Involuntary orienting and conflict resolution during auditory attention: The role of ventral

and dorsal streams

Hannah J Stewart[1, 2, 3], Dawei Shen[1], Nasim Sham[1], & Claude Alain[1,4]

[1] Rotman Research Institute, Baycrest Centre, Toronto, Ontario, Canada

[2] Division of Psychology and Language Sciences, University College London, London, UK

[3] Communication Sciences Research Center, Cincinnati Children's Hospital Medical Center,

Cincinnati, Ohio, USA

[4] Department of Psychology, University of Toronto, Toronto, Canada

Correspondence: Claude Alain, Ph.D.
11   Rotman Research Institute
12   Baycrest Centre
13   3560 Bathurst Street, Toronto, ON M6A 2E1, Canada
14   Email: calain@research.baycrest.org

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Abstract

Selective attention to sound object features such as pitch and location is associated with

enhanced brain activity in ventral and dorsal streams, respectively. We examined the role of

these pathways in involuntary orienting and conflict resolution using functional magnetic

resonance imaging (fMRI). Participants were presented with two tones that may share, or not, the

same non-spatial (frequency) or spatial (location) auditory features. In separate blocks of trials,

participants were asked to attend to sound frequency or sound location and ignore the change in

the task-irrelevant feature. In both attend-frequency and attend-location tasks, response times

were slower when the task-irrelevant feature changed than when it stayed the same (involuntary

orienting). This behavioural cost coincided with enhanced activity in the prefrontal cortex and

superior temporal gyrus (STG). Conflict resolution was examined by comparing situations where

the change in stimulus features was congruent (both features changed) and incongruent (only one

feature changed). Participants were slower and less accurate for incongruent than congruent

sound features. This congruency effect was associated with enhanced activity in the prefrontal

cortex, and was greater in the right STG and medial frontal cortex during the attend-location than

during the attend-frequency task. Together, these findings do not support a strict division of

'labour' into ventral and dorsal streams, but rather suggest interactions between these pathways

in situations involving changes in task-irrelevant sound feature and conflict resolution. These

findings also validate the Test of Attention in Listening task by revealing distinct neural

correlates for involuntary orienting and conflict resolution.

Introduction

To navigate successfully in complex auditory environments, the listener needs to identify *what* different sounds are and *where* they are coming from (Alain & Arnott, 2000; Alain, Arnott, Hevenor, Graham, & Grady, 2001). Evidence from animal studies (Lomber & Malhotra, 2008; Rauschecker, 1998; Rauschecker & Tian, 2000; Romanski et al., 1999), as well as from functional magnetic resonance imaging (fMRI) studies (Alain et al., 2001; Alain, He, & Grady, 2008; Degerman, Rinne, Salmi, Salonen, & Alho, 2006; Leung & Alain, 2011; Maeder et al., 2001) suggest that ventral and dorsal brain areas play an important role in identifying and locating sounds in the environment, respectively. Human lesion studies have shown double dissociations between non-spatial and spatial auditory processing in patients with left and right hemisphere damage (Clarke, Bellmann, Meuli, Assal, & Steck, 2000; Clarke et al., 2002; Clarke & Thiran, 2004; Zundorf, Lewald, & Karnath, 2016). Meta-analyses of auditory fMRI studies provide further support for ventral and dorsal pathways involved in processing the sound object identity and location, respectively (Alho, Rinne, Herron, & Woods, 2014; Arnott, Binns, Grady, & Alain, 2004).

While a number of imaging studies have investigated the dual pathway theory with varying types of auditory stimuli and tasks (e.g., noise bands with center frequencies, Alain et al., 2001; dichotic vowel identification, Du et al., 2015; and 3-talker sentences, Hill & Miller, 2010), there have been few investigations into the auditory ventral and dorsal pathways with regards to specific attentional constructs (e.g., Mayer et al., 2006; Orr & Weissman, 2009). The few that do explore different attentional constructs assess each construct in isolation despite multiple attentional constructs being used in parallel in real life. Zhang, Barry, Moore & Amitay (2012) have developed a test, the Test of Attention in Listening (TAiL), based on two of Posner

and Petersen's (1990; Petersen & Posner, 2012) Attention Network constructs. During auditory

attention tasks TAiL can isolate involuntary orienting (attending to a task-irrelevant

property/object) and executive control (completing the dominant task while also performing a

subdominant task, e.g., conflict resolution).

Orienting to auditory information can occur voluntarily via cues or be triggered

involuntarily by unexpected novel sound events. Cues, whether valid or invalid, exert strong

effects on behaviour and cortical responses (Mayer et al., 2009; Posner & Petersen, 1990). The

TAiL paradigm does not involve cues and so focuses on involuntary orienting. This attention

construct has been widely investigated through auditory oddball paradigms where an infrequent

uncued stimuli change occurs (e.g., loudness, pitch, location) to elicit attentional capture.

However, in the TAiL paradigm the frequency (pitch) and location changes are subtle and occur

every trial. Neuroimaging of such a paradigm is novel.

Two papers using auditory stimuli similar to ourselves, but in an oddball task, compared

cortical areas activated when involuntarily orienting to task-irrelevant stimuli (loudness deviant)

during a non-spatial (pitch – Alho et al., 2015) and spatial (location – Salmi et al., 2009) task-

relevant paradigm. They found that the two paradigms showed overlapping areas of activation in

the posterior superior temporal sulcus, middle temporal gyrus and middle frontal gyrus.

However, there was stronger bilateral activation in large areas of the superior temporal

gyrus/sulcus in the non-spatial task compared to the spatial task and in the ventromedial

prefrontal area for the opposite contrast. Loudness was used to trigger involuntary orienting in

both of the paradigms as evaluating the use of the dual pathways was not a goal of these sister

papers. Therefore, it is still unclear whether involuntarily orienting to non-spatial and spatial

auditory information will cause segregation or overlapping of the ventral and dorsal streams.

A classic methodology for testing conflict resolution involves contrasting incongruent

with congruent trials as in the Stroop task (Stroop, 1935). Roberts and Hall (2008) conducted a

meta-analysis of the visual Stroop task and found evidence of a common frontoparietal network,

including the anterior cingulate cortex (ACC), inferior frontal gyrus (IFG), parietal lobe and

anterior insula. They followed this meta-analysis up with the same group of participants

completing the traditional visual Stroop task and an auditory version where listeners indicated

whether the speaker's voice was high or low in pitch, while ignoring semantic content (the words

'high', 'low' and 'day'). The findings from the fMRI study were consistent with the results of

their meta-analysis with a common frontoparietal network for both paradigms. However, they

also observed extensive activity in the left lateral prefrontal cortex during the auditory Stroop

task along with activity in the superior temporal sulcus; and the visual Stroop task showed

greater activity in the right inferior frontal gyrus. These results suggest a supramodal conflict

resolution network with further areas activated for the separate modalities. However, the

pathways activated by auditory spatial and non-spatial conflict resolution tasks has not been

investigated. Siemann, Herrmann & Galashan (2018) investigated this question with visual

stimuli and found comparable networks were activated for visual spatial and non-spatial conflict

resolution (dorsal fronto-parietal networks in the left middle frontal gyrus, superior parietal

lobule and frontal eye fields). With additional ventral areas recruited specifically for non-spatial

conflict resolution (left middle temporal gyrus, cuneus and lingual gyrus).

Behavioural studies using TAiL provide support that the task taps into these two

attentional constructs of involuntary orientation and conflict resolution using auditory stimuli

(Zhang et al., 2012; Stewart & Amitay, 2015). Using scalp-recordings of event-related potentials

(ERPs), Stewart and colleagues (2017) showed distinct ERP modulations associated with

involuntary orienting and conflict resolution. The comparison of distributed source analyses for

involuntary orienting suggests a more dorsal source when the task-irrelevant sound feature was

location than when it was frequency. Although this finding appears consistent with the dual

pathway model of auditory attention, further research is needed to more precisely identify the

brain areas associated with involuntary orienting and conflict resolution during the TAiL task.

This current study combines fMRI, with its advantageous spatial resolution, and the TAiL

task to investigate the brain areas associated with involuntary orienting and conflict resolution.

The paradigm used provides a novel approach to this question as it allows both attentional

constructs to be investigated using the same auditory trials and without the sustained attention

effects of an oddball paradigm. It is expected that optimum task performance may differentially

involve ventral and dorsal streams to process non-spatial and spatial auditory stimulus features,

respectively. As found by Alho et al. (2015), we anticipate that involuntary orienting will engage

a similar attention network for both spatial and non-spatial tasks including the superior temporal

sulcus/gyrus, middle temporal gyrus and middle frontal gyrus. Due to the paradigm differences

between TAiL's continuous subtle task-irrelevant changes and previous studies' deviant salient

task-irrelevant changes, it is unclear which cortical areas will show additional activity for spatial

and non-spatial stimuli. Following meta-analyses of the dual pathway theory in auditory tasks

(Arnott et al., 2004; Alho et al., 2014), additional inferior parietal lobe activity is predicted for

spatial stimuli and middle superior temporal gyrus activity for non-spatial stimuli. Conflict

resolution contrasts are predicted to show frontoparietal activation including the ACC, IFG and

parietal lobe, as found by Roberts and Hall (2008) and Siemann et al. (2018). However, it is

unclear whether larger supramodal or auditory-specific networks will be engaged for the spatial

(e.g., frontal cortex) and non-spatial auditory stimuli (e.g., amodal: middle temporal gyrus;

supramodal: cuneus and lingual gyrus).

Method

Participants

Seventeen right-handed participants aged 19 to 30 years (Mean = 24.74 years, SD = 3.43

years; 13 females and 4 males) were recruited through the Rotman Research Institute participant

database. Inclusion criteria was normal hearing (thresholds below 25 decibel (dB) hearing level

(HL) bilaterally at frequencies between 250 and 8000 Hz, inclusive, and a normal score (0-3) on

the Quick Speech-in-Noise test (QuickSIN) (Killion, Niquette, Gudmundsen, Revit, & Banerjee,

2004). Exclusion criteria was any self-reported history of brain damage, brain surgery, history of

language-related or attention-related conditions, autism spectrum disorders or any auditory

system disorders. All methods were approved by the Research Ethics Board at Baycrest Health

Sciences, and performed in accordance with the relevant guidelines and regulations of Toronto

Academic Health Services Network. All participants signed informed consent prior to the

experiment and received monetary compensation for their participation.

Stimuli and Task

All tones were made up of sinusoids with a duration of 100 ms, gated on/off by 10 ms cos

ramps, and were presented monaurally at about 85 dB sound pressure level (SPL) (root mean

square) by means of circumaural, fMRI-compatible headphones (Avotec, Jensen Beach, FL),

acoustically padded to suppress scanner noise by about 25 dB SPL. Tone frequency was

randomly selected for each participant from the range 476.18 - 6187.50 Hz with at least 2.1

equivalent rectangular bandwidths (ERBs; ~ 4 semitones) between the trial's tones, therefore

making it well within the listener's ability to discriminate between different frequencies (Jensen

& Neff, 1993). The inter-trial-interval varied randomly between 1000 and 4000 ms (1000 ms

steps, rectangular distribution), whilst the inter-stimulus interval (ISI) was set at 300 ms (Figure

1).

In both attend-frequency and attend-location tasks, the stimuli and paradigm remained the

same, and only the instructions to the participants changed. In each trial, participants heard a tone

pair where the individual tones were either the same or different in frequency and/or spatial

location (ear presentation) (SfSL – same frequency and same location; DfSL – different

frequency same location; SfDL – same frequency different location; DfDL – different frequency

different location.). In both attend-frequency and attend-location tasks, the listener had to

indicate via a button press if the task-relevant sound feature (i.e., the location of the two tones in

the attend-location task) were same or different, while ignoring the task-irrelevant sound feature

(i.e., the frequency of the two tones in the attend-location task). A full description of the task and

stimuli can be found in Zhang et al (2012).

Listeners were asked to respond as fast and as accurately as possible after the second

tone. Responses less than 200 ms and more than 2500 ms were excluded from further analysis in

case of premature responding and interruption of performance. Participants' responses were

registered using an fMRI-compatible response pad (Lightwave Technologies, Surrey, SC,

Canada). The left index finger was used for a 'same' response and the right index finger for a

'different' response. As soon as the listener responded to each trial (attend-frequency task group

mean: 610.40 ms, SD: 268.86 ms, range: 208.50-1979.00 ms); attend-location task group mean:

598.80 ms, SD: 263.31 ms, range: 221.40-1985.70 ms) visual feedback was provided for 500 ms.

If they answered correctly, a smiley face was displayed. If they answered incorrectly, the same

face was shown with a sad expression. TAiL stimuli were automated and presented using

Presentation software (version 16).

From the attend-frequency and attend-location tasks, three measures were calculated:

baseline, *involuntary orienting* (the behavioural cost associated with a change in the task-

irrelevant sound feature), and *conflict resolution* (the behavioural cost associated with processing

conflicting sound feature). The *baseline* measure is calculated for each task type from trials

where both sound features, frequency and location, remain constant (i.e., SfSL trials). The

involuntary orienting measure is calculated as the difference in RT and accuracy between

conditions when the task-irrelevant feature was different between Tone 1 and Tone 2 and when it

was constant (see Table 1). The *conflict resolution* measure is calculated as the difference

between trials where both auditory features remain constant or change together (congruent trials,

SfSL and DfDL), compared with trials where only one feature changes (incongruent trials, DfSL

and SfDL).

Procedure

Before entering the scanner, the participants completed a practice block for each of the

attend-frequency and attend-location tasks. Each practice block involved five trials, accompanied

by on-screen instructions. Participants had to reach 60% accuracy or more to move onto the full

testing blocks in the scanner. Each run consisted of 40 trials - 10 each of SfSL, DfSL, SfDL,

DfDL - presented in a random order for each participant. Both tasks (attend-frequency and

attend-location) had six runs providing a total of 40 trials per task per participant (Figure 1). The

order of the task types was counterbalanced across participants and alternated across the runs,

allowing regular rests for the participants. At the start of each run, participants were instructed of

the task type and to respond as fast and as accurately as possible, and visual feedback regarding

the listener's performance was provided after each trial throughout. The total testing/recording

time lasted around 45 minutes.

Behavioural analysis

Reaction times (RTs) from correct trials, and accuracy (% correct) were used in the

analysis. Repeated-measures ANOVAs with the task-relevant and task-irrelevant features as

within-subjects factors were run for each TAiL task.

fMRI scanning and data analysis

Participants were scanned using a research-dedicated whole-body 3.0 T MRI system

(Siemens Tim Trio – 3T software level Syngo MR 2006 VB13T) with a standard 12-channel

quadrature bird-cage head coil. Structural T1 weighted anatomical volume were obtained at the

midpoint of the experiment using SPGR (axial orientation, TR = 2000 ms, TE = 2.63 ms, FOV =

256 mm, slice thickness = 1 mm) for co-registration with the functional images and to ensure

that there were no significant brain abnormalities in any of the participants.

Each functional scan sequence began with a 20 second period where no stimuli were

presented. We used an event-related design with a continuous analyses image acquisition.

Functional imaging was performed to measure brain activation by means of the BOLD effect

(Ogawa, Lee, Kay, & Tank, 1990). Functional data were acquired using a whole head T2*-

weighted echo-planar image sequence (TE: 30 ms; TR: 2 s; flip angle: 70°; 30 oblique axial

slices with interleaved acquisition, 3.125mm×3.125mm×5mm voxel resolution; FOV: 200 mm;

AM: 64×64). Physiological respiratory and cardiac waveforms were recorded from the bellows

and photoplethysmograph peripherals on the scanner, respectively, using LabView (National

Instruments, Austin TX).

In each run, the first ten scans were discarded to allow the magnetization to reach steady

state. fMRI data was pre-processed and analyzed using Analysis of Functional Neuroimages

software (Version AFNI_2011_12_21_1014) (Cox, 1996, 2012). In the pre-processing stage, the

RETROICOR technique (Glover, Li, & Ress, 2000) was used to perform physiological noise

correction. By performing a slice timing correction, all slices can be aligned to the time of the

acquisition for the center slice (For the Siemens 3T Trio Scanner at Baycrest, slice 1 is the center

slice for each TR). To perform rigid body motion correction, for each run, images acquired at

each point in the series were aligned volumetrically, using the 3dvolreg plugin for AFNI, to a

reference image acquired during the scanning session. We chose the 51st scan of the middle run

(the 3rd run in the present study) as a reference scan because the 51st scan is usually very reliable

for most datasets. Note that the 51st scan corresponds to subbrick 50 because the 1st scan is

subbrick 0. The head motions are captured by 6 motion parameters: roll = rotation about the I-S

axis; pitch = rotation about the R-L axis; yaw = rotation about the A-P axis; dS = displacement in

the Superior direction; dL = displacement in the left direction; and dP = displacement in the

Posterior direction. The maximum rotation is less than 1.5 degree, and the peak range of

displacement was less than 1.5 mm for all participants. The co-registration results were also

checked visually for additional quality control. To effectively compare fMRI data across

participants, baseline normalization was performed by calculating the percent change. The

percent signal change is calculated for each participant on a voxel-by-voxel basis. The program

3dAutomask was used to create a mask image to specify what part of the image is of the brain

and what part is not. It can eliminate noise outside the brain and reduce the number of voxels.

The program 3dDeconvolve, which provides deconvolution analysis of fMRI time series

data on the voxel by voxel basis, was performed on the pre-processed data by using a linear

fitting. The shape of the response was modelled as a "gamma" function time-locked on trial

onset for each stimulus condition. Only trials where participants responded correctly were

included in the event-related analysis. For each participant we used all runs to create activation

maps for each of the four conditions: SfSL DfSL SfDL DfDL. These activation maps were then

spatially normalized to a Montreal Neurological Institute (MNI) template. We decreased spatial

noise variance by convolving the fMRI brain volume to a 6 mm FWHM smoothness using

3dFWHM. The images were also de-trended by fitting a 3rd order Legendre polynomial at each

voxel, and regressing it out of the time series.

AFNI's general linear model (GLM) (Winkler, Ridgway, Webster, Smith, & Nichols,

2014) was then used to perform second level group analysis and create maps to identify

statistically significant (threshold $p < 0.005$, $F \geq 3.252$) group effect clusters in the various

contrasts. Monte Carlo simulations with AlphaSim in AFNI was run to perform Family-wise

Error Correction for multiple comparisons (uncorrected p-value = .005; corrected p-value = .03;

minimum cluster size = 12; cluster connection radius = 6.67 mm); only clusters larger than 586

uL were selected. These single-participant univariate maps were then warped to MNI space,

using the EPI to MNI transformation that was previously computed for each participant's data.

The program of Surface Mapping with AFNI (SUMA) was used to display the images.

Results

Baseline

The group mean accuracy and reaction times (RTs) are presented in Table 2. In trials with

no distracting or conflicting auditory information (i.e., SfSL – same frequency and same

location) performance was comparable between the two tasks (attend-frequency and attend-

location) for RT, $t(16) = -1.35$, $p = .20$, and accuracy, $t(16) = 1.45$, $p = .17$. This result suggests

that differences, behavioural and cortical, in involuntary orienting and conflict resolution

between the two tasks cannot be easily accounted for by differences in task difficulty.

Involuntary orienting

<u>*Behavioural results*</u>: A significant effect of distraction (i.e., when the task-irrelevant sound

features were different vs. the same) was found in each TAiL task. In the attend-frequency task,

participants were significantly slower at responding to trials where the task-irrelevant location of

the sounds changed (SfDL – same frequency and different location; DfDL – different frequency

and different location) compared to when they stayed constant (SfSL – same frequency and same

location; DfSL – different frequency and same location) (RT: $F(1, 16) = 66.64$, $p < .001$, $\eta_p^2 =$

.81) (Figure 2A). However, they showed no difference in accuracy ($F(1, 16) = 36.27$, $p = .097$,

$\eta_p^2 = .16$) (Figure 3A). In the attend-location task, listeners were significantly slower and less

accurate at responding to trials where the irrelevant frequency of the sounds changed (DfSL –

different frequency and same location; DfDL – different frequency and different location)

compared to when they stayed constant (SfSL – same frequency and same location; SfDL – same

frequency and different location) (RT: $F(1, 16) = 75.54$, $p < .001$, $\eta_p^2 = .83$; accuracy: $F(1, 16) =$

8.12, $p = .012$, $\eta_p^2 = .34$) (Figures 2B and 3B).

The involuntary orienting difference in RT was significantly lower in the attend-location

task compared to the attend-frequency task, $t(16) = 3.25$, $p = .005$ (Figures 2C and D), but not for

accuracy, $p = .27$ (Figures 3C and D).

To sum up, in both task, RTs were slower when the task-irrelevant feature changed than

when it stayed the same, and this effect was greater when attention was focused on non-spatial

(frequency) than spatial (location) auditory feature.

fMRI results: Figures 4 and 5 show activity associated with involuntary orienting during attend-

frequency and attend-location, respectively. As for the behavioural data, the neural correlates

were examined by contrasting BOLD responses when the task-irrelevant feature changed versus

when the same task-irrelevant feature was repeated within the trial. When participants were

instructed to focus attention on frequency, changes in task-irrelevant sound location were

associated with increased BOLD signal bilaterally in superior frontal gyrus (SFG), the right

inferior frontal gyrus (IFG), the left superior temporal gyrus (STG) and the left precentral gyrus

(Table 3). Conversely, when participants were instructed to focus attention on sound location,

changes in task-irrelevant sound frequency were associated with increased BOLD signal in right

middle frontal gyrus (MFG), right IFG, bilateral STG, and bilateral IPL (Table 4).

We also tested whether the changes related to involuntary orienting differed between the

attend-frequency and the attend-location tasks. The attend-frequency task (SfDL-SfSL) minus

attend-location task (DfSL-SfSL) revealed greater activity in the attend-location task in the right

superior temporal gyrus (BA 22, Figure 6). That is, task-irrelevant changes in sound frequency

was associated with enhanced activity in right STG. Although this difference in activation is

relatively small, it suggests that the dorsal and ventral pathways are differentially recruited in

situations designed to "trigger" involuntary orienting (Table 5).

Conflict resolution

<u>*Behavioural results*</u>: Evidence of *conflict resolution* was found in each TAiL task. In the attend-

frequency task, participants were significantly slower and less accurate at responding to trials

with incongruent sound features (SfDL – same frequency and different location; DfSL –

different frequency and same location) compared to trials with congruent sound features (SfSL –

same frequency and same location; DfDL – different frequency and different location) (RT: $F(1,$

$16) = 49.95$, $p < .001$, $\eta_p^2 = .76$; accuracy: $F(1, 16) = 9.80$, $p = .006$, $\eta_p^2 = .38$) (Figures 2A and

3A). Similarly, in the attend-location task, listeners were significantly slower and less accurate at

responding to incongruent trials compared to congruent trials (RT: $F(1, 16) = 113.54$, $p < .001$,

$\eta_p^2 = .88$; accuracy: $F(1, 16) = 21.55$, $p < .001$, $\eta_p^2 = .57$) (Figures 2B and 3B).

The *conflict resolution* difference in RT was comparable between the two tasks (attend-

frequency and attend-location), $t(16) = -0.11$, $p = .91$ (Figures 2C and D), but the difference in

accuracy was significantly smaller for the attend-frequency task, $t(16) = 2.55$, $p = .022$ (Figures

3C and D).

<u>*fMRI results*</u>: The neural activity associated with *conflict resolution* is shown in Figures 7 and 8.

As for the behavioural data, the neural correlates were examined by contrasting BOLD responses

when one task feature changed (incongruent trials) versus when both of the task features changed

or stayed constant within the trial (congruent trials). When participants were instructed to focus

attention on frequency, incongruent trials (DfSL+SfDL) generated a greater BOLD response than

congruent (DfDL+SfSL) trials in several brain regions. These included bilateral SFG and IFG,

MFG, and right anterior cingulate cortex, as well as right caudate and left paraphippocampal

gyrus (Table 6).

Conversely, when participants were instructed to focus attention on sound location, the

incongruent trials, relative to congruent trials, were associated with increased activation in

bilateral SFG, right STG, left IFG and left IPL, cerebellum, as well as right MFG, right

precuneus, right MTG, and bilateral cingulate (Table 7).

As with involuntary orienting, we tested whether the incongruency effects observed

during the attend-frequency and attend-location task differed. This contrast revealed greater

activation during the location task in the right STG, right MFG and left post central gyrus

(Figure 9, Table 8).

Discussion

As previously found with the TAiL paradigm (Stewart, Amitay, & Alain, 2017; Zhang et

al., 2012), listeners showed a stronger effect of involuntary orienting on RTs in the attend-

frequency task than in the attend-location. Meanwhile, the effect of conflict resolution on RTs

was comparable between the two TAiL tasks. These results suggest that while conflicting

auditory information is dealt with similar ease between non-spatial and spatial tasks, participants

showed a greater interference when the task-irrelevant sound feature was location than when it

was frequency.

345   Overall the level of accuracy in both tasks was slightly higher than in Stewart et al.

(2017), however this may have been due to a shorter paradigm used in this current study. This

replication of behavioural results provide further evidence supporting the use of TAiL as a robust

measure of involuntary orienting and conflict resolution in the auditory domain. In this study,

both attend-frequency and attend-location tasks showed similar levels of accuracy when no

distracting or conflicting sound information was presented. Therefore, difference in brain activity

between the two tasks cannot easily be accounted for by differences in task difficulty.

352   We predicted similar neural networks for involuntary orienting when the auditory

353   features to be attended to were spatial and non-spatial. With additional intraparietal (dorsal

354   stream) areas recruited when attending to spatial stimuli and temporal (ventral stream) areas

355   when attending to non-spatial stimuli. We found that a subset of brain areas were active in the

356   two TAiL tasks covering frontal (SFG, right IFG), parietal (IPL, precentral gyrus) and temporal

357   (STG, MTG) areas.

358   Activity in the STG was found for involuntary orienting in both TAiL tasks. Two meta-

analysis studies have shown that this area has been found to be activated for non-spatial and

some spatial auditory processing. Arnott et al. (2004) further define non-spatial activations

throughout the length of the STG, with spatial activations only occurring in a narrow anterior-

posterior range of the cortex. This was later confirmed by Alho and colleagues (Alho et al.,

2014) whose meta-analysis found non-spatial pitch processing in the middle STG and spatial

auditory processing in the posterior STG. These non-spatial areas are comparable to the temporal

regions activated by orienting to a talker after a non-spatial cue in speech in noise tasks (Hill &

Miller, 2010; Lee et al., 2012).

We found a common fronto-temporal network when involuntary orienting in the non-

spatial and spatial auditory tasks, similar to results found by Alho et al. (2015) when comparing

its non-spatial paradigm to its sister's spatial paradigm (Salmi et al., 2009). We also found

additional areas recruited in the non-spatial auditory task. However, instead of activation in the

STG we found additional ventral areas were recruited. Including the inferior frontal gyrus

bilaterally, an area implicated previously during sound object identification tasks including

frequency discrimination (Kiehl, Laurens, Duty, Forster, & Liddle, 2001; Muller, Kleinhans, &

Courchesne, 2001; Zald & Pardo, 2002), animal noise recognition (Tranel et al., 2003);

discerning pleasant from unpleasant music (Koelsch, Fritz, DY, Muller, & Friederici, 2006) and

duration discrimination (Pedersen et al., 2000).

Task specific activations were also found. When comparing between the two TAiL tasks

involuntary orienting was found to show significantly greater activity in the right superior

temporal gyrus during the attend-location than the attend-frequency task. This area is generally

considered to be part of the ventral network and has been shown through lesion (e.g., Samson &

Zatorre, 1988; Zatorre, 1985; Liégeois-Chauvel, Peretz, Babaï, Laguitton & Chauvel, 1998) and

fMRI (e.g., Binder et al., 1997; Zatorre, Evans & Meyer, 1994) studies to be associated with

discerning pitch patterns. The similar involuntary orienting networks with task-specific areas of

activation suggests an interaction between the dorsal and ventral pathways during involuntary

orienting. This finding is novel, but the causality of this interaction is unclear. It could be that the

interaction between pathways triggers the involuntary orienting or it may be that the task-

irrelevant feature distracts the listener during the task. The right STG activity in the attend-

location involuntary orienting contrast suggests the latter.

Braga and colleagues (2013) discuss how the frontal eye fields (FEFs) may be part of a

superior fronto-parietal network used in visual spatial attention, while a frontal-temporal network

is used for non-spatial auditory attention. This latter network is further described to link the

posterior MTG, part of the higher-level auditory cortex, with the 'executive' MFG. We did not

find this exact network during our auditory task. Instead, we found STG activity related to non-

spatial and spatial involuntary orienting and spatial conflict resolution (further details below).

Furthermore, significantly more right STG activity was shown for both attention constructs in

our spatial task compared to our non-spatial equivalent. Therefore, our results may extend Braga

et al's proposal that auditory spatial and non-spatial attention calls upon a MFG/IFG modulator

that connects to the MTG/STG, and works in parallel to visual attention networks. Unfortunately,

Braga et al. (2013) did not have a spatial auditory (or non-spatial visual) task for direct

comparisons.

We predicted recruitment of a frontoparietal network for conflict resolution in both TAiL

tasks, with activity in the ACC, IFG, and parietal areas. Additional frontal activity, as part of the

ventral network, was predicted for the non-spatial task. Following Posner and Peterson's (1990)

assumption that attention networks are amodal, additional activity for the non-spatial task was

expected in the occipital lobe, as found in visual studies of conflict resolution (e.g., Siemann,

Herrmann & Galashan, 2018). However, as evidence suggests that attention is not amodal (Salmi

et al., 2007; Roberts & Hall, 2008; Salo et al., 2017) an alternative prediction was made for

additional ventral stream activity in the temporal cortex for the non-spatial task (e.g., Roberts &

Hall, 2008).

As expected, a frontoparietal network was found to be activated for both TAiL tasks'

conflict resolution measure. However the ACC, an area typically found in conflict resolution

studies, was not included in either network. The spatial task recruited a larger frontoparietal

network with additional areas recruited in the fusiform gyrus and dorsal pathway (left postcentral

gyrus, right superior temporal gyrus and middle frontal gyrus). Inferior frontal gyrus areas,

typical of the ventral pathway, were recruited for both the spatial and non-spatial tasks. This is

consistent with previous conflict resolution studies showing that when conflict is detected, a

cognitive control system in the dorsolateral prefrontal cortex is alerted to reduce the conflict by

applying favourable weighting to task-relevant information processing in order to successfully

complete the task (Botvinick, Braver, Barch, Carter, & Cohen, 2001).

Again, this pattern of spatial/non-spatial results differs from those using a common visual

paradigm with spatial and non-spatial stimuli. For example, Siemann et al. (2018) found a

common visual conflict resolution network for both spatial and non-spatial stimuli, with

additional areas recruited for non-spatial stimuli. Meanwhile our auditory results show additional

dorsal pathway areas were recruited for spatial conflict resolution. Furthermore, our ERP study

(Stewart et al., 2017) showed that while both TAiL tasks had earlier onsets of conflict resolution

processing compared to similar visual studies, the auditory spatial task had an additional negative

frontocentral component with timings straddling both auditory and visual Stroop tasks. Together

with our fMRI findings, this suggests that resolving conflict in auditory spatial tasks is more

cortically demanding than in auditory non-spatial tasks.

Our finding is in contrast to the findings of Haupt and colleagues (Haupt, Axmacher,

Cohen, Elger, & Fell, 2009) who found that non-spatial auditory conflict resolution required

more activation in the very posterior part of the ACC than spatial auditory conflict resolution.

However, unlike in TAiL, (Haupt et al., 2009) used semantic stimuli to create their auditory

Stroop task ('high', 'low' and 'good') along with the pitch of the stimuli. While semantic stimuli

can be processed categorically in such a task, pitch stimuli are not. With the exception of

musicians with absolute pitch, the majority of listeners process pure tones on a continuous scale;

the typical listener is unable to categorize a frequency of 261.6 Hz as middle C, and would

instead label the tone with an abstract label. This has been shown using another version of an

auditory Stroop task where conflict resolution was assessed by congruent and incongruent trials

of the stimuli's tone and sung tone name (Schulze, Mueller, & Koelsch, 2013). Unlike musicians

without absolute pitch, those with absolute pitch showed activation in the left superior temporal

gyrus/sulcus. This activation of the ventral pathway suggests that only the musicians with

absolute pitch were able to categorically perceive/process the tones.

The use of pure tones in this current study can therefore be viewed as favorable as it

removes individual differences in their interpretation, unlike semantic stimuli. However,

Roebuck, Sindberg, and Weismer (2018) have shown that the interpretation of the executive

function inhibition abilities of children with language difficulties changes when nonlinguistic

auditory stimuli are familiar (e.g. duck quack and dog bark) instead of abstract. Therefore,

conclusions about attention constructs from pure tone stimuli should be taken with caution as

language strategies may be used to differentiate the stimulus properties. Nevertheless, as the

TAiL paradigm uses calculated scores by subtracting one condition from another (e.g.

incongruent – congruent), the effects of individual differences in labelling strategies to identify

stimuli should be negligible. Furthermore, as the only difference between the two TAiL tasks are

the instructions, the results reflect differences in cognitive processing across tasks rather than

differences in physical stimuli. Lastly, future studies are needed using larger sample sizes to

further characterize the connectivity of the neural networks enabling involuntary orienting and

conflict resolution when attending to auditory stimuli.

Conclusion

There is a plethora of evidence showing that both the auditory and visual senses use

dorsal and ventral pathways when attending to spatial and non-spatial information, respectively

(Alho et al., 2014; Arnott et al., 2004; Milner & Goodale, 2008). However, the timelines and

how these pathways are facilitated with regards to specific attention constructs differ between the

domains. Auditory involuntary orienting and conflict resolution have been found to occur faster

than visual involuntary orienting and conflict resolution (Stewart et al., 2017). The results from

this current study suggest that additional dorsal pathway cortical areas are recruited for auditory

spatial attention constructs. These results are in contrast to the visual modality, where additional

cortical areas are recruited for visual non-spatial attention constructs. These differences

reemphasize that not all findings in the visual domain can be generalized to audition. Adding to

existing evidence from an EEG study, our results suggest that the cognitive processes that occur

when selectively attending to auditory stimuli are distinct from visual stimuli, and that

involuntary orienting occurs before conflict resolution.

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Author contributions

HJS and CA designed the study. Data collection was conducted by HJS. HJS, DS, NS,

and CA analyzed the data and wrote the manuscript.

Additional information

The authors declare that the research was conducted in the absence of any commercial,

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Table 1: Calculations for the TAiL outcome measures

	Attend-frequency	Attend-location
Baseline	SfSL	SfSL
Involuntary Orienting	(DfDL + SfDL – DfSL – SfSL)	(DfDL + DfSL – SfDL – SfSL)
Conflict Resolution	(DfSL + SfDL – DfDL – SfSL)	

Table 2: RT and accuracy for the four trial types in each TAiL task.

Conditons:		RT (ms)		Accuracy (%)	
		Mean	SEM	Mean	SEM
Attend-frequency	SfSL	492.41	23.51	98.60	0.79
	SfDL	675.30	38.30	91.95	2.67
	DfSL	627.38	37.62	94.80	1.60
	DfDL	646.55	37.93	94.54	1.36
Attend-location	SfSL	517.06	25.44	97.75	0.84
	SfDL	625.93	31.73	91.84	1.98
	DfSL	657.89	27.26	89.59	1.91
	DfDL	594.31	33.8	97.08	0.97

628 Table 3. Attend-frequency task: Different location vs. same location (DfDL+SfDL-DfSL-SfSL)
629 (*pthr* = .005, t = 3.252; corrected *p* < .05)
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Brain Regions	Peak MNI co-ordinate				t-values
	BA	x	y	z	
R inferior frontal gyrus (IFG)	45	41	25	-1	5.42
L & R superior frontal gyrus (SFG)	8	3	30	52	5.26
L & R caudate and commissure		1	-26	-2	3.46
L inferior frontal gyrus (IFG)	45	-33	26	-1	5.98
L precentral gyrus	6	-28	-6	68	3.68
L superior temporal gyrus (STG)	22	-62	-38	22	3.34

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633 Table 4. Attend-location task: Different frequency vs. same frequency (DfDL+DfSL-SfDL-
634 SfSL) (*pthr* = .005, t = 3.252; corrected *p* < .05)
635

Brain Regions	Peak MNI co-ordinate				t-values
	BA	x	y	z	
R inferior frontal gyrus (IFG)	9	50	12	29	3.26
R middle frontal gyrus (MFG)	9	52	35	25	3.75
R superior temporal gyrus (STG)	21	69	-25	-29	4.51
R inferior parietal lobule (IPL)	40	56	-46	44	3.50
L superior temporal gyrus (STG)	42	-64	-25	-4	3.48
L inferior parietal lobule (IPL)	40	-49	-42	57	4.06

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639 Table 5. Attend-frequency vs. attend-location task: Involuntary orienting (SfDL+ SfSL – DfSL -
640 SfSL) (*pthr* = .005, t = 3.252; corrected *p* < .05)
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Brain regions	Peak MNI co-ordinate				t-values
	BA	x	y	z	
R superior temporal gyrus (STG)	22	69	-20	-7	-3.97

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645 Table 6. Attend-frequency task: Incongruent vs. congruent (DfSL+SfDL-DfDL-SfSL) (*pthr* =
646 .005, t = 3.252; corrected *p* < .05)
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Brain Regions	Peak MNI co-ordinate				t-values
	BA	x	y	z	
R inferior frontal gyrus (IFG)	47	52	22	-5	3.73
R & L superior frontal gyrus (SFG)	6	3	17	53	3.73
R cingulate gyrus	23	20	-5	30	3.83
R & L culman	35	18	-27	-20	4.04
R caudate head		16	29	1	3.60
R caudate tail		29	-40	21	3.45
L precentral gyrus	9	-45	9	34	4.15
L inferior frontal gyrus (IFG)	13	-37	22	-3	5.02
L parahippocampal gyrus	19	-37	-48	2	3.90

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651 Table 7 Attend-location task: Conflict resolution (DfSL+SfDL-DfDL-SfSL) (*pthr* = .005, t =
652 3.252; corrected *p* < .05)

Brain Regions	Peak MNI co-ordinate				t-values
	BA	x	y	z	
R middle frontal gyrus (MFG)	9	50	18	33	6.09
R superior temporal gyrus (STG)	22	52	-22	-7	3.72
R inferior parietal lobule (IPL)	40	40	-63	56	3.72
R inferior semi-lunar lobule		20	-76	-50	3.60
R cerebellum		37	-46	-56	5.44
R & L superior frontal gyrus (SFG)	8	1	23	50	4.18
R & L cingulate gyrus	23	1	-20	29	3.27
R & L lingual gyrus	18	-7	-89	-15	4.03
R & L posterior commissure		1	-27	-4	3.47
L inferior frontal gyrus (IFG)	6	-31	26	-5	5.67
L inferior parietal lobule (IPL)	40	-43	-43	46	4.72
L inferior semi-lunar lobule		-14	-78	-47	5.85
L cerebellar tonsil		1	-58	-37	4.08

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655 Table 8. Attend-frequency vs. attend-location task: Conflict resolution ((DfSL+SfDL-DfDL-
656 SfSL) – (DfSL+SfDL-DfDL-SfSL)) (*pthr* = .005, t = 3.252; corrected *p* < .05)
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Brain regions	Peak MNI co-ordinate				t-values
	BA	x	y	z	
R fusiform gyrus	37	50	-69	-17	-4.38
R superior temporal gyrus (STG)	22	52	-18	-7	-3.34
R cerebellar tonsil		39	-48	-49	-3.52
R middle frontal gyrus (MTG)	9	58	23	35	-3.27
L postcentral gyrus	6	-62	-16	47	-3.59
L cerebellar tonsil		-35	-51	-51	-4.61

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Figure captions.

Figure 1. Paradigm schematics of runs and a single (DfSL) trial. Twelve runs were made up of

alternating attend-frequency and attend-location TAiL tasks, counterbalanced across participants.

Within each run 40 trials were presented consisting of 10 each of SfSL, SfDL, DfSL, DfDL trials

randomized for each participant.

Figure 2. Group mean response times for the (A) attend-frequency and (B) attend-location tasks.

Group mean measures of involuntary orienting, and conflict resolution for the (C) attend-

frequency and (D) attend-location tasks. The error bars represent the standard error of the mean.

Figure 3. Group mean accuracy for the (A) attend-frequency and (B) attend-location tasks.

Group mean measures of involuntary orienting, and conflict resolution for the (C) attend-

frequency and (D) attend-location tasks. The error bars represent the standard error of the mean.

Figure 4. Attend-frequency task: Different Location vs. same location. Surface maps showing

the effects of the irrelevant location feature while attending to the relevant frequency feature (i.e.

involuntary orienting). Abbreviations: STG = superior temporal gyrus; SMA = supplementary

motor area; IFG = inferior frontal gyrus.

Figure 5. Attend-location task: Different frequency vs. same frequency. Surface maps showing

the effects of the irrelevant frequency feature while attending to the relevant location feature (i.e.

involuntary orienting). Abbreviations: MFG = middle frontal gyrus; IPL = inferior parietal

lobule; STG = superior temporal gyrus; IFG = inferior frontal gyrus.

Figure 6. Contrast between the two involuntary orienting effects (attend-frequency > attend-

location).

Figure 7. Attend-frequency task: Incongruent vs. congruent. Surface maps showing the effects

of incongruency (DfSL+SfDL-DfDL-SfSL) while attending to the relevant frequency feature

(i.e., conflict resolution). Abbreviations: IFG = inferior frontal gyrus; SFG = superior frontal

gyrus.

Figure 8. Attend-location task: Incongruent vs. congruent. Surface maps showing the effects of

incongruency (DfSL+SfDL-DfDL-SfSL) while attending to the relevant location feature (i.e.,

conflict resolution). Abbreviations: MFG = middle frontal gyrus; IPL = inferior parietal lobule;

IFG = inferior frontal gyrus; SMA = supplementary motor areas; STG = superior temporal gyrus.

Figure 9. Contrast between the two conflict resolution effects (attend-frequency > attend-

location). Abbreviations: MTG = middle temporal gyrus; STG = superior temporal gyrus.

Figure 1

Figure 2

Figure 3

Figure 4

Figure 5

| Axial | Sagittal | Coronal |

Figure 6

Figure 7

Figure 8

Figure 9