

# Geometric models for robust encoding of dynamical information into embryonic patterns

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# **Abstract**

During development, cells gradually assume specialized fates via changes of transcriptional dynamics, sometimes even within the same developmental stage. For anterior-posterior patterning in metazoans, it has been suggested that the gradual transition from a dynamic genetic regime to a static one is encoded by different transcriptional modules. In that case, the static regime has an essential role in pattern formation in addition to its maintenance function. In this work, we introduce a geometric approach to study such transition. We exhibit two types of genetic regime transitions, respectively arising through local or global bifurcations. We find that the global bifurcation type is more generic, more robust, and better preserves dynamical information. This could parsimoniously explain common features of metazoan segmentation, such as changes of periods leading to waves of gene expressions, “speed/frequency-gradient” dynamics, and changes of wave patterns. Geometric approaches appear as possible alternatives to gene regulatory networks to understand development.

## Introduction

Development from one zygote to a viable animal is a complex process (Wolpert et al., 2006), comprising multiple dynamical sub-processes, including cell movements, tissue morphogenesis, dynamical gene expressions, and cellular differentiations. Eventually, cell identities are fixed by various mechanisms, such as multistable gene regulatory networks and epigenetic markers. Little is known about how this transition from a dynamic/initiation phase to a static/maintenance one is mediated. Are there general characteristics that should be matched between dynamic and static phases to mediate a robust transition?

In dynamical systems theory, transitions between different regimes are called ‘bifurcations’, which are defined as qualitative changes in the dynamics of a system driven by a so-called ‘control parameter’ (Strogatz, 2015). Bifurcations are of many types but can be systematically classified. For instance, generic families of potentials driving the dynamics have been identified as different “catastrophes” (Poston & Stewart, 2012). While such mathematical descriptions are highly technical, they are reminiscent of the theory of epigenetic landscapes pushed forward by Waddington (Waddington, 1957). It is thus natural to ask if such classifications can be done for development. Could dynamical systems theory help us in this pursuit, and in studying development in general? The main issue here is to frame the problem in a way that allows to derive general results.

In recent years, numerous experimental studies have revealed that quantitative changes of gene expressions during development often followed standard predictions from dynamical systems theory (Huang et al., 2007). The Waddington landscape’s analogy

(Jaeger & Monk, 2014) has led to many insights in cell differentiation (Graf & Enver, 2009), and recent data on cell reprogramming quantitatively validated the associated “landscape picture” (Pusuluri et al., 2018). Geometric models of development have been developed in particular cases, precisely predicting the general phenotypes of wildtype and mutants (e.g. the development of *C. elegans* vulva (Corson & Siggia, 2012) and *Drosophila* brittle patterns (Corson et al., 2017)).

The Clock-and-Wavefront model (Cooke & Zeeman, 1976), accounting for the observed dynamical somite (vertebrae precursors) formation, was inspired by catastrophe theory. The model predicted that a retracting wavefront translates the periodic expression of a genetic clock into a spatial pattern via “catastrophic” transitions demarcating the positions of the somites (Figure 1A). Identification of the predicted clock in 1997 (Palmeirim et al., 1997) has since led to many subsequent theoretical and experimental works, including observation of similar clocks in multiple arthropods (El-Sherif et al., 2012; Sarrazin et al., 2012). Cooke and Zeeman originally assumed that the clock is an external process, blind to the subsequent segmentation process it directs (Cooke & Zeeman, 1976). However, it has been very clear from the early experiments in (Palmeirim et al., 1997) that cellular oscillators increase their period prior to segmentation, leading to traveling waves of various signalling pathways such as Notch (Giudicelli et al., 2007; Morelli et al., 2009) (Figure 1A). Importantly, Notch waves eventually stabilize into a pattern of *delta* ligand stripes (Giudicelli & Lewis, 2004; Jiang et al., 2000), with a functional continuity between the dynamic and the static regime. Indeed, it has been shown that the dynamical phase of the clock is encoded into static rostro-caudal identities (Oginuma et al., 2010). This suggests that the observed oscillation is not a simple external pacemaker for segment

formation: rather, clocks, associated waves and eventual stripe formations combine into an emergent process leading to proper fate encoding. Segmentation thus possibly appears as the canonical example of transition from a dynamical gene expression regime to a static functional one.

Two broad scenarios have been proposed to model this process (see Figure 1). In the first scenario, the period of the individual oscillators is diverging to infinity as they become more anterior (or similarly, the frequency of the clock is going to 0), automatically giving rise to a fixed pattern (Figure 1B-F). This model corresponds to Julian Lewis' model for somitogenesis (appendix of (Palmeirim et al., 1997)), and it is possible to experimentally quantify the period divergence within this model (Giudicelli et al., 2007). This also corresponds to the implicit scenario of many theoretical models assuming that the frequency of the clock goes to 0 as cells get more anterior, such as the models in (Ares et al., 2012; Morelli & Jülicher, 2007), possibly with a sharp discontinuity suppressing period divergence (Jörg et al., 2015). Those models are appealing owing to their simplicity, since all behaviour is encoded in a dynamical frequency gradient (possibly mediated by FGF (Dubrulle & Pourquié, 2004)). However it is unclear what happens from a dynamical systems theory standpoint (a noteworthy exception being the proposal that the gradient emerges through a singularity in phase similar to the Burger's equation (Murray et al., 2013)). In particular, the pattern in this scenario literally corresponds to a frozen clock, such that there is an infinite number of local steady states corresponding to the frozen phases of the oscillators.

A second scenario grounded in dynamical systems theory has been proposed (François & Siggia, 2012). In this scenario, a genetic network transits from an oscillatory state to an

ensemble of (stable) epigenetic states (in Waddington's sense) fixing the pattern. Possible examples include the initial reaction-diffusion based model by Meinhardt (Meinhardt, 1986), or the cell-autonomous model under morphogen control evolved in (François et al., 2007) (Figure 1G). Based on geometric arguments, if bifurcations are local, the most generic model of this transition is expected to present two steps as explained in (François & Siggia, 2012). As a steep control parameter (possibly controlled by a morphogen such as FGF) decreases, the oscillation dies out through a Hopf bifurcation, leading to a single transient intermediate state. Then, for even lower values of the morphogen, one or several new (stable) states appear (technically through saddle-node bifurcations, see Figure 1—figure supplement 1). If the system translates rapidly enough from the oscillatory regime to the multistable regime, a pattern can be fixed (Figure 1H-K). Contrary to the previous scenario where the period of the clock goes to infinity, a Hopf bifurcation is associated to a finite period when the clock stops. The pattern of gene expression itself is laid thanks to multiple expression states discretizing the phase of the clock (Figure 1—figure supplement 1). Importantly, a finite number of states are observed, e.g. anterior and posterior fates within one somite (as first pointed out by Meinhardt (Meinhardt, 1982)).

In this paper, we revisit those ideas with explicit modelling to characterize the behaviour of systems transitioning from a dynamical regime (such as an oscillation) to a static multistable regime. We introduce two new assumptions: 1. the two different phases of developmental expression (dynamic and static) can be separated into two independent sets of transcriptional modules acting on several genes simultaneously, and 2. the system smoothly switches from one set to the other. This proposal is motivated by the recent

suggestion in insects that different sets of enhancers control waves of gap genes at different phases of embryonic growth (El-Sherif & Levine, 2016). Such assumptions simply explain the so-called “speed-gradient” model in *Tribolium* (Zhu et al., 2017) (see Figure 1—figure supplement 2). Using both gene network and geometric formalisms, we characterize the types of bifurcations found in systems transitioning from a dynamical to a static regime. We find that surprisingly, if the transition is smooth enough, global bifurcations appear. This situation is different from the standard scenario (Hopf and saddle-nodes) that we nevertheless recover if the transition is more non-linear. This is a generic result that is better studied and understood using geometric models. We further show that the transition through a global bifurcation is more robust than the sequence of Hopf and saddle-node bifurcations with respect to several perturbations that we simulate. Finally, we find that this model can explain many features of metazoan segmentation, such as “speed-gradient” mechanisms or changes of spatial wave profiles due to relaxation-like oscillations. This geometric approach thus offers a plausible scenario underlying embryonic patterning with many associated experimental signatures.

## Model

In the following, we consider a class of developmental models based on the combination of (at least) two different transcriptional modules. Biologically, those two modules correspond to two sequential developmental phases. The main assumptions are that those transcriptional modules are globally regulated for multiple genes at the same time (which could be done for instance through chromatin global regulations) and that there is a continuous transition from one to the other. Here we focus on metazoan segmentation, notably stabilization of vertebrate segmentation clock or gap gene waves into a striped pattern of genetic expressions, but the formalism might be applicable to other patterning processes where different enhancers with distinct developmental roles have been described.

We use ordinary differential equations to model our system. Calling  $P$  a vector encoding the state of all proteins in any given cell (typically  $P$  corresponds to concentrations of proteins), a generic single-cell equation describing all models presented in the following is:

$$\dot{P} = \theta_D(g) D(P) + \theta_S(g) S(P) + C(P) + \eta(g, P) \quad (1)$$

In Eq. 1, variable  $g$  encodes an external control parameter of the developmental transition. For example,  $g$  could be an external morphogen concentration driving patterning, but more complex situations with feedback are possible, where  $g$  could also be part of the system (e.g. the phase difference between oscillators (Beaupeux & François, 2016; Sonnen et al., 2018)). For simplicity, we rescale variables so that  $g$  is constrained between 0 and 1. The terms  $D(P)$  and  $S(P)$  correspond to different sets of modules, their

influence on the dynamics being weighted by functions  $\theta_D(g)$  and  $\theta_S(g)$ , respectively.

The term  $\eta(g, P)$  encodes the noise. Finally,  $C(P)$  represents dynamical terms that are

independent of the transcriptional modules, such as protein degradation.

We focus here on the simplest two-module case, where  $S(P)$  encodes a multistable

system (i.e. presenting multiple fixed points at steady state) and  $D(P)$  a dynamic system

(i.e. oscillatory). In this situation we will assume  $\theta_S(0) = 1$ ,  $\theta_S(1) = 0$ ,  $\theta_D(0) = 0$ , and

$\theta_D(1) = 1$ , meaning that for  $g = 1$  the network is in a pure dynamic phase, while for  $g =$

0 the network is multistable. Details on the specific forms of  $D(P)$ ,  $S(P)$ ,  $\theta_D(g)$  and  $\theta_S(g)$

are given in the following and in the Appendix. We study two types of models: gene-

network like models where  $D(P)$  and  $S(P)$  explicitly model biochemical interactions

between genes (such as transcriptional repression), and geometric models where  $D(P)$

and  $S(P)$  directly encode flows in an abstract 2D phase space, similarly to (Corson &

Siggia, 2017).

We model an embryo as a line of cells, corresponding to the antero-posterior axis. The

dynamics within each cell (position  $x$ ) is described by Eq. 1. The only difference between

cells is that the dynamics of  $g$  is a prescribed function of  $x$ , e.g. we assume that there is

a function  $g(x, t)$  describing the dynamics of a morphogen. We focus on the transition

between the two regimes as  $g$  continuously changes from 1 to 0 in different cells as a

function of time. We will typically consider a sliding morphogen gradient moving along the

antero-posterior axis with speed  $v$ , described by  $H(s(x - vt))$  where the function  $H$

encodes the shape of the morphogen, and parameter  $s$  is a measure of the gradient's

spatial steepness.

We also include noise in the system with the help of an additive Gaussian white noise. For gene networks, we follow an approach similar to the  $\tau$ -leaping algorithm (Gillespie, 2001), where the variance of the noise corresponds to the sum of the production and the degradation terms (approximating independent Poisson noises). A multiplicative noise intensity term  $\sqrt{1/\Omega}$  is introduced, where  $\Omega$  can be interpreted as the typical concentration of the proteins in the system, so that bigger  $\Omega$  corresponds to lower noise. In addition, we add diffusion coupling the cells in the stochastic gene network models. For the geometric model, the variance of the noise is held independent of the position  $x$ . A more detailed description of the noise and diffusion terms is provided in the Appendix.

All source codes and data used for this paper are available at :

[https://github.com/laurentjutrasdube/Dual-Regime\\_Geometry\\_for\\_Embryonic\\_Patterning](https://github.com/laurentjutrasdube/Dual-Regime_Geometry_for_Embryonic_Patterning)

# Results

## A model for the transition between two genetic modules: Hopf vs. SNIC.

In (Zhu et al., 2017), it was suggested that the transition from a “wave-like” behaviour to a static pattern during *Tribolium* segmentation was mediated by a smooth transition from one set of modules (corresponding to the oscillatory phase) towards another one (corresponding to the fixed pattern). This explained the “speed-gradient” mechanism where the typical time-scale of the dynamical system depends strongly on an external gradient (in this case the concentration of *Caudal*). In the Appendix, we further study the associated bifurcation, and observe that new fixed points corresponding to the stabilization of gap gene expressions appear on the dynamical trajectory of those gap genes (Figure 1—figure supplement 2). In simple words, the gap gene expression pattern slowly “freezes” without any clear discontinuity in its behaviour from the dynamic to the static phase, which is reminiscent of the “infinite-period” scenario displayed on Figure 1.

We first aim to generalize this observed property. A simple way to generate many waves of genetic expressions (as in the gap-gene system described above) is to consider an oscillatory process, so that each wave of the oscillation corresponds to a wave of gap genes. We are not saying here that the gap-gene system is an oscillator, but rather that its dynamics can be encompassed into a bigger oscillator (which has actually been suggested as an evolutionary scenario (Verd et al., 2018)). The other advantage of considering oscillators is that we can better leverage dynamical systems theory to identify and study the bifurcations. Furthermore, it allows for a better connection with oscillatory segmentation processes in vertebrates and other arthropods.

We thus start with an idealized gene regulatory network with 3 genes under the control of two regulatory modules (Figure 2). In the dynamic phase  $D(P)$ , we assume that the 3 genes are oscillating with a repressilator dynamics (Elowitz & Leibler, 2000), so that the system keeps a reference dynamical attractor and an associated period. In the static phase  $S(P)$ , we assume that the module encodes a tristable system via mutual repression (Figure 2A).

We study the dynamics in a simulated embryo under the control of a regressing front of  $g$  (Figure 2B). Transition from the dynamic module to the static module is expected to form a pattern by translating the phase of the oscillator into different fates, implementing a clock and wavefront process similar in spirit to the one in (François et al., 2007). We compare two versions of this model, presenting the two different behaviours that we found. In Model 1 (Figure 2C-H), the weights of the two modules are non-linear in  $g$ :  $\theta_D(g) = g^2$  and  $\theta_S(g) = (1 - g)^2$  (Figure 2C). In Model 2 (Figure 2I-N), the weights of the two modules are linear in  $g$ :  $\theta_D(g) = g$  and  $\theta_S(g) = 1 - g$  (Figure 2I). We note that the initial and final attractors of both models are identical. Importantly, only the **transition** from one set of modules (and thus one type of dynamics) to the other is different. This two-module system thus offers a convenient way to compare the performance of different modes of developmental transition while keeping the same “boundary conditions” (i.e. the same initial and final attractors).

Figure 2E and Figure 2K show the kymographs for both models without noise, with behaviours of individual cells in Figure 2D and Figure 2J. While the final patterns of both models are the same (Figure 2F and Figure 2L), giving rise to a repeated sequence of three different fates, it is visually clear that the pattern formed with Model 2 is more precise

and sharper along the *entire dynamical trajectory* than the one formed with Model 1, which goes through a “blurry” transitory phase (compare mid-range values of  $g$  on Figure 2E and Figure 2K).

To better understand this, we plot the bifurcation diagram of both models as a function of  $g$  in Figure 2G and Figure 2M. As  $g$  decreases, Model 1 is the standard case of a local Hopf bifurcation (Strogatz, 2015) happening at  $g = 0.72$ . Three simultaneous saddle-node bifurcations appear for lower values of  $g$ , corresponding to the appearance of the fixed points defining the three regions of the pattern. The behaviour of Model 2 is very different: the fixed points form on the dynamical trajectory, via three simultaneous Saddle Node on Invariant Cycle (or SNIC) bifurcations. Both models display waves corresponding to the slowing down of the oscillators, leading to a static regime. In Model 1, the time-scale disappears with a finite value because of the Hopf bifurcation (Figure 2H). For Model 2, it diverges because of the SNIC (Figure 2N), suggesting an explicit mechanism for the infinite-period scenario of Figure 1.

To further quantify the differences of performance between the two models, we introduce noise (encoded with variable  $\Omega$ , see the Model section and the Appendix) and diffusion (Figure 3A-D). We also define a mutual information metric, measuring how precisely the phase of the oscillator is read to form the final pattern (Figure 3E, see the Appendix for details), consistent with the experimental observation in vertebrate segmentation that oscillatory phases and pattern are functionally connected (Oginuma et al., 2010). Intuitively, this metric quantifies in a continuous way the number of fates encoded by the system at steady state. Ideal mutual information for the three mutually exclusive genes of Models 1 and 2 gives  $\log(3) \sim 1.6$  bits of mutual information, meaning that the pattern

deterministically encodes the phase of the cycle into three static fates with equal weights. While addition of noise decreases this mutual information as expected (Figure 3E), Model 2 (black curves) always outperforms Model 1 (red curves). For a reasonable level of noise corresponding to a few thousands of proteins in the system, Model 2 can encode  $2^{1.3} \sim 2.5$  fates, close to the optimum 3. Furthermore, for a given diffusion constant, Model 1 requires a ten times smaller noise level than Model 2 to encode the same amount of mutual information, which thus indicates much better noise resistance for Model 2.

Those observations suggest that appearance of stable fixed points through SNIC rather than through Hopf generates a more robust pattern. The superiority of Model 2 can be rationalized in the following way: when there is a Hopf bifurcation, only one fixed point exists for a range of  $g$  values, so that all trajectories are attracted towards it. This corresponds to the “blurred” zone in the kymographs of Figure 2 and Figure 3. In presence of noise, the effect is to partially erase the memory of the phase of the oscillation when only one fixed point is present for the dynamics. Conversely, a SNIC bifurcation directly translates the phase of the oscillation into fixed points, without any erasure of phase memory, ensuring higher information transfer from the dynamic to the static phase, and therefore more precise patterning. We confirmed these results with similar 3-gene models that used Hill functions for the weights  $\theta_D$  and  $\theta_S$  (Figure 2—figure supplement 1 and Figure 3—figure supplement 1).

## Gene-free models present a similar geometry of transition

Hopf and saddle-node bifurcations are “local” bifurcations: they do not in principle require complex changes of the flow or fine-tuning of the parameters to happen. As such, they are the most standard cases in many natural phenomena and in most theoretical studies. Conversely, SNIC bifurcations are “global” bifurcations (Ermentrout, 2008): they are associated to global changes of the flows and usually require some special symmetries or parameter adjustments to occur (e.g. to ensure that a saddle-node collides with a cycle). It is therefore a surprise that SNIC bifurcations spontaneously appear in the model considered here. To better understand how this is possible and if this is a generic phenomenon, we follow ideas first proposed by Corson and Siggia (Corson & Siggia, 2012), and consider geometric (or gene-free) systems. We aim to see if: 1. SNIC bifurcations are generically observed, and 2. a model undergoing a SNIC bifurcation is in general more robust to perturbations than a model undergoing a Hopf bifurcation, with initial and final attractors being held fixed. We thus build 2D geometric versions of the system (variables  $y$  and  $z$ ). The dynamic module  $D(P)$  is defined by a non-harmonic oscillator on the unit circle, while the static module  $S(P)$  is defined by two fixed points, at  $y = \pm 1, z = 0$  (see Figure 4A, and the Appendix for the equations). Like previously, we build a linear interpolation between the two systems as a function of  $g$  and explore the consequence on the bifurcations (Figure 4B-H). Since the flow in the system is 2D, we can also easily visualize it (Figure 4I and Figure 4—movie supplement 1).

In brief, this geometric approach confirms all the observations made on the gene network model of the previous section, and further clarifies the origin of the SNIC bifurcation. Because of the smooth transition between modules, the entire flow in 2D needs to

interpolate from a cycle to a bistable situation. When both modules have close to equal weights (around  $g = 0.5$ ), the flow and associated cycle concentrate around two future fixed points. This appears in retrospect as the most natural way to interpolate between the two situations since both types of attractor (stable limit cycle, and multiple stable fixed points) are effectively present at the same time around  $g = 0.5$ . For this reason, the oscillations are also more similar to relaxation oscillations, rapidly jumping between two values corresponding to the future fixed points. When  $g$  is further lowered, the weight of the static module dominates and “tears apart” the cycle, forming two fixed points.

This situation is so generic that in fact, to obtain a Hopf bifurcation, we have to mathematically reinforce the fixed point at  $y = 0$  for intermediate  $g$ . To do so, we add an extra term and use a non-linear combination of the three terms (see Figure 4—figure supplement 1). In this situation, as expected the flow first concentrates on the central fixed point at  $y = 0$ , before re-emerging in a bistable pattern for lower  $g$  (Figure 4—figure supplement 1 and Figure 4—movie supplement 2). As in the previous section, our mutual information metric confirms that the pattern is more precise when the system goes through a SNIC bifurcation rather than through a sequence of Hopf and pitchfork bifurcations (Figure 4—figure supplement 2). This thus suggests that the properties we observe are generic, and that keeping the static and dynamic attractors fixed, patterning is both more generic and more robustly encoded through a SNIC bifurcation than through a Hopf bifurcation, at least in simple low-dimension models.

## Robustness and asymmetry in the fixed points

A concern with the results of the previous section might be that those mathematical models are in fact fine-tuned and too symmetrical, so that in particular when the transition occurs, both new fixed points appear for the same value of the control parameter. Furthermore, real biological networks have no reasons to be perfectly symmetrical (although evolution itself might select for more symmetrical dynamics if needed). We thus relax our hypotheses to study a system where parameters and trajectories are not symmetrical (Figures 5 and 6).

Going back first to the gene network model, we induce an asymmetry between the fixed points by changing thresholds of repression in the static phase (Figure 5A). The bifurcation diagrams of Figure 5B-C indicate that the asymmetry of the fixed points indeed breaks the simultaneity of appearance of all fixed points in both scenarios. We nevertheless notice that for those changes of parameters, all bifurcations still happen in a very narrow range of  $g$  for the SNIC model.

Asymmetry of the fixed points might therefore destroy the advantage of SNIC vs Hopf by creating a transient zone where one of the fixed points always dominates. We thus perform a comparison between Models 1 and 2 with the same asymmetric static enhancers (Figure 5, see also Figure 5—figure supplements 1 and 2, and the Appendix for details). To compare the two cases, we consider different time-scales of the morphogen gradient. The reasoning is that the slower the decay of  $g$ , the more time the system spends in a region of parameter space without all three final fixed points, allowing the system to relax and “lose” phase information. Conversely, a faster decay of  $g$  means

that less time is spent in a region with few fixed points, and therefore the patterns are expected to be more robust.

We first decrease the thresholds of repression of gene A by both genes B and C (Figure 5A). Results of these simulations are shown in Figure 5: Model 2 with a SNIC bifurcation still outperforms Model 1 with Hopf and saddle-node bifurcations. In particular, it is again visually very clear on kymographs how Model 2 produces a robust and well-defined pattern at any time point of the simulations, while Model 1 gives rise to a much fuzzier pattern before the transition. Model 1 produces a robust static pattern only for a steep gradient (allowing to quickly move through the “fuzzy” phase) and a weak asymmetry in the static module (Figure 5E). It is brittle to any change of the dynamics of  $g$  (Figure 5H) or to stronger asymmetry in the static module (Figure 5—figure supplement 1E,H). Conversely, Model 2 is robust to different shapes of the morphogen (Figure 5F,I). Only for a strong asymmetry does the system lose one fixed point (Figure 5—figure supplement 1I), but even in this case transitions through a SNIC bifurcation appear superior to transitions through a Hopf bifurcation.

The fragility of the Hopf bifurcation to asymmetries in the parameters can be understood as follows. In the asymmetric versions of Model 1, one of the fixed points of the static term forms during the Hopf bifurcation, way before the two other fixed points form. It is therefore the only attractor available for a large range of  $g$  values. However, in Model 2 the same asymmetry only favors one of the saddle-nodes for a small range of  $g$  values, generating a robust pattern. Again, we can use the mutual information metric defined above to quantify the robustness of the pattern and confirm the superiority of Model 2 (Figure 5—figure supplement 2J). We also confirmed these results for the case of random

modifications of the repression thresholds of all interactions in the static term (Figure 5—figure supplement 2).

The asymmetry introduced in Figure 5 changes the shapes of the basins of attraction and the positions of the fixed points. The geometric model allows to change those features independently. The most generic way to introduce asymmetry in the system is to fix the position of the fixed points of the static regime while only changing the positions of the basins of attraction (the reason is that the future fates depend on the position of the separatrix between different regimes (Corson & Siggia, 2012)). To replicate this situation in the 2D gene-free models, we thus move the unstable fixed point of the static term along the  $y$  axis. Results of this procedure are shown on Figure 6 and confirm our results on the network-based models: Model 2 bifurcates via a SNIC and is always more robust than Model 1. When we change the positions of the fixed points in the static regime to move them away from the limit cycle (still in an asymmetric way), interestingly both Models 1 and 2 now bifurcate via SNICs (Figure 6—figure supplement 1). Furthermore, we see that for Model 1, the amplitude of the limit cycle decreases before the bifurcation, while for Model 2, the amplitude increases.

We conclude from all those numerical perturbations that even with asymmetric basins of attraction and asymmetric parameters, transitions based on SNIC bifurcations are both more generic and more robust than the ones based on Hopf bifurcations.

## SNIC and asymmetric wave patterns

It is then worth studying other properties of systems transitioning from oscillatory to static patterns. As said above, close to the SNIC bifurcation, the time-scale of the system diverges, suggesting an explicit mechanism explaining infinite-period transitions in metazoan segmentation within a dynamical systems framework. We thus compare the behaviour of the wave pattern in this model to a model where such infinite-period behaviour is assumed, namely the model of a collection of coupled oscillators from (Morelli et al., 2009). A kymograph of the spatio-temporal profile of the frequency imposed on the oscillators is shown in Figure 7A, and the dynamics of the resulting pattern formation process is shown on the kymograph of Figure 7B, with the final pattern on Figure 7C. The most striking difference is observed on the shape of the wave pattern as it moves towards the region where the pattern stabilizes. In the infinite-period scenario of (Morelli et al., 2009), the phase profile is by construction symmetric (albeit stretched in the posterior compared to the anterior, see Figure 7D,E). In the SNIC scenario, we see a clear asymmetry in the wave pattern: the transition from low to high values is sharp, while the transition from high to low values is smooth (Figure 7F, see also Figure 7—movie supplement 1 comparing different scenarios). This phenomenon is observed in all our versions of Model 2 (and is notably absent from all our versions of Model 1, see Figure 7—figure supplement 1). Such asymmetries in the wave pattern are actually observed in somitogenesis, where there is a clear asymmetry in the behaviour of oscillations in the transition within one somite (i.e. anterior to posterior in one somite) vs the transition from one somite to the other (i.e. posterior of one somite to anterior of the next) (Shih et al., 2015). This suggests that our model could offer a simple explanation of wave symmetry,

406 solving the long-standing problem of the asymmetry of AP vs PA transitions, which is  
407 possibly crucial for segment polarity as first suggested by Meinhardt (Meinhardt, 1982).

# Discussion

In this work, we have explored the dynamical properties of generic two-module systems, where one set of modules corresponds to a dynamic phase of genetic expression and the other corresponds to a static phase controlling embryonic patterning. The surprising and unexpected result is that those models typically present global bifurcations where new fixed points appear on the trajectories in phase space (SNIC). SNIC bifurcations come from the smooth interpolation between a flow defining an oscillator in phase space and a landscape characterized by several fixed points. The oscillating attractor then gets continuously deformed until it breaks into several fixed points, leading to the SNIC. This interpolation is a direct consequence of the assumed two-module control as shown on multiple examples above. Importantly, the overall developmental sequence in this context is emergent, since the dynamic close to the bifurcation cannot be understood independently from the static or dynamic modules only. SNIC bifurcations also provide robustness to various perturbations (since, fixed points appearing on cycles better preserve information on the oscillatory phase).

The most straightforward prediction of the model proposed here is the presence of several global transcriptional modules between strongly interacting genes, directly controlling the smooth changes of developmental time-scale (in a similar way to the “speed-gradient” model in (Zhu et al., 2017)). Many developmental genes are regulated by multiple “shadow” enhancers (Cannavò et al., 2016). A smooth transition between different enhancers has even been observed for gap genes in *Drosophila* (El-Sherif & Levine, 2016). Global regulation of transcriptional modules could be biologically achieved through “super enhancers” regulating many genes at the same time (Hnisz et al., 2017). A non-

trivial prediction of our model is that the intrinsic time-scale of the system is a function of the relative balance of transcriptional activities of the modules. The transcriptional control described here naturally allows for infinite-period bifurcations, an implicit mechanism in several models of metazoan segmentation. This is to be contrasted with classical models of negative feedback oscillators such as the Goodwin model, where the time-scale is entirely controlled by degradation and is independent from transcription/translation rates (Forger, 2011), and delayed oscillators, where the time-scale is essentially controlled by transcriptional delays (Lewis, 2003).

Our model is controlled by an external parameter  $g$ . The natural hypothesis would be that  $g$  corresponds to an actual morphogen gradient, such as *Caudal* in *Tribolium* (Zhu et al., 2017). However, in the spirit of the initial wavefront proposal by Cooke and Zeeman,  $g$  could also be in some context a temporal variable, e.g. an effective timer. Recent works on somitogenesis have suggested that the segmentation front could also be coupled to the slowing down of oscillators (Lauschke et al., 2013), so that the oscillation could feedback on itself to define  $g$ . It is important to point out that in our framework the nature of the bifurcation does not depend on the nature of  $g$ , so it might be difficult to experimentally disentangle feedbacks between the bifurcations and the control parameter from actual properties of the bifurcations themselves. However, irrespective of the nature of  $g$ , period divergence would be observed close to the SNIC (and would not be observed for a Hopf bifurcation). We notice though that infinite-period scenarios could be difficult to distinguish from a Hopf bifurcation scenario (with a non negligible frequency change) by simple monitoring of oscillations : for instance, peak-to-peak measurements of the period

do not show a clear difference between Models 1 and 2 (see Figure 2—figure supplement 2).

Since the SNIC bifurcations are the generic scenario that we observe in our framework, the mechanism of patterning itself remains largely robust to parameter modifications. This could explain how and why there is so much quantitative variability in segmentation mechanisms such as short/intermediate germ band segmentation (as suggested in (Zhu et al., 2017)), or somitogenesis (number of waves, rescaled period (Gomez et al., 2008)), while the qualitative dynamics itself appears very conserved (see e.g. (Krol et al., 2011) for somitogenesis). In other words, having a two-module mechanism makes the dynamics both more robust – a generic bifurcation scenario gives precise phase encoding – and more evolvable – one can vary many features of the system (e.g. basins of attractions, dynamics of  $g$ ) and still get proper patterning.

The dynamics in this model is smooth, with the same genes interacting to control the system in *both* the dynamic and static regimes. This is consistent with what is observed for gap genes dynamics in short germ insects (Zhu et al., 2017). For vertebrate segmentation, we do not know yet mechanistically how both regimes are controlled, but the Notch signalling pathway is known to gate information from the oscillatory to the segmented regime (Oginuma et al., 2010). An opposite view would be that the transition from dynamic to static regime is *de facto* sudden (even if it appears as smooth for other reasons). Such scenario could be realized in different ways. For instance, different enhancers could regulate completely different sets of genes in the dynamic vs static phases. The “static” genes would then interact with the “dynamic” genes only briefly during development, ensuring transmission of positional information between the static

and dynamic regions in a very localized region in time and space. In somitogenesis, specific genes are indeed expressed at the so-called “front” (such as *Mesp2* (Koseki et al., 2000)) and could act like gating processes transferring the information from the clock to an independent patterning system. In this case, we would be back to a sequential point of view where different regimes of development live in different regions of phase space, and the local bifurcation scenario would then be more plausible (and in fact has appeared in simulations of the evolution of patterning (François et al., 2007)). The problem with this simpler model is that it does not explain *a priori* all other phenomena described here which are direct consequences of the smooth transition from one regime to the other, including period divergence, robustness to changes of morphogen dynamics and to noise.

It has been known for a long time that the original Clock and Wavefront model does not require any smooth transition (such as spatial waves of genetic expression) for patterning. But the slowdown of gene expression dynamics during metazoan segmentation appear to be smooth, and the segmentation process itself is experimentally robust to many perturbations, such as changes in morphogen dynamics (Zhu et al., 2017). The model proposed here provides a possible explanation for a smooth robust transition, with a non-trivial (global) bifurcation. Further experimental and theoretical studies are required to assess the importance of smooth transitions for encoding dynamic information into spatial patterns of genetic expressions.

## Acknowledgements

We thank members of the François and El-Sherif groups for insightful discussions.

## Competing Interests

The authors declare that no competing interests exist.

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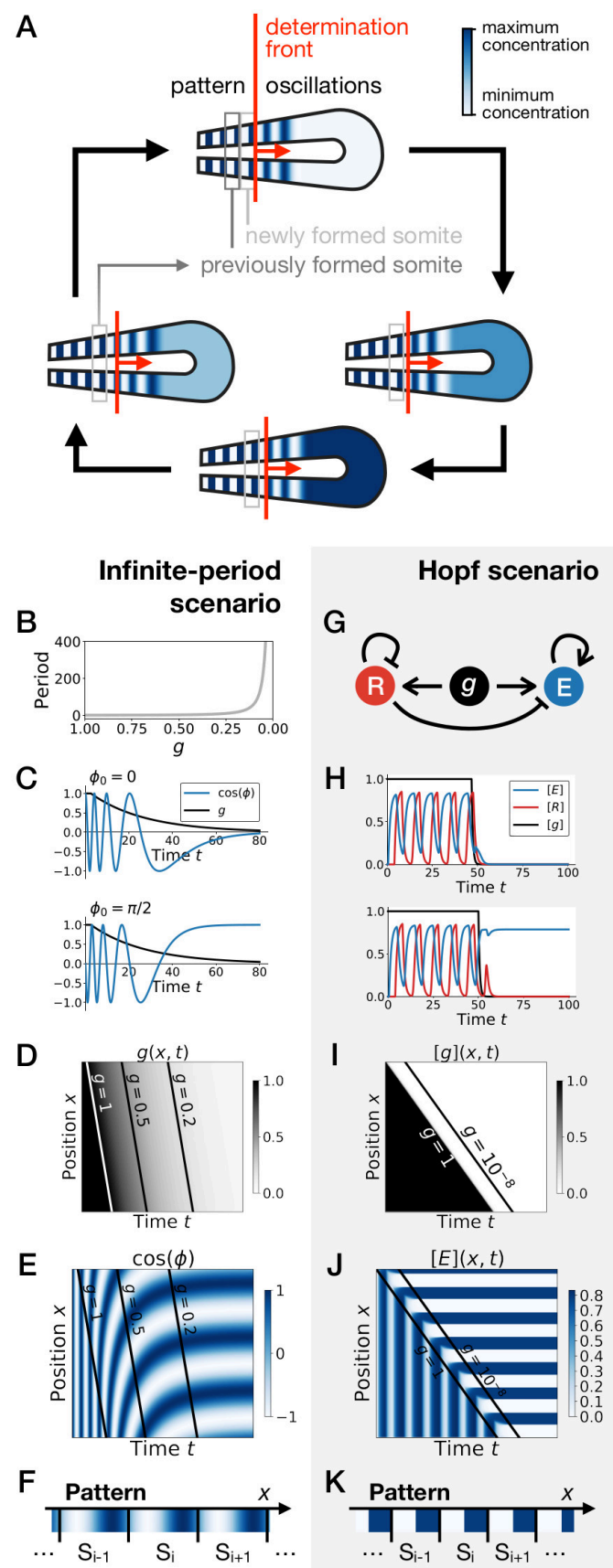
614 114(41), E8646–E8655.

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## Figures

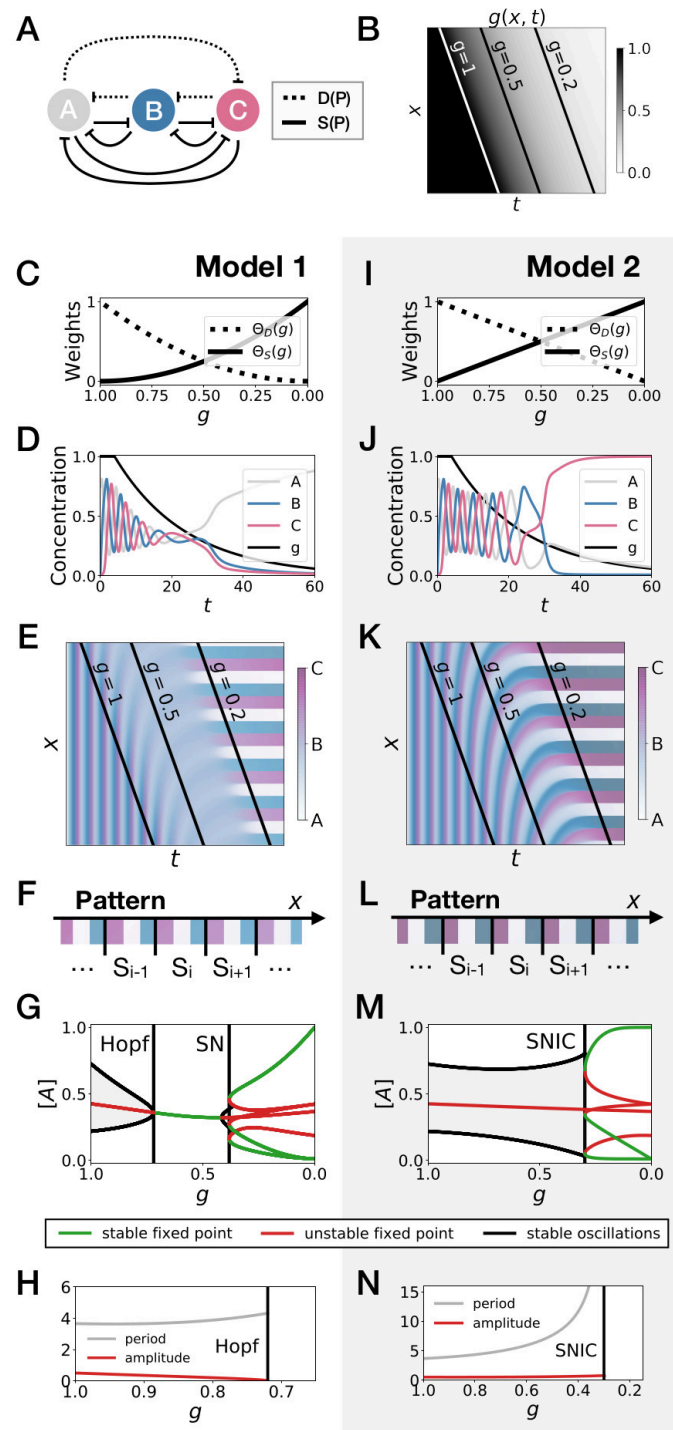
### Figure 1: Scenarios for segment formation.

(A) General phenomenology of segment or somite formation. The white to blue gradient represents the oscillating system (e.g. some Notch signaling pathway gene). The determination front (red vertical line) sweeps the embryo in the posterior direction (red arrow) and translates the periodic expression of a genetic clock into a spatial pattern. (B-F) Pattern formation with the infinite-period scenario. (B) Period divergence is imposed as control parameter  $g$  decreases from 1 to 0. (C) Two simulated cells with the same dynamics of  $g$  end up with different final values of the phase. (D-E) Kymographs showing respectively the dynamics of parameter  $g$  used in the simulated embryo and the dynamics of the genetic clock. (F) Schematic of the final pattern. (G-K) Pattern formation with the Hopf scenario. (G) Schematic of the gene regulatory network. (H) Depending on the dynamics of  $g$ , simulated cells can end up with either a high or a low concentration of protein  $E$ . (I-J) Kymograph showing respectively the dynamics of parameter  $g$  used in the simulated embryo and the dynamics of protein  $E$ . (K) Schematic of the final pattern. The boundary between two segments ("Si") is set arbitrarily at the transition from high to low concentrations of protein  $E$ .



## Figure 2: 3-gene models for pattern formation.

(A) Schematic of the gene regulatory networks encoded by the dynamic term (dotted line) and the static term (solid line). (B) Kymograph showing the dynamics of parameter  $g$  used in the simulated embryos for both Models 1 and 2. (C-H) Simulation results for Model 1. (C) Weights of the dynamic (dotted line) and static (solid line) modules as a function of parameter  $g$ . (D) Gene concentration and value of parameter  $g$  inside a representative simulated cell as a function of time. (E) Kymograph showing the dynamics of gene expression in the simulated embryo. Transparent colors are used to represent the concentration of the 3 genes, so that mixes of the 3 genes can be easily perceived. Genes A, B, and C are shown in transparent white, blue and purple, respectively. Simulated cells with intermediate concentrations of all genes appear grey. (F) Schematic of the final pattern. (G) Bifurcation diagram showing the types of dynamics available to the simulated embryo as a function of parameter  $g$ . The maximum and minimum concentrations of gene A on the stable limit cycles are shown in black. Stable and unstable fixed points are shown in green and red, respectively. "SN" stands for saddle-node bifurcation. (H) Period (grey line) and amplitude (red line) of the oscillations along the stable limit cycle. (I-N) Simulation results for Model 2.



### Figure 3: Stochastic simulations of the 3-

gene models. (A-D) Kymographs showing the

stochastic dynamics of gene expression in simulated

embryos. The specific values of the typical

concentration  $\Omega$  and of the diffusion constant  $D$  used to

generate each kymograph are indicated on the panels.

The concentration of the three genes at the last

simulated time point is shown schematically in the

lower part of each panel. (E) Mutual information as a

function of typical concentration  $\Omega$  for Model 1 (red lines)

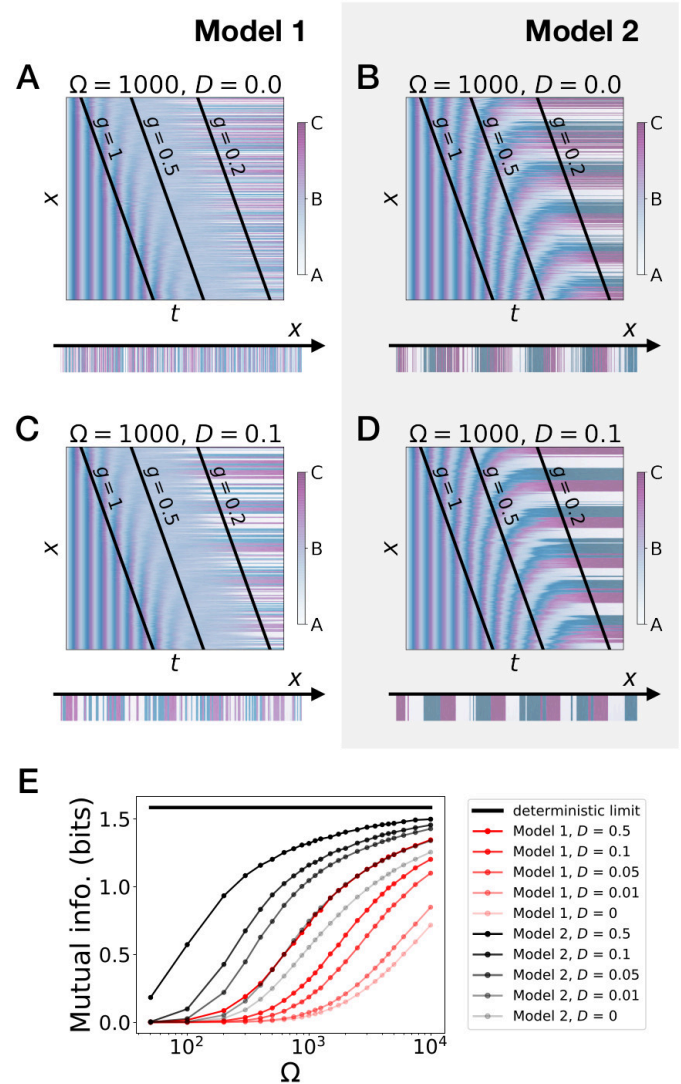
and Model 2 (black lines). Paler colors correspond to

lower values of the diffusion constant  $D$ . The thick

horizontal black line indicates the ideal mutual

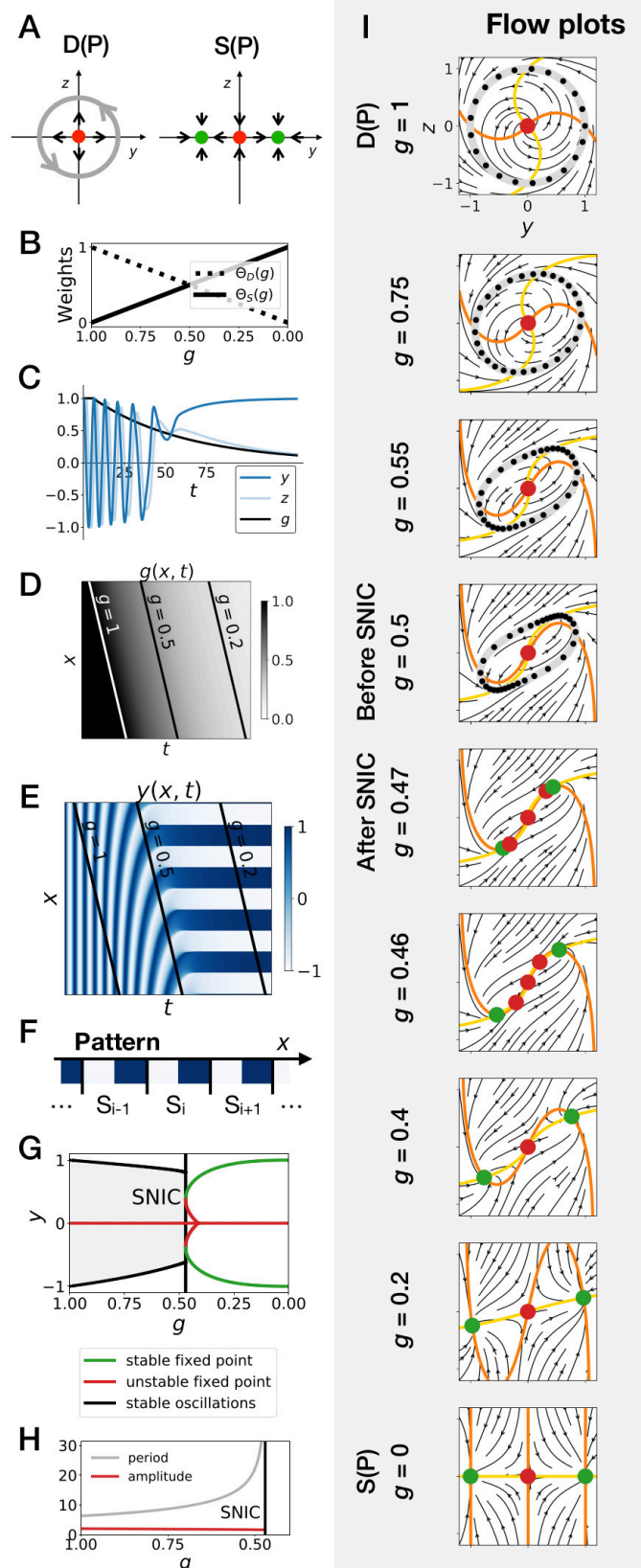
information for 3 mutually exclusive genes. Note that

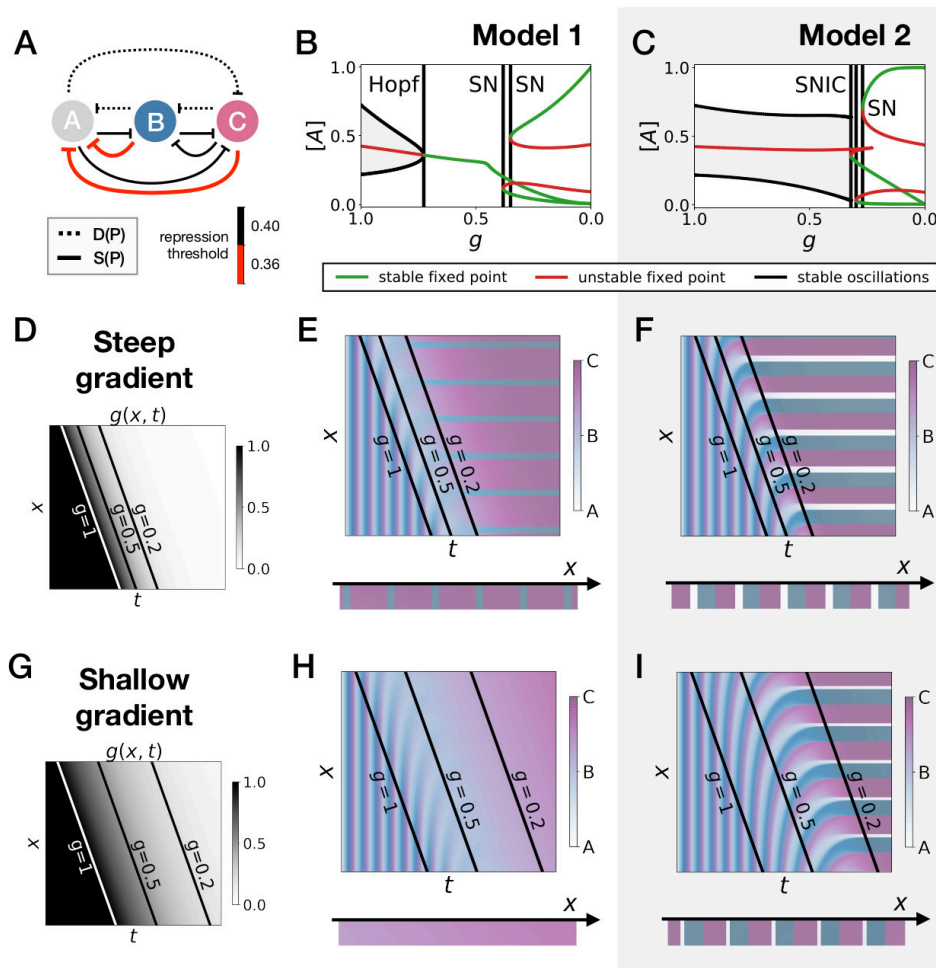
higher values of  $\Omega$  correspond to lower noise levels.



# Figure 4: 2D geometric model for pattern formation.

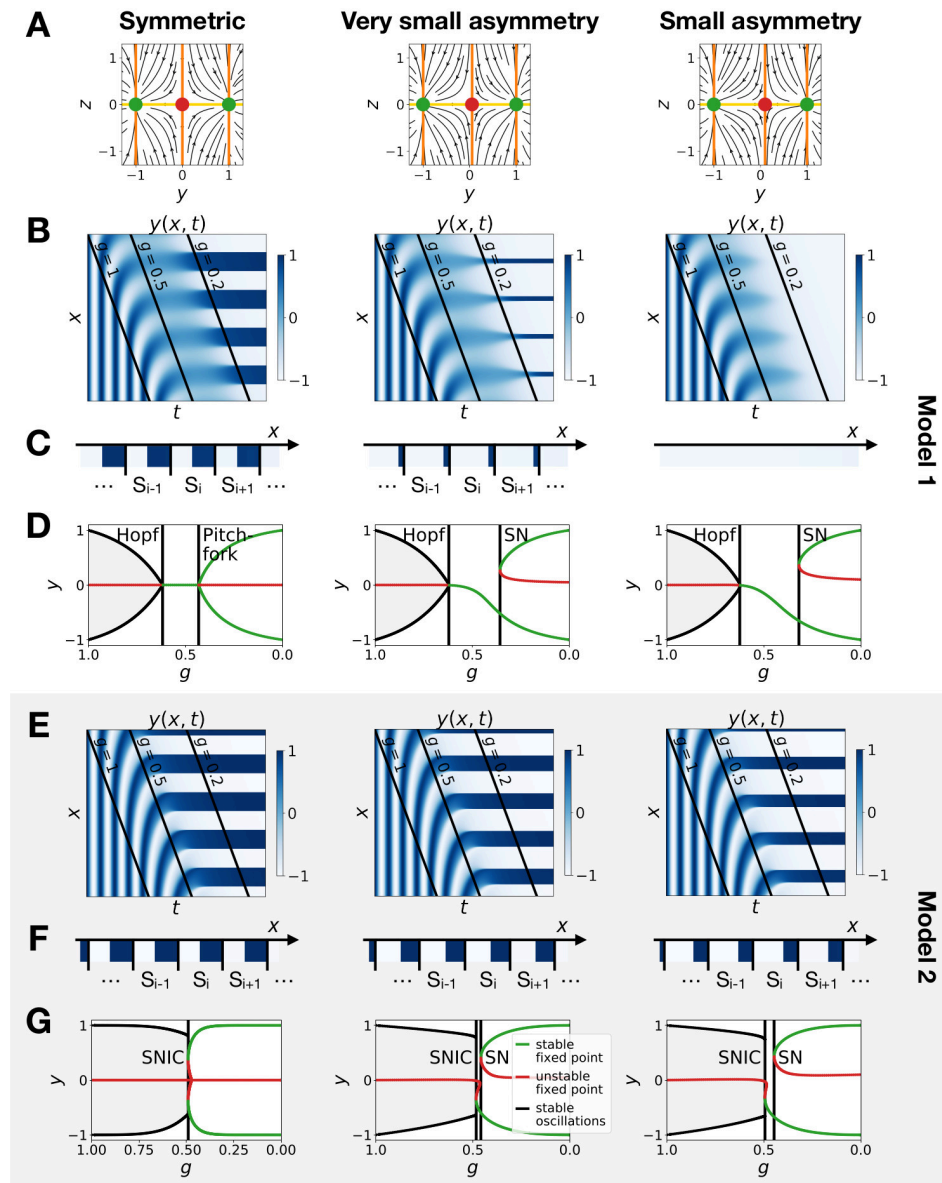
(A) Schematic of the flow encoded by the dynamic and static terms. The grey circle represents oscillations on the unit circle. Green and red dots represent unstable and stable fixed points, respectively. (B) Weights of the dynamic (dotted line) and static (solid line) modules as a function of parameter  $g$ . (C) Values of geometric coordinates  $y$  and  $z$  and of parameter  $g$  in a simulated cell as a function of time. (D-E) Kymographs showing respectively the dynamics of parameter  $g$  used in the simulated embryo and the dynamics of coordinate  $y$ . (F) Schematic of the final pattern. (G) Bifurcation diagram showing the types of dynamics available to the simulated embryo as a function of parameter  $g$ . The maximum and minimum values of coordinate  $y$  on the stable limit cycles are shown in black. Stable and unstable fixed points are shown in green and red, respectively. (H) Period (grey line) and amplitude (red line) of the oscillations. (I) Flow in phase space for different values of parameter  $g$ . The same color scheme than panel A is used to represent the cycles and the fixed points. Positions along the limit cycle at time points separated by a fixed time interval are indicated with black dots, so that variations of the speed of the oscillations along the limit cycle can be visualized. The yellow and orange lines represent the  $y$  and  $z$  nullclines, respectively.





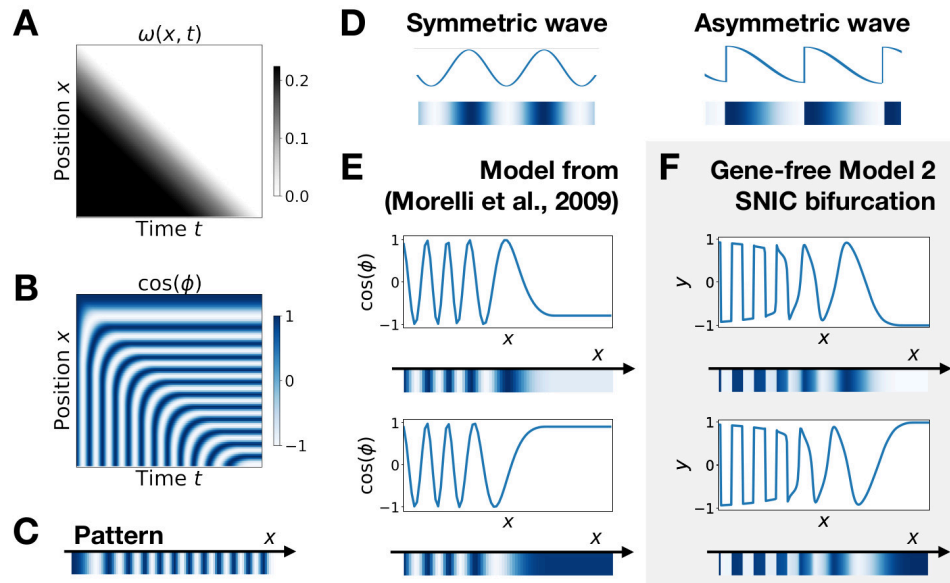
**Figure 5: Perturbation of the morphogen gradient steepness in asymmetric 3-gene**

**models.** (A) Schematic of the gene regulatory networks encoded by the dynamic term (dotted line) and the static term (solid line). The thick red lines indicate stronger repression than the black lines (see the parameters in the Appendix). (B-C) Bifurcation diagram showing the types of dynamics available in Models 1 and 2. The maximum and minimum concentrations of gene A on the stable limit cycles are shown in black. Stable and unstable fixed points are shown in green and red, respectively. The main bifurcations are identified with vertical lines. “SN” stands for saddle-node bifurcation. (D-F) Simulation results for a steep gradient of parameter  $g$ . (D) Kymograph showing the dynamics of parameter  $g$  used in the simulated embryos for both Models 1 and 2. (E-F) Kymograph showing the dynamics of gene expression in the simulated embryo of Models 1 and 2. The concentration of the three genes at the last simulated time point is shown schematically in the lower part of the panels. (H-J) Simulation results for a shallow gradient of parameter  $g$ .



**Figure 6: Perturbation of the morphogen gradient steepness in geometric models.**

(A) Flow plots showing the changes of geometry of the static module. (B-C) Corresponding kymographs and final patterns for Model 1. (D) Associated bifurcations diagrams. “SN” stands for saddle-node bifurcation. (E-F) Corresponding kymographs and final patterns for Model 2. (G) Associated bifurcation diagrams.



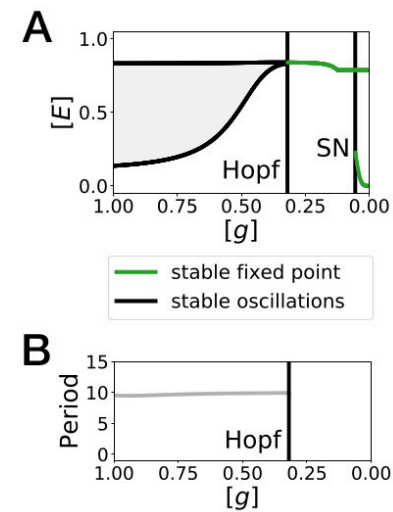
**Figure 7: Wave pattern in different models for the infinite-period scenario.**

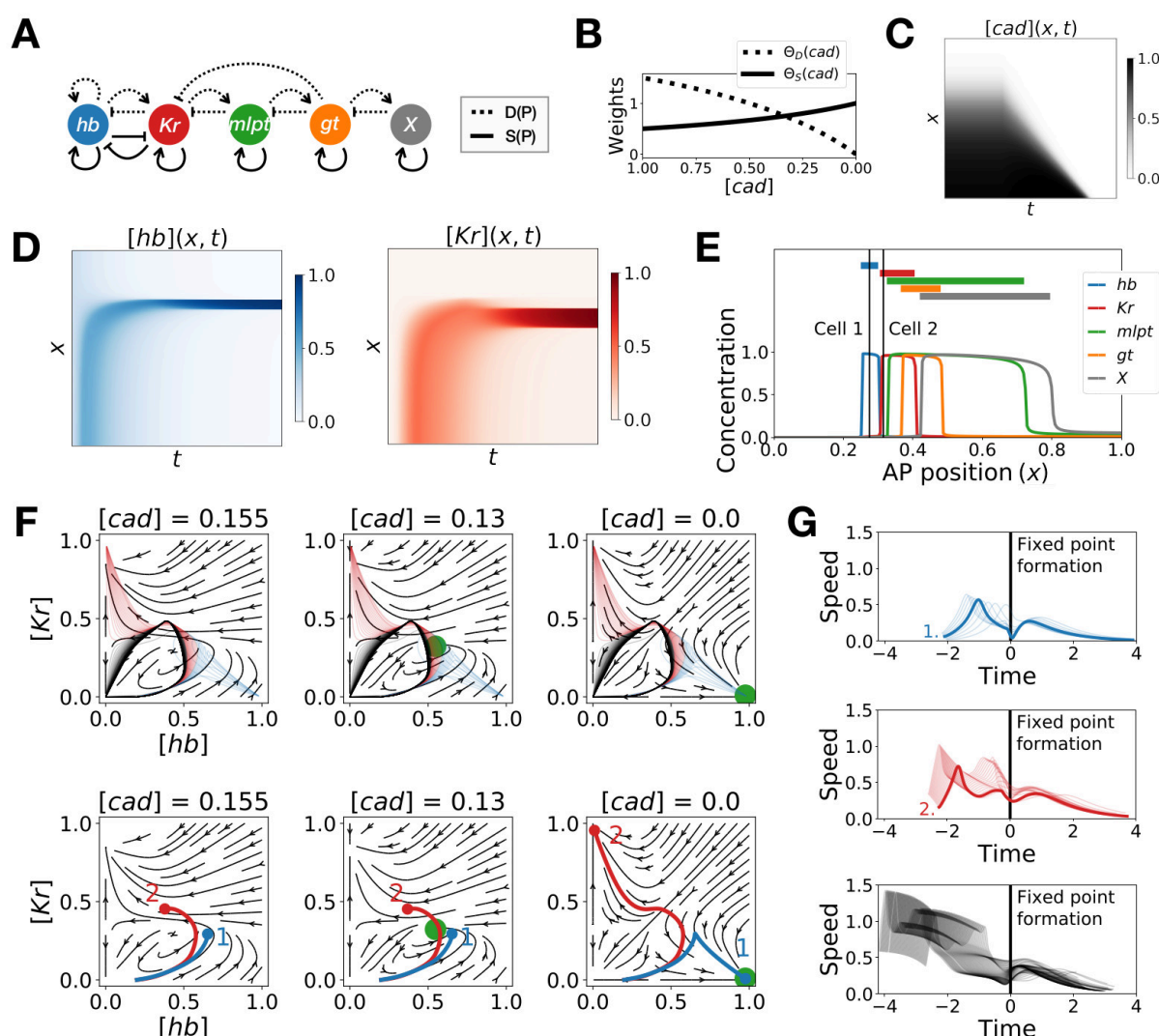
(A) Frequency profile for the simulation of the model of coupled oscillators from (Morelli et al., 2009).  
 (B-C) Kymograph showing the dynamics of the phase of the oscillators and the corresponding final pattern.  
 (D) Two examples of possible wave patterns (symmetrical vs asymmetrical). (E) Wave pattern for the model of Panels (A-C) for two different time points. (F) Wave pattern for Model 2 of Fig. 4 for two different time points.

# Supplementary Figures

## Figure 1—figure supplement 1: Bifurcation analysis of the Hopf scenario of Fig. 1.

(A) Bifurcation diagram showing the types of dynamics available to the system as a function of morphogen  $g$  concentration. The maximum and minimum concentrations of gene  $E$  on the stable limit cycle are shown in black. Stable fixed points are shown in green. The main bifurcation events are identified with vertical lines. “SN” stands for saddle-node bifurcation. (B) Period of the oscillations along the limit cycle.





**Figure 1—figure supplement 2: Two-enhancer model for Tribolium segmentation.**

(A) Schematic of the gene regulatory networks encoded by the dynamic term (dotted line) and the static term (solid line). (B) Weights of the dynamic (dotted line) and static (solid line) enhancers. (C) Kymograph showing the dynamics of the concentration of morphogen *caudal* (*cad*) used in the simulated embryo. (D) Kymographs showing the dynamics of the concentration of proteins *hunchback* (*hb*) and *Krüppel* (*Kr*). (E) Final pattern of protein expression. The vertical lines identify the positions of the two cells whose trajectories are shown on the bottom subpanels of panel F. (F) Flow in the phase space defined by *hb* and *Kr* for different concentrations of morphogen *cad*. The green disk represents the stable fixed point corresponding to the fate with high concentration of *hb*. (Top subpanels) Projection of the trajectories of all cells in the *hb*-*Kr* phase space. The trajectories of cells that end up with high *hb*, *Kr*, and *X* concentrations are represented

755 with transparent blue, red and black lines, respectively. (*Bottom subpanels*) Projection of the trajectories of  
 756 the two cells identified on panel E. For a given cell, the part of the trajectory that is shown is from the initial  
 757 time point until the time point when *cad* reaches the concentration used to compute the flow. (G) Speed in  
 758 phase space of all cells as a function of the time since the formation of the fixed point. The top, middle, and  
 759 bottom subpanels show the speed of the cells that end up with high *hb*, *Kr*, and *X* concentrations at the end  
 760 of the simulation, respectively. The thick blue and red lines correspond to the speed of cells 1 and 2,  
 761 respectively.

# Figure 2—figure supplement 1: 3-gene

## models for pattern formation with Hill

### functions for the weights. (A) Schematic of the

gene regulatory networks encoded by the dynamic

term (dotted line) and the static term (solid line). (B)

Kymograph showing the dynamics of parameter  $g$

used in the simulated embryos for both Models 3 and

4. (C-H) Simulation results for Model 3. (C) Weights of

the dynamic (dotted line) and static (solid line)

enhancers as a function of parameter  $g$ . (D) Gene

concentration and value of parameter  $g$  inside a

representative simulated cell as a function of time. (E)

Kymograph showing the dynamics of gene expression

in the simulated embryo. (F) Schematic of the final

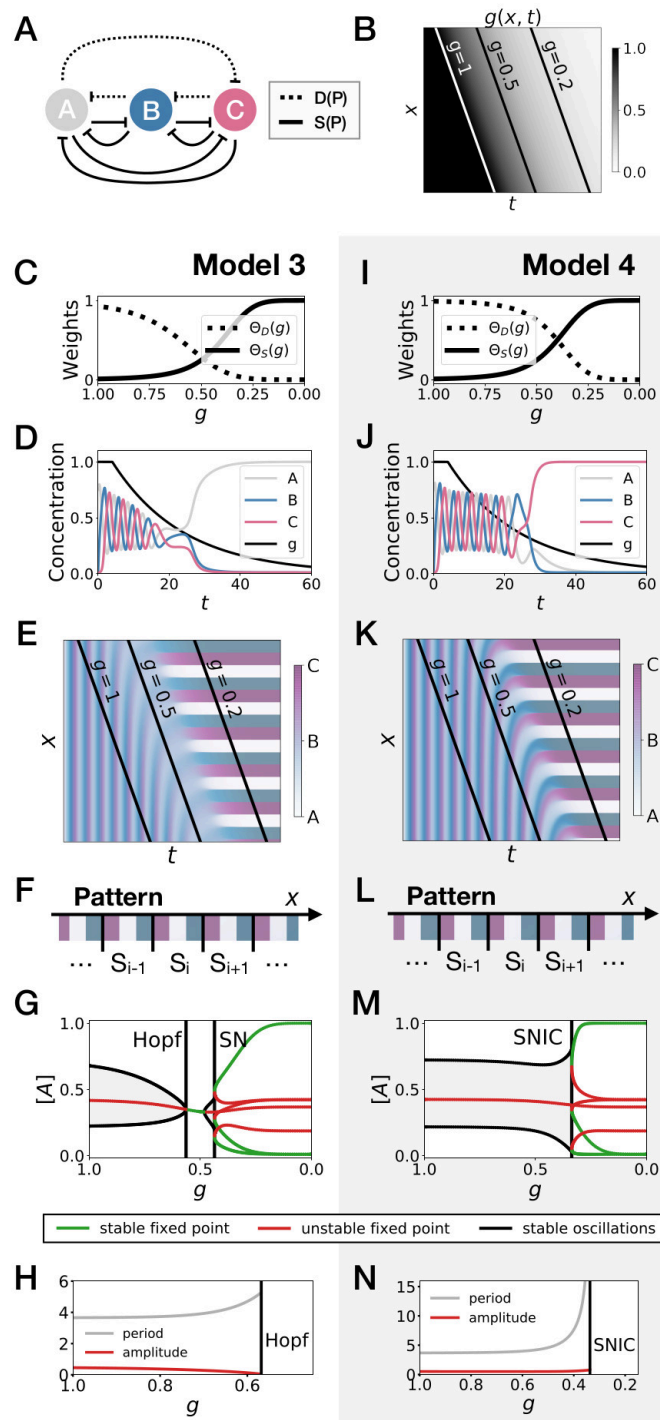
pattern. (G) Bifurcation diagram showing the types of

dynamics available to the simulated embryo as a

function of parameter  $g$ . (H) Period (grey line) and

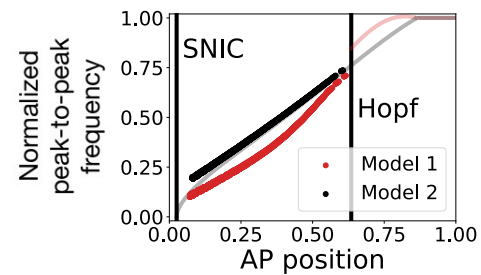
amplitude (red line) of the oscillations along the stable

limit cycle. (I-N) Simulation results for Model 4.



## Figure 2—figure supplement 2: Peak-to-peak frequency in the 3-gene models.

The red and black dots represent the normalized peak-to-peak frequency as a function of the position along the antero-posterior (AP) axis for Models 1 and 2, respectively. These data points were computed numerically by using equation 2 of (Giudicelli et al., 2007). The transparent red and black lines are the theoretical normalized frequencies of Models 1 and 2, respectively, obtained via bifurcation analysis. Note that after the Hopf bifurcation in Model 1, the system performs damped oscillations. It is therefore possible to extract a numerical peak-to-peak frequency even after the stable oscillations die during the Hopf bifurcation.



# Figure 3—figure supplement 1: Stochastic

## simulations of the 3-gene models with Hill

## functions for the weights. (A-D) Kymographs

showing the stochastic dynamics of gene expression in

simulated embryos. The concentration of the three

genes at the last simulated time point is shown

schematically in the lower part of each panel. (E)

Mutual information as a function of typical

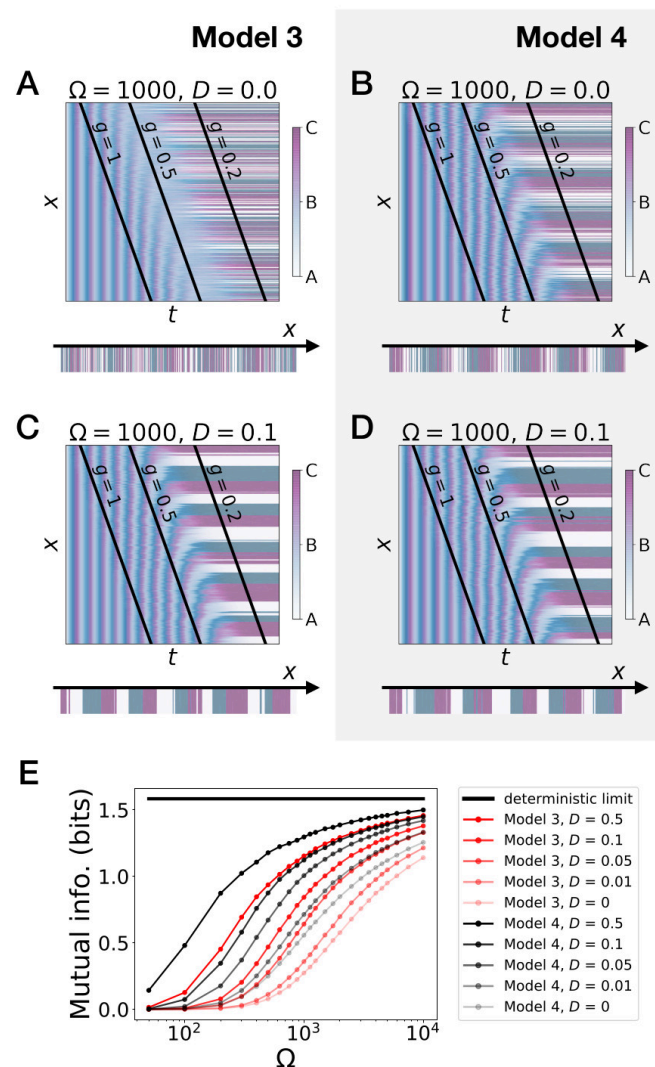
concentration  $\Omega$  for Model 1 (red lines) and Model 2

(black lines). Paler colors correspond to lower values

of the diffusion constant  $D$ . The thick horizontal black

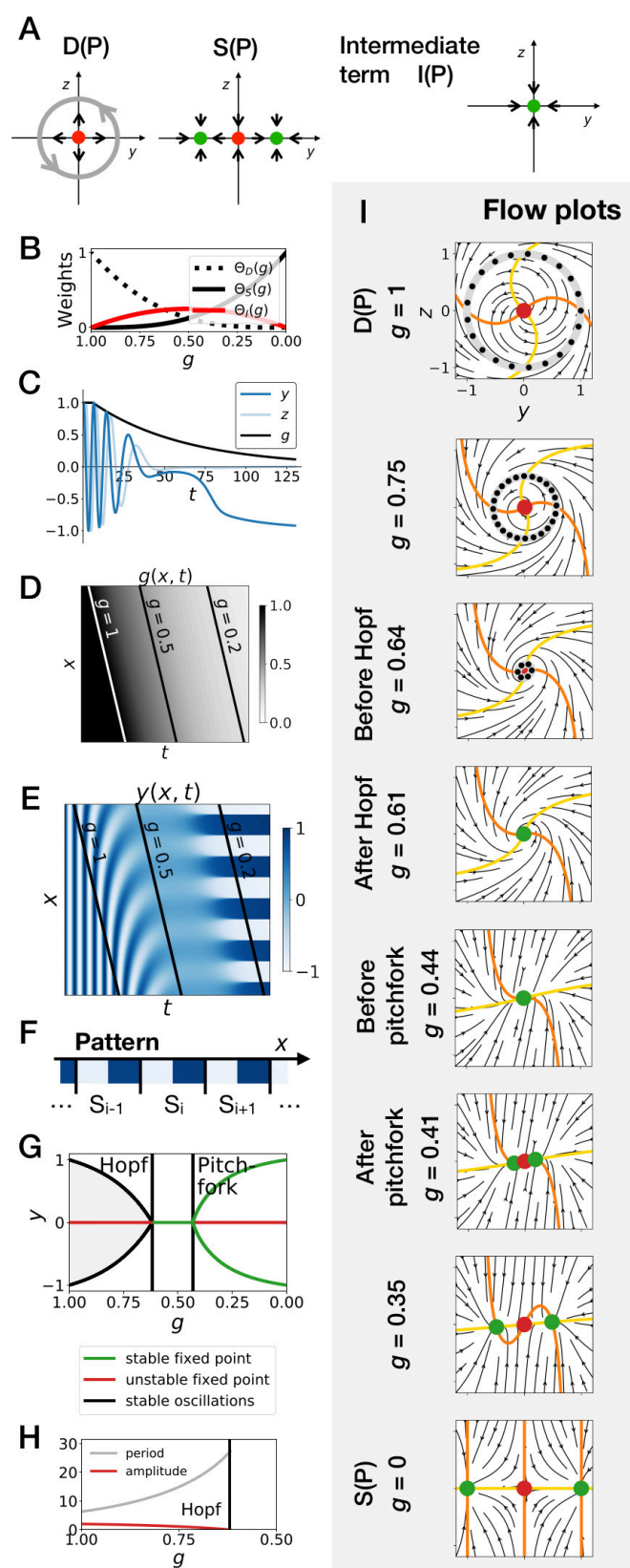
line indicates the ideal mutual information for three

mutually exclusive genes.



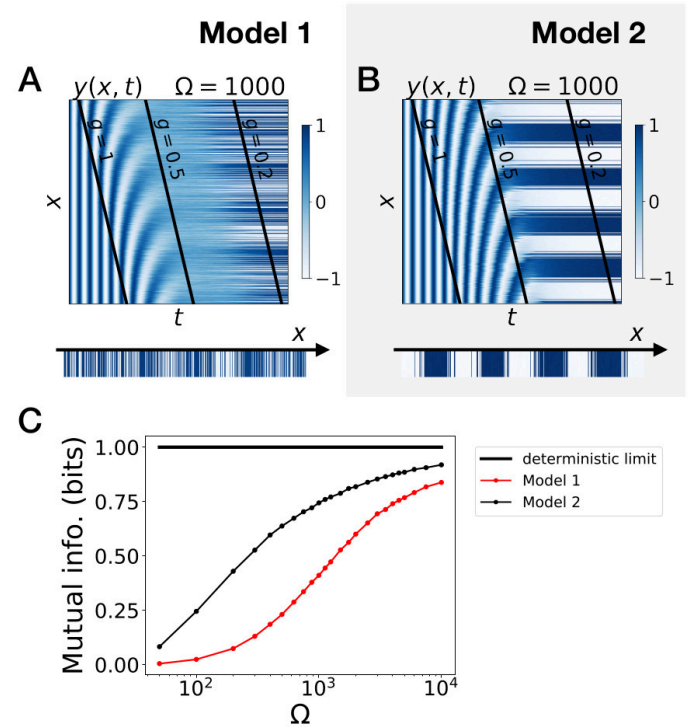
# **Figure 4—figure supplement 1: Hopf scenario in the 2D gene-free model.**

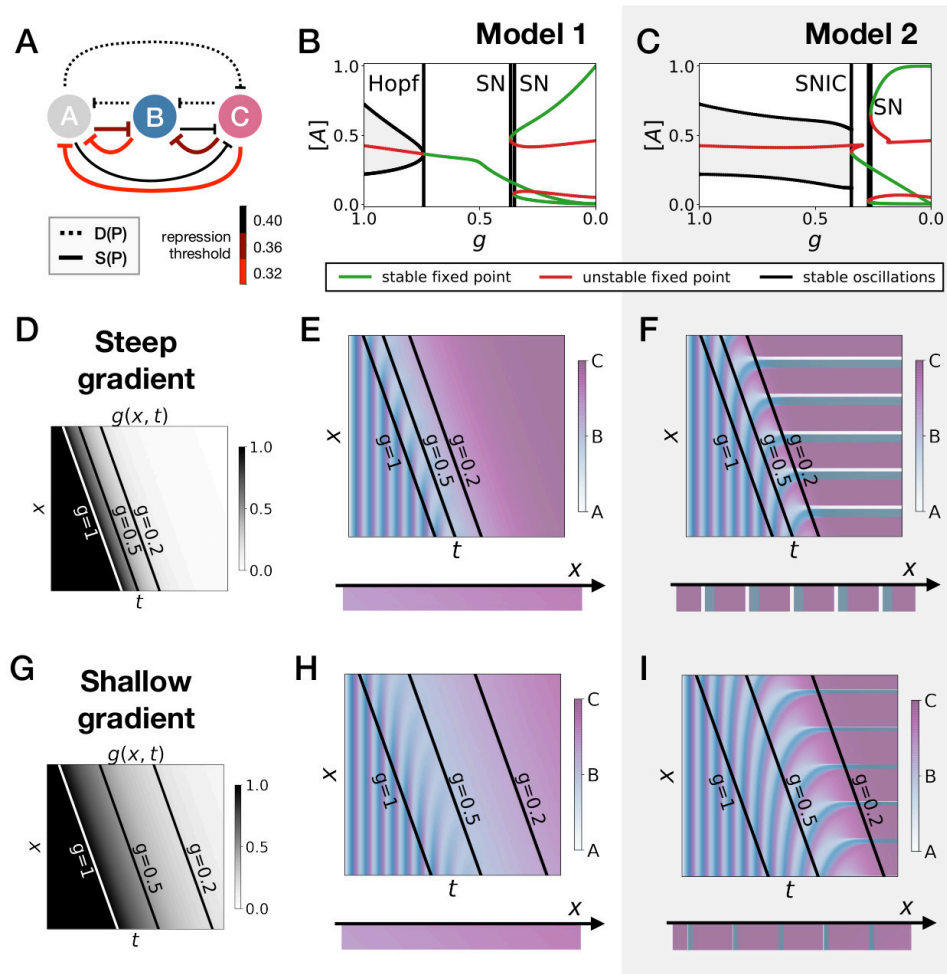
(A) Schematic of the flow encoded by the dynamic, static and intermediate terms. (B) Weights of the dynamic (dotted black line), static (solid black line) and intermediate (solid red line) enhancers as a function of parameter  $g$ . (C) Values of geometric coordinates  $y$  and  $z$ , and of parameter  $g$  in a simulated cell as a function of time. (D) Kymograph showing the dynamics of parameter  $g$  used in the simulated embryo. (E) Kymograph showing the dynamics of geometric coordinate  $y$ . (F) Schematic of the final pattern. (G) Bifurcation diagram showing the types of dynamics available to the simulated embryo as a function of parameter  $g$ . (H) Period (grey line) and amplitude (red line) of the oscillations. (I) Flow in phase space for different values of parameter  $g$ . The limit cycles are represented by thick grey lines. Positions along the limit cycle at time points separated by a fixed time interval are indicated with black dots, such that the (absence of) variations of the speed along the limit cycles can be visualized. The yellow and orange lines represent the  $y$  and  $z$  nullclines, respectively. The green and red dots represent stable and unstable fixed points, respectively.



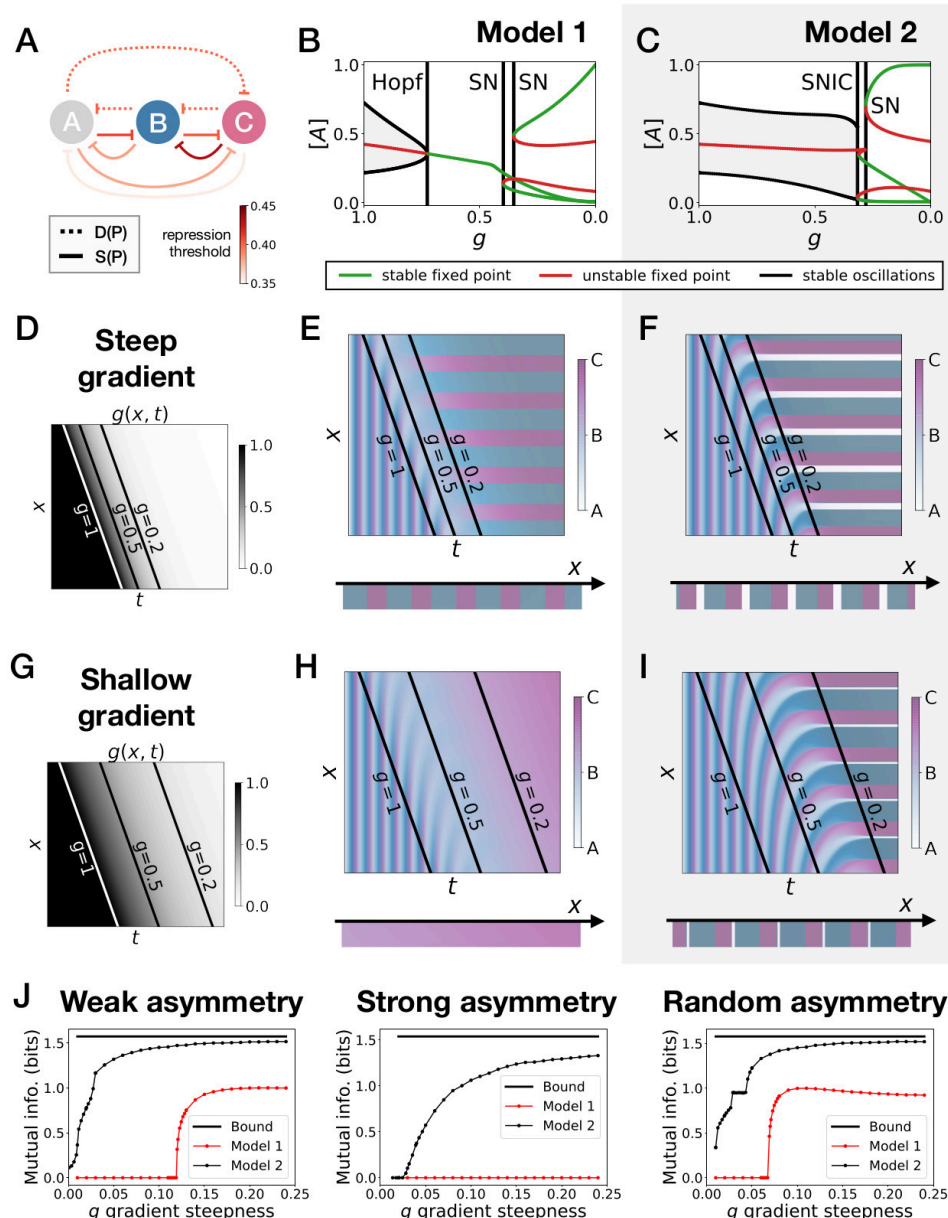
# **Figure 4—figure supplement 2: Stochastic simulations of the gene-free models.**

(A-B) Kymographs showing the stochastic dynamics of variable  $y$  in simulated embryos. The specific value of parameter  $\Omega$  used to generate each kymograph is indicated on the panels. (C) Mutual information as a function of typical concentration  $\Omega$  for Model 1 (red line) and Model 2 (black line). The thick horizontal black line indicates the ideal mutual information for a pattern with two symmetric regions.





**Figure 5—figure supplement 1: Perturbations of the morphogen gradient steepness in strongly asymmetric 3-gene models.** (A) Schematic of the gene regulatory networks encoded by the dynamic (dotted line) and static (solid line) terms. For each interaction, the color indicates the strength of the repression, with darker shades of red corresponding to weaker repression. (B-C) Bifurcation diagram showing the types of dynamics available in Models 1 and 2. “SN” stands for saddle-node bifurcation. (D-F) Simulation results for a steep gradient of parameter  $g$ . (D) Kymograph showing the dynamics of parameter  $g$  used in the simulated embryos of both Models 1 and 2. (E) Kymograph showing the dynamics of gene expression in the simulated embryos of Model 1. The concentration of the three genes at the last simulated time point is shown schematically in the lower part of the panel. (F) Kymograph showing the dynamics of gene expression in the simulated embryos of Model 2. (G-I) Simulation results for a shallow gradient of parameter  $g$ .



**Figure 5—figure supplement 2: Perturbations of the morphogen gradient**

**steepness in randomly asymmetric 3-gene models.** (A) Schematic of the gene regulatory

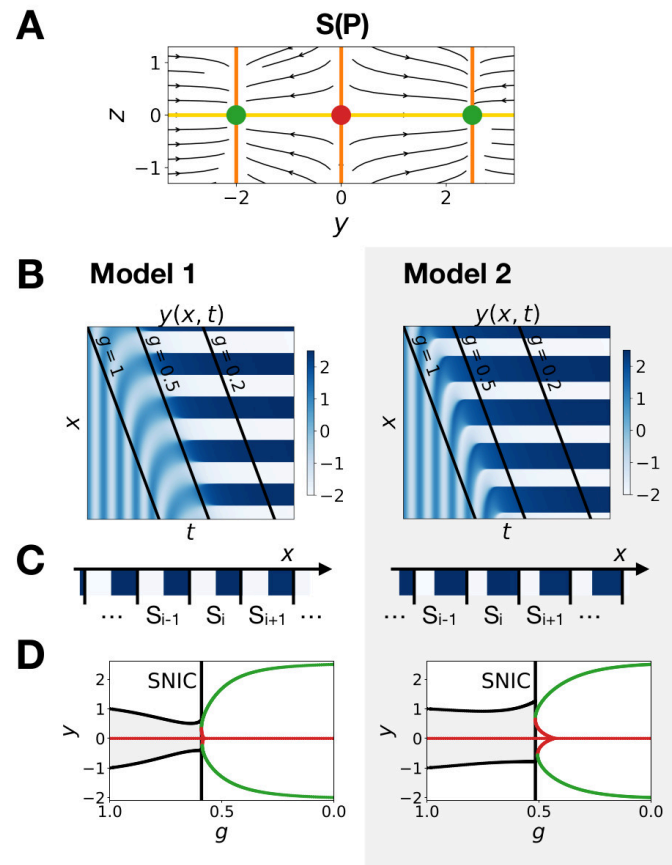
networks encoded by the dynamic (dotted line) and static (solid line) terms. For each interaction, the color indicates the strength of the repression, with darker shades of red corresponding to weaker repression.

(B-C) Bifurcation diagram showing the types of dynamics available in Models 1 and 2. “SN” stands for

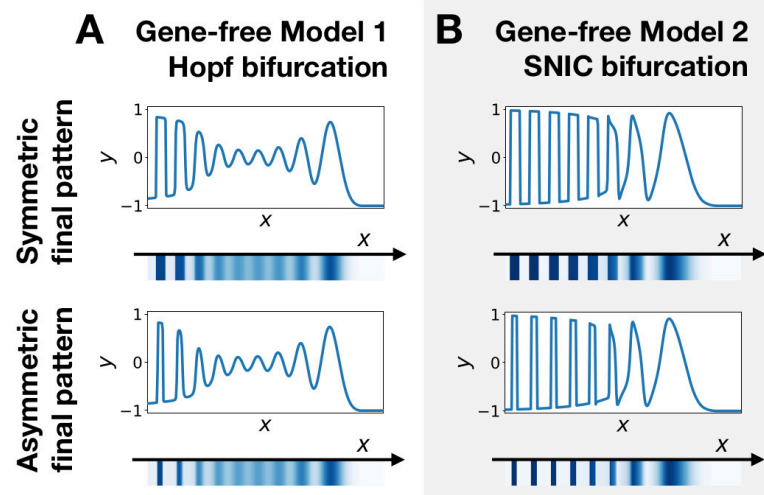
saddle-node bifurcation. (D-F) Simulation results for a steep gradient of parameter  $g$ . (D) Kymograph

showing the dynamics of parameter  $g$  used in the simulated embryos of both Models 1 and 2. (E)

854 Kymograph showing the dynamics of gene expression in the simulated embryos of Model 1. The  
 855 concentration of the three genes at the last simulated time point is shown schematically in the lower part of  
 856 the panel. (F) Kymograph showing the dynamics of gene expression in the simulated embryos of Model 2.  
 857 (G-I) Simulation results for a shallow gradient of parameter  $g$ . (J) Mutual information as a function of the  
 858 steepness of the  $g$  gradient for Models 1 (red line) and 2 (black line). The thick horizontal black line indicates  
 859 the theoretical upper bound. The left, center and right subpanels show respectively the mutual information  
 860 for models with a weak asymmetry in the fixed points of the static term (Fig. 5), with a strong asymmetry  
 861 (Supp. Fig. 7) and with a random asymmetry (this figure).



**Figure 6—figure supplement 1: Model 1 becomes a SNIC when fixed points are outside the limit cycle.** (A) Flow plots showing the change of geometry of the static module. (B-C) Corresponding kymographs and final patterns for Models 1 and 2. (D) Associated bifurcations diagrams.



**Figure 7—figure supplement 1: Wave pattern in different versions of the 2D gene-free model.** (A) Wave patterns of the gene-free Model 1 with a Hopf bifurcation. The top and bottom subpanels show the wave pattern for symmetric and asymmetric fixed points in the static term, respectively. (B) Wave patterns of the gene-free Model 2 with a SNIC bifurcation.

## Supplementary Movie Legends

### **Figure 4—movie supplement 1: Flow of the gene-free with a SNIC bifurcation.**

Flow in phase space as parameter  $g$  goes from 1 to 0. The limit cycles are represented by thick grey lines. The yellow and orange lines represent the  $y$  and  $z$  nullclines, respectively. The green and red dots represent stable and unstable fixed points, respectively.

### **Figure 4—movie supplement 2: Flow of the gene-free with a Hopf bifurcation.**

Flow in phase space as parameter  $g$  goes from 1 to 0. The limit cycles are represented by thick grey lines. The yellow and orange lines represent the  $y$  and  $z$  nullclines, respectively. The green and red dots represent stable and unstable fixed points, respectively.

### **Figure 7—movie supplement 1: Comparison of pattern formation dynamics in**

**different models.** Dynamics of the spatial wave patterns in four models: a phase model with diverging period similar to the infinite-period scenario of Figure 1, the symmetric gene-free Model 1, the symmetric gene-free Model 2, and the asymmetric gene-free Model 2.