patients), while those deemed to be in regions of spread showed a mean (± 258 SD) delay of 22.96 \pm 12.59 s (n = 8 seizures, 8 patients; p < 0.05, Mann-Whitney U test). In one 259 case, single unit waveforms remained stable throughout a focal to bilateral tonic-clonic seizure 260 (Patient 18; Table 2), further countering the possibility of transient movement artefact 261 introducing instability in the waveforms.

In one instance of a patient recorded with BF arrays in the hippocampal head and body, a peculiarly discrete unit cluster due to a very large amplitude spike (mean = 354μ V; background noise level = 25μ V) enabled us to follow its action potential through the ictal transition without the need for template matching via convex hulls, despite marked changes to spike shape and increases in other unit activity (Fig. 8; Video 2; Schevon *et al.*, 2019). In this example, the seizure initiated very close to, but not at the electrode site. The ictal wavefront arrived at the

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268 electrode approximately 8 s after seizure initiation (Patient 15; Table 2). The action potential 269 amplitude was stable during both the pre-ictal period and the moments after seizure initiation, 270 but reduced sharply upon the abrupt increase in firing rate indicating ictal recruitment (Fig. 8A & 271 B, magenta dashed line; preictal vs. ictal mean \pm SD: 354.2 μ V \pm 45.7 μ V vs. 265.7 μ V \pm 33.4 μ V; p < 0.001, Mann-Whitney U test). The inter-spike interval to spike amplitude relationship 272 was similar to animal models of recruitment (c.f. Supplementary Fig. 4 in Merricks et al., 2015; 273 0 Mg²⁺ in vitro cell-attached mouse slice model), and echoed the template matched results in the 274 275 Utah array (note the similarity between Fig. 4B and Fig. 8D).

Notably, a separate single unit recorded at an adjacent BF array showed spike shape changes which developed 1 second later, consistent with seizure propagation (Fig. 8B ii; Table 2). The distinct time course of these two units recorded in the hippocampal head and body show that the alterations are unit-specific and not caused by local changes as a result of the seizure or by movement artefacts (the seizure had a relatively calm semiology, without stressing the recording device).

Simultaneously, action potential FWHM was stable prior to ictal recruitment, increasing significantly after the passage of the ictal wavefront (preictal vs. ictal mean \pm SD: 0.33 ms \pm 0.04 ms vs. 0.40 ms \pm 0.05 ms; p < 0.001, Mann-Whitney U test). The time course of this transition followed the same progression as the spike amplitude (Fig. 8D), as can be seen in the prerecruitment versus post-recruitment mean (\pm SD) waveforms (Fig. 8C, blue and red respectively).

287 Template matching accuracy

Spike matches from the convex hull method were found to be significantly more likely to arise from their assigned peri-ictal units than by chance, as calculated by comparing each matched waveform's similarity to its peri-ictal unit's distribution of voltages through time (Fig. 3; "Spike metrics" in Methods). The "null" distribution for expected match probabilities by

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chance was calculated through comparing each waveform's similarity to the peri-ictal voltagetime distributions of all other units. Comparing intra- to inter-unit similarities in this way found a significantly higher similarity between template matched units and their presumed peri-ictal unit than to the "null" distribution of matches to other units (p < 0.001; Mann-Whitney U test; Fig. 3B).

To confirm that these findings were not a result of the template matching method introducing an unknown variable that affects these measurements, results from the original spike sorted data and those originating from the convex hull method on the pre-ictal period were compared, finding little difference between the traditional cluster cutting results and the convex hull matched results (Fig. 6 A-D i, inset cumulative histograms).

302 Neuronal firing rates through the ictal transition

303 In animal models, it is possible to isolate single units experimentally, by visually guiding 304 electrodes directly onto cells (Trevelyan et al., 2006). This obviously is not possible in human 305 recordings, and consequently, there is a dearth of evidence about the firing patterns of human 306 neurons through seizures. Instead, previous studies have identified the ictal wavefront in terms of 307 multi-unit activity (Schevon et al., 2012; Smith et al., 2016). We therefore analysed the firing 308 rates of template matched unit populations throughout ictal activity and related these to the ictal 309 wavefront (UMA recordings showing tonic to clonic firing; Patients 3-5; see Methods). Single 310 unit firing rate increased during ictal recruitment in all seizures with 446 (79.5%) of 561 units 311 showing greater than 3 SD increase in firing rate, and only 1 unit in the entire population 312 showing a greater than 3 SD decrease in firing rate (range of single units with > 3 SD increase 313 per seizure: 70% - 96%; see Table 3). An example seizure demonstrating these trends is shown 314 in Fig. 9.

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315 **Discussion**

316 The analyses presented here explored the impact of spike shape alterations in 317 spontaneously occurring seizures, in humans, how these alterations relate to the underlying ictal 318 territories, and whether the ictal wavefront – the source of seizure propagation – involves local 319 neuronal firing or is dominated by subthreshold or synaptic activity. We have previously shown 320 that traditional spike sorting methods fail upon ictal invasion of the recording site (Merricks et 321 al., 2015), however, in these recordings it was not possible to differentiate between loss of spike 322 sorting ability due to intrinsic waveform alterations, or due to hypersynchronous activity 323 (Trevelyan et al., 2006, 2007; Schevon et al., 2012; Weiss et al., 2013). Here, we sought to 324 overcome this limitation through novel methods to track units through the ictal transition, 325 retaining the identities of putative individual neurons. We hypothesized that the temporary loss 326 of clusters was due in part to transient alterations to spike shapes, as opposed solely to 327 obfuscation of stable spike shapes by the sudden increase in activity in the MUA, and that units 328 at brain sites not demonstrating evidence of ictal recruitment via tonic firing in the MUA would 329 remain stable.

We applied this method in two types of microelectrode recordings: UMA and BF arrays, representing neocortical and deep structure, particularly hippocampal, seizure foci. Although there must be some expected loss of detection sensitivity due to interference from highly synchronous firing along with the reduction of amplitude of some units below the noise threshold, the method proved remarkably effective, enabling us to define firing rate metrics for the majority of units throughout the seizure, highlighting a lack of neuronal quiescence during seizures.

In both types of recording, template matched units during the ictal period displayed two types of activity: deformation of waveshapes across the population, or largely stable waveforms. The UMA afforded the ability to detect local ictal recruitment through characteristic MUA firing,

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339 and these types of activity corresponded to recruitment and penumbral recordings respectively 340 (Fig. 6). Waveform alterations recovered after seizure termination and showed a stereotyped 341 response across seizures, highlighting that a single neuron's wave shape change, in response to 342 the synaptic barrage of upstream ictal activity, is maintained across multiple seizures hours apart. 343 Note that the template matching method would equally favor alterations to waveform in any 344 dimension, and so if these changes were purely a result of the methodology, we would 345 reasonably expect the template to capture spikes with larger amplitude and decreased FWHM at 346 an equal rate. In such a case, we would anticipate a broadening of the distribution of these 347 features during the ictal period. Instead, we see a clear shift in the distributions to the right and 348 left in the FWHM and amplitude respectively, and found no evidence of increased spike 349 amplitude during seizures, arguing for a consistent physiological cause (Fig. 6A&C).

350 Detection of the ictal wavefront was not possible in BF arrays, due to a combination of 351 lower neuronal density in mesial structures relative to layer 4/5 neocortex (Pakkenberg & 352 Gundersen, 1997; Keller et al., 2018), and a reduced "listening sphere" relative to UMAs due to 353 higher impedance (BF: 50-500 kΩ; UMA: 80-150 kΩ; Tóth et al., 2016). Nonetheless, the same 354 waveform features were detected, and their presence correlated well with the clinical assessment 355 of SOZ and seizure spread (Table 2). Furthermore, BF arrays allowed for sampling of multiple 356 sites in a given patient, and the timing of waveform alterations correlated well with clinical 357 observations, with seizure spread locations showing delayed waveform changes compared to 358 recordings from SOZ regions (22.96 vs. 10.23 s respectively). The longest delay until 359 recruitment occurred after spread to the contralateral hippocampus (Patient 10; 42.7 s). The only 360 case with discordance between single unit and clinical data showed a statistically significant 361 increase in FWHM during the seizure, but at no point did the mean FWHM surpass the threshold 362 of 1 SD above the preictal mean (Patient 21; Table 2). In this instance, the clinical SOZ was in

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the right insula and somatosensory cortex, with waveform alterations in the right hippocampus.
While recruitment of the hippocampus is plausible, this may represent a false positive as a result
of the temporally coarse statistical test.

366 The UMA and BF array recordings together provide evidence supporting the dual-territory 367 model of seizures: an ictal core with waveform changes, coexisting with a penumbral territory 368 with stable spike shapes. Combined, these indicate that the definitions of ictal recruitment and 369 penumbra are maintained at the level of single neurons, and waveshape change can be 370 considered a defining feature of recruitment to the seizure. In a subset of recordings, stable 371 action potentials were found simultaneously with waveform changes both in separate BF arrays 372 (5 seizures) and on the same UMA (1 seizure; Patient 6), with clinical correlation matching these 373 observations in all cases. In the UMA, clinical observations were consistent with location of the 374 UMA at the outer boundary of seizure invasion, and thus we posit this is a simultaneous 375 recording of both recruited and penumbral cortex (Fig. 7).

376 Furthermore, in 2 seizures, recruitment was found at multiple BF arrays, consistent with 377 clinically defined SOZ and regions of spread, along with relative delays in keeping with 378 anatomical distance (Fig. 8; Patients 14 & 15; mid-cingulate to hippocampus in 10.3 s, 379 hippocampal head to body in 1.1 s respectively). Note also, that in Patient 6 there is an increase 380 in waveforms of brief duration during the ictal period (data available online; population data 381 shown in Fig. 6B). Given the UMA's proximity to the oncoming ictal wavefront, this increase 382 may be explained by an increase in firing of fast-spiking interneurons, which have been shown to 383 exhibit action potentials of shorter duration (McCormick & Feeser, 1990; Csicsvari et al., 1999; 384 Peyrache et al., 2012) and would corroborate the penumbral feedforward inhibition model 385 (Trevelyan et al., 2007; Cammarota et al., 2013; Parrish et al., 2019).

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More specifically, the waveform changes found in recruited tissue are in keeping with those observed in animal models, and are indicative of the shortening and broadening of action potentials associated with PDS (Traub & Wong, 1982). This was especially evident in a unique BF array recording wherein a unit was able to be tracked without need for extra methods due to its amplitude being 14 times that of the background noise (Patient 15; Fig. 8), with feature alterations strikingly reminiscent of the UMA population data (c.f. Figs. 4B & 8D), with timing in keeping with recruitment, during tonic firing (c.f. Figs. 7 & 8).

393 Even so, the extent to which these waveforms alter is likely underrepresented in the 394 population data, due to changing detection sensitivity from interference between synchronous 395 spikes or the reduction of amplitude of some spikes below the noise threshold. The template-396 matching method was designed to minimize false negatives in order to capture as much single 397 unit activity during seizures as possible, but it is likely that spikes undergo large enough changes 398 to be lost outside the convex hull, or below threshold. Indeed, even physiological bursting has 399 been shown to result in substantial alterations to extracellularly recorded action potentials (Harris 400 et al., 2000; Henze et al., 2000). As such, these results are necessarily a snapshot of the total 401 activity of any individual neuron during the seizure, and yet still show significant changes to 402 waveform.

Finally, our data also demonstrate that the passage of the ictal wavefront is marked by tonic, local neuronal firing (Fig. 9), as opposed to the wavefront being a signature of increased K^+ concentration or similar subthreshold phenomena. Studies of single unit activity recorded in humans have rarely reported such a finding. Although placement of the electrodes likely plays a large role, our findings in this paper suggest that extreme, rapid waveform alterations may obscure the presence of the wavefront when standard spike sorting methods are used. Note that these firing rate statistics are probabilistic, having been scaled by the probability each spike was

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a match to its preictal unit (see Methods), and as such are conservative and thus the increase
cannot be attributed purely to increases in background spikes being matched by the convex hull
method.

413 This method of tracking single units across the ictal transition will enable the use of both 414 human and animal recordings to address open questions regarding the mechanism of seizure 415 spread. An immediate application, given the neuronal activity presented here, is to study how cell 416 types relate to the propagation of pathological activity, with considerable debate having focused 417 recently on the role of interneurons in seizure spread (Grasse et al., 2013; Elahian et al., 2018; 418 Magloire et al., 2018; Miri et al., 2018; Weiss et al., 2018). All studies to date, to our knowledge, 419 have assessed cell-type specific activity at seizure onset in regions without waveform alterations, 420 and thus we suggest are recordings from penumbral territories. As such, we anticipate elucidation 421 of these mechanisms will come from population data confirmed to be in recruited tissue. These 422 methods lay important groundwork for analyses into how ictal propagation relates to the 423 underlying firing of local inhibitory and excitatory cells.

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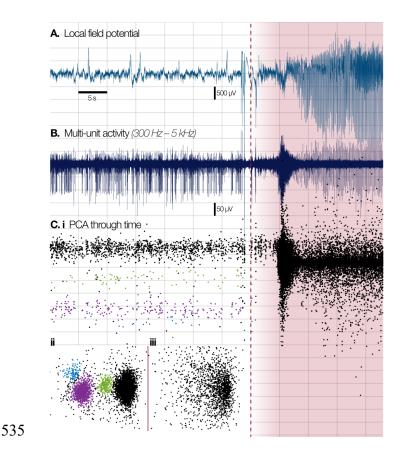
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534 **FIGURES**

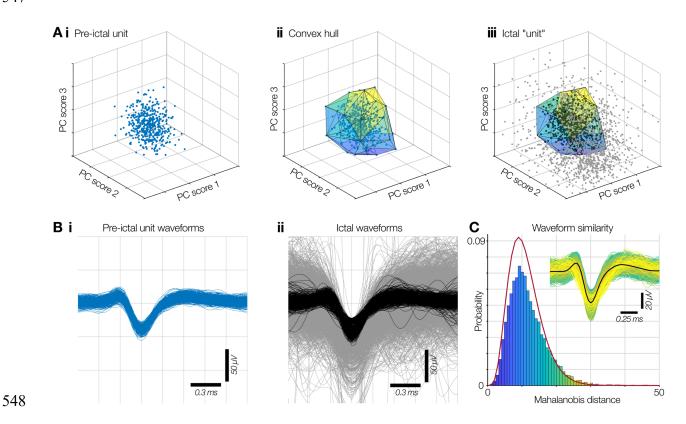


536 Fig. 1. Effects of ictal recruitment on traditional spike sorting methods

537 Spike sorting relies on stable waveforms from nearby neurons, but ictal activity disrupts features 538 used to cluster single units. A. Example broadband LFP from a single channel of a Utah array 539 implanted in the posterior temporal lobe of a patient with pharmacoresistant epilepsy (Patient 4, 540 seizure 1). Dashed red line denotes "global" seizure onset. B. Bandpass filtered signal between 541 300 Hz and 5 kHz of the same signal in A, showing stable single unit activity in the preictal 542 period. C. First principal component score versus time (i) of all detected spikes in the multi-unit 543 activity shown in B, with three clearly separable clusters highlighted, along with the multi-unit 544 cluster from background distal cells (black). (ii) Equivalent first versus second principal 545 component scores from the preictal period, and (iii), during the seizure. Note loss of well-defined 546 clusters in principal component space.

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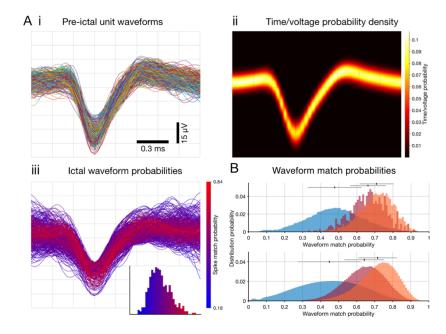
547



549 Fig. 2. Template match spike sorting via convex hulls

A. Well-isolated neurons form distinct clusters in principal component space during interictal 550 551 time points (i), around which a convex hull can be fitted to define boundaries in 3D space within 552 which spikes that match that unit should exist (ii). Despite a lack of defined clusters during ictal 553 activity in recruited cortex, this convex hull can be used to select waveforms that are likely to 554 correspond to the preictal unit (iii; black), amongst distributed noise (grey). B(i) Waveforms 555 from the preictal cluster shown in A(i). (ii) Waveforms matched using the convex hull shown in 556 A(iii) (black) from the large distribution of spikes during a seizure (grey). C. The probability of 557 each matched waveform originating from the same neuron as its preictal counterpart is calculated 558 by calculating their Mahalanobis distance from the preictal cluster using the first n principal 559 components that explain > 95% of the variance. Outliers in the chi-squared distribution for n560 degrees of freedom denote likely incorrect matches.

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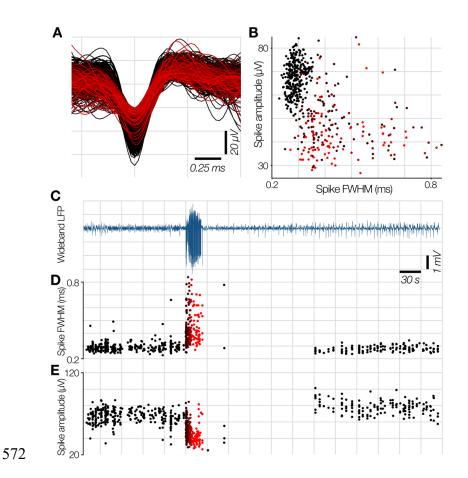




562 Fig. 3. Waveform match probabilities

563 A(i). All waveforms from a traditionally spike sorted unit in a 10 minute preictal epoch. The 564 probability distribution of each voltage at each time point for these waveforms is shown in (ii), 565 and the spike match probabilities for ictal waveforms matching this unit are shown in (iii), with 566 the distribution of these probabilities inset. **B.** Upper panel shows the probability distribution of 567 all matched waveforms (red), compared to the probability distribution for the original waveforms 568 in the preictal time point (orange) for an example template-matched single unit. A bootstrap 569 estimate of waveform matches expected by chance by comparing against waveforms from other 570 electrodes is shown in blue. The lower panel shows the equivalent distributions across the full 571 population. Mean \pm SD is shown above the distributions.

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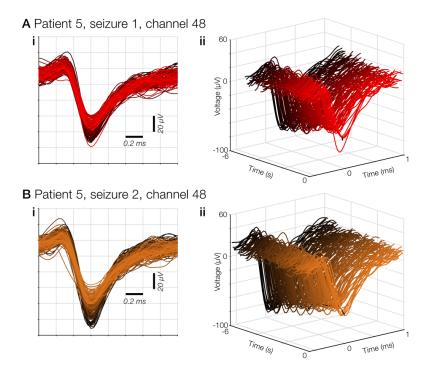
573 Fig. 4. Example wave shape changes at ictal recruitment in template matched data

574 A. Waveforms from a convex hull-matched unit in Patient 5, seizure 2, showing reduction in 575 amplitude and increase in FWHM during the seizure (red shaded waveforms, fading from black to red through the seizure; color maintained throughout figure). B. Spike amplitude versus 576 577 FWHM in the unit in A, showing equivalent relationship to the high amplitude BF single unit in 578 Fig. 8D. Wideband LFP from this channel through time (C), with time-locked FWHM (D) and 579 amplitude (E) showing temporal relationship of spike shape changes through the seizure. Note 580 the return to preictal values after seizure termination, and the lack of changes towards decreased 581 FWHM or increased amplitude during the seizure despite the convex hull being equally 582 permissive of alterations in any direction.

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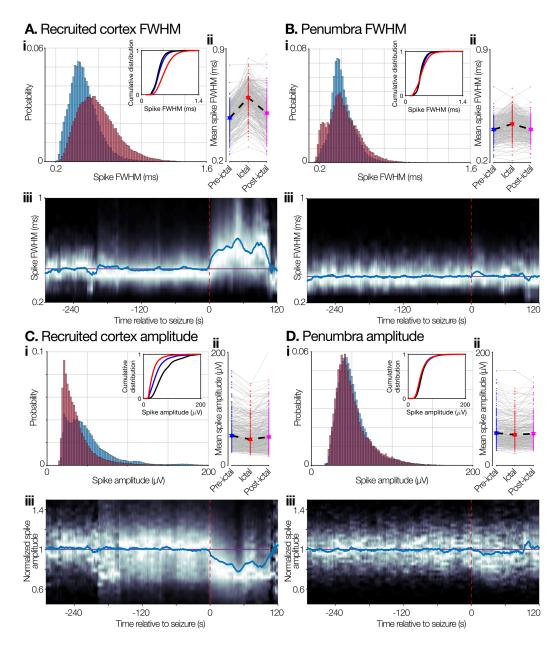


585 Fig. 5. Stereotypy of waveform changes within neurons across seizures

584

Example waveforms from a single unit in patient 5 showing stereotypy of response of extracellularly recorded action potentials in the peri-recruitment period in 2 seizures separated by 22 hours (**A** and **B** respectively). All waveforms from the 6 seconds prior to ictal recruitment are shown overlaid in (**i**), and plotted relative to time in (**ii**), scales maintained throughout. Saturation of color fades from black at -6 seconds, to brightest at the moment of maximal firing rate of MUA at that electrode.

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593 Fig. 6. Population spike shape alterations in recruited cortex versus penumbral territories

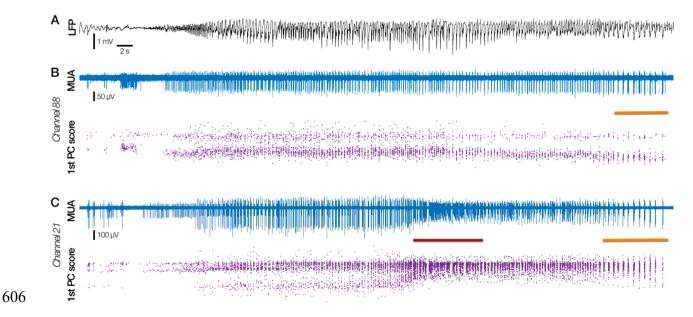
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A & B (i) Probability density plots of spike FWHM (full-width at half maximum) for every detected waveform in the preictal (blue) and ictal time periods for all seizures in recruited cortex (A; n = 625 units from 6 seizures in 3 patients) and penumbral tissue (B; n = 405 units from 4 seizures in 3 patients). Cumulative probability densities show same calculation on preictal, original data (black), (ii) Paired mean FWHM for each unit in the preictal (blue), ictal (red), and postictal (pink) epochs. Note return to preictal ranges after seizure termination. (iii) Spike

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FWHM of the population through time (10 second window, sliding every 100 ms). Brighter indicates more density, blue line shows the mean through time, purple line shows the mean value in the preictal period, red dashed line denotes "global" seizure onset. C & D. Same format as A & B, showing spike amplitude in place of FWHM, for recruited cortex and penumbra respectively.

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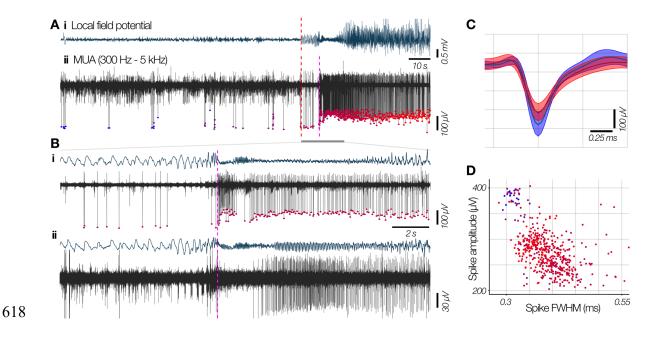


607 Fig. 7. Simultaneous recruitment and penumbral recording.

608 Two types of activity pattern recorded simultaneously in a patient with the Utah array at the edge 609 of the clinically defined seizure spread (Patient 6). A. LFP from the closest macro-electrode to 610 the UMA, with time-locked MUA (blue) and first principal component score (purple) through 611 time from channels 88 and 21 in B & C respectively. Note the stability of waveform and 612 principal component score throughout the seizure in channel 88, with no evidence of tonic firing, 613 while there is a large spike shape change at the same time in channel 21, at the moment of tonic 614 firing (maroon bar, C). Paired orange bars in B and C denote burst firing at the end of the seizure 615 in both locations. These dual activity types both occurred immediately next to the LFP in A, and 616 thus these patterns cannot be differentiated at the macro LFP level.

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619 Fig. 8. Ictal recruitment in the mesial temporal lobe recorded with BF electrodes

620 A. (i) Broadband LFP from the closest macro contact to the microwires in a BF electrode in the 621 mesial temporal lobe during a spontaneous seizure, and (ii) the bandpass filtered MUA from one 622 of the microwires in the temporal pole. "Global" seizure onset is denoted by the red dashed line, 623 with subsequent local recruitment to the seizure at the pink dashed line. B. Magnification of the 624 region denoted by the grey bar in A, showing seizure onset through passage of the ictal 625 wavefront in LFP and MUA (colours maintained) in the same microwire (i), and a microwire 626 from a nearby separate BF in the hippocampal body (ii). Note the pre-recruitment stability in the 627 spike amplitude, which is immediately reduced upon ictal invasion, and the simultaneous 628 quiescence in the hippocampal body, followed by a similar, time-delayed amplitude change after 629 recruitment. C. Mean \pm SD of waveforms prior to ictal invasion (blue) and after recruitment 630 during the seizure (red), showing reduction in amplitude and increase in FWHM in A(ii). D. 631 Spike amplitude versus spike FWHM for the defined unit, with color maintained from A,

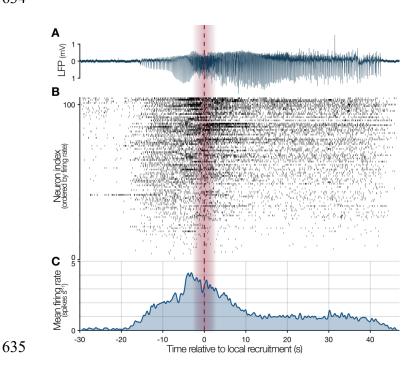
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632 transitioning from blue to red through seizure invasion. Note the bimodal clusters that

633 correspond to pre- and post-recruitment, and the similarity to Fig. 4B.

634



636 Fig. 9. Neuronal firing through ictal recruitment in an example focal seizure

637 A. Local field potential (LFP) from an example channel during seizure 1 in Patient 3, with the 638 calculated passage of ictal wavefront marked by red dashed line, with ± 2 seconds shaded red. **B.** 639 Raster plot of all units found using convex hull template matching in this seizure, ordered by 640 firing rate, and plotted relative to the moment of ictal recruitment at that channel. C. Probabilistic 641 instantaneous firing rate of the population of single units as estimated by convolving a Gaussian 642 kernel (200 ms SD) over the spike times. The firing rate shown is probabilistic by scaling the 643 Gaussian kernel's amplitude by the likelihood of each individual spike originating from its 644 assigned preictal unit, as calculated by its voltage-time probabilities (see Methods & Fig. 3). As 645 such, the firing rate has not been biased by excessive matching of dissimilar waveforms during 646 the ictal activity. Note the intense, tonic firing during the seizure invasion, and sustained, above 647 baseline firing until seizure termination.

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648 **TABLES**

Patient	Demographics	Implant location	Seizure type	Seizure onset	Seizures analyzed	# units
1	30 M	Frontal (Left frontal convexity)	FIA	Left supplementary motor area	1	79
2	39 M	Frontal (Left dorsolateral frontal lobe)	FIA	Left frontal operculum	2	[61, 61]
3	25 F	Temporal (Left inferior temporal gyrus)	FIA	Left basal/ anterior temporal	3	[111, 101, 91]
4	19 F	Temporal (Right posterior temporal)	FTBTC	Right posterior lateral temporal	1	110
5	24 M	Temporal (Left inferior temporal gyrus)	FIA	Left mesial temporal with spread to lateral temporal	2	[120, 133]
6	30 M	Temporal (Right mesial temporal gyrus)	FA	Right subtemporal	1	240

649 Table 1. Demographics and data for patients implanted with Utah arrays

Individual patient demographics for grid/Utah array implant cases, including the number of
isolated single units found for each seizure in each patient. FIA: focal with impaired awareness;
FTBTC: focal to bilateral tonic-clonic.

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Patient	Demogr aphics	Implant locations with units	Seizure type	Seizure onset	Seizures analyzed	# units	Microwires with waveform alterations	Time of recruitment (s)	Clinical correlation
7	29 F	Mesial temporal (Left hippocampus)	FTBTC	Left lateral frontal	2	[2, 2]	Left hippocampus	16.3	Spread
8	29 F	Mesial temporal (Left hippocampus)	FA	Left mesial temporal	2	[2, 1]	Left hippocampal head	16.1	√+
9	55 F	Mesial temporal (Right hippocampus)	FIA	Right lateral temporal	1	9	-	_	√-
10	25 M	Mesial temporal (Left hippocampal body)	FIA	Right mesial temporal and right parietal (dual)	1	1	Left hippocampal body	42.7	Spread
11	19 M	Frontal (Right anterior cingulate)	FIA	Right lateral temporal	1	3	Right supplementary motor area	9.1	Spread
12	20 F	Frontal (Left anterior & mid- cingulate)	FA	Left mesial temporal	1	15	Left mid-cingulate	19.5	Spread
13	21 F	Mesial temporal (Left hippocampus)	FA	Left anterior temporal pole	1	1	-	_	√-
14	40 M	Mesial temporal/frontal (Left hippocampus & anterior cingulate)	FIA	Left lateral frontal	2	[9, 10]	Left hippocampus; Left mid-cingulate	39.3 (HC); 29.0 (MC)	Spread
15	25 F	Mesial temporal (Right hippocampus body & head)	FIA	Right lateral temporal spreading to mesial temporal	1	11	Right hippocampal body; Right hippocampal head	9.1 (HCB); 8.0 (HCH)	√+
16	35 F	Mesial temporal (Bilateral hippocampus)	FIA	Left mesial temporal	1	5	Left hippocampal head	8.0	√+
17	40 M	Mesial temporal (Left hippocampus)	FIA	Left mesial parietal	1	2	_	-	√-
18	39 M	Frontal (Right mesial cingulate)	FIA; FTBTC	Right mesial temporal and left orbitofrontal (2 types)	2	[8, 4]	_	_	√-
19	30 M	Frontal (Right mesial cingulate)	FA; FIA	Left mesial temporal and left insula	2	[1, 1]	-	_	√-
20	23 F	Mesial temporal (Right hippocampus)	FIA	Right posterior temporal	1	3	-	_	√-
21	35 M	Mesial temporal (Bilateral hippocampus)	FA	Right insula and somatosensory cortex	3	[7, 8, 7]	Right hippocampal head	Sub- threshold	Spread /X+
22	44 F	Frontal (Right anterior cingulate)	FTBTC	Left cingulate	1	1	Right anterior/mid- cingulate	15.2	Spread
23	51 F	Frontal (Left anterior cingulate)	FIA	Left mesial temporal	1	4	Left anterior cingulate	12.6	Spread
24	20 M	Frontal (Left anterior cingulate)	FIA	Left posterior temporal	1	1	-	-	√-
25	30 F	Frontal (Right anterior cingulate)	FIA	Left mesial temporal	1	1	-	_	√-
26	20 M	Mesial temporal (Right hippocampus)	FIA	Right mesial temporal	2	[1, 2]	Right hippocampal body	10.4	✓+
27	32 M	Mesial temporal (Bilateral hippocampus)	FIA	Bilateral mesial temporal	3	[4, 3, 3]	Hippocampal body	9.8	√+

654 Table 2. Demographics and results for patients implanted with Behnke-Fried arrays

Individual patient demographics for stereo-EEG/Behnke-Fried array cases, including the numberof isolated single units found for each seizure in each patient, implant locations that showed

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657 significant waveshape alterations, the delay until waveforms surpassed > 1 SD beyond the 658 preictal mean, and whether clinical observations matched these findings. FTBTC: focal to 659 bilateral tonic-clonic; FA: focal aware; FIA: focal with impaired awareness; HC: hippocampus; 660 MC: mid-cingulate; "Spread": matches clinical observations of seizure spread; \checkmark +: true positive 661 match for clinical observations; \checkmark -: true negative match for clinical observations; X+: false 662 positive match for clinical observations.

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Patient	Seizure #	Recruited?	Units from convex hull [n]	Units showing FR increase in ictal wavefront [n (%)]	Units showing significant FWHM increase [n (%)]	Units showing significant amplitude decrease [n (%)]	
1	1	Х	52	N/A	2 (4%)	3 (6%)	
2	1	Х	55	N/A	9 (16%)	8 (15%)	
	2	X	49	N/A	3 (6%)	2 (4%)	
3	1	\checkmark	80	77 (96%)	63 (79%)	20 (25%)	
	2	✓	80	70 (88%)	68 (85%)	38 (48%)	
	3	√	79	67 (85%)	66 (84%)	40 (51%)	
4	1	\checkmark	101	77 (76%)	93 (92%)	45 (45%)	
5	1	\checkmark	117	82 (70%)	114 (97%)	103 (88%)	
	2	✓	104	73 (70%)	85 (82%)	63 (61%)	
6	1	Х	221	N/A	105 (48%)	86 (39%)	

664 *Table 3. Single unit data from Utah array population analyses*

Total number of units found in each patient and seizure for population analyses in Utah array cases via the convex hull template-matching method, along with the number of units showing significant increases in firing rate, spike full-width-at-half-maximum (FWHM), and decreases in amplitude during the seizure.

669

NEURONAL ACTIVITY IN HUMAN SEIZURES

670 VIDEO LEGENDS

671 *Video 1. Location specific waveform changes during a spontaneous human seizure*

Local field potential (top) and spike amplitudes for three units in three different locations (middle; red, blue and purple), with associated spike waveforms shown to the right. Shading shows the mean ± 2 SD for these units' preictal spike amplitudes. The locations of the BF microwires that recorded these units are shown below, with colors maintained. Note the three activity patterns: cessation of firing at seizure onset in the anterior temporal lobe (blue), stability followed by loss of spike amplitude in the anterior cingulate (red), and stability throughout in the mid-cingulate (purple).

679 Video 2. Single unit waveform alterations in an ictal Behnke-Fried recording

680 Single units undergo waveshape changes upon recruitment to the seizure, shown in real-time. 681 Upper trace: MUA bandpassed signal (300 Hz to 5 kHz; white), with current time shown in red, 682 earliest electrographic ictal activity in the patient occurs at dashed magenta line, with local 683 recruitment occurring at blue dashed line. Lower panels: shaded regions show mean ± 2 SD for 684 preictal single units in red and blue, and lower amplitude multiunit activity in yellow (left). 685 Waveforms are displayed in real-time, with colors matching their assigned unit, and color 686 saturation showing the probability of a true match to that unit. The first two principal component 687 scores for these waveforms are shown on the right, with colors maintained. Note the stability of 688 waveforms prior to local recruitment, including after seizure onset, followed by marked loss of 689 amplitude at the moment of recruitment with associated tonic firing.