

1 **Alteration of fecal microbial compositions and** 2 **bacterial taxa in female osteoporotic patients**

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119

120 **Abstract**

121 **Background:** Gut microbiota, mainly characterized by fecal bacterial
122 compositions, affects human immune system and pathophysiological
123 development. Our aim was to measure the quantitative differences of
124 fecal bacterial compositions between osteoporotic patients and healthy
125 subjects, and to identify novel bacterial taxa that speculate the
126 incidence of osteoporosis in female.

127

128 **Method:** We recruited 104 female subjects, including 45 osteoporotic
129 individuals and 59 healthy control. Fecal samples were collected for
130 further analysis by 16S rRNA quantitative arrays and bioinformatics
131 analysis.

132

133 **Results:** Analyses of α - and β -diversity demonstrated that the diversity
134 and composition of fecal bacterial compositions were both significant
135 different in osteoporosis group, as compared with healthy group.
136 Multiple bacterial genera were significantly increased (e.g., Roseburia
137 and Bacteroides) or decreased (e.g., Streptococcus and Dorea) in the
138 osteoporotic cases. Furthermore, the osteoporosis could be efficiently

139 determined by the random forest model based on differential taxa (area
140 under ROC curve = 0.93).

141

142 **Conclusion:** There were obvious different fecal microbial
143 characteristics between female osteoporosis and healthy subjects. These
144 findings provided evidence for understanding the host-gut microbiota
145 interplay in female osteoporosis, and supported clinical applications of
146 gut microbiota analysis for female osteoporosis diagnosis

147

148 **Keywords:** osteoporosis; gut microbiota; 16S rRNA sequencing;
149 bioinformatics analysis; intestinal permeability

150

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157 **Introduction**

158 Osteoporosis is characterized by low bone mass and increased
159 bone fragility (1,2). The deterioration of bone tissue architecture in
160 osteoporosis is mainly caused by imbalanced bone formation slower
161 than bone resorption (3), which increases the incidence of bone
162 fractures. Osteoporotic fractures result in a heavy burden to health
163 services worldwide, especially increased morbidity and reduced
164 survival in the elderly(4,5).

165 Conventional therapeutic interventions on osteoporosis include
166 general lifestyle changing (e.g., balanced diet and regular exercise) (6),
167 and intake of approved drugs (e.g., oestrogen and bisphosphonates) (7).
168 In recent years, a series of studies suggested that gut microbiota
169 colonizing in the intestinal tract not only affected the nutrition
170 metabolism, but also contributed to the occurrence and progression of
171 osteoporosis (8). Das et al. reported there were differences of gut
172 microbiota composition between osteoporosis /osteopenia and controls
173 with an Irish cohort of older adults (9). Wang et al. analyzed the gut
174 microbiota diversity in a relatively small sample with 6 primary
175 osteoporosis patients, 6 osteopenia patients and 6 healthy controls (10).
176 Li et al. identified several differential fecal bacterial taxa from patients
177 with low bone mineral density (11). However, the above research
178 findings were based on subjects without considering sex, and the
179 results were also inconsistent.

180 Osteoporosis is a typical disease of females. One key reason is the
181 sudden decline of estrogen production for women after the menopause
182 but a gradual process for men (12). Therefore, hormone replacement
183 therapy effectively decreased the risk of bone fracture in post-
184 menopausal women (13,14). It indicated that the pathophysiology of
185 osteoporosis may differ between women and men. However, current
186 fecal microbial studies on osteoporosis mixed female and male subjects
187 together for analysis, leading to the misunderstanding of female-
188 specific characteristics. To this end, the present study aimed to
189 investigate the alterations in gut microbiota of female osteoporotic
190 patients using 16S rRNA high-throughput sequencing analysis. The
191 results could provide bioinformatics characteristics of dysbiosis in
192 osteoporotic women, thus helping to guide probiotics treatment and
193 primary prevention.

194

195 **Materials and Methods**

196 Patient recruitment and bone mineral density examination

197 This study was approved by the Ethics Committee of Shenzhen
198 Hospital of Southern Medical University. Written informed consent
199 was signed by all participants prior to enrollment. BMD values at
200 skeleton sites of the lumbar spine and the total hip joint were obtained
201 using dual-energy X-ray absorptiometry (DXA) (Discovery™ CI,

202 QDR SERIES, HOLDGIC). Subject with BMD T-Score < -2.5 were
203 classified into osteoporotic group, whereas participants with BMD T-
204 Score > 1.0 were classified into the control group. Those subjects
205 meeting the following criteria were excluded for study: (1) use of
206 antibiotics or hormone within 6 months before enrollment, (2)
207 undergoing gastrointestinal diseases, and (3) history of hypothyroidism
208 or hyperthyroidism. Finally, 104 participants (45 osteoporosis cases
209 and 59 controls) were included in analysis.

210 Fecal sample collection and DNA extraction

211 Fresh fecal samples were collected in sterile tubes and frozen at
212 -80 °C for further use. Genomic DNA was extracted from each fecal
213 sample using QIAamp Fast DNA Stool Mini Kit (QIAGEN, Germany)
214 according to the manufacturer's instructions. The amount of DNA was
215 determined by NanoDrop 2000 UV-via spectrophotometer (Thermo
216 Scientific, USA). Integrity and size of DNA were checked by 0.8%
217 (w/v) agarose gel electrophoresis in 0.5 mg/ml ethidium bromide.

218

219 16S rRNA sequencing

220 We amplified the V3-V4 hypervariable regions of 16S rRNA gene
221 using the following PCR primers: forward 5'-
222 CCTACGGGRSGCAGCAG-3' and reverse 5'-
223 GGACTACVVGGGTATCTAATC-3'. PCR amplification was

224 performed in a mixture containing 1.0 µl of forward primer, 1.0 µl of
225 reverse primer and 6.0 µl of DNA. The reactions were started at 96 °C
226 for 30 s, followed by 40 cycles of 96 °C for 15 s, 60 °C for 15 s, and
227 75 °C for 15 s, with a final extension step at 75 °C for 1 min. The
228 concentration of DNA libraries was quantified using PicoGreen DNA
229 Assay (Invitrogen, USA). Pooled DNA libraries were diluted to 10
230 pM and denatured in 0.2N NaOH, mixed with PhiX control library
231 (Illumina Inc., USA), and paired-end sequenced (2 × 250 bp) on the
232 Illumina MiSeq platform.

233

234 Sequencing data analysis

235 The Quantitative Insights Into Microbial Ecology pipeline was
236 employed to process the sequencing data (15). Paired-end reads were
237 merged using PANDAseq, sequences were de-noised using USEARCH
238 (ver. 8.0.1623), and chimera checked with UCHIME26. Operational
239 Taxonomic Units (OTUs) were picked at 97% similarity and
240 representative sequences were generated. Sequences were aligned with
241 PyNAST using Greengenes database and taxonomy assigned to the
242 lowest possible taxonomic level using the Ribosomal Database Project
243 Classifier at a 80% bootstrap value threshold. OTUs found in above 50%
244 samples were retained. The numbers of sequences were normalized for
245 further analyses.

246 The ACE, Chao, Shannon and Simpson index were calculated to
247 assess α -diversity. Principal coordinate analysis (PCoA) and non-
248 metric multidimensional scaling (NMDS) based on Bray-Curtis
249 distance of OTUs were performed to provide an overview of the
250 between-group bacterial difference (i.e, β -diversity). The Pearson's
251 correlation coefficient was calculated to evaluate the association
252 between bacteria abundance and bone mass density. Statistical analyses
253 and data visualization were performed using R software package
254 (version 3.5.1).

255

256 **Results**

257 **Characteristics of study subjects**

258 A total of 45 osteoporosis patients and 59 healthy controls were
259 recruited according to the inclusion and exclusion criteria (Table 1).
260 There was no statistical difference in body mass index (BMI), alcohol
261 intake, tobacco used and history of fracture, renal disease or
262 osteoarthritis disease between two groups ($p > 0.05$). The osteoporotic
263 cases were generally older than the control subjects (average age 59.07
264 vs 54.59). The osteoporosis group had significantly lower T-score, Z-
265 score and BMD than the control group. The values of 25-oh-VD were
266 decreased in osteoporosis cases as compared to control individuals.
267 Notably, we also found significant lower level of diamine oxidase and

268 higher level of D-lactic acid and lipopolysaccharide (LPS) in
269 osteoporosis group than in control group ($P < 0.001$), suggesting
270 undermined intestinal permeability in osteoporotic cases.

271

272 **Table 1. Characteristics of osteoporosis and control subjects.**

	Osteoporosis (n=45)	Control (n=59)	P-value[#]
Age (mean±SD)	59.07±7.41	54.59±6.61	0.0017*
BMI (mean±SD)	22.98±2.85	23.52±3.61	0.41
Drinking, n (%)	12 (26.7)	15 (25.4)	1.00
Smoking, n (%)	7 (15.6)	9 (15.3)	1.00
T-Score	-2.74±0.57	0.16±1.01	8.74×10 ⁻³² *
LS T-score	-3.06±0.45	-0.18±0.41	2.22×10 ⁻⁵⁷ *
LS Z-score	-1.30±0.58	1.69±0.18	4.05×10 ⁻⁶¹ *
LS BMD (g/cm ²)	0.65±0.06	0.88±0.10	1.24×10 ⁻²⁴ *
Hip T-score	-2.72±0.44	0.13±0.36	3.82×10 ⁻⁶⁰ *
Hip Z-score	-1.41±0.24	1.36±0.17	2.94×10 ⁻⁸⁷ *
Hip BMD (g/cm ²)	0.55±0.03	0.83±0.05	2.74×10 ⁻⁵⁹ *
25-oh-VD	17.69±4.78	45.01±6.58	1.29×10 ⁻⁴² *
Diamine oxidase (U/L)	1.22±0.45	3.05±0.58	4.71×10 ⁻³² *
D-Lactic Acid (mg/L)	19.82±4.49	7.12±1.34	1.02×10 ⁻³⁷ *
LPS (U/L)	3.42±0.76	0.98±0.42	2.00×10 ⁻³⁸ *
Fracture, n (%)	0 (0)	0 (0)	1.00
Renal Disease, n (%)	1 (2.2)	0 (0)	0.43
Osteoarthritis Disease, n (%)	3 (6.7)	0 (0)	0.078

273 [#] P-values were calculated with Fisher's exact test for nominal
274 variables and Student's t-test for continuous variables.

275 * Statistically significant difference between two groups.

276

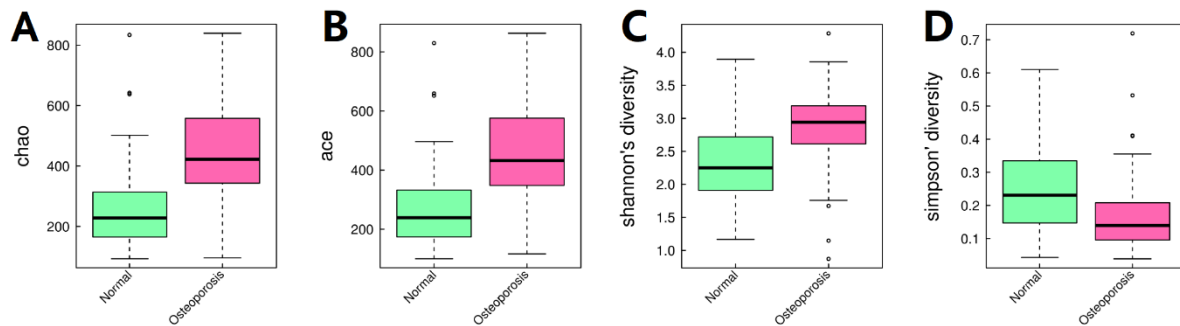
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279 Comparisons of gut microbial diversity

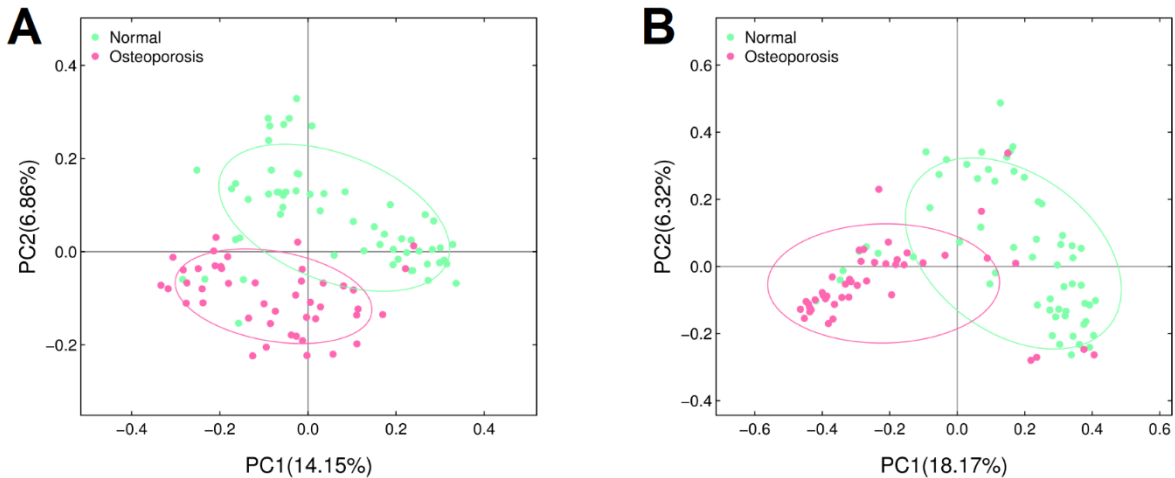
280 We performed α -diversity analysis and found significant
281 difference between osteoporotic and control groups. The osteoporosis
282 cases exhibited higher values of ACE, Chao, Shannon indices and
283 lower value of Simpson index ($P < 0.01$, Figure 1), suggesting that the
284 microbial diversity is reduced in osteoporosis status. In addition, the
285 analysis of the β -diversity calculated on Bray-Curtis dissimilarity and
286 unweighted UniFrac distance both showed that microbiota composition
287 of osteoporosis patients clustered apart from that of healthy controls
288 (PERMANOVA $P < 0.01$, Figure 2), indicating between-group
289 difference in microbiota composition.

290



291

292 **Figure 1.** Comparison of α -diversity measured by ACE (A), Chao (B),
293 Shannon (C) and Simpson (D) indices.



294

295 **Figure 2.** The normal subjects (green dots) and the osteoporosis cases
296 (red dots) showed a separation in the principal coordinates analysis
297 (PCoA) calculated with unweighted UniFrac distance (A) and Bray-
298 Curtis dissimilarity (B).

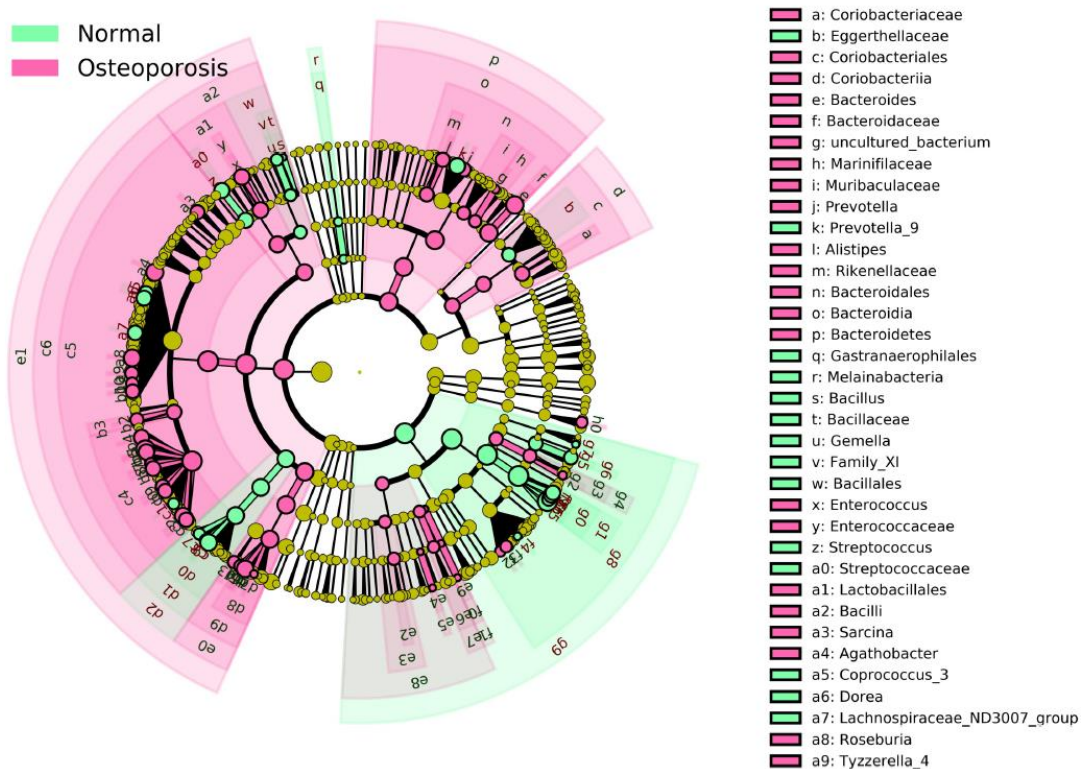
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300 Differences in taxonomic abundance

301 We applied linear discriminant analysis effect size (LEfSe)
302 software to explore the changes of the bacterial community in the
303 osteoporosis all taxa level. Interestingly, a series of taxa exhibited
304 differential abundance between two groups. At the genus level, the
305 abundance of 29 genera (e.g., Roseburia and Bacteroides) was
306 significantly higher in the osteoporotic cases than in the normal
307 controls. On the other hand, the proportion of 15 genera (e.g.
308 Streptococcus and Dorea) was decreased in the osteoporosis group
309 (Figure 3 and Table 2).

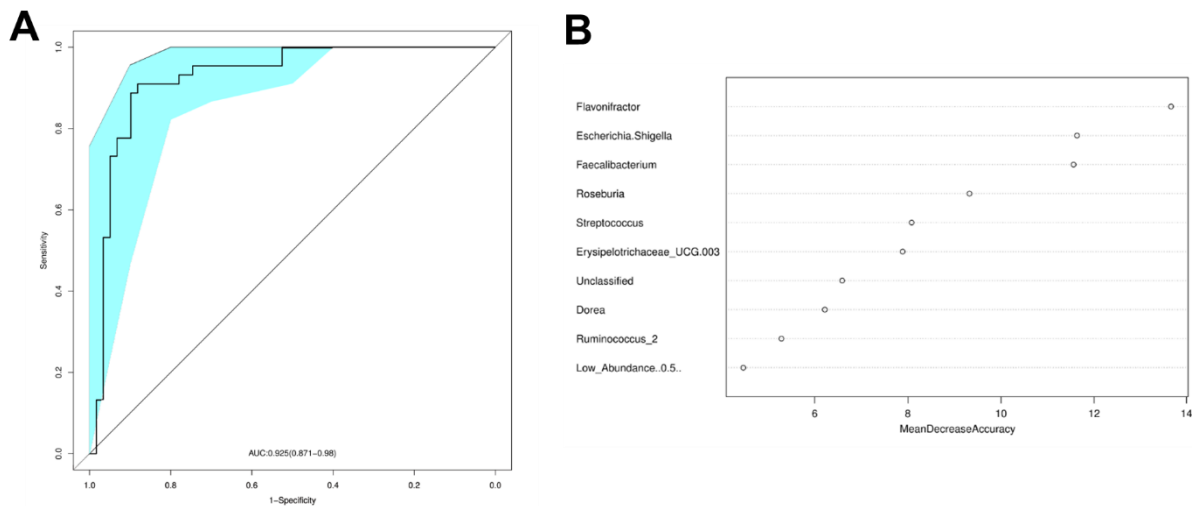
310 To test whether the distinctive microbiota composition can
311 classify osteoporotic status, we trained a random forest model with all
312 study subjects using the profiles of genus. As indicated by the receiver
313 operating characteristic (ROC) curve, the variable of genus abundance
314 was effective to classify osteoporosis cases from controls (AUC=0.93,
315 95% CI 0.87-0.98, Figure 4A). A set of genera provided most of the
316 discriminatory power, such as Roseburia, Streptococcus, Dorea and
317 Flavonifractor (Figure 4B).

318



320 **Figure 3.** Taxonomic cladogram generated by LEfSe software showing
321 alterations to gut bacterial communities in osteoporosis group.

322



323

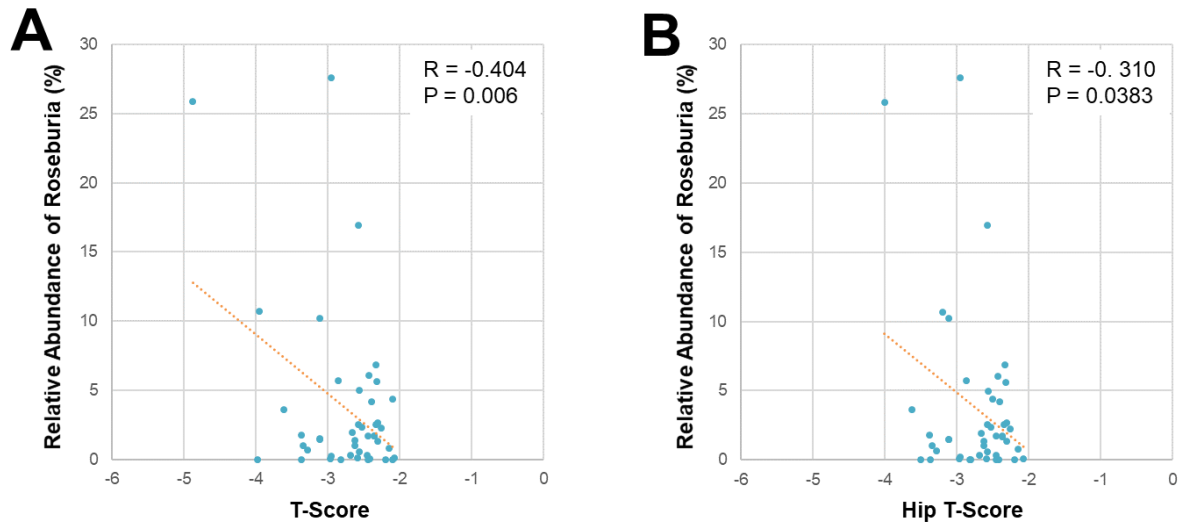
324 **Figure 4.** Gut microbiota signature which can be used to discriminate
325 osteoporosis patients from normal controls. (A) Receiver operating
326 characteristic curve for leave-one-out cross validation. (B) The top
327 important genera in the discriminatory model as measured by
328 mean decrease accuracy.

329

330 Gut microbiota and bone mass indicators

331 To evaluate whether osteoporosis leads to changes in the
332 correlation between bone mass parameters and various taxa of the gut
333 microbiota, we further performed Pearson's correlation analysis. We
334 identified only one genus, Roseburia, was negatively correlated with
335 both T-score and Hip T-score ($P < 0.05$). In other words, the abundance

336 of *Roseburia* genus was changed along with not only osteoporosis
337 status but also severity of bone loss.



338
339 **Figure 5.** Correlation between bone mass level and the relative
340 abundance of the genus *Roseburia*. (A) T-score. (B) Hip T-score.

341
342 **Discussion**

343 Conventional osteoporosis therapies focused on mitigating the loss
344 of bone associated with decreases of sex steroids (16). With increasing
345 knowledge about the pathology of osteoporosis, clinical research of
346 new targets for therapeutic intervention is directed to gut microbiome
347 associated to bone resorption and formation (17). In this study, we
348 conducted 16S rRNA sequencing to compare the composition of gut
349 microbiota between osteoporotic patients and control subjects.

350 Our results showed significant differences in the alpha diversity and
351 the abundance of specific taxa in gut microbiome associated with
352 osteoporosis. To date, there have been only several studies analyzing
353 the alternations of gut microbiota in osteoporotic patients (9-11).
354 However, the pooled female and male together or number limitation
355 resulted inconsistent findings. Here we focused on the gut dysbiosis of
356 female subjects with osteoporotic condition. One of our most notable
357 performance was a clear distinction between osteoporotic cases and
358 control subjects. Random forest model trained with microbiota
359 composition data can effectively classify osteoporotic status, with the
360 area under ROC curve reaching 0.93. Such improvement suggested that
361 the risk and progress of female osteoporosis may be to a certain extent
362 predicable by gut microbiota characteristics.

363 In our study, gut dysbiosis was characterized by a series of
364 differential abundant genera in osteoporosis group. For example, we
365 found an increased abundance of *Bacteroides* genus in osteoporosis
366 group, which is consistent with previous report (11). *Bacteroides* is one
367 of the most dominant genera in both osteoporotic individuals and
368 normal subjects samples (10). As previously reported, *Bacteroidetes*
369 might be involved in bone formation and bone resorption by deposition
370 and hydrolysis of serine dipeptide lipids (18). Another noteworthy
371 genus was *Roseburia* belonging to Firmicutes phylum. As an important
372 producer of various short-chain fatty acids (SCFAs), *Roseburia*

373 mediated the changes of IGF-1 expression and contributed to bone
374 growth (19). Consistent to our finding, Roseburia was also found to be
375 decreased in osteoporosis in the research with mixed female and male
376 subject (11). More importantly, our results showed a significant
377 enrichment of Roseburia among osteoporotic individuals, which served
378 as one of the most efficient features in random forest model for
379 discriminating patients from controls. Such inconsistency may be due
380 to sex difference in pathogenesis of osteoporosis, since the interplay
381 between microbiota and bone loss was dependent on sex steroid (20,
382 21). To explain the possible inconsistency, we will further investigate
383 the role of sex steroid involvement in osteoporosis based on larger
384 cohort and animal experiments.

385 Another major strength of our research was that we identified some
386 clinical association in clinical parameters to support our hypothesis. It
387 was firstly reported that there were abnormal levels of diamine oxidase,
388 D-lactic acid and lipopolysaccharides (LPS) in osteoporotic patients.
389 Circulating endotoxin, mainly composed by LPS, was secreted from
390 intestine, and the intestinal permeability determined the secretory levels.
391 Estrogen deficiency can influence intestinal epithelial permeability
392 through mediating estrogen-associated pathways (22). All these
393 findings together pointed to a possible theory that the estrogen level
394 and the abundance of various bacterial taxa were both altered in
395 osteoporosis status (23). The combined effects of estrogen deficiency

396 and gut dysbiosis on intestinal permeability can mediate bone loss and
397 osteoporosis via influencing the host metabolism, endocrine, and
398 immunity (24), which might provide potential targets for the clinical
399 treatment of post-menopausal osteoporosis.

400 On the other hand, several limitations of our results should be taken
401 into consideration. based on the design of cross-sectional study, it was
402 not possible to clarify the causal relationship between gut microbiota
403 and osteoporosis. Since samples were collected after diagnosis, the
404 changes of gut microbiota might be either a consequence of
405 osteoporosis or the cause. Therefore, further study was required with
406 larger sample size or well-controlled interventions on gut microbiota.

407 In summary, we described the altered profiles of gut microbiota in
408 female osteoporotic patients, and provided evidence for the relationship
409 between dysbiosis and osteoporosis. Our results pointed towards to
410 early prevention and clinical management of osteoporosis by
411 monitoring the homeostasis of gut microbiota.

412

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医学伦理学委员会声明

国家自然科学基金委员会：

我单位朱翠凤同志申报的 2017 年国家自然科学基金研究项目《高脂饮食通过 LPS-TLR4-FABP4 途径加剧绝经后骨质疏松症进展的机制研究：基于肠道菌群紊乱-代谢性内毒素血症-骨代谢失调网络》中，关于使用研究对象血液、尿液和粪便等样本的内容，涉及医学伦理学范畴。我们将严格按照医学伦理学相关管理规定对其进行医学伦理学审查，并在我单位伦理委员会监督之下开展相关研究工作，确保研究符合伦理委员会相关规定，维护患者权益。

特此声明。

南方医科大学深圳医院医学伦理委员会

2017年2月20日



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