Running title: Flight, energy, and molecular rates

Flight demand and environmental niche are associated with molecular

evolutionary rates in a large avian radiation

Abstract

Among the macroevolutionary drivers of molecular evolutionary rates, metabolic demands and

environmental energy have been a central topic of discussion. The large number of studies

examining these associations have found mixed results, and have rarely explored the interactions

among various factors impacting molecular evolutionary rates. Taking the diverse avian family

Furnariidae as a case study, we examined the association between several estimates of molecular

evolutionary rates with proxies of metabolic demands imposed by flight (wing loading and the

hand-wing index) and proxies of environmental energy across the geographic ranges of species

(temperature and UV radiation). We found evidence that species that fly less have greater wing

loading and this is associated with accelerated rates of mutation. An elongated wing morphology

is associated with greater flight activity and with molecular signatures of positive selection or

reduced population sizes. Meanwhile, environmental temperature and UV radiation interact to

explain molecular rates at sites affected by selection and population size, contrary to the

expectation of their impact on mutation rates. Our results suggest that the demands of flight and

environmental energy pose multiple evolutionary pressures on the genome either by driving

mutation rates or via their association with natural selection or population size.

Keywords – Furnariidae, flight ability, hand-wing index, environmental temperature,

environmental UV radiation

Introduction

The factors driving molecular evolution are a long standing matter of interest in the biological sciences. Some of the most studied correlates of rates of molecular evolution on a macroevolutionary scale include life-history traits (Bromham 2011), metabolic activity (e.g., Garcia-Porta et al. 2019), and environmental energy (Wright et al. 2006). These factors are also likely to interact, with the evolutionary outcome depending on the balance between the costs of sources of deleterious mutations and the requirements of the particular lifestyle of species (Bromham 2009). Several studies have shown interactions among multiple correlates of molecular evolution (Lanfear et al. 2013; Bromham et al. 2015), but there is still a limited understanding of the relative contribution of biological versus environmental factors to rates of molecular evolution.

Metabolic rate is an important putative driver of molecular evolutionary rates because of the mutagenic effects of metabolism (Gillooly et al. 2005; Allen et al. 2006). Specifically, metabolism produces oxygen and nitrogen free-radicals (Barja 1999) which may cause novel mutations through damage to DNA (Cooke et al. 2003). Mass-specific metabolic rate is inversely associated with body mass (Martin and Palumbi 1993), which provides one explanation for the accelerated rates of molecular evolution in small vertebrates compared to large ones. While there is some evidence that metabolic rates are indeed associated with rates of molecular evolution (Bleiweiss 1998; Gillooly et al. 2005, 2007; Garcia-Porta et al. 2019), multiple studies have not found such an association (Mooers and Harvey 1994; Bromham et al. 1996; Lanfear et al. 2007; Galtier et al. 2009; Rolland et al. 2016).

An explanation for the mixed evidence of the impact of metabolism on molecular evolution is that there has been a heavy focus on body size and temperature as proxies of

metabolic rate (Gillooly et al. 2001), yet multiple biological factors can impact metabolic

requirements. For example, flight in birds is an extreme form of endurance exercise (Weber

2009) and is associated with high metabolic rates (Butler and Woakes 1990; Suarez 1992; Ward

et al. 2001, 2002), high respiratory rates (Guglielmo et al. 2002), high rates of catabolism of

lipids and proteins (Jenni-Eiermann et al. 2002), and oxidative stress (Jenni-Eiermann et al.

2014). Therefore, the high exposure to reactive species of oxygen and nitrogen in birds with high

flight demands (Jenni-Eiermann et al. 2014) might have a mutagenic effect leading to faster rates

of molecular evolution beyond the effects of temperature and body mass.

Alternatively, molecular evolution might not be dominated by mutagens driving the rate

of mutation, but by the origin of adaptations to highly demanding lifestyles. Among the many

adaptations in avian lineages for sustaining long periods of flight with high efficiency are a high

capillary density in flight muscles (Bishop et al. 1995; Maillet and Weber 2007), low wing

loading (Norberg 1995; Alerstam et al. 2007), high wing aspect-ratio (Norberg 1990; Pennycuick

2008; Taylor and Thomas 2014), and major changes in skeletal structures and tissues (e.g., West

et al. 2007; Dumont 2010). Flight demand might also lead to adaptations for lifestyles with high

metabolic turnover (i.e., a 'fast pace of life'): birds that undergo high flight demand are likely

adapted to having rapid growth, high fecundity, and short lifespans (Pérez-Tris and Tellería

2002; Ricklefs and Wikelski 2002; Wikelski et al. 2003; but see Winger and Pegan 2020). The

signatures for changes in molecular evolutionary rates as driven by flight demand might

therefore be predominant in regions of the genome influenced primarily by selection, rather than

mutation. In the absence of whole-genome sequences for large numbers of closely related

species, one window into genomic regions under different selective regimes is offered by

examining the relative contribution of synonymous and non-synonymous substitutions in coding

regions (Ohta 1992; Lanfear et al. 2010b).

Another widely studied factor that might drive molecular evolutionary rates is

environmental energy through its mutagenic effect on the genome (Martin and Palumbi 1993;

Allen et al. 2006). Studies in organisms including plants (Davies et al. 2004; Wright et al. 2006),

marine fishes (Wright et al. 2010b), and lizards (Garcia-Porta et al. 2019) have found

associations between environmental energy and molecular rates. The link between energy and

molecular evolution is often inferred using environmental temperature as a proxy of available

energy (Wright et al. 2006). Other studies have focused on UV radiation (e.g., Davies *et al.*

2004), a well understood mutagen (Pawlowski et al. 1997). Temperature and UV can also

interact, such that DNA repair is less effective at high UV radiation but low temperatures

(MacFadyen et al. 2004). However, it is unclear how UV and its interaction with temperature

might impact the germline in many organisms, like endothermic vertebrates (Alton and Franklin

2012; Ghanizadeh Kazerouni et al. 2016).

Environmental energy might also affect molecular evolution by mediating the fate of

novel mutations, as opposed to affecting basal mutation rates. For example, temperature might

influence the fitness effects of mutations, with maximal fitness occurring at around global

maximal temperatures (Puurtinen et al. 2016). Energy might also facilitate the accumulation of

biomass and allow high-energy environments to sustain larger numbers of individuals (Wright

1983; Currie 1991; Willig et al. 2003); in larger populations, selection is more efficient and

novel beneficial mutations are more likely to reach fixation rapidly (Kimura 1968; Ohta 1992;

Lanfear et al. 2014). High energy might also lead to reduced investment in thermoregulation,

allowing limited resources to be used in other activities, such as reproduction, and ultimately

allowing for novel adaptations (Turner et al. 1988).

We examined the association between the rates of molecular evolution and the metabolic

demands imposed by flight and environmental energy across species of the avian family

Furnariidae, a large radiation including the Neotropical ovenbirds and woodcreepers (Sibley and

Monroe 1990; Remsen Jr et al. 2012). Furnariids have undergone fast and consistent

diversification over the last 30My (Derryberry et al. 2011; Harvey et al. 2020), and inhabit a

broad range of habitats in South and Central America (Claramunt 2010). The species richness of

the family and range of habitats it occupies across broad gradients of altitude, latitude, and

environmental conditions make it an uniquely diverse clade in which to test the relative

association between molecular evolution and physiology and environmental niche (Fjeldså et al.

2005).

We focus on measurements of the flight apparatus, and environmental temperature and

UV radiation as proxies of metabolic demand and environmental energy, respectively. Using

data for more than half of the species of Furnariidae (63%) we provide the first near-species-

level examination of the correlates of molecular evolution in a large vertebrate radiation. We

assessed the association between wing morphology and environmental energy with molecular

evolutionary rates in a set of nuclear and mitochondrial genomic regions. Individual tests across

synonymous and non-synonymous positions were made to dissect the independent effects on

molecular signatures of selection or population size and mutation rate (e.g., Lanfear et al. 2010a;

Duchêne and Bromham 2013). The findings suggest a nuanced picture of the impact of flight

demands and environmental energy on genome evolution, and highlight several questions that

need to be addressed in genome-level studies.

Methods

Data collection

We used data on wing shape and body mass obtained from museum specimens as proxies
of the flight demands for 290 species in Furnariidae (Claramunt et al. 2012b). Specifically, we
measured the distance from the carpal joint to the tip of the longest primary feather (*WL*, the
traditional "wing length" measurement) and the distance from the carpal joint to the tip of the
first secondary feather (*S1*) and obtained body mass from specimen labels. With these
measurements we calculated the hand-wing index as $100(WL - S1)/WL$ and the wing loading as
$M/3WLS1$, where *M* is the body mass and $3WLS1$ is an estimate of the total wing area (Claramunt
and Wright 2017). The hand-wing index is proportional to the aspect ratio of the wings in
furnariids (Claramunt et al. 2012b) and therefore it is related to long-distance flight efficiency
(Norberg 1990; Pennycuick 2008). Wing loading is related to the power required for flight
regardless of the distance travelled, and is thus associated with the metabolic demand per unit of
time in flight (Rayner 1988; Norberg 1990; Bowlin 2007; Bowlin and Wikelski 2008).

All else being equal, wings with high aspect ratio and low wing loading are expected to
result in lower metabolic demands. However, across all birds, the relationship between the
efficiency of flight and lifetime metabolic demands may be positively correlated because the
species that show highly flight-efficient morphologies are also those that fly more frequently and
longer distances (Rayner 1988; Norberg 1990; Pennycuick 2008). Meanwhile, species with the
least efficient flight morphologies tend to avoid flight and thus experience lower metabolic
demands. Therefore, we first examined the relationship between flight efficiency and flight

behaviour in Furnariidae to validate the use of flight morphology as a proxy for metabolic

demands.

We used basic natural history information to characterize flight behavior of selected

species of Furnariidae. For well-understood species in each genus, we collected information

about seasonal movement (0 = year-round sedentary or territorial; 1 = non-breeding seasonal

displacements or nomadism; 2 = migratory), foraging behaviour (0 = flight rarely used during

foraging, short flights <10m seldom needed for crossing habitat gaps or commuting from

roosting or nesting sites; 1 = flight used sporadically during foraging, for moving through

habitats or for commuting; 2 = flight used regularly for all or nearly all foraging activities), and

foraging stratum (0 = ground, low dense vegetation or forest understory; 1 = midstory; 2 =

canopy). We used the sum of scores divided by the maximum value (6) as an index of the

lifelong flight habits of species, and used phylogenetic regression to examine the association

between these data and each of hand-wing index and wing loading (see methods in *Regression*

analyses). While these data are useful to verify expectations about wing metrics as proxies of

metabolic demand, the flight score was not tested for its association with molecular rates due to

its restricted sample size and approximate nature.

We collected data on environmental temperature and UV radiation across the ranges of

the species in the family Furnariidae. For all species, we downloaded georeferenced records from

GBIF (Global Global Biodiversity Information Facility, https://www.gbif.org/) and VertNet

(http://portal.vertnet.org/), discarding duplicated records and those outside the known

distribution range for each species according to expert-based maps (del Hoyo et al. 2016). Using

145,216 vetted records (mean per species = ~615), we estimated the mean and standard deviation

for the annual mean temperature from WorldClim data (Fick and Hijmans 2017) at 30 arc-sec

resolution, and for the annual mean UV-B from gIUV (Beckmann et al. 2014) with 15 arc-min

resolution. Among the environmental variables available, temperature and UV provide a broad

description of environmental energy, yet they are not simply correlated to each other; these

variables follow a triangular-shaped association, where high values of UV can also occur in

many habitats with low temperatures (e.g., high altitudes). Because current geographic

distributions may differ greatly from distributions in the past, contemporary measurements of

environmental variables can introduce noise to subsequent phylogenetic regression analyses.

This noise is likely to increase the Type II error rate but it is unlikely to cause a bias that

increases Type I error rate, so analyses of this type of data sets are in fact conservative (Davies et

al. 2004).

Genomic data were taken from a published phylogenetic study of Furnariidae analysing

sequence data from mitochondrial and nuclear markers collected for nearly every species in the

family (Derryberry et al. 2011). Using data from two nuclear and three mitochondrial loci (4023

nt of *recombination activating genes 1* and *2*; and 2076 nt of *NADH dehydrogenase subunits 2*

and *3*, and *cytochrome oxidase subunit 2*, respectively) from the source phylogenetic study of

Furnariidae, we identified species for which data were available for environmental variables,

wing loading, and hand-wing index. Our analyses focused exclusively on species with

measurements of wing metrics from known museum specimens, and species for which molecular

rates could be estimated with confidence (non-zero values, see below; N nuclear data = 55; N

mitochondrial data = 184; Supplementary Figure S1). A nuclear intron used in the original study

was not included. The molecular data and variables used in subsequent regression analyses are

available online (github.com/duchene/furnariidae_rates).

Estimates of rates of molecular evolution

Absolute rates of expected synonymous and non-synonymous substitutions per

nucleotide site per million years were estimated form the sequence alignments along the

branches of an existing time-calibrated tree of Furnariidae (Derryberry et al. 2011). To make

reliable analyses of molecular evolutionary rates, we first tested for potential biases from the

molecular substitution model using simulation-based tests of model adequacy and substitution

saturation as implemented in the software PhyloMAd (Duchêne et al. 2018). This procedure

assesses whether empirical data adhere to the assumptions made by the model by comparing

them with data simulated under the model. We then estimated the expected number of

synonymous and non-synonymous substitutions along branches (expressed as branch lengths)

using the MG94 model of codon evolution with codon frequencies estimated from the data

(Muse and Gaut 1994), implemented in HyPhy v2.5 (Pond et al. 2005). This model was used in

combination with the best-fitting nucleotide substitution model of the GTR+Γ family (Tavaré

1986). Synonymous and non-synonymous substitutions along branches were estimated

independently for mitochondrial and nuclear data. Terminal molecular branch-length estimates

were divided by the published time-estimate for each branch (Derryberry et al. 2011) and taken

as the mean molecular evolutionary rates of species branches.

The resulting synonymous substitution rates (d_S) are the rates of molecular changes not

influencing the amino acid being coded, and are proportional to the mutation rate under the

condition of no bias in codon usage (Kimura 1968). Meanwhile, non-synonymous substitution

rates (d_N) represent rates of amino-acid substitutions, and thus reflect the mutation rate and the

interaction between selection and population size (Ohta 1992). The d_N/d_S ratio is therefore

expected to reflect the effects from selection and population size, excluding the effect of the

mutation rate.

Regression analyses

We used phylogenetic generalized least squares (PGLS) regression models to examine

the relationship between wing loading, the hand-wing index and variables describing

environmental energy, and molecular evolutionary rates. Hypotheses were tested independently

using nuclear and mitochondrial data, and using each of d_N, d_S and d_N/d_S rates as response

variables. The explanatory variables in the model included the hand-wing index, mean

environmental temperature, and mean UV radiation. We also included body mass as an

explanatory variable in the models containing the hand-wing index, because body mass affects

most life-history traits (e.g., generation time, lifespan) and demography, so it has a possible

confounding effect of the association between the hand-wing index, environmental variables, and

molecular evolution (Bromham 2009). Interaction terms included that between body mass and

hand-wing index, allowing us to consider differences in biology between species of different

body sizes, and the interaction between the environmental temperature and UV radiation. Body

mass was not included in models together with wing loading given the strong association

between the two variables.

To account for non-independence of data due to relatedness among taxa, we used a

species-level phylogenetic estimate extracted from the original phylogenetic study (Derryberry et

al. 2011). We normalized the molecular variables using the *normalize* function of the R package

BBmisc (Bischl et al. 2017), and then performed a Box-Cox transformation on all molecular

variables and log transformation on body mass to adhere to least-squares model assumptions.

The lambda parameter for the Box-Cox transformation was estimated with *boxcox* function of

the *MASS* R package (Venables and Ripley 2002), adding as a constant value the minimum in

each variable plus 0.01 on the respective variable, to avoid negative values. PGLS regression

was performed under a lambda model of trait evolution, such that we estimated the best fitting

value of phylogenetic inertia in the residuals simultaneously with other parameters (Freckleton et

al. 2002). The parameters of PGLS regression models were optimized using the *pgls* function of

the *caper* R package (Orme et al. 2018), and residuals for each model were assessed visually for

normality. Because regression models containing each of the molecular variables and

environmental variables were ran twice, we verified that any results remained qualitatively

identical by adjusting *p*-values using false discovery rates.

Results

The use of flight in Furnariidae was negatively associated with wing loading ($p = 0.002$,

$R^2 = 0.24$) and positively associated with the hand-wing index ($p < 0.001$, $R^2 = 0.58$;

Supplementary Figure S2; full results available online, github.com/duchene/furnariidae_rates).

Therefore, flight-intensive habits tend to occur in species with low wing loading and high values

of the hand-wing index.

Regression analyses revealed a positive association between wing loading and both

mitochondrial d_N ($p = 0.04$, $R^2 = 0.05$) and d_S rates ($p = 0.013$, $R^2 = 0.05$; Table 1; full models

results available online, github.com/duchene/furnariidae_rates), indicating faster mutation rates

in species with higher wing loadings. We also found a significant positive interaction between

the hand-wing index and body mass in explaining mitochondrial molecular evolutionary rates

(d_N/d_S, $p = 0.031$, $R^2 = 0.04$; and d_N, $p = 0.035$, $R^2 = 0.06$; Table 2), but the interaction was not

observed in the proxies of molecular evolution most closely associated with mutation rates (d_S).

More specifically, there was a positive association between the hand-wing index and molecular

rates in small-bodied species of Furnariidae but a positive association between the hand-wing

index and molecular rates in large-bodied species.

There was a negative interaction between the two environmental variables in explaining

mitochondrial d_N molecular rates ($p = 0.033$). The direction of this interaction suggests that

species of Furnariidae have faster rates of d_N when environments in their geographic range have

relatively low temperatures but high exposure to UV radiation. As is common in analyses of

molecular evolutionary rate estimates, we found all linear models to explain low portions of the

variation in the data, with R^2 values consistently below 0.1 (Welch and Waxman 2008).

Table 1. Parameter estimates of the PGLS regression for models testing wing loading and environmental variables as explanatory of
molecular rates. A Box-Cox transformation was used for all molecular rates variables.
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Molecular rate response regression terms	Wing loading	Mean annual temperature	Mean UV radiation	Mean Annual Temp * Mean UV radiation	R^2
Mitochondrial d_N/d_S	0.02	0.027	-0.073	-0.084	0.011
Mitochondrial d_N	0.1*	-0.013	-0.185·	-0.162*	0.048
Mitochondrial d_S	0.121*	-0.055	-0.133	-0.105	0.048
Nuclear d_N/d_S	0.022	0.008	0.048	0.088	0.02
Nuclear d_N	-0.083	0.033	-0.036	-0.018	0.008
Nuclear d_S	-0.171	-0.025	-0.031	-0.092	0.055

262 *P*-values denoted · ≤ 0.1, * ≤ 0.05

263 **Table 2.** Parameter estimates of the PGLS regression for models testing the hand-wing index and environmental variables as
264 explanatory of molecular rates. A log transformation was used for the mitochondrial d_N/d_S rates, and a Box-Cox transformation was
265 used for all other molecular rates variables.
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Molecular rate response regression terms	Hand-wing index	Log body mass	Mean annual temperature	Mean UV radiation	Hand-wing index * log body mass	Mean annual temperature * mean UV radiation	R^2
Mitochondrial d_N/d_S	0.011*	0.053	0.011	-0.066	-0.113*	-0.083	0.042
Mitochondrial d_N	-0.04	-0.084·	-0.038	-0.193·	-0.122*	-0.158*	0.063
Mitochondrial d_S	-0.079	0.063	-0.075	-0.155	-0.062	-0.1	0.04
Nuclear d_N/d_S	0.225	0.132	-0.026	-0.003	-0.035	0.007	0.091
Nuclear d_N	0.177	-0.024	0.03	-0.073	-0.017	-0.083	0.032
Nuclear d_S	0.038	-0.251·	0.028	-0.054	0.038	-0.117	0.086

267 *P*-values denoted · ≤ 0.1, * ≤ 0.05

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Figure 1. Association between the (A, C) hand-wing index and mitochondrial molecular rates
associated with selection or population size; and between (B, D) wing loading and mitochondrial
molecular rates associated with mutation rates across species of the avian family Furnariidae.
Colours (A, C) represent mid-quartile values of body mass, where darker colours indicate species
with greater body mass. The predicted regression lines are also coloured for each of the mid-
quartile values of body mass. Molecular rates are shown normalized and under a Box-Cox
transformation.
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Figure 2. Association between environmental variables and mitochondrial d_N rates across species of the avian family Furnariidae. Colours represent mid-quartile values of UV radiation, where darker colours indicate greater values. Molecular d_N rates are shown normalized and under a Box-Cox transformation.

Discussion

Our study uncovered associations among wing metrics as proxies of the metabolic

demands related to flight, environmental temperature and UV radiation with molecular

evolutionary rates across the Neotropical avian family Furnariidae. We found evidence of

significantly higher mutation rates in species with higher wing loading, despite being associated

with infrequent flight habits. This result is consistent with the idea that highly demanding bursts

of metabolic activity, even if infrequent, can increase germline mutation rate. Our analyses also

reveal a positive association between wing shape as measured by the hand-wing index and non-

synonymous substitution rates, primarily in small-bodied species. The effects of flight demands

as indexed by wing shape and environmental energy on the genome might therefore occur via

selective pressures or effects on population size. This result is consistent with previous studies

showing that flight has led to a broad range of genomic as well as physiological adaptations

(Tobalske et al. 2003; Wright et al. 2014), and with evidence that metabolic rates do not have a

simple association with molecular rates (Lanfear et al. 2007). Our data also offer support for an

association between environmental energy and molecular evolutionary rates in birds (Gillman et

al. 2012), and for a negative interaction between temperature and UV radiation, in agreement

with previous evidence that these two measures of environmental energy can compensate each

other (Hoffman et al. 2003; Jin et al. 2019). Future genome-wide studies will be instrumental to

describe molecular regions most impacted by the mutation pressures and selection or population

size effects arising from energetically demanding flight and high-energy environments (Zhang et

al. 2014).

Theory predicts that metabolic rates can drive basal mutation rates (Allen et al. 2002;

Gillooly et al. 2005), and this is supported by our findings of faster mutation rates in species with

greater wing loading. However, the opposite might also be true, whereby factors associated with

low wing loading place greater pressure to reduce mutation rates. Most organisms likely exist at

an upper limit of mutations, such that even a minor increase in mutation rate may lead to an

unsustainable loss of fitness (Bromham 2011). For example, large-bodied mammals might

accumulate more mutations than small-bodied mammals because their long lifespan allows for

more generations of cells producing gametes, and this might interact with the smaller population

sizes of larger organisms to result in faster accumulation of deleterious changes (Bromham

2009). Nonetheless, instead of having a fast rate of accumulation of changes, large-bodied

mammals have relatively slow molecular evolutionary rates, possibly reflecting high fitness costs

of deleterious changes (Lynch 2010). Fast metabolic rates in birds with intense flights might

pose a similarly increased selective pressure to avoid deleterious mutations, reducing the basal

mutation rate. The positive association between wing loading and a signal of mutation rates

might therefore be caused by an increased exposure to mutagens from bursts of metabolism in

taxa with high wing loading (e.g., Lanfear *et al.* 2007; Rolland *et al.* 2016), or a pressure in taxa

with low wing loading (and frequent flight habits) to reduce mutation rates.

The differences in the results found using wing loading and hand-wing index can be

explained by the differences between the two metrics, given they capture different dimensions of

flight habits. Our data reveal that the two metrics follow opposite associations with flight habits.

Species with high wing loading also tend to have greater body masses and are generally more

sedentary. Meanwhile, species with high hand-wing indices fly more frequently and also more

economically compared with species with low hand-wing indices. Accordingly, wing loading is

more likely to correlate with the immediate metabolic demand caused by bursts of flight,

whereas the hand-wing index is more likely to correlate with the demands of regular or long-

duration flight. Experimental tests of these findings would be a fruitful area of future research

(e.g., Reynolds et al. 2014).

Instead of finding effects suggesting that measures of environmental energy are directly

associated with the rate of mutation, we found evidence that temperature and UV radiation can

interact to accelerate evolutionary rates in gene regions that can change the coded amino-acids.

Previous research has found this interaction to impact mutation rates: low temperatures have a

negative impact on DNA repair mechanisms and interact with the mutagenic impact of high

exposure to UV radiation (MacFadyen et al. 2004). Our finding of an association between flight

demand and evolution at regions associated with selection and population size suggests that the

interaction influences molecular evolution through mechanisms other than DNA repair. This

might be explained by the ecological challenges posed by environments with low temperatures

and high UV radiation, such as the reduced oxygen pressure at high altitudes. If this the case,

then habitats at extremely high or low energy levels might either drive novel adaptations or

maintain a reduced population size, elevating non-synonymous substitution rates.

Independent sources of evidence show that metabolism has placed a set of selection

pressures on bird physiology and genomics. For instance, powered flight requires high-energy

output (Suarez 1992) and metabolic efficiency (Kvist et al. 2001; Morris et al. 2010), and

selection related to the demands of flight is thought to influence genome evolution (Hughes and

Hughes 1995; Kapusta et al. 2017). A reduction in genome size is likely to have preceded the

emergence of flight in vertebrates (Organ and Shedlock 2009), yet further reductions are thought

to be associated with adaptations for flight, including rapid gene regulation (Organ et al. 2007;

Zhang and Edwards 2012) and the demands of particular flight modes (Wright et al. 2014). This

broad range of evolutionary changes in the genome offers an explanation for our results of

increased rates in genomic regions associated with selective pressures in the mitochondria.

Instead of placing pressure on the genome to remain unchanged, the wide-ranging adaptations

associated with flight demands have likely spurred mitochondrial evolution. Such adaptations

include changes in physiology and behavior associated with flight and with the remarkable

opportunities for niche differentiation that flying promotes (e.g., Gavrilov 2011; Claramunt *et al.*

2012a; Sol *et al.* 2012). Flight is likely to be a major driver of evolutionary change across

multiple genes (Zhang et al. 2014), and exploring larger nuclear regions is likely to provide

further insight onto the relative impacts of flight and the environment on avian genome

evolution.

Selection and population size often interact in complex ways to influence substitution

rates (Lanfear et al. 2014). For example, the relationship between population size and

substitution rate takes drastically different shapes depending on whether a genomic region is

under positive or negative selection. In the case of regions largely undergoing negative selection

(e.g., many protein-coding loci), large population sizes have a greater efficiency of selection,

resulting in relatively low substitution rates even under a constant selection coefficient. This

means that our data cannot determine whether the hand wing index is associated with

substitution rates via an effect on selection or on population size. For instance, our results may

reflect a negative association between population size and both the hand-wing index and some

measures of environmental energy. The furnariid miners (*Geositta*) and palm creepers

(*Berlepschia*) are examples of taxa with a high hand-wing index that are also likely to have low

population density. Similarly, tropical regions have previously been associated with lower

population density compared with regions with lower environmental energy (Lawrence and

Fraser 2020). Some alternatives that might disentangle effects of selection and population size on

molecular evolution in future research include the incorporation of independent estimates of

population size, or an extensive exploration of the relative impacts of the two factors on

molecular evolutionary rates.

Conclusions

Our study establishes an association between wing morphology and flight habits, and

provides evidence that flight and environmental energy can impact genome evolution via an

influence on basal mutation rates, as well as via natural selection or effective population size.

The metric of flight demand plays an important role in this result. Short but metabolically

demanding bursts of flight are associated with fast mutation rates, whereas frequent flights are

associated with natural selection or effective population size fluctuations. These results cement

the idea that flight demand is associated with a range of adaptations leading to positive selection

in large swathes of the genome (Zhang et al. 2014). Meanwhile, our data show further evidence

of interacting effects of sources of environmental energy on molecular evolution (e.g.,

MacFadyen et al. 2004), but likely tied with a range of adaptations or population constraints

found at extreme habitats and lifestyles rather than impacting mechanisms of DNA repair. Data

from whole genomes and detailed physiology across bird taxa (e.g., Jarvis et al. 2014; Feng et al.

2020; Sheard et al. 2020) will bring a more complete picture of the impact of metabolism,

population size, and the environment on avian genomic evolution.

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Supporting information

Figure S1. Phylogenetic estimate of the family Furnariidae (Derryberry et al. 2011), showing the

taxa included in regression models with mitochondrial (red) and nuclear (orange) data. Inclusion

depended on availability of data on all of the variables describing environment, wing loading,

hand-wing index, and molecular evolutionary rates.

Figure S2. Association between an approximate score of flight-intensive habits (0 = limited

flight habits, 1 = intensive flight habits; see Methods section) with (A) wing loading and (B)

hand-wing index across 44 genera of the avian family Furnariidae. Lines show the fitted PGLS

regression model.