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3 **Title:** Physical-biological interactions underlying the phylogeographic patterns of coral-
4 dependent fishes around the Arabian Peninsula

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6 **Running title:** Biophysical model of reef fishes

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37

38 **ABSTRACT**

39 **Aim:** To test the hypothesis that phylogeographic pattern of coral-dependent fish species
40 inhabiting the Arabian Peninsula may be driven by a combination of ocean circulation,
41 larval behavior and seascape features.

42 **Location:** The present study focuses on three such putative oceanographic barriers
43 around the Arabian Peninsula: the Bab el-Mandeb Strait, the Strait of Hormuz and the
44 upwelling off Oman.

45 **Taxa:** Multitaxa.

46 **Methods:** A biophysical modeling system that relies on stochastic Lagrangian framework
47 and Individual-Based Model was used to simulate larval dispersal through the three
48 putative barriers, by tracking three-dimensional movements of virtual particles in ocean
49 circulation scenarios. We explored the range of dispersal capabilities across reef fish
50 species by creating 72 hypothetical strategies, each representing a unique combination of
51 five biological traits: pelagic larval duration, spawning periodicity, mortality rate,
52 reproductive output and vertical migration.

53 **Results:** Our results showed that the strength of the barriers was highly variable as a
54 function of all biological traits (except reproductive output) and indicated high
55 asymmetry of connectivity, and hence gene flow, between adjacent areas. In addition,
56 direction and distance travelled by the virtual larvae varied according to both the
57 geographic position of releasing site and biannual monsoonal winds. On average, larvae
58 released during the summer exhibited a higher potential for dispersal than larvae released
59 in wintertime.

60 **Main conclusions:** Our biophysical models complement the few existing empirical
61 research on population genetics, and the predictions presented here serve as testable
62 hypotheses for future phylogeographic studies around the Arabian Peninsula.

63

64 **KEYWORDS:** Biophysical modeling, Bab el-Mandeb Strait, connectivity, connectivity
65 modeling system, Strait of Hormuz, upwelling off Oman.

66

67 **INTRODUCTION**

68 The Arabian Peninsula lies on a hyper-arid region in the Southwest Asia at the
69 junction of this continent with Africa (Figure 1). The water-mass distribution and upper-
70 ocean circulation surrounding the peninsula change in correspondence with biannual
71 wind reversals, which creates seasonality in oceanographic conditions (Cutler &
72 Swallow, 1984; Shetye et al., 1994). During the NE monsoon in the winter (November -
73 March), the wind blows away from Asian continent, and the ocean surface circulation in
74 the Arabian Sea is approximately counter-clockwise. On the other hand, during the
75 summer SW monsoon (May-September) the wind reverses and blows strongly, so that the
76 circulation in the Arabian Sea is clockwise. March-April and October are transition
77 periods and winds are weak (see Cutler & Swallow, 1984).

78 Large seasonal variations of such magnitude, which is generally not found
79 elsewhere (Shetye et al., 1994), plays a critical role for distribution of fish and other
80 marine biodiversity by transporting or disrupting the movements of their larvae (Cowen,
81 2002). Thereby, the direction and magnitude of prevailing currents can spread out species
82 distribution by aiding the dispersal of the propagules to future habitats. Nevertheless,
83 these currents may also act as barriers by minimizing long-distance larval dispersal (Paris
84 & Cowen, 2004), which ultimately may explain either the existence of endemic species
85 (Cowen et al., 2000) or genetic discontinuities across species ranges (Bowen et al., 2016).
86 Although large expanses of ocean waters are the most notable permeable barriers for
87 larval dispersal (Bowen et al., 2016), mesoscale oceanographic processes such as fronts
88 (Galarza et al., 2009), river runoffs (Rocha, 2003), and upwellings (Lett et al., 2007) also
89 acts as barriers to marine faunal connectivity. The permeability of these so-called semi-
90 permeable barriers is taxon-specific and, therefore, affected by biological traits (Ayre et
91 al., 2009).

92 At the Arabian Peninsula, zoogeographic and population genetic studies on coral-
93 dependent fishes have shown discontinuities in both species and genetic distributions
94 along the seas surrounding the peninsula (Burt et al., 2011; DiBattista, Roberts, et al.,
95 2016; Berumen et al., 2017). The most remarkable of these discontinuities, distinguishes
96 the fish fauna from each side of the peninsula (DiBattista, Choat, et al., 2016). Two other
97 discontinuities in species compositions are observed, one between the Red Sea and the
98 adjacent Gulf of Aden through the Bab el-Mandeb Strait, and another between the
99 Arabian/Persian Gulf (henceforth referred as the Gulf) and the adjacent Sea of Oman
100 through the Strait of Hormuz (DiBattista, Roberts, et al., 2016). These boundaries
101 described by multispecies distribution records, are also logical places in relation to
102 present-day barriers for gene flow between populations (Baums et al., 2006). Indeed,
103 population genetic studies carried out in the Northwestern Indian Ocean (NIO) have
104 revealed similarities in the geographical position of barriers previously proposed
105 (DiBattista et al., 2013, 2015, 2017; Nanninga et al., 2014; Priest et al., 2016; Saenz-
106 Agudelo et al., 2015; Torquato et al., 2019).

107 Hypotheses to explain the distribution of genetic diversity in the Arabian
108 Peninsula usually rely on parapatric speciation pattern, which resulted from either
109 repeated vicariance events caused by lowering sea level during the last glaciation, or
110 ecological speciation due to the large spatial gradients and temporal fluctuations in
111 physical conditions across the peninsula (DiBattista, Roberts, et al., 2016; DiBattista,
112 Choat, et al., 2016; Nanninga et al., 2014). An alternative hypothesis has been attributed
113 to the seasonal upwelling in the Arabian Sea off Oman, which creates unsuitable
114 condition for discrete coral-habitat growth along southern Omani coast (Sheppard &
115 Salm, 1988) and hence potentially restrict stepping-stone connectivity between both sides
116 of the Arabian Peninsula. In turn, little attention has been given to test hypotheses where
117 the combination of seascape features, ocean circulation and larval traits underling the
118 genetic patterns observed among coral-dependent fishes inhabiting the Arabian
119 Peninsula.

120 In numerous cases, hypotheses in marine ecology are prohibitively time
121 consuming and expensive to be empirically tested, largely owing to the impossibility of
122 capturing the full range of temporal and spatial fine-scale resolution required to make
123 inferences (Cowen & Sponaugle, 2009). Although a more comprehensive picture is

124 emerging in the Arabian Peninsula with respect to marine phylogeographic and
125 population genetic patterns (Berumen et al., 2017; DiBattista, Roberts, et al., 2016;
126 DiBattista, Choat, et al., 2016), the processes affecting larval dispersal across the putative
127 barriers are not yet fully understood due to the paucity of empirical studies. In addition,
128 political realities of some countries bordering the Western Indian Ocean (WIO) have
129 limited access to scientists, hindering a more complete perspective of general
130 phylogeography in the region (Berumen et al., 2017). In such cases, if limited empirical
131 data are available, reliable computational models can be used to make field predictions
132 and advance our knowledge to designing future experiments for hypothesis testing
133 (Cowen & Sponaugle, 2009).

134 Advances on physical circulation models enabled the investigation of population
135 connectivity by running semi-realistic simulations of virtual particles. Here we used high-
136 resolution ocean circulation model (Hybrid Coordinate Ocean Model - HYCOM) to
137 design a biophysical model in a Lagrangian stochastic scheme (Paris et al., 2013). The
138 main goal of this study is to simulate multitaxon larval dispersal through the marine
139 barriers, and thus provide insights on processes and patterns of connectivity leading to the
140 distribution of genetic diversity of coral-dependent fishes around the Arabian Peninsula.
141 Specifically, we shed light on three questions: (1) what biological attributes affect the
142 larval dispersal through the Bab el-Mandeb Strait and Strait of Hormuz? (2) What is
143 impact of the upwelling off Oman on the larval connectivity between both sides of the
144 peninsula? (3) How does oceanographic variability, due to the seasonal monsoon, affect
145 larval dispersal pattern (i.e. direction and magnitude travelled by the particle)? Our
146 results provide detailed predictions that can be compared to previous and future empirical
147 studies on the distribution of biodiversity in the Arabian Peninsula.

148

149 **MATERIAL AND METHODS**

150 **Biophysical Model and Larval Dispersal Simulation**

151 Idealized dispersal of fish larvae is modeled using an open-source program,
152 Connectivity Modelling System (CMS v. 2.0; Paris et al., 2013), which is a biophysical
153 modeling system based on stochastic Lagrangian framework and Individual-Based Model
154 (IBM) that couple ocean current, GIS-based habitat, and biological traits. In brief, CMS
155 uses information on currents and environmental conditions to simulate both deterministic
156 fourth-order Runge-Kutta and/or stochastic displacements of a large number of virtual
157 particles (hereafter called larvae), through space and time. In order to explore the range of
158 dispersal capabilities across reef fishes, we created 72 hypothetical strategies each of
159 those representing a unique combination of five biological traits that may influence the
160 connectivity: pelagic larval duration (PLD), spawning periodicity, mortality rate,
161 reproductive output and vertical migration (Table 1).

162

163 **Hydrodynamic model**

164 We use the CMS package *getdata* to download daily ocean current velocities from
165 the three-dimensional and eddy-resolving Hybrid Coordinate Ocean Model (HYCOM,
166 GLBa0.08) from 2014 to 2016. The model has a horizontal resolution of ca. 9 km grid
167 ($1/12^\circ$), and is set up in a nested domain ($0^\circ - 30^\circ$ N, $31^\circ - 70^\circ$ E), comprising all layers
168 from the surface up to 100 meters depth.

169

170 **GIS-based Seascape module**

171 The GIS serves to delineate the source and recruitment habitats. Suitable releasing
172 (source habitat) and settlement (sink habitat) locations are delineated in QGIS v.2.18 by
173 creating a vector grid that overlaid the distribution of coral reefs data from the UNEP-
174 WCMC (2010). A total of 181 polygons (~ 18km x 18km) representing coral reef habitats
175 are placed along coastal areas within our model domain.

176

177 **Biological module**

178 We assume spatial homogenous reproductive output across the habitats and,
179 therefore, all the 181 polygons are set to release from their center the same number of
180 larvae per ‘spawning’ event. However, we contrast scenarios where reproductive output
181 varies among species. In scenarios of high reproductive output, a hundred larvae are
182 released from each polygon, whereas in low reproductive output conditions only ten are
183 released. Here, three seasonal spawning preferences are accounted by simulating the
184 larval dispersal annually according to the two dominant seasonal oceanographic
185 conditions around the Arabian Peninsula. After being released, the larvae are let to drift
186 over a period of 20, 30 or 40 days, corresponding to the typical pelagic larvae duration
187 (PLD) of most coral reef fish species/families (Lindeman et al., 2005; Thresher and
188 Brothers 1985).

189 In this study the PLD is equally divided into the three ontogenetic larval stages,
190 namely: preflexion, flexion and postflexion. Due to the paucity of basic data regarding
191 fish larvae distribution within our study area, the model incorporates an idealized pattern
192 of ontogenetic vertical migration for the three larval stages (see Appendix S1 in
193 Supporting Information). This idealization assumes the existence of a global ontogenetic
194 trend of the fish larvae to display downward ontogenetic shift in vertical distribution
195 (Irisson et al., 2010). During the postflexion stage the larvae are considered competent to
196 settle if they are inside one of the 181 reef sites. In order to assess the importance of
197 vertical migration, which potentially allow the larvae to avoid passive advection in
198 vertically stratified scenarios and increase the chance of retention (Paris & Cowen, 2004),
199 we also contrasted both epipelagic ichthyoplankton moving only horizontally along the
200 sub-surface layer and larvae that, besides the horizontal displacement, also move
201 vertically according to its ontogeny (Figure S1).

202 Little is known regarding larval mortality in the ocean. To accommodate this
203 uncertainty, our study includes two levels of mortality based on the half-life, such that
204 approximately 50% of unsettled larvae would be surviving after half the maximum PLD
205 (Holstein et al., 2014; Paris et al., 2013). Thus, we determines that in high mortality
206 scenario about half of the larvae die by the end of the preflexion stage, while in low
207 mortality condition half of the larvae dies by the end of the postflexion stage (Table 1).

208

209 **Particle-tracking module**

210 Stochastic IBM Lagrangian model tracks offline over 157 millions larvae around
211 the Arabian Peninsula for the 72 hypothetical strategy. A total of 86,011,200 larvae are
212 released to mimic taxa spawning throughout year, whereas 35,838,000 larvae are tracked
213 to simulate taxa spawning on either winter monsoon (November 2014 – March 2015) or
214 summer monsoon (May 2015 – September 2015).

215 Preliminary sensitive analysis showed no significant difference in the settlement
216 proportion when seeding 100 larvae from the 181 polygons at every either 3 or 24 hours
217 (see Appendix S1). Therefore, the larvae are released from each reef at every 24 hours,
218 which represents a spawning event. This uniform temporal distribution of larvae allows
219 us to assess the effects of the hydrographic variability conditions on larval dispersal (e.g.,
220 extreme events, perturbation, and instability). Throughout the PLD, the position of each
221 larva is updated every 6 h time-step, and the trajectory information (i.e. longitude,
222 latitude, depth) is saved to output in intervals of 24 hours. We account for diffusive
223 turbulent motion by adding a horizontal diffusion coefficient. The value of $50 \text{ m}^2 \cdot \text{s}^{-1}$ was
224 chosen from a sensitivity test where 100 larvae were released from the 181 polygons at
225 every 24 hours (see Appendix S1).

226

227 **Analyses**

228 The proportion of survivor larvae that were released from each region i and
229 successfully settled in a downstream habitat patch (i.e., sink habitat) at region j , is
230 plotted as a connectivity matrix. Self-recruitment, i.e. larvae settling within its
231 release location, is represented by the diagonal of the matrix. In order to evaluate
232 the strength and direction of the potential connections on regional scale (e.g.,
233 between Red Sea and Gulf of Aden), all cells from each region are merged.

234

235 **Biological traits vs. biogeographic barriers**

236 To evaluate the effect of the biological traits highlighted in Table 1 on the
237 putative barriers, we rely on a multiple regression approach. Specifically, the effects
238 of both Bab-el-Mandeb and Hormuz strait are measured in terms of permeability,
239 which considers the proportion of surviving larvae that are released from a source
240 habitat, passed through the strait, and successfully settled on the other side. In turn,
241 the effect of the upwelling off Oman is measured in terms of self-recruitment
242 proportion along the Arabian Sea. At the region off Oman, we hypothesized that
243 higher self-recruitment represent higher retention of larvae on continental shelf,
244 and thus greater chance of connectivity. By contrast, we assume that lower self-
245 recruitment is due to larval movement toward offshore as a consequence of Ekman
246 transport, hence decreasing the change of the larvae to find suitable habitat for
247 settlement (but see Morgan et al., 2012).

248 Provided that the proportion is a continuous variable that can take on values
249 restricted to the interval between 0 and 1, a beta regression model as proposed by
250 Ferrari & Cribari-Neto (2004) is used through the *betareg* R-package (Cribari-Neto
251 & Zeilis, 2010). The beta regression is essentially similar to a Generalized Linear
252 Model (GLM), where it describes the relationship between the response variable Y_i
253 (hereby, proportions) and the predictors X_i (Table 1) through a linear predictor η_i .
254 This linear predictor is then linked to the mean of the response $E(Y_i) = \mu_i$ by means of a
255 link function g , such that $g(\mu_i) = \eta_i$. In this way, the applied model can be summarized
256 as:

257

258

259

260

$$Y_i \sim B(\mu_i, \phi)$$
$$\text{logit}(\mu_i) = \eta_i$$
$$\eta_i = \beta_0 + \beta_1 \text{PLD} + \beta_2 \text{SP} + \beta_3 \text{M} + \beta_4 \text{R} + \beta_5 \text{VM} + \varepsilon$$

261

262 Where ϕ is the precision parameter; η_i the linear predictor expressed on a logit scale; β_0
263 the intercept; β_1 -5 the repressors related to each explanatory variable (PLD=Pelagic
264 larval duration, SP = Spawning periodicity, M = Mortality, R = Reproductive output, VM
265 = Vertical migration); and ε represents the error term. Model assumptions like residual
266 homocedasticity, independence and normality are accessed through conventional
267 graphical checks as mentioned in Zuur et al. (2010).

268

269 Larval dispersal pattern and oceanographic conditions

270

271 The maximum distances travelled by the larvae around the Arabian Peninsula are
272 calculated by setting up a model with the lowest mortality rate and 40-days PLD. We use
273 the program QGIS v.2.18 to measure this distance and compare the results in both
274 summer and winter, as well as in each of the regions within the model domain.

274

275 RESULTS

276

276 Connectivity matrix

277

278 All the matrices representing the 72 hypothetical strategies revealed that
279 connectivity occurred exclusively between neighbor regions (Figure S2 – S4). The
280 hardest putative barrier was observed off Oman, which separates both sides of the
281 Arabian Peninsula. Thereby, larvae that were released from the Arabian Sea, the Sea of
282 Oman or the Gulf, rarely reached the reefs in the Gulf of Aden or the Red Sea, and vice-
282 versa.

283

284 In turn, the connectivity through both the Bab el-Mandeb Strait and the Strait of
285 Hommuz were not symmetric. Instead, the strength and symmetry of the connections
286 varied either seasonally or due to larval traits. For example, for 7 strategies in which the
287 larvae were released from the Red Sea, the larvae did not reach the reefs located in the
288 adjacent Gulf of Aden. Likewise, for 5 strategies where larvae were released from the
289 Sea of Oman, the larvae were not able to cross the Strait of Hommuz and settle onto reefs
290 in the Gulf. In the former example, the thorough larval retention in the Red Sea occurred
291 only in the winter and irrespective of the PLD. The second example, on the other hand,
292 was only observed for the shortest PLD but irrespective of seasonality.

292

293 Effects of the biological traits on the permeability of biogeographic barriers

294

295 Graphical evaluation of the model assumptions revealed that all tested models
296 fitted well to the data, with residuals following both homoscedasticity and normality
297 assumptions. The numerical outputs of the models are summarized in table S1 and
298 discussed in more detail below.

298

299 Bab el-Mandeb Strait

300

301 For the larvae that were released from the Red Sea and successfully settled in the
302 Gulf of Aden, only the larval reproductive output was not significant (Table S1, Figure
303 2). The beta regression showed that the connectivity in this direction was significantly
303 higher during the summer than winter, such that larvae experiencing high mortality in

304 winter and that did not performed vertical migration, could not settle on the Gulf of Aden
305 (Figure S2-S4). The permeability of the barrier in this direction was higher for larvae that
306 performed vertical migration and were left to drift for longer periods, though no
307 significant difference was observed between larvae that drift for 30 and 40 days.

308 On the other hand, larvae moving in the opposite direction, from the Gulf of Aden
309 to the Red Sea, always crossed the Bab el-Mandeb Strait and settled on the other side.
310 The higher success in the connection occurred during the wintertime, and although the
311 larval reproductive output did not change the barrier's permeability (Table S1, Figure 2),
312 a positive relationship was observed between the permeability and higher PLD and no
313 vertical migration. Mortality rate was also significant and displayed the same tendency of
314 the larvae moving from the Red Sea and to the Gulf of Aden.

315

316 **Strait of Hormuz**

317 The larvae release from the Gulf always reached the Sea of Oman. The proportion
318 of larvae settling released in the second increased when they were released throughout
319 the year or during the wintertime, performed vertical migration, had low mortality rate,
320 and travelled for longer period (Table S1, Figure 3). Nevertheless, the chance of the
321 larvae to cross the strait in this direction did not depend on the reproductive output.

322 Likewise, among all biological attributes, only reproductive output was not
323 significant for larvae that were released from the Sea of Oman, crossed the Strait of
324 Hormuz and successfully settled in the Gulf. The probability of this connectivity was
325 higher in the scenarios where the larvae were release in winter, had low mortality rate,
326 did not perform vertical migration and remained in the planktonic environment for longer
327 period. (Table S1, Figure 3). Importantly, 20-days PLD larvae, presenting high mortality
328 and that performed vertical migration, did not cross the Strait of Hormuz (Figure S2).

329

330 **Upwelling off Oman**

331 All biological traits, except larval reproductive output, significantly affected the
332 proportion of self-recruitment in the Arabian Sea (Table S1, Figure 4). The scenario
333 where the strategy had higher success in self-recruitment, and hence higher potential for
334 connectivity, occurred during the wintertime or throughout year, and if the 20-days PLD
335 larvae performed ontogenetic migration under low mortality scenario. In turn, larvae
336 produced during the summer, when the Ekman transport takes place, had a slightly higher
337 chance to be displaced to areas of unsuitable habitats.

338

339 **Particles trajectories: seasonal variability of spatial scale and direction**

340 The direction and distance travelled by the larvae were highly variable as a
341 function of both the geographic position of releasing site and the biannual monsoonal
342 winds (Figure 5 and 6). On average, larvae released during the summer exhibited a higher
343 potential for dispersal, especially in areas that are not enclosed (Figure 5f, 6f). It was in
344 summer that the movement of larvae southward from the Red Sea was more pronounced,
345 and it was also in this season that many larvae released in the Gulf of Aden reached
346 Omani waters in the Arabian Sea. In turn, during the winter the vast majority of larvae
347 originating in the Red Sea were retained within this area, while larvae released within the
348 Gulf of Aden either moved eastward, though rarely reaching the Omani coast, or moved
349 southward along the Somali coast.

350 In the Arabian Sea, surface circulation exhibited a strong seasonal cycle. During
351 the summer, larvae released along the Omani coast between 54.6° E and 56.1° E, either
352 moved eastward alongshore toward the Sea of Oman or sharply turned toward the open
353 ocean. Whereas, larvae released between 57.8° E and 59° E were exclusively transported
354 alongshore toward the Sea of Oman (Figure S5). In the winter, even though the larvae
355 along the entire Omani coast moved toward offshore, on average they travelled shorter
356 distances compared to the summer (Figure 5f, 6f).

357 On the east side of the Arabian Peninsula, the temporal variability of both the
358 direction and the distance travelled by the larvae was less evident. In the Sea of Oman,
359 larvae released from the eastern Omani coast tended to move southward and few larvae
360 reached the Gulf. However, those larvae originating on the top of the Sea of Oman were
361 able to pass through the Strait of Hormuz, though they did not travel far away. In the Gulf
362 the patterns of dispersal observed in both seasons were quite similar, larvae tended not to
363 move far away and hence most of them remained in the Gulf.

364

365 **DISCUSSION**

366 Measuring the strength of barriers has been a great challenge to understand phylo-
367 and bio-geographic patterns (Treml et al., 2015). In our study, a series of individual-
368 based simulations were performed to assess the permeability of larvae of 72 hypothetical
369 taxa through three putative barriers. This ‘multitaxa’ comparison demonstrated how
370 biological-physical interactions determine the success of propagules being transported
371 through the Bab el-Mandeb Strait and the Strait of Hormuz, as well as of those being self-
372 replenished off Oman. Here, we hypothesized that coupling hydrodynamic, seascape
373 features and larval traits, potentially contribute to the distribution of genetic lineages
374 since the connectivity rate, as shown in this study, represents a proxy of gene flow.
375 Therefore, we assumed that the absence, strong reduction or asymmetry of larval
376 exchange through the putative barriers affects the phylogeographic pattern around the
377 Arabian Peninsula.

378 Given the aim of our study, we took advantages of a Lagrangian three-
379 dimensional approach to assess the effects of the hydrographic variability conditions
380 (e.g., extreme events, perturbation, instability) on larval dispersal. Lagrangian models,
381 although more realistic, have computational requirements that make impossible to release
382 a real number of larvae per species. Alternatively, Eulerian advection-diffusion methods,
383 though are not spatially realistic, have a large impact on dispersal kernel and hence are
384 suitable in quantifying evolutionarily significant tails in evolutionary connectivity studies
385 (Treml et al., 2012).

386 Our results showed that the hardest putative barrier was that positioned off Oman,
387 with scenarios exhibiting weak or none connectivity between the Arabian Sea and its
388 adjacent areas. This result corroborates with previous population genetic studies. For
389 example, phylogeographic investigations on fish species such as *Scomberomorus*
390 *commerson* (van Herwerden et al., 2006); *Cephalopholis hemistiktos* (Priest et al., 2016)
391 and *Pomacanthus maculosus* (Torquato et al., 2019), used different genetic markers and
392 found a significant discontinuity positioned in southern Oman. Moreover, in our models
393 the strength of this barrier increased when the taxa are spawning during the summer
394 monsoon. And this prediction is in accordance with the gonado-somatic index (GSI)
395 values for the three species mentioned above, i.e., *S. commerson* (Kaymaram et al.,

396 2010); *C. hemistiktos* (Priest et al., 2016) and *P. maculosus* (Grandcourt et al., 2010),
397 which indicate that they spawn mainly in this season. By contrast, among six other
398 exploited finfish species inhabiting the Omani coast, only one (*Epinephelus diacanthus*)
399 also revealed higher GSI values during the onset of the summer monsoon period
400 (McIlwain et al., 2006).

401 The main hypotheses to explain faunal and genetic differences between both sides
402 of the peninsula usually involve seascape features and/or ocean circulation off Oman
403 (Torquato et al. 2019). The seascape is characterized by coral colonies in southern
404 Omani coast being weakly developed and represented by a reduced number of species
405 (Burt et al., 2016; Sheppard & Salm, 1988), such that the lack of coral-habitat creates an
406 unbridgeable gap for coral-dependent species (Priest et al., 2016). In turn, the ocean
407 circulation explanation relies on studies involving small pelagic fishes, which suggest
408 that upwelling systems hindrance connectivity by displacing larvae offshore due to
409 Ekman transport (Parrish et al., 1981; but see Morgan et al., 2012). The Benguela
410 upwelling system, for example, acts as a barrier to some species of phytoplankton,
411 copepods and pelagic fishes between North and South (Lett et al., 2007).

412 Regarding the straits, our biophysical models showed an asymmetric movement
413 thought both the Bab el-Mandeb Strait and the Strait of Hormuz. The strength of the first
414 is in accordance with the seasonal water exchange pattern between the Red Sea and Gulf
415 of Oman. The water flow through the Bab el-Mandeb Strait changes from a two-layer
416 surface flow in the winter to a three-layer flow in the summer (Smeed, 2004). Thus,
417 vertical migration combined with seasonal spawning play a critical role in the
418 connectivity (Paris & Cowen, 2004), by positing the larvae in one or another prevailing
419 current. For example, although larvae released from the Gulf of Aden always reached the
420 Red Sea, larvae released from the second and that did not exhibit vertical migration,
421 increased their retention significantly within this sea during the winter. Although
422 ichthyoplankton surveys in the Red Sea indicated that the vast majority of fish taxa
423 inhabiting the Red Sea spawn mainly during spring and summer (e.g., *Amphiprion*
424 *bicinctus*) a few species actually spawn in the winter (El-Regal, 2013) and hence their
425 larvae are subject to being retained regardless their PLD.

426 Investigations performed hitherto have not showed genetic discontinuities of
427 species through the Bab el-Mandeb Strait, including fishes *A. bicinctus* (Saenz-Agudelo
428 et al., 2015); *C. hemistiktos* (Priest et al. 2016); *P. maculosus* (Torquato et al., 201);
429 *Chaetodon* spp. (DiBattista et al., 2020) and sea anemones (Emms et al., 2019). In fact,
430 the strength of the barrier has been mainly debated based on the species distribution
431 studies. Kemp (1998) suggested that although the Bab el-Mandeb Strait was a site of a
432 significant Pleistocene vicariance event, it does not act as a present-day barrier.
433 According to the author, the paucity of information about the reef fish assemblage
434 inhabiting the southern Red Sea and the adjacent Gulf of Aden is the main reason for the
435 hypothesis that the strait acts as a present-day barrier.

436 In the Strait of Hormuz, in turn, larvae exhibiting short PLD, experiencing high
437 mortality, and especially those performing vertical migration, displayed great chance of
438 not crossing the strait when released from the Sea of Oman. This combination of
439 biological traits is likely observed in the study area, since the extreme thermal condition
440 in the region may shorten PLDs, or even induce larvae to experience high mortality rates
441 (Munday et al., 2009). Therefore, the consequent reduction in spatial scale of

442 connectivity due to physical-biological interactions may be underling genetic
443 differentiation between the adjacent populations, by reducing gene flow to levels that are
444 unable to overcome local differentiations.

445 There are few evidences of the Strait of Hormuz acting as a barrier for coral-
446 associated fauna. Weak genetic discontinuity between the Gulf and the adjacent Sea of
447 Oman has been shown for a coral species *Platygyra daedalea* (Howells et al., 2016),
448 which spawn mainly from February to May (Bauman et al., 2011), and a sea urchin
449 *Echinometra* sp. (Ketchum et al., 2020), whose PLD within the genus vary from 18 to 30
450 days (McClanahan & Muthiga, 2007). On the other hand, population genetics studies
451 showed that for reef fish species, such as *C. hemistiktos* (Priest et al., 2016) and *P.*
452 *maculosus* (Torquato et al., 2019), the Gulf and the Sea of Oman represent a single
453 phylogeographic province.

454

455 **Conclusion**

456 Our biophysical models complement the existing research on comparative fish
457 population genetics by providing a snapshot of the present-day seascape permeability
458 around the Arabian Peninsula. The comparative and cross-taxon models could identify
459 key biological traits and biophysical interactions that limit the transport of
460 ichthyoplankton in our study area. The predictions presented here serve as testable
461 hypotheses for future studies on population genetics, especially demographic models
462 focusing on symmetry of gene flow, and fish larval distributions around the Arabian
463 Peninsula.

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629

630 BIOSKETCH

631 **Felipe Torquato** is interested in the origin and distribution of biodiversity at all
632 ecological levels. This work represents a component of his PhD work at the Natural
633 History Museum of Denmark under the supervision of Peter R. Møller.

634

635 **Author contributions:** FT and PRM conceived the idea; FT designed and ran the
636 biophysical models, and led the writing with assistance from PRM.

637

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639

640 TABLES

641

642

643 **TABLE 1.** Range in biological parameter values to characterize the 72 hypothetical
644 model taxa.

645

PLD (days)	Spawning periodicity	Larval mortality	Vertical migration	Reproductive output
20	Annual	High	Yes	High
30	Summer)	Low	No	Low
40	Winter)			

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649 FIGURES

650

651 Legends

652

653 **FIGURE 1.** Study region. Northwestern Indian Ocean with previously described barriers
654 depicted as shaded line in left panel. (a) Bab-el-Mandeb Strait, (b) Upwelling off Oman
655 and (c) Strait of Hormuz. Arrows in the right panel represent prevailing currents in the
656 northwestern Indian Ocean

657

658 **FIGURE 2.** Results on the beta regression models showing the effects of the biological
659 traits on the permeability of the Bab-el-Mandeb Strait. The red triangles represent the
660 mean value. Capital and lowercase letters distinguish the direction showed in the legend,
661 while differences between letters (a, b and c) indicate significant differences ($p < 0.05$).

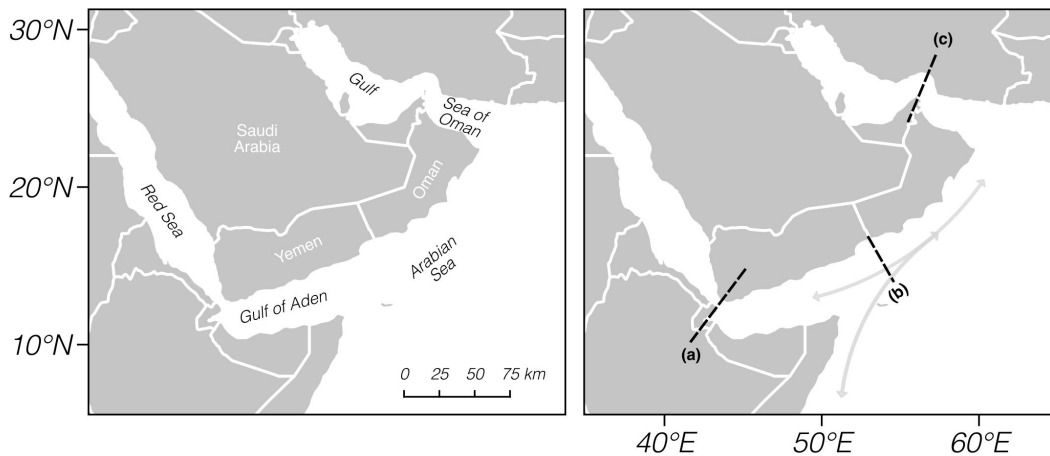
662 **FIGURE 3.** Results on the beta regression models showing the effects of the biological
663 traits on the permeability of the Strait of Hormuz. The red triangles represent the mean
664 value. Capital and lowercase letters distinguish the direction showed in the legend, while
665 differences between letters (a, b and c) indicate significant differences ($p < 0.05$).

666
667 **FIGURE 4.** Results on the beta regression models showing the effects of the biological
668 traits on the self-recruitment along Omani coast. The red triangles represent the mean
669 value. Differences between letters (a, b and c) indicate significant differences ($p < 0.05$).

670
671 **FIGURE 5.** Modeled dispersal paths of epipelagic virtual larvae with larval duration of
672 40 days released in the wintertime from (a) the Red Sea, (b) Gulf of Aden, (c) Arabian
673 Sea, (d) Sea of Oman and (e) Arabian Gulf. For sake of visualization one larva was
674 released from each habitat cell (total of 181) per day in 2015. The distances travelled by
675 the larvae are represented in (f).

676
677 **FIGURE 6.** Modeled dispersal paths of epipelagic virtual larvae with larval duration of
678 40 days released in the summertime from (a) the Red Sea, (b) Gulf of Aden, (c) Arabian
679 Sea, (d) Sea of Oman and (e) Arabian Gulf. For sake of visualization one ne larva was
680 released from each habitat cell (total of 181) per day in 2015. The distances travelled by
681 the larvae are represented in (f).

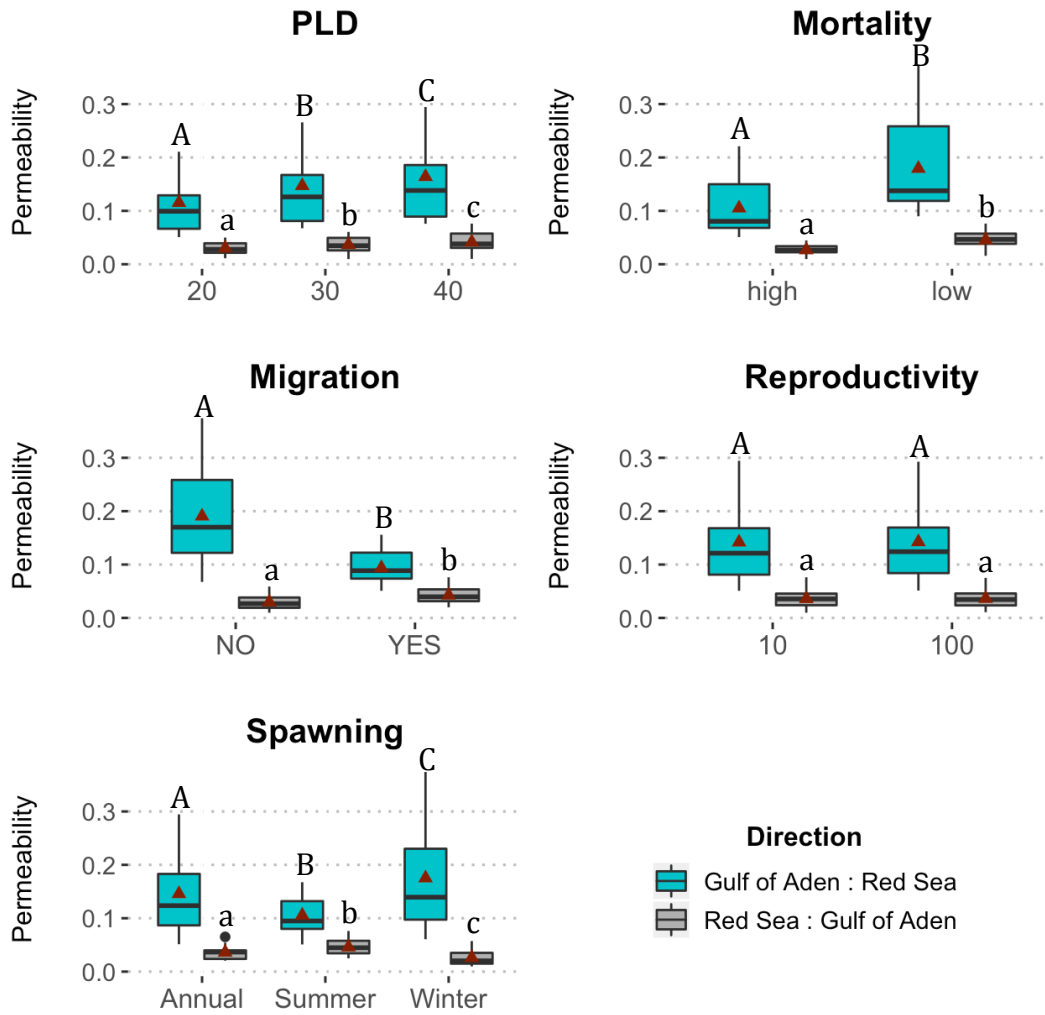
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687 **FIGURE 1.**



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697 **FIGURE 2.**
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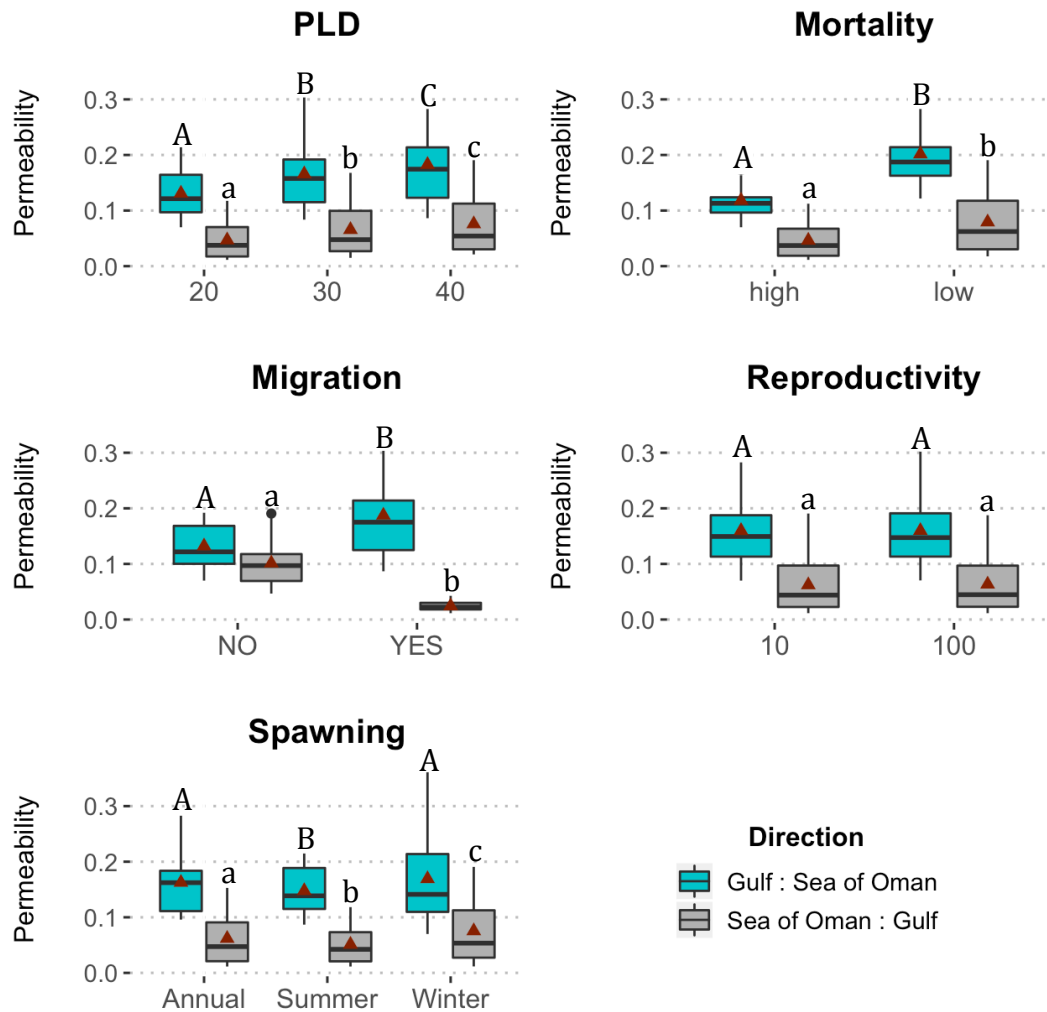
Bab-el-Mandeb Strait



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715 **FIGURE 3.**
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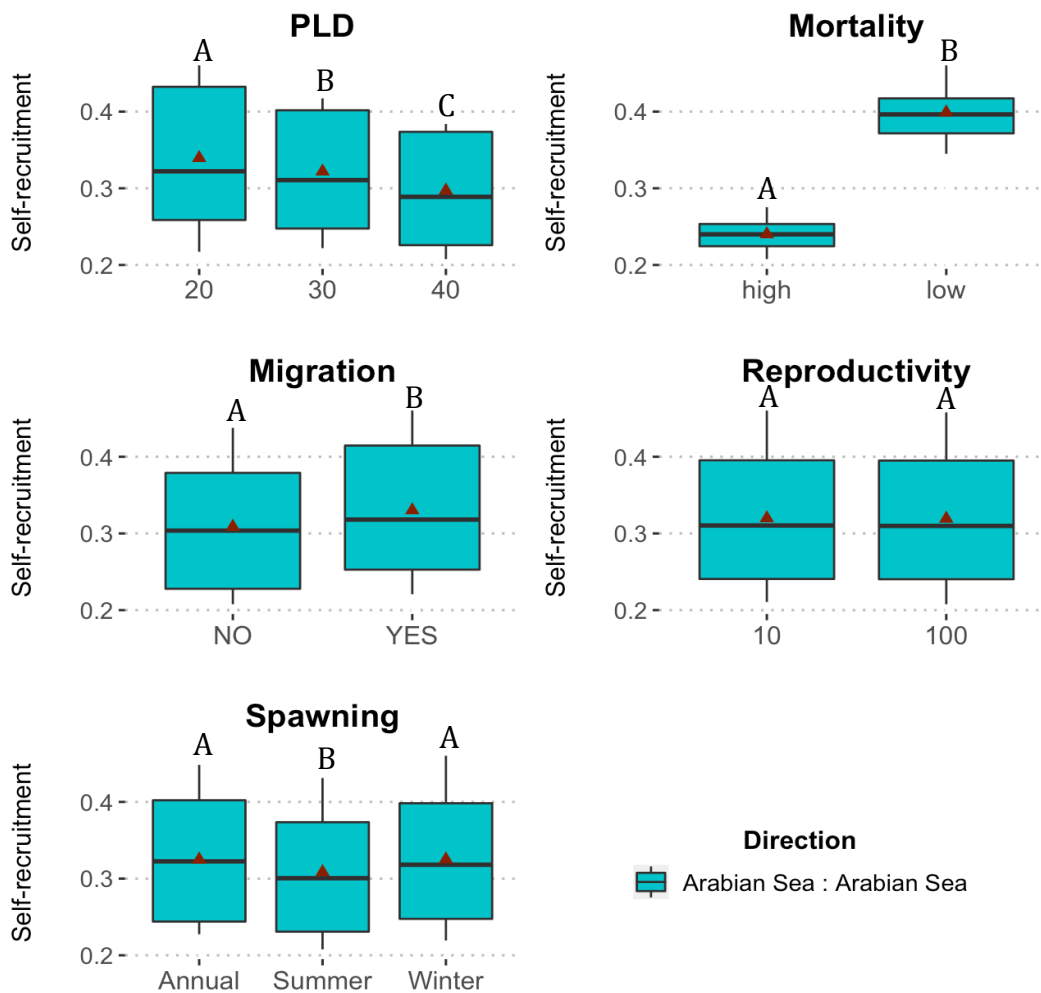
Strait of Hormuz



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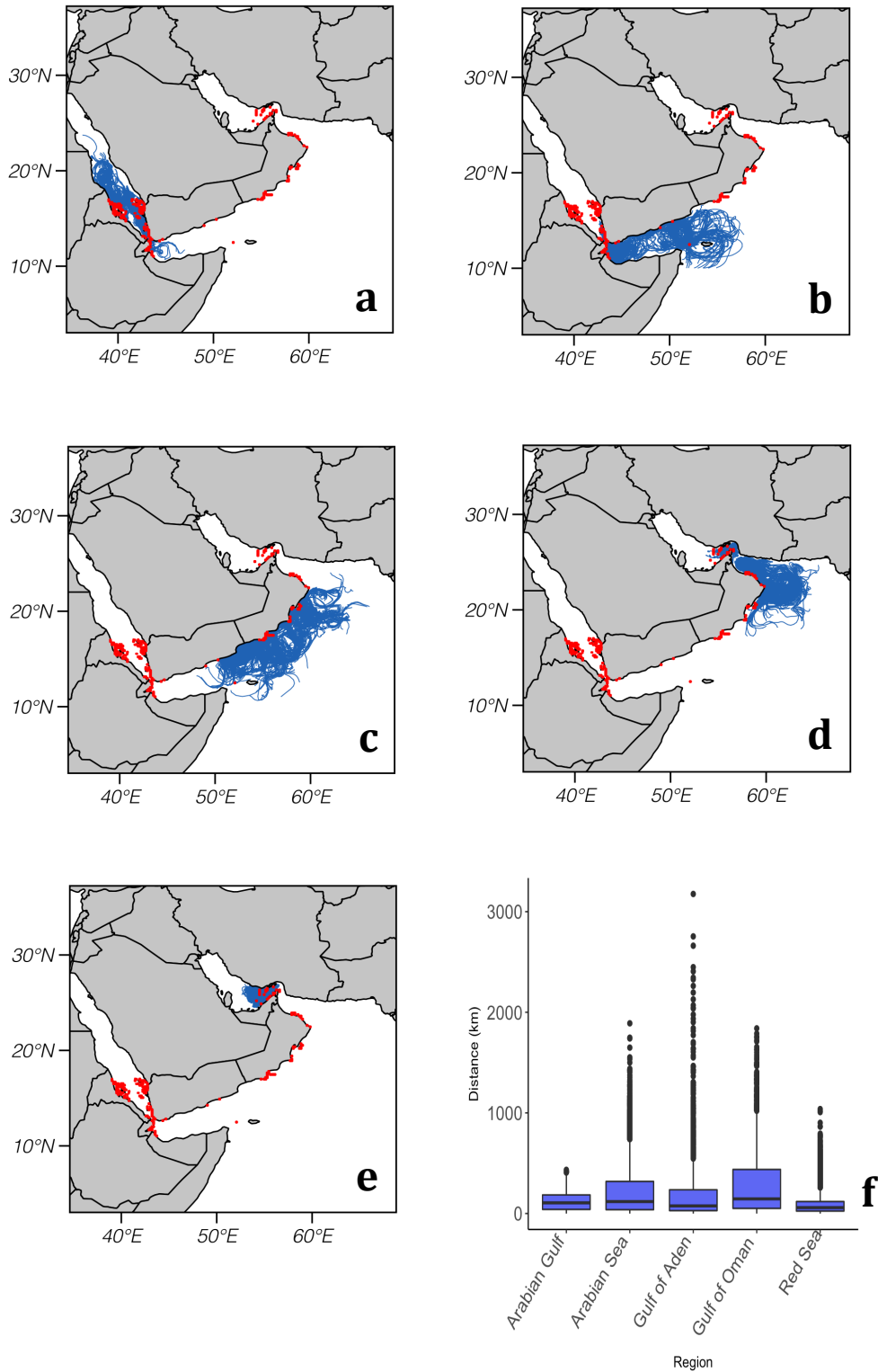
733 **FIGURE 4.**

Upwelling off Oman



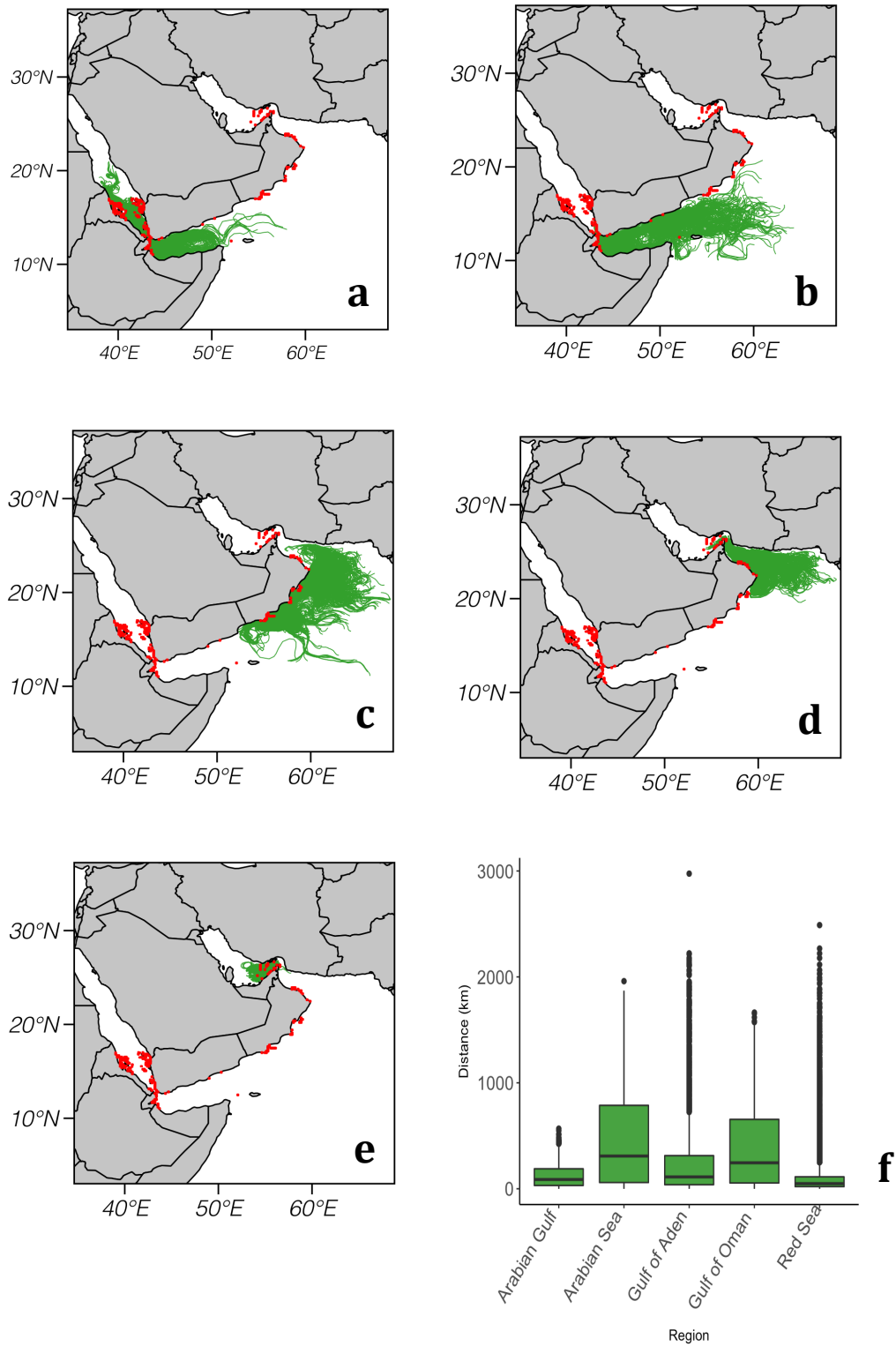
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750 **FIGURE 5.**
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755 **FIGURE 6.**
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