

1 **Why most transporter mutations that cause**
2 **antibiotic resistance are to efflux pumps rather than**
3 **to import transporters**

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45 **Keywords**

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48 AMR – antimicrobial resistance – efflux pumps – transporters – antibiotics

49 **Abstract**

50

51 Genotypic microbial resistance to antibiotics with intracellular targets commonly arises from
52 mutations that increase the activities of transporters (pumps) that cause the efflux of intracellular
53 antibiotics. *A priori* it is not obvious why this is so much more common than are mutations that
54 simply inhibit the activity of uptake transporters for the antibiotics. We analyse quantitatively a
55 mathematical model consisting of one generic equilibrative transporter and one generic
56 concentrative uptake transporter (representing any number of each), together with one generic
57 efflux transporter. The initial conditions are designed to give an internal concentration of the
58 antibiotic that is three times the minimum inhibitory concentration (MIC). The effect of varying the
59 activity of each transporter type 100-fold is dramatically asymmetric, in that lowering the activities
60 of individual uptake transporters has comparatively little effect on internal concentrations of the
61 antibiotic. By contrast, increasing the activity of the efflux transporter lowers the internal antibiotic
62 concentration to levels far below the MIC. Essentially, these phenomena occur because inhibiting
63 individual influx transporters allows others to 'take up the slack', whereas increasing the activity of
64 the generic efflux transporter cannot easily be compensated. The findings imply strongly that
65 inhibiting efflux transporters is a much better approach for fighting antimicrobial resistance than is
66 stimulating import transporters. This has obvious implications for the development of strategies to
67 combat the development of microbial resistance to antibiotics and possibly also cancer
68 therapeutics in human.

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72 **Introduction**

73 In order to understand genotypic antimicrobial resistance and how to combat it, a starting point
74 should be an understanding of the main kinds of mutation that can cause it. For present purposes,
75 we assume that the molecular targets of the antibiotic are intracellular (and indeed when the
76 microbes themselves are inside host cells, their access presents its own problems ¹). Broadly,
77 these mutations are of then of three kinds ²⁻⁴: (i) mutations in or overproduction of one or more
78 targets of the antibiotic (e.g. DNA gyrase and topoisomerase IV for ciprofloxacin ⁵), (ii) mutations
79 that lead to inactivation of the antibiotic (e.g. of chloramphenicol ⁶ and aminoglycosides ⁷), or (iii)
80 mutations that affect the ability of the antibiotic to be transported to a compartment containing its
81 sites of action in the target microbe.

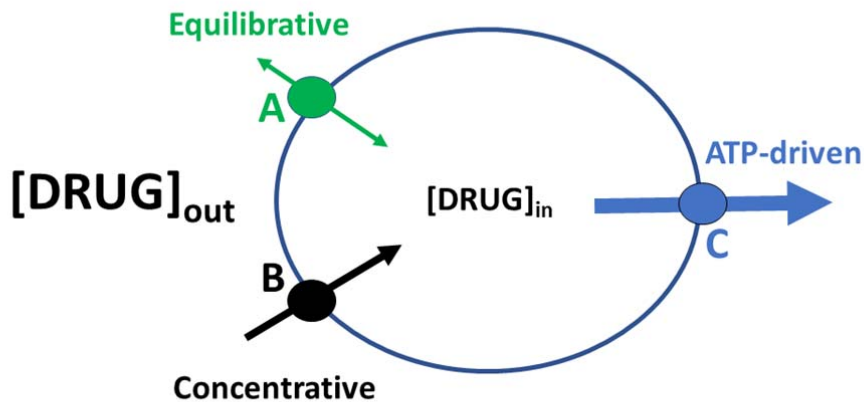
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83 To enter the target microbe, antibiotics (as do other drugs, e.g. ⁸⁻¹⁴) require transporters. (In Gram-
84 negatives, outer-membrane proteins may also play a role ¹⁵⁻¹⁷.) The precise identities of these
85 uptake transporters are in general not well understood, because mutations tend to lead only to
86 partial resistance. However, they have been identified for antibiotics such as aminoglycosides ¹⁸,
87 chloramphenicol ¹⁹, cycloserine ²⁰ and fosfomycin ^{21, 22}. In addition, bacteria have also evolved a
88 variety of efflux pumps that serve to remove such antibiotics (see later, and also many other
89 substances ^{23, 24}) from the cells. Thus, mutations that affect transporter activity can in principle
90 involve uptake transporters, efflux transporters, or upstream regulators of their activity. Our focus is
91 on this collective class, viz. transporters. In particular, consistent with the difficulty of identifying
92 transporters for their uptake, we note that the very great bulk of transporter-mediated resistance is
93 mediated via (multi-drug) efflux rather than influx transporters (e.g. ²⁵⁻⁴⁵). The focus of this article is
94 to enquire as to the reasons why this might be so.

95

96 To this end, we create a very simple and generic model (Fig 1), consisting of two types of influx
97 and one type of efflux transporter. For the influx transporters, one is a generic equilibrative
98 transporter and one is concentrative for uptake, i.e. it has the capability of raising the concentration
99 of the drug of interest to a higher level inside than outside. Such transporters necessarily require a
100 source of free energy; in prokaryotes this is mainly ATP ^{46, 47}. The effluxer is also taken to be ATP-

101 driven. We assume that a drug (antibiotic) has been added at 3x the minimum inhibitory
102 concentration (MIC), which for our purposes is taken to be 1 concentration unit in the case of the
103 wild type, but that the drug does not itself alter the expression levels of the transporters (cf. ⁴⁸).



104 Fig 1. The generic model in which we have a suite of (A) equilibrative and (B) concentrative influx
105 transporters, together with a generic ATP-driven efflux transporter.

106

107 Intuitively, lowering the internal concentration of the drug by blocking the concentrative one only
108 works if the equilibrative ones are collectively slower than an individual concentrator, and this is
109 unlikely if there are several. Similarly, trying to lower the internal concentration by blocking one of
110 the equilibrative ones would just let the concentrative one(s) 'pick up the slack'. This already
111 suggests the general reason why a partial inhibition of uptake activity might have comparatively
112 little effect. Of course if we start with the drug at a level above its MIC it is clear that increasing the
113 effluxer activity can serve to bring to a level below the MIC (and that lowering any starting efflux
114 activity would increase antibiotic sensitivity). We now wish to assess these intuitions by putting
115 some concrete numbers on these fluxes. In systems biology ⁴⁹⁻⁵³, this is commonly done by casting
116 the enzymatic rate equations into the form of ordinary differential equations, and this is what we do
117 here.

118

119 **Materials and methods**

120 As previously⁵⁴, all simulations were performed using COPASI, here version 4.27, with the LSODA
121 integrator⁵⁵⁻⁵⁷ (<http://copasi.org/>), which reads and writes SBML-compliant models⁵⁸⁻⁶⁰. It contains
122 a full suite of enzyme rate equations, and admits automated parameter sweeps. Model files
123 including the precise parameters are included as supplementary data.

124

125 The simulations were carried out with a differential equation-based model with three compartments
126 (Fig 1), viz. the intracellular space, the inner membrane, and the extracellular space (including the
127 periplasmic volume). Three different transporters are considered: transporter *A* is an equilibrator
128 that allows transport in both directions ($K_{eq} = 1$), *B* is a concentrative influx transporter; even
129 though allowing transport in both directions, it favors transport into the cell (modelled by setting
130 $K_{eq} = 10$ or $K_{eq} = 100$). *C* is an efflux pump that only transports the drug from the cytoplasm to the
131 outside.

132

133 The model was set up to mimic typical assays, and parameters were set to values that are
134 comparable to what is found in the literature as follows. Total volume of the assay is 150 μl (from
135⁶¹). Each assay is estimated to have 10^6 cells, with an average volume of 4×10^{-15} l per cell⁶²
136 (grown in rich media). Estimates of the proportion of volume taken by the periplasm are around
137 30%⁶³. Thus, the total cell volume in the assay is estimated at 4×10^{-9} l and the cytoplasmic volume
138 at 2.8×10^{-9} l. For the inner membrane surface area we adopt the average value in the range
139 considered by Wong and Amir⁶⁴ $34.5 \mu\text{m}^2$ ($3.45 \times 10^{-7} \text{ cm}^2$), which corresponds to a total
140 surface area of 0.345 cm^2 (*i.e.* for all 10^6 cells); note that Thanassi *et al.* provide an
141 estimate 3-fold lower (0.103 cm^2)⁶⁵.

142

143 Kinetic parameters for the efflux pump (*C*) come from Nagano and Nikaido for AcrB (part
144 of *acrAB/tolC*) with nitrocefin⁶⁶; they cite a K_m of 5 μM , k_{cat} of 10 s^{-1} and a V_{max} of 2.35×10^{-11}
145 $\text{mol/s}/10^9$ cells, which implies a total of 2.35×10^{-12} mol of transporter. Considering that our
146 simulation contains 10^6 cells, the adjusted amount of transporter is then 2.35×10^{-15} mol

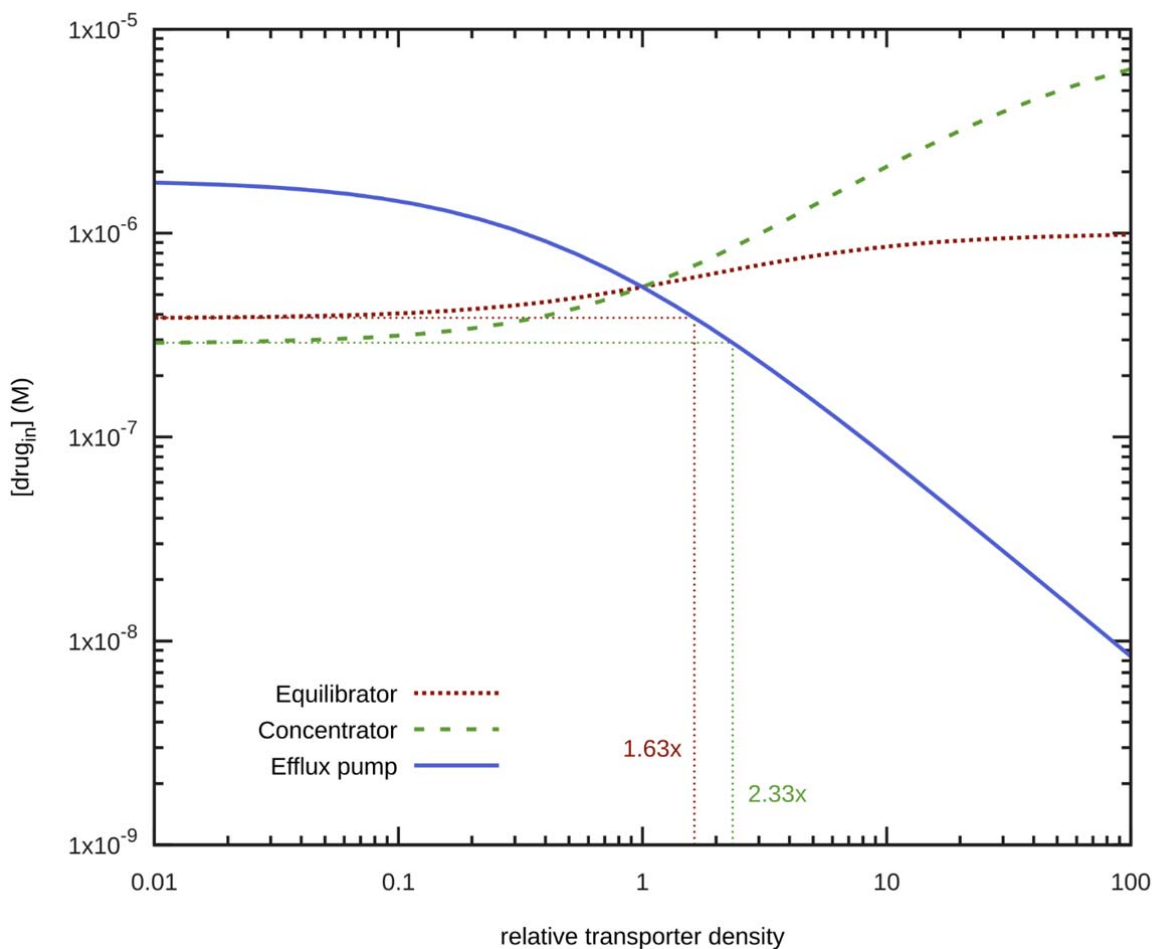
147 (considering the surface area estimated above, this corresponds to a surface density of 6.8×10^{-15}
148 mol/cm²) with a V_{max} of 2.35×10^{-14} mol/s, assuming the same k_{cat} as for nitrocefin. For K_m
149 we chose a higher value (500 μ M).

150

151

152 Results

153 Fig 2 shows our 'baseline simulation, in which a steady-state intracellular level of the drug similar
154 to that outside is obtained by balancing the three main fluxes.



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156 Fig 2 Effect of varying the relative rates of the three generic transporters individually on the
157 normalized accumulation of an antibiotic. Parameters as in Methods and the supplementary files,
158 with K_{eq} for transporter B set at 10.

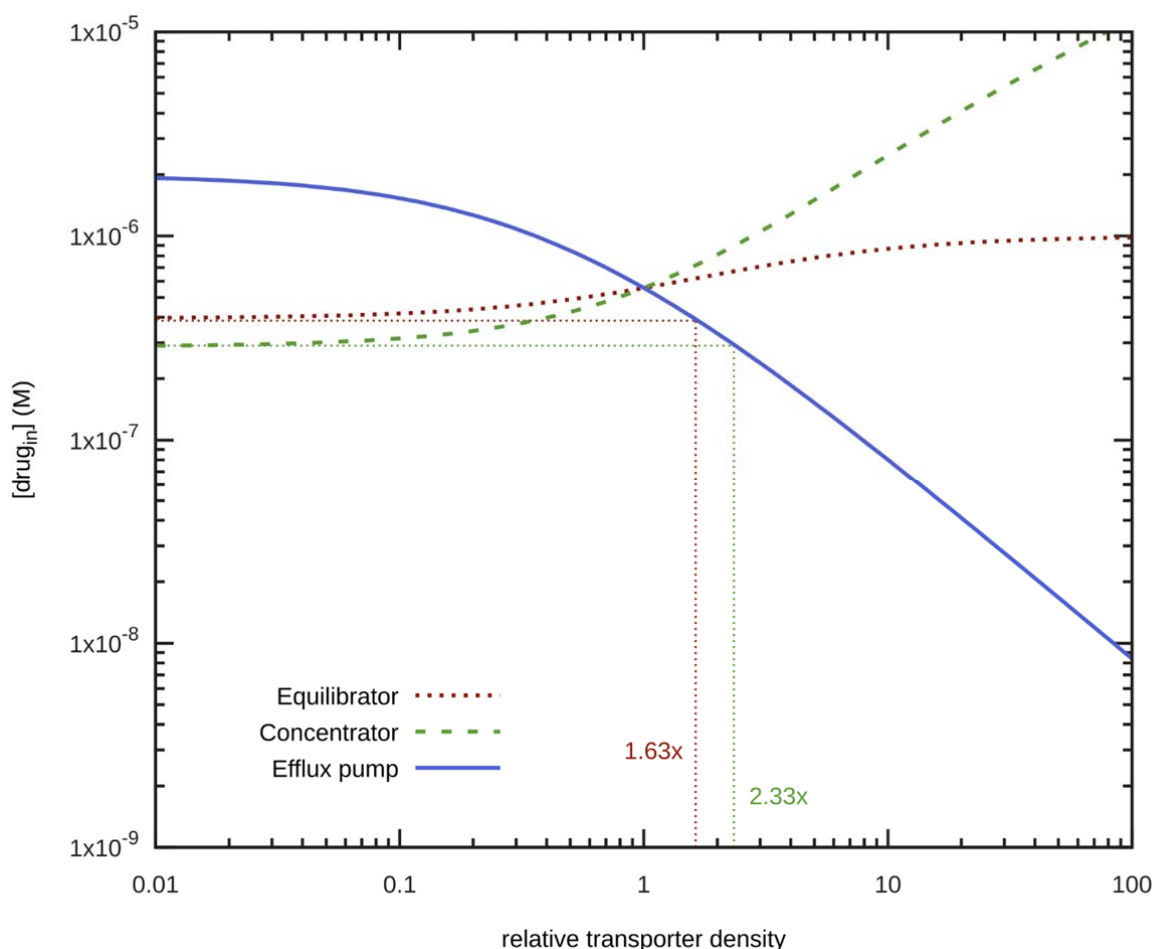
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160 It is clear that there is a very strong asymmetry; decreasing the individual activities of the
161 equilibrative or concentrative transporters even 100-fold has only a 1.63- or 2.33-fold effect on the
162 steady-state intracellular concentration of the drug, while increasing the effluxer activity by the
163 same amount lowers the intracellular concentration fifty-fold.

164

165 Changing the (maximal) degree to which the concentrator concentrates (viz 100-fold rather than
166 10-fold) also has no material effect on the results when individual transporter activities are lowered,
167 and only a marginal effect when the activity of the concentrator is raised (Fig 3, top right).

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169

170 Fig 3. Effect of varying the relative rates of the three generic transporters on the normalized
171 accumulation of accumulation of an antibiotic. Parameters as in Methods and the supplementary
172 files, with K_{eq} for transporter B set at 100.

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175 **Discussion**

176 Microbial resistance to antibiotics (AMR) remains a huge problem (e.g. ⁶⁷⁻⁷²). To this end, a major
177 cause is the ability of efflux pumps to create resistance to antibiotics by pumping them out from the
178 cytoplasm of cells (e.g. ²⁵⁻⁴⁵). This is true for cytotoxic substances more generally, including anti-
179 cancer drugs ^{42, 48}. Many efflux transporters are sufficiently active that even when the drug has
180 relatively tight intracellular binding sites they can effectively remove almost all of it, as is the case
181 with AcrAB/TolC and ethidium bromide ^{73, 74}. A recent experimental survey of several hundred gene
182 knockouts in *E. coli*, using fluorescent probes as antibiotic surrogates showed that dozens of such
183 efflux transporters could be active and thereby contribute to lowering the steady-state uptake ⁴⁷.
184 There is also considerable redundancy and plasticity ⁷⁵. Thus, as expected from metabolic control
185 analysis, while there is little effect of single-gene knockouts on fluxes ⁷⁶, there can be potentially
186 very large effects on the concentrations of intermediary metabolites ^{77, 78} or, as in our model, the
187 intracellular concentration of an antibiotic of interest,

188

189 If there is only a single influx transporter (or one that is overwhelmingly dominant) for a cytotoxic
190 drug of interest, as occasionally happens ¹³, inhibiting it can lower the toxicity of the drug
191 enormously; in the case of YM155 (sepantronium bromide) this could be by several hundredfold ¹³.
192 However, it is possible that mutation of a non-redundant influx transporter might also induce
193 significant metabolic costs, although there are also constraints ⁷⁹. Moreover, most cytotoxic drugs
194 can be taken up by multiple transporters ^{80, 81}, and affecting all of them simultaneously is probably
195 not realistic.

196

197 The consequences of our simple model are thus clear: in order to inhibit the development of
198 antimicrobial resistance, we need to be able to inhibit the efflux pumps that such bacteria possess
199 and use in abundance. To this end, it is indeed widely considered that inhibitors of efflux pumps
200 might well have a role to play in reducing AMR ^{42, 82-85}. The present simulations put this thinking on
201 a firm and quantitative footing.

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211

212 **Conflict of interest statement**

213 The authors declare that they have no conflicts of interest.

214

215 **Legends to figures**

216 (above)

217 **Supplementary information**

218 A zip file containing the COPASI model and results files.

219

220 **Author contribution statement**

221 EG and GSF originally posed the problem to DBK. DBK defined a suitable system and suggested
222 the idea of modelling it. PM ran all the simulations. All authors contributed to the writing of the ms.

223

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