Involuntary orienting and conflict resolution during auditory attention: The role of ventral

and dorsal streams

Abbreviated title: Neural networks during attentive listening

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Abstract

Selective attention to sound object features such as pitch and location is associated with enhanced brain activity in ventral and dorsal streams, respectively. We examined the role of these pathways in involuntary orienting and conflict resolution using functional magnetic resonance imaging (fMRI). Participants were presented with two tones that may share, or not, the same non-spatial (frequency) or spatial (location) auditory features. In separate blocks of trials, participants were asked to attend to sound frequency or sound location and ignore the change in the task-irrelevant feature. In both attend-frequency and attend-location tasks, response times were slower when the task-irrelevant feature changed than when it stay the same (involuntary orienting). This behavioural cost coincided with enhanced activity in prefrontal cortex and superior temporal gyrus (STG). Conflict resolution was examined by comparing situations where the change in stimulus features was congruent (both features changed) and incongruent (only one feature changed). Participants were slower and less accurate for incongruent than congruent sound features. This congruency effect was associated with enhanced activity in prefrontal cortex, and was greater in the right STG and medial frontal cortex during the attend-location than during the attend-frequency task. Together, these findings do not support a strict division of 'labour' into ventral and dorsal streams, but rather suggest interactions between these pathways in situations involving changes in task-irrelevant sound feature and conflict resolution. These findings also validate the Test of Attention in Listening task by revealing distinct neural correlates for involuntary orienting and conflict resolution.

Introduction

To navigate successfully in complex auditory environments, the listener needs to identify *what* different sounds are and *where* they are coming from (Alain & Arnott, 2000; Alain, Arnott, Hevenor, Graham, & Grady, 2001). Evidence from animal studies (Lomber & Malhotra, 2008; Rauschecker, 1998; Rauschecker & Tian, 2000; Romanski et al., 1999), as well as from functional magnetic resonance imaging (fMRI) studies (Alain et al., 2001; Alain, He, & Grady, 2008; Degerman, Rinne, Salmi, Salonen, & Alho, 2006; Leung & Alain, 2011; Maeder et al., 2001) suggest that ventral and dorsal brain areas play an important role in identifying and locating sounds in the environment, respectively. Human lesion studies have shown double dissociations between non-spatial and spatial auditory processing in patients with left and right hemisphere damage (Clarke, Bellmann, Meuli, Assal, & Steck, 2000; Clarke et al., 2002; Clarke & Thiran, 2004; Zundorf, Lewald, & Karnath, 2016). Meta-analyses of auditory fMRI studies provide further support for ventral and dorsal pathways involved in processing the sound object identity and location, respectively (Alho, Rinne, Herron, & Woods, 2014; Arnott, Binns, Grady, & Alain, 2004).

While a number of imaging studies have investigated the dual pathway theory with varying types of auditory stimuli and tasks (e.g., noise bands with center frequencies, Alain et al., 2001; dichotic vowel identification, Du et al., 2015; and 3-talker sentences, Hill & Miller, 2010), there have been few investigations into the auditory ventral and dorsal pathways with regards to specific attentional constructs. Zhang, Barry, Moore & Amitay (2012) have developed a test, the Test of Attention in Listening (TAiL), based on two of Posner and Petersen's (1990; Petersen & Posner, 2012) Attention Network constructs. During auditory attention tasks TAiL can isolate involuntary orienting (attending to a task-irrelevant property/object) and executive

control (completing the dominant task while also performing a subdominant task, e.g., conflict

resolution).

The same pure tone stimuli are used in TAiL, only the task instructions change, with

participants being instructed to attend to the frequency (attend-frequency task) or the location

(attend-location task) of the sounds and ignore changes in the task-irrelevant stimulus feature. By

comparing trials in which the task-irrelevant stimulus feature changes with those where they

were constant, a cost in response time thought to reflect involuntary orienting to the task-

irrelevant stimulus features can be observed. The comparison of trials where the change in

stimulus features was incongruent (only one stimulus feature changes) and congruent (both

features change) yields a cost in response time, reflecting the suppression of the irrelevant

stimulus feature and competing response selection. These are characteristics of conflict

resolution as defined in the classic executive function Stroop task (Stroop, 1935).

Subsequent behavioural studies provide additional support for these two attentional

constructs of auditory attention in young adults (Zhang et al., 2012; Stewart & Amitay, 2015).

Using scalp-recordings of event-related potentials (ERPs), Stewart and colleagues (2017)

showed distinct ERP modulations associated with involuntary orienting and conflict resolution.

The comparison of distributed source analyses for involuntary orienting suggests a more dorsal

source when the task-irrelevant sound feature was location than when it was frequency. Although

this finding appears consistent with the dual pathway model of auditory attention, further

research is needed to better characterize the neural correlates of involuntary orienting and

conflict resolution.

Orienting to auditory information can occur voluntarily via cues or be triggered

involuntarily by unexpected novel sound events. Cues, whether valid or invalid, exert strong

effects on behaviour and cortical responses (Posner & Petersen, 1990). The TAiL paradigm does

not involve cues and so focuses on involuntary orienting. This attention construct has been

widely investigated through auditory oddball paradigms where an infrequent uncued stimuli

change occurs (e.g., loudness, pitch, location) to elicit attentional capture. However, in the TAiL

paradigm the frequency (pitch) and location changes are subtle and occur every trial.

Neuroimaging of such a paradigm is novel.

MRI evidence suggests overlapping networks for voluntary and involuntary orienting

with cortical activations specific to the attentional control (Rosen et al., 1999; Serences &

Yantis, 2007). In the auditory domain, salient stimuli changes in an oddball paradigm have

shown overlapping temporo-parietal, superior parietal and frontal networks with the

ventromedial prefrontal cortex showing activation for involuntary orienting (loudness deviants)

and the superior parietal lobule and crus I/II of the cerebellum showing activation for voluntary

orienting (visual-spatial cues prompting which auditory stream to attend to) (Salmi, Rinne,

Koistinen, Salonen, & Alho, 2009). In the visual-spatial domain, voluntary cued orienting has

been found to activate more temporal and parietal areas (e.g., bilateral temporoparietal junction,

L intraparietal sulcus, bilateral superior temporal gyrus, R middle temporal gyrus) when

compared to involuntary orienting (Kim et al., 1999; Mayer, Harrington, Adair, & Lee, 2006).

While the opposite contrast has shown extended right posterior parietal activation for involuntary

orienting (Kim et al., 1999).

A classic methodology for testing conflict resolution involves contrasting incongruent

with congruent trials as in the Stroop task (Stroop, 1935). Roberts and Hall (2008) used the

traditional visual Stroop task and an auditory version where listeners indicated whether the

speaker's voice was high or low in pitch, while ignoring semantic content (the words 'high',

'low' and 'day'). fMRI showed that participants activated a common frontoparietal network for

both paradigms, including the anterior cingulate cortex (ACC), inferior frontal gyrus (IFG),

parietal lobe and anterior insula. More extensive activity in the left lateral prefrontal cortex was

shown during the auditory Stroop task along with activity in the superior temporal sulcus; and

the visual Stroop task showed greater activity in the right inferior frontal gyrus. In another study

(Siemann, Herrmann & Galashan, 2018), comparable networks were activated for visual spatial

and non-spatial conflict resolution (dorsal fronto-parietal networks in the left middle frontal

gyrus, superior parietal lobule and frontal eye fields) with additional ventral areas recruited

specifically for non-spatial conflict resolution (left middle temporal gyrus, cuneus and lingual

gyrus).

This current study combines fMRI, with its advantageous spatial resolution, and the TAiL

task to investigate the brain areas associated with involuntary orienting and conflicting resolution

to auditory stimuli. It is expected that optimum task performance may differentially involve

ventral and dorsal areas to process non-spatial and spatial auditory stimulus features,

respectively. We anticipate that involuntary orienting will engage a similar attention network for

both spatial and non-spatial tasks including the frontal eye fields, superior temporal sulcus/gyrus

and parietal cortex. Due to the paradigm differences between TAiL's continuous subtle task-

irrelevant changes and previous studies' deviant or cued salient task-irrelevant changes, it is

unclear which cortical areas will show additional activity for spatial and non-spatial stimuli.

Following the dual pathway theory additional intraparietal activity is predicted for spatial stimuli

and temporal lobe activity for non-spatial stimuli. Conflict resolution contrasts are predicted to

show frontoparietal activation including the ACC, IFG and parietal lobe; however it is unclear

whether larger supramodal or auditory-specific networks will be engaged for the spatial (e.g.,

frontal cortex) and non-spatial auditory stimuli (e.g., amodal: middle temporal gyrus;

supramodal: cuneus and lingual gyrus).

Method

Participants

Seventeen right-handed participants aged 19 to 30 years (Mean = 24.74 years, SD = 3.43

years; 13 females and 4 males) were recruited through the Rotman Research Institute participant

database. Inclusion criteria was normal hearing (thresholds below 25 decibel (dB) hearing level

(HL) bilaterally at frequencies between 250 and 8000 Hz, inclusive, and a normal score (0-3) on

the Quick Speech-in-Noise test (QuickSIN) (Killion, Niquette, Gudmundsen, Revit, & Banerjee,

2004). Exclusion criteria was any self-reported history of brain damage, brain surgery, history of

language-related or attention-related conditions, autism spectrum disorders or any auditory

system disorders. All methods were approved by the Research Ethics Board at Baycrest Health

Sciences, and performed in accordance with the relevant guidelines and regulations of Toronto

Academic Health Services Network. All participants signed informed consent prior to the

experiment and received monetary compensation for their participation.

Stimuli and Task

All tones were made up of sinusoids with a duration of 100 ms, gated on/off by 10 ms cos

ramps, and were presented monaurally at about 85 dB sound pressure level (SPL) (root mean

square) by means of circumaural, fMRI-compatible headphones (Avotec, Jensen Beach, FL),

acoustically padded to suppress scanner noise by about 25 dB SPL. Tone frequency was

randomly selected for each participant from the range 476.18 - 6187.50 Hz with at least 2.1

equivalent rectangular bandwidths (ERBs; ~ 4 semitones) between the trial's tones, therefore

making it well within the listener's ability to discriminate between different frequencies (Jensen

& Neff, 1993). The inter-trial-interval varied randomly between 1000 and 4000 ms (1000 ms

steps, rectangular distribution), whilst the inter-stimulus interval (ISI) was set at 300 ms (Figure

1).

In both attend-frequency and attend-location tasks, the stimuli and paradigm remained the

same, and only the instructions to the participants changed. In each trial, participants heard a tone

pair where the individual tones were either the same or different in frequency and/or spatial

location (ear presentation) (SfSL – same frequency and same location; DfSL – different

frequency same location; SfDL – same frequency different location; DfDL – different frequency

different location.). In both attend-frequency and attend-location tasks, the listener had to

indicate via a button press if the task-relevant sound feature (i.e., the location of the two tones in

the attend-location task) were same or different, whilst ignoring the task-irrelevant sound feature

(i.e., the frequency of the two tones in the attend-location task). A full description of the task and

stimuli can be found in Zhang et al (2012).

Listeners were asked to respond as fast and as accurately as possible after the second

tone. Responses less than 200 ms and more than 2500 ms were excluded from further analysis in

case of premature responding and interruption of performance. Participants' responses were

registered using an fMRI-compatible response pad (Lightwave Technologies, Surrey, SC,

Canada). The left index finger was used for a 'same' response and the right index finger for a

'different' response. As soon as the listener responded to each trial (attend-frequency task group

mean: 610.40 ms, SD: 268.86 ms, range: 208.50-1979.00 ms); attend-location task group mean:

598.80 ms, SD: 263.31 ms, range: 221.40-1985.70 ms) visual feedback was provided for 500 ms.

If they answered correctly, a smiley face was displayed. If they answered incorrectly, the same

face was shown with a sad expression. TAiL stimuli were automated and presented using

Presentation software (version 16).

From the attend-frequency and attend-location tasks, three measures were calculated:

baseline, *involuntary orienting* (the behavioural cost associated with a change in the task-

irrelevant sound feature), and *conflict resolution* (the behavioural cost associated with processing

conflicting sound feature). The *baseline* measure is calculated for each task type from trials

where both sound features, frequency and location, remain constant (i.e., SfSL trials). The

involuntary orienting measure is calculated as the difference in RT and accuracy between

conditions when the task-irrelevant feature was different between Tone 1 and Tone 2 and when it

was constant (see Table 1). The *conflict resolution* measure is calculated as the difference

between trials where both auditory features remain constant or change together (congruent trials,

SfSL and DfDL), compared with trials where only one feature changes (incongruent trials, DfSL

and SfDL).

 Procedure

Before entering the scanner, the participants completed a practice block for each of the

attend-frequency and attend-location tasks. Each practice block involved five trials, accompanied

by on-screen instructions. Participants had to reach 60% accuracy or more to move onto the full

testing blocks in the scanner. Each run consisted of 40 trials - 10 each of SfSL, DfSL, SfDL,

DfDL - presented in a random order for each participant. Both tasks (attend-frequency and

attend-location) had six runs providing a total of 40 trials per task per participant (Figure 1). The

order of the task types was counterbalanced across participants and alternated across the runs,

allowing regular rests for the participants. At the start of each run, participants were instructed of

the task type and to respond as fast and as accurately as possible, and visual feedback regarding

the listener's performance was provided after each trial throughout. The total testing/recording

time lasted around 45 minutes.

Behavioural analysis

Reaction times (RTs) from correct trials, and accuracy (% correct) were used in the

analysis. Repeated-measures ANOVAs with the task-relevant and task-irrelevant features as

within-subjects factors were run for each TAiL task.

fMRI scanning and data analysis

Participants were scanned using a research-dedicated whole-body 3.0 T MRI system

(Siemens Tim Trio – 3T software level Syngo MR 2006 VB13T) with a standard 12-channel

quadrature bird-cage head coil. Structural T1 weighted anatomical volume were obtained at the

midpoint of the experiment using SPGR (axial orientation, TR = 2000 ms, TE = 2.63 ms, FOV =

256 mm, slice thickness = 1 mm) for co-registration with the functional images and to ensure

that there were no significant brain abnormalities in any of the participants.

Each functional scan sequence began with a 20 second period where no stimuli were

presented. We used an event-related design with a continous analyses image acquisision.

Functional imaging was performed to measure brain activation by means of the BOLD effect

(Ogawa, Lee, Kay, & Tank, 1990). Functional data were acquired using a whole head T2*-

weighted echo-planar image sequence (TE: 30 ms; TR: 2 s; flip angle: 70°; 30 oblique axial

slices with interleaved acquisition, 3.125mm×3.125mm×5mm voxel resolution; FOV: 200 mm;

AM: 64×64). Physiological respiratory and cardiac waveforms were recorded from the bellows

and photoplethysmograph peripherals on the scanner, respectively, using LabView (National

Instruments, Austin TX).

In each run, the first ten scans were discarded to allow the magnetization to reach steady

state. fMRI data was pre-processed and analyzed using Analysis of Functional Neuroimages

software (Version AFNI_2011_12_21_1014) (Cox, 1996, 2012). In the preprocessing stage, the

RETROICOR technique (Glover, Li, & Ress, 2000) was used to perform physiological noise

correction. By performing a slice timing correction, all slices can be aligned to the time of the

acquisition for the center slice (For the Siemens 3T Trio Scanner at Baycrest, slice 1 is the center

slice for each TR). To perform rigid body motion correction, for each run, images acquired at

each point in the series were aligned volumetrically, using the 3dvolreg plugin for AFNI, to a

reference image acquired during the scanning session. We chose the 51st scan of the middle run

(the 3rd run in the present study) as a reference scan because the 51st scan is usually very reliable

for most datasets. Note that the 51st scan corresponds to subbrick 50 because the 1st scan is

subbrick 0. The head motions are captured by 6 motion parameters: roll = rotation about the I-S

axis; pitch = rotation about the R-L axis; yaw = rotation about the A-P axis; dS = displacement in

the Superior direction; dL = displacement in the left direction; and dP = displacement in the

Posterior direction. The maximum rotation is less than 1.5 degree, and the peak range of

displacement was less than 1.5 mm for all participants. The co-registration results were also

checked visually for additional quality control. To effectively compare fMRI data across

participants, baseline normalization was performed by calculating the percent change. The

percent signal change is calculated for each participant on a voxel-by-voxel basis. The program

3dAutomask was used to create a mask image to specify what part of the image is of the brain

and what part is not. It can eliminate noise outside the brain and reduce the number of voxels.

The program 3dDeconvolve, which provides deconvolution analysis of fMRI time series

data on the voxel by voxel basis, was performed on the pre-processed data by using a linear

fitting. The shape of the response was modeled as a "gamma" function time-locked on trial onset

for each stimulus condition. Only trials where participants responded correctly were included in

the event-related analysis. Using all runs for a given participant, activation maps were created for

for each participant for each of the four conditions: SfSL DfSL SfDL DfDL. These activation

maps were then spatially normalized to a Montreal Neurological Institute (MNI) template. We

decreased spatial noise variance by convolving the fMRI brain volume to a 6 mm FWHM

smoothness using 3dFWHM. The images were also de-trended by fitting a 3rd order Legendre

polynomial at each voxel, and regressing it out of the time series.

AFNI's general linear model (GLM) (Winkler, Ridgway, Webster, Smith, & Nichols,

2014) was then used to perform second level group analysis and create maps to identify

statistically significant (threshold $p < 0.005$, $F \geq 3.252$) group effect clusters in the various

contrasts. Monte Carlo simulations with AlphaSim in AFNI was run to perform Family-wise

Error Correction for multiple comparisions (uncorrected p-value = .005; corrected p-value = .03;

minimum cluster size = 12; cluster connection radius = 6.67 mm); only clusters larger than 586

uL were selected. These single-participant univariate maps were then warped to MNI space,

using the EPI to MNI transformation that was previously computed for each participant's data.

The program of Surface Mapping with AFNI (SUMA) was used to display the images.

Results

Baseline

The group mean accuracy and reaction times (RTs) are presented in Table 2. In trials with

no distracting or conflicting auditory information (i.e., SfSL – same frequency and same

location) performance was comparable between the two tasks (attend-frequency and attend-

location) for RT, $t(16) = -1.35$, $p = .20$, and accuracy, $t(16) = 1.45$, $p = .17$. This result suggests

that differences, behavioural and cortical, in involuntary orienting and conflict resolution

between the two tasks cannot be easily accounted for by differences in task difficulty.

Involuntary orienting

Behavioural results: A significant effect of distraction (i.e., when the task-irrelevant sound

features were different vs. the same) was found in each TAiL task. In the attend-frequency task,

participants were significantly slower at responding to trials where the task-irrelevant location of

the sounds changed (SfDL – same frequency and different location; DfDL – different frequency

and different location) compared to when they stayed constant (SfSL – same frequency and same

location; DfSL – different frequency and same location) (RT: $F(1, 16) = 66.64$, $p < .001$, $\eta_p^2 =$

.81) (Figure 2A). However, they showed no difference in accuracy ($F(1, 16) = 36.27$, $p = .097$,

$\eta_p^2 = .16$) (Figure 3A). In the attend-location task, listeners were significantly slower and less

accurate at responding to trials where the irrelevant frequency of the sounds changed (DfSL –

different frequency and same location; DfDL – different frequency and different location)

compared to when they stayed constant (SfSL – same frequency and same location; SfDL – same

frequency and different location) (RT: $F(1, 16) = 75.54$, $p < .001$, $\eta_p^2 = .83$; accuracy: $F(1, 16) =$

8.12, $p = .012$, $\eta_p^2 = .34$) (Figures 2B and 3B).

The involuntary orienting difference in RT was significantly lower in the attend-location

task compared to the attend-frequency task, $t(16) = 3.25$, $p = .005$ (Figures 2C and D), but not for

accuracy, $p = .27$ (Figures 3C and D).

To sum up, in both task, response times were slower when the task-irrelevant feature

changed than when it stay the same, and this effect was greater when attention was focused on

non-spatial (frequency) than spatial (location) auditory feature.

fMRI results: Figures 4 and 5 show activity associated with involuntary orienting during attend-

frequency and attend-location, respectively. As for the behavioural data, the neural correlates

were examined by contrasting BOLD responses when the task-irrelevant feature changed versus

when the same task-irrelevant feature was repeated within the trial. When participants were

instructed to focus attention on frequency, changes in task-irrelevant sound location were

associated with increased BOLD signal bilaterally in superior frontal gyrus (SFG), the right

inferior frontal gyrus (IFG), the left superior temporal gyrus (STG) and the left precentral gyrus

(Table 3). Conversely, when participants were instructed to focus attention on sound location,

changes in task-irrelevant sound frequency were associated with increased BOLD signal in right middle frontal gyrus (MFG), right IFG, bilateral STG, and bilateral IPL (Table 4).

We also tested whether the changes related to involuntary orienting differed between the attend-frequency and the attend-location tasks. The attend-frequency task (SfDL-SfSL) minus attend-location task (DfSL-SfSL) revealed greater activity in the attend-location task in the right superior temporal gyrus (BA 22, Figure 6). That is, task-irrelevant changes in sound frequency is associated with enhanced activity in right STG. Although this difference in activation is relatively small, it suggests that the dorsal and ventral pathways are differentially recruited in situations designed to "trigger" involuntary orienting (Table 5).

Conflict resolution

Behavioural results: Evidence of *conflict resolution* was found in each TAiL task. In the attend-frequency task, participants were significantly slower and less accurate at responding to trials with incongruent sound features (SfDL – same frequency and different location; DfSL – different frequency and same location) compared to trials with congruent sound features (SfSL – same frequency and same location; DfDL – different frequency and different location) (RT: $F(1, 16) = 49.95$, $p < .001$, $\eta_p^2 = .76$; accuracy: $F(1, 16) = 9.80$, $p = .006$, $\eta_p^2 = .38$) (Figures 2A and 3A). Similarly, in the attend-location task, listeners were significantly slower and less accurate at responding to incongruent trials compared to congruent trials (RT: $F(1, 16) = 113.54$, $p < .001$, $\eta_p^2 = .88$; accuracy: $F(1, 16) = 21.55$, $p < .001$, $\eta_p^2 = .57$) (Figures 2B and 3B).

The *conflict resolution* difference in RT was comparable between the two tasks (attend-frequency and attend-location), $t(16) = -0.11$, $p = .91$ (Figures 2C and D), but the difference in

accuracy was significantly smaller for the attend-frequency task, $t(16) = 2.55$, $p = .022$ (Figures

3C and D).

fMRI results: The neural activity associated with *conflict resolution* is shown in Figures 7 and 8.

As for the behavioural data, the neural correlates were examined by contrasting BOLD responses

when one task feature changed (incongruent trials) versus when both of the task features changed

or stayed constant within the trial (congruent trials). When participants were instructed to focus

attention on frequency, incongruent trials (i.e., DfSL+SfDL) generated a greater BOLD response

than congruent (DfDL+SfSL) trials in several brain regions. These included bilateral SFG and

IFG, MFG, and right anterior cingulate cortex, as well as right caudate and left

paraphippocampal gyrus (Table 6).

Conversely, when participants were instructed to focus attention on sound location, the

incongruent trials, relative to congruent trials, were associated with increased activation in

bilateral SFG, right STG, left IFG and left IPL, cerebellum, as well as right MFG, right

precuneus, right MTG, and bilateral cingulate (Table 7).

As with involuntary orienting, we tested whether the incongruency effects observed

during the attend-frequency and attend-location task differed. This contrast revealed greater

activation during the location task in the right STG, right MFG and left post central gyrus

(Figure 9, Table 8).

Discussion

As previously found with the TAiL paradigm (Stewart, Amitay, & Alain, 2017; Zhang et

al., 2012), listeners showed a stronger effect of involuntary orienting on RTs in the attend-

frequency task than in the attend-location. Meanwhile, the effect of conflict resolution on RTs

was comparable between the two TAiL tasks. These results suggest that while conflicting

auditory information is dealt with similar ease between non-spatial and spatial tasks, participants

showed a greater interference when the task-irrelevant sound feature was location than when it

was frequency.

Overall the level of accuracy in both tasks was slightly higher than in Stewart et al.

(2017), however this may have been due to a shorter paradigm used in this current study. This

replication of behavioural results provide further evidence supporting the use of TAiL as a robust

measure of involuntary orienting and conflict resolution in the auditory domain. In this study,

both attend-frequency and attend-location tasks showed similar levels of accuracy when no

distracting or conflicting sound information was presented. Therefore, difference in brain activity

between the two tasks cannot easily be accounted for by differences in task difficulty.

We predicted similar neural networks for involuntary orienting when the auditory

features to be attended to were spatial and non-spatial. With additional intraparietal (dorsal) areas

recruited when attending to spatial stimuli and temporal (ventral) areas when attending to non-

spatial stimuli. We found that a subset of brain areas were active in the two TAiL tasks covering

frontal (SFG, right IFG), parietal (IPL, precentral gyrus) and temporal (STG, MTG) areas.

Activity in the STG was found for involuntary orienting in both TAiL tasks. Two meta-

analysis studies have shown that this area has been found to be activated for non-spatial and

some spatial auditory processing. Arnott et al. (2004) further define non-spatial activations

throughout the length of the STG, with spatial activations only occuring in a narrow anterior-

posterior range of the cortex. This was later confirmed by Alho and colleagues (Alho et al.,

2014) whose meta-analysis found non-spatial pitch processing in the middle STG and spatial

auditory processing in the posterior STG. These non-spatial areas are comparable to the temporal

regions activated by orienting to a talker after a non-spatial cue in speech in noise tasks (Hill &

Miller, 2010; Lee et al., 2012).

We found a common fronto-temporal network when involuntary orienting in the spatial

and non-spatial auditory tasks similar to results found in a vision study that used a common

orienting paradigm for both spatial and non-spatial stimuli (Galashan & Siemann, 2017). Instead

of additional dorsal areas being recruited for spatial orienting as found in vision (Galashan &

Siemann, 2017), we found that non-spatial auditory involuntary orienting recruited additional

ventral areas – including the inferior frontal gyrus bilaterally, an area implicated previously

during sound object identification tasks including frequency discrimination (Kiehl, Laurens,

Duty, Forster, & Liddle, 2001; Muller, Kleinhans, & Courchesne, 2001; Zald & Pardo, 2002),

animal noise recognition (Tranel et al., 2003); discerning pleasant from unpleasant music

(Koelsch, Fritz, DY, Muller, & Friederici, 2006) and duration discrimination (Pedersen et al.,

2000).

Task specific activations were also found. When comparing between the two TAiL tasks

involuntary orienting was found to show significantly greater activity in the right superior

temporal gyrus during the attend-location than the attend-frequency task. This area is generally

considered to be part of the ventral network and has been shown through lesion and fMRI studies

to be associated with discerning pitch patterns (e.g., lesion: Milner, 1962; Samson & Zatorre,

1988; Zatorre, 1985; Liégeois-Chauvel, Peretz, Babaï, Laguitton & Chauvel, 1998. fMRI: Binder

et al., 1997; Zatorre, Evans & Meyer, 1994). The similar involuntary orienting networks with

task-specific areas of activation suggests an interaction between the dorsal and ventral pathways

during involuntary orienting. This finding is novel but the causality of this interaction is unclear.

It could be that the interaction between pathways triggers the involuntary orienting or it may be

that the task-irrelevant feature distracts the listener during the task. The right STG activity in the

attend-location involuntary orienting contrast suggests the latter.

With overlapping networks found for voluntary and involuntary orienting in previous

studies (e.g., Salmi et al., 2009) we expected to find FEF activity – an area typically recruited for

orienting in (Corbetta & Shulman, 2002; Hill & Miller, 2010; Lee et al., 2012). However, we did

not find FEF activity in either of the TAiL tasks. This may be due to the paradigm differences

between TAiL and other studies (no cues vs. cues, task-irrelevant changes every trial vs. oddball,

subtle vs. salient stimuli changes).

Braga and colleagues (2013) discuss how the FEFs may be part of a superior fronto-

parietal network used in visual spatial attention, while a frontal-temporal network is used for

non-spatial auditory attention. This latter network is further described to link the posterior MTG,

part of the higher-level auditory cortex, with the 'executive' MFG. While we did not find this

exact network during our auditory task, we found no FEF activiy and instead enhanced STG and

IFG activity. Specifically, we found STG activity related to non-spatial and spatial involuntary

orienting and spatial conflict resolution (further details below). Furthermore, significantly more

right STG activity was shown for both attention constructs in our spatial task compared to our

non-spatial equivalent. Therefore, our results may extend Braga et al's proposal that auditory

spatial and non-spatial attention calls upon a MFG/IFG modulator that connects to the

MTG/STG, and works in parallel to visual attention networks. Unfortunately, Braga et al. (2013)

did not have a spatial auditory (or non-spatial visual) task for direct comparisons.

We predicted recruitment of a frontoparietal network for conflict resolution in both TAiL

tasks, with activity in the ACC, IFG, and parietal areas. Additional frontal activity, as part of the

ventral network, was predicted for the non-spatial task. Following Posner and Peterson's (1990)

assumption that attention networks are amodal, additional activity for the non-spatial task was

expected in the occipital lobe, as found in visual studies of conflict resolution (e.g., Siemann,

Herrmann & Galashan, 2018). However, as evidence suggests that attention is not amodal (Salmi

et al., 2007; Roberts & Hall, 2008; Salo et al., 2017) an alternative prediction was made for

additional ventral activity in the temporal cortex for the non-spatial task (e.g., Roberts & Hall,

2008).

As expected, a frontoparietal network was found to be activated for both TAiL tasks'

conflict resolution measure. However the ACC, an area typically found in conflict resolution

studies, was not included in either network. The spatial task recruited a larger frontoparietal

network with additional areas recruited in the fusiform gyrus and dorsal pathway (left postcentral

gyrus, right superior temporal gyrus and middle frontal gyrus). Inferior frontal gyrus areas,

typical of the ventral pathway, were recruited for both the spatial and non-spatial tasks. This is

consistent with previous conflict resolution studies showing that when conflict is detected, a

cognitive control system in the dorsolateral prefrontal cortex is alerted to reduce the conflict by

applying favourable weighting to task-relevant information processing in order to successfully

complete the task (Botvinick, Braver, Barch, Carter, & Cohen, 2001).

Again this pattern of spatial/non-spatial results differs from those using a common visual

paradigm with spatial and non-spatial stimuli. For example, Siemann et al. (2018) found a

common visual conflict resolution network for both spatial and non-spatial stimuli, with

additional areas recruited for non-spatial stimuli. Meanwhile our auditory results show additional

dorsal pathway areas were recruited for spatial conflict resolution. Furthermore, our study

looking at event-related potentials (ERPs) (Stewart et al., 2017) showed that while both TAiL

tasks had earlier onsets of conflict resolution processing compared to similar visual studies, the

auditory spatial task had an additional negative frontocentral component with timings straddling

both auditory and visual Stroop tasks. Together with our fMRI findings, this suggests that

resolving conflict in auditory spatial tasks is more cortically demanding than in auditory non-

spatial tasks.

Our finding is in contrast to the findings of Haupt and colleagues (Haupt, Axmacher,

Cohen, Elger, & Fell, 2009) who found that non-spatial auditory conflict resolution required

more activation in the very posterior part of the ACC than spatial auditory conflict resolution.

However, unlike in TAiL, Haupt et al. used semantic stimuli to create their auditory Stroop task

('high', 'low' and 'good') along with the pitch of the stimuli. While semantic stimuli can be

processed categorically in such a task, pitch stimuli is not. With the exception of musicians with

absolute pitch, the majority of listeners process pure tones on a continouous scale; the typical

listener is unable to categorize a frequency of 261.6 Hz as middle C, and would instead label the

tone with an abstract label. This has been shown using another version of an auditory Stroop task

where conflict resolution was assessed by congruent and incongruent trials of the stimuli's tone

and sung tone name (Schulze, Mueller, & Koelsch, 2013). Unlike musicians without absolute

pitch, those with absolute pitch showed activation in the left superior temporal gyrus/sulcus. This

activation of the ventral pathway suggests that only the musicians with absolute pitch were able

to categorically perceive/process the tones..

The use of pure tones in this current study can therefore be viewed as favourable as it

removes individual differences in their interpretation, unlike semantic stimuli. However,

Roebuck, Sindberg, and Weismer (2018) have shown that the interpretation of the executive

function inhibition abilities of children with language difficulties changes when nonlinguistic

auditory stimuli are familiar (e.g. duck quack and dog bark) instead of abstract. Therefore,

conclusions about attention constructs from pure tone stimuli should be taken with caution as

language strategies may be used to differentiate the stimulus properties. Nevertheless, as the

TAiL paradigm uses calculated scores by subtracting one condition from another (e.g.

incongruent – congruent), the effects of individual differences in labelling strategies to identify

stimuli should be negligible. Furthermore, as the only difference between the two TAiL tasks are

the instructions, the results reflect differences in cognitive processing across tasks rather than

differences in physical stimuli. Lastly, future studies are needed using larger sample sizes to

further characterize the connectivity of the neural networks enabling involuntary orienting and

conflict resolution when attending to auditory stimuli.

Conclusion

There is a plethora of evidence showing that both the auditory and visual senses use

dorsal and ventral pathways when attending to spatial and non-spatial information, respectively

(Alho et al., 2014; Arnott et al., 2004; Milner & Goodale, 2008). However, the timelines and

how these pathways are facilitated with regards to specific attention constructs differ between the

domains. Auditory involuntary orienting and conflict resolution have been found to occur faster

than visual involuntary orienting and conflict resolution (Stewart et al., 2017). The results from

this current study suggest that additional dorsal pathway cortical areas are recruited for auditory

spatial attention constructs. These results are in contrast to the visual modality, where additional

cortical areas are recruited for visual non-spatial attention constructs. These differences

remphasise that not all findings in the visual domain can be generalized to audition. Adding to

existing evidence from an EEG study, our results suggest that the cognitive processes that occur

when selectively atetending to auditory stimuli are distinct from visual stimuli, and that

ininvoluntary orienting occurs before conflict resolution.

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Author contributions

HJS and CA designed the study. Data collection was conducted by HJS. HJS, DS, NS,

and CA analyzed the data and wrote the manuscript.

Additional information

The authors declare that the research was conducted in the absence of any commercial, financial or non-financial relationships that could be construed as a potential conflict of interest.

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Table 1: Calculations for the TAiL outcome measures

	Attend-frequency	Attend-location
Baseline	SfSL	SfSL
Involuntary Orienting	(DfDL + SfDL – DfSL – SfSL)	(DfDL + DfSL – SfDL – SfSL)
Conflict Resolution	(DfSL + SfDL – DfDL – SfSL)	

634
635   Table 2: RT and accuracy for the four trial types in each TAiL task.

Conditons:		RT (ms)		Accuracy (%)	
		Mean	SEM	Mean	SEM
Attend-frequency	SfSL	492.41	23.51	98.60	0.79
	SfDL	675.30	38.30	91.95	2.67
	DfSL	627.38	37.62	94.80	1.60
	DfDL	646.55	37.93	94.54	1.36
Attend-location	SfSL	517.06	25.44	97.75	0.84
	SfDL	625.93	31.73	91.84	1.98
	DfSL	657.89	27.26	89.59	1.91
	DfDL	594.31	33.8	97.08	0.97

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638
639 Table 3. Attend-frequency task: Different location vs. same location (DfDL+SfDL-DfSL-SfSL)
640 (*pthr* = .005, t = 3.252; corrected *p* < .05)
641

Brain Regions	Peak Talairach co-ordinate				t-values
	BA	X	y	z	
R inferior frontal gyrus (IFG)	45	38	22	4	5.421
L & R superior frontal gyrus (SFG)	8	2	24	52	5.255
L & R caudate and commissure		0	-26	0	3.458
L inferior frontal gyrus (IFG)	45	-32	24	4	5.975
L precentral gyrus	6	-28	-10	64	3.676
L superior temporal gyrus (STG)	22	-60	-38	20	3.341

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645 Table 4. Attend-location task: Different frequency vs. same frequency (DfDL+DfSL-SfDL-
646 SfSL) (*pthr* = .005, t = 3.252; corrected *p* < .05)
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| Brain Regions | Peak Talairach co-ordinate | | | | t-values |
	BA	X	y	z	
R inferior frontal gyrus (IFG)	9	46	8	30	3.260
R middle frontal gyrus (MFG)	9	48	30	28	3.746
R superior temporal gyrus (STG)	21	64	-24	-24	4.507
R inferior parietal lobule (IPL)	40	52	-48	40	3.500
L superior temporal gyrus (STG)	42	-62	-24	-2	3.479
L inferior parietal lobule (IPL)	40	-48	-44	52	4.055

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651 Table 5. Attend-frequency vs. attend-location task: Involuntary orienting (SfDL+ SfSL – DfSL -
652 SfSL) (*pthr* = .005, t = 3.252; corrected *p* < .05)
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Brain regions	Peak Talairach co-ordinate				t-values
	BA	x	y	z	
R superior temporal gyrus (STG)	22	64	-20	-4	-3.971

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659 Table 6. Attend-frequency task: Incongruent vs. congruent (DfSL+SfDL-DfDL-SfSL) (*pthr* =
660 .005, t = 3.252; corrected *p* < .05)
661

Brain Regions	Peak Talairach co-ordinate				t-values
	BA	X	y	z	
R inferior frontal gyrus (IFG)	47	48	20	0	3.733
R & L superior frontal gyrus (SFG)	6	2	12	52	3.733
R cingulate gyrus	23	18	-8	30	3.832
R & L culman	35	16	-26	-16	4.042
R caudate head		14	26	6	3.603
R caudate tail		26	-40	20	3.446
L precentral gyrus	9	-44	6	34	4.146
L inferior frontal gyrus (IFG)	13	-36	20	2	5.017
L parahippocampal gyrus	19	-36	-46	2	3.904

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666 Table 7 Attend-location task: Conflict resolution (DfSL+SfDL-DfDL-SfSL) (*pthr* = .005, t =
667 3.252; corrected *p* < .05)

Brain Regions	Peak Talairach co-ordinate				t-values
	BA	X	y	z	
R middle frontal gyrus (MFG)	9	46	14	34	6.094
R superior temporal gyrus (STG)	22	48	-22	-4	3.716
R inferior parietal lobule (IPL)	40	36	-64	50	3.724
R inferior semi-lunar lobule		18	-70	-46	3.600
R cerebellum		34	-42	-50	5.435
R & L superior frontal gyrus (SFG)	8	0	18	50	4.181
R & L cingulate gyrus	23	0	-22	28	3.268
R & L lingual gyrus	18	-8	-84	-16	4.028
R & L posterior commissure		0	-26	-2	3.471
L inferior frontal gyrus (IFG)	6	-30	24	0	5.673
L inferior parietal lobule (IPL)	40	-42	-44	42	4.719
L inferior semi-lunar lobule		-14	-72	-44	5.847
L cerebellar tonsil		0	-54	-34	4.079

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670 Table 8. Attend-frequency vs. attend-location task: Conflict resolution ((DfSL+SfDL-DfDL-
671 SfSL) – (DfSL+SfDL-DfDL-SfSL)) (*pthr* = .005, t = 3.252; corrected *p* < .05)
672

Brain regions	Peak Talairach co-ordinate				t-values
	BA	x	y	z	
R fusiform gyrus	37	46	-66	-16	-4.376
R superior temporal gyrus (STG)	22	48	-18	-4	-3.339
R cerebellar tonsil		36	-44	-44	-3.524
R middle frontal gyrus (MTG)	9	54	18	36	-3.268
L postcentral gyrus	6	-60	-18	44	-3.587
L cerebellar tonsil		-34	-46	-46	-4.612

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675   **Figure captions**.
Figure 1. Paradigm schematics of runs and a single (DfSL) trial. Twelve runs were made up of

alternating attend-frequency and attend-location TAiL tasks, counterbalanced across participants.

Within each run 40 trials were presented consisting of 10 each of SfSL, SfDL, DfSL, DfDL trials

randomized for each participant.

Figure 2. Group mean response times for the (A) attend-frequency and (B) attend-location tasks.

Group mean measures of involuntary orienting, and conflict resolution for the (C) attend-

frequency and (D) attend-location tasks. The error bars represent the standard error of the mean.

Figure 3. Group mean accuracy for the (A) attend-frequency and (B) attend-location tasks.

Group mean measures of involuntary orienting, and conflict resolution for the (C) attend-

frequency and (D) attend-location tasks. The error bars represent the standard error of the mean.

Figure 4. Attend-frequency task: Different Location vs. same location. Surface maps showing

the effects of the irrelevant location feature while attending to the relevant frequency feature (i.e.

involuntary orienting). Abbreviations: STG = superior temporal gyrus; SMA = supplementary

motor area; IFG = inferior frontal gyrus.

Figure 5. Attend-location task: Different frequency vs. same frequency. Surface maps showing

the effects of the irrelevant frequency feature while attending to the relevant location feature (i.e.

involuntary orienting). Abbreviations: MFG = middle frontal gyrus; IPL = inferior parietal

lobule; STG = superior temporal gyrus; IFG = inferior frontal gyrus.

Figure 6. Contrast between the two involuntary orienting effects (attend-frequency > attend-

location).

Figure 7. Attend-frequency task: Incongruent vs. congruent. Surface maps showing the effects

of incongruency (DfSL+SfDL-DfDL-SfSL) while attending to the relevant frequency feature

(i.e., conflict resolution). Abbreviations: IFG = inferior frontal gyrus; SFG = superior frontal

gyrus.

Figure 8. Attend-location task: Incongruent vs. congruent. Surface maps showing the effects of

incongruency (DfSL+SfDL-DfDL-SfSL) while attending to the relevant location feature (i.e.,

conflict resolution). Abbreviations: MFG = middle frontal gyrus; IPL = inferior parietal lobule;

IFG = inferior frontal gyrus; SMA = supplementary motor areas; STG = superior temporal gyrus.

Figure 9. Contrast between the two conflict resolution effects (attend-frequency > attend-

location). Abbreviations: MTG = middle temporal gyrus; STG = superior temporal gyrus.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

| Axial | Sagittal | Coronal |

Figure 6

Figure 7

Figure 8

Figure 9