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Origin time and epidemic dynamics of the 2019 novel coronavirus

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13 Abstract

14 The 2019 novel coronavirus (2019-nCoV) have emerged from Wuhan, China. Studying the

15 epidemic dynamics is crucial for further surveillance and control of the outbreak. We employed

16 a Bayesian framework to infer the time-calibrated phylogeny and the epidemic dynamics

17 represented by the effective reproductive number (R_e) changing over time from the genomic

18 sequences available from GISAID. The origin time is estimated to be December 17, 2019 (95%

19 CI: December 5, 2019 – December 23, 2019). The median estimate of R_e ranges from 0.2 to

20 2.2 and changes drastically over time. This study provides an early insight of the 2019-nCoV

21 epidemic.

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23 Introduction

24 An outbreak of a novel coronavirus (2019-nCoV) was reported in Wuhan, a city in central

25 China (WHO). Coronaviruses cause diseases range from common cold to severe pneumonia.

26 Two fatal coronavirus epidemics over the last two decades were severe acute respiratory

27 syndrome (SARS) in 2003 and Middle East respiratory syndrome (MERS) in 2012 (WHO).
28 Human to human transmission has been confirmed for this new type of coronavirus (Wang et
29 al. 2020) and more than 1,000 cases have been reported as of January 25, 2020
30 (<https://bnonews.com/index.php/2020/01/the-latest-coronavirus-cases>).

31 Studying the virus epidemic dynamics is crucial for further surveillance and control of the
32 outbreak. Phylogeny of the viruses is a proxy of the transmission chain. Early studies of 2019-
33 nCoV have focused on their molecular features and phylogenetic relationship with the close
34 relatives (Zhou et al. 2020; Chan et al. 2020). The phylogenetic analyses typically ignored the
35 sampling times and measured branch lengths by expected number of substitutions per site.
36 They are also lacking a stochastic process to model the epidemic dynamics over time. However,
37 both the timing and dynamics are critical to understand the early outbreak of 2019-nCoV.
38 Furthermore, various sources of information and uncertainties are hard to be integrated in the
39 analyses without employing a Bayesian approach.

40 In this study, we used the birth-death skyline serial (BDSS) model (Stadler et al. 2013) to
41 infer the phylogeny, divergence times and epidemic dynamics of 2019-nCoV. This approach
42 takes the genomic sequences and sampling times of the viruses as input, and co-estimates the
43 phylogeny and key epidemic parameters in a Bayesian framework. To our knowledge, this is
44 the first study to perform such estimation on 2019-nCoV.

45 **Results and Discussion**

46 The phylogeny in Figure 1 shows the divergence times and relationships of the 24
47 BetaCoV viruses. The samples from Wuhan form a paraphyletic group, while the rest of the
48 samples form a monophyletic clade. These two clades are not divergent from each other as
49 their sequences are quite similar, which indicates that the outbreak is still in an early stage. The
50 patients from Guangdong and Zhejiang had traveled from Wuhan and they had been infected
51 before travelling (Chan et al. 2020). Note that this phylogeny is a maximum clade credibility
52 (MCC) tree summarized from the posterior samples, which represents a best estimate of the
53 topology. The probabilities in most clades are lower than 0.5 and would form polytomies if
54 summarized as a 50% majority-rule consensus tree (GISAID).

55 The time of origin is estimated with median 31.9 days and 95% highest posterior density
56 (HPD) interval from 26.3 to 43.8 days before the date of the latest sample (January 18, 2020),
57 that is, December 17, 2019 (December 5, 2019 – December 23, 2019) (Table 1, ten intervals).
58 This is in agreement with the symptom onset reported by WHO, showing again an early phase
59 of the epidemic.

60 We investigate the epidemic dynamics of 2019-nCoV by estimating R_e changing over time
61 in the BDSS model. $R_e > 1.0$ means that the number of cases are increasing and the epidemic
62 is growing, whereas $R_e < 1.0$ means that the epidemic is declining and will die out. Interestingly,
63 the epidemic has an early boost with R_e at around 2.2, then decreases dramatically to 0.2, and
64 increases again to about 1.7 during the last phase (Table 1). In general, this is in agreement
65 with some other studies reporting R_e ranging from 1.4 to 5.5 (Read et al. 2020; Zhao et al. 2020;
66 Riou and Althaus 2020). In comparison, the estimated R_e was 2.7 to 3.6 for SARS during the
67 precontrol phase in Hong Kong (Riley et al. 2003; Wallinga and Teunis 2004). Dividing R_e
68 into ten intervals rather than three give us a better resolution (Figure 2). This drastic change
69 could reflect the epidemic to some extent but is likely sensitive to the virus sampling. Keep in
70 mind that we used only 24 samples in our analysis, which is less than 2% of the reported
71 number of infected patients, thus one needs to be cautious when interpreting this result. With
72 more viruses sequenced, we would expect more reliable estimates which would provide better
73 insights into the epidemic of 2019-nCoV.

74 Overall, this study provides an early insight of the 2019-nCoV epidemic by inferring key
75 epidemiological parameters from the virus sequences. Such estimates would help public health
76 officials to coordinate effectively to control the outbreak.

77 **Methods**

78 The original data downloaded from GISAID (<https://www.gisaid.org>) consists of 26
79 sequences of 2019-nCoV (as of January 24, 2020). We excluded two outliers, one is the virus
80 from Kanagawa, Japan (EPI_ISL_402126) which contained only a small segment of 369bp,
81 another is from Wuhan, China (EPI_ISL_403928) which contains suspiciously many
82 mutations and has genetic distance about ten times longer than the rest of the sequences

83 (GISAID). Sequence alignment was done using MUSCLE (Edgar 2004), resulting in a total
84 length of 29904bp for the whole genome. The sampling times of the viruses ranged from
85 December 24, 2019 (EPI_ISL_402123) to January 18, 2020 (EPI_ISL_403937) and they
86 were used as fixed ages (in unit of years) in subsequent analysis.

87 We used the BDSS model (Stadler et al. 2013) implemented in the BDSKY package for
88 BEAST 2 (Bouckaert et al. 2019) to infer the phylogeny, divergence times and epidemic
89 dynamics of 2019-nCoV. The model has an important epidemiological parameter, the effective
90 reproductive number R_e , defined as the number of expected secondary infections caused by an
91 infected individual during the epidemic. The model allows R_e to change over time, making it
92 feasible to estimate its dynamics (Stadler et al. 2013). The BDSS process starts from the origin
93 time t_0 , which was assigned a lognormal(-2, 1.5) prior with median 0.135 (years before the
94 latest sampling time). Time from the origin to the latest sample was divided into either three or
95 ten equally spaced intervals in which R_e was varied and estimated individually in each interval.
96 The prior for R_e was a lognormal(0, 1.25) distribution with median 1.0 and 95% quantiles
97 between 0.13 and 7.82. The other two parameters are the becoming noninfectious rate δ and
98 sampling proportion p , which were assumed constant over time. δ was given a lognormal (2,
99 1.25) prior with median 7.39 and mean 16.1, expecting the infectious period of an individual
100 ($1/\delta$) to be about a month. The sampling proportion of infected individuals p was a beta(1, 9)
101 distribution with mean 0.1.

102 We used the lognormal independent relaxed clock (Drummond et al. 2006; Rannala and
103 Yang 2007) to model evolutionary rate variation along the branches. The mean clock rate r was
104 assigned a gamma(2, 0.0005) prior with mean of 0.001 substitutions per site per year and the
105 standard deviation σ was a gamma (0.54, 0.38) prior with mean 0.2 and median 0.1 by default.
106 The substitution model used was HKY+ Γ_4 (Hasegawa et al. 1985; Yang 1994) in which the
107 transition-transversion rate ratio κ was set a lognormal(1, 1.25) prior and the gamma shape
108 parameter α was an exponential(1) prior.

109 The analysis was performed in the BEAST 2 platform (Bouckaert et al. 2019). We ran 150
110 million MCMC iterations and sampled every 5000 iterations. The first 30% samples were
111 discarded as burn-in. Convergence was diagnosed in Tracer (Rambaut et al. 2018) to confirm
112 that independent runs gave consensus results and all parameters had effective sample size (ESS)

113 larger than 100. The remaining 70% samples were used to build the maximum clade credibility
114 (MCC) tree and to summarize the parameter estimates. The common ancestor heights were
115 used to annotate the clade ages in the MCC tree.

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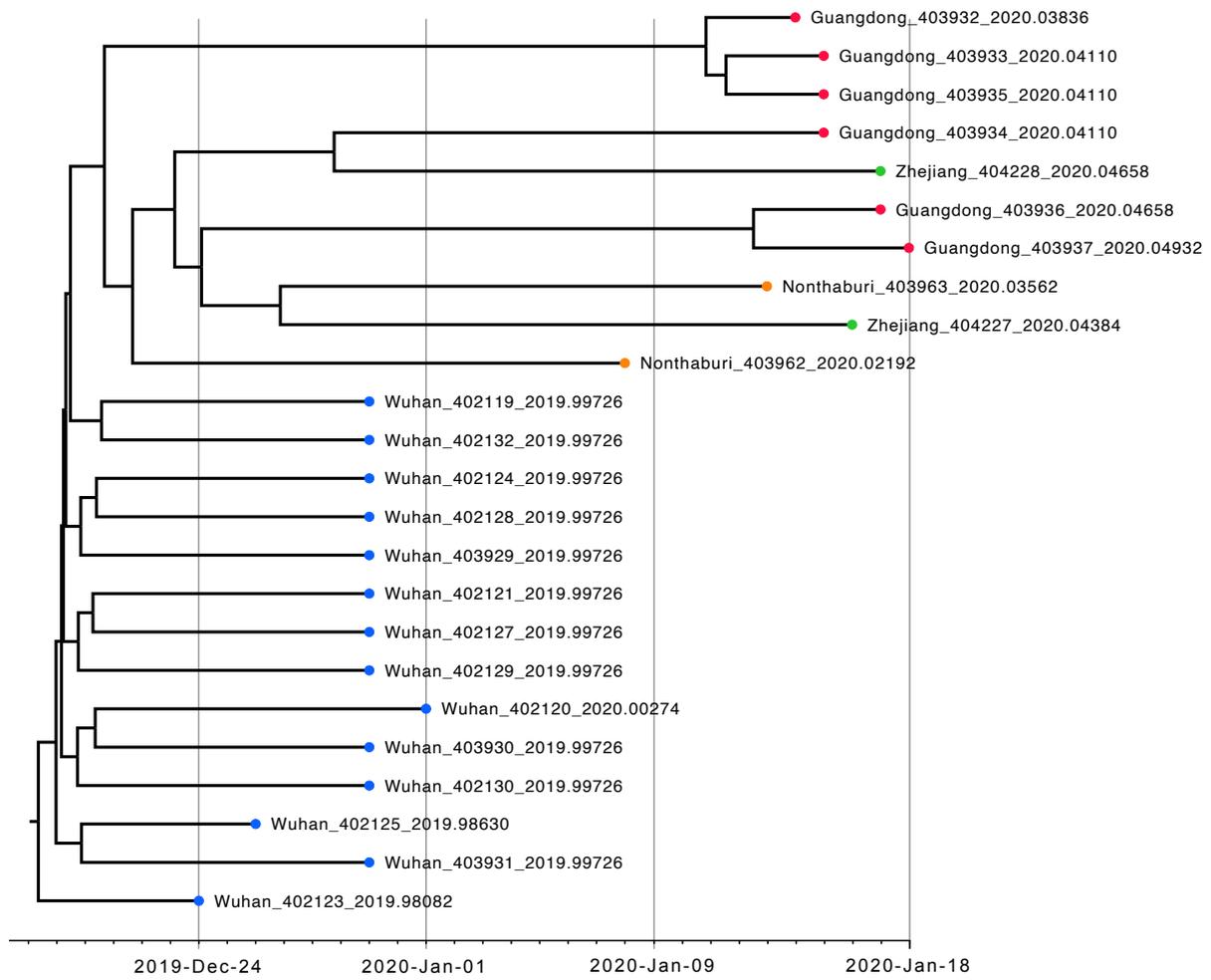
163 Table 1. Posterior estimates (median and 95% HPD interval) of key model parameters

	three time intervals	ten time intervals
t_0	0.0812 (0.076, 0.099)	0.0873 (0.072, 0.120)
R_e	2.18 (1.31, 3.64)	1.32 (0.013, 5.92)
	0.21 (0.014, 0.55)	1.72 (0.015, 5.58)
	1.71 (1.11, 2.46)	1.07 (0.015, 4.50)
		1.79 (0.015, 3.51)
		0.28 (0.006, 2.61)
		0.31 (0.006, 1.47)
		0.59 (0.014, 1.93)
		0.59 (0.012, 2.10)
		2.54 (0.52, 4.87)
		0.84 (0.014, 2.73)
δ	148.31 (32.44, 293.12)	183.25 (15.45, 451.97)
p	0.038 (0.00052, 0.20)	0.0099 (8.8E-7, 0.18)
r	0.0019 (0.00095, 0.0034)	0.0015 (0.00067, 0.0030)
σ	0.85 (1.2E-7, 1.44)	0.79 (2.3E-7, 1.40)
κ	7.41 (2.68, 15.91)	7.38 (2.74, 16.05)
α	0.68 (0.0011, 2.93)	0.73 (0.0015, 3.00)

164 Note: time unit is years.

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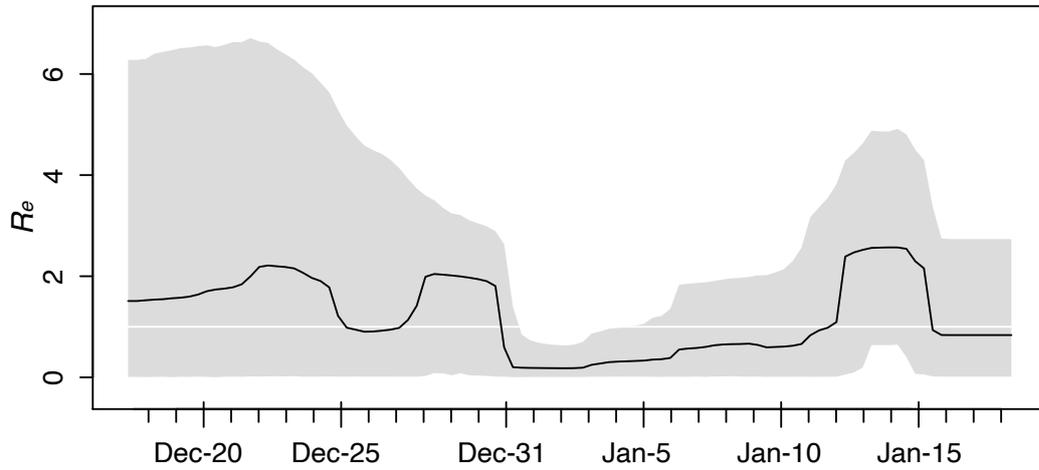


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168 Figure 1. Maximum clade credibility (MCC) tree summarized from the MCMC sample. The

169 common ancestor heights were used to annotate the clade ages.

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172 Figure 2. Skyline plot of the effective reproductive number (R_e) changing over time. The solid

173 line indicates the median and the gray area indicates the 95% HPD interval.

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