1	Intrinsic fluorescence in non-aromatic peptide structures is induced by collective
2	vibrations, charge reorganisation and short hydrogen bonds, as shown in a new
3	glutamine-related structure
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5	Amberley D. Stephens,* <sup>1</sup> Muhammad Nawaz Qaisrani,* <sup>2,3</sup> Michael T. Ruggiero, <sup>4,1</sup> Saul T.E.
6 7	Jones, <sup>5</sup> Emiliano Poli, <sup>2</sup> Andrew D. Bond, <sup>6</sup> Philippa J. Woodhams, <sup>1</sup> Elyse M. Kleist, <sup>7</sup> Luca Grisanti, <sup>8</sup> Ralph Gebauer, <sup>2</sup> J. Axel Zeitler, <sup>1</sup> Dan Credgington, <sup>5</sup> Ali Hassanali, <sup>2#</sup> Gabriele S.
	Kaminski Schierle <sup>1#</sup>
8	Kaminski Schierie
9	
10 11 12 13 14 15 16 17 18 19 20 21 22	<ul> <li><sup>1</sup>Chemical Engineering and Biotechnology, University of Cambridge, Cambridge CB3 0AS, UK</li> <li><sup>2</sup>The Abdus Salam International Centre for Theoretical Physics, Strada Costiera 11, 34151 Trieste, Italy</li> <li><sup>3</sup>International School for Advanced Studies, Via Bonomea 265, 34136 Trieste, Italy</li> <li><sup>4</sup>Department of Chemistry, University of Vermont, 82 University Place, Burlington, VT, 05405 USA</li> <li><sup>5</sup>Cavendish Laboratory, University of Cambridge, JJ Thomson Avenue, Cambridge, CB3 0HE, U.K</li> <li><sup>6</sup>Department of Chemistry, University of Cambridge, Lensfield Road, Cambridge CB2 1EW, United Kingdom</li> <li><sup>7</sup>Department of Chemistry, Syracuse University, Syracuse, New York 13244, United States</li> <li><sup>8</sup>Division of Theoretical Physics, Ru<sup>-</sup>der Boškovi´c Institute, Bijenička cesta 54, 10000 Zagreb, Croatia</li> <li>* Contributed equally</li> </ul>
23 24 25	<sup>#</sup> Corresponding authors: <u>ahassana@ictp.it</u> , <u>gsk20@cam.ac.uk</u>
26	Abstract
27	Disentangling the origin of the optical activity of non-aromatic proteins is challenging due to
28	their size and thus their high computational requisites. Here we show, in a much smaller
29	model system, that the single amino acid glutamine undergoes a chemical transformation
30	leading to an unreported glutamine-like structure which has a similar broad absorption
31	spectrum reported previously for non-aromatic proteins. We further show computationally
32	that the optical activity of the glutamine-like structure is directly coupled to short-hydrogen
33	bonds, but also displays charge and vibrational fluctuations, the latter of which are also
34	present in less optically active structures such as in L-glutamine. Since experimentally the
35	glutamine-like structure is the brightest structure, we conclude that short-hydrogen bonds
36	are the ones responsible for the large Stokes shift observed in optically active non-aromatic
37	proteins.

#### 38 Introduction

39

40 Short peptides void of any aromatic residues have been shown to display an intrinsic 41 fluorescence in the visible range (1, 2). This has primarily been observed in fibrillar protein structures linked to neurodegenerative diseases, such as Alzheimer's, Parkinson's and 42 43 Huntington's diseases (3-6). Furthermore, optical properties of double amino acid based 44 nanowires have also been reported, existing either of two non-aromatic or two aromatic 45 amino acids (2, 7, 8). We have shown that the fluorescence of non-aromatic short crystal structures forming part of the amyloid-beta protein is enhanced by the presence of short 46 47 hydrogen bonds (SHB) between the termini of prefibrillar structures (5). The SHB permits 48 proton transfer leading to a double-well ground state potential which we have proposed 49 prevents a conical intersection in the excited state (5). It has been suggested that one of the prerequisites for this fluorescence observed in either amyloid structures or short peptide 50 51 nanowires is related to hydrogen bonding or aromatic interlocks which, for the latter, 52 decreases the bandgaps down to the semiconductive regions (9).

53

54 Despite our previous suggestion that proton delocalisation is strongly coupled to this 55 intrinsic fluorescence, its direct role and more importantly, the role of other vibrational 56 modes on putative fluorescing states, has not been elucidated. We have thus searched for a 57 model system, such as a single amino acid-based structure, that displays similar optical 58 properties to amyloid fibrils and is permissive to more sophisticated computational 59 approaches. We have been inspired by the small peptide nanostructures that have been 60 pioneered by the Gazit laboratory (9) and by the fact that there are several 61 neurodegenerative diseases that have been connected with an increased level of glutamines 62 produced as part of a protein, as for example Huntingtin in Huntington's disease which 63 renders the protein more aggregation prone (10). It has been known that the amide group 64 in L-glutamine (L-glu) is highly labile and thus can rapidly hydrolyse. We show here that the 65 single amino acid L-glu upon heating in water can form a nanostructural material with 66 optical properties similar to the ones observed in other amyloid fibrils such as in fibrils of 67 amyloid-beta, alpha-synuclein or tau (4, 11, 12).

69 Using X-ray diffraction (XRD), we show that L-glu dissolved in water and upon heating 70 becomes cyclysed forming a previously unreported structure which resembles L-71 pyroglutamine (which has been reported to be a component of amyloid-beta in the brain 72 (13)), but involves a low-barrier hydrogen bonded anionic dimer with an ammonium 73 counterion. We have termed the new structure, i.e. L-pyroglutamine complexed with an 74 ammonium ion, L-pyro-amm. L-pyro-amm has a microcrystalline plate morphology as shown 75 by scanning electron microscopy (SEM). The newly formed solid was further characterised 76 using terahertz time-domain spectroscopy (THz-TDS), which provides information on the 77 low-frequency modes in the crystal that control the proton transfer. Additionally, the 78 experiments were interpreted using ground and excited state electronic structure 79 calculations and molecular dynamics simulations. Ultimately, the combination of static 80 structural information, atomic vibrational dynamics, and optical properties enable the 81 origins of fluorescence in this particular structure to be elucidated, shedding light on the 82 complementary processes in more complex systems.

83

# 84 Methods

85

86 Experimental

87 Sample preparation of L-glutamine

L-glutamine (L-glu) (#G3126, #G8540, Sigma-Aldrich, Gillingham, UK) and L-pyroglutamine
(L-pyro) (#83160, Sigma-Aldrich) were dissolved in 18.2Ω MilliQ H<sub>2</sub>O at a concentration of
0.3 M or 1 M. Aliquots were placed in a 65°C oven, since heating up proteins to 65°C
increases the formation of amyloid structures as reported previously (14). Each aliquot was
rotated to dissolve the powder once a day. Samples were either analysed in liquid form or
dried on a glass or quartz cover slip (#043210.KG, Alfa Aesar, Lancashire, UK) either at room
temperature (RT) or on a heat block set to 50°C.

95

## 96 Emission and excitation wavelength scans

97 Emission and excitation spectra were taken on a Hitachi F-4500 FL spectrophotometer 98 (Hitachi High-Technologies Corporation, Tokyo, Japan) at RT in a quartz cuvette. For 99 measurements, the excitation slit resolution was 5 nm or 10 nm and the emission slit 100 resolution was 20 nm. The PMT voltage was set at 950 V and the scan speed set at 240

101 nm/min. The excitation scan was measured between 250 - 400 nm and the emission filter 102 set to the emission maxima of the sample stated in the figure legend, with a slit resolution 103 of 20 nm. The emission scan was measured between 380 - 560 nm and the excitation filter 104 set to the excitation maxima of the sample stated in the figure legend, using a slit resolution 105 of 5 nm. Four measurements were taken for each sample which was repeated at least three 106 times and the background (air or H<sub>2</sub>O) was subtracted from the average.

107

108 Absorption measurements

109 Absorption measurements were taken on a UV-Vis-NIR Spectrophotometer, UV-3600 Plus 110 (Shimadzu, Kyoto, Japan) and Cary 6000i (Agilent, Santa Clara, USA). 1 M or 0.3 M L-glu, L-111 pyro or L-pyro-amm solutions were measured in 10 mm QX cuvettes (Hellma Analytics, 112 Müllheim, Germany) or dried on quartz coverslips. Measurements were taken between 113 wavelengths 200 – 800 nm using 1 nm steps at a slow scan speed and a 1 nm resolution. The 114 light source change wavelength was set at 393 nm and the grating change wavelength set at 115 750 nm. Samples were measured at least three times and the experiments repeated at least 116 three times, measurements were then averaged and  $H_2O$  or coverslip only control was 117 subtracted.

118

### 119 SEM (scanning electron microscopy)

SEM was performed using a FEI Magellan 400 HR-SEM at an acceleration voltage of 2 kV. Lpyro-amm samples were lyophilised by freezing in liquid nitrogen and freeze drying in a LyoQuest 85 (Telstar, Terrassa, Spain) and imaged on a glass coverslip.

123

### 124 X-ray diffraction

L-pyro-amm was dried on a glass coverslip in a 50°C oven and then at RT until crystals formed. Single crystal X-ray diffraction (SCXRD) measurements were performed at 180 K with a Bruker D8-QUEST PHOTON-100 diffractometer, which utilised a Cu K $\alpha$  radiation ( $\lambda$  = 1.54 Å), and an APEX-II CCD. Absorption corrections were made using SDABS, and data integration and reduction were performed with SAINT+. All non-hydrogen atoms were refined isotropically and anisotropically, followed by inclusion of the hydrogen atoms (determined using the excess electron density) and refinement isotropically.

### 133 Terahertz Time-Domain Spectroscopy

All THz-TDS spectra were acquired using a commercial Terapulse 4000 spectrometer (TeraView Ltd, Cambridge, UK). Samples were prepared for THz-TDS measurements by diluting the solid air dried L-pyro-amm with polyethylene (~ 10% w/w concentration) by gentle mixing using an agate mortar, followed by pressing into 2 mm thick, 13 mm diameter pellets using a hydraulic press. All THz-TDS spectra shown are a result of division of sample and blank datasets, with the blank dataset represented the THz-TDS response of a pellet of pure polyethylene.

141

142 Theoretical

143 DFT-THz Calculations

144 Calculations were performed using both the CRYSTAL17 (15) and Quantum Espresso (16) 145 software packages. Geometry optimisations and vibrational analyses performed with the 146 CRYSTAL17 code utilised the atom-centred split-valence triple-zeta 6-311g(2d,2p) basis set 147 for all atom types. Based on a previous study related to jonic molecular crystals (17), the 148 range-corrected WB97-X (18) functional was used. The vibrational analysis was performed 149 within harmonic approximation, and infrared intensities were determined using the Berry Phase method. Energy convergence criteria were set to  $\Delta E < 10^8$  and  $10^{11}$  hartree for the 150 151 geometry and frequency calculations, respectively.

152

#### 153 Periodic TD-DFT Excited State Calculations

154 Simulations were performed using the fully periodic Quantum Espresso software package. 155 The Becke-Lee-Yang-Parr (B3LYP) hybrid density functional was used with an energy cutoff 156 of 40 Ry. The calculations of the excited state were performed within the framework of 157 TDDFT using the Liouville-Lanczos formalism implemented in the freely available Quantum-158 Espresso package (19). In this approach, the optical spectra are obtained directly over the 159 wide spectral range without taking into account the numerically complex calculations of the 160 single exited states. We used plane wave basis set and the electron-ion interactions were 161 taken into account via norm conserving Martins-Troullier pseudopotentials (20). To 162 determine the ground state wave function, we used the gamma point of the Brillouin zone. 163 All the periodic calculations employed the computationally demanding B3LYP (21) hybrid

164 functional, the kinetic energy cutoff of 40 Ry was used for the wave functions. The intrinsic

165 band width for the spectra was set to 0.003 Ry (~0.0408 eV).

166

#### 167 Periodic Structure Geometry Optimisation

168 The structures obtained from the experiments were first geometrically optimized at  $0^{\circ}$ K 169 using the Broyden-Fletcher-Goldfarb-Shanno (BFGS) minimisation algorithm implemented in 170 CP2K (22, 23) package. A convergence criterion for the wave function optimisation was used as 5x10<sup>-7</sup> a.u. Applying the method of the Gaussian and plane wave, the wave function was 171 172 expended in the Gaussian double-zeta valence polarised (DZVP) basis set. The cutoff for the 173 electronic density was set to 300 Ry. We used the gradient correction to the local density 174 approximation and the core electrons were treated via Goedecker-Teter-Hutter pseudopotentials (24). In all the calculations, we used the Becke-Lee-Yang-Parr (BLYP) (25) 175 176 functional with the D3(0) Grimme (26) dispersion corrections for the van der Waals 177 interactions.

178

# 179 Ab Initio Molecular Dynamics Simulations

Ab initio Molecular Dynamics simulations (AIMD) were performed using Quickstep algorithm implemented in CP2K. In these calculations, the propagation of the nuclei was taken into account within the framework of the Born-Oppenheimer approximation. The simulations were performed in the NVT ensemble and the temperature was controlled during the simulations by using the velocity-rescaling thermostat (27). We used the time step of 0.5 femtosecond to update the nuclear coordinates and velocities while the total length of the simulations for each system is 50 picoseconds.

187

# 188 Excited State Cluster Calculations

A set of excited state calculations were performed on glutamine clusters in order to understand the role of the environment on the optical properties. Specifically, the optical properties of L-pyro-amm were investigated using various isolated cluster models with the Gaussian09 software package. The clusters were extracted directly from the crystal structure and used in various combinations (dimers, trimers, tetramers) to perform timedependent DFT (TD-DFT) calculations. A split-valence triple-zeta 6-311g(2d,2p) basis set was used for all atom types together with the hybrid B3LYP functional. Some benchmark

simulations, comparing the optical properties obtained from the periodic calculations using
B3LYP to range corrected hybrid functionals like CAM-B3LYP, were also performed with
these clusters.

199

200 We also performed a series of excited state optimisations on various model systems built 201 from L-pyro-amm in order to examine the nature of the geometrical distortions that occur 202 on the lowest electronic excited state. These calculations were also performed with the 203 Gaussian09 software package. All clusters were surrounded with a continuum dielectric 204 constant of 80, representing pure  $H_2O$ . The 6-311G(2d,2p) basis set was used for all atoms 205 together with the range corrected hybrid functional CAM-B3LYP (28). The clusters were first 206 optimised in the ground state after which they were optimised on the first electronic 207 excited state.

208

# 209 Results and Discussion

210

211 It has long been known that poly-glutamine can form amyloid-like fibrillar structures *in vitro*.
212 The more glutamine residues in the poly-glutamine polymer, the faster the aggregation
213 propensity of the polypeptide chain. This led us to investigate whether L-glu on its own
214 under conditions which normally promote fibril formation, such as an increase in
215 temperature (14), was able to form structures with similar optical properties, as recently
216 observed for amyloid fibrils (5, 29, 30).

217

218 We first investigated the structure of L-pyro-amm, which formed after incubation of L-glu 219 for 8 days at 65°C, using SEM and observed crystal structures shown in Fig.1a. However, in 220 order to investigate whether L-glu had indeed changed its crystal structure arrangement we 221 performed XRD analysis of the resulting material. In Fig.1b we show the crystal structure of 222 the heated L-glu structure, which we termed L-pyro-amm, and the published crystal 223 structures of L-glu and L-pyroglutamine (L-pyro) in Fig. 1c and d. Note, the L-pyro structure 224 was analysed as it displayed structural similarities to the newly formed L-pyro-amm. Figures 225 were obtained from geometry optimisations of the nuclear positions of the atoms using 226 experimental densities. L-pyro-amm consists of 8 pyroglutamine groups and 4 ammonium 227 ions (144 atoms) complexed within the crystal (see Fig. 1b). In contrast, as shown in Fig. 1c,

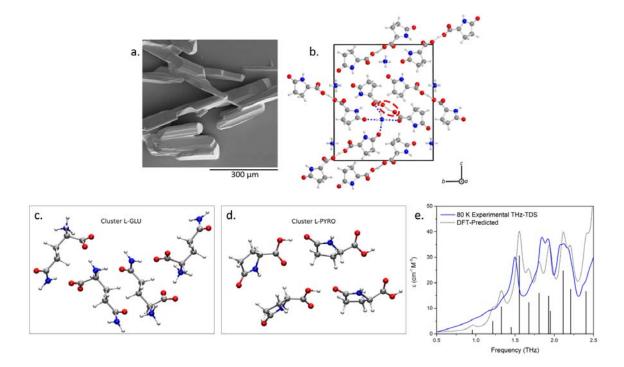
L-glu consists of 4 glutamine molecules (80 atoms) in the unit cell which form hydrogen bonds involving the termini and side chain. Furthermore, as shown in Fig. 1d, L-pyro consists of 12 pyroglutamine molecules (192 atoms) in the unit cell forming hydrogen bonds involving the NH and COOH groups.

232

L-pyro-amm has a rather unique hydrogen bond network structure since four of the pyroglutamine molecules are deprotonated and hence have a nominal negative charge, while the other four molecules are neutral. One of the important implications of this difference is that L-pyro-amm contains a very strong hydrogen bond. The red circled region in Fig. 1a corresponds to a short hydrogen bond (SHB) with a length of 2.45 Å, while those in L-glu and L-pyro range between ~2.55-2.85 Å.

239

240 The structural change was further confirmed using THz-TDS measurements, as this 241 technique is strongly dependent on the bulk packing arrangement (as well as on the internal 242 covalent structure) of the molecules (31). The THz-TDS spectrum of the resulting solid, as 243 well as the solid-state DFT predicted spectrum based on the single crystal XRD (SCXRD)-244 determined structure, is shown in Fig. 1e (full spectral assignment available in Suppl. Fig. 1). 245 The agreement between the experimental and theoretical spectra further supports that full 246 conversion of the sample occurs and thus enables additional investigations into the 247 structural and electronic properties of the material.





#### Figure 1. L-glu forms L-pyro-amm upon heating.

250 (a) SEM image of crystals of L-pyro-amm dried. (b) XRD analysis of heated L-glu sample 251 show the newly formed structure, L-pyro-amm. Geometry optimisations show that 8 252 pyroglutamine groups and four ammonium ions (144 atoms) are complexed in the crystal 253 and a SHB of 2.45 Å (within red dashed lines) is present near the ammonium ion (linked by 254 bonds highlighted by blue dotted lines) (white-hydrogen, red-oxygen, blue-nitrogen, grey-255 carbon). (c) Clusters of L-glutamine and (d) L-pyroglutamine. (e) Experimental (blue line) and 256 theoretical (grey line) THz-TDS of the L-pyro-amm sample are in agreement and confirm the 257 presence of the new L-pyro-amm structure.

258

We first investigated whether there were any differences in the optical properties associated with the three crystal structures. Comparing the absorption of L-glu, L-pyro and L-pyro-amm in water, we show that only L-pyro-amm has a significantly red-shifted absorption which lies in the 275-320 nm range, whereas both L-glu and L-pyro primarily absorb in the deep UV (<250 nm) (see Fig. 2a).

264

We next compared the experimental absorption spectra of L-glu, L-pyro and L-pyro-amm with the ones obtained from time dependent density functional theory (TDDFT). We highlight here, that the small size of the systems permitted us to determine the spectra

using a hybrid functional, thereby not only advancing the quality of our theoretical
predictions from previous studies (5, 29, 30) but also coupling the optical properties directly
to different vibrational modes.

271

Fig. 2b illustrates the absorption spectra obtained for the TDDFT calculations on the 3 272 273 periodic systems in the ground state (i.e. at 0 K). Panel b.i) shows the relative oscillator 274 strength as a function of the frequency while panel b.ii) illustrates the second derivative of 275 the oscillator strength permitting the positions of the maxima in the spectra to be more 276 easily identified. The spectra reveal some striking differences between the different 277 systems. Interestingly, we observe that L-pyro is essentially dark throughout the frequency 278 range up to  $\sim$  6eV. On the other hand, L-pyro-amm shows the presence of more structure in 279 the spectrum. Specifically, it is the only system for which the spectrum features a low 280 energy excitation at 226 nm (5.5 eV) and subsequently other peaks slightly above 220 nm 281 (5.625eV) and 216 nm (5.75eV). While L-glu exhibits a peak at 222 nm (5.58eV), it is dark up 282 to 206 nm ( $\sim$ 6eV). These calculations were performed with the B3LYP functional. 283 Importantly, we have found that upon using more accurate functionals such as CAM-B3LYP 284 (28) and WB97-X (18) L-pyro-amm remains the most optically active.

285

286 We have previously shown that thermal fluctuations and in particular nuclear vibrations, 287 such as proton transfer, have a large impact on the absorption spectra of peptide structures 288 compared to absorption spectra at 0 K (5, 32-34). In Fig. 2c we show that, compared to the 289 0 K spectra in Fig. 2b, thermal fluctuations cause a large red shift to around 3.4 eV (365 nm) 290 for L-pyro-amm, close to what is observed experimentally. These spectra were computed 291 averaging over 25 frames sampled from the molecular dynamics simulations. Interestingly, 292 no such effect is observed for L-glu which remains weakly absorbing up to more than 5 eV 293 (247 nm) as seen at 0 K.

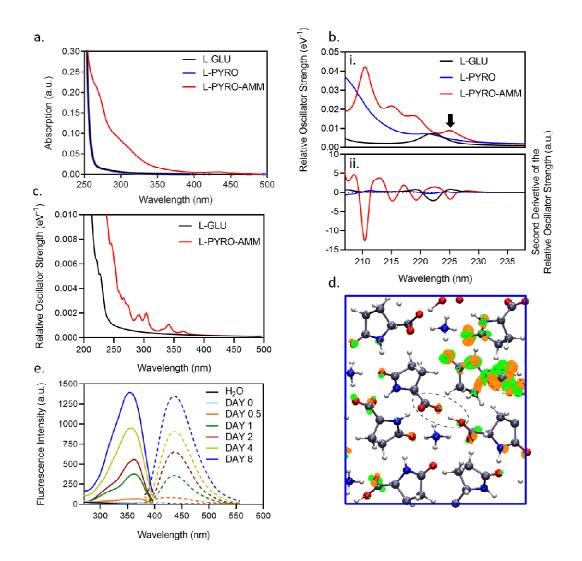
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Similar to our previous studies on the intrinsic fluorescence of amyloid-beta fibrils, absorption is significantly increased in structures containing SHB, as neither L-glu, nor L-pyro display a significantly red shifted absorption. In order to understand better the physical origin of the low energy excitation at 226 nm (~5.5 eV) in L-pyro-amm, we computed the

electron response density at this frequency. This is illustrated in Fig. 2d, where we observe that most of the electron response involves regions around the pyroglutamine rings as well as regions near the SHB (see dashed circle in Fig. 2d). The optical response thus involves a collective charge reorganisation involving several parts of the molecular crystal.

303

304 We next investigated whether the above structures also display fluorescence excitation and 305 emission properties as has been observed for amyloid-like structures reported previously (5, 306 29, 30). Fig. 2e shows the excitation scan from 250-400 nm (solid lines) with the emission 307 set at 430 nm of L-glu in water at day 0 to 8 after incubation at 65°C. Interestingly, we 308 observe an excitation peak at around 360 nm which is similar to what we have measured 309 previously for amyloid proteins (5). The corresponding emission scan (dashed lines) with the 310 excitation set at 360 nm and emission from 380-560 nm showed an emission peak around 311 430 nm, again lying in the same visible range as for amyloid fibrils. When the L-pyro-amm 312 solution was dried the excitation and emission peaks were slightly blue shifted (Suppl. Fig. 313 2a) which may be due to a change in the molecular environment in the dried state. 314 Importantly, we do not see any fluorescence in L-glu (without heating, i.e. at day 0 Fig. 2e.). 315 To determine the importance of the ammonium ion experimentally, L-pyro has been 316 incubated in water and heated at 65°C for 8 days, and only a very weak fluorescence has 317 been detected (Suppl. Fig. 2b).



### 318

#### 319 Figure 2. Optical properties of L-pyro-amm are distinct from L-glu and L-pyro

320 (a) Absorption spectra of 0.3 M L-glu (black), L-pyro (blue) and L-pyro-amm (red) (L-glu 321 incubated for 8 days at 65°C) in water taken between 200 – 500 nm shows primarily 322 features of L-pyro-amm. (b) Absorption spectra of L-glu, L-pyro and L-pyro-amm obtained 323 from periodic density functional theory calculations with the B3LYP functional. L-pyro-amm 324 features the lowest lying excited states which are characterised by the largest oscillator 325 strengths. (c) Absorption spectra for L-glu and L-pyro-amm obtained from periodic 326 simulations at room temperature. The spectra were computed by averaging over 25 frames 327 randomly sampled from the *ab initio* molecular dynamics simulations. (d) The excited state 328 electron density computed for L-pyro-amm from the optimised structure computed at the 329 first peak (arrow in panel b). The lowest excited state density shows a response from various 330 parts of the crystal structure including the pyroglutamic acid ring and the SHB region (see

dashed circle). The orange and green surfaces correspond to regions involving a decrease
and increase in electron density respectively, shown at an iso-value of 1x10<sup>-5</sup>. (e) 1 M L-glu
was incubated at 65°C and the excitation and emission spectra were measured over time.
Excitation spectra were measured between 250-400 nm with emission set at 420 nm and
emission spectra were measured between 380-560 nm with the excitation set at 360 nm.

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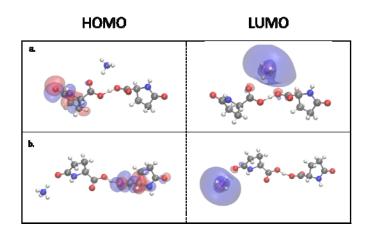
337 It is not possible to directly pinpoint the exact mechanisms and origins of the difference in 338 the optical properties between the three systems from the ground state calculations at 0 K. 339 As alluded to earlier, one of the factors that distinguishes L-pyro-amm from the other 340 systems is the presence of the SHB (highlighted by red circles in Fig. 1a) and the presence of 341 the ammonium ion. In order to characterise the behaviour of the SHB, we conducted ab 342 initio molecular dynamics simulations of the three systems at 300 K and examined the 343 proton transfer coordinates defined as the difference in distance between the proton (H) 344 and the two oxygen atoms (O1 and O2) that sandwich it and is commonly referred to as the 345 proton transfer coordinate  $(d_{01-H}-d_{02-H})$  as shown in Fig. 4a for different types of hydrogen 346 bonds in the crystals. It is clear that the SHB in L-pyro-amm is characterised by a double-well 347 potential. The barrier associated with this proton transfer is on the order of thermal energy, 348 indicating that zero-point energy (ZPE) would make the proton transfer barrierless (35). An 349 examination of similar proton transfer coordinates for hydrogen bonds in L-glu and L-pyro 350 show that they are characterised by only single-well potentials.

351

352 The nature of the optical properties is sensitive to the environment in which the glutamine 353 molecules reside. It has previously been reported that charged amino acids already display 354 an absorption in the range of 250-350 nm that is significantly red shifted (36, 37). The 355 origins of the low energy absorption were attributed to charge transfer excitations. The 356 simulations of these systems were performed in the gas phase, rather than considering the 357 protein environment such as shown for L-pyro-amm in Fig 2d. In comparison to the results 358 presented in Fig. 2d, data presented in Fig. 3, show that the origins of the electronic 359 transitions equally arise from a charge transfer (CT) between the highest occupied 360 molecular orbital (HOMO) on the anionic dimer, and the lowest unoccupied molecular 361 orbital (LUMO) centred on the ammonium cation when performed in the gas phase. 362 Interestingly, the correct transition energy is only predicted when the ammonium cation is spatially near the centre of the dimer, which corresponds to the delocalisation of the negative charge and the SHB. Two generalised geometries, with the ammonium cation near the SHB (as seen in Fig. 3a) and away from the SHB (Fig. 3b), with the corresponding HOMO and LUMO orbitals are shown. The results predict a transition of 303.50 nm for dimer a. and 668.66 nm for dimer b., with dimer a. most closely resembling the chemical environment present within the crystalline material.

369

370 The results show that charge transfer is capable to lead to absorption in the far UV when 371 investigated in the gas phase, i.e. neglecting the direct protein environment. However, 372 including the protein environment in the molecular crystal (Fig. 2d) results instead in the 373 excitation being a charge reorganisation involving several different molecular groups of the 374 crystal. Indeed, by shuffling the protons along the SHBs in the ground-state, we observe an 375 electronic response involving the entire structural units of L-pyro-amm including both the 376 hydrogen bonded regions and the pyroglutamic acid rings when the protein environment is 377 accounted for (Suppl. Fig.3b i-iv).



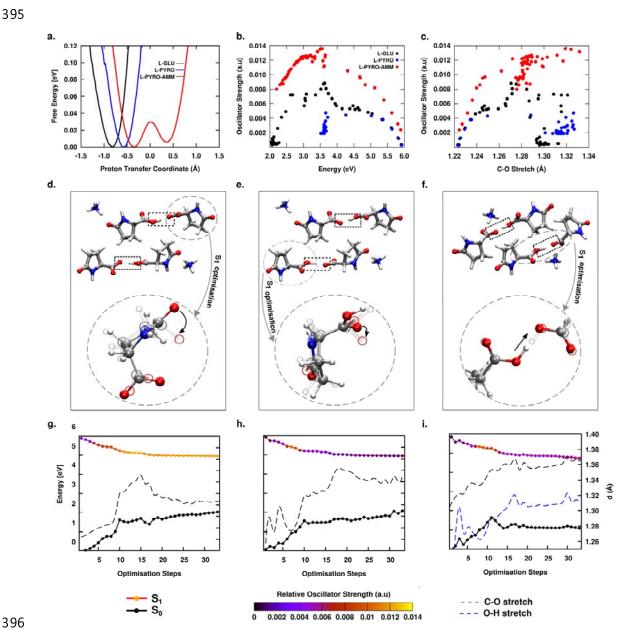
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### Figure 3. Comparison of the HOMO and LUMO orbitals on two L-Pyro-Amm models.

L-pyro-Amm structures are presented where the ammonium cation is located directly near the hydrogen bond (a), and where the ammonium cation is located away from the hydrogen bond (b). While both models predicted charge-transfer HOMO-LUMO states, only in the case of (a) is the transition predicted to be in the vicinity of the experimentally observed peak, 303.50 nm compared to 668.66 nm for (b).

386 Up to this point, we have shown that the vibrations of protons along SHBs are an important 387 part of the structural fluctuations in the ground state structure of L-pyro-amm. These 388 calculations however, do not say anything about the nuclear relaxation upon photo-389 excitation which is most relevant for fluorescence. In a final set of theoretical studies, to 390 ascertain the role of the proton transfer in the ground state, as well as to elucidate the 391 presence of other types of vibrational modes, we performed a series of geometry 392 optimisations on the first excited state of a cluster carved out from L-glu, L-pyro and L-pyro-393 amm crystals and surrounded by a continuum dielectric constant of 80.





### 397 Figure 4. Collective vibrations affect the emission in L-pyro-amm

398 a) Shows the proton transfer free energy profiles along several hydrogen bonds in L-glu, L-399 pyro and L-pyro-amm obtained from ground-state ab initio molecular dynamics simulations. 400 Note, the SHB in L-pyro-amm is characterised by a double-well potential. b) Scatter plot of 401 the oscillator strengths versus the emission energy (defined as the difference between the 402 first excited state and the ground-state) during the excited optimisations for L-glu, L-pyro 403 and L-pyro-amm. c) Scatter plot of the oscillator strengths versus the distance of the 404 carbonyl bond (C=O) that extends during the excited state optimisation in L-glu, L-pyro and 405 L-pyro-amm. d)-f) Snapshots of three different systems of L-pyro-amm that were optimised 406 on the excited state. In clusters shown in d) and e) the initial position of the proton along 407 the SHB has been changed, and in f) the ammonium ion has been placed closer to the SHB 408 region. The bottom panel of d), e) and f) show zoomed in plots of the main regions of the 409 cluster (circled in the top panels) that undergo significant changes. g)-i) Ground and excited 410 state energies are plotted as a function of the excited state optimisation for the three 411 systems shown in panels d-f). The curves on the excited state are colour-coded with the 412 oscillator strengths.  $S_0$  and  $S_1$  refer to the ground and excited state energies, respectively. 413 The right-side of the y-axis corresponds to C=O bond lengths (dashed black lines) for g) and 414 h). In panel i), where there is a proton transfer on the excited state, the dashed blue lines 415 correspond to the O-H distance along the SHB on the same distance scale.

416

Fig. 4b shows a scatter plot of the difference between the first excited state and groundstate energies and the corresponding oscillator strengths. The scatter plots were obtained over the course of the excited state optimisation. We observe that the L-pyro-amm system is characterised by the largest oscillator strengths compared to L-glu or L-pyro. One of the major structural changes that occurs upon excitation is an increase in the C=O bond length similar to observations in previous studies (*38–40*). Fig. 4c shows the oscillator strengths as a function of the C=O bond, that increases in length by about 0.1 Angstroms.

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Although all three glutamine-related structures display similar trends on the excited state, what makes L-pyro-amm unique is the presence of the SHB. Fig. 4b and c show that L-pyroamm displays the largest oscillator strength peaking at approximately 3.5eV consistent with our experimental findings. Although L-glu also has a peak at around 3.5 eV it is much weaker 429 than the one of L-pyro-amm. We thus decided to focus on a series of excited state 430 optimisations for various clusters of L-pyro-amm. In particular, we focused on the proton 431 transfer in the ground state as seen in the double-well potential and on the proximity of the 432 ammonium ion to the short hydrogen bond region, all shown to play an important role in 433 the optical properties of L-pyro-amm so far. We thus constructed three clusters with 434 different initial conditions, which are shown in the top panels of Fig. 4d-f. The clusters 435 shown in Fig. 4d and 4e differ in the initial positions of the protons along the SHB. As seen in 436 Fig. 4a, the ground-state simulations of L-pyro-amm present a double-well potential in the 437 finite temperature simulations. We thus moved the proton along one of the SHBs, 438 constrained the geometry in the ground-state which yielded the cluster shown in Fig. 4e. In 439 Fig. 4f, the ammonium ion is placed closer to the SHB region.

440

441 The circled regions in Fig. 4d-f highlight the main regions, where the nuclear degrees of 442 freedom respond in the excited state. The bottom panels show a zoomed in image of the 443 changes that occur upon relaxation, for which the solid and transparent structures 444 correspond to geometries obtained before and after the relaxation on the excited state, 445 respectively. The bottom panel of Fig. 4d illustrates that the change involves the C=O stretch 446 and deplanarisation of the peptide dihedral angle of the ring. The corresponding evolution 447 of the first excited state and ground state energies as a function of optimisation is shown in 448 Fig. 4g. The relaxation on the excited state narrows the energy difference between the 449 ground and excited state to approximately 3.5eV which is consistent with the experiments.

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451 The top panels of Fig. 4e and f involve two other initial conditions for which the proton 452 vibrations and the proximity of the ammonium ion to the SHB are altered. We see in Fig. 4h 453 and i that the magnitude of the oscillator strength of L-pyro-amm in the excited state is 454 sensitive to these new initial conditions. Transferring the protons on the ground-state (see dotted black rectangles in Fig. 4d-f), significantly changes the oscillator strengths as seen in 455 the comparison between Fig. 4g-i, across the entire relaxation process in the first excited 456 457 state while still maintaining the C=O stretch and deplanarisation as seen in the bottom 458 panel of Fig. 3e. In the case of Fig. 4f, besides the reorganisation involving the C=O stretch, 459 we also observe a proton transfer along the SHB. This is seen in Fig. 4i, which shows the O-H 460 stretch (dashed-blue curve) along the SHB during the optimisation which increases from 461 1.26 to 1.32 Angstroms. The zoomed in plot in the bottom panel of Fig. 4f shows this subtle change in the proton transfer coordinate. Thus, the evolution of the excited state in L-pyro-462 463 amm into the region displaying visible fluorescence appears to involve a collective 464 reorganisation of various vibrational modes forming the hydrogen bond network with the 465 SHB playing a particular role, as it leads to the brightest structure, also confirmed 466 experimentally. These findings are also consistent with some recent theoretical work 467 showing the importance of proton transfer in self-assembled peptides made of aromatic 468 phenyl-alanine amino acids (41). However, the latter structure features an aromatic amino 469 acid, whereas we observe similar optical properties in non-aromatic structures.

470

# 471 **Conclusions**

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The experimental and theoretical findings presented here, elucidate a rather complex molecular mechanism associated with the non-aromatic intrinsic fluorescence in proteinlike structures. In the case of L-glutamine, a chemical reaction creates a newly formed structure involving a cyclised pyroglutamic acid ring. This new structure features absorption in the UV and emission in the visible range very similar to the chemically distinct amyloid fibrils (4, 5, 11, 12, 41–43).

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480 The structural chromophore responsible for the optical properties in this new protein-481 related structure arises from a hydrogen bond network characterised by specific vibrational 482 fluctuations on the excited state. The presence of strong hydrogen bonds along which 483 proton transfer occurs and secondly, specific ionic interactions in close proximity, such as 484 involving the ammonium ion, affect the optical properties. Although the fluorescence 485 observed in these systems is much weaker than those compared to conventional 486 fluorophores, the physical and chemical properties of the hydrogen bond network reported 487 here maybe a generic feature across many other peptide structures.

488

In summary, we show here that there are several vibrational modes in biological structures that contribute to fluorescence properties as shown by excited state simulations and confirmed experimentally. We further show that the protein environment strongly contributes to the optical activity of the protein like structure and that the SHB significantly 493 enhances the fluorescence signal detected, potentially by inhibiting a conical intersection in 494 the excited state as discussed previously (5). Interestingly, SHBs have recently been 495 observed in different biological systems which have long been associated with either 496 intrinsic fluorescence, such as NADP/NAD (44), FAD/FMN (45), the light-sensing 497 chromophore in photoactive yellow protein (46), or in the active site of many enzymes, such as hydrolases and oxidoreductases (47, 48), many of which consist of a highly complex H-498 499 bond structure similar to amyloid proteins. Our findings may thus further the design of 500 novel optically active biomaterial for applications in optical sensing or the design of novel 501 biocompatible catalysts.

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# 503 Author Information

# 504 **Corresponding Authors**

- 505 Ali A. Hassanali, ahassana@ictp.it
- 506 Gabriele S. Kaminski Schierle, gsk20@cam.ac.uk
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# 508 Author Contributions

509 \*A.D.S and M.N.Q contributed equally. A.D.S, prepared samples for all experimental data. 510 P.J.W. performed SEM experiments. A.D.B and M.T.R performed XRD measurements and 511 analysed data. M.T.R. performed THz experiments and DFT-THz calculations and analysed 512 data. A.D.S. performed excitation and emission measurements and analysed data. A.D.S and 513 S.T.J. performed absorption measurements and analysed data. M.T.R and E.M.K performed 514 TD-DFT cluster calculations. M.N.Q, E.P, L.G, R.G and A.H performed AIMD, Periodic TD-DFT 515 Excited State Calculations and Periodic Structure Geometry Optimisation calculations. A.D.S, 516 M.N.Q, M.T.R, S.T.J, L.G, J.A.Z, D.C, A.H and G.S.K.S contributed to manuscript writing. All 517 authors have given approval to the final version of the manuscript. 518

519 Notes

520 The authors declare no competing financial interest.

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# 522 Acknowledgments

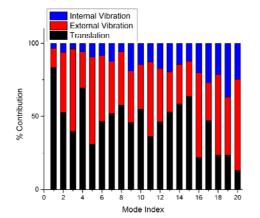
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# 535 Supplementary data



Mode Number	Fraquency (THz)	Intensity (km mol*-1)	Assignment
ĩ	0.955	0.22	Antisymmetric translation in b
2	1.215	0.71	Coupled translation in a and rotation about b:
3	1.325	1.52	external rotation about b
4	1.450	0.36	Asymmetric translation in c
5	1.554	4.37	External rotation about a
6	1.675	1.76	Translation in a and estemal asymmetric rotation about H-bond coundinate
7	1.905	2.28	External asymmetric rotation of dimer pairs
8	1.929	2.11	External symmetric rotation of dimer pairs
5	1.950	1.28	Asymmetric rotation about h-bond coordinate, animorium translational motion
10	2.113	3.53	Translation and rotation (breathing) around ammonium cation
11	2.208	25	Symmetric external rotation of entire formula units
12	2.404	2.37	In phase external rotation perpendicular to h-band coordinate
13	2.502	0.45	Out of phase external rotataion perpendicular to h-bond coordinate
14	2.564	0.73	External out of phase rotataion of h-bonded chains about b
15	2.565	8.91	Out of phase external rotation of individual pyroglutamic molecules with translation of ammonium cation
16	2.654	18.09	External vibration coupled with tension of the COOH group
17	2.679	0.29	External rotation and torision of perceptuation ring
18	2.776	4.94	External rotation and tonsion of ring and carboxyl group
19	2.988	2.66	External rotataion and translation of ammonium

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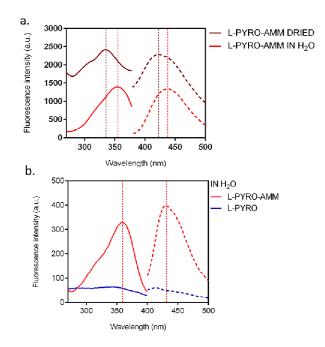
### 537 Supplementary Figure 1. Full spectral assignment of THz data.

538 The top chart shows the contribution to each IR-active mode including external translations

- and hindered rotations, and internal vibrational motions (i.e. torsions), while the bottom
- table lists the detailed assignment for each mode.
- 541

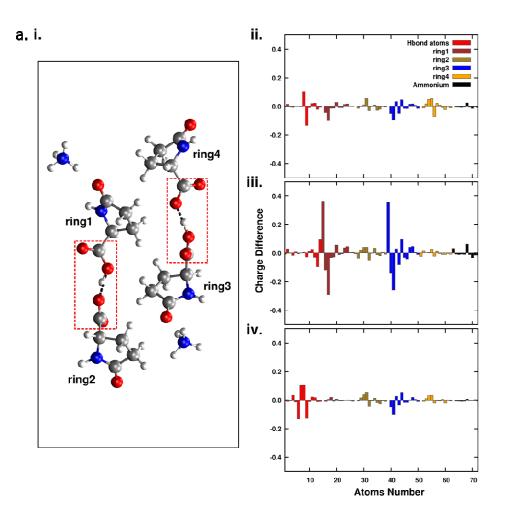
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544 Supplementary Figure 2. L-pyro-amm has blue shifted fluorescence when dried and 545 displays higher fluorescence intensity than L-pyro.

546 (a)The excitation peak of L-pyro-amm when dried (solid dark red line) is blue shifted with a 547 peak maximum ~ 340 nm compared to L-pyro-amm in  $H_2O$  (solid red line) which has a peak 548 maximum ~ 360 nm. The emission peak of L-pyro-amm when dried (dashed dark red line) is 549 also blue shifted, with a peak maximum  $\sim$  420 nm, compared to L-pyro-amm in H<sub>2</sub>O (dashed 550 red line) with a peak maximum  $\sim$  430 nm. (b) 1 M L-glu and 1 M L-pyro (blue) were 551 incubated in H<sub>2</sub>O for 8 days at 65°C. After 9 days, the L-glu had converted into the L-pyro-552 amm structure (red). L-pyro-amm has a clear excitation peak maximum at ~360 nm and 553 emission peak maximum at ~ 430 nm, while L-pyro (blue), although not completely dark, has 554 no clear excitation or emission peak.



555

556 **Supplementary Figure 3. The optical properties of L-pyro-amm are sensitive to the** 557 **environment and involves the electronic response of the entire structure.** 

558 (a)(i) L-pyro-amm cluster used to examine the sensitivity of the optical response on different 559 parts of the cluster upon moving different protons. (ii) Charge differences between the 560 ground and excited state are computed using restrained electrostatic potential atomic 561 partial charges (RESP) for the cluster shown in i). Note, the electronic response involves all 562 the atoms of the cluster. (iii) The two protons in the rectangle regions are displaced to be in 563 the centre of the hydrogen bond and the charge differences are then computed. As 564 illustrated, the proton displacement leads to a larger change in magnitude of the charges. 565 (iv) The charge differences are computed for another nuclear configuration for which the 566 protons are kept fixed but the O-O distance is increased from 2.45 to 3.2 Angstroms. The 567 charge differences obtained here are quite similar to the original condition shown in (ii).

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