Title: Hmx3a does not require its homeodomain for its essential functions in spinal cord, ear and lateral line development.

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Running Title: Hmx2 and Hmx3a functions in zebrafish development

Abstract

Homeodomain-containing transcription factors have crucial functions in most aspects of cellular function and embryonic development in both animals and plants. Hmx proteins are a sub-family of NK homeodomain transcription factors and previous research has shown that they have crucial functions in the development of sensory structures such as the eye and the ear. However, the functions of Hmx proteins in spinal cord development have not been analyzed. Here we show that zebrafish *hmx2* and *hmx3a* are co-expressed in spinal dI2 and V1 interneurons, whereas *hmx3b, hmx1* and *hmx4* are not expressed in the spinal cord. Using mutational analyses, we demonstrate that, in addition to its previously demonstrated role in ear development, Hmx3a is required for correct specification of a subset of spinal cord interneuron neurotransmitter phenotypes, survival to adulthood and correct lateral line progression. However, despite the fact that *hmx2* and *hmx3a* have similar expression patterns during embryonic development, we have not detected any requirement for Hmx2 in these developmental processes, even when almost all of the *hmx2* locus is deleted. We have also not detected any redundancy between *hmx2* and *hmx3a*. *hmx2;hmx3a* double mutants have identical phenotypes to *hmx3a* single mutants. Even more surprisingly, we have found that Hmx3a does not require its homeodomain for its roles in viability or embryonic development. This is, to our knowledge, the first time that such a prototypical homeodomain protein has been shown not to require its homeodomain. This raises the intriguing possibility that other homeodomain proteins may also not require their homeodomains for their essential functions.

Author Summary

Transcription factors that contain a specific DNA-binding domain called a homeodomain have crucial functions in most aspects of cellular function and embryonic development in both animals and plants. It is usually assumed that these proteins function primarily by binding DNA and regulating the expression of different genes. In this paper, however, we surprisingly show that a prototypical homeodomain protein called Hmx3a does not require its homeodomain for its essential functions in embryonic development. We also identify those functions as including roles in sensory organ development (lateral line and ear) and central nervous system development (specification of correct neurotransmitter (chemical messenger) phenotypes of a subset of spinal cord neurons). Embryos that lack Hmx3a function are also not viable: they do not survive to adulthood. Our data also suggest that a highly-related protein, Hmx2, despite having a very similar expression pattern to Hmx3a, is not required for embryo viability or for correct development of the sensory structures and neurons that require Hmx3a.

Introduction

Homeobox-containing genes and the Homeodomain-containing transcription factors that they encode, have crucial functions in most aspects of cellular function and embryonic development in both animals and plants [1]. They were also some of the first examples discovered of invertebrate developmental genes that are highly conserved in vertebrates [2, 3]. One important subclass of homeodomain proteins are NK proteins. *NK* genes are evolutionarily ancient and are an important part of the ANTP megacluster, which also includes Hox and ParaHox genes. NK proteins have crucial roles in the development of mesoderm, endoderm, the nervous system and the heart in all bilaterian animals examined so far [4-6] and they are also found in sponges, one of the most basal animals still alive, and potentially the sister group to all other animals [7-10].

Hmx proteins (H6 Family Homeodomain proteins, previously called Nk-5 or Nkx-5 proteins, see Table 1) are a key sub-family of NK proteins. In vertebrates there are usually three or four different *Hmx* genes as *Hmx4* is only found in some species [4]. Interestingly, *Hmx2* and *Hmx3* are usually located adjacent to each other on the same chromosome and this is also the case for *Hmx1* and *Hmx4*, suggesting that both pairs of genes arose from tandem duplication events rather than the two rounds of whole genome duplication that occurred at the base of the vertebrates [4]. In teleosts, there are occasionally extra duplicates of one or more of these genes as the result of the additional genome duplication in this lineage, although interestingly, the retained genes are not consistent between different teleost species [4]. In zebrafish there are two *hmx3* genes, *hmx3a* and *hmx3b,* but only one *hmx1*, *hmx2* and *hmx4* gene.

Previous research has shown that Hmx2 and Hmx3 have crucial functions in ear development in mouse and our recent work shows that this is also the case for Hmx3a in zebrafish [11-15]. These genes are also required for correct specification of the mouse hypothalamus [12] and morpholino knock-down experiments have suggested that they are required for correct lateral line development in zebrafish [16]. *Hmx2* and *Hmx3* are also expressed in two distinct domains in mouse spinal cord but the identity of these cell types and the functions of Hmx2 and Hmx3 in these cells are unknown [12, 17, 18].

Here we show that zebrafish *hmx2* and *hmx3a* are co-expressed in spinal dI2 and V1 interneurons, whereas *hmx3b*, *hmx1* and *hmx4* are not expressed in spinal cord. Using mutational analyses, we demonstrate that, in addition to its role in ear development, Hmx3a is required for correct specification of a subset of spinal cord interneuron neurotransmitter phenotypes as well as viability (survival to adulthood) and lateral line progression. However, despite the fact that *hmx2* and *hmx3a* have similar expression patterns during embryonic development, we have not uncovered any requirement for Hmx2 in viability, ear development, lateral line progression or specification of spinal cord interneuron neurotransmitter phenotypes, even when almost all of the *hmx2* locus is deleted. (In our most severe mutant allele, *hmx2^{SU39}*, only 84 nucleotides of 5' and 60 nucleotides of 3' coding sequence remain). We have also not detected any redundancy between *hmx2* and *hmx3a*. *hmx2;hmx3a* double mutants have identical

phenotypes to severe *hmx3a* single mutants. Even more surprisingly, we have found that Hmx3a does not require its homeodomain for its roles in viability or embryonic development. This is, to our knowledge, the first time that such a prototypical homeodomain protein has been shown not to require its homeodomain. This raises the intriguing possibility that other homeodomain proteins may also not require their homeodomains for their essential functions.

Results

hmx2 and *hmx3a* are the only *hmx* genes expressed in spinal cord.

While the expression and functions of zebrafish *hmx* genes have been analyzed in sensory structures such as the eye and the ear, the expression of *hmx1*, *hmx2*, *hmx3a* and *hmx4* in the developing spinal cord has not been investigated and no expression data has previously been reported for *hmx3b*. Therefore, to determine which of the *hmx* genes are expressed in the spinal cord we performed *in situ* hybridizations for *hmx1*, *hmx2*, *hmx3a*, *hmx3b* and *hmx4* at 19 h, 27 h, 36 h, 42 h and 48 h (Fig. 1). At all of these stages, we observed no spinal cord expression of *hmx1*, *hmx3b* or *hmx4* (Fig. 1). However, consistent with previous reports, both *hmx1* and *hmx4* were expressed in the developing eye, ear and anterior lateral line neuromasts [Fig. 1; 16, 19-22]. In contrast, the only expression of *hmx3b* that we observed was weak hindbrain expression at later stages (36-48 h; Fig. 1N', S' & X').

In contrast to *hmx1*, *hmx3b* and *hmx4*, *hmx2* and *hmx3a* are expressed in the spinal cord at all of the stages that we examined (Fig. 1). The spinal cord expression patterns of these two genes are very similar, with the exception that initially, *hmx2* expression appears to be weaker than *hmx3a* and it does not extend as far caudally (Fig 1B & C). Consistent with previous reports, both of these genes are also expressed in the lateral line and developing ear [Fig. 1; 11, 16, 23] as well as distinct regions of the brain (data not shown).

As *hmx3a* is expressed in the spinal cord, and teleost duplicate genes often have similar expression patterns, we wanted to further confirm that there was no spinal cord expression of *hmx3b*. Therefore, we performed *in situ* hybridization on *mindbomb1 (mib1^{ta52b})* mutants at 24 h. *mib1* encodes an E3-ubiquitin-ligase required for efficient Notch signaling. Consequently, Notch signaling is lost in mutants for this gene and, as a result, most spinal progenitor cells precociously differentiate into early-forming classes of spinal neurons at the expense of later-forming classes of neurons and glia [24-28]. As a result, weak expression in spinal neurons is often expanded and stronger and hence easier to observe in *mib1^{ta52b}* mutants at 24 h [28, 29]. However, even in *mib1^{ta52b}* mutants we detected no expression of *hmx3b* (Fig 1D').

hmx2 and *hmx3a* are expressed in V1 and dI2 interneurons in the spinal cord.

To identify the spinal cord neurons that express *hmx2* and *hmx3a*, we performed several different double-labeling experiments. Double *in situ* hybridization with *hmx2* and *hmx3a* confirmed that these genes are co-expressed in the exact same cells in the spinal cord (Fig. 2C). Approximately half of these *hmx2* and *hmx3a (hmx2/3a)* co-expressing spinal cells also co-express *slc32a1*, which is only expressed by inhibitory (glycinergic and GABAergic) interneurons, and approximately half co-express *slc17a6a/b,* which are only expressed by excitatory (glutamatergic) interneurons (Fig. 2 D & F; see materials and methods for a more detailed description of probes used to determine neurotransmitter phenotypes). In addition, the inhibitory *hmx2/3a*-expressing cells are generally more ventral than the excitatory double-labelled cells. Our previous expression-profiling of V1 interneurons,

suggested that these neurons might be the ventral inhibitory cells that express *hmx3a* (Fig. 2B, for a description of these experiments see [30]). Results from our lab and others, have established that the only spinal cord cells that express *engrailed1b* (*en1b*) are V1 interneurons [31, 32]. Therefore, to confirm that V1 interneurons also express *hmx3a* we performed double *in situ* hybridizations for *hmx3a* and *en1b*. These experiments showed that all of the *en1b*-expressing spinal cells co-express *hmx3a,* and that approximately half of the *hmx2/3a*-expressing spinal cells co-express *en1b* (Fig. 2E). Taken together, these data clearly identify the inhibitory *hmx2/3a*-expressing spinal cells as V1 interneurons.

As mentioned above, the glutamatergic *hmx2/3a*-expressing cells are generally located dorsal to the inhibitory *hmx2/3a*-expressing cells. Therefore, these excitatory cells could be V0v, dI5, dI3, dI2 or dI1 interneurons [Fig. 2A; 33-36]. We performed double labeling experiments with markers of different cell types and found that all *hmx2/3a*-expressing spinal cells co-express *lhx1a* and *lhx5* (Fig. 2B & G). Lhx1a and Lhx5 are predominantly expressed by inhibitory spinal cord interneurons, but they are also expressed by dI2 interneurons, which are excitatory [34, 37-44]. The only other excitatory neurons that might express these two *lhx* genes are V0v interneurons. Recent single-cell RNA-Seq (scRNA-Seq) data from mouse identified possible V0 cells that expressed *lhx1a* and *lhx5*, although it is not clear whether these cells were excitatory V0v and/or inhibitory V0$_D$ cells [45]. As V0v cells are the only spinal cord cells that express *evx1* and *evx2* [46 and references therein], we tested whether there was any co-expression of *hmx3a* and *evx1/2* using double *in situ* hybridization as well as immunohistochemistry for GFP and *in situ* hybridization for *hmx3a* in *Tg(evx1:EGFP)*^{SU1} and *Tg(evx1:EGFP)*^{SU2} embryos. However, we did not observe any co-expression in any of these experiments (Fig. 2H and data not shown). Therefore, we are confident that the excitatory *hmx2/3a*-expressing spinal cells are dI2 interneurons. Consistent with this, recent mouse scRNA-Seq data suggests that mouse *Hmx2* and *Hmx3* are also expressed in V1 and d2 spinal cord interneurons [45].

Morpholino knock-down experiments suggest that Hmx2 and Hmx3a may be redundantly required for correct specification of a subset of spinal cord interneuron glutamatergic phenotypes.

As an initial step to try and identify the function(s) of Hmx2 and Hmx3a in spinal cord development we performed morpholino knock-down experiments. As previous analyses suggested that these genes have redundant roles in ear and lateral line development [16], we designed and injected morpholinos for each of the genes separately and in combination (see materials and methods). In embryos co-injected with the two morpholinos (*hmx2;hmx3a* double knock-down (DKD) animals), we observed stalled lateral line progression and fused otoliths in the ears (normally there is an anterior (utricular) and a posterior (saccular) otolith in each ear, but in these embryos there was just one fused otolith in a medio-ventral region of each ear) [16; data not shown]. When we analyzed spinal cord phenotypes in DKD embryos, we detected no change in the number of *hmx3a*- or *en1b*-expressing cells, suggesting that dI2 and V1 interneurons still form in normal numbers (Fig. 3A-F). However, when we examined markers of neurotransmitter phenotypes we observed a reduction in the number of spinal excitatory

(glutamatergic) cells and a corresponding increase in inhibitory cells, suggesting that at least some dI2 interneurons had switched their neurotransmitter phenotype from glutamatergic to inhibitory (Fig. 3G-L). Our analyses of single knock-down (SKD) embryos suggested that *hmx2* and *hmx3a* might act partially redundantly in this process as we observed less severe reductions in the number of spinal glutamatergic cells in these embryos (Fig. 2I). To confirm the specificity of these knock-down results, we tested whether co-injecting a morpholino-resistant *hmx3a* mRNA with the *hmx2* and *hmx3a* morpholinos could rescue the spinal cord phenotype of DKD embryos and we were able to obtain a partial rescue in the number of glutamatergic neurons (Fig. 3M-O and Table 2). Injections of higher amounts of mRNA led to considerable embryo death, probably because of the toxic effects of injecting considerable amounts of both mRNA and morpholinos into the embryos.

Mutational analyses demonstrate that Hmx2 is not required, and that Hmx3a does not require its DNA-binding homeodomain, for viability, correct migration of lateral line, and correct development of ear otoliths or spinal cord interneurons.

To further test the hypothesis that *hmx2* and *hmx3a* are required for the correct specification of a subset of spinal cord interneuron neurotransmitter phenotypes we created CRISPR mutants in each of these genes, targeting the same genomic region upstream of the homeobox (see materials and methods). We also obtained a *hmx3a^{sa23054}* allele from the sanger zebrafish mutation project [47] that has a stop codon upstream of the homeodomain.

As our morpholino-injected embryos had fused otoliths in their ears, and our previous analyses had shown that this was also the case in *hmx3a^{SU3}* mutants [11], we expected that *hmx2* mutants would have this same phenotype. However, we did not detect any fused otoliths in embryos homozygous for four different *hmx2* alleles (*hmx2^{SU35}*, *hmx2^{SU36}*, *hmx2^{SU37}*, *hmx2^{SU38}*; Fig. 4, Table 3). In addition, all four of these mutant alleles are homozygous viable (Fig. 4, Table 3). We also examined lateral line progression and the number of spinal cord glutamatergic neurons in *hmx2^{SU37}* and *hmx2^{SU38}* homozygous mutants and did not detect any change compared to WT embryos (Table 2 and data not shown).

Surprisingly, embryos homozygous for *hmx3a^{SU42}* also do not have fused otoliths or stalled lateral line progression (Fig. 4, Fig. 5I). In addition, (and unlike *hmx3a^{SU3}* mutants) they have normal expression of *hmx3a* in the anterior otic epithelium and adjacent anterior neuroblasts at 27 hpf (Fig. 5C and cf Fig. 5A & E'). Finally, they also have normal numbers of spinal cord glutamatergic neurons (Fig 5 O & T, Table 2) and are homozygous viable (Fig. 4, Table 3). Interestingly, embryos homozygous for *hmx3a^{sa23054}* have variable, incompletely penetrant otolith fusion phenotypes that range from no fusion, through incomplete fusion (Fig. 5D), to complete fusion, despite the fact that any protein encoded by this allele should retain more WT sequence than that encoded by *hmx3a^{SU42}* (Fig 4). However, *hmx3a^{sa23054}* mutants have normal lateral line progression, no reduction in the number of spinal cord glutamatergic neurons and they are also viable (Fig. 4, Fig. 5 J, P & U, Tables 2 & 3).

In contrast, embryos homozygous for $hmx3a^{SU3}$ or $hmx3a^{SU43}$ have a fully penetrant fused otolith phenotype, stalled lateral line progression and are homozygous lethal (Fig. 4, Fig. 5E, K, Table 3 and data not shown, also see [11] for a detailed description of the $hmx3a^{SU3}$ ear phenotype). When we examined the spinal cords of embryos homozygous for these mutant alleles, we observed a statistically significant reduction in the number of glutamatergic cells, but in each case the change was smaller than we had previously observed for morpholino-injected DKD embryos (Fig. 5Q & V, Table 2). There was also an increase in the number of inhibitory spinal cord interneurons, although, again, the increase was less than in the DKD morpholino-injected embryos (Fig. 6C, H, M, Table 2). However, similar to the DKD embryos there was no change in the number of spinal $hmx3a$- or $en1b$-expressing cells in $hmx3a^{SU3}$ mutants, suggesting that dI2 and V1 interneurons are forming in normal numbers and not dying or changing into different classes of interneurons (Fig. 6A, B, F, G, K, L, Table 2, not analyzed in $hmx3a^{SU43}$).

To test whether our $hmx2$ mutants had no obvious phenotypes because they had retained some Hmx2 function, we created a deletion allele, $hmx2^{SU39}$, in which almost all of the $hmx2$ genomic sequence is deleted. Only 84 nucleotides of 5' and 60 nucleotides of very 3' coding sequence remain (Fig. 4). However, $hmx2^{SU39}$ homozygous mutants also lack fused otoliths, have normal expression of $hmx3a$ in the anterior otic epithelium and adjacent anterior neuroblasts, have normal lateral line progression and are homozygous viable (Fig. 4, Fig 5 B & H, Tables 2 & 3). In addition, there is no change in the number of glutamatergic cells in the spinal cords of these mutants, when compared to WT sibling embryos (Fig. 5 N & S, Table 2).

While this was surprising, we hypothesized that Hmx3a was acting redundantly with Hmx2 and could compensate for its loss. To test this hypothesis, we created double mutant alleles. As $hmx2$ and $hmx3a$ are adjacent on chromosome 17, and our single mutant analyses suggested that even severely truncated Hmx2 and/or Hmx3a proteins might retain some function, we reasoned that the clearest way to test this hypothesis was to delete as much of the $hmx2/hmx3a$ genomic region as possible. We created two different mutant alleles, $hmx2;hmx3a^{SU44}$ and $hmx2;hmx3a^{SU45}$, that differ only in the amount of remaining upstream sequence. In both of these alleles the entire $hmx3a$ coding sequence and all but the last 66 nucleotides of $hmx2$ coding sequence are deleted (Fig. 4 and materials and methods). However, in double mutant embryos homozygous for these large deletion alleles, the reduction in the number of excitatory spinal cord interneurons and the increase in the number of inhibitory spinal neurons is equivalent to that in $hmx3a^{SU3}$ and $hmx3a^{SU43}$ single mutants (Fig. 5V & W, Fig. 6 M & N and Table 2). There are also no obvious differences in the otolith fusion or lateral line progression phenotypes between these single and double mutants (Fig. 5 E, F, K and L).

To determine whether the increase in the number of spinal inhibitory interneurons reflects an increase in glycinergic or GABAergic neurons, we examined the expression of genes expressed exclusively by cells with these neurotransmitter phenotypes ($slc6a5$ for glycinergic and $gad1b$ for GABAergic, see materials and methods).

While we found no statistically significant difference in the number of spinal cord glycinergic cells in *hmx2;hmx3a*^{SU44} mutants, there was a statistically significant increase in the number of GABAergic cells in *hmx2;hmx3a*^{SU44} and *hmx3a*^{SU3} mutants compared to WT sibling embryos (Fig. 6E, K, O, P, Q, U, V, Z, AA, Table 2).

As *hmx2* expression is initially weaker than *hmx3a* expression, we also analyzed *hmx2*^{SU39} single mutants at 48 h, to determine if there was a spinal cord neurotransmitter phenotype at this later stage of development. We examined the number of GABAergic cells, as these are easier than glutamatergic cells to count at this stage. However, there was no change in the number of GABAergic spinal cells in *hmx2*^{SU39} single mutants compared to WT embryos (Table 2). We also tested whether the spinal phenotype of double mutants was more severe than *hmx3a*^{SU3} single mutants at 48 h. However, while the number of GABAergic cells was increased in both *hmx3a*^{SU3} single mutants and *hmx2;hmx3a*^{SU44} double mutants, there was no statistically significant difference between these two phenotypes (Fig. 6R, S, W, X, AB, AC, Table 2). Taken together, these results suggest that Hmx2 is not required for viability, lateral line progression, or correct development of ear otoliths or spinal cord interneuron neurotransmitter phenotypes. Our data also suggest that while Hmx3a is required for viability, lateral line progression, and correct development of ear otoliths and spinal cord interneuron neurotransmitter phenotypes, it does not require its homeodomain for its essential functions in these aspects of development.

Trans-heterozygous crosses suggest that our *hmx3a* mutations form an allelic series

hmx3a^{SU3}, *hmx3a*^{SU42} and *hmx3a*^{SU43} mutant alleles all introduce a frameshift within 4 nucleotides of each other (nucleotide 319, 320, and 323 of the coding sequence respectively). Assuming that all three of these alleles are translated into truncated proteins, *hmx3a*^{SU3} would retain 106 WT amino acids whereas the other two alleles would retain 107 WT amino acids (Fig. 4). However, despite the similarity of these mutant alleles, embryos homozygous for *hmx3a*^{SU3} and *hmx3a*^{SU43} have fused otoliths, stalled lateral line progression, a reduction in the number of spinal excitatory interneurons and do not survive to adulthood whereas embryos homozygous for *hmx3a*^{SU42} are viable and lack these other phenotypes. In addition, as mentioned above, *hmx3a*^{sa23054} mutants have variable otolith fusion phenotypes, despite retaining more WT sequence than *hmx3a*^{SU3}, *hmx3a*^{SU42} or *hmx3a*^{SU43} alleles. Therefore, to further analyze the relative "strength" of these *hmx3a* mutant alleles, we made different pair-wise crosses between fish heterozygous for *hmx3a*^{SU3}, *hmx3a*^{SU42}, *hmx3a*^{SU43}, *hmx3a*^{sa23054} and *hmx2;hmx3a*^{SU44} and analyzed both ear and lateral line development in the resulting embryos. As the *hmx2;hmx3a*^{SU44} allele lacks all *hmx3a* coding sequence and only retains the last 66 nucleotides of *hmx2* coding sequence (Fig. 4) we assume that this is a deletion of both genes when interpreting the results of these trans-het analyses.

Interestingly, when we crossed fish heterozygous for *hmx2;hmx3a*^{SU44} with fish heterozygous for *hmx2*^{SU39} the resulting embryos had normal otolith development and lateral line progression (Table 4). Given that approximately

a quarter of these embryos should lack both alleles of *hmx2* and one allele of *hmx3a*, this demonstrates that one WT allele of *hmx3a* is sufficient for normal otolith development and lateral line progression.

As expected, as both alleles produce homozygous mutant phenotypes, when we crossed fish heterozygous for *hmx3a^SU3* with fish heterozygous for *hmx3a^SU43* we observed mendelian ratios of embryos with fused otoliths and stalled lateral line progression phenotypes identical to those of the homozygous mutants (Table 4). Similarly, we obtained mendelian ratios of embryos with fused otoliths and stalled lateral line progression when we crossed fish heterozygous for *hmx3a^SU3* with fish heterozygous for *hmx2;hmx3a^SU44*, or fish heterozygous for *hmx3a^SU43* with fish heterozygous for *hmx2;hmx3a^SU44*. Interestingly though, in the latter cross, a small number of embryos with stalled lateral line progression had a slightly weaker phenotype, where the lateral line primordium migrated about two more somite lengths caudally than is the case in *hmx3a^SU3*, *hmx3a^SU43* or *hmx2;hmx3a^SU44* homozygous mutants (Table 4, weaker phenotype was similar to Fig. 6Y).

Even more interestingly, when we crossed fish heterozygous for *hmx3a^sa23054* with fish heterozygous for either *hmx2;hmx3a^SU44* or *hmx3a^SU3* we also obtained mendelian ratios of embryos with fully penetrant otolith fusion phenotypes and stalled lateral line progression (although some embryos had the slightly weaker lateral line phenotype described above), as opposed to the variable otolith fusion phenotypes and normal lateral line progression that occurs in *hmx3a^sa23054* homozygous mutants (Table 4). This suggests that while two *hmx3a^sa23054* alleles are sufficient for normal lateral line progression and also sometimes normal otolith development, this is not the case for either one *hmx3a^sa23054* allele or the combination of *hmx3a^sa23054* and *hmx3a^SU3* alleles.

More surprisingly, when we crossed fish heterozygous for *hmx3a^SU42* with fish heterozygous for either *hmx2;hmx3a^SU44* or *hmx3a^SU3* we also obtained embryos with fused otoliths and stalled lateral line progression. (While some of these embryos had the stronger lateral line phenotype we observe in *hmx3a^SU3* and *hmx2;hmx3a^SU44* mutants (Fig. 6AD, Table 4), most of them had the slightly weaker lateral line phenotype, Fig. 6Y, Table 4). For the combination of *hmx3a^SU42* and *hmx2;hmx3a^SU44*, these phenotypes occurred in mendelian ratios. However, for the combination of *hmx3a^SU3* and *hmx3a^SU42*, while we observed a mendelian ratio of embryos with stalled lateral line progression, the percentage of embryos with fused otoliths was less than 13%.

Taken together with our analyses of homozygous mutants, these results suggest that these different mutant alleles comprise an allelic series in which *hmx2;hmx3a^SU44* is the most severe loss-of-function allele, followed by *hmx3a^SU3*, *hmx3a^SU43*, *hmx3a^sa2305* and *hmx3a^SU42*. As our previous results comparing double mutants to *hmx3a* single mutants suggest that *hmx2* is not required or sufficient for correct ear or lateral line development, it is likely that the *hmx2;hmx3a^SU44* allele is more severe than *hmx3a^SU43* and *hmx3a^SU3* because more of the *hmx3a* locus is deleted and not because *hmx2* is also missing. This, in turn, suggests that all of the other *hmx3a* alleles, including *hmx3a^SU3*, are hypomorphs, although they have different levels of retained function. At the other end of the allelic

series, while two alleles of $hmx3a^{SU42}$ are sufficient for normal otolith development and lateral line progression, one allele is not. As one WT allele of $hmx3a$ is sufficient for normal development, these results suggest that even $hmx3a^{SU42}$ is a hypomorphic allele.

The lack of a *hmx2* mutant phenotype and the incompletely penetrant spinal cord phenotype in *hmx3a* mutants is not due to maternal expression of *hmx* genes.

One possible explanation for why the spinal cord phenotype is less severe in $hmx2/3a$ double mutants than morpholino-injected DKD embryos would be if $hmx2$ and/or $hmx3a$ are maternally expressed, as in this case the morpholinos might knock-down both maternal and zygotic function whereas the mutants would only remove zygotic function. To test this, we performed *in situ* hybridization for $hmx2$ and $hmx3a$ at the 16-cell stage. However, we did not detect any maternal expression of $hmx2$, $hmx3a$ or any of the other hmx genes (Fig. 7A-E). We also generated embryos from adults homozygous mutant for $hmx2^{SU38}$, $hmx3a^{SU39}$ and $hmx3a^{sa23054}$. However, even though half of the embryos in each of these crosses should have been maternal zygotic mutants, we did not observe any embryos with fused otoliths (Fig. 4).

The incomplete penetrance of the spinal cord phenotype in *hmx3a* and *hmx2;hmx3a* mutants is not due to upregulation of *hmx3b*, *hmx1* or *hmx4* expression.

Even though $hmx3b$, $hmx1$ or $hmx4$ are not normally expressed in the spinal cord (Fig.1), it was theoretically possible that they are upregulated in the spinal cord in response to the absence, or reduced levels, of either Hmx2 and/or Hmx3a function. Therefore, to test this, we performed *in situ* hybridization for these genes in $hmx3a^{SU3}$ and $hmx2;hmx3a^{SU44}$ mutants at 27 h. In all cases, we did not observe any spinal cord expression of these genes in either genotyped mutants or their sibling embryos, although, as observed previously in WT embryos (Fig. 1) $hmx1$ and $hmx4$ were expressed in the eye, ear and anterior lateral line neuromasts in both mutants and WT sibling embryos (Fig 7J-L and data not shown).

hmx2^{SU39} mutant alleles do not lack a spinal cord phenotype because of genetic compensation

Recent reports have demonstrated that genetic compensation (upregulation of other genes that can compensate for loss of the mutated gene) can result in loss-of-function mutants having a less severe phenotype than embryos injected with a morpholino against the same gene [48-53]. If this is the case, then morpholino knock-down should have less effect on mutant embryos than on WT sibling embryos, because the morpholino will not affect any upregulated compensating genes [e.g. see 49, 53]. Therefore, to test whether the lack of a spinal cord phenotype in $hmx2^{SU39}$ mutants is due to genetic compensation, we injected the $hmx2$ morpholino into embryos from a cross of fish heterozygous for $hmx2^{SU39}$ and performed *in situ* hybridization for $slc17a6a/b$ to label glutamatergic spinal cord interneurons. We predicted that if there was genetic compensation in $hmx2^{SU39}$ mutants, morpholino-injected WT sibling embryos should have a reduced number of spinal cord glutamatergic interneurons, whereas $hmx2^{SU39}$ homozygous mutant embryos should have normal numbers of these cells. However, if there is no genetic

compensation, we would expect a similar frequency of homozygous mutant and WT embryos to have spinal cord phenotypes and those phenotypes to be roughly equivalent in severity. In contrast, if morpholino-injected homozygous mutant embryos have more severe phenotypes than WT siblings, this might suggest that $hmx2^{SU39}$ is a hypomorphic allele. However, this seems highly unlikely given that the $hmx2$ gene is almost completely deleted in this allele (Fig. 4).

We initially examined the injected embryos down a stereomicroscope and divided them into two groups: those that had an obvious reduction in glutamatergic cells and others that either lacked a phenotype or had a more subtle phenotype. When we genotyped the embryos in these two groups we found both homozygous mutant and WT embryos at frequencies that were not statistically significantly different from mendelian ratios (Table 5). In addition, when we compared the average number of glutamatergic cells in WT and mutant embryos, there was no statistically significant difference between them (Table 5). This suggests that the lack of an abnormal spinal cord phenotype in $hmx2^{SU39}$ homozygous mutant embryos is not due to genetic compensation and that the differences that we observed between the two groups of injected-embryos instead probably reflects exposure to different levels of morpholino (see materials and methods). It also suggests that the $hmx2$ morpholino is having nonspecific effects on the number of glutamatergic neurons in the spinal cord.

$hmx3a^{SU42}$ mutant alleles do not lack a spinal cord phenotype because of genetic compensation

We also tested whether the spinal cord phenotype of $hmx3a^{SU42}$ mutants is less severe than embryos injected with a $hmx3a$ morpholino because of genetic compensation. As above, we injected the $hmx3a$ morpholino into embryos from a cross of fish heterozygous for $hmx3a^{SU42}$ and performed *in situ* hybridization for *slc17a6a/b*. When we examined the injected embryos down a stereomicroscope, we were able to separate them into one group that had an obvious reduction in spinal glutamatergic cells and another group that either lacked, or had a more subtle, phenotype. However, when we genotyped the embryos in these two groups, we found similar numbers of homozygous mutant and WT embryos in both groups and the frequencies of different genotypes in each group were not statistically significantly different from Mendelian ratios (Table 6). In addition, when we compared the average number of glutamatergic cells in morpholino-injected WT and mutant embryos, there was no statistically significant difference between them, regardless of whether we compared all of the morpholino-injected embryos of each genotype or just compared embryos within the same phenotype group (Table 6). These data suggest that the lack of an abnormal spinal cord phenotype in $hmx3a^{SU42}$ homozygous mutant embryos is not due to genetic compensation and that the differences that we observed between the two groups of injected embryos just reflect exposure to different levels of morpholino (see materials and methods). Consistent with this, we also do not observe any nonsense-mediated decay (NMD) of $hmx3a$ mRNA in $hmx3a^{SU42}$ mutants (Fig. 7F; NMD has been suggested to play a key role in at least some instances of genetic compensation [51]).

The incomplete penetrance of the spinal cord phenotype in $hmx3a^{SU3}$ mutants is not due to genetic compensation

We also tested whether the spinal cord phenotype of *hmx3a*^{SU3} mutants is less severe than embryos injected with a *hmx3a* morpholino because of genetic compensation. As above, we injected the *hmx3a* morpholino into embryos from a cross of fish heterozygous for *hmx3a*^{SU3} and performed *in situ* hybridization for *slc17a6a/b*. When we examined these embryos down a stereomicroscope, some of the embryos appeared to have a severe reduction in glutamatergic cells that resembled the morpholino knock-down phenotype, whereas in the other embryos any reduction was more subtle. However, when we genotyped these embryos we again found similar numbers of homozygous mutant and WT embryos in both groups and the frequencies of the different genotypes in each group were not statistically significantly different from Mendelian rations (Table 7). In addition, when we compared the average number of glutamatergic cells in morpholino-injected WT and mutant embryos, there was no statistically significant difference between them, regardless of whether we compared all of the morpholino-injected embryos, or just compared the injected embryos within a particular phenotype group (Table 7). For the "weaker" phenotype group of injected embryos, the difference between WT and mutant embryos approached statistical significance. However, this is probably because some of the WT embryos in this category had almost no reduction in the number of glutamatergic cells, whereas all of the mutants in this category had at least their normal mutant phenotypes. These results suggest that the differences that we observed between the two groups of injected-embryos probably just reflect exposure to different levels of morpholino (see materials and methods), and that embryos in the "weaker" phenotype group did not receive sufficient morpholino to effectively knock-down *hmx3a* mRNA or cause the more severe morpholino phenotype. Taken together, these data suggest that the spinal cord phenotype in *hmx3a*^{SU3} homozygous mutant embryos is not less severe than the morpholino knock-down phenotype because of genetic compensation. Consistent with this, we also do not detect any NMD of *hmx3a* mRNA in *hmx3a*^{SU3} mutants (Fig. 7H).

Discussion

Zebrafish Hmx2 is not required for viability or correct development of otoliths, lateral line or spinal cord neurotransmitter phenotypes.

The data reported in this paper, suggest two surprising results. The first is that Hmx2 is not required for either viability or correct otolith, lateral line or spinal cord neurotransmitter phenotypes in zebrafish and the second is that Hmx3a does not require its homeodomain for its essential roles in these processes. The expression of Hmx2 and Hmx3 is highly conserved during embryonic development in different vertebrates [4], and it has even been reported that Drosophila *hmx* can substitute for the loss of *Hmx2* and *Hmx3* in mouse ear development [12, 13], suggesting that the functional capabilities of Hmx proteins are also highly conserved between different animals. Previous analyses demonstrated that mouse *Hmx2* mutants had defects in ear development, although interestingly these were more severe in about 70% of homozygous mutants than in the other 30%, showing that there was some variability in the requirement for Hmx2 [15]. In addition, mouse *Hmx2;Hmx3* double mutants had more severe ear phenotypes than either single mutant, as well as defects in hypothalamus and pituitary development that were not found in either single mutant and most of the double mutants died around the 5th day after birth, whereas the single mutants were viable [12]. Taken together, these data suggest that mouse Hmx2 has important functions in ear and brain development, although some of these are redundant with Hmx3. This is very different to our results in zebrafish where even *hmx2^{SU39}* mutants, that lack almost all coding sequence, are viable and lack any obvious mutant phenotypes and *hmx2;hmx3a* double mutants have the same mutant phenotypes as severe *hmx3a* single mutants. Our experiments suggest that the lack of obvious phenotypes in *hmx2^{SU39}* single mutants is not due to genetic compensation or maternal expression of *hmx* genes. It is still possible that *hmx2* functions later in development and/or in aspects of development that we did not assay. However, if this is the case, these functions are not required for viability as even *hmx2^{SU39}* homozygous mutants survive to adulthood. It is also possible that *hmx2* has important function(s) in adult fish, as our assays would not have detected this. However, even if this is the case, it is striking that zebrafish *hmx2* is expressed in the developing ear, lateral line and specific spinal cord interneurons, but does not appear to be required for the development of these sensory structures and neurons. It is also unclear why zebrafish *hmx2* cannot substitute, at least partially, for loss of *hmx3a*, when it can in mouse.

Hmx3a does not require its homeodomain for its functions in viability and otolith, lateral line and spinal cord interneuron development since very similar mutant alleles have different phenotypes.

It is also surprising that Hmx3a does not require its homeodomain for either its role in viability or its essential functions in ear otolith development, lateral line progression and correct specification of a subset of spinal cord neurotransmitter phenotypes. As mentioned previously, to our knowledge, this is the first time that such a prototypical homeodomain protein has been shown not to require its homeodomain for normal function. Instead, our data suggest that there must be at least one other, as yet undiscovered, crucial functional domain in the N terminal region of this protein. While we cannot rule out the possibility that Hmx3a may bind to other DNA-

binding proteins and function in transcriptional complexes, unless the N terminal of the protein contains a novel DNA binding domain, our results also suggest that, despite being a homeodomain protein, Hmx3a is not a transcription factor.

In addition, we found different phenotypes in *hmx3a* mutant alleles that have very similar amounts of remaining WT coding sequence. Our analyses of homozygous and trans-heterozygous mutants suggest that we have created an allelic series of *hmx3a* mutant alleles in which *hmx2;hmx3a^{SU44}* is the most severe allele, followed by *hmx3a^{SU3}, hmx3a^{SU43}, hmx3a^{sa23054}* and *hmx3a^{SU42}*. We assume that the *hmx2;hmx3a^{SU44}* allele is a complete loss-of-function for *hmx3a* as the *hmx3a* coding sequence is completely deleted. Our results suggest that *hmx3a^{SU3}* is the next strongest allele, as it is the only allele that only produced strong lateral line phenotypes when in combination with *hmx2;hmx3a^{SU44}*, although there may not be much difference in phenotypic strength between *hmx3a^{SU3}* and *hmx3a^{SU43}*. However, as only 13% of embryos from a cross of *hmx3a$^{SU42/+}$* and *hmx3a$^{SU3/+}$* parents have fused otoliths whereas 22% of embryos from a cross of *hmx3a$^{SU42/+}$* and *hmx2;hmx3a$^{SU44/+}$* parents do, it is likely that *hmx3a^{SU3}* is a hypomorph rather than a complete loss of function allele. *hmx3a^{sa23054}* retains more WT function than both *hmx3a^{SU3}* and *hmx3a^{SU43}*, yet while *hmx3a^{sa23054}* homozygous mutants have variable otolith fusion phenotypes, they are also viable and have normal lateral line progression and no change in the number of spinal cord glutamatergic neurons. In contrast, *hmx3a^{SU42}* mutants lack all of these mutant phenotypes. However, even though *hmx3a^{SU42}* homozygous mutants have no obvious mutant phenotypes and are viable, one allele of *hmx3a^{SU42}* is not sufficient for normal otolith development and lateral line progression, even though one WT allele of *hmx3a* is. This suggests that the *hmx3a^{SU42}* allele is also hypomorphic.

It is currently unclear why *hmx3a^{SU42}* retains more WT Hmx3a function than *hmx3a^{SU43}* when both should encode proteins with only 107 WT amino acids. We are confident that this is not due to alternative splicing or exon skipping as we have sequenced cDNA made from extracted total RNA from homozygous *hmx3a^{SU42}* individuals (see materials and methods). We also do not observe any NMD of *hmx3a* mRNA for any of these *hmx3a* single mutant alleles (Fig. 7F-I; the double mutant lacks all *hmx3a* coding sequence, so there is no mRNA to assess), so the difference in allelic strength is not due to some of the mutant mRNAs being degraded. However, it is still theoretically possible that the different alleles result in different amounts of truncated protein, due to differences in protein stability. It is also possible that the overall length of the mutant protein is important for retaining function and that the additional abnormal amino acids after the frameshift but before the premature stop codon in *hmx3a^{SU42}* help this allele retain more WT function. A longer protein sequence might facilitate a required protein conformation and/or binding with other proteins or molecules. If this is the case, then it could also explain why *hmx3a^{SU42}* appears to retain more WT function than *hmx3a^{sa23054}*, which should encode an extra 11 amino acids of WT protein sequence, but is shorter overall.

Hmx3a is required for lateral line progression

Previous research reported that the number of posterior lateral line primordium neuromasts was either severely reduced or completely lost at 3 dpf in *hmx2/3a* DKD animals (Feng & Xu, 2010). Intriguingly, the few neuromasts that sometimes persisted were located very rostrally in the embryo, close to the earliest forming somites. Our analyses of posterior lateral line primordium migration in *hmx2/3a* mutants demonstrate that the primordium is stalled adjacent to somites 1-4 at 27 h in *hmx3a^{SU3}, hmx3a^{SU42}, hmx2;hmx3a^{SU44}* and *hmx2;hmx3a^{SU45}* mutants, whereas it has migrated to somite 10 in stage matched WT siblings. This suggests that the previously reported loss of neuromasts at 3 dpf is probably caused by the posterior lateral line primordium failing to migrate and deposit neuromasts. Feng & Xu also described reduced cell proliferation (at 15 h) and reduced *hmx3a* expression (at 24 h) in the posterior lateral line primordium of *hmx2/3a* DKD animals. Whilst we cannot rule out the possibility that the lateral line primordium fails to migrate because it has not formed correctly, we observe persistent expression of both *hmx3a* and *krt15* in the stalled primordium of our *hmx3a^{SU3}, hmx3a^{SU42}, hmx2;hmx3a^{SU44}* and *hmx2;hmx3a^{SU45}* mutants (data not shown), suggesting that some other mechanism, possibly chemosensory, might underlie the stalled migration.

Hmx3a is required for correct neurotransmitter phenotypes of a subset of spinal cord interneurons
Our spinal cord analyses have identified, for the first time, a requirement for Hmx3a in spinal cord interneuron development. We have shown that *hmx2* and *hmx3a* are expressed by V1 and dI2 interneurons, which is consistent with very recent scRNA-Seq data from mouse [45]. While the respective *in situ* hybridization probes are not strong enough to formally confirm with double-labeling experiments that dI2 interneurons switch their neurotransmitter phenotype from glutamatergic to GABAergic in embryos that lack Hmx3a function, this is the most likely explanation of our data. *hmx3a* is only expressed by dI2 and V1 interneurons in the spinal cord, and of these cell types, only dI2 interneurons are glutamatergic. Therefore, the most likely explanation for the reduction in the number of glutamatergic spinal cord cells in *hmx3a* mutants and morpholino-injected knock-down embryos is that dI2 interneurons are losing their glutamatergic phenotypes. Given that we also detect a corresponding increase in GABAergic spinal cord cells, but the number of V1 cells (indicated by *en1b* expression) does not change, it is likely that the dI2 interneurons that are losing their glutamatergic phenotypes are becoming GABAergic instead.

Our original morpholino data suggested that all dI2 interneurons might be switching their neurotransmitter phenotypes as the increase in the number of spinal inhibitory cells and the reduction in the number of excitatory spinal cells in DKD embryos were both roughly equal to the number of dI2 interneurons (glutamatergic *hmx3a*-expressing cells). However, even in our *hmx2;hmx3a* double mutants, the number of cells changing their neurotransmitter phenotypes is considerably lower. The differences between morpholino-injected embryos and mutant embryos can be seen most clearly in our experiment to test whether genetic compensation occurs in *hmx3a^{SU3}* homozygous mutants. These data directly compare uninjected mutants with morpholino-injected mutants and WT siblings from the same experiment. While there were a range of morpholino-injected phenotypes, overall the *hmx3a* morpholino-injected WT and *hmx3a^{SU3}* mutant embryos had a more severe reduction of

glutamatergic cells than uninjected mutants (Table 7). While $hmx3a^{SU3}$ mutants may be slightly hypomorphic (as discussed above), their spinal cord phenotype is the same as $hmx2;hmx3a^{SU44}$ mutants, in which the $hmx3a$ coding sequence is completely deleted. Therefore, the more severe phenotypes in some of the $hmx3a^{SU3}$ mutants injected with $hmx3a$ morpholino cannot be explained by the morpholino removing any residual Hmx3a function. Instead, these data suggest that the $hmx3a$ morpholino has non-specific effects on neurotransmitter phenotypes in the spinal cord, and also that the less severe phenotype in uninjected $hmx3a^{SU3}$ mutants is not caused by genetic compensation. Consistent with this, we have also shown that this less severe mutant phenotype is not due to other hmx genes being upregulated in these mutants or maternal expression of $hmx3a$ or any other hmx genes. As the $hmx2$ morpholino-injected SKD embryos have reduced numbers of spinal glutamatergic cells, while $hmx2^{SU39}$ mutants do not, this suggests that $hmx2$ morpholinos also have non-specific effects on neurotransmitter phenotypes in the spinal cord. Consistent with the idea that the morpholinos have non-specific effects, there is also a body curvature phenotype in the morpholino-injected embryos that is not present in the mutants. Our data suggest that the $hmx3a$ morpholino also has specific effects, as we were able to partially rescue the DKD embryo phenotype by co-injecting a morpholino-resistant $hmx3a$ mRNA. Interestingly, we rescued about 19 glutamatergic cells in these experiments, which is roughly equivalent to the number of cells that lose their glutamatergic phenotypes and/or gain inhibitory phenotypes in $hmx3a^{SU3}$ and $hmx2;hmx3a^{SU44}$ mutants at the same stage, suggesting that we may have rescued all of the specific effects of the $hmx3a$ morpholino.

One puzzling thing, however, about this interpretation of the data is that the morpholino knock-down phenotypes appeared to be specific. Our experiments suggested that the reduction in glutamatergic cells in DKD embryos was unlikely to be due to cell death or a delay in embryo development as there was no change in the number of $hmx3a$- or $en1b$-expressing spinal cord cells in these embryos and there was an increase in the number of inhibitory spinal cord interneurons equivalent to the reduction in glutamatergic neurons. This is surprising, as it suggests that if the more severe DKD phenotype is due to non-specific effects of the morpholinos, this non-specific effect produces an identical phenotype to the specific knock-down effect, namely a switch in neurotransmitter phenotype. It is also surprising, given our mutant analyses, that the $hmx2$ morpholino has the same effect as the $hmx3a$ morpholino. The two morpholino sequences are completely different from each other and there is no homology between the $hmx2$ morpholino and $hmx3a$ upstream or coding sequence. When we blast the $hmx2$ morpholino sequence against the zebrafish genome the only homology is with $hmx2$ (25/25 residues) and with intron sequence for a gene $si:dkey-73p2.3$ on chromosome 3 (18/25 residues) which is predicted to encode a protein with GTP-binding. Similarly, when we blast the $hmx3a$ morpholino sequence against the zebrafish genome the only homology is with $hmx3a$ (25/25 residues).

One intriguing alternative possibility that could explain the apparent specificity of the additional phenotype in the morpholino-injected embryos could be that Hmx3a is acting as a fate guarantor, to make the normal neurotransmitter phenotype more robust, as has previously been described for some transcription factors [54-56].

In these cases, mutating the gene usually caused a partially penetrant phenotype in ideal conditions but a more severe phenotype in stressed conditions. In this case, the injection of morpholinos could be such a stressed condition, and this could account for the more severe phenotype. Future analyses could investigate this possibility by testing if other stressed conditions increase the severity of $hmx3a^{SU3}$ single mutant or $hmx2;hmx3a$ double mutant phenotypes.

<u>*hmx3b* has very limited expression during early zebrafish embryogenesis.</u>

The data in this paper also provide the first characterization of zebrafish *hmx3b* expression. Surprisingly, given the expression of all of the other four *hmx* genes during zebrafish embryonic development, the only expression of *hmx3b* that we have been able to detect, is very weak expression in the hindbrain from 36-48 h (Fig. 1 N', S' & X'). When we performed our earlier analyses of vertebrate NK genes, we did not find *hmx3b* in either the Zv7 or Zv8 versions of the zebrafish genome [4]. It did not appear until Zv9. Interestingly, despite *Hmx2* and *Hmx3* being closely linked in all vertebrates examined so far, only one *hmx2* gene has been found in the zebrafish genome and zebrafish *hmx3b* is not located within any of the previously described duplicated NK homeobox clusters, including the teleost duplications: it is located on chromosome 12, separate from any other *nk* genes [4]. However, *hmx3b* is flanked by two genes, *bub3* and *acadsb*, that flank *Hmx2* and *Hmx3* in the human, mouse, chicken and xenopus genomes, suggesting that part of the NK cluster previously identified on chromosome 1 may have translocated to chromosome 12. This is maybe not surprising as zebrafish *hmx2* and *hmx3a* have also translocated from the rest of their NK cluster on chromosome 13 to chromosome 17 and zebrafish *hmx1* and *hmx4* have translocated from the rest of their cluster on chromosome 14 to chromosome 1 [4]. It is possible that this translocation and the loss of surrounding sequences may explain the different expression pattern of *hmx3b* compared to *hmx3a*. For example, in previous analyses we identified three highly conserved non-coding regions in the vicinity of *hmx2* and *hmx3a* (two upstream of *hmx3a* and one in between *hmx3a* and *hmx2*) that are conserved in mammals, frog and teleosts, [4] but none of these regions are present near *hmx3b* (data not shown).

<u>Conclusions</u>

In conclusion, in this paper we provide the first description of zebrafish *hmx3b* expression. Our results also identify the spinal cord cells that express *hmx2* and *hmx3a* (dI2 and V1 interneurons) and uncover a novel function for Hmx3a in correct specification of a subset of spinal cord neurotransmitter phenotypes. Our data suggest that while Hmx3a is required for viability, correct otolith development, lateral line progression and specification of a subset of spinal neurotransmitter phenotypes, Hmx2 is not required for any of these developmental processes. Finally, our results also suggest that Hmx3a does not require its homeodomain for its roles in viability or embryonic development. Taken together, these findings significantly enhance our understanding of spinal cord, ear and lateral line development and suggest that homeobox proteins may not require their homeobox domain for their normal cellular and developmental functions.

Materials and Methods

Ethics statement

All zebrafish experiments in this research were carried out in accordance with the recommendations of, and were approved by either the UK Home Office or the Syracuse University IACUC committee.

Zebrafish husbandry and fish lines

Zebrafish (*Danio rerio*) were maintained on a 14-h light/10-h dark cycle at 28.5°C. Embryos were obtained from natural paired and/or grouped spawnings of wild-type (WT; AB, TL or AB/TL hybrid) fish, heterozygous or homozygous *hmx2*, *hmx3a* or *hmx2/3a* mutants (created as part of this study and reported here, see Fig. 4), *Tg(evx1:EGFP)*[SU1] or *Tg(evx1:EGFP)*[SU2] transgenic fish [46], *Tg(UAS:mRFP)* transgenic fish [64], heterozygous *mindbomb1 (mib1*[ta52b]*)* mutants [24] or heterozygous or homozygous *hmx3a*[sa23054] mutants [47].

CRISPR mutagenesis and screening

The generation of the *hmx3a*[SU3] allele was previously described [11]. With the exception of *hmx3a*[sa23054] (which was generated as part of the Zebrafish Mutation Project and obtained from ZIRC), we generated all of the other *hmx2, hmx3a* and *hmx2/3a* mutants described in this paper using CRISPR mutagenesis. Single guide RNA (sgRNA) design and synthesis, plus *Cas9* mRNA synthesis was performed as described in [11]. See Table 8 for sgRNA sequences used. *hmx2*[SU35]*, hmx2*[SU36]*, hmx2*[SU37] and *hmx3a*[SU42] alleles were all generated with a single sgRNA. *hmx2*[SU38]*, hmx2*[SU39]*, hmx2;hmx3a*[SU44] and *hmx2;hmx3a*[SU45] alleles are all deletions, generated by the combinatorial use of two sgRNAs. For *hmx2*[SU38]*,* we designed sgRNAs that flanked the homeodomain. For *hmx2*[SU39] we used the same 3' sgRNA and a 5' sgRNA that was more 5' in the *hmx2* coding sequence. In the case of *hmx2;hmx3a,* which are adjacent on chromosome 17, we designed sgRNAs that flanked the two genes. To create these mutants, we injected 2-4 nl of a mixture of 200 ng/µl of each sgRNA + 600 ng/µl *nls-ZCas9-nls* mRNA into the single-cell of a one-cell stage AB WT embryo. *hmx3a*[SU43] is a *hsp70:Gal4* knock-in allele. We co-injected a donor template containing *Gal4*, under the control of a minimal *hsp70* promoter, (Mbait-hsp70:Gal4, a kind gift of Dr Shin-ichi Higashijima, [65]) with two sgRNA molecules: one specifically targeting *hmx3a* (see Table 8), and one, (*Mbait* sgRNA (GGCTGCTGCGGTTCCAGAGGTGG)), specifically linearizing the donor template *in vivo*, in to the single-cell of one-cell stage embryos derived from an incross of heterozygous *Tg(UAS:mRFP)* fish. For these experiments, the embryos were injected with 2-4 nl of a mixture of 130 ng/µl of each sgRNA + 180 ng/µl *nlz-ZCas9-nls* mRNA + 66 ng/µl *pMbait-hsp70:Gal4* donor DNA. The success of the knock-in was assessed by screening the injected embryos for RFP fluorescence in patterns consistent with *hmx3a* expression (i.e. in the ear, lateral line primordium and/or spinal cord) from 1 day post fertilization (dpf) onwards. We raised injected embryos displaying appropriate expression patterns to adulthood and assessed for germline transmission of the knock-in allele by outcrossing to heterozygous or homozygous *Tg(UAS:mRFP)* fish. Gal4

expression in *hmx3a^SU43* recapitulates *hmx3a* expression in the spinal cord, but not in the ear or lateral line primordium (data not shown).

We identified founder fish for **hmx2^SU35, hmx2^SU36, hmx2^SU37,** and **hmx3a^SU42** alleles using high resolution melt analysis (HRMA), and the supermix and amplification programs described in [11]. See Table 8 for specific HRMA primer sequences. For the PCRs described below, we used Phusion High-Fidelity DNA Polymerase (M0530L, NEB) unless otherwise stated. All of the PCR primers used for each reaction are included in Table 8. We identified **hmx2^SU38** founder fish by PCR, using the following conditions: $98.0^{\circ}C$ for 30 seconds, 35 cycles of: $98.0^{\circ}C$ for 10 seconds, $67.0^{\circ}C$ for 30 seconds, $72.0^{\circ}C$ for 40 seconds, followed by a final extension at $72.0^{\circ}C$ for 5 minutes. The deletion in the mutant allele was distinguished by gel electrophoresis on a 1% TAE agarose gel (110V for 30 minutes). The WT allele generated a 1098 bp product, compared with a 671 bp mutant allele product. We identified **hmx2^SU39** founder fish by nested PCR, using the following conditions: Nested PCR 1: $98.0^{\circ}C$ for 30 seconds, 35 cycles of: $98.0^{\circ}C$ for 10 seconds, $69.0^{\circ}C$ for 20 seconds, $72.0^{\circ}C$ for 75 seconds, followed by a final extension at $72.0^{\circ}C$ for 5 minutes. The deletion in the mutant allele was distinguished by gel electrophoresis on a 1% TAE agarose gel (110V for 30 minutes). The WT allele generated a 2012 bp product (which may be detected on the gel), compared with a 576 bp mutant allele product. We then diluted the nested 1 PCR product 1:5 in sterile distilled water and performed the Nested PCR2 reaction using the following conditions: $98.0^{\circ}C$ for 30 seconds, 35 cycles of: $98.0^{\circ}C$ for 10 seconds, $66.0^{\circ}C$ for 20 seconds, $72.0^{\circ}C$ for 60 seconds, followed by a final extension at $72.0^{\circ}C$ for 5 minutes. The deletion in the mutant allele was distinguished by gel electrophoresis on a 1% TAE agarose gel (110V for 30 minutes). The WT allele generated a 1758 bp product compared with a 322 bp mutant allele product. We identified **hmx3a^SU43** founder fish either by screening for germline transmission (as described above) or using PCR with the following conditions: $98.0^{\circ}C$ for 30 seconds, 35 cycles of: $98.0^{\circ}C$ for 10 seconds, $69.0^{\circ}C$ for 20 seconds, $72.0^{\circ}C$ for 60 seconds, followed by a final extension at $72.0^{\circ}C$ for 5 minutes. The deletion in the mutant allele was distinguished by gel electrophoresis on a 1% TAE agarose gel (110V for 30 minutes). A 1471 bp PCR product was only generated by fish heterozygous for the allele. It was not produced from WT animals since the reverse primer recognizes the inserted donor DNA sequence. We identified **hmx2;hmx3a^SU44** and **hmx2;hmx3a^SU45** founder fish by nested PCR, using the following conditions: Nested PCR 1: $98.0^{\circ}C$ for 30 seconds, 35 cycles of: $98.0^{\circ}C$ for 10 seconds, $67.0^{\circ}C$ for 20 seconds, $72.0^{\circ}C$ for 30 seconds, followed by a final extension at $72.0^{\circ}C$ for 5 minutes. The deletion in the mutant allele was distinguished by gel electrophoresis on a 1% TAE agarose gel (110V for 30 minutes). The WT product was too large to be generated by these PCR conditions, so only heterozygous animals are detected by the presence of a 514 bp product on the gel. We then diluted the nested 1 PCR 1:5 in sterile distilled water and performed the Nested PCR2 reaction using the following conditions: $98.0^{\circ}C$ for 30 seconds, 35 cycles of: $98.0^{\circ}C$ for 10 seconds, $66.0^{\circ}C$ for 20 seconds, $72.0^{\circ}C$ for 30 seconds, followed by a final extension at $72.0^{\circ}C$ for 5 minutes. The deletion in the mutant allele was distinguished by gel electrophoresis on a 1% TAE agarose gel (110V for 30 minutes). Again, the WT product was too large to

be generated by these PCR conditions, so only heterozygous animals were detected by the presence of a 445 bp product on the gel.

Once stable lines were established, we identified **hmx2^{SU35}** fish by PCR, followed by sequencing (the mutation introduces a 1 bp insertion that cannot be resolved by restriction digestion, and we cannot distinguish heterozygotes from homozygotes using HRMA). We performed this PCR using Taq DNA Polymerase (M0320S, NEB) and the following conditions: 95.0ºC for 30 seconds, 35 cycles of: 95.0ºC for 20 seconds, 52.0ºC for 30 seconds, 68.0ºC for 45 seconds, followed by a final extension at 68.0ºC for 5 minutes. We identified **hmx2^{SU36}** stable mutants by HRMA using the conditions described above. Homozygous mutants segregate from heterozygous animals by the scale of their deflection in the HRMA plot. **hmx2^{SU37}** mutants were identified by performing the PCR used to sequence $hmx2^{SU35}$ stable mutants (see above). When we analyzed the products on a 1% TAE gel (110V for 30 minutes), the WT allele generated a 580 bp product, compared with a 528 bp mutant allele product. We identified **hmx2^{SU38}** stable mutants using the same PCR conditions initially used to identify founders (see above). We used the same nested PCR conditions to identify **hmx2^{SU39}** mutants. However, the WT product was not always visible on the gel. Therefore, we also performed a WT amplicon PCR to detect a genomic region that only persists in WT and heterozygous animals. The WT amplicon PCR was identical to that described above for identifying stable $hmx2^{SU35}$ fish. WT animals generated a WT amplicon PCR product, heterozygous animals generated both a WT amplicon PCR and Nested 2 PCR amplicon and homozygous animals generated the Nested 2 PCR amplicon but not the WT amplicon PCR product. We identified stable **hmx3a^{SU42}** mutants by PCR, using Taq DNA Polymerase (M0320S, NEB) and the following conditions: 94.0ºC for 2 minutes, 35 cycles of: 94.0ºC for 30 seconds, 64.9ºC for 30 seconds, 72.0ºC for 30 seconds, followed by a final extension at 72.0ºC for 2 minutes. Whilst the mutant PCR product (321 bp) could sometimes be distinguished from the WT product (331 bp) by running on a 2% TBE gel (100V for 55 minutes), the mutation also deletes a BanI restriction site. Following digestion with BanI (R0118S, NEB), the products were run on a 2% TBE gel (100V for 40 minutes). The WT amplicon digested to completion, producing 120 bp + 211 bp bands, whereas the mutant product did not cut. We identified stable **hmx3a^{SU3}** mutants by running the same PCR used to identify $hmx3a^{SU42}$ mutants. The insertion in $hmx3a^{SU3}$ was easily visualized on a 2% TBE gel. The WT product was 331 bp, compared to a mutant product of 400 bp. Since the PCR used to detect **hmx3a^{SU43}** mutants was specific to the inserted donor DNA, and the WT amplicon in $hmx2;hmx3a^{SU44}$ and $hmx2;hmx3a^{SU45}$ mutants was too large to detect by standard PCR, we also performed a WT amplicon PCR to distinguish WTs from hets. For these alleles, the WT amplicon PCR was identical to that performed prior to BanI digestion on $hmx3a^{SU42}$ mutants (see above). For $hmx3a^{SU43}$, the WT amplicon PCR results were compared to the PCR results (identical PCR to that first used to identify founders, see above), and for **hmx2;hmx3a^{SU44}** and **hmx2;hmx3a^{SU45}**, the WT amplicon PCR results were compared to the Nested 2 PCR results (identical Nested 2 PCR to that first used to identify founders – see above). In all cases, WT animals generated a WT amplicon PCR product, heterozygous animals generated both a WT amplicon and a

Nested PCR 2 amplicon product and homozygous animals generated the PCR / Nested 2 PCR amplicon but not the WT amplicon PCR product.

In all cases, stable F1 heterozygous fish were confirmed by sequencing. See Fig. 4 for details of how individual mutant alleles differ from one another. To further confirm the mutant sequences of $hmx2^{SU39}$ and $hmx3a^{SU42}$, total RNA was extracted using TRIzol Reagent (15596018, ThermoFisher Scientific) and the RNEasy Mini Kit (74104, Qiagen) from embryos produced by incrosses of homozygous viable adults. Total RNA was converted to cDNA using the iScript cDNA synthesis kit (1708891, Bio-Rad). We performed Transcript-specific PCRs using the following primers and conditions: *hmx2*-FW: TGAACTGTTATGAGACGAGAATGAA and *hmx2*-RV: GTGTATTTTGTACGTCTTAGTGTGTGT (PCR: 98.0ºC for 30 seconds; 35 cycles of: 98.0ºC for 10 seconds, 64.2ºC for 20 seconds; 72.0ºC for 30 seconds, followed by final extension at 72.0ºC for 5 minutes) or *hmx3a*-FW: AACCGCGTTTAAGTTCCCATTG and *hmx3a*-RV: GTGCGAGTAGTAAACCGGATGAG (PCR: 98.0ºC for 30 seconds; 35 cycles of: 98.0ºC for 10 seconds, 71.0ºC for 20 seconds; 72.0ºC for 30 seconds, followed by final extension at 72.0ºC for 5 minutes). We then confirmed these homozygous mutant transcript sequences by sequencing.

Morpholino injections

For single knockdown (SKD) experiments, 3.5 nl of a mixture containing either 2 ng/nl of a translation-blocking *hmx2* morpholino (5' TTCCGCTGTCCTCCGAATTATTCAT) or 2 ng/nl of a translation-blocking *hmx3a* morpholino (5' ACGTATCCTGTGTTGTTTCGGGCAT) plus 5 ng/nl of a control zebrafish p53 morpholino (5' GCGCCATTGCTTTGCAAGAATTG) was injected in to the single-cell of a one-cell stage WT embryo (all morpholinos were purchased from Gene Tools). For double knockdown (DKD) experiments, 3.5 nl of a mixture containing 2 ng/nl of both *hmx* morpholinos plus 5 ng/nl of the control zebrafish p53 morpholino was injected. DKD but not SKD embryos exhibit delayed development from somitogenesis stages onwards when compared to uninjected controls. To circumvent this, DKD embryos were incubated at 32ºC from 9 hours post fertilization (h) onwards. This ensured that control and injected embryos reached the desired developmental stage of 27 h at approximately the same time. The lateral line primordium does not migrate in DKD animals, so this cannot be used to stage the injected embryos. Instead, these embryos were visually inspected and fixed when they displayed the same head-trunk angle, head size and eye size as prim-staged uninjected control embryos [66]. Migration of the lateral line primordium is unaffected in SKD embryos and so these were prim-staged prior to fixing for experiments [66]. Morpholino injections always produce a spectrum of phenotypes, since it is hard to ensure that every cell receives the same dose. Therefore, prior to fixing at 27 h, we removed any embryos with severely abnormal morphology (stunted length and/or severely developmentally delayed - likely caused by receiving too much morpholino). Embryos injected with *hmx2/3a* morpholinos (SKD and DKD) display a slight curled-tail-down morphology. Embryos that lacked this morphology (and may therefore not have received any or sufficient morpholino) were also removed before fixing.

For the mRNA rescue experiments, we co-injected both morpholinos (at the same volume and dose described above), together with a total dose of up to 500 pg of morpholino resistant (MOR) *hmx3a* mRNA. This mRNA had 7 nucleotides altered in the *hmx3* morpholino recognition sequence. Each change was in the third nucleotide of a codon. This codon wobble was used so that the same amino acid was encoded in each case, but the mRNA would not be recognized by the morpholino. The protein encoded by the injected RNA is therefore the same as endogenous Hmx3a.

WT *hmx3a*: ATG (Met) CCC (Pro) GAA (Glu) ACA (Thr) ACA (Thr) CAG (Gln) GAT (Asp) ACG (Thr)
MOR-hmx3a: ATG (Met) CCG (Pro) GAG (Glu) ACT (Thr) ACT (Thr) CAA (Gln) GAC (Asp) ACC (Thr)

To assess whether genetic compensation might be occurring in either *hmx2^{SU39}* or *hmx3a^{SU42}* mutants, which lack obvious phenotypes (see Fig. 4), or *hmx3a^{SU3}* mutants, which have milder spinal cord phenotypes than *hmx2/3a* DKD embryos (compare Figs 3 and 5), we injected the same dose of either *hmx2 + p53* MOs (*hmx2^{SU39}*) or *hmx3a + p53* MOs (*hmx3a^{SU42}*, *hmx3a^{SU3}*) as described above, in to the single-cell of one-cell stage embryos generated from incrosses of heterozygous *hmx2^{SU39}*, *hmx3a^{SU42}* or *hmx3a^{SU3}* parents. If genetic compensation is occurring, the upregulated compensating gene(s) will not be knocked-down by the *hmx* morpholino and the phenotype of homozygous mutants should be unchanged. In contrast, WT and heterozygous animals, which contain at least one WT copy of the *hmx* gene under investigation, will be susceptible to the *hmx* morpholino and should exhibit stronger, morphant-like phenotypes. For these experiments, while we removed any embryos with severely abnormal morphology, we did not remove embryos that lacked the curled-tail-down morphology, incase these were morpholino-resistant mutant embryos. After fixing, we performed an *in situ* hybridization for the glutamatergic marker, *slc17a6a/b*. We visually inspected the embryos on a dissecting microscope and categorized as to whether they displayed the stronger, morphant-like phenotype (large reduction in the number of *slc17a6a/b*-expressing cells) or a more subtle phenotype (WT-like in the case of *hmx2^{SU39}* and *hmx3a^{SU42}* or a smaller reduction in the number of *slc17a6a/b*-expressing cells in the case of *hmx3a^{SU3}* embryos). Embryos within each class were then genotyped as described in the CRISPR mutagenesis section above.

Genotyping

We isolated DNA for genotyping from both anesthetized adult fish and fixed embryos via fin biopsy or head dissections, respectively. We genotyped the *hmx* CRISPR mutants as described in the CRISPR mutagenesis section above. For *mib1^{ta52b}* and *hmx3a^{sa23054}* mutants, we used KASP assays designed by LGC Genomics LLC. KASP assays use allele-specific PCR primers, which differentially bind fluorescent dyes that we quantified with a BioRad CFX96 real-time PCR machine to distinguish genotypes. The proprietary primers used were: *mib_ta52b* and *hmx3a_sa23054*. Heads of fixed embryos were dissected in 70% glycerol/30% distilled water with insect pins. Embryo trunks were stored in 70% glycerol/30% distilled water at 4°C for later analysis. DNA was extracted via the HotSHOT method [67] using 10 μl of 50 mM NaOH and 1 μl of 1M Tris-HCl (pH-7.4).

<u>*in situ* hybridization and immunohistochemistry</u>

We fixed embryos in 4% paraformaldehyde and performed single and double *in situ* hybridizations and immunohistochemistry plus *in situ* hybridization double labeling experiments as previously described [28, 68]. Sources of *in situ* hybridization probes are provided in Table 1. To amplify *in situ* hybridization probe templates for *hmx1* and *hmx3b*, we created zebrafish cDNA from 27 h WT zebrafish embryos. We extracted total RNA by homogenizing 50–100 mg of embryos in 1 mL of TRIzol reagent (Ambion, 15596-026). We confirmed RNA integrity (2:1 ratio of 28S:18S rRNA bands) and quality (A260/A280 ratio of ~2.0) using agarose gel electrophoresis and spectrophotometry respectively. We synthesized cDNA using Bio-Rad iScript Reverse Transcription Supermix kit (Bio-Rad, 170-8891). We amplified *hmx1* sequence from the cDNA using Phusion High-Fidelity DNA Polymerase (M0530L, NEB), primers *hmx1*-FW: CTGGTATATTTGCTCAAGACATGC and *hmx1*-RV: GCTTCTGCTGAACACAGTTCG and PCR conditions: 98.0ºC for 10 seconds, 30 cycles of: 98.0ºC for 6 seconds; 57.0ºC for 30 seconds and 72.0ºC for 30 seconds, followed by a final extension for 45 seconds at 72.0ºC. The PCR product was assessed on a 1% TAE gel, before purifying with QIAquick PCR Purification Kit (28104, Qiagen). We used Taq DNA Polymerase (M0320S, NEB) to add 3'A overhangs prior to TOPO TA-cloning (K4600-01, Invitrogen). We then performed colony PCR using the same PCR primers and conditions used to amplify the *hmx1* sequence from cDNA. We extracted plasmid DNA from positive colonies using QIAprep Spin Miniprep Kit (27104, Qiagen) and then verified the sequence using standard SP6 and T7 primer sequences. To make the anti-sense RNA riboprobe, we linearized DNA with HindIII-HF (R3104S, NEB) and transcribed with T7 RNA Polymerase (10881767001, Roche). We used a PCR-based DNA template to make the *hmx3b* ISH probe. The reverse primer contains the T3 RNA Polymerase minimal promoter sequence (underlined). We used primers *hmx3b*-FW: GTGTGCCCGTCATCTACCAC and *hmx3b*-RV: <u>AATTAACCCTCACTAAAGGGA</u>TGAAGATGATGAAGATGCGCAAC, 27 h WT cDNA, Phusion High-Fidelity DNA Polymerase (M0530L, NEB) and PCR conditions: 94.0ºC for 3 minutes, 35 cycles of: 94.0ºC for 30 seconds, 56.5ºC for 30 seconds and 72.0ºC for 1.5 minutes, followed by a final extension step of 72.0ºC for 10 minutes. We purified the template through phenol:chloroform extraction and precipitation with 0.2 M NaCl and ice-cold ethanol prior to *in situ* probe synthesis using 1 µg purified PCR product, T3 RNA Polymerase (11031171001, Roche) and DIG RNA Labeling Mix (11277073910, Roche).

Embryos older than 24 h were usually incubated in 0.003% 1- phenyl-2-thiourea (PTU) to prevent pigment formation. For some experiments we added 5% of dextran sulfate to the hybridization buffer (* in Table 2). Dextran sulfate can increase specific staining in *in situ* hybridization experiments as it facilitates molecular crowding [69, 70].

In cases where we did not detect expression of a particular gene in the spinal cord, we checked for low levels of expression by exposing embryos to prolonged staining. In some cases, this produced higher background (diffuse, non-specific staining), especially in the hindbrain, where ventricles can sometimes trap anti-sense riboprobes.

To determine neurotransmitter phenotypes we used probes for genes that encode proteins that transport or synthesize specific neurotransmitters as these are some of the most specific molecular markers of these cell fates [58 and references therein, 71]. A mixture of probes to *slc17a6a* and *slc17a6b* (previously called *vglut*), which encode glutamate transporters, was used to label glutamatergic neurons [58, 71]. GABAergic neurons were labeled using probes to *gad1b* (probes previously called *gad67a* and *gad67b*) [58, 71]. The *gad1b* gene encodes for a glutamic acid decarboxylase, which is necessary for the synthesis of GABA from glutamate. A mixture of probes (*glyt2a* and *glyt2b*) for *slc6a5* (previously called *glyt2*) was used to label glycinergic cells [58, 71]. *slc6a5* encodes for a glycine transporter necessary for glycine reuptake and transport across the plasma membrane.

The antibodies that we used for fluorescent *in situ* hybridization were mouse anti-Dig (200-002-156, Jackson ImmunoResearch, 1:5000) and rabbit anti-Flu (A889, Invitrogen, 1:2500). These were detected using secondary antibodies goat anti-rabbit-HRP (G-21234, ThermoFisher Scientific, 1:750) and goat anti-mouse-HRP (G-21040, ThermoFisher Scientific, 1:750) and Tyramide SuperBoost Kits B40922 and B40915 (ThermoFisher Scientific).

For fluorescent *in situ* hybridization + immunohistochemistry, after detection of the *in situ* hybridization reaction using Tyramide SuperBoost Kit B40915, with HRP, Goat anti-mouse IgG and Alexa Fluor 594 Tyramide), embryos were washed 8 × 15min in PBST (Phosphate-buffered saline (PBS) with 0.1% Tween-20) and incubated in Image-iT FX Signal Enhancer (ThermoFisher Scientific, I36933) for 30min at room temperature. Immunohistochemistry was performed using chicken polyclonal anti-GFP primary antibody (Ab13970, Abcam, 1:500) and a Goat anti-chicken IgY (H+L), Alexa Fluor 488 secondary antibody (A-11039, ThermoFisher Scientific, 1:1000).

Screening lateral line and otolith phenotypes

We examined whether any of the *hmx* mutants generated in this study had lateral line and/or fused otolith phenotypes, as reported for *hmx2;hmx3a* double-knockdown embryos [16]. To assay live lateral line phenotypes, we anaesthetized embryos from incrosses of heterozygous mutant fish in 0.016% tricaine (A5040, Sigma Aldrich) in embryo medium and mounted them on coverslip bridges (2 x 22 mm square glass coverslips (16004-094, VWR) glued together on either side of a 24 x 60 mm glass cover slip (12460S, ThermoFisher Scientific), overlaid with a third 22 mm square glass coverslip). Using a Zeiss Axio Imager M1 compound microscope, we located the tip of the lateral line primordium and counted the somite number adjacent to this position. We also used this method routinely to determine the developmental stage of embryos prior to fixing for *in situ* hybridization. To assay lateral

line phenotypes in fixed embryos, we performed *in situ* hybridizations using anti-sense riboprobes for *hmx3a* or *krt15* (both of which label the migrating primordium and neuromasts) and then determined the lateral line position as in live embryos. To examine live otolith phenotypes, embryos were raised until 3 dpf, before anaesthetizing (as for assessing live lateral line phenotypes) and examining the spatial location of otoliths in both ears. WT embryos have two otoliths in each ear: one smaller, anterior (utricular) otolith, and one larger, posterior (saccular) otolith. These are separate from each other and spatially distinct. We classified otoliths as fused if only one large, amalgamated otolith was visible in a mid-ventral position within the otic vesicle (see Fig. 5E).

Imaging

Embryos were mounted in 70% glycerol/30% distilled water and Differential Interference Contrast (DIC) pictures were taken using an AxioCam MRc5 camera mounted on a Zeiss Axio Imager M1 compound microscope. Fluorescent images were taken on a Zeiss LSM 710 confocal microscope. Images were processed using Adobe Photoshop software (Adobe, Inc) and Image J software (Abramoff et al., 2004). In some cases, different focal planes were merged to show labeled cells at different medial lateral positions in the spinal cord. All images were processed for brightness-contrast and color balance using Adobe Photoshop software (Adobe, Inc.). Images of control and mutant embryos from the same experiment were processed identically. Figures were assembled using Adobe Photoshop and Adobe Illustrator (Adobe, Inc.).

Cell counts and statistics

In all cases, except where noted to the contrary, cell counts are for both sides of a five-somite length of spinal cord adjacent to somites 6-10. Embryos were mounted laterally with the somite boundaries on each side of the embryo exactly aligned and the apex of the somite over the middle of the notochord. This ensures that the spinal cord is straight along its dorsal-ventral axis and that cells in the same dorsal/ventral position on opposite sides of the spinal cord will be directly above and below each other. Embryos from single and double mutant crosses were counted blind to genotype. Labeled cells in embryos analyzed by DIC were counted while examining embryos on a Zeiss Axio Imager M1 compound microscope. We identified somites 6–10 in each embryo and counted the number of labeled cells in that stretch of the spinal cord. We adjusted the focal plane as we examined the embryo to count cells at all medial/lateral positions [both sides of the spinal cord; also see 28, 32, 46, 72, 73].

In some cases, cell count data were pooled from different experiments. Prior to pooling, all pairwise combinations of data sets were tested to determine if there were any statistically significant differences between them as described below. Data were only pooled if none of the pairwise comparisons were statistically significantly different from each other. In addition, as *in situ* hybridization staining can vary slightly between experiments we only compared different mutant results when the counts from their corresponding WT sibling embryos were not statistically significantly different from each other.

To determine whether differences in values are statistically significant, data were first analyzed for normality using the Shapiro–Wilk test. Data sets with non-normal distributions were subsequently analyzed using the Wilcoxon (Mann–Whitney) test. For data sets with normal distributions, the F-test for equal variances was performed, prior to conducting either a type 2 (for equal variances) or type 3 (for non-equal variances) student's *t*-test. P values generated by Wilcoxon, type 2 student's *t*-test and type 3 student's *t*-test are indicated by $^\wedge$, $^+$ and § respectively. *n*-values for each experiment are provided in the figure legends. To assess whether mutant phenotypes occurred at Mendelian frequencies, we performed Chi-squared tests. To test whether a small number of embryos with abnormal phenotypes was statistically significantly different from zero we performed a binomial distribution test, using the cumulative distribution function, the number of embryos without mutant phenotypes, the total number of embryos examined (n) and a probability argument of n-1/n. P values >0.05 support the null hypothesis that the number of embryos with abnormal phenotypes is not statistically significantly different from zero.

Microarray expression profiling experiments

These experiments are described in detail in [30]. P values were corrected for multiple testing [74-76]

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Author Contributions

SJE created all the *hmx* mutants described in this study except *hmx3a*^{sa23054}, performed most of the morpholino and mutant experiments, all of the statistical analyses and prepared the figures and some of the tables; GAC performed most of the double-labeling experiments and some of the morpholino analyses; AK, TS and GG performed some of the genotyping and *in situ* hybridization experiments and AK also performed some of the double-labeling experiments; KEL conceptualized and directed the study, acquired the financial support for the project, contributed to data analysis, made some of the tables and wrote the paper. All authors read and commented on drafts of the paper and approved the final version.

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Tables and Table Legends

Table 1.

Gene name	Previous names	ZFIN ID	Reference For Probe
hmx1	*nkx5.3,nkx5-3, im:7155045, zgc:172164*	ZDB-GENE-080204-54	This publication – see materials and methods.
hmx2	*nkx5.2*	ZDB-GENE-080506-2	[16]
hmx3a	*hmx3, Nkx5-1, nkx5.1, zgc:109845*	ZDB-GENE-001020-1	[16]
hmx3b	*si:zfos-911d5.2*	ZDB-GENE-130603-102	This publication – see materials and methods.
hmx4	*hmx3b, zfSOHo, wu:fc21b03, zgc:154104*	ZDB-GENE-060825-142	[16]
slc32a1	*viaat, zgc:158324*	ZDB-GENE-061201-1	[57]
en1b	*eng1b,eng4, engrailed 4, wu:fc84e11, zgc:113499*	ZDB-GENE-980526-6	Gift of Drs. Kikuci & Westerfield, University of Oregon.
slc17a6a	*slc17a6l, VGLUT2.2, vglut2b, wu:fj12c01*	ZDB-GENE-050105-4	[58-59]
slc17a6b	*slc17a6, blu, blumenkohl, si:packt73.2; si:packt90.2, si:xx-packt73.2, VGLUT2.1, vglut2a*	ZDB-GENE-030616-554	[58-59]
lhx1a	*lim1, lim1a, wu:fe25d04, wu:fj36b06*	ZDB-GENE-980526-347	[60]
evx1	*cb416*	ZDB-GENE-980526-364	[61]
evx2	None	ZDB-GENE-980526-215	[62]
slc6a5	*glyt2, si:dkey-7n10.12*	ZDB-GENE-050105-2	[58-59]
gad1b	*gad1, GAD67, gad67*	ZDB-GENE-030909-3	[58-59]
krt15	*wu:fa91c06, wu:fa95d04, zgc:55334*	ZDB-GENE-040426-2931	[63]

Table 1. Gene names, previous names, ZFIN identifiers and references for *in situ* hybridization probes used. Column 1 lists genes used in this study. Previous names, where known, are provided in column 2. Column 3 contains the unique ZFIN identification number for each gene. Column 4 provides the reference for the *in situ* hybridization RNA riboprobe used in our experiments.

Table 2

Fig.	Comparison	Gene	Difference between two means	P Value
3C	Uninjected control (73.1 ± 0.9) vs *hmx2;hmx3a* DKD (71.8 ± 1.3)	*hmx3a*	1↓	0.328^
3F	Uninjected control (24.2 ± 0.7) vs *hmx2;hmx3a* DKD (23.1 ± 0.5)	*en1b*	1↓	0.244+
3I	Uninjected control (105.4 ± 1.5) vs *hmx2* SKD (86.8 ± 1.6)	*slc17a6a/b*	19↓	**<0.001+**
3I	Uninjected control (105.4 ± 1.5) vs *hmx3a* SKD (82.8 ± 1.8)	*slc17a6a/b*	23↓	**<0.001+**
3I	Uninjected control (105.4 ± 1.5) vs *hmx2;hmx3a* DKD (70.3 ± 1.3)	*slc17a6a/b*	35↓	**<0.001+**
3I	*hmx2* SKD (86.8 ± 1.6) vs *hmx2;hmx3a* DKD (70.3 ± 1.3)	*slc17a6a/b*	17↓	**<0.001+**
3I	*hmx3a* SKD (82.8 ± 1.8) vs *hmx2;hmx3a* DKD (70.3 ± 1.3)	*slc17a6a/b*	13↓	**<0.001+**
3I	*hmx2* SKD (86.8 ± 1.6) vs *hmx3a* SKD (82.8 ± 1.8)	*slc17a6a/b*	4↓	0.138+
3L	Uninjected control (138.6 ± 2.2) vs *hmx2;hmx3a* DKD (167.5 ± 2.9)	*slc32a1*	29↑	**<0.001+**
3O	Uninjected control (105.4 ± 1.5) vs *hmx2;hmx3a* DKD + *MOR-hmx3a* mRNA (89.2 ± 2.0)	*slc17a6a/b*	16↓	**<0.001+**
3O	*hmx2;hmx3a* DKD (70.3 ± 1.3) vs *hmx2;hmx3a* DKD + *MOR-hmx3a* mRNA (89.2 ± 2.0)	*slc17a6a/b*	19↑	**<0.001+**
NS	WT (105.5 ± 2.2) vs *hmx2^SU37* (103.3 ± 0.7)	*slc17a6a/b*	2↓	0.582^
NS	WT (102.8 ± 0.9) vs *hmx2^SU38* (103.6 ± 1.8)	*slc17a6a/b*	1↓	0.700+
5S	WT (88.3 ± 3.9) vs *hmx2^SU39* (86.8 ± 4.9)	*slc17a6a/b*	2↓	0.821+
5T	WT (106.0 ± 1.2) vs *hmx3a^SU42* (112.0 ± 5.9)	*slc17a6a/b*	6↑	0.389§
5U	WT (102.2 ± 1.1) vs *hmx3a^sa23054* (101.0 ± 1.7)	*slc17a6a/b*	1↓	0.567+
NS	WT (97.0 ± 1.2) vs *hmx3a^SU43* (85.2 ± 1.2)	*slc17a6a/b*	12↓	**0.019^**
5V	WT (99.8 ± 1.9) vs *hmx3a^SU3* (86.4 ± 0.8)	*slc17a6a/b*	13↓	**<0.001+**
5W	WT (102.2 ± 1.9) vs *hmx2;hmx3a^SU44* (89.0 ± 1.9)	*slc17a6a/b*	13↓	**0.001+**
NS	WT (103.0 ± 2.5) vs *hmx2;hmx3a^SU45* (87.4 ± 3.1)	*slc17a6a/b*	16↓	**0.006^**
5V&W	*hmx3a^SU3* (86.4 ± 0.8) vs *hmx2;hmx3a^SU44* (89.0 ± 1.9)	*slc17a6a/b*	3↑	0.243+
NS	*hmx3a^SU3* (86.4 ± 0.8) vs *hmx2;hmx3a^SU45* (87.4 ± 3.1)	*slc17a6a/b*	1↑	1.000^
NS	*hmx3a^SU43* (85.2 ± 1.2) vs *hmx2;hmx3a^SU44* (89.0 ± 1.9)	*slc17a6a/b*	4↑	0.126+
NS	*hmx3a^SU43* (85.2 ± 1.2) vs *hmx2;hmx3a^SU45* (87.4 ± 3.1)	*slc17a6a/b*	2↑	1.000^
6K	WT (69.6 ± 0.7) vs *hmx3a^SU3* (70.2 ± 0.9)	*hmx3a*	1↑	0.613+
6L	WT (47.6 ± 2.0) vs *hmx3a^SU3* (45.0 ± 1.7)	*en1b*	3↓	0.356+
6M	WT (154.4 ± 2.9) vs *hmx3a^SU3* (167.8 ± 2.3)	*slc32a1*	13↑	**0.004^**
6N	WT (142.0 ± 1.3) vs *hmx2;hmx3a^SU44* (153.0 ± 3.4)	*slc32a1*	11↑	**0.030+**
NS	WT (158.0 ± 1.3) vs *hmx3a^SU43* (167.6 ± 2.7)	*slc32a1*	10↑	**0.012+**
6O	WT (111.8 ± 3.9) vs *hmx2;hmx3a^SU44* (118.3 ± 3.0)	*slc6a5*	7↑	0.234+
6Z	WT (79.0 ± 2.1) vs *hmx3a^SU3* (90.4 ± 2.4)	*gad1b*	11↑	**0.022^**
6AA	WT (77.5± 2.5) vs *hmx2;hmx3a^SU44* (87.4 ± 2.3)	*gad1b**	10↑	**0.014+**
6AA& Z	*hmx3a^SU3* (90.4 ± 2.4) vs *hmx2;hmx3a^SU44* (87.4 ± 2.3)	*gad1b**	3↓	0.382+
6AB	WT (150.4 ± 3.4) vs *hmx3a^SU3* (173.9 ± 5.2)	*gad1b* (48h)*	24↑	**<0.001+**
6AC	WT (143.5 ± 6.7) vs *hmx2;hmx3a^SU44* (170.2 ± 8.5)	*gad1b* (48h)*	27↑	**0.024+**
NS	WT (134.9 ± 2.1) vs *hmx2^SU39* (133.4 ± 2.9)	*gad1b* (48h)*	2↓	0.685+
6AB&AC	*hmx3a^SU3* (173.9 ± 5.2) vs *hmx2;hmx3a^SU44* (170.2 ± 8.5)	*gad1b* (48h)*	4↓	0.704+

Table 2. Statistical comparisons of numbers of cells expressing particular genes.

Statistical comparisons between un-injected control or WT embryos and knockdown or mutant embryos. First column indicates the figure panel that contains the relevant bar chart for the comparison. NS = not shown in a figure. Second column states the genotypes being compared. Single and double mutant embryos have to be obtained from different parents because *hmx2* and *hmx3a* are adjacent to each other on chromosome 17. Therefore, these were always analyzed as separate experiments and single mutants were only compared to double mutants when there was no statistically significant difference between the WT cell counts in the two experiments. Numbers within parentheses indicate mean numbers of cells ± S.E.M. In all cases, numbers are an average of at least 4 embryos and cells were counted in all dorsal-ventral spinal cord rows. All of the experiments were conducted on 27 h embryos except those indicated with (48h) next to the gene name, which used 48 h embryos. Column three lists the gene that the cell counts, and statistical comparison refer to. Asterisks indicate experiments performed with dextran sulfate (see materials and methods). The fourth column indicates the difference between the two mean values for the embryos being compared. All values are rounded to the nearest whole number. ↑ = increase, ↓ = decrease. Last column shows the P value for the comparison, rounded to three decimal places. Statistically significant (P<0.05) values are indicated in bold. Statistical test used is indicated by superscript symbol: Wilcoxon test (Mann-Whitney, ^), type 2 Student's t-test ($^+$) or type 3 Student's t-test (§). For a discussion of why particular tests were used, see materials and methods.

Table 3.

Mutant allele	P value for Otolith fusion phenotype	P value for survival
SU35	N/A	0.234
SU36	N/A	0.250
SU37	N/A	0.258
SU38	N/A	0.214
SU39	N/A	0.289
sa23054	<0.001	0.428
SU42	N/A	0.388
SU3	0.850	N/A
SU43	0.391	N/A
SU44	0.752	N/A
SU45	0.126	N/A

Table 3. Statistical analyses of whether the frequencies of homozygous mutants that survive to adulthood or have otolith fusion phenotypes are Mendelian.

First column indicates the mutant allele. These are listed in the same order as in Fig. 4. Second column lists the P value, rounded up to 3 decimal places, for a Chi-squared test of the hypothesis that the frequency of embryos with fused otoliths is Mendelian (25%). The third column lists the P value, rounded up to 3 decimal places, for a Chi-squared test of the hypothesis that the frequency of mutant embryos that survive to adulthood is Mendelian (25%). Percentages of embryos in these categories and n values are provided in Fig. 4. N/A = not applicable. These are cases where no homozygous mutants survived or had otolith fusion phenotypes.

Table 4.

Allele combination	Fused otoliths	# embryos analyzed	P value	Stalled lateral line progression	# embryos analyzed	P value	% strong phenotype	% weaker phenotype
SU39 x SU44	0.7%	268	**<0.001**	0.00%	38	**<0.001**	0.0%	0.0%
SU3 x SU43	22.6%	623	0.165	32.4%	37	0.250	32.4%	0.0%
SU3 x SU44	26.8%	276	0.487	19.4%	36	0.441	19.4%	0.0%
SU43 x SU44	28.3%	279	0.214	31.8%	44	0.296	22.7%	9.1%
sa23054 x SU44	22.4%	303	0.289	26.3%	38	0.703	18.4%	7.9%
sa23054 x SU3	26.6%	139	0.696	17.6%	34	0.244	0.0%	17.6%
SU42 x SU44	22.1%	208	0.337	18.9%	37	0.703	0.0%	18.9%
SU3 x SU42	12.7%	558	**<0.001**	23.3%	192	0.614	2.1%	21.2%

Table 4: Trans-heterozygous mutant analyses identify an allelic series of *hmx3a* mutants.

Parents heterozygous for different mutant alleles were mated and otolith and lateral line progression phenotypes were assayed in their progeny. Otolith phenotypes were assayed at 3 dpf by visual inspection down a stereomicroscope. Lateral line phenotypes were assayed by *in situ* hybridization for *krt15* at 27h. Column one indicates the allele combination tested. Column two shows the percentage of embryos that had fused otoliths and column three shows the total number of embryos analyzed. A chi squared test was performed to test if the frequency of embryos with otolith fusion phenotypes was Mendelian and the P value for this test is provided in column 4. Similar experiments were performed to analyze lateral line progression. Column five indicates the percentage of embryos with stalled lateral line progression and column six shows the total number of embryos analyzed. A chi squared test was performed to test if the frequency of embryos with stalled lateral line progression with abnormal phenotypes was Mendelian and the P value for this test is provided in column seven. In contrast to incrosses of *hmx3a^{SU3}* or *hmx3a^{SU43}*, where mutant embryos lack any migration of the lateral line primordium into the trunk, in some of these trans-heterozygous crosses, we observed embryos where the lateral line primordium had migrated slightly more caudally. Therefore, we also provide a breakdown in the last two columns of the percentage of embryos that have the *hmx3a^{SU}* homozygous mutant phenotype (strong phenotype, column eight) vs this slightly weaker phenotype (column nine). We also performed a binomial distribution test, using the cumulative distribution function to test whether the number of embryos from the SU39 x SU44 cross that had fused otoliths was statistically significantly different from zero. P=0.264 for this test.

Table 5

Genotype Embryo Class	WT	Het	$hmx2^{SU39}$ mutants	N value	P value
Uninjected Control	33.3%	57.6%	9.1%	33	0.11
WT-Like	44.4%	22.2%	33.3%	9	0.12
Morphant-Like	32.3%	41.9%	25.8%	31	0.68

Genotype Embryo Class	WT	$hmx2^{SU39}$ mutants	P value
Uninjected Control	106.36 ± 1.75	109.67 ± 0.67	$0.21^{\wedge}$
WT-Like	104.25 ± 5.30	98.33 ± 4.06	$0.86^{\wedge}$
Morphant-Like	78.10 ± 2.50	71.25 ± 3.25	0.11^{+}
All injected	85.57 ± 3.96	78.64 ± 4.56	0.26^{+}

Table 5. The lack of spinal cord phenotypes in $hmx2^{SU39}$ mutants is not due to genetic compensation

Spinal cord phenotypes were assessed by *slc17a6a/b in situ* hybridization. *hmx2* morpholino-injected embryos from a cross of *hmx2*$^{SU39/+}$ parents were visually inspected on a stereomicroscope and categorized as resembling either a "WT-like" or "morphant-like" spinal cord phenotype. Embryos were genotyped to identify homozygous WTs and mutants. The spinal cord phenotypes of these embryos were then analyzed on a compound microscope while blind to genotype. Values in columns 2 and 3 of lower table indicate the mean number of labelled cells in the spinal cord region adjacent to somites 6-10 ± sem. P value in upper table is from chi-squared test. P value in bottom table is either from a Wilcoxon test (Mann-Whitney, $^{\wedge}$, performed when data was not normally distributed) or from a type 2 Student's t-test ($^{+}$, performed when data was normally distributed and variances were equal) for the comparison of homozygous WT embryos to homozygous *hmx2*^{SU39} mutants for a particular classification (values on same row). All P values are rounded up to 2 decimal places. See materials and methods for more information on statistical tests. Statistically significant values are indicated in bold.

Table 6

Genotype Embryo Class	WT	Het	$hmx3a^{SU42}$ mutants	N value	P value
Uninjected Control	22.5%	52.5%	25.0%	40	0.93
WT-like	31.25%	31.25%	37.5%	16	0.30
Morphant-Like	20.5%	56.4%	23.1%	39	0.61

Genotype Embryo Class	WT	$hmx3a^{SU42}$ mutants	P value
Uninjected Control	117.56 ± 2.26	116.10 ± 1.38	0.58[+]
WT-like	116.80 ± 2.89	114.67 ± 2.69	0.60[+]
Morphant-Like	86.38 ± 2.15	88.22 ± 5.07	0.74[§]
All injected	98.08 ± 4.58	98.80 ± 4.67	0.91[+]

Table 6. The lack of spinal cord phenotypes in *hmx3a^SU42* mutants is not due to genetic compensation

Spinal cord phenotypes were assessed by *slc17a6a/b in situ* hybridization. *hmx3a* morpholino-injected embryos from a cross of *hmx3a^SU42/+* parents were visually inspected on a stereomicroscope and categorized as resembling either a "WT-like" or "morphant-like" spinal cord phenotype. Embryos were genotyped to identify homozygous WTs and mutants. The number of glutamatergic cells in the spinal cord region adjacent to somites 6-10 was then counted in each embryo, using a compound microscope, while blind to genotype. Values in columns 2 and 3 of the lower table indicate the mean number cells ± sem. The P value in the upper table is from a Chi-squared test to determine if the frequencies of different genotypes were Mendelian. The P value in the bottom table is either from a type 2 ([+], performed when data was normally distributed and variances were equal), or type 3 Student's t-test ([§], performed when data was normally distributed and variances were unequal) for the comparison of homozygous WT embryos to homozygous *hmx3a^SU42* mutants for a particular classification (values on same row). All P values are rounded up to 2 decimal places. See materials and methods for more information on statistical tests. Statistically significant values are indicated in bold.

Table 7

Genotype Embryo Class	WT	Het	$hmx3a^{SU3}$ mutants	N value	P value
Uninjected Control	20.4%	54.6%	25%	44	0.76
Weaker phenotype	19.5%	56.1%	24.4%	41	0.74
Morphant-Like	21.7%	55.4%	22.9%	83	0.54

Genotype Embryo Class	WT		$hmx3a^{SU3}$ mutants	P value
Uninjected Control	106 ± 2.44		94.5 ± 2.62	**0.01**[+]
Weaker phenotype	102.75 ± 2.27		96.8 ± 1.77	0.05[+]
Morphant-Like	70.22 ± 3.76		74.0 ± 2.75	0.72[^]
All injected	80.23 ± 4.01		81.86 ± 2.78	0.74[+]

Table 7. The incomplete penetrance of the spinal cord phenotype in $hmx3a^{SU3}$ mutants is not due to genetic compensation

Spinal cord phenotypes were assessed by *slc17a6a/b in situ* hybridization. *hmx3a* morpholino-injected embryos from a cross of *hmx3a*$^{SU3/+}$ parents were visually inspected on a stereomicroscope and categorized as resembling either a "weaker" or more severe, "morphant-like" spinal cord phenotype. Embryos were genotyped to identify homozygous WTs and mutants. The number of glutamatergic cells in the spinal cord region adjacent to somites 6-10 was then counted in each embryo, using a compound microscope, while blind to genotype. Values in columns 2 and 3 of the lower table indicate the mean number cells ± sem. The P value in the upper table is from a Chi-squared test to determine if the frequencies of different genotypes were Mendelian. The P value in the bottom table is either from a Wilcoxon test (Mann-Whitney, ^, performed when data was not normally distributed) or from a type 2 Student's t-test (+, performed when data was normally distributed and variances were equal) for the comparison of homozygous WT embryos to homozygous *hmx3a*^{SU3} mutants for a particular classification (values on same row). All P values are rounded up to 2 decimal places. See materials and methods for more information on statistical tests. Statistically significant values are indicated in bold.

Table 8.

SU Allele	Gene(s)	sgRNA(s) Sequence(s)	F0 Screening Method(s)	F0 Screening Primer Sequences	Stable Mutant Screening Method(s)	Stable Mutant Screening Primer Sequences
35	*hmx2*	GGTATGGATGGTGTCAAATG	HRMA	FW: TGTTTGTGTGTCGCATTGGTTG RV: TGTCTTCAGAAACGGGAGACAT	Sequencing	FW: CAACAGTCGATCAAACAGTCAGAG RV: GTGGACTCGAGTTGATAAACCTG
36	*hmx2*	GGTATGGATGGTGTCAAATG	HRMA	FW: TGTTTGTGTGTCGCATTGGTTG RV: TGTCTTCAGAAACGGGAGACAT	HRMA	FW: TGTTTGTGTGTCGCATTGGTTG RV: TGTCTTCAGAAACGGGAGACAT
37	*hmx2*	GGTATGGATGGTGTCAAATG	HRMA	FW: TGTTTGTGTGTCGCATTGGTTG RV: TGTCTTCAGAAACGGGAGACAT	PCR	FW: CAACAGTCGATCAAACAGTCAGAG RV: GTGGACTCGAGTTGATAAACCTG
38	*hmx2*	5': GGTATGGATGGTGTCAAATG 3': GGTTCCAGGATAATACAGTG	PCR	FW: TCAACAGTCGATCAAACAGTCAG RV: GAACCGTGTTGCTTTTGTGTTTTAC	PCR	FW: TCAACAGTCGATCAAACAGTCAG RV: GAACCGTGTTGCTTTTGTGTTTTAC
39	*hmx2*	5': GGACCGGACTCCGTCGTTTG 3': GGTTCCAGGATAATACAGTG	Nested PCR	Nested 1 FW: GCAAATGAGGGCACGTTTCAC RV: GGACATATACAGAACCGTGTTGC Nested 2 FW: TGAATAATTCGGAGGACAGCGGAAG RV: CGTAGACTCGTTTAATTGCTGC	Nested PCR (for deletion) and WT amplicon*	Nested 1 FW: GCAAATGAGGGCACGTTTCAC RV: GGACATATACAGAACCGTGTTGC Nested 2 FW: TGAATAATTCGGAGGACAGCGGAAG RV: CGTAGACTCGTTTAATTGCTGC WT Amplicon FW: CAACAGTCGATCAAACAGTCAGAG RV: GTGGACTCGAGTTGATAAACCTG
42	*hmx3a*	GGAATCTCGGTCGGTGCCTG	HRMA	FW: CGAATGCTAATTTGGCCTCTATTACT RV: TTTTGTTGTGTCTTCATCGTCC	PCR + restriction digest	FW: TGGCAAAGTGACACGACCAG RV: GAGAACACCGTGCGAGTTTTC Restriction enzyme: BanI
3	*hmx3a*	GGAATCTCGGTCGGTGCCTG	HRMA	FW: CGAATGCTAATTTGGCCTCTATTACT RV: TTTTGTTGTGTCTTCATCGTCC	PCR	FW: TGGCAAAGTGACACGACCAG RV: GAGAACACCGTGCGAGTTTTC
43	*hmx3a*	GGAATCTCGGTCGGTGCCTG	Fluorescence and/or PCR	FW: GAGAACACCGTGCGAGTTTTC RV: AGTTTCCTGGCAGCATATCCAG	Fluorescence and/or PCR and WT amplicon*	PCR FW: GAGAACACCGTGCGAGTTTTC RV: AGTTTCCTGGCAGCATATCCAG WT Amplicon FW: TGGCAAAGTGACACGACCAG RV: GAGAACACCGTGCGAGTTTTC
44	*hmx2; hmx3a*	5: GGGGCACGTACAAATAGCAAA 3': GGTTCCAGGATAATACAGTG	Nested PCR	Nested 1: FW: GTTAGACTTTGCAATGCTCGTG RV: GGACATATACAGAACCGTGTTGC Nested 2: FW: CCTTTTTGCTATTGCCTTCATC RV: CGTAGACTCGTTTAATTGCTGC	Nested PCR (for deletion) and WT amplicon*	Nested 1: FW: GTTAGACTTTGCAATGCTCGTG RV: GGACATATACAGAACCGTGTTGC Nested 2: FW: CCTTTTTGCTATTGCCTTCATC RV: CGTAGACTCGTTTAATTGCTGC WT Amplicon FW: TGGCAAAGTGACACGACCAG RV: GAGAACACCGTGCGAGTTTTC

SU Allele	Gene(s)	sgRNA(s) Sequence(s)	F0 Screening		Stable Mutant Screening	
			Method(s)	Primer Sequences	Method(s)	Primer Sequences
45	*hmx2; hmx3a*	5′: GGGGCACGTACAATAGCAAA 3′: GGTTCCAGGATAATACAGTG	Nested PCR	Nested 1: FW: GTTAGACTTTGCAATGCTCGTG RV: GGACATATACAGAACCGTGTTGC Nested 2: FW: CCTTTTTGCTATTGCCTTCATC RV: CGTAGACTCGTTTAATTGCTGC	Nested PCR (for deletion) and WT amplicon*	Nested 1: FW: GTTAGACTTTGCAATGCTCGTG RV: GGACATATACAGAACCGTGTTGC Nested 2: FW: CCTTTTTGCTATTGCCTTCATC RV: CGTAGACTCGTTTAATTGCTGC WT Amplicon FW: TGGCAAAGTGACACGACCAG RV: GAGAACACCGTGCGAGTTTTC

Table 8. sgRNA and primer sequences used for *hmx2*, *hmx3a* and *hmx2;hmx3a* CRISPR mutagenesis. Column 1 lists SU allele designation. Column 2 provides the name of the targeted gene or genes. Column 3 shows the sgRNA sequences used. Columns 4 and 5 indicate the method and primer sequences used to screen founder fish (HRMA = high resolution melt analysis). Columns 6 and 7 indicate the method and primer sequences used to screen stable mutant fish. *In the case of large deletion alleles (where the WT PCR product would be too large to detect by standard PCR conditions) a second PCR (a WT amplicon PCR) is performed. The genomic region targeted by this allele is only present in WT and heterozygous animals that still retain at least one WT copy of the gene. This genomic region is deleted on both chromosomes in homozygous mutant animals. For experimental conditions, see materials and methods.

Figure 1. Expression of *hmx* genes in WT zebrafish embryos.

Lateral views of *hmx* expression in spinal cord (**A-Y**), hindbrain (**N', S', X'**), eye and ear (**A', E', F', J', K', O', P', T', U', Y'**) and lateral line primordium and neuromasts (**B-C, G-H, L-M, Q-R**) at 19 h (**A-E, A', E'**), 24 h (**D'**), 27 h (**F-J, F', J'**), 36 h (**K-O, K', O'**), 42 h (**P-T, P', Q'**) and 48 h (**U-Y, U', Y'**). Rostral, left; Dorsal, up. (**B-C, G-H, L-M, Q-R, V-W**) *hmx2* and *hmx3a* are expressed in spinal cord, lateral line primordium (white asterisks), neuromasts (black asterisks), and anterior ear (data not shown) at all stages examined, although *hmx2* spinal cord expression initially appears weaker than *hmx3a* and does not extend as far caudally. (**A, A', E, E', F, F', J, J', K, K', O, O', P, P', T, T', U, U', Y, Y'**) *hmx1* and *hmx4* are not expressed in spinal cord at any of these stages but are expressed in the eye (black arrowheads) and posterior-ventral ear and adjacent ganglion of the anterior lateral line (white arrowheads). (**D, I, N, N', S, S', X, X'**) *hmx3b* is not expressed in WT spinal cord at any of these stages. The only expression we observed was in the hindbrain between 36 and 48 h (black arrows). (**D'**) *hmx3b* is also not expressed in *mib1^{ta52b}* mutants. Inset shows spinal cord but there is also no expression in any other tissue. (**F', I, J', K', N', O', P', S, S', T', U', X, X', Y'**). The background (diffuse, non-specific staining) in these pictures is higher because we exposed the embryos to prolonged staining to ensure that there was no weak spinal cord expression. Especially in the brain, this can lead to background staining as the large ventricles of the hindbrain trap anti-sense riboprobes. Scale Bar = 50 µm (**A-Y**), 120 µm (**A', E', F', J', K', N', O', P', S', T', U', X', Y'**).

Figure 2. *hmx2* and *hmx3a* are expressed in V1 and dI2 interneurons.

(**A**) Schematic showing neurotransmitter and post-mitotic transcription factor phenotypes of the spinal cord interneurons found in the dorsal-ventral spinal cord region shown in panels C-H. It is currently unclear whether V0v interneurons express Lhx1/5 (*). (**B**) Heatmap analysis of gene expression profiling of V1 interneurons. A three-class ANOVA analysis of differential expression was performed on different FAC-sorted populations of cells. Class 1: All post-mitotic spinal neurons. Class 2: V1 interneurons. Class 3: All trunk cells. Each column is a different biological replicate. Rows show relative expression levels for a single TF as normalized data transformed to a mean of 0, with standard deviation of +1 (highly expressed) or -1 (weakly/not expressed) sigma units. Adjusted P values, corrected for multiple testing are <0.000001 for all genes shown. For more information on these experiments see [30]. Expression profiles are included for positive control genes (green), *en1b* and *pax2a,* that are expressed by V1 cells and negative control genes (purple), *gata3* and *vsx1,* that are expressed by other spinal cord interneurons but not V1 cells. Data for *lhx1a, lhx5* and *hmx3a* shows that they are co-expressed in V1 interneurons. (**C-H**) Lateral views of spinal cord at 27 h. Rostral, left; Dorsal, up. *hmx2* and *hmx3a* are co-expressed (**C**) in V1 and dI2 interneurons. (**D-H**) V1 interneurons are inhibitory (*slc321a*-expressing) (**D**) and express *en1b* (**E**). dI2 interneurons are glutamatergic (excitatory, express *slc17a6a/6*) (**F**) and express *lhx1a* (**G**)

but not *evx1* (**H**). Asterisks = double-labeled and white crosses = single-labeled *hmx3a*-expressing cells (green). Expression of other genes is red. Scale bar = 20 µm.

Figure 3. *hmx2/3a* double knockdown (DKD) embryos have fewer excitatory (glutamatergic) and more inhibitory spinal cord interneurons.

(**A-B, D-E, G-H, J-K, M-N**) Lateral views of spinal cord at 27 h. Rostral, left; Dorsal, up. (**C, F, I, L, O**) Mean number of cells expressing specific genes in a precisely-defined spinal cord region adjacent to somites 6-10 at 27 h. All counts are an average of at least 5 embryos. All counts are of cells on both sides of the spinal cord except for *en1b* (F) which are for just one side of the spinal cord. Error bars indicate SEM. Statistically significant ($p <$ 0.001) comparisons are indicated with brackets and three asterisks. All data were first analyzed for normality using the Shapiro-Wilk test. Data set in **C** is non-normally distributed and was, therefore, analyzed with the Wilcoxon (Mann-Whitney) test. Data sets in **F, I, L, O** are normally distributed and so the F test for equal variances was performed. Each pairwise combination of data sets has equal variances and so a type 2 (for equal variances) student's t-test was performed. Mean numbers of cells and P-values are provided in Table 2. (**A-F**) There is no change in the number of *hmx3a*- or *en1b*-expressing spinal cells in *hmx2/3a* DKD compared to un-injected control embryos, suggesting that V1 and dI2 interneurons do not die or transfate/change into different cell types. (**G-I**) The number of *slc17a6a/b*-expressing (excitatory) spinal cells is reduced in both double and single knockdown (SKD) embryos, with the reduction being more severe in DKD embryos. (**J-L**) Concomitantly, there is a statistically significant increase in the number of *slc32a1*-expressing (inhibitory) cells in DKD embryos. (**M-O**) Injection of a morpholino-resistant *hmx3a* mRNA partially rescues the number of spinal excitatory cells in DKD embryos. Scale bar = 30 µm.

Figure 4. Summary of *hmx2/3a* mutant alleles analyzed and their phenotypes when homozygous.

Left-hand side: schematics of 11 mutant alleles analyzed in detail. Top row = genomic locus; lower rows indicate predicted protein products. There is a 6454 bp gap between *hmx2* and *hmx3a*. Right-hand side: Column 1: allele number. Column 2: frequency of embryos with otolith fusion phenotypes from incrosses of heterozygous (z) or homozygous (mz) parents. n = total number of embryos examined (Fig. 5 and data not shown). Column 3 indicates whether a reduction in the number of spinal excitatory cells was observed at 27 h in homozygous mutants, as assayed by *in situ* hybridization for the expression of *slc17a6a/b* (Fig. 5 and data not shown). Column 4: Frequency of viable adult homozygous mutants. n = total number of adult fish genotyped from crosses of heterozygous parents. In all cases where adult homozygous mutants were identified, the numbers of these fish are not statistically significantly different from expected mendelian ratios, as assayed by a Chi-squared test. In all cases P> 0.214. P values are provided in Table 3. All *hmx2* mutant alleles recovered to date are homozygous viable and homozygous mutants do not have a reduction in the number of glutamatergic spinal cord interneurons or otolith fusions. This is the case, even for embryos from incrosses of fish homozygous mutant for the most severely deleted *hmx2* alleles (*hmx2^SU38^* and *hmx2^SU39^*). *hmx3a^sa23054^* mutants are also homozygous viable. They have variable, incompletely

penetrant otolith fusion phenotypes. Strikingly, only 27.41% of embryos from an incross of homozygous mutant parents have otolith fusion phenotypes. *hmx3a^{sa23054}* mutants do not have a reduction in the number of glutamatergic spinal cord interneurons. *hmx3a^{SU42}* mutants are homozygous viable and do not have any obvious phenotypes, even though this allele should encode a protein with the same number of WT amino acids as *hmx3a^{SU43}* and only one more WT amino acid than *hmx3a^{SU3}*. *hmx2;hmx3a^{SU44}* and *hmx2;hmx3a^{SU45}* differ only in the amount of upstream sequence that is deleted and have identical phenotypes, so we use them interchangeably in experiments.

Figure 5. Only some *hmx2/3a* alleles have mutant phenotypes.

(**A-R, A', E' G'**) Lateral views. Rostral, left; Dorsal, up. (**A-F, A', E'**) Ear phenotypes examined either by *hmx3a* expression at 27 h (**A-C & E'**), or live at 4 dpf (**A', D-F**). (**G-L, G'**) Lateral line primordium phenotypes examined either by *hmx3a* expression (**G', H-J**) or live (**G, K-L**) at 27 h. Embryos shown in panels **I** and **J** are very slightly younger (prim stage 9) than those in panels **G** and **H** (prim stage 10). (**M-R**) Expression of *slc17a6a/b* in the spinal cord at 27 h. (**S-W**) Mean number of cells expressing *slc17a6a/b* in a precisely-defined spinal cord region adjacent to somites 6-10 at 27 h. All counts are an average of at least 4 embryos. Error bars indicate SEM. Statistically significant ($p < 0.001$) comparisons are indicated with brackets and three asterisks. All data were first analyzed for normality using the Shapiro-Wilk test. Data sets in **S-W** are normally distributed and so the F test for equal variances was performed. A type 2 (for equal variances) student's t-test was performed for data sets in **S** and **U-W,** and a type 3 (for non-equal variances) student's t-test was performed for data set in **T**. P-values are provided in Table 2. (**A-C**) *hmx3a* expression in the ear (inside white dotted lines) and in presumptive neuroblasts anterior to the ear (white arrowheads) is unchanged in *hmx2^{SU39}* (**B**) and *hmx3a^{SU42}* (**C**) mutants, compared to WT embryos (**A**), but is severely reduced in both the presumptive neuroblasts anterior to the ear and the anterior ear (black arrowhead) in *hmx3a^{SU3}* mutants (**E'**). (**D**) *hmx3a^{sa23054}* mutants show incompletely penetrant, variable otolith fusion phenotypes, ranging from no fusion (like WT ear in **A'**), through incomplete fusion (**D**), to complete fusion, like that observed at full penetrance in *hmx3a^{SU3}* (**E**) and *hmx2;hmx3a^{SU44}* (**F**) mutant embryos. (**G-J, G'**). At 27 h, the tip of the lateral line primordium (black dotted line, **G**) has reached somite 10 (S10 = somite 10) in WT embryos (**G, G'**). This rate of migration is unchanged in *hmx2^{SU39}, hmx3a^{SU42}* and *hmx3a^{sa23054}* mutant embryos. (As mentioned above, embryos shown in I & J are very slightly younger than those in panels G, G' & H. However, in both of these cases, there is no difference in primordium migration between mutants and WT siblings). (**K-L**) In contrast, the lateral line primordium fails to migrate in *hmx3a^{SU3}* (**K**) and *hmx2;hmx3a^{SU44}* (**L**) mutant embryos. Instead, it is stalled adjacent to somites 1-4 (S4 = somite 4). (**M-W**). There is no change in the number of spinal excitatory neurons in *hmx2^{SU39}* (**N, S**), *hmx3a^{SU42}* (**O, T**) and *hmx3a^{sa23054}* (**P, U**) mutant embryos compared to WT (**M**). In contrast, there is a statistically significant reduction in *hmx3a^{SU3}* (**Q, V**) and *hmx2;hmx3a^{SU44}* (**R, W**) mutant embryos. Scale bar = 30 µm (**A-L**), 50 µm (**M-R**).

Figure 6. Analysis of *hmx3a* single and *hmx2;hmx3a* double mutants.

(**A-K, P-Y & AD**) Lateral views of *hmx3a* (**A, F**), *en1b* (**B, G**) *slc32a1* (**C, D, H, I**) *slc6a5* (**E, K**), *gad1b* (**P-S, U-X**) or *krt15* (**T, Y, AD**) expression in the spinal cord (**A-K, P-S, U-X**) or lateral line primordium (**T, Y, AD**) at 27 h (**A-K, P, Q, T, U, V, Y, AD**) or 48 h (**R, S, W, X**). Rostral, left; Dorsal, up. (**K-O, Z, AA, AB, AC**). Mean number of cells expressing specific genes in a precisely-defined spinal cord region adjacent to somites 6-10 at 27 h (**K-O, Z & AA**) or 48 h (**AB & AC**). All counts are an average of at least 5 embryos, except panel N where n=4. Error bars indicate SEM. Statistically significant ($p < 0.05$) comparisons are indicated with brackets and asterisks. $p < 0.05$ = *, $p < 0.01$ = **, $p < 0.001$ = ***. White bars indicate WT data and black bars the appropriate mutant data as indicated in key under panel AD. All data were first analyzed for normality using the Shapiro-Wilk test. Data sets in M & Z are non-normally distributed and therefore analyzed with the Wilcoxon (Mann-Whitney) test. Data sets in K, L, N, O, AA, AB, AC are normally distributed and so the F test for equal variances was performed. All of these had equal variances so a type 2 student's t-test was performed. P-values are provided in Table 2. (**A, B, F, G, K, L**) As in DKD embryos (Fig. 3), dI2 and V1 interneurons do not die, nor do dI2 interneurons transfate/change in to V1 interneurons in *hmx3a^{SU3}* mutant embryos, since the numbers of *hmx3a*-(**A, F & K**) and *en1b*-expressing cells (**B, G & L**) do not change compared to WT embryos. There is a statistically significant increase in the number of inhibitory, *slc32a1*-expressing cells in *hmx3a^{SU3}* mutants (**C, H, M**) and *hmx2;hmx3a^{SU44}* mutants (**D, I, N**) compared to WT embryos. (**E, K, O**) However, the number of *slc6a5*-expressing cells is unchanged between WT and *hmx2;hmx3a^{SU44}* mutants, whereas there is an increase in the number of GABAergic (*gad1b*-positive) in *hmx3a^{SU3}* (**P, U, Z**) and *hmx2;hmx3a^{SU44}* mutants (**Q, V, AA**), suggesting that the additional inhibitory cells in the mutant embryos are GABAergic and not glycinergic. (**R, S, W, X, AB, AC**) There is an equivalent increase in GABAergic (*gad1b*-positive) cells at 48 h in *hmx3a^{SU3}* and *hmx2;hmx3a^{SU44}* mutant embryos. (**T, Y, AD**). *hmx3a^{SU42/+};hmx3a^{SU3/+}* trans-het embryos have two different lateral line primordium progression phenotypes at 27 h. Scale bar = 50 µm.

Figure 7. Expression of *hmx* genes before the mid-blastula transition and in mutant zebrafish embryos.
(**A-L**) Lateral views of expression in whole embryos at 1.5 h (16-cells, **A-E**) and in the spinal cord (**F-L**) at 27 h. (**A-E**) Animal pole, up. (**F-L**) Rostral, left; Dorsal, up. (**A-E**) None of the *hmx* genes are maternally expressed at 1.5 h. (**F-I**) *hmx3a* mRNA does not exhibit nonsense-mediated decay (NMD) in *hmx3a^{SU42}* (**F**), *hmx3a^{sa23054}* (**G**), *hmx3a^{SU3}* (**H**) or *hmx3a^{SU43}* (**I**) mutant embryos. *hmx1* (**J**), *hmx3b* (**K**) and *hmx4* (**L**) are not expressed in the spinal cord of *hmx2;hmx3a^{SU44}* double mutants. In the case of *hmx1* and *hmx4,* there was still expression of the respective gene in the head (see Fig.1), confirming that the *in situ* hybridization experiment had worked. We never detect expression of *hmx3b* in WT embryos at 27 h (see Fig. 1). Scale Bar = 280 µm (**A-E**), 50 µm (**F-I**).

Figure 1

Figure 2

A

Cell Type	Neurotransmitter Phenotype	Post-Mitotic Transcription Factor Phenotype	
dI2	Excitatory		*Lhx1/5*
dI3	Excitatory		
dI4	Inhibitory		*Lhx1/5*
dI5	Excitatory		
dI6	Inhibitory		*Lhx1/5*
V0D	Inhibitory		*Lhx1/5*
V0V	Excitatory	*Evx1/2*	*Lhx1/5**
V1	Inhibitory	*En1b*	*Lhx1/5*

B

Class 1 — All Neurons | Class 2 — V1 Neurons | Class 3 — Trunk

en1b
pax2a
lhx1a
lhx5
hmx3a
gata3
vsx1

-1   -0.5   0   0.5   1

hmx3a;hmx2

hmx3a;slc32a1

hmx3a;en1b

hmx3a;slc17a6a/b

hmx3a;lhx1a

hmx3a;evx1

Figure 3

Figure 4

Figure 5

Figure 6

Figure 7