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2	A	single nonsynonymous mutation on gene encoding E protein of Zika
3		virus leads to increased neurovirulence in vivo
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## 32 **Abstract:**

Zika virus can infect a wide range of tissues including the developmental brain 33 of human fetuses, causing from mild to severe clinical diseases. Whether its 34 genetic characteristics impacts on viral pathogenesis is incompletely 35 understood. We have obtained viral variants through serially passage of a 36 clinical Zika virus isolate (SW01) in neonatal mice in vivo and found some of 37 which exhibited markedly increased virulence and neurotropism. By deep 38 sequencing analysis, the more pathogenic viral variants were found to contain 39 four dominant nonsynonymous nucleotide mutations on genes encoding E and 40 NS2A proteins. Further investigation using molecularly cloned viruses revealed 41 that a single 67D (Aspatic acid) to N (Asparagine) substitution on E protein is 42 sufficient to confer the increased virulence and neurotropism. These findings 43 provide new insight into Zika virus pathogenesis and suggest novel targets for 44 the development of therapeutics. 45

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# 59 Author Summary:

Recent large outbreaks of Zika virus infection worldwide have revealed an 60 association between the viral infection and increased cases of specific 61 neurological problems including Congenital Zika Syndrome (including 62 microcephaly) and adult Guillain-Barré Syndrome. However, the determinants 63 of the increased neurovirulence of Zika virus remain uncertain. One hypothesis 64 is that some unique changes across the Zika viral genome have led to the 65 occurrence of these neurological diseases. To test this hypothesis, we 66 continuously propagated a clinical isolate of contemporary Zika virus (SW01) in 67 neonatal mice brain for 11 times to obtain an mouse central nervous system 68 (CNS) adapted Zika virus (MA-SW01) that showed significantly increased 69 neurovirulence in vivo. We then discovered that a single G to A nucleotide 70 substitution at the 1069 site of Zika virus open reading frame leading to a D 71 (aspartic acid) to N (asparagine) in viral Envelope protein is responsible for the 72 73 increased neurovirulence. These findings improve our understanding of the 74 neurological pathogenesis of Zika virus and provide clues for the development 75 of antiviral strategy.

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# 88 Introduction:

Zika virus (ZIKV) is a reemerging arborvirus that has gained worldwide attention 89 since its large outbreaks in Southern America and rapid spread to other 90 continents during 2015-1016. The virus was first isolated in the Zika forest of 91 Uganda in 1947, caused only a handful of documented self-liming mild febril 92 illness during a period of sixty years, and thus had long been neglected as a 93 human pathogen until causing major epidemics in Yap Island in 2007 (1), 94 French Polynesia in 2013 (2,3), and Americas since 2014 (4). These recent 95 large epidemics revealed some previously unappreciated facts that Zika virus 96 infection is strongly associated with increased incidence of microcephaly in 97 newborns, Guillain-Barré syndrome in adults, and persistent infection in male 98 genital tissues and organs (4,5). Among these severe complications, the link 99 between congenital Zika virus infection and birth defect or neurologic disorder 100 in infants has made the strongest psychological impact on the public (6-11). 101

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The long guiescent period followed by sudden major Zika outbreaks has been 103 104 postulated to be the results of viral sequence mutaions, increased competence of mosquito vector, and widely available susceptible populations (12). The 105 interaction between Zika virus and its host has been an area of intensive study, 106 in which specific links have been revealed, some of them emphasize the 107 influences of viral genetics . An evolutionary Alanine to Valine (A188V) mutation 108 on the NS1 protein of Zika virus increases virus infectivity in mosquitoes and 109 also helps to evade interferon induction in murine cells in vitro and mouse 110 model of infection in vivo (13,14). A Serine to Asparagine (S139N) mutation on 111 the prM protein of Zika virus strains isolated between 2013-2014 has been 112 associated with neurovirulence (15). By comparing functional differences 113 between African and Asian Zika virus strains, however, it was found that the 114 Asian strains responsible for the recent outbreaks and linked to microcephaly 115 do not have more infectivity to neuronal cells in cell culture in vitro (16), or more 116

neurovirulence in vivo than the older African strains (17,18). Other studies have 117 placed more emphasis on the global interations between virus and host. It has 118 been reported that high levels of virus RNA can persist in human fetal and 119 neonatal central nervous system (CNS) in vivo (19,20), and experimentally 120 infected fetal neurocytes in vitro (21). Preexisting anti-flavivirus immunity can 121 worsen clinical outcomes, through antibody dependent enhancement (ADE) of 122 Zika virus in murine models (22-24), but not in non-human primate models 123 (25,26). Overall, the existing literature concurs that Zika virus infection can 124 cause disorders in fetal and neonatal central nervous system, but reveals 125 uncertainty on the specific virus genetic features resulting such disease 126 127 outcomes.

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To study these questions on Zika virus pathogenesis, various animal models 129 have been developed, most of which use immune deficient mice that are 130 susceptible to Zika virus infection in vivo such as A129 (129 background, 131 deficient in IFN- $\alpha/\beta$  receptor), AG129 (129 background, deficient in IFN- $\alpha/\beta$  and 132 IFN-y receptors), A6 (C57/BL6 background, deficient in IFN-α/β receptor), AG6 133 (C57/BL6 background, deficient in IFN- $\alpha/\beta$  and IFN- $\gamma$  receptors); Stat2 deficient 134 mice, and Rag1 deficient mice (13,27-30). Zika virus can also infect wild type 135 neonatal mice and cause diseases that resemble to some extent microcephaly, 136 (31-33). Mechanistically, paralysis, and seizure these neurological 137 manifestations have been linked to Zika virus infection of neuron progenitor 138 cells and other neurocytes in neonatal mice in vivo (11,17,34). Of note, 139 comparative analysis between human and rodents has shown that mouse brain 140 at postnatal day 1-2 roughly corresponds to the human fetal brain at mid-141 gestation stage (35,36). Therefore, newborn mice have also been used as a 142 model for studying the influence of Zika virus infection on CNS development 143 144 and pathogenesis.

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Combining animal study and viral genetic analyses, here we report the isolation
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and characterization of Zika virus variants accumulated during sequential *in vivo* passage of a clinical isolate of Zika virus (SZ-WIV01) in neonatal mice
brain. Significantly, a viral variant with a single nonsynonymous nucleotide
mutation on position 1069 of Zika virus open reading frame (ORF) (G1069A),
causing an amino acid mutation (D67N) on the E protein, is sufficient to account
for 100-1,000 fold increase in neurovirulence in neonatal mice. These data
provide increased understanding of Zika pathogenesis.

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### 155 **Results:**

#### 156 In vivo adaptation of a clinical Zika virus isolate SW01 in neonatal mice

Zika virus SW01 (SZ-WIV01 strain) is a clinical isolate recovered in 2016 from 157 a Chinese patient returned from an epidemic region, Samoa (37), expanded on 158 C6/36 cells, titrated on Vero cells, and stored at -800C until use. To generate a 159 mouse-adaptive viral strain, we serially passaged Zika virus SW01 in neonatal 160 mice (Fig.1A). Two day post-neonatal (DP2) mice were injected with 1,000 PFU 161 of virus by intracranial (i.c.) route. Brains of infected mice were collected from 162 days 2 to 12 post infection, minced, filtered, and then used for measuring virus 163 titer with standard plague assay. In vivo virus growth kinetics results showed 164 that Zika virus SW01 replicates to a peak level of 10<sup>6</sup> PFU/ml at day 8, and then 165 gradualy decline to around 10<sup>4</sup> PFU/ml at day 12 (Fig.1B). And thus the day 8 166 viral stock was used to infect new DP2 neonatal mice from which viruses were 167 harvested from mouse brain on day 8 and used to perform the next round of 168 169 infection. After repeating this process for 11 rounds, we obtained a mouse adaptive virus of the SW01 strain, herein named MA-SW01(or MA-P11) 170 (Fig.1C). 171

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# 173 MA-SW01 virus is more virulent than its parental virus in neonatal mice

To characterize the mouse adapted Zika virus, 100 PFU of parental SW01 virus, 174 mouse adapted MA-SW01 virus, or negative control sterile PBS were i.c. 175 injected into newborn DP2 Balb/c mice and monitored for up to 25 days. All 176 mice in the SW01 group showed a slow and moderate disease progression 177 within 11-25 days (Fig.2A, 2C, 2D), in comparison, those in the MA-SW01 178 group showed more rapid weight loss, severe morbidity, and even death within 179 6-8 days (Fig.2B, 2C, 2D); indicating MA-SW01 is more virulent than SW01. To 180 determine whether the above effects are viral specific, a dose-response 181 experiment was performed. Different groups of DP2 Balb/c mice were i.c. 182 inoculated with 0.1, 1, or 10 PFU of SW01 virus or mouse adapted MA-SW01 183

virus, or sterile PBS control, and then monitored for 25 days. Results showed 184 that at 1 or 10 PFU of MA-SW01, 100% of mice died within 7-9 days; in contrast, 185 1 PFU of SW01 was not lethal, and 10 PFU of SW01 only caused 77.8% fatality 186 within a much longer period of 22-23 days. Even at 0.1 PFU, 62.5% mice in the 187 MA-SW01 group died within 10-11 days post infection, and the remaining 37.5% 188 survived for at least 25 days, which was the entire duration of observation; the 189 same dose of 0.1 PFU of SW01 did not cause any death (Fig.S1A). These data 190 demonstrated that MA-SW01 impacts on pathogenesis in a dose dependent 191 192 manner.

To mimic natural Zika virus infection, 100 PFU of the parental SW01 virus or 193 mouse adapted MA-SW01 virus was injected subcutaneously (s.c.) to DP2 and 194 DP7 Balb/c mice and monitored for survival and body weight. Results showed 195 that infection by SW01 virus was nonlethal to either DP2 or DP7 mice; in 196 contrast, inoculation with the MA-SW01 virus caused 100% mortality in DP2 197 mice within 6-8 days, and 25% death in DP7 mice at 15 days post infection 198 199 (Fig.S1B). Together with previous results (Fig.2C), these data indicate that the virulence of MA-SW01 is age-dependent, but not related to the route of infection. 200 To examine whether the increased virulence of the mouse adapted MA-SW01 201 virus is limited to only one specific mouse strain, we next injected s.c. to DP2 202 C57/BL6 mice with either SW01 or MA-SW01 virus, or sterile PBS as control, 203 and then monitored them for 15 days. Results showed that MA-SW01 infected 204 C57/BL6 mice exhibited 100% mortality at 6-7 days post infection, whereas only 205 44.4% of SW01 infected mice died at 15 days post infection (Fig.S2). Thus, the 206 increased virulence of mouse adapted MA-SW01 virus is not restricted to one 207 specific mouse strain. 208

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# 210 Increase virulence of MA-SW01 is associated with greater viral replication

### in multiple organs including brain

- Previous studies have shown that a low-dose of Zika virus infection  $(2 \times 10^3)$
- 213 PFU) in C57/BL6 neonates led to a limited but detectable level of infection in 9/32

mouse brain, and a lower mortality rate than infection of immune deficient A6 214 mice (IFN $\alpha/\beta R^{-/-}$ , C57/BL6 background) (31). In comparison, a high-dose of 215 Zika virus infection (10<sup>6</sup> TCID50) in P1 neonatal C57/BL6 mice caused systemic 216 infection and 100% death (32). To examine whether the MA-SW01 virus has 217 adapted to replicate more efficiently in mice to cause systemic infection, and 218 consequently increased virulence, DP2 Balb/c mice were infected s.c. with 100 219 PFU of SW01 virus or MA-SW01 virus, and then the viral loads in tissues 220 including brain, eyes, blood, spleen, and kidney were guantified by real time-221 gPCR at 3 and 6 days post infection. Results showed that higher level of Zika 222 223 virus RNA was detected in the brain of MA-SW01 infected mice at 3 days post infection, and higher viral loads in multiple tissues (brain, eye, blood and spleen) 224 were observed in MA-SW01 infected mice than SW01 infected mice at 6 days 225 post infection (Fig.3A). Specifically, the average viral RNA level in the brain of 226 MA-SW01 infected mice was about 15-fold and 488-fold higher than that of 227 SW01 infected mice at 3 and 6 days post infection, respectively; in eyes, the 228 229 average viral RNA level of MA-SW01 infected mice was 22-fold higher than that of SW01 infected mice at 6 days post infection; in spleen, the average viral RNA 230 level of MA-SW01 infected mice exhibited approximately 5-fold increase 231 compared to SW01 infected mice at 6 days after infection; in blood, the average 232 viral RNA level of MA-SW01 infected mice was 54-fold higher than mice 233 infected by SW01 virus at 6 days post infection(Fig.3A). 234

It is interesting to note that the average viral copy number in the brain of MA-235 SW01 infected mice was dramatically higher (about 488-fold) than that of SW01 236 infected mice at day 6 post infection (Fig.3A). More viruses in the brain may be 237 explained by two possibilities, one is that MA-SW01 virus replicates more 238 efficiently than SW01 in the central nerve system (CNS); another is that MA-239 SW01 virus has increased neuro-invasion efficiency. To investigate these two 240 possibilities, DP2 Balb/c mice were infected with 100 PFU SW01 virus or MA-241 SW01 virus by intracranial (i.c.) inoculation and viral RNA in the brains were 242 quantified by real-time qPCR. Results showed that viral RNA of MA-SW01 243 10 / 32

group was not significantly different from that of SW01 group at 3 days post 244 infection (Fig.3B), but 13.8 fold higher than that of SW01 group at 6 days post 245 infection (Fig.3C), suggesting more efficient replication of MA-SW01 in the 246 brain. Given that viral RNA level in the brain of MA-SW01 group was about 15 247 fold higher than that of SW01 group at day 3, even with s.c. inoculation (Fig.3A), 248 we deduced that the more virulent MA-SW01 virus has great penetration to 249 brain. To more directly visualize viral infection in the brain, immunofluorescence 250 staining of virus E protein in brain tissue sections was performed. Dramatically 251 stronger fluorescent intensity indicating Zika virus E protein was detected in 252 MA-SW01 infected mouse brains at 6 days post infection, compared to that 253 inSW01 infected mice (Fig.3D). More detailed brain staining analyses showed 254 that cortex and hippocampus regions are major sites for MA-SW01 infection 255 (Fig.S3A, S3B, and S3C), albeit the specific target cells in these tissues are 256 currently unclear. Collectively, the above data indicate that MA-SW01 virus also 257 has increased tropism to neuronal tissues. 258

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#### 260 The MA-SW01 virus has four high frequency nonsynonymous mutations

To exploit whether the increased virulence of mouse adapted MA-SW01 virus 261 is the result of unique genetic characteristics or quasispecies properties, we 262 compared the MA-SW01 virus with its parental virus SW01 at the phenotypic 263 and genetic levels. Biological clones derived from MA-SW01 virus (MA-1, MA-264 2, MA-3, MA-4, MA-5, MA-6, MA-7, MA-8, MA-9 and MA-10) were found to be 265 more virulent than clones from SW01 (SW-1, SW-2, SW-3, and SW-4) (Fig.S4), 266 indicating the increased virulence of MA-SW01 is not a reflection of viral 267 quasispecies but may be related to specific genetic characteristics. To uncover 268 the genetic changes during in vivo adaptation that might have caused the 269 increased virus virulence, RNA was extracted from the original SW01 viral stock 270 and mouse adapted MA-SW01 virus, and then subjected to sequencing by the 271 next generation sequencing (NGS) method. Intrahost single nucleotide variant 272 (iSNV) across the whole genome was analyzed by CLC genomic workbench. 273 11 / 32

Results showed 6 nucleotide substitutions (G1069A, G1074A, C1089T, 274 A1330G, G3787A and T6036C) over 80% reads in the ORF (open reading 275 frame) of mouse adapted MA-SW01 virus (Fig.4A). Among these substitutions, 276 four were nonsynonymous mutations (G1069A, G1074A, A1330G and 277 G3787A), and two were synonymous mutations (C1089T and T6036C); the four 278 nonsynonymous mutations led to three amino acid changes on virus E protein 279 (D67N, M68I, N154D), and one on NS2A protein (A117T) (Fig.4B). Of note, 280 N154 is a unique glycosylation site on Zika virus E protein, and it has been 281 shown to support Zika virus infection in adult immune-deficient mice by either 282 enhancing virus neuroinvasion or facilitating DC-SIGN binding (38,39). 283 However, when Zika virus was inoculated intracranially in neonatal mice, the 284 deletion of N154 glycosylation had no impact on virus virulence (40). 285 Collectively, these published data suggest that the N154D mutation may not be 286 linked to the augmented infectivity and increased neurovirulce we have 287 observed for the mouse adapted MA-SW01 virus. Hence, we focused on the 288 289 other 3 amino acid mutations (D67N, M68I on E protein, and A117T on NS2A protein) for further study. 290

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#### 292 Mutations on E protein are required for increased virulence

Based on a widely used Zika virus infectious clone pFLZIKV (41), three mutant 293 viruses (CM1, CM2 and CM3) were constructed: CM1 includes D67N and M68I 294 mutations on E protein; CM2 includes the A117T mutation on NS2A protein; 295 CM3 contains all three substitutions on E and NS2A proteins (Fig.5A). In BHK-296 297 21 cells, 1-3 days after transfection with *in vitro* transcripted RNA from these viral constructs, virus E protein expression was examined with a monoclonal 298 antibody. Results showed that all three molecularly cloned mutate viruses were 299 rescued and replicated efficiently (Fig.5B). These molecularly cloned mutant 300 viruses (CM1, CM2, and CM3 virus) were then used to infect DP2 Balb/c mice 301 i.c. at 10 PFU/mouse, using the parental molecularly cloned CAM-WT virus as 302 a control, and then monitored for 25 days. Results showed that CM1 and CM3, 303 12 / 32

but not CM2, were more virulent than parental virus CAM-WT in neonatal mice
(Fig.5C). Since both CM1 and CM3 contain 2 mutations on E protein (D67N,
M68I), and CM2 only contains the single NS2A mutation, the above results
suggest that the E protein mutations were determinants of increased virulence
of MA-SW01, and the NS2A mutation was not. Therefore, CM1 virus containing
two E protein mutations was chosen for further investigation.

We first examined whether the route of infection alters viral virulence. DP2 310 Balb/c mice were infected s.c. with 100 PFU of control CAM-WT or test CM1 311 virus, and then monitored for up to 25 days. Results showed that CM1 infection 312 led to higher motality than that of CAM-WT (Fig.5D). Although a difference was 313 not observed earlier at 3 days post infection (Fig.5E), body weight recorded at 314 11 days post infection showed that CM1 infected mice were significantly lighter 315 than those infected by CAM-WT (Fig.5F). Notably, disease progression 316 appeared to be reversible in the control CAM-WT group, but not so in the CM1 317 group which had 100% mortality at 17 days post infection (Fig.5G, 5H). These 318 319 data demonstrated that the severe outcome as a result of CM1 infection is not constrained by inoculation routes. 320

Since virulence of the mouse adapted MA-SW01 virus was not restricted to a 321 single mouse strain, we sought to confirm that the molecularly cloned CM1 viurs 322 follows the same principle. DP2 C57/BL6 mice were inoculated s.c. with 100 323 PFU of parental CAM-WT or test CM1, or negative control PBS, and then 324 monitored for 25 days. All mice (100%) infected by CM1 virus died at 11-13 325 days post infection, whereas only 20% of those infected by CAM-WT 326 succumbed at 25 days post infection (Fig.S5). These data demonstrated that 327 the virulent phenotype of CM1 is not mouse strain specific. 328

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### **A D67N single mutation is sufficient to account for the increased virulence**

### 331 of the molecularly cloned CM1 virus

To determine which one of the two amino acids is more critical for a major change in viral phenotype, we first analyzed single nucleotide variants in E 13/32 protein sequence from serially passaged P1 (MA-P1) to P11 (MA-P11) viruses.

Results revealed that there were progressive accumulations of D67N (from 22.7% 335 to 99.4%), and M68I (from 3.0 % to 91.7%) mutations during the in vivo serial 336 passage of parental SW01 virus. The baseline frequencies of these two 337 mutations in the parental virus (P0, or SW01) were lower than 1%. Of note, the 338 D67N maintained high mutation frequency (>90%) from P5 to P11 during in vivo 339 passage (Fig.6A). Consistent with the notion that this mutation may be 340 functionally significant, DP2 C57/BL6 mice infected with 100 PFU of MA-P5, 341 MA-P8 or MA-P10 showed 100% mortality at 10 days post infection, whereas 342 the parental virus SW01 infected mice had only 16.7% mortality at 15 days post 343 infection (Fig.6B). Given that the D67N mutation rapidly increased to 92.4% in 344 P5 virus (Fig.6B), and all single biological clones of the mouse adapted MA-345 SW01 virus contain the D67N mutation (Table.S1), it is reasonalb to deduce 346 that D67N mutation alone is responsible for the increased viral virulence. To 347 test this idea, a molecular clone contains the single D67N mutation was 348 349 constructed based on the CAM-WT backbone (pFLZIKV), and herein named CM1-A virus (Fig.6C), which was rescued successfully as showed by 350 immunofluorescence staining of ZIKA VIRUS E protein of BHK-21 cells 351 transfected with in vitro transcripted RNA from CAM-WT or CM1-A viruses 352 (Fig.6D). Then, 100 PFU of CM1, CM1-A virus or PBS was inoculated s.c. into 353 DP2 C57/BL6 mice which were then monitored for up to 25 days. Results 354 showed that CM1-A was similar to CM1 in causing 100% mortality of infected 355 mice at 12-13 days post infection (Fig.6E). These data demonstrated that a 356 single D67N mutation is sufficient to account for the increased virulence of CM1. 357 358

### **D67N mutation on E protein promotes Zika virus infection in brain**

To investigate whether viral E protein mutations (D67N, M68I) influence tissue tropisms, DP2 Balb/c mice were infected s.c. with either parental CAM-WT or test CM1 (containg both D67N, M68I), then euthanatized at 3 and 11 days post infection. Viral RNA in tissues were quantified by standard real-time qPCR. At 14/32 3 days post infection, all tissues except for eyes showed similar levels of viral
RNA between CAM-WT and CM1 groups; at 11 days post infection, however,
viral RNA of CM1 group was significantly higher than that of CAM-WT group in
brains, eyes and blood, but not in spleens and kidneys (Fig.7A), confirming the
combination of these E protein mutations affect tissue tropism.

The fact that more viral RNA was detected in brain and eyes, that are rich in 369 nerve cells susceptible to Zika virus infection, implies that CM1 virus has growth 370 advantage over CAM-WT in these cells. To directly test this notion, DP2 Balb/c 371 mice were infected i.c. with CAM-WT or CM1, and then monitored for virus 372 burden by real-time qPCR. Results showed that brain viral loads of CM1 group 373 was higher than that of CAM-WT group at 11 days post infection, but not 3 days 374 post infection (Fig.7C, 7D), suggesting that CM1 has growth advantage over 375 CAM-WT in brain. 376

To further dissect whether single D67N mutation in E protein plays the essential 377 role of altering viral virulence and tissue tropism, we next used CM1-A virus 378 (containing only D67N) to perform viral infection experiments. Results showed 379 that irrespective of throught s.c. infection (Fig.7B), or i.c. infection (Fig.7C, 7D), 380 viral load in brains of CM1-A group were always higher than that of CAM-WT 381 group at 11 days post infection, but not at 3 days post infection. Collectively, 382 these data indicated that D67N mutation promotes virus virulence partially 383 through enhancing virus replication in CNS. 384

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### 386 Rapid D67N accumulation may have increased virus fitness

To confirm that the observed dominant D67N substitution in the *in vivo* adapted MA-SW01 virus is originated from the parental clinical isolate SW01 virus, instead of an artifact resulted from an extraneous *in vitro* cell culture selection process, we analyzed the nucleotide polymorphism at the 1069 postion of Zika virus SW01 open reading frame (ORF), which corresponds to amino acid sequence at the 67 position of E protein. Results showed that 1069A variant of ORF was present in the initial SW01 stock at a low frequency of 0.055% 15/32

- (Table.1), indicating a minority of viral quasispecies features the N67 on its E
- protein. Together with previous data (Fig.6A, 6B), it is reasonable to deduce
- that D67N substitution provides more viral fitness in CNS, and thus enables
- 397 MA-SW01 to outgrow other viral variants within the SW01 quasispecies.
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# 400 **Discussion:**

The pathogenesis of neurological disorders in association with Zika virus 401 infection has been an area of intensive investigation recently. The scientific 402 progress, however, has been hampered in part by the lack of robust in vivo 403 experimental models to study the cause-and-effect between Zika virus infection 404 and various clinical outcomes. To this end, we have generated a mouse 405 adapted Zika virus (MA-SW01) strain that showed 100-1,000 fold increased 406 virulence than its parental virus clinical isolate SW01, with corresponding 407 increase in neurotropism, by serially passage of SW01 in the brains of neonatal 408 mice. NGS analyses revealed that the MA-SW01 virus has four dominant 409 nonsynonymous nucleotide mutations on genes encording E protein (3 410 mutations) and NS2A protein (1 mutation). Mechanistic studies using 411 molecularly cloned Zika virus varients contain these muations either alone or in 412 combination demonstate that a single nucleotide G1069A mutation in Zika virus 413 414 ORF that causes an amino acid change (D67N) on the E protein is sufficient to confer greater viral replication in mouse brain and much increased mortality. 415 These results not only establish an in vivo model by which many facets of Zika 416 virus pathogenesis could be further studied, but also provide a tangible viral 417 genetic basis to explain the neutrological complications observed in association 418 with Zika virus infection. 419

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Identifying viral genetical features that may affect the outcomes of viral infectios is an area of significant interest. Zika viruses with mutations on prM, NS1, NS2A have been reported to profoundly change viral infectivity in mosquitoes, cell lines, and mice (13,15,30). In the current study, we demonstrated for the first time that a single mutation on E protein can markedly increase the neurovirulence of Zika virus.

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The specific mechanisms that explain why a single D67N mutation on E protein

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can dramatically change Zika virus infectivity is currently unknown. One reason 429 may be its potential link to glycosylation. In all four DENV serotypes, there are 430 two highly conserved N-linked glycosylation sites on E protein, N67 and N153, 431 that play critical role for viral entry (42). However, similar to West Nile virus 432 (WNV) and Japanes encephalitis virus (JEV), Zika virus has only one N154 433 glycosylation site on E protein (40,43). Indeed, glycosylation on N153 or N154 434 of E protein helps WNV or JEV to invade CNS in mammals (38,39,44,45). 435 Surprisingly, an additional use of N67 glycosylation on JEV attenuates virus 436 pathogenesis and reduces viral neuro-invasion in vivo (45), despite the N67 437 glycosylation mediates enhanced infectivity of WNV or DENV by facilitating 438 interaction with DC-SIGN molecule on cell surface in cell culture models (45-439 47). The influence of un-glycosylated N67 on viral pathogenesis is unknown, 440 despite of the existence of this form of N67 on a number of flaviviruses (48). 441 Because the mosue adapted MA-SW01 virus has a mutation on the N154 site 442 and unable to acquire glycosylation through this site, it is tempting to suggest 443 that the D67N is a functional compensatory mutation. It would be interesting to 444 test whether MA-SW01 is glycosalted at the 67 site in future studies. 445

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Other than mechanistically interesting, our findings may have practical 447 implications as well. The amino acid D67 on E protein is conserved among 448 many known Zika virus strains, and it forms a recognition site for several 449 monoclonal antibodies (mAbs) isolated from Zika virus infected patients; some 450 of these mAbs can prevent Zika virus infection in animal models (49-52), 451 indicating the 67 site being functionally important. It would be interesting to test 452 in future studies whether our mouse adapted virus that has a D67N mutation 453 can escape these antibodies, and thereby become more virulent. With respect 454 to the N154 glycosylation site, our data are different from a recent study which 455 456 showed that an artificial deletion of N154 glycosylation of Zika virus E protein decreases viral infectivity and neuroinvasion in mice, while maintaining viral 457 immunogenicity in vivo, and thus being a promising strategy for making live 458 18 / 32

attenuated vaccine (40). We found that mouse adapted Zika virus clone (MASW01) without the N154 glycosylation site on E protein is still highly virulent,
even more so than viruses cloned from the parental SW01 virus which contains
an intact N154 glycosylation site on E protein. Therefore, the vaccine stategy
utilizing a deletion of N154 glycosylation may only apply to some viral variants,
but not others.

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In conclusion, we have identified a single amino acid D67N mutation on the putative glycosylation site of E protein of Zika virus, and demonstrated the mutant virus having profoundly increased viral virulence and neurotropism in mice. Close monitoring and large-scale screening of this unique viral variant in humans should provide clue to understand some major questions in the field, such as the sudden outbreak of Zika disease in certain locale, and the association between Zika virus infection and neurological disorders.

473

# 474 Materials and methods

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## 476 **Ethic statement:**

All experiments were performed strictly in accordance with the guidelines of 477 care and use of laboratory animals by the Ministry of Science and Technology 478 of the People's Republic of China and regulations of biosafety level 2 (BSL-2) 479 and animal biosafety level-2 (A-BSL-2) containment facilities at Institut Pasteur 480 of Shanghai. The animal protocols were approved by the biosafety laboratory 481 and the institutional Animal Care and Use Committee at Institut Pasteur of 482 Shanghai (Approval number: A2018027). All mice used in this study were 483 carefully fed and suffering of animals was minimized. 484

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#### 486 **Mouse experiments:**

Balb/c and C57BL/6 mice (B6) were purchased (Vital River Laboratory Animal 487 Technology Co., Ltd, Beijing) and bred for experiments under specific pathogen 488 free (SPF) conditions at the BSL2 Animal Core facility (A-BSL-2) at Institut 489 Pasteur of Shanghai . Balb/c and C57BL/6 pregnant mice were housed 490 separately before being delivered to the BSL-2 laboratory. DP2 (2 days post-491 delivery) and DP7 (7 days post-delivery) offspring mice were infected with 492 indicated Zika virus strain through subcutaneous (s.c.) or intracranial (i.c.) 493 injection. Body weight, survival rate and clinical score were monitored daily 494 according to experimental design. 495

496

# 497 **Cell lines and viruses:**

Vero-E6 and BHK-21 cells were grown at 37°C in Dulbecco's Modified Eagle
Medium (DMEM) (Gibco, USA) supplemented with 10% fetal bovine serum
(FBS) (Gibco, USA) and 1% penicillin and streptomycin (P/S). Mosquito C6/36
cells were cultured in Modified Eagle Medium (MEM) (Gibco, USA) with 10%
FBS, 1% P/S and 1% non-essential amino acids (NEAA). Zika virus clinical

20 / 32

isolate SW01 (also known as SZ-WIV01, GenBank: MH055376.1) was kindly
provided by Wuhan Institute of Virology, Chinese Academy of Sciences. SW01
was propagated once in C6/36 cells with MEM (Gibico) plus 2% FBS, 1%
Penicillin-Streptomycin and 1% non-essential amino acids. Rescued virus
mutates with the backbone of Zika virus CAM-2010 infectious clone were
passaged once in C6/36 cells. All amplified viruses were aliquot into 2ml vials
and stocked at -80°C until use.

510

### 511 **Virus titration:**

Virus titer was determinated by titration on Vero-E6 monolayer. Briefly, Vero-E6 512 cells were seeded on 24 well plate  $(1-1.2 \times 10^5 \text{ cells/well})$  one day prior to 513 infection, and washed once next day with DMEM without FBS. Virus was 10-514 fold serially diluted, then 200µl of virus was added to the Vero cell 515 monolayer,( followed by incubation at 37°C for 2 hours. The supernatant 516 containing virus was replaced by 1.2ml DMEM with 1.5% FBS, 1% CMC 517 (carboxymethylcellulose), then incubated at 37°C, 5%CO2 for 96 hours. Four 518 days later, the overlay was removed and cells were fixed with 4% PFA for 30min. 519 The viral plaque was visualized and calculated after being stained by 0.25% 520 crystal violet. 521

522

#### 523 Adaptation of SW01 in vivo

Zika virus clinical isolate SW01(10<sup>3</sup> PFU/10µl) was injected into the brain λ point of Balb/c DP2 neonatal mice. At the indicated time (1-12 days and 8 days) post infection, mice were anaesthetized and brains were collected and homogenized in 1ml sterile PBS. Then, the homogenized suspension was centrifuged to collect supernatant, which was aliquot for viral titration and stored at -80°C. A new round of *in vivo* infection into the mouse brain was performed after viral titration.

531

532 Determination of virus burden in tissues: 21/32

At the indicated time (3, 6 and 11 days post infection), Zika virus infected mice 533 were euthanized and tissues were collected, fixed with Trizol (Invitrogen, USA) 534 reagent. RNA was extracted according to the manufactures' manual, then 535 aliquot and stored at -80°C before use. RNA concentration was determinated 536 by Nanodrop 2000 (Thermo fisher, USA). Reverse transcription with ZIKA virus 537 specific primer (Rev-AAGTGATCCATGTGATCAGTTGATCC) was performed 538 using FastQuant RT Kit (Tiangen). Real-time PCR was done on 7900HT (ABI) 539 machine using Fast Fire gPCR Premix (Probe) (Tiangen). Virus RNA copies 540 were calculated with a standard curve established with NS1 gene transcript. 541 The primers are as follows: (For: CAACCACAGC- AAGCGGAAG, Rev: 542 AAGTGATCCATGTGATCAGTTGATCC, Probe: 5'-FAM/TGGTATGGAATGGA 543 GATAAGGC/MGB-3'). 544

545

# 546 **Immunostaining of brain sections:**

Dissected brains were immediately immersed in 4% paraformaldehyde (PFA) 547 and fixed for 24 hours. Then the fixed tissues were embedded into paraffin 548 according to a standard protocol. Embedded brains were sectioned into 4 µm 549 slices using Leica RM2016. After being deparaffinized with xylene and ethanol, 550 rehydrated with ethanol and  $H_2O$ , sections were blocked in blocking buffer (3%) 551 BSA-PBS) for 30 min, then incubated with primary antibody targating Zika virus 552 envelope protein (1:1000 dilutued in blocking buffer; cat.no: BF-1176-56; 553 BioFront)overnight at 4°C. On day 2, the sections were incubated in 554 fluorescence labelled secondary antibody (1:400, GB25301, Servicebio) at RT 555 for 1 hour. Nucleus were stained with DAPI (G1012, Servicebio) at RT for 10 556 min. Original images were captured and visualized using a Nikon Eclipse C1 557 Ortho-Fluorescent microscope with Nikon DS-U3 image system. All 558 immunofluorescent images were analyzed with the Pannoramic Viewer 559 (3DHISTECH), ImageJ, and GraphPad V8 software. 560

561

562 Single clone selection and E protein sequencing: 22/32

Stocks of SW01 and MA-SW01 virus were serially diluted and seeded on Vero 563 monolayer in 24 well plate. Four days post infection, the supernatants from 564 wells containing single virus plague were collected and amplified in C6/36 cells 565 once, and viral titer was determinated by standard plague assay. For E protein 566 sequencing, RNA of single virus clone was extracted by Viral RNA Mini Kit 567 (QIAGEN) and reversely transcribed using PrimeScript<sup>™</sup> II 1st Strand cDNA 568 Synthesis Kit (TaKaRa) with virus envelope protein gene specific primer (Env-569 Rev primer: CGGGATCCCGAGCAGAGACGGCTGTGGATAAG). Virus E gene 570 was amplified by PCR (Env-For primer: CGAAGCTTATGATCAGGTGCATAGG 571 AGTCAGCA, Env-Rev primer: CGGGATCCCGAGCAGAGACGGCTGTGGAT 572 AAG), then cloned into pEASY-Blunt Cloning Kit (Transgen) and sequenced by 573 Sanger method. 574

575

# 576 Next generation sequencing (NGS) of ZIKA virus:

Briefly, virus stocks were filtered through a 0.45 µm filter before nucleic acid 577 extraction. Virus RNA was extracted from 400 µl of filtered supernatant with the 578 High Pure Viral RNA Kit (Roche). The sequencing library was constructed using 579 Ion Total RNA-Seg Kit v2 (Thermo Fisher Scientific) and sequenced on an Ion 580 S5 sequencer (Thermo Fisher Scientific). Low guality reads and short reads 581 were filtered. All filtered reads were assembled by mapping to the reference 582 sequence MH055376 using CLC Genomic Workbench (ver 9.0). The mutation 583 site was manually checked with original sequencing data. iSNV and Graphing 584 were performed on CLCGenomic Workbench and Origin. NGS raw data were 585 available at Sequence Read Archive (SRA) of NCBI (Access number: 586 SRP237251). 587

588

# 589 Generation of Zika virus mutants:

The infectious cDNA clone (pFLZIKV) containing Zika virus CAM-2010 fulllength genome were used as the backbone for introducing the nucleotides substitutions into envelope protein (D67N, M68I) or NS2A protein (A117T), 23/32

singly or combined, using the Q5 site directed mutagenesis kit (NEB). All the
mutations were confirmed by DNA sequencing. The full-length infectious clones
were rescued as described previously (41).

596

## 597 Indirect immunofluorescence assay (IFA):

The viral RNA was transfected into BHK-21 cells using Lipofectamine 3000 reagent (Thermo Fisher Scientific) according to the manufacturer's instructions. At 24, 48, and 72 hr post infection, the infected cells were fixed in acetone/methanol (V/V=3/7) at -20 °C for 15 min, and then used for detection of Zika virus E protein expression by IFA as described previously (53).

603

## 604 **Statistic analysis:**

Survival curves were analyzed by log rank test. Body weight was analyzed by Two-way ANOVA (Turkey correction). All summarized data were compared for statistical differences by student's t test or two-way-ANOVA. All analyses were performed on Graphpad Prism V8.0 platform. Statistical significance levels were reported as the following: "\*" for p < 0.05; "\*\*" for p < 0.01; "\*\*\*" for p < 0.001 or less.

611

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622

# 623 Author Contributions

- X.J. supervised the research; X.J., ZH.L. conceived the research; ZH.L., YW.Z.,
  ML.C., YG.T., CF.Q., X.J. contributed to the project design and results
  discussion; ZH.L., YW.Z., ML.C., NN.G. and JY.S. performed the experiments
  and analyzed the experimental data; ZH.L. and YW.Z. analyzed and
  summarized the NGS data. ZH.L. wrote the original manuscript. ZH.L., YW.Z.,
  ML.C., YG.T., CF.Q. and X.J. revised and edited the manuscript.
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# 792 Figure legends

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# Figure 1. *In vivo* adaptation of Zika virus clinical isolate SW01 in neonatal mice.

(A). DP2 (2 days postnatal) Balb/c mice were intracranially (i.c.) infected with 796 1,000 PFU SW01. Brains were collected from day 2 to day 12 after infection 797 798 and homogenized. Virus titers of brains were tested by standard plaque assay. (B). Schema of Zika virus in vivo passaging model; Homogenate supernatant 799 of infected mouse brain at 8 dpi was collected and used for the next round 800 infection in naïve DP2 Balb/c mice. This process was repeated for 11 rounds to 801 obtain a mouse adaptive virus MA-SW01. (C). Virus titers from MA-P1 to MA-802 P11 (MA-SW01) were determinated by standard plague assay. The summary 803 data were presented as mean ± standard deviation (SD). 804

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# Figure 2. Adapted MA-SW01 virus is more virulent than its parental virus SW01.

(A-D). DP2 Balb/c mice were injected i.c. with 100 PFU SW01, MA-SW01, or 808 PBS. (A-B). The morbidity of SW01 and MA-SW01 infected mice (Clinical Score: 809 0-health, 1-Manic and limb weakness, 2-limb paralysis, 3-Moribund or 810 death);(C). Survival was monitored from 0 to 25 days post infection; (D). Body 811 weight was analyzed at 3, 5, 7, and 11 days post infection. The summary data 812 were presented as mean ± standard deviation (SD). Survival rate and body 813 814 weight were analyzed by log rank test and two-way ANOVA respectively; P values were indicated by \* (p<0.05), or \*\* (p<0.01), or \*\*\* (p<0.001). 815

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# Figure 3. Increased virulence of MA-SW01 is associated with elevated neurotropism.

(A). DP2 Balb/c mice were infected s.c. with 100 PFU SW01 or MA-SW01 virus .
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Virus RNA loads in tissues (brain, blood, spleen, liver and kidney) at 3 days 821 post infection (3 dpi) and 6 days post infection (6 dpi) after infection were 822 determinated by real-time PCR; dotted lines denote the limit of detection of the 823 real-time PCR. (B-C). DP2 Balb/c mice were infected i.c. with 100 PFU SW01 824 or MA-SW01 virus. Virus loads of brain at 3 dpi and 6 dpi was determined by 825 real-time PCR; (D). DP2 Balb/c mice were infected s.c. with 100 PFU SW01 or 826 MA-SW01 virus. Virus E protein expression in whole brain (both coronal and 827 sagittal dissection) at 6 days post infection was detected by fluorescence 828 immunoassay (IFA); The summary data were presented as mean ± standard 829 deviation (SD) and analyzed by student's t test; P values were indicated by \* 830 (p<0.05), or \*\* (p<0.01), or \*\*\* (p<0.001). 831

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# Figure 4. NGS analyses of the MA-SW01 virus identify 4 high frequency nonsynonymous mutations on E and NS2A genes.

- (A-B). Virus RNA extracted from SW01 and MA-SW01 was used to construct 835 the sequence library, and then sequenced by the next generation sequencing 836 (NGS) method. Quantification and plotting of mutation frequency were 837 performed by CLC Genomic Workbench and Origin software. (A). Plots of 838 missense mutations frequency across the ORF of MA-SW01 in reference to 839 consensus sequence of SW01; Nucleotides with frequency higher than 80% 840 reads were shown (Red nucleotide abbreviations represent missense 841 mutations, and green ones are silent mutations). Dotted lines denote the 842 frequency of 80%. (B). Amino acid changes corresponding to missense 843 mutations. 844
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# Figure 5. Increased virulence of Zika virus is associated with specific mutations on E protein but not on NS2A protein.

- (A). Scheme of mutation strategy based on pFLZIKV (CAM-WT) infectious
- clone to create CAM-M1 (CM1), CAM-M2 (CM2), CAM-M3 (CM3) viruses; (B).
- IFA of Zika virus E protein expression at indicated times (24, 48, 72 hours post 30/32

infection) in BHK-21 cells transfected with RNA from CAM- WT or mutant 851 viruses (CM1, CM2, CM3). (C). Survival curve of DP2 Balb/c mice infected i.c. 852 with 10 PFU CAM-WT, mutant viruses (CM1, CM2, CM3), or PBS. (D-H). DP2 853 Balb/c mice were infected s.c. with 100 PFU CAM-WT, mutant viruses, or PBS. 854 (D). Survival was monitored and analyzed from 0 to 25 days post infection; (E-855 F). Body weight difference between PBS-Ctl (PBS), CAM-WT (WT) and CAM-856 M1 (CM1) groups at 3 and 11 days post infection (3 dpi and 11 dpi); (G-H). The 857 morbidity of CAM-WT and CAM-M1 groups (clinical score: 0-health, 1-manic 858 and limb weakness, 2-limb paralysis, 3-moribund or death); the summary data 859 were presented as mean ± standard deviation (SD) and analyzed by student's 860 t test; P values were indicated by \* (p<0.05), or \*\* (p<0.01), or \*\*\* (p<0.001). 861

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# Figure 6. Increased virulence of Zika virus is associated with a single D67N mutation on E protein.

- (A). Progressive changes of mutation frequency of 67 and 68 amino acids in E 865 protein from SW01 (P0) to MA-SW01 (MA-P11) during in vivo passaging. (B). 866 Survival curve of DP2 C57/BL6 mice infected s.c. with 100 PFU SW01, MA-P5, 867 MA-P8, MA-P10, or PBS. (C). Strategy to construct a single D67N substitution 868 virus (CM1-A) based on CAM-WT infectious clone; (D). IFA of Zika virus E 869 protein expression at indicated times (24, 48, 72 hours post infection) in BHK-870 21 cells transfected with RNA from CAM-WT and CM1-A. (E). Survival curve of 871 DP2 C57/BL6 mice inoculated s.c. with 100 PFU CM1, CM1A virus, or PBS. 872 Survival rate was analyzed by log rank test; P values were indicated by \* 873 (p<0.05), or \*\* (p<0.01), or \*\*\* (p<0.001). 874
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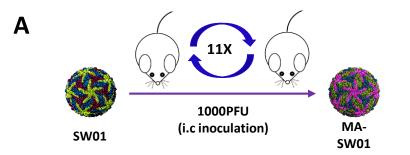
# Figure 7. Molecularly cloned Zika virus with a single D67N mutation on E protein has marked increase in the infection of brain tissues.

- (A).DP2 Balb/c mice were infected s.c. with 100 PFU CAM-WT or CM1 . Virus
- 879 RNA loads in tissues (brain, blood, spleen, liver and kidney) at 3 days post
- 880 infection (3 dpi) and 11 days post infection (11 dpi) were determined by real-31/32

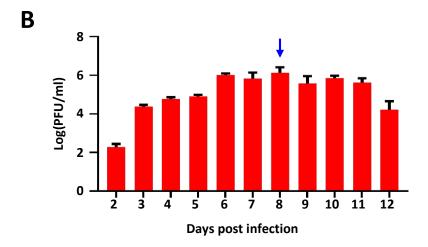
time PCR;**(B).** DP2 Balb/c mice were infected s.c. with 100 PFU CAM-WT or CM1-A. Virus RNA loads in brains at 3dpi and 11dpi were determined by real time PCR; **(C-D).** DP2 Balb/c mice were infected i.c. with 20 PFU CAM-WT, CM1 and CM1-A. Virus RNA load in brains at 3 dpi **(C)**and 11 dpi **(D)** were determined by real-time PCR; The summary data were presented as mean  $\pm$ standard deviation (SD) and analyzed by student's t test; P values were indicated by \* (p<0.05), or \*\* (p<0.01), or \*\*\* (p<0.001).

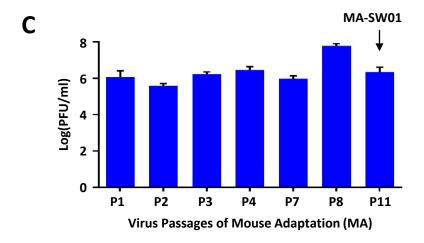
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P2 Neonatal Balb/c mouse

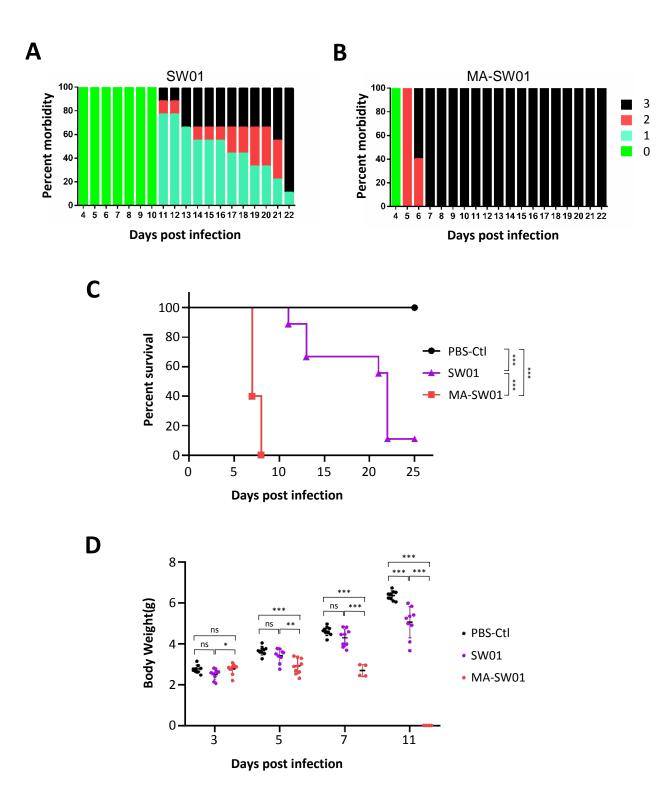


(MA-mouse adapted)

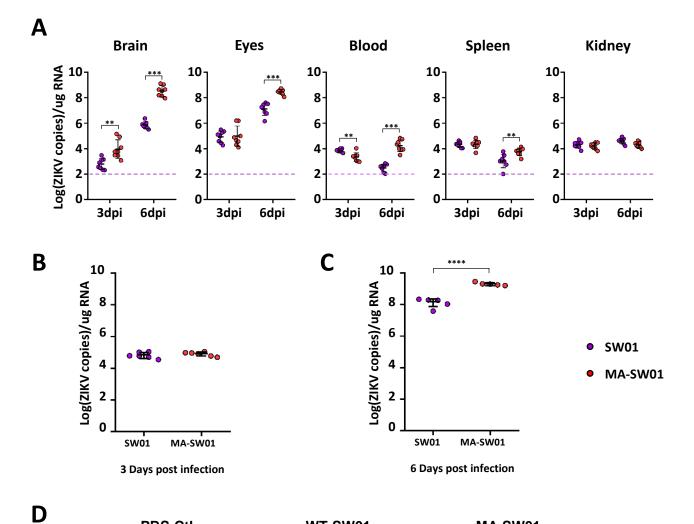




Liu *et al*. Figure 1. *In vivo* adaptation of ZIKV clinical isolate SW01 in neonatal mice.



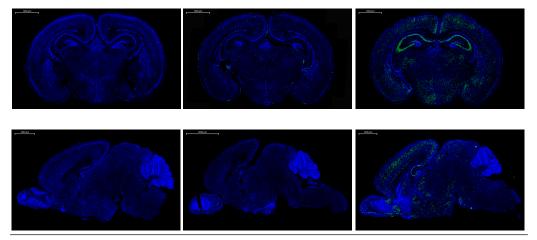
Liu *et al*. Figure 2. Adapted MA-SW01 virus is more virulent than its parental virus SW01.



**PBS-Ctl** 

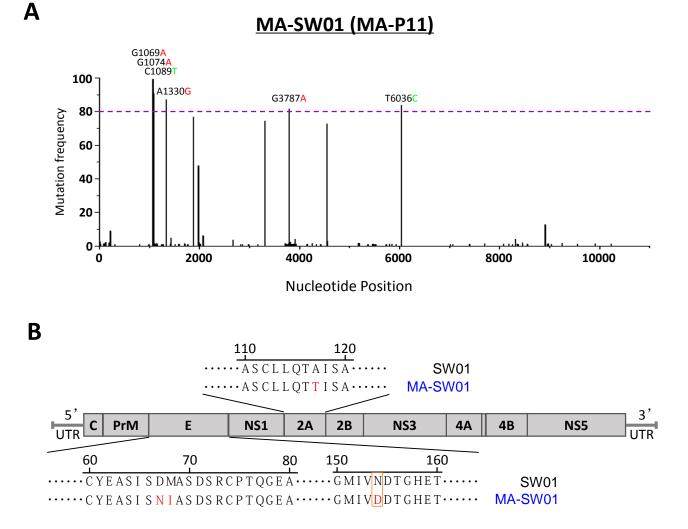
**WT-SW01** 

MA-SW01



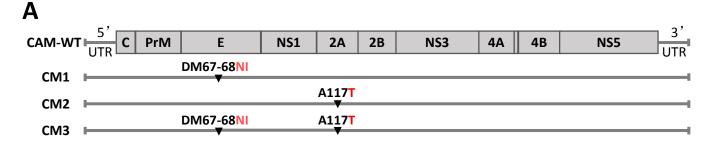
**ZIKV/DAPI** 

Liu et al. Figure 3. Increased virulence of MA-SW01 is associated with elevated neurotropism

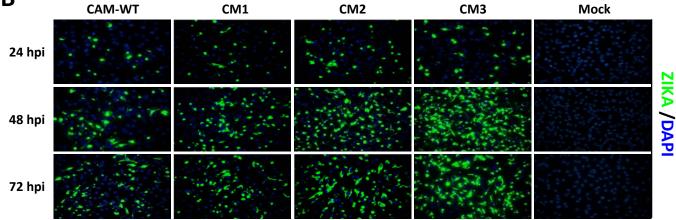


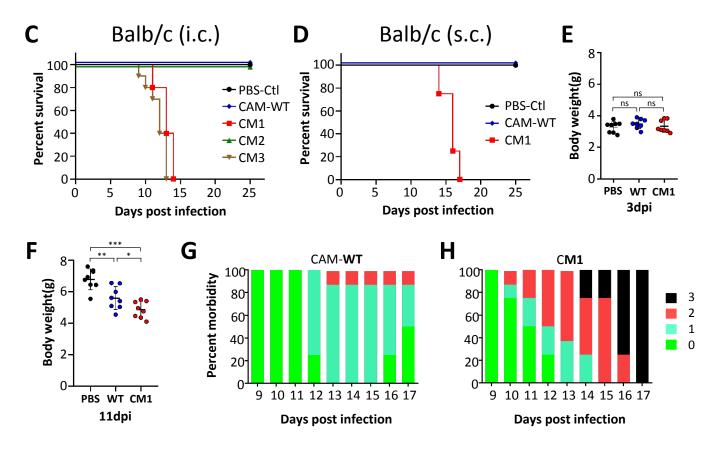
Liu et al. Figure 4.

NGS analyses of the MA-SW01 virus identify 4 high frequency nonsynonymous mutations on E and NS2A genes.



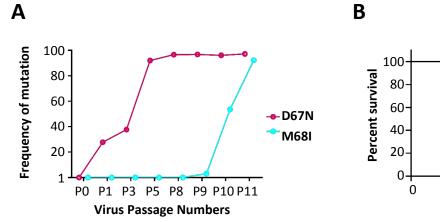


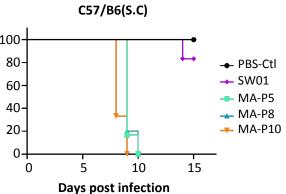




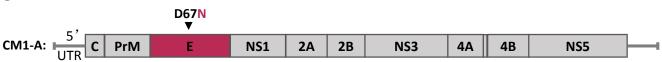
Liu et al. Figure 5.

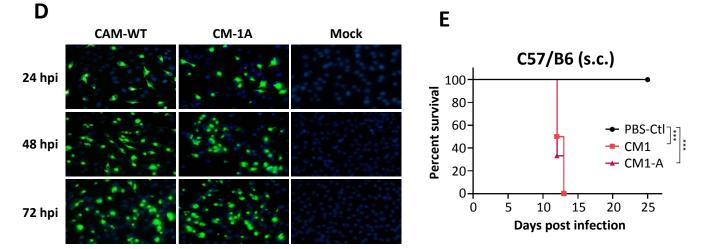
Increased virulence of ZIKV in association with specific mutations on E protein but not on NS2A protein.



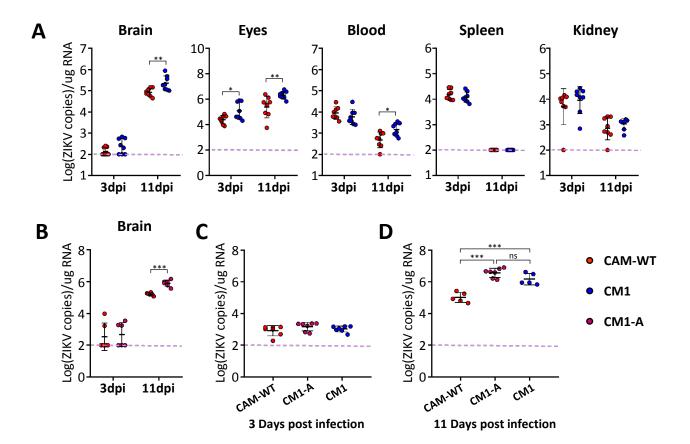








Liu *et al*. Figure 6. Increased virulence of ZIKV is associated with a D67N single mutation on E protein.



Liu et al. Figure 7.

Molecularly cloned ZIKV with a D67N single mutation on E protein has marked increase in the infection of brain tissues.

Table 1. Deduced	Table 1. Deduced amino acid polymorphism at the 67 site of ZIKA E protein of WT-SW01 strain	the 67 site of ZIKA E protei	of WT-SW01 strain
Reads* (1069 of ORF)	Nucleotide polymorphism (1069 of ORF)	Amino acid polymorphism (67 of Envelope)	Percentage of total Reads
18167	1069 (G,T,C)	67 (Asp, Tyr, His)	99.945%
10	1069 (A)	67 (Asn)#	0.055%

#: The D67N mutation presents at low frequency in the original viral isolate. \*: Based on deep sequencing