SMRT sequencing of the Oryza rufipogon genome reveals the genomic basis of rice adaptation

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21 Abstract

Asian cultivated rice is believed to have been domesticated from an immediate ancestral 22 23 progenitor, Oryza rufipogon, which provides promising sources of novel alleles for world rice improvement. Here we first present a high-quality *de novo* assembly of the typical O. 24 25 *rufipogon* genome through the integration of single-molecule sequencing (SMRT), $10 \times$ and Hi-C technologies. This chromosome-based reference genome allows a multi-species 26 comparative analysis of the annual selfing O. sativa and its two wild progenitors, the 27 annual selfing O. nivara and perennial outcrossing O. rufipogon, identifying massive 28 numbers of dispensable genes that are functionally enriched in reproductive process. 29 30 Comparative genomic analyses identified millions of genomic variants, of which large-effect mutations (e.g., SVs, CNV and PAVs) may affect the variation of 31 agronomically significant traits. We demonstrate how lineage-specific expansion of rice 32 gene families may have contributed to the formation of reproduction isolation (e.g., the 33 recognition of pollen and male sterility), thus brightening the role in driving mating 34 system evolution during the evolutionary process of recent speciation. We document 35 thousands of positively selected genes that are mainly involved in flower development, 36 ripening, pollination, reproduction and response to biotic- and abiotic stresses. We show 37 that selection pressures may serve as crucial forces to govern substantial genomic 38 39 alterations among the three rice species that form the genetic basis of rapid evolution of 40 mating and reproductive systems under diverse habitats. This first chromosome-based wild rice genome in the genus Oryza will become powerful to accelerate the exploration 41 42 of untapped genomic diversity from wild rice for the enhancement of elite rice cultivars.

43 Introduction

Asian cultivated rice (Oryza sativa L.), which is grown worldwide and is one of the most 44 important cereals for human nutrition, is thought to have been domesticated from an 45 immediate ancestral progenitor, O. rufipogon, thousands of years ago¹⁻⁵. During the 46 process of domestication under intensive human cultivation, rice has undergone 47 substantial phenotypic and physiological changes and has experienced an extensive loss 48 49 of genetic diversity through successive bottlenecks and artificial selection for agronomic traits compared to its wild progenitor^{6,7}. O. rufipogon span a broad geographical range of 50 global pantropical regions⁸, and for example, extensively occur in diverse natural habitats 51 in South China^{9,10}. Although Asian cultivated rice is predominantly selfing, estimated 52 outcrossing rates of Asian wild rice, which ranged from ~5 to 60%, showed that mating 53 system is associated with life-history traits and results in the differentiation into two 54 ecotypes: predominantly selfing annual O. nivara having high reproductive effort and 55 mixed-mating O. rufipogon with low reproductive effort¹¹⁻¹³. They offer promising 56 sources of novel alleles for rice improvement that is of crucial significance in world rice 57 production and food security. Many alien genes involved in rice improvement have 58 successfully been introduced through introgression lines from O. rufipogon and have 59 helped expand the rice gene pool important to the generation of environmentally resilient 60 and higher-yielding varieties¹⁴, such as the discovery of the "wild-abortive rice" in O. 61 *rufipogon* leading to a great success of hybrid rice¹⁵. 62

Despite this great interest, assembling a typical O. rufipogon genome has been 63 extremely challenging due to the nature of outcrossing and self-incompatibility that result 64 in a high rate of genome heterozygosity. This genomic complexity has long faced 65 leading-edge assembly procedures compared to six other AA- genome Oryza species¹⁶. 66 To overcome this challenge, we first present a chromosome-based assembly and 67 annotation of the typical O. rufipogon genome through the integration of single-molecule 68 69 sequencing, $10 \times$ and Hi-C technologies. We also performed a multi-species comparative analysis of O. rufipogon, O. nivara and O. sativa to offer valuable genomic resources for 70 unlocking the untapped reservoir of this wild rice to enhance rice breeding programs. 71

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73 **Results**

Genome sequencing, assembly and annotation. We sequenced the nuclear genome of O. 74 *rufipogon* (RUF) from a typical natural population grown in Yuanjiang County, Yunnan 75 Province, China. We performed a whole-genome shotgun sequencing (WGS) analysis 76 77 with the single-molecule sequencing platform. This generated clean sequence data sets of ~39.47 Gb with average read length of 12.6 kb and yielded approximately 102.253-fold 78 coverage (Table 1). The diploid FALCON-Unzip (version 0.3.0)¹⁷ assembler resulted in 79 an primary assembly of ~373.88 Mb with an contig N50 length of ~710.33 Kb 80 81 (Supplementary Table 1). FALCON-Unzip also generated a combined 23.85 Mb of 82 haplotype-resolved sequence, with an N50 of 29.47 Kb and a maximum length of 653.91 Kb (Supplementary Fig. 1; Supplementary Table 2). Both SMRT and Illumina reads 83 were used for the correction of genome assembly. Only the corrected primary contigs 84 were used for further scaffoloding. Aided with \sim 39.9 Gb (\sim 103× genome coverage) 10× 85 data, we further assembled contigs into scaffolds with an N50 length of ~2.21 Mb 86 (Supplementary Table 1). About 97.35% of the assembly falls into 290 scaffolds larger 87 88 than 100 Kb in length (Supplementary Table 3). To obtain a chromosome-based reference genome we sequenced ~103.9 Gb (~269× genome coverage) Hi-C data and 89 anchored ~364.46 Mb sequences into 12 pseudo-chromosomes using Lachesis¹⁸ with 90 91 default parameters based on syntenic relationship with the O. sativa ssp. japonica cv. 92 Nipponbare genome (MSU 7.0), representing ~94.42 % of the estimated genome size of O. rufipogon (~386 Mb) (Supplementary Table 4). The chromosomes lengths of the 93 94 RUF genome varied from ~22 Mbp (Chr12) to ~44 Mbp (Chr01) with an average size of 95 ~ 30 Mbp (Figure 1; Supplementary Figure 2; Supplementary Table 4). The 96 assembled genome was referred to as *Oryza rufipogon* v2.0, which showed an extensive synteny conservation with the O. sativa ssp. japonica cv. Nipponbare genome (MSU 7.0) 97 98 (Supplementary Fig. 2). To further improve the continuity of the genome assembly, captured gaps were filled using PBJelly2¹⁹. Thus, we obtained an assembly of 380.51 Mb, 99 100 with a contig N50 length of 1,096 Kb and a scaffold N50 of 30.20 Mb (Table 1; 101 Supplementary Table 1).

By adopting a method from Stefan et $al.^{20}$, we attempted to detect haplotype variations between primary contigs and haplotigs. The show-snp tool implemented in the

MUMER package²¹ was used to identity single nucleotide polymorphisms (SNPs) and 104 indels. After aligning the haplotigs against the genome sequence, we obtained a total of 105 84,227 SNPs and 54,407 indels, respectively. Using Assemblytics²², a web-based tool, 106 large variants (>= 10 bp) between primary contigs and haplotigs were detected. A total of 107 108 704 large variants were found, including 429 insertions, 247 deletions, 9 repeat expansions, 1 repeat contractions, 16 tandem expansion, and 2 tandem contraction 109 110 (Supplementary Fig. 3; Supplementary Table 5). This phased genome assembly has largely improved our understanding of haplotype composition and genomic 111 heterozygosity within a diploid genome that will help future rice breeding efforts. 112

To validate the genome assembly quality, we first mapped \sim 33.89 Gb of high-quality 113 reads to the assembled genome sequences, showing a good alignment with an average 114 mapping rate of 93.0% (Supplementary Table 6); second, we aligned all available DNA, 115 proteins of RUF from public databases and RNA sequencing (RNA-Seq) data obtained 116 from four libraries representing major tissue types and developmental stages of the 117 sequenced RUF individual, and obtained mapping rates of 86.94%, ~64.43% and 118 \sim 71.34%, respectively (Supplementary Table 6); and finally, we checked core gene 119 statistics using BUSCO²³ to further verify the sensitivity of gene prediction and the 120 121 completeness and appropriate haplotig merging of the genome assembly. Our gene predictions recovered 1,402 of the 1,440 (97.36%) highly conserved core proteins in the 122 123 Embryophyta lineage (Supplementary Table 6).

In combination with *ab initio* prediction, protein and expressed sequence tags (ESTs) 124 alignments, EvidenceModeler combing and further filtering, we predicted 34,830 125 126 protein-coding genes (Supplementary Table 7). Of them, 84.2% of the gene models 127 were supported by transcript and/or protein evidences (Supplementary Table 8). We also annotated non-coding RNA (ncRNA) genes, including transfer RNA (tRNA) genes, 128 ribosomal RNA (rRNA) genes, small nucleolar RNA (snoRNAs) genes, small nuclear 129 RNA (snRNAs) genes and microRNA (miRNAs) genes (Supplementary Table 9). In 130 131 total, 245 miRNA genes belonging to 77 miRNA families were identified in the RUF genome (Supplementary Table 9). The annotation of repeat sequences showed that 132 approximately 44.14% of the RUF genome consists of transposable elements (TEs), 133 larger than the amount (39.40%) annotated in the SAT genome with the same methods 134

(Supplementary Table 10). LTR retrotransposons were the most abundant TE type,
occupying roughly 25.87% of the RUF genome. We annotated 218,967 simple sequence
repeats (SSRs) that will provide valuable genetic markers to assist rice-breeding
programs (Supplementary Table 11).

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Multi-species comparative analysis of and genomic variation in O. rufipogon, O. 140 141 *nivara* and *O. sativa*. We performed a multi-species comparative analysis by comparing SAT with the two wild ancestral genomes, RUF and O. nivara (NIV)¹⁶ (Fig. 1; 142 **Supplementary Table 12**), obtaining an overall statistic of 515,500,353 bp and a total set 143 of 51,533 genes (Fig. 2A; Supplementary Table 13). Our results showed the increase of 144 total genes but the reduction of core genes from two pair rice genomes to the three 145 genomes (Fig. 2A). The core-genome size of the three species and average pan-genome 146 size of any two species accounted for $\sim 61.6\%$ (317,729,226 bp) and $\sim 92.1\%$ 147 (474,815,432 bp) of whole pan-genome (Fig. 2B; Supplementary Table 13), 148 respectively, suggesting that any single genome may not sufficiently represent the 149 150 genomic diversity encompassed within the rice gene pool. Approximately 27.4% (14,135 core genes) of the protein-coding genes were conserved across all three genomes, and 151 nearly 44.6% (22,979 genes) were present in more than one but not all three rice genomes, 152 representing the dispensable genome. Gene Ontology (GO) enrichment analysis showed 153 154 that core genes were enriched in fundamental biological processes, while the functional category of reproductive process was intriguingly enriched in dispensable genes (P <155 156 0.001; FDR < 0.001) (Supplementary Table 14).

The completion of high-quality genome sequences of both cultivated O. sativa and 157 158 the two immediate wild progenitors, O. rufipogon and O. nivara, enables us to detect genomic variation and characterize sequence variants of functionally important rice genes. 159 160 We compared these three genomes to unearth genomic variation including single-nucleotide polymorphisms (SNPs), insertions or deletions (InDels), structural 161 variants (SVs), copy number variation (CNVs) and presence-absence variation (PAVs) 162 (Fig. 1; Supplementary Fig. 4). SNPs and SVs were cataloged using reads mapping 163 analysis and the assembly-based method, yielding 4,997,466 SNPs and 817,238 InDels in 164 RUF and 3,794,980 SNPs and 779,252 InDels in NIV as compared to Nipponbare, 165

166 respectively (Supplementary Table 15). Notably, both wild rice (RUF and NIV) possessed considerably larger SNPs and InDels than cultivated SAT, and the outcrossing 167 168 species RUF had larger SNPs and InDels than the predominantly two selfing rice species, NIV and SAT (Supplementary Table 15). This result is in a good agreement with rather 169 170 high heterozygous SNP rates throughout the RUF genome than NIV and SAT (Fig. 2C; **Supplementary Fig. 5**). We examined the sequence variants for their potential functional 171 172 effects on protein-coding genes, and identified a total of 446,309 and 349,519 non-synonymous SNPs in RUF and NIV, respectively (Supplementary Table 16). 173 Besides, we detected 17,124 and 14,083 SNPs that resulted in stop codon gains and 2,218 174 and 1,730 SNPs that resulted in stop codon losses in RUF and NIV, respectively 175 (Supplementary Table 16). Although the size distribution of insertions and deletions 176 within protein-coding sequences indicated peaks at positions that are multiples of three 177 owing to negative selection on frame-shift InDels (Supplementary Fig. 6), 25,139 and 178 41,038 genomic SVs with large effect resulted in frameshifts in RUF and NIV, 179 respectively (Supplementary Table 16). The identification of SNPs, Indels and/or SVs 180 181 with large effect among SAT, RUF and NIV will accelerate the discovery of candidate genes related to the improvement of cultivated rice. 182

183 We integrated methods of reads mapping analysis and synteny comparisons to identify CNVs within hundreds of genes that had either gained or lost copies in RUF and 184 185 NIV compared to SAT. Of 319 genes affecting both RUF and NIV, 88 had CNV loss, 145 had CNV gain and 86 had both CNV loss and gain, while 6,940 and 940 genes occurred 186 187 CNV gain and loss, respectively, in either RUF or NIV alone (Supplementary Table 17). GO enrichment analysis indicated that genes function in flower development 188 189 (GO:0009908; P < 0.001) and abiotic and biotic stresses, such as stress response and resistance (R)-genes with nucleotide-binding site (NBS) or NBS-leucine-rich repeat 190 191 (LRR) domains and transcription factors were significantly enriched in genes affected by CNVs (P < 0.001) (Supplementary Tables 18, 19 and 20). Further analyses showed that 192 193 a large number of genes associated with rice flower development (Supplementary 194 **Tables 21-25)** and resistance (R)-genes with nucleotide-binding site (NBS) or NBS-leucine-rich repeat (LRR) domains are remarkably affected by CNVs (Fig. 2D; 195 196 **Supplementary Tables 26 and 27)**. The results suggest that wild rice genes affected by

197 CNVs may be involved in flower development, flowering time, reproduction, and 198 adaptation to changeable climatic environments and/or interaction with pathogens in 199 nature.

Altogether, we identified 35,906 RUF-specific and 49,620 NIV-specific PAVs that 200 201 account for ~ 26 Mbp of RUF-specific and ~ 32 Mbp of NIV-specific PAV (defined as > 100 bp and <95% identity) (Supplementary Tables 28 and 29 and Supplementary Fig. 202 203 7). There were 7,862 and 17,501 genes found to have at least 80% of their coding sequences composed of RUF- and NIV- specific sequences (Supplementary Table 29). 204 Notably, functional annotation shows that a large number of genes affected by 205 RUF-specific and NIV-specific PAVs are significantly enriched in functional categories 206 involved in the disease resistance, such as NB-ARC domain (PF00931, P < 0.001; FDR < 207 0.001), Leucine rich repeat (PF13855, P < 0.05; FDR < 0.05) and Leucine Rich Repeat 208 209 (PF00560, P < 0.05; FDR < 0.05), and response to environmental change, such as 210 oxidoreductase activity (GO: 0016491, P < 0.05; FDR < 0.05) (Fig. 2E; Supplementary 211 Tables 30 and 31). These RUF-specific and/or NIV-specific R-genes with NBS domains, 212 which are usually considered to mediate effector-triggered immunity acting as detectors for pathogen virulence proteins²⁴, represent an important portion of the dispensable rice 213 genome, some of which possibly reflect important gene sources of wild rice for the 214 215 adaptation to biotic stresses under diverse habitats.

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Accelerated evolution of gene families actively drives rice adaptation. To examine the 217 evolution of gene families underlying physiological and phenotypic changes and rice 218 species adaptation we compared the predicted proteomes of RUF, NIV and SAT, yielding 219 220 a total of 29,879 orthologous gene families that comprised 100,238 genes (Supplementary Table 32). This revealed a core set of 72,490 genes belonging to 17,454 221 222 clusters that were shared among all three rice species, representing ancestral gene 223 families in Asian cultivated rice and the two presumed wild progenitors (Fig. 3A). 224 Interestingly, 1,007 (2,473 genes), 437 (1,097 genes) and 239 (633 genes) gene clusters were found unique to RUF, NIV and Asian cultivated rice (SAT) (Fig. 3A). Functional 225 226 enrichment analyses of RUF-specific genes by both Gene Ontology (GO) terms and PFAM domains together revealed functional categories related to stress up-regulated Nod 227

19 (PF07712, P < 0.001), pathogenesis (GO:0009405, P < 0.001), pollen allergen 228 (PF01357, P < 0.001), and root cap (PF06830, P < 0.001) (Supplementary Tables 33) 229 and 34). Functional enrichment analyses of NIV-specific genes showed functional 230 categories related to petal formation-expressed (PF14476, P < 0.001) and photosynthesis 231 processes, such as photosynthesis (GO:0015979, P < 0.001), photosystem II 232 (GO:0009523, P < 0.001), photosystem II reaction center W protein (PsbW) (PF0712, P 233 234 < 0.001) (Supplementary Tables 33 and 34). Functional enrichment analyses of SAT-specific genes disclosed functional categories related to defense response 235 (GO:0006952, P < 0.001), response to oxidative stress (GO:0006979, P < 0.001) and 236 photosynthesis, such as photosynthesis (GO:0015979, P < 0.001), photosynthesis, light 237 reaction (GO:0019684, P < 0.001), photosynthetic electron transport chain (GO:0009767, 238 P < 0.001), photosynthetic electron transport in photosystem II (GO:0009772, P < 0.001), 239 photosystem (GO:0009521, P < 0.001), photosystem I (GO:0009522, P < 0.001), 240 photosystem II (GO:0009523, P < 0.001), photosystem II reaction center (GO:0009539, 241 P < 0.001), photosystem II stabilization (GO:0042549, P < 0.001), photosystem II 10 242 kDa phosphoprotein (PF00737, P < 0.001), photosystem II 4 kDa reaction center 243 component (PF02533, P < 0.001), photosystem II reaction centre X protein (PsbX) 244 (PF06596, P < 0.001), photosynthetic reaction center protein (PF00124, P < 0.001), 245 photosystem II protein (PF00421, P < 0.001) (Supplementary Tables 33 and 34). The 246 247 creation of new gene families in rice and the two wild progenitors may have contributed to the observed flowering-time phenotypic variation, response to biotic and abiotic 248 249 stresses and formation of reproductive isolation that are crucial for reproductive success and influence the abilities of adaptation in a remarkably diverse range of worldwide 250 251 habitats.

To understand the expansion or contraction of rice gene families causing phenotypic diversification we characterized gene families that undergo detectable changes and divergently evolve along different branches with a particular emphasis on those involved in phenotypic traits and environmental adaptation. Our results showed that, of the 23,755 gene families (29,193 genes) inferred to be present in the most recent common ancestor of the four studied rice species, 2,486 (3,567), 790 (2,060) and 526 (3,741) exhibited significant expansions (contractions) (P < 0.001; FDR < 0.001) in the RUF, SAT and NIV 259 lineages, respectively (Fig. 3B; Supplementary Fig. 8; Supplementary Table 35). 260 Remarkably, functional annotation demonstrates that a large number of genes enriched in 261 functional categories involved in the recognition of pollen (GO:0048544, P < 0.001) were significantly amplified in RUF but contracted in NIV in comparison with SAT 262 (Supplementary Table 36). Compared with NIV and SAT, however, genes enriched in 263 functional categories involved in the reproduction, including male sterility proteins 264 (PF03015, PF07993, P < 0.001) and petal formation-expressed protein (PF14476, P < 0.001) 265 0.001), were significantly contracted in RUF (Supplementary Table 37). Compared with 266 RUF and NIV we surprisingly found that gene families in SAT were significantly 267 enriched in a number of functions related to defense response (GO:0006952, P < 0.001), 268 response to oxidative stress (GO:0006979, P < 0.001), and photosynthesis in particular, 269 including photosynthesis (GO:0015979, P < 0.001), photosynthesis, light reaction 270 (GO:0019684, P < 0.001), photosynthetic electron transport in photosystem II 271 (GO:0009772, P < 0.001), photosystem I (GO:0009522, P < 0.001) and photosynthetic 272 reaction center protein (PF00124, P < 0.001) (Supplementary Table 36). 273

Among the highly expanded and contracted gene families, we found that 274 275 disease-resistance genes were significantly contracted in NIV but amplified in RUF and SAT, which are highly enriched in functional categories, including leucine rich repeats 276 (PF12799, PF13855, PF13504; P < 0.001), NB-ARC domain (PF00931, P < 0.001) and 277 Leucine rich repeat N-terminal domain (PF08263, P < 0.001) (Supplementary Tables 278 279 **35-37**). Whole-genome comparative analysis of the nucleotide-binding site with 280 leucine-rich repeat (NBS-LRR) genes further revealed a large expansion of gene families 281 relevant to an enhanced disease resistance in RUF. In total, we identified 576, 631 and 282 489 genes encoding NBS-LRR proteins in RUF, SAT and NIV, respectively 283 (Supplementary Table 38). The contraction in NIV versus RUF is mainly attributable to 284 a decrease in CC-NBS, CC-NBS-LRR, NBS and NBS-LRR domains. It is noteworthy that, compared to the two wild progenitors, SAT exhibited an expansion of NBS-LRR 285 genes, which mainly come from an increase of CC-NBS-LRR and NBS-LRR domains. 286 287 We positioned these orthologous *R*-genes (\sim 98%) to specific locations across the SAT chromosomes (Fig. 3C), showing an almost unequal distribution of the amplified 288 289 NBS-encoding genes throughout the entire genome, particularly on Chromosome 11,

which offer a large number of disease resistance candidate loci for further functionalstudies and rice breeding programs.

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Natural selection on rice genes. The three fairly closely related rice genomes provide a 293 294 good model to assess the adaptive evolution of rice protein-coding genes under natural selection. We identified 10,206 high-confidence 1:1 orthologous gene families that were 295 296 used to construct a phylogenetic tree and estimate divergence times among RUF, NIV and SAT using O. meridionalis (MER) as outgroup (Supplementary Fig. 9). Average 297 synonymous (dS) and nonsynonymous (dN) gene divergence values varied but are well 298 comparable to the branch lengths that account for lineage divergence (Supplementary 299 Fig. 9; Supplementary Table 39). Overall, the observed branch-specific ω values 300 (nonsyonymous-synonymous rate ratio, dN/dS) were 0.5352, 0.6598 and 0.5382 for SAT, 301 NIV and RUF, respectively (Fig. 4A; Supplementary Fig. 10; Supplementary Table 302 **39**), suggesting that these three rice species may have experienced purifying selection. To 303 test the hypothesis that the rapidly evolving genes showing increased dN/dS ratios have 304 been under positive selection and are further promoted by speciation²⁵, we looked for 305 such footprints using likelihood ratio tests for the same orthologous gene set from the 306 307 three AA-genomes. Consistent with previously reported genome-wide positive selection scans in the five rice genomes¹⁶, all tests identified a total of 2,053 non-redundant 308 309 positively selected genes (PSGs) (false discovery rate, FDR < 0.05) (Supplementary Tables 40-46). Besides 1,799 PSGs in the site model tests for all branches, we detected 310 that a total of 90, 199 and 476 branch-specific PSGs in SAT, RUF and NIV (Fig. 4B; 311 Supplementary Table 40). Comparing previous genome-wide scans for positive 312 selection²⁶, we detected strikingly large proportions of PSGs in the overall phylogeny of 313 rice species (~20.1%, 2,053) (Supplementary Table 40), which might be associated with 314 315 the process of recent speciation and subsequently rapid adaptation to particularly varying environments. 316

The inclusion of the three rice genomes for all non-redundant PSGs yields a statistically significant enrichment for GO categories that span a wide range of functional categories, of which 65 genes involved in "flower development" and 51 in "response to biotic stimulus" categories showed evidence for positive selection (**Fig. 4C**;

Supplementary Table 47). Flower development-related traits, flowering times, the 321 322 formation of reproduction, and adaptation to specific environments are crucial to and 323 characteristic of the rapid evolution of mating and reproductive systems of these three closely related rice species inhabiting on different natural habitats. Hence, it is interesting 324 325 that genes involved in flower development, reproduction, and resistance-related processes have been under positive selection in these species. With this in mind, we further 326 327 examined functional enrichment for branch- or species-specific datasets of PSGs, showing that there is the largest number of PSGs in NIV (Supplementary Table 47). 328 Notably, many candidate PSGs were significantly over-represented in categories related 329 330 to ripening, flower development, pollination, reproduction and response to extracellular stimulus in NIV (P < 0.001) (Supplementary Table 47). Indeed, we detected that up to 331 71 genes known to play an important role in ripening (e. g., MATE efflux family), flower 332 development (e. g., OsIDS1, RFL, Hd1, Ehd2, OsSWN1, OsRRMh) and reproduction (e.g., 333 CSA, RAD51C, OsGAMYB, TDR, GnT1, DPW, SDS, OsMSH5, OsABCG15, OsCOM1, 334 335 OsMYB80) pathways show signs of positive selection (Fig. 4D; Supplementary Table 48). 336 337

338 **Discussion**

The completion of the two subspecies genomes of O. sativa²⁷⁻³⁰ has greatly enhanced the 339 identification and characterization of functionally important genes for the rice community. 340 The availability of the first chromosome-based high-quality reference genome of O. 341 rufipogon, presented here, have contiguity improvements over the published O. rufipogon 342 genomes based on NGS technologies^{4,31}. This typical Asian wild rice genome is, to our 343 344 knowledge, the first long read assembly among numerous wild progenitors of domesticated crops, and now provides powerful genomic resources to investigate the 345 orthologous loci and genomic regions associated with agronomically significant traits of 346 347 cultivated rice. The past century has witnessed the achievement to breed environmentally resilient and high-yielding rice varieties owning to the introduction of alien genes of O. 348 rufipogon and other AA- genome relatives to expand the gene pool of Asian cultivated 349 rice³². Thus, the completion of the O. rufipogon genome together with the availability of 350 Nipponbare and six other AA-genomes^{16,27,33,34} will become valuable genomic resources 351 to enhance the exploitation of wild rice germplasms for rice genetic improvement. 352

Genomic variation has been extensively investigated through comparisons of 353 genome assemblies of the *Orvza* species^{16,31} and population genomic analysis of *O*. 354 *rufipogon* based only on Illumina reads³⁵. This study drew a map of genomic variation 355 and addressed questions that do not largely overlap former studies^{16,31,35}. We performed a 356 multi-species comparative analysis of reconstructed a pan-genome of the annual selfing O. 357 sativa and its two wild progenitors, the annual selfing O. nivara and perennial 358 359 outcrossing O. rufipogon, using de novo assembly and reads mapping-based methods. This study demonstrates the advantage of multi-species comparative analysis that the 360 cultivated rice genome alone may not adequately represent the genomic diversity of 361 whole rice species' gene pool. We show that a great number of dispensable genes were 362 functionally enriched in reproductive process, possibly forming the genetic basis of a 363 rapid evolution of mating and reproductive systems among the three rice species. 364

We catalogued a large data set comprising millions of genomic variants for cultivated and wild rice, of which large-effect genomic variants, including SNPs, InDels or SVs causing stop codon gain or loss and frameshift, CNV and PAVs, may affect a number of functionally important genes. These sequence variants that may associate with agronomic

369 phenotypes or QTLs of agronomic traits will be useful in improving rice cultivars, in 370 which rare alleles may be mined and functionally validated. They will also serve as dense 371 molecular markers to assess new allelic combinations for marker-assisted mapping of 372 agriculturally important traits in rice breeding programs.

Genome-wide structural variations are hypothesized to drive important phenotypic 373 variation within a species, and a number of CNVs and PAVs in R-genes across the species 374 have been extensively documented³⁶⁻³⁹. In this study, the multi-species comparative 375 376 analysis showed that a large number of candidate genes affected by CNVs associate with the adaptation to various abiotic and biotic stresses, flower development, flowering time 377 and reproduction. We also captured lots of RUF-specific and NIV-specific PAVs that 378 represent an important portion of the dispensable genome and affect genes significantly 379 enriched in the disease resistance. Such novel genes and/or alleles possibly reflect 380 important genetic materials from wild rice to adapt to diverse natural habitats, which may 381 be exploited to enhance increased resilience to climate variability in cultivated rice. 382

383 Our analysis shows an accelerated evolution of rice gene families, a considerable portion of which were de novo generated and/or experienced fast lineage-specific 384 385 expansions and contractions with significantly functional enrichment associated with physiological changes, phenotypic diversification and environmental adaptation from 386 their common ancestor during the past 1.5 Myr. A large number of genes associated with 387 the formation of reproduction isolation, such as the recognition of pollen and male 388 389 sterility, were differently amplified, suggesting that the accelerated evolution of these 390 gene families may have largely driven the variation and evolution of mating system among Asian cultivated rice and its two immediate wild progenitors.-Compared with the 391 392 perennial wild rice (RUF) the two annual rice species (SAT and NIV) showed a *de novo* generation and/or amplification of gene families significantly enriched in photosynthesis 393 394 processes, possibly resulting in the observed flowering-time phenotypic variation. Our analysis showed that disease-resistance genes have been significantly contracted in NIV 395 396 but amplified in SAT and RUF during the past 1.5 Myr. The expansion of this type of genes in RUF suggests that selection pressures in response to pathogenic challenge 397 398 potentiated adaptations to the diverse habitats in Asia and Australia. They provide a large number of disease resistance candidate loci for further functional genomic studies and 399

400 rice breeding efforts.

401 We identified thousands of candidate genes that may have been under positive 402 selection in at least one of the three rice species (SAT, RUF and NIV) during the process of speciation. Functional enrichment analysis further suggests that they are mainly 403 involved in flower development and response to biotic- and abiotic stresses that are 404 expected to show signatures of adaptive evolution in changeable environments. We 405 detected the largest number of PSGs occurred in the annual wild rice (NIV) as a result of 406 strong selection pressure, which were significantly over-represented in functional 407 categories related to flower development, ripening, pollination, reproduction and 408 409 response to extracellular stimulus. Our results indicate that natural selection may serve as crucial forces to drive a rapid evolution of mating and reproductive systems of these three 410 closely related rice species inhabiting on distinctive natural habitats. Further efforts will 411 be required to perform experiments of functional genomics to seek evidence about how 412 these genes genetically control environmental adaptation and/or phenotypic alterations. 413

A large collection of genomic variation and increased knowledge of gene and 414 415 genome evolution among Asian cultivated rice and its wild progenitors have made a solid foundation for searching novel gene sources from wild rice germplasm. The pan-genome 416 417 of these three rice species could be better resolved by sequencing extra rice genomes and improving individual genomes through the recent progress in SMRT sequencing 418 419 technology. These advances would also enable a precise detection of small-scale 420 structural variants as well as large-scale inversion and translocation events. Considering quick extinction and threatened status of the O. rufipogon populations in nature due to 421 severe deforestation in tropical and subtropical regions¹⁰, it is also our deep hope that the 422 genome assembly of this wild rice species and a large data set of genomic variation will 423 offer valuable resources to help efficient conservation of this precious wild rice species. 424

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426 Methods

427 **DNA and RNA extraction, library construction and sequencing.** An individual plant 428 of *Oryza rufipogon* was collected from Yuanjiang County, Yunnan Province, China. Fresh 429 and healthy leaves were harvested and used either directly for the isolation of nuclei or 430 immediately frozen in liquid nitrogen prior to DNA extraction. All collected samples

431 were eventually stored at -80°C in the laboratory after collections. High-quality genomic DNA was extracted from leaves using a modified CTAB method⁴⁰. The quantity and 432 433 quality of the extracted DNA were examined using a NanoDrop D-1000 spectrophotometer (NanoDrop Technologies, Wilmington, DE) and electrophoresis on a 434 0.8% agarose gel, respectively. Single-molecule long reads from the PacBio RS II 435 platform (Pacific Biosciences, USA) were used to assist the subsequent de novo genome 436 assembly. In brief, 20 µg of sheared DNA was used to construct three SMRT Bell 437 libraries with an insert size of 20 kb. The libraries were then sequenced in ten 438 single-molecule real time DNA sequencing cells using the P6 polymerase/C4 chemistry 439 combination, and a data collection time of 240 min per cell. A 10× Genomics library 440 441 was prepared using the GemCode Instrument and sequenced on the Illumina NovaSeq platform. The Hi-C library was constructed according to a published method⁴¹. Nuclear 442 443 DNA was cross-linked in situ, and then cut with restriction enzyme. The sticky ends of these fragments were biotinylated and then ligated to each other. After ligation, the 444 biotinylated fragments were enriched and sheared again for the preparation of sequencing 445 library. Finally, the library was sequenced on Illumina HiSeq X Ten platform. Besides, 446 we constructed the four libraries for 30-d-roots, 30-d-shoots, panicles at booting stage 447 and flag leaves at booting stage, which were sequenced on Illumina platform and *de novo* 448 assembled⁴². 449

450

De novo genome assembly and quality assessment. The assembly of PacBio long reads 451 was performed using FALCON (version 0.3.0)¹⁷ with the following parameters: 452 genome size = 380000000, seed coverage = 30, length cutoff pr = 5000, and max diff 453 = 100, max cov = 100. This consisted of six steps involving (1) raw reads overlapping; 454 (2) pre-assembly and error correction; (3) overlapping detection of the error-corrected 455 reads; (4) overlap filtering; (5) constructing graph; and (6) constructing contig. These 456 457 processes produced the initial contigs. The assembly was then phased using FALCON-Unzip¹⁷ with default parameters. Two subsets of contigs were generated, 458 including the primary contigs (p-contigs) and the haplotigs, which represent divergent 459 haplotypes in the genome. The assemblies were aligned to the NCBI nonredundant 460 461 nucleotide (nt) database to remove potential contamination from microorganisms using

462 BLASTN. Contigs with more than 90% length similar to bacterial sequences were removed. Both p-contigs and haplotigs were polished as follows: firstly, quiver in SMRT 463 Analysis (version 2.3.0)⁴³ was used for genome polishing using PacBio data with a 464 minimum subread length = 3000 bp and minimum polymerase read quality = 0.8. Next, 465 466 the Illumina data from short libraries (\leq 500 bp) were aligned to the polished assembly using BWA (version $(0.7.15)^{44}$ with default parameters, and then, Pilon (version $(1.18)^{45}$) 467 468 was used for sequence assembly refinement based upon these alignments. The parameters for pilon were modified as followed: --flank 7, --K 49, and --mindepth 15. Only the 469 primary contigs were used for further scaffolding. To link these contigs into scaffolds, 10 470 \times Genomics data were first mapped to the assembly using BWA-MEM⁴⁶, the resulting 471 files were sorted and merged into one BAM file using samtools (version 1.9.0)⁴⁷. The 472 barcoding information contained in 10x linked reads was used by fragScaff⁴⁸. The 10X 473 Genomics scaffolds were further scaffolded using Hi-C data. Briefly, Hi-C read pairs 474 were aligned to the scaffolds using BWA MEM algorithm. Then Lachesis¹⁸ was used to 475 assign the orientation and order of each sequence with the cluster number set to 12 and 476 477 other parameters as default. Manual review and refinement were performed to remove the potential errors. The gaps distributed among the pseudo-chromosome were filled with the 478 PacBio raw reads using PBJellv2¹⁹ with parameter settings "-minMatch 8 -minPctIdentity 479 70 -bestn 1 -nCandidates 20 -maxScore -500 -nproc 10 -noSplitSubreads". The assembly 480 was subject to two rounds of Pilon (version 1.18)⁴⁵ polishing to remove the sequencing 481 errors. 482

Haplotype variation was detected using MUMER package (version 3.23)²¹. The error-free haplotigs were aligned to the final assembly using nucmer (version 3.23) with the parameter: -maxmatch -1 100 -c 500. The program show-snp in the MUMER package was used to identify the SNPs and indels with the options –Clr –x 1 –T. A homemade script was used to convert the output into vcf format. Variants with a length of <= 10 bp were identified as small variants. Variants larger than 10 bp were identified using Assemblytics²².

Four approaches were used to evaluate the quality of *O. rufipogon* genome assembly. First, we mapped clean sequencing reads ($\sim 87 \times$) from short-insert size libraries back to the assembly using BWA (version 0.7.15)⁴⁴ with default parameters. Second, All genomic 493 and protein sequences publicly available in NCBI database (as of January, 2018) were downloaded and aligned against the genome assembly using GMAP (version 494 2014-10-22)⁴⁹ and genBlastA (version 1.0.1)⁵⁰, respectively. Third, RNA sequencing 495 reads generated in this study were assembled into transcripts using Trinity (version 496 v2.0.6)⁵¹ with the default parameters except that the min kmer cov option was 2, which 497 were then aligned back to our genome assembly using GMAP (version 2014-10-22)⁴⁹. 498 499 Finally, the completeness of the assembly was assessed with benchmarking universal single-copy orthologs $(BUSCO)^{23}$ collected from Embryophyta lineage. 500

501

Genome annotation. Repetitive sequences of O. rufipogon genome assembly were 502 503 masked prior to gene prediction. A combined strategy that integrates *ab initio*, protein and EST evidences were adopted to predict the protein-coding genes of O. rufipogon. 504 Augustus (version 3.0.3)⁵², GlimmerHMM (version 3.0.3)⁵³ and GeneMarkHMM 505 (version 3.47)⁵⁴ were used to detect the potential gene coding regions within *O. rufipogon* 506 genome. The protein sequences from O. sativa ssp. japonica cv. Nipponbare, O. nivara, 507 O. glaberrima, O. barthii, O. glumaepatula, O. longistaminata, O. meridionalis, O. 508 brachvantha, Zea mays, Sorghum bicolor, and Brachvpodium distachvon were aligned to 509 *O. rufipogon* genome assembly using GenBlastA (version 1.0.1)⁵⁰ and further refined by 510 GeneWise (version 2.2.0)⁵⁵. RNA-seq reads were first assembled into transcripts using 511 Trinity (version 2.0.6)⁵¹, and then aligned to the genome assembly using PASA (Program 512 to Assemble Spliced Alignments)⁵⁶ to determine the potential gene structures. 513 EVidenceModeler (EVM)⁵⁷ was used to combine all the predicted results from *ab initio*, 514 protein and EST evidences into consensus gene predictions. We filtered out gene models 515 516 with their peptide lengths \leq 50aa and/or harboring stop codons to obtain the final gene predictions of the O. rufipogon genome. We aligned the protein sequences of O. sativa 517 518 ssp. japonica cv. Nipponbare and the RNA-seq data of O. rufipogon generated in this study to assess the quality of gene prediction. Putative functions of the predicted genes 519 were assigned using InterProScan (version 5.3)⁵⁸. PFAM domains and Gene Ontology 520 IDs for each gene were directly retrieved from the corresponding InterPro entries. 521

522 Five types of noncoding RNA genes, including miRNA, tRNA, rRNA, snoRNA and 523 snRNA genes, were predicted using *de novo* and/or homology search methods¹⁶.

Transposable element (TE) were annotated by integrating RepeatMasker (www.repeatmasker.org), LTR_STRUCT⁵⁹, RECON⁶⁰, and LTR_Finder⁶¹. Simple sequence repeat (SSR) within *O. rufipogon* genome was identified using microsatellite identification tool (MISA)⁶². The minimum numbers of SSR motifs were 12, 6, 4, 3, 3 and 3 for mono-, di-, tri-, tetra-, penta- and hexa-nucleotides, respectively.

529

530 Gene family clustering and evolutionary analyses. OrthoMCL pipeline (version 2.0.9)⁶³ was used to identify gene families among *O. rufipogon*, *O. sativa*, and *O. nivara*. 531 First, protein sequences of O. sativa and O. nivara were separately downloaded from 532 MSU Rice Genome Annotation Project Database (http://rice.plantbiology.msu.edu) and 533 Oryza AA Genomes Database¹⁶. For genes with alternative splicing, only the longest 534 isoforms were used. Second, the filtered protein sequences from these three species were 535 compared using all-vs-all Blastp with an E-value of 1E-5. Finally, the gene families 536 among O. rufipogon, O. sativa and O. nivara were clustered using a Markov cluster 537 algorithm (MCL) with an inflation parameter of 1.5. 538

539 According to the presence and absence of genes for a given species, the species-specific gene families were retrieved and classified. An update version of CAFE 540 $(version 3.1)^{64}$ implemented with the likelihood model was used to examine the dynamic 541 evolution of gene families (expansions/contractions). Functional enrichment analysis for 542 543 genes with expansion, contraction or species-specific was performed using Fisher's exact test with false discovery rate (FDR) corrections. PFAM domains or GO terms for each 544 gene used in functional enrichment analyses were directly extracted from the 545 InterproScan entries. 546

547

548 Phylogenetic analyses. The orthologous and/or closely paralogous gene families among 549 O. rufipogon, O. sativa, O. nivara and O. meridionalis were constructed using 550 OrthoMCL pipeline (version 2.0.9)⁶³. For these gene families, only those with exactly 551 one copy within each species were retrieved and defined as conserved single-copy gene 552 families for subsequent phylogenetic tree construction. RAxML package (version 553 8.1.13)⁶⁵ was used to resolve the phylogenetic relationships among these four rice species. 554 Briefly, the coding sequences from the identified single-copy gene families were multiply

aligned using MUSCLE (version 3.8.31)⁶⁶ and concatenated to a super gene sequence for 555 phylogenetic analyses. All alignments were further trimmed using TrimAl (version 1.4)⁶⁷ 556 with the '-nogaps' option. The JmodelTest (version 2.1.7)⁶⁸ was used to determine the 557 best substitution models for phylogenetic reconstruction. Phylogenetic tree among O. 558 559 rufipogon, O. sativa, O. nivara and O. meridionalis was finally constructed using RAxML package (version 8.1.13)⁶⁵ based on the GTR+GAMMA model using O. 560 561 meridionalis as an outgroup. Bootstrap support values were calculated from 1,000 iterations. Divergence times among these species were estimated using the "mcmctree" 562 program implemented in the PAML package⁶⁹. 563

564

*R***-gene identification and classification.** Identification of *R*-genes within *O. rufipogon* 565 genome was performed using a reiterative method¹⁶. Briefly, the protein sequences of O. 566 rufipogon were first aligned against the raw Hidden Markov Model (HMM) of NB-ARC 567 family (PF00931) using HMMER (version 3.1b1)⁷⁰ with default parameters. High-quality 568 hits with an E-value of \leq 1E-60 were retrieved and self-aligned using MUSCLE (version 569 3.8.31)⁶⁶ to construct the O. rufipogon-specific NBS HMMs. Based on this O. 570 rufipogon-specific HMMs, scanning the whole O. rufipogon proteome was conducted 571 again and genes with the O. rufipogon-specific PF00931 domain were defined as R-genes. 572 The identified R-genes were further classified by TIR domain (PF01582) and LRR 573 574 domain (PF00560, PF07725, PF12799, PF13306, PF13516, PF13504 and PF13855). These two types of PFAM domains could be detected using HMMER (version 3.1b1)⁷⁰. 575 CC domains within *R*-genes were identified using ncoils⁷¹ with the default parameters. 576

577

578 Multi-species comparative analysis. We performed a multi-species comparative analysis 579 of the RUF, NIV and SAT genomes using a similar method as described in the building of the sovbean pan-genome³⁷. Firstly, we separately aligned the RUF and NIV genomes 580 against the SAT genome using "Nucmer" program (version 3.1) implemented in the 581 MUMmer package (version 3.23)²¹ with the parameters of "-maxmatch -c 100 -l 40". We 582 then mapped the NIV genome onto the RUF genome using MUMmer package with the 583 584 parameters of "-maxmatch -c 100 -l 40". Secondly, we processed the above-generated results from whole genome alignments (WGA) among the RUF, NIV and SAT genomes 585

using program of "*dnadiff*" (version 1.3) implemented in the MUMmer package²¹ to obtain more high-quality alignment results. Finally, we performed a tri-genome comparisons among the RUF, NIV and SAT genomes based on their pairwise WGA results using a customized perl script. The core-genome was defined as the most conserved genomic regions shared among the RUF, NIV and SAT genomes.

591

592 SNP and InDel identification. Homozygous SNPs and small InDels of the RUF and NIV genomes were directly extracted from the previous one-to-one while genome 593 alignments (WGA) using the SAT genome as a reference sequence, respectively. We then 594 separately detected SNPs and small InDels of the RUF and NIV genomes using GATK 595 $(version 3.5)^{72}$ based on the short read alignment results against their own genomes. We 596 combined the results from both WGA and GATK methods to obtain the final datasets of 597 598 genomic variation. We generated the SNPs and small InDels of the SAT genome based on its short reads alignment result. Putative functional effects of SNPs and InDels were 599 annotated using the ANNOVAR package⁷³. SNPs/InDels causing stop codon gain, stop 600 601 codon loss and frameshift were defined as large-effect mutations.

602

603 **Copy Number Variation (CNV) identification.** We identified the CNVs between RUF 604 and SAT as well as NIV and SAT using CNVnator (version 0.3)⁷⁴ based on the read depth. 605 The parameter used for CNVnator is "-call 100". The deletions/insertions with minimal 606 length of 500 bp and read depth less than 1.2 or larger than 1.8 of the mean genomic 607 depth are deemed as candidate CNVs. A custom script was used to perform CNV 608 annotation and genes with more than 80% of its exons in CNV region are considered as 609 candidate genes affected by CNVs.

610

Presence and Absence Variation (PAV) identification. We characterized genomic presence and absence variation (PAV) using the same method as described in the soybean pan-genome analysis³⁷. In this study, we defined and assigned four types of PAV: RS10 (presence in RUF but absence in SAT), RS01 (presence in SAT but absence in RUF), NS10 (presence in NIV but absence in SAT) and NS01 (presence in SAT but absence in NIV). To identify PAVs between the SAT and RUF genomes, we first extracted the 617 sequences that could not be aligned to the SAT genome. We then realigned them to the SAT genome and SMRT sequences from the *indica* genome using BLAST⁷⁵, and finally 618 619 filtered sequence stretches with an identity larger than 95%. RUF-specific sequences were obtained after excluding the potential bacterial contamination based on the BLAST 620 alignment against NT database. Genes with > 50% CDS regions covered by RUF-specific 621 sequences were defined as RUF-specific genes. Based on the short reads alignment 622 results, blocks with no mapped reads by RUF were defined as SAT-specific sequences. 623 Genomic regions with distance less than 500 bp were merged into one block. Genes that 624 overlapped these blocks with 50% length were considered as SAT-specific sequences. 625 The same process was used to identify the PAVs between the SAT and NIV genome. 626

627

Positively Selected Gene (PSG) identification. We employed the optimized branch-site model implemented in the PAML package (version 4.4)⁶⁹ to estimate the selection pressures on protein-coding genes from 1:1 high-quality orthologous gene families. We identified genes showing the positive selection in RUF, NIV and SAT lineage based on the likelihood ratio test (LTR) *P*-value. Genes with the *P*-value < 0.01 (FDR < 0.05) were retained and regarded as PSGs.

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635 Data availability

Raw nucleotide sequence data are available in the NCBI sequence read archive database

637 (BioProject PRJNA599011) under the accession number SRPXXXXX. The draft genome

has deposited in the NCBI whole-genome shotgun database (BioProject PRJNA599011)

under the submission number XXXXX (released upon article acceptance).

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846 Author contributions

L.Z.G. conceived and designed the study; C.S., G.H., X.G.Z., T.Z., D.Z. and Y.Z. contributed to the sample preparation and genome sequencing; K.L. and W.K.J. performed genome assembly; Y.T. and H.H. performed flow cytometry experiments; W.L. and Y.Z. performed genome annotation; Y.H., E.H.X., W.S.H., Q.J.Z., Y.L.L., Y.L., Y.C.N., J.Y. and C.W.G. performed data analysis; L.Z.G. and W.L. wrote the paper;

- 852 L.Z.G. revised the paper.
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854 **Competing interests:** The authors declare no competing interests.

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856 Tables

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Table 1. Summary of the genome assembly and annotation of *O. rufipogon.*

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Assembly	
SMRT Sequencing Depth (×)	102.3
10X Sequencing Depth (×)	103.0
Hi-C Sequencing Depth (×)	269.0
Estimated genome size (Mb)	388.0
Assembled sequence length (Mb)	380.51
Scaffold N50 (Mb)	30.20
Contig N50 (Kb)	1,096.43
Annotation	
Number of predicted protein-coding genes	34,830
Average gene length (bp)	2,921
tRNAs	637
rRNAs	1,085
snoRNAs	442
snRNAs	117
miRNAs	245
Transposable elements (%)	44.14

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863 Figure legends

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865 Figure 1. Genome feature and genomic variation of O. rufipogon. The outer circle represents the 12 chromosomes of O. sativa, along with the gene density 866 867 (non-overlapping, window size = 500 Kb). Moving inward, the four circles with line plot refer to the SNP, InDel, SV, and CNV distribution, respectively (non-overlapping, 868 869 window size = 500 Kb). O. rufipogon is indicated in blue, while O. nivara is represented in red. The inner two circles plotted with heat map display the sequence similarities of 870 871 orthologous gene pairs between O. sativa and O. rufipogon (blue), and between O. sativa 872 and O. nivara (red).

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Figure 2. Multi-species comparative analysis and genomic variation among O. 874 rufipogon, O. nivara and O. sativa. (A) Increase and decrease of gene numbers in pan-875 876 and core-genome. (B) Sequence composition of the pan-genome among RUF, NIV and SAT. (C) Exemplar patterns of single nucleotide polymorphisms on rice Chromosome 1 877 (see Supplementary Figure 9 for the other 11 chromosomes). (D) Number of *R*-genes 878 and MADS-box genes affected by CNVs. (E) Functional enrichment of genes affected by 879 PAVs. The top 10 PFAM functional categories for each PAV type are shown. * indicates 880 the significance of FDR < 0.05, while ** means FDR < 0.01. PAV types are represented 881 in a customized format, of which RS10 indicates that a PAV is present in RUF but absent 882 in SAT, NS10 denotes that a PAV is present in NIV but absent in SAT, RS01 shows that a 883 PAV is absent in RUF but present in SAT, and NS01 signifies that a PAV is absent in RUF 884 but present in SAT. 885

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Figure 3. Dynamic evolution of gene families. (A) Venn diagram shows the shared and unique gene families among RUF, NIV and SAT. (B) Expansion and contraction of gene families among RUF, NIV, SAT and MER. Phylogenetic tree was constructed based on 10,206 high-quality 1:1 single-copy orthologous genes using MER as outgroup. Bar plot beside or on each branch of the tree represents the number of gene families undergoing gain (green) or loss (red) events. Lineage-specific and -extinct families are colored in orange and light blue, respectively. Number at the tree root (23,755) denotes the total

number of gene families predicted in the most recent common ancestor (MRCA). The
numerical value below phylogenetic tree shows the estimated divergent time of each node
(MYA; million years ago). (C) Comparisons of disease-resistant genes among RUF, NIV
and SAT.

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Figure 4. Natural selection on rice genes. (A) Branch-specific ω values of RUF, SAT 899 and NIV estimated by using PAML. (B) Number of PSGs identified in RUF, SAT and 900 NIV lineage. (C) Functional enrichment of flower development and biotic stimulus 901 902 response-related PSGs compared with whole gene set. (D) Genome-wide distribution of PSGs. The outer ring represents the 12 rice chromosomes; the four circles from the 903 perimeter to the center separately refer to the dN, dS, PSGs, and dN/dS distribution for the 904 2,053 1:1 orthologous genes. The eighteen genes functionally associated with ripening 905 (green triangles), flower development (red triangles) and reproduction (blue triangles) are 906 marked. Black points in the inner circles show the dN/dS ratios < 0.5, while green points 907 908 indicate $0.5 \le dN/dS < 0.8$, and red points present $dN/dS \ge 0.8$.







