

1 **A Minimal Biophysical Model of Neocortical Pyramidal Cells:**
2 **Implications for Frontal Cortex Microcircuitry and Field Potential**
3 **Generation**

4 Beatriz Herrera¹, Amirsaman Sajad², Geoffrey F. Woodman², Jeffrey D. Schall², Jorge J.
5 Riera^{1*}

6 ¹Department of Biomedical Engineering, Florida International University, Miami, Florida
7 33174, USA; ²Department of Psychology, Vanderbilt Vision Research Center, Center for
8 Integrative & Cognitive Neuroscience, Vanderbilt University, Nashville, Tennessee 37203, USA

9 *Corresponding author

10 E-mail: jrieradi@fiu.edu (JR)

11 Number of pages: 38

12 Number of figures: 6

13 Number of tables: 3

14 Number of words for abstract, introduction, and discussion: 234, 641, and 1444.

15 Conflicts of interest: None

16 Acknowledgments

17 This work was supported by FIU SEED – grant Wallace Coulter Foundation (BH, JR),
18 R01-EY019882 (JDS, GFW, BH, JR), and by Robin and Richard Patton through the E. Bronson
19 Ingram Chair in Neuroscience (JDS). The authors thank Michelle Schall and Arash Moshkforoush
20 for helpful discussions and comments on the manuscript.

21 **Abstract**

22 Ca^{2+} spikes initiated in the apical dendrites of layer-5 pyramidal cells (PC) underlie
23 nonlinear dynamic changes in the gain of cellular response, which is critical for top-down cognitive
24 control. Detailed models with several compartments and dozens of ionic channels have been
25 proposed to account for this Ca^{2+} spike-dependent gain with its associated critical frequency.
26 However, current models do not account for all known Ca^{2+} -dependent features. Previous attempts
27 to include more features have required increasing complexity, limiting their interpretability and
28 utility for studying large population dynamics. We present a minimal 2-compartment biophysical
29 model, overcoming these limitations. In our model, a basal-dendritic/somatic compartment
30 included typical Na^+ and K^+ conductances, while an apical-dendritic/trunk compartment included
31 persistent Na^+ , hyperpolarization-activated cation (I_h), slow inactivation K^+ , muscarinic K^+ , and
32 Ca^{2+} L-type. The model replicated the Ca^{2+} spike morphology and its critical frequency plus three
33 other defining features of layer-5 PC synaptic integration: linear frequency-current relationships,
34 backpropagation-activated Ca^{2+} spike firing, and a shift in the critical frequency by blocking I_h .
35 Simulating 1,000 synchronized layer-5 PCs, we reproduced the current source density patterns
36 evoked by Ca^{2+} -spikes both with and without I_h current. Thus, a 2-compartment model with five
37 non-classic ionic currents in the apical-dendrites reproduces all features of these neurons. We
38 discuss the utility of this minimal model to study the microcircuitry of agranular areas of the frontal
39 lobe involved in cognitive control and responsible for event-related potentials such as the error-
40 related negativity.

41 **Key words**

42 pyramidal cells; LFP sources; cortical microcircuitry; biophysical modeling; cognitive
43 control

44

45 **Significance Statement**

46 A tractable model of layer-5 pyramidal cells replicates all known features crucial for distal
47 synaptic integration in these neurons. This minimal model enables new multi-scale investigations
48 of microcircuit functions with associated current flows measured by intracranial local field
49 potentials. It thus establishes a foundation for the future computational evaluation of scalp
50 electroencephalogram signatures imprinted by Ca^{2+} spikes in pyramidal cells, a phenomenon
51 underlying many brain cognitive processes.

52 Introduction

53 Models of the neocortical microcircuit with biophysically plausible pyramidal cells (PC)
54 are necessary to translate between observed patterns of neural spiking, local field potentials (LFP),
55 and the derived scalp electroencephalogram (EEG). Layer 5 (L5) PCs have an elongated
56 morphology with dendrites spanning all cortical layers; hence their synaptic activity causes
57 laminar current sources (Einevoll et al., 2013; Reimann et al., 2013). The local synchronization of
58 a large population of L5-PCs produces electric potentials that can be measured on the scalp
59 (Hämäläinen et al., 1993; Riera et al., 2012). Integrative features of L5-PCs have suggested their
60 participation in signaling coincident inputs to basal-dendritic/somatic and apical-dendritic regions
61 (Larkum, 2013). The arrival times of sensory inputs, efferent copies, and task rules in agranular
62 frontal cortex are critical in cognitive control (Sajad et al., 2019; Subramanian et al., 2019). One
63 well-characterized cognitive control function is error monitoring by the medial frontal cortex
64 (Stuphorn et al., 2000; Sajad et al., 2019), which is indexed by an error-related negativity (ERN)
65 in scalp potentials (Gehring et al., 1993). Therefore, models of L5-PCs will help clarify the
66 electrogenesis of the ERN.

67 L5-PCs exhibit two distal excitability zones, endowing these neurons with important
68 integrative features. One excitability zone, at the axon hillock, produces typical Na^+ action
69 potentials (AP) and another, in the distal trunk, produces Ca^{2+} -spikes (Amitai et al., 1993; Yuste
70 et al., 1994; Schiller et al., 1997; Larkum and Zhu, 2002). The coincidence of a Na^+ -AP with an
71 apical dendritic excitatory postsynaptic potential produces additional APs via a backpropagation-
72 activated Ca^{2+} -spike, “BAC” firing (Larkum et al., 1999b). Na^+ -APs show a linear frequency-
73 current (f-I) relation with different sensitivities at the two excitability zones (Larkum et al., 2004).
74 Dendritic Ca^{2+} -spikes generated by strong inputs show a sustained depolarization (Larkum et al.,
75 2001) that produces high-frequency Na^+ -APs (Schwindt and Crill, 1999; Williams and Stuart,
76 1999; Larkum et al., 2001). L5-PCs exhibit a critical frequency (CF) between 60 and 200 Hz for
77 eliciting Ca^{2+} -spikes (Larkum et al., 1999a) via somatic stimulation, which is sensitive to the
78 hyperpolarization-activated cation current, I_h , in apical-dendrites (Berger et al., 2001).

79 Previously proposed biophysical models with 2 or 3 neuronal compartments and fewer
80 conductances explained only isolated features (i.e., the I-f curves - Larkum et al., 2004; the BAC-
81 firing - Chua et al., 2015; and Yi et al., 2017). Biophysical models of higher complexity accounted
82 for some combinations of the three major features: the BAC-firing (Rapp et al., 1996; Schaefer et

83 al., 2003; Hay et al., 2011; Bahl et al., 2012; Almog and Korngreen, 2014; Mäki-Marttunen et al.,
84 2018), the f-I curves (Hay et al., 2011; Bahl et al., 2012; Mäki-Marttunen et al., 2018), and the CF
85 of Ca^{2+} -spikes (Schaefer et al., 2003; Hay et al., 2011; Bahl et al., 2012; Almog and Korngreen,
86 2014). However, single cell models with many compartments and ionic channels are
87 computationally expensive to use in large-scale simulations of neocortical networks. Furthermore,
88 fitting these complex models to LFP/EEG data is practically unattainable, limiting interpretability
89 and their applications to other research areas. Only one previous model replicated realistic $[\text{Ca}^{2+}]$
90 dynamics in the distal-trunk during Ca^{2+} -spikes (Mäki-Marttunen et al., 2018). Furthermore, no
91 previous model has accounted for the I_h shift of CF, the current source density (CSD) patterns
92 associated with dendritic Ca^{2+} -spikes evoked by somatic stimulation of PCs above the CF, and the
93 effect of blocking I_h on these patterns (Suzuki and Larkum, 2017).

94 We describe the simplest possible biophysical model (2-compartments, 7 ionic
95 conductances) of L5-PCs accounting for all these features. In particular, it reproduced Ca^{2+}
96 dynamics above the CF and explained the shift produced by I_h . The model replicates CSD patterns
97 obtained from synchronized Ca^{2+} -spikes of 1,000 L5-PCs evoked by supra-CF somatic
98 stimulation. Therefore, this minimal L5-PC model will be crucial for the interpretation of LFP-
99 CSD/EEG patterns associated with cognitive control based on our understanding of the agranular
100 laminar microcircuitry (Sajad et al., 2019).

101 **Materials and Methods**

102 **L5-PC minimal model**

103 We modeled the L5-PC as a 2-compartment neuron with a compartment representing the
104 basal-dendrites/soma, and another compartment representing its distal-trunk (Ca^{2+} -spike initiation
105 zone) and the apical-dendrites. The trunk is represented by a transfer resistance (R_T) between the
106 two compartments (Figure 1A). The basal-dendritic/somatic compartment includes the classic
107 Hodgkin-Huxley sodium (I_{Na}) and potassium delayed rectifier (I_{Kdr}) currents (Hodgkin and
108 Huxley, 1952). The apical-dendrite/trunk compartment includes persistent Na^+ current (I_{Nap})
109 (Magistretti and Alonso, 1999), Ca^{2+} L-type current (I_{CaL}) (Lytton and Sejnowski, 1991),
110 hyperpolarization-activated non-specific cation current (I_h) (Kole et al., 2006), muscarinic K^+
111 current (I_M) (Adams et al., 1982), and the slow-inactivating potassium current (I_{Ks}) (Korngreen

112 and Sakmann, 2000). The membrane potentials of the two compartments are given by the
 113 following coupled differential equations:

$$C_m^s \frac{dV_s}{dt} = -I_{Na} - I_{Kdr} - I_l^s + \frac{(V_d - V_s)}{R_T} + I_{inj}^s \quad (1)$$

$$C_m^d \frac{dV_d}{dt} = -I_{Nap} - I_{CaL} - I_h - I_M - I_{Ks} - I_l^d + \frac{(V_s - V_d)}{R_T} + I_{inj}^d \quad (2)$$

114 where subscripts s and d denote the basal-dendritic/somatic and apical-dendritic/trunk
 115 compartments, respectively. V_i , C_m^i , I_l^i and I_{inj}^i ($i \in \{s, d\}$) represent the membrane potential,
 116 membrane capacitance, leak current and injected current for the i -th compartment, respectively
 117 (Table 1 – *parameters*). The ionic currents are modeled using the Hodgkin-Huxley formalism in
 118 which:

$$I_k = g_k m_k^x h_k^y (V_i - E_k) \quad (3)$$

119 where, g_k is the maximal conductance of the k -th ionic channel; m_k and h_k are its
 120 activation and inactivation gating variables (Table 2 – *ionic current kinetics*); x and y are their
 121 respective exponents; and E_k is the equilibrium potential of the k -th ion. The leak current was
 122 modeled by $I_l^i = g_l^i (V_i - E_l^i)$. All the equilibrium potentials are considered constant, except for
 123 the equilibrium potential of Ca^{2+} , which depends on the intracellular Ca^{2+} concentration
 124 ($[Ca^{2+}]_i$) through the Nernst equation. Because of ionic diffusion, we treat $[Ca^{2+}]$ as a stochastic
 125 variable. Therefore, we added a Wiener noise $g_{Ca} dW_{Ca}$ to equation (4) using the approach
 126 described in a previous study (Riera et al., 2011), with $g_{Ca} = 1 \times 10^{-9}$.

127 The intracellular Ca^{2+} concentration dynamics is given by

$$\frac{d[Ca^{2+}]_i}{dt} = - \frac{\gamma k (I_{CaL}(V_d) - I_{CaL}(V_d^r))}{2Fd} - \frac{([Ca^{2+}]_i - [Ca^{2+}]_i^r)}{\tau_R} \quad (4)$$

128 where V_d^r is the dendritic resting potential, $[Ca^{2+}]_i^r$ is the intracellular Ca^{2+} concentration
 129 at rest, $\tau_R = 80ms$ is the decay time constant of the intracellular Ca^{2+} concentration due to active
 130 transport (Schaefer et al., 2003). $d = 1 \mu m$ is the depth of the submembrane Ca^{2+} shell, $F =$
 131 $96489 C/mol$ is the Faraday's constant, and $k = 10,000/A_d$ is the unit conversion constant for

132 I_{CaL} (mA). The surface area $A_d = 9302.3 \mu m^2$ of the apical-dendrite/trunk compartment was
133 calculated based on the values given by Larkum et al. (2004) for parameters C_m^d and R_m^d . γ
134 represents the fraction of free Ca^{2+} (not buffered), which was adjusted to reproduce experimental
135 data for $[Ca^{2+}]_i$ in the distal-trunk (Larkum et al., 1999a). The basal intracellular Ca^{2+} was set at
136 its typical physiological value $[Ca^{2+}]_i^r = 80 nM$.

137 *** Please insert Tables 1 and 2 around here ***

138 *Frequency-current (f-I) relation*

139 We create the frequency-current (f-I) curves by injecting a noisy staircase current into
140 either compartment and calculating the somatic firing rate for each current step. The noisy input
141 current was an Ornstein-Uhlenbeck process (Larkum et al., 2004):

$$I_{inj}^i(t + dt) = I_{inj}^i(t) + \frac{\mu(t) - I_{inj}^i(t)}{\tau} dt + \sigma^i G_t \sqrt{\frac{2dt}{\tau}} \quad (5)$$

142 where $I_{inj}^i(t)$ is the injected current at the i -th compartment with mean $\mu(t)$, compartment-
143 dependent standard deviation σ^i , and time correlation length τ . G_t is a random number generated
144 at each time point from a Gaussian distribution with mean = 0 and standard deviation = 1. We set
145 $\tau = 3 ms$ as in the experimental study (Larkum et al., 2004), and dt , the time increment, equal to
146 the integration time step. The mean $\mu(t)$ increased over time between 0.2 and 0.75nA as a staircase
147 function with steps of $\mu(t) = 0.05nA$ every 2 s.

148 *** Please insert Figure 1 around here ***

149 **Modeling a population of L5-PCs**

150 In addition to reproducing all main features of PC reported from intracellular recording
151 studies, we validated its usefulness to model large-scale extracellular electric potentials (e.g., LFP)
152 generated by cortical microcircuits. To that end, we simulated a neocortical column comprised of
153 1,000 L5-PCs. For now, they were not connected to each other. Nevertheless, this approach
154 allowed us to determine the transmembrane ionic current densities (active/returning) and laminar
155 LFP associated with synchronized apical-dendritic L5-PC Ca^{2+} -spikes. The laminar LFPs and CSD
156 patterns were compared with those obtained by Suzuki and Larkum (2017).

157 *Calculating the LFPs*

158 We calculate the LFP from the transmembrane currents generated by a collection of
 159 neurons using the point-source approximation (Holt and Koch, 1999), which assumes that the
 160 transmembrane currents through a compartment can be approximated as a single monopolar
 161 source/sink placed in an extracellular medium at the center of the compartment. To compute the
 162 transmembrane currents, we divided each compartment into regions (Figure 1B). This approach
 163 permits the spatial separation of active ionic and passive returning (i.e., capacitive and leak)
 164 currents. The basal-dendritic/somatic compartment was modeled by three regions: the basal
 165 dendrites, the axon hillock, and the soma-oblique dendrites. The apical-dendrite/trunk
 166 compartment was modeled by two regions: the distal trunk (including the main bifurcation point),
 167 and the tufted apical-dendrites. Each region was represented by a single monopolar current
 168 source/sink. The ionic and capacitive/leak currents are distributed between these regions as follow:

$$I_1^i = (1 - \alpha_{Kdr}) \cdot I_{Kdr} + (1 - \alpha_C) \cdot (I_C^s + I_l^s) \quad (6)$$

$$I_2^i = I_{Na} \quad (7)$$

$$I_3^i = \alpha_{Kdr} \cdot I_{Kdr} + \alpha_C \cdot (I_C^s + I_l^s) \quad (8)$$

$$I_4^i = I_{CaL} + \alpha_{Ks} \cdot I_{Ks} \quad (9)$$

$$I_5^i = I_h + I_{Nap} + I_M + (1 - \alpha_{Ks}) \cdot I_{Ks} + (I_C^d + I_l^d) \quad (10)$$

169 where I_1^i , I_2^i , I_3^i , I_4^i , and I_5^i are the total transmembrane currents (Figure 1B) of the basal
 170 dendrites (1), axon hillock (2), top soma-oblique dendrites (3), distal trunk (4), and the apical-
 171 dendrite (5) regions, respectively. I_C^s and I_C^d are the somatic and dendritic capacitive currents,
 172 respectively; and are equal to $C_m^{\{s,d\}} dV_{\{s,d\}}/dt$. The distribution of ionic currents in these five
 173 regions was determined by taking into consideration the following physiological/morphological
 174 characteristics.

175 First, because of their extensive surface area, returning (i.e., capacitive and leak) currents
 176 were distributed only in dendrites. The scaling factor α_{Kdr} , α_C , and α_{Ks} were adjusted to reproduce
 177 the CSD patterns reported by Suzuki and Larkum (2017). We separated the somatic capacitive and
 178 the I_{Kdr} currents into their contribution by the basal and top soma-oblique dendrites. These regions

179 possess a bigger area and a combined higher density of I_{Kdr} channels than the axon hillock
180 (Ramaswamy and Markram, 2015). In the axon hillock, we included only the Na^+ current because
181 its density in this area is at least 50-fold higher than at proximal dendrites (Ramaswamy and
182 Markram, 2015). The I_{CaL} and I_{Ks} currents were incorporated in the main bifurcation point of the
183 trunk since this region is the Ca^{2+} -spike excitability zone (Larkum et al., 1999b). The I_h current
184 was added to the apical dendrite compartment because of its high density in this region (Kole et
185 al., 2006) and critical influence on synaptically evoked activity in the distal apical dendritic arbor
186 (Harnett et al., 2015). The I_{Nap} (Schwindt and Crill, 1995) and I_M (Hay et al., 2011) currents were
187 also included in this area because of their role in the amplification/attenuation of synaptic currents
188 in the distal apical-dendrites. Finally, the capacitive current was added into this region since the
189 distal dendritic arbor covers a greater area than the Ca^{2+} -spike excitability zone (Ramaswamy and
190 Markram, 2015).

191 We compute the LFPs at 16 equally spaced vertically aligned points to simulate the linear
192 microelectrode array (Michigan probe) used by Suzuki and Larkum (2017). As in their study, the
193 inter-electrode distance (h) was $100 \mu\text{m}$. Motivated by their stimulation protocol with the right-
194 angled prism, we consider that the linear probe was located at the center of a cylindrical neocortical
195 column of 3 mm in diameter, and with constant and isotropic electrical conductivity $\sigma =$
196 0.323 S/m (i.e., average across layers from Goto et al., (2010)) (Figure 1C). Given the maximal
197 current produced by individual PCs, 1,000 L5-PCs were required to generate CSD amplitudes in
198 the range reported by Suzuki and Larkum (2017). The electric potential at electrode position z_e^j is
199 given by (Nicholson and Llinas, 1971):

$$\phi(z_e^j) = \frac{h}{2\sigma} \sum_{i=1}^{N_n} \sum_{n=1}^{N_s} \left(\sqrt{(z_e^j - z_n^i)^2 + (x_n^i)^2 + (y_n^i)^2} - |z_e^j - z_n^i| \right) \cdot \frac{I_n^i(t)}{V} \quad (11)$$

200 where $I_n^i(t)$ is the transmembrane current generated by the point-source n of the neuron i ;
201 x_n^i , y_n^i , and z_n^i are the coordinates of the point-source n of the network neuron i , and V is the
202 volume of the cortical column. $N_n = 1,000$ and $N_s = 5$ represent the total number of neurons in
203 the network and the total number of regions in each neuron, respectively. The (x_n^i, y_n^i) coordinates
204 of the neurons in the simulated neocortical column were generated randomly from a uniform
205 distribution. The z_n^i coordinate of the axon hillock point-source/sink of the network neurons was

206 also generated randomly from a uniform distribution with values between 1.025 mm and 1.450
207 mm (below the pia matter, Suzuki and Larkum (2017)). The location of the basal-dendrite, trunk
208 main bifurcation point and apical-dendrite point-sources were calculated relative to the location of
209 the neurons' axon hillock. The basal dendrites point-source was always 0.15 mm below the axon
210 hillock, the main bifurcation point of the trunk was always 0.89 mm above the axon hillock
211 (Ledergerber and Larkum, 2010, Figure 12), and the apical dendrite point-source was 0.15 mm
212 above the trunk main bifurcation point. The position of the top-soma oblique dendrites,
213 representing part of the somatic returning currents, was generated randomly with values between
214 0.7 and 1 mm from the cortical surface. The proposed distribution of point-sources for dendrites
215 was inspired by morphological data of L5-PCs (Mohan et al., 2015). Wiener noise $g_k dW_k$ was
216 added to equations (1) and (2) to instantiate variability in the timing of L5-PC Na^+ -APs and Ca^{2+} -
217 spikes, with $g_s = 0.05$ and $g_d = 0.025$.

218 *Current source density (CSD) analysis*

219 We estimated the CSD patterns evoked by the simulated LFPs using the spline inverse
220 CSD method (spline iCSD) (Pettersen et al., 2006). The iCSD methods are based on the inversion
221 of the solutions of the electrostatics forward problem and assume cylindrical confined and
222 symmetric CSDs. Specifically, the spline iCSD method assumes a continuously varying CSD
223 along the recording electrodes, which is calculated by interpolating a set of cubic splines, requiring
224 the CSD and its first and second derivatives in the vertical direction to be continuous (Pettersen et
225 al., 2006). It also considers a homogeneous disc distribution in the in-plane (x, y) directions. In
226 agreement with the previous section, a homogeneous and isotropic volume conductor with
227 extracellular conductivity of $\sigma = 0.323 \text{ S/m}$ (Goto et al., 2010) was used. Based on L5-PC density
228 and the CSD peak amplitudes in Suzuki and Larkum (2017), the diameter of the cylindrical source
229 model was set to 3 mm. The estimated CSD based on the simulated LFPs were convolved with a
230 Gaussian filter of $\sigma = 0.1 \text{ mm}$ to produce a spatially smoothed CSD estimate.

231 **Simulations and code accessibility**

232 Simulations were performed in MATLAB (R2018b, MathWorks) with custom-written
233 scripts. The model equations are solved using the SDETools toolbox for the numerical solution of
234 stochastic differential equations (<https://github.com/horchler/SDETools>), with a time-step of 1 μs .
235 All simulation parameters are listed in Table 1 with ionic channel kinetics in Table 2. To calculate

236 the CSD, we created customized scripts that use the functions provided in the CSDplotter toolbox
237 (<https://github.com/espenhgn/CSDplotter>), which implements the iCSD methods described in
238 Pettersen et al., (2006). The MATLAB scripts of the model implementation as well as for the LFPs
239 and CSD calculations are publicly available at ([https://github.com/beaherrera/2-](https://github.com/beaherrera/2-compartments_L5-PC_model)
240 [compartments_L5-PC_model](https://github.com/beaherrera/2-compartments_L5-PC_model)).

241 **Results**

242 **Model testing approach**

243 Traditionally, parameter estimation of L5-PC biophysical models is performed using
244 quantitative strategies aimed at numerically minimizing model prediction errors while reproducing
245 transmembrane potential traces in specific experimental paradigms. In some cases, the data are
246 used to fit channel kinetics (Rapp et al., 1996), while in others (Hay et al., 2011; Bahl et al., 2012;
247 Almog and Korngreen, 2014; Chua et al., 2015; Mäki-Marttunen et al., 2018) conductance ranges
248 are fitted with generic optimization methods, based on known channel kinetics. However, such a
249 quantitative approach is very challenging if biophysical models are used to simultaneously fit data
250 from multiple experimental paradigms. In such cases, a qualitative trial/error approach based on
251 electrophysiological knowledge about the effect that each ion channel produces on the data is more
252 effective (Schaefer et al., 2003; Larkum et al., 2004; Yi et al., 2017). We will use the qualitative
253 trial/error approach as our goal is to satisfice qualitatively and not satisfy quantitatively six
254 different properties of L5-PCs (Table 3), which were reported using a variety of experimental
255 paradigms. We also employed previously known channel kinetics. The rationale used to determine
256 ionic distributions and conductances is now explained.

257 Ion channels for each compartment were selected based on experimental findings and
258 modeling studies. In the soma, we included the classic Na⁺ and K⁺ delayed rectifier channels to
259 generate the APs (Hodgkin and Huxley, 1952). Previous studies (Lytton and Sejnowski, 1991;
260 Larkum et al., 2004; Hay et al., 2011; Mäki-Marttunen et al., 2018) reported the need for the after-
261 hyperpolarization (AHP) current to reproduce the f-I relationship shown experimentally by the L5-
262 PCs. However, as in Bahl et al., (2012), this current was not needed to explain the f-I relationship.
263 On the other hand, the I_{CaL} (Almog and Korngreen, 2009; Pérez-Garci et al., 2013), the I_{Nap}
264 (Schwindt and Crill, 1995; Crill, 1996) and the I_{Ks} (Harnett et al., 2013) currents were inserted in
265 the dendritic compartment to generate the characteristic shape of dendritic Ca²⁺-spikes and in

266 agreement with experimental data. I_{CaL} defined the amplitude and velocity of the initial
267 depolarization phase. I_{Nap} was responsible for the long plateau-like depolarization characteristic
268 of these spikes, and also essential for the CF effect. I_{KS} defined both the duration of the
269 repolarization phase and the amplitude of the Ca^{2+} -spike's after-hyperpolarization phase. The I_h
270 current (Kole et al., 2006) was included in the apical-dendrite/trunk compartment to account for
271 the increase in the resting potential reported in the dendrites of these neurons (Berger et al., 2001).
272 Moreover, this current plays a significant role in the BAC firing modulation and the synaptic
273 integration, as well as in the reported changes in both the CF for Ca^{2+} -spike generation (Berger et
274 al., 2003) and the CSD pattern evoked by dendritic Ca^{2+} -spikes (Suzuki and Larkum, 2017).
275 Finally, the M-current was needed for the spike repolarization phase when staircase input currents
276 were applied to the apical dendrites. Without this current, the dendritic membrane potential could
277 not complete the repolarization phase. The voltage dependence of the channel kinetics at the
278 apical-dendrite/trunk compartment was shifted by +8 mV to account for the shift in the resting
279 membrane potential.

280 Henceforth, we tested our L5-PC biophysical model in two steps. We first validate the
281 minimal model by reproducing all known Ca^{2+} -dependent synaptic facilitation features. We next
282 assess the capabilities of the model to reproduce the large-scale Ca^{2+} -spike dependent LFPs
283 associated with the synchronized activation of a population of L5-PCs in a neocortical column
284 responding to supra-CF somatic stimulation.

285 **Validation of the model**

286 *Frequency-current (f-I) relationship*

287 We first investigated whether our model predicts the f-I relationship previously reported
288 for L5-PCs when either the soma or the distal-trunk region is stimulated (**Figure 2A**, Larkum et
289 al., (2004)). We injected into the soma or the distal-trunk, a staircase incrementing noisy input
290 current generated using the Ornstein–Uhlenbeck method (see Materials and Methods), with
291 standard deviation $\sigma = 0.2$ nA, or $\sigma = 0.09$ nA, respectively. Figure 2B shows the somatic AP
292 response (blue, *top panel*) to the somatic input current (blue, *second panel*). Then, the mean
293 somatic AP frequency was computed for each current step. Mean and standard errors of the mean
294 (SEM) over 50 trials were estimated (Figure 2C, blue). Overall, the model predicted a linear f-I
295 relationship for the somatic input current (dashed blue line, goodness-of-fitting $R^2 = 0.959$) that

296 fell within the range of two experimentally reported studies for L5 PCs (Figure 2C, black
297 traces/shadow) (Larkum et al., 2004; Bahl et al., 2012). Figure 2B shows the somatic AP response
298 (blue, *third panel*) to the dendritic input current (red, *bottom panel*), and Figure 2C compares
299 observed with simulated values. The model also predicted a linear f-I relationship for dendritic
300 input current (dashed red line, goodness-of-fitting $R^2 = 1.00$). In agreement with experimental data
301 (Larkum et al., 2004), current injections at the trunk must be ~ 300 pA larger than those needed at
302 the soma to produce the same AP frequency in these L5-PCs. This effect was quantified using
303 parameter ΔI (Figure 2D), which was calculated for all somatic AP rates from simulated (N = 6,
304 $\Delta I = 0.3142 \pm 0.0140$ nA) and experimental (N = 6, $\Delta I = 0.3333 \pm 0.0258$ nA) data. This difference
305 was statistically insignificant ($t(5) = 2.0789$, $p = 0.0922$, two-tailed paired t-test) demonstrating
306 the model represents adequately the experimental f-I relationships. However, our model predicted
307 a threshold for somatic AP initiation of ~ 0.35 nA at trunk current injection sites, which was smaller
308 than that of ~ 0.5 nA reported experimentally. This negative finding could be explained by the
309 difference in the injection site along the trunk of the actual L5-PCs used in the experiments
310 (Larkum et al., 2004). Current injections at sites relatively distant to the trunk bifurcation site will
311 require larger amplitudes as the density of CaL channels might be lower. In our model, the
312 mimicked injection was consistently performed at the level of that bifurcation lowering the
313 threshold required to achieve somatic AP firing.

314 *** Please insert Figure 2 around here ***

315 *Back-propagating AP activated Ca²⁺-spike (BAC) firing*

316 Next, we examined how the L5-PC biophysical model responds and integrates inputs into
317 the distal-trunk and soma (**Figure 3A**) at different times. Firstly, we stimulated the distal-trunk
318 with a subthreshold current generated from a double exponential function of the form
319 $(1 - \exp(-t/\tau_2)) \cdot \exp(-t/\tau_1)$ with $\tau_1 = 2$ ms and $\tau_2 = 10$ ms, and an amplitude of 0.29 nA.
320 In agreement with experimental studies (Larkum et al., 1999b; Schaefer et al., 2003), only a small
321 somatic and apical-dendritic/trunk depolarization were evoked by this current injection (Figure
322 3B). Second, we injected a threshold current pulse (duration: 5 ms, amplitude: 1 nA) into the
323 soma, which elicited an AP that propagated back to the apical-dendrite/trunk compartment creating
324 a dendritic depolarization but no Ca²⁺-spike (**Figure 3**). Third, we tested the model response when
325 both stimuli were combined. We applied the somatic current pulse and 1 ms later the subthreshold

326 current at the trunk. This resulted in the generation of an AP, a dendritic Ca^{2+} -spike, and another
327 somatic AP following the onset of the dendritic Ca^{2+} -spike (Figure 3D). We could also evoke
328 dendritic Ca^{2+} spikes by supra-threshold current injections to the trunk (Figure 3E).

329 *** Please insert Figure 3 around here***

330 *Critical frequency (CF) for Ca^{2+} -spike generation*

331 We next investigated the influence of the frequency of short somatic current stimulation
332 on Ca^{2+} -spikes occurrence. To that end, we simulated the soma stimulation with trains of brief
333 supra-threshold pulses (2 ms) at different frequencies eliciting trains of somatic APs. As
334 previously reported (Larkum et al., 1999a; Berger et al., 2003), only AP trains above a CF (149 Hz
335 in the model) evoked Ca^{2+} -spikes. Figure 4A illustrates the somatic and apical-dendrite/trunk
336 responses to somatic stimulation below and at the CF. Figure 4B shows the intracellular Ca^{2+}
337 concentration dynamics for both stimulation paradigms, which resemble experimental data
338 (Larkum et al., 1999a).

339 *** Please insert Figure 4 around here ***

340 We also studied how the CF varied with the presence or absence of the I_h current in the
341 distal apical dendrites. We simulated a L5-PC without I_h current at the apical-dendrite/trunk
342 compartment responding to the same trains of supra-threshold currents at the soma with different
343 frequencies. To quantify the CF, we measured the area below the dendritic voltage traces and
344 plotted them as a function of AP frequency. When the I_h current was blocked relative to present,
345 the CF was lower by about 40 Hz (Figure 4C). Furthermore, we compared the CF values with and
346 without the I_h current predicted by our model with those predicted by experimental data from
347 eleven L5-PCs (Berger et al., 2003) (Figure 4D). In both the observed and simulated data, the CF
348 is reduced at least by 30-40 Hz when the I_h current is blocked. The CFs predicted by our model are
349 slightly higher than the mean observed CFs, but they fell within the observed range.

350 **Reproducing Ca^{2+} -spike dependent local field potentials**

351 To examine the capabilities of this minimal L5-PC model, we tested whether non-synaptic
352 events such as Ca^{2+} -spikes can be detected in the evoked LFPs as reported by Suzuki and Larkum,
353 (2017). To that end, we simulated a collection of 1,000 model L5-PCs (Figure 1C). In the
354 experimental paradigm, simultaneous stimulation of the soma of L5 PCs was achieved using an
355 optogenetic approach (Suzuki and Larkum, 2017); hence, no synaptic connections were considered

356 in our simulations. The simulated L5-PCs were stimulated with a noisy, 20 ms duration, current
357 pulse with a mean amplitude that generates AP trains at a frequency above the CF. The mean input
358 current was strong enough to generate somatic AP trains and therefore evoked dendritic Ca^{2+} -
359 spikes (Figure 5A). Figure 5B shows the raster plots and associated post-stimulus time histograms
360 of 100 randomly selected L5-PCs (top), with the timing for typical Na^+ -APs and Ca^{2+} -spikes. After
361 the somatic stimulation ceased, somatic Na^+ -APs were only elicited because of the non-linear
362 changes in the somatic-dendritic gain of these cells.

363 Figure 5C illustrates the averaged LFPs evoked by optogenetic stimulation of the collection
364 over 10 trials. We observed an early sink between 1.0-1.3 mm below the pia matter, which was
365 accompanied by two sources, one stronger between 0.7-0.9 mm and another weaker between 1.4-
366 1.6 mm. According to our model, the sink was caused by large I_{Na} inward currents at the level of
367 the axon hillock due to the optogenetically induced APs. The two sources were caused by a
368 combination of I_{Kdr} and the returning capacitive/leak outward currents through the top soma-
369 oblique dendrites and the basal dendrites. The relative amplitudes of these two sources can be
370 adjusted by means of parameters α_{Kdr} and α_{C} . To create **Figure 5**, these parameters were set to
371 0.5 and 1/3, respectively. We also observed a 20-30 ms delayed sink between 0.3-0.6 mm below
372 the pia matter, which was accompanied by a very superficial (0.1-0.2 mm) source, also delayed.
373 This late sink appeared during the same interval in which the collection of L5 PCs generated more
374 Ca^{2+} -spikes (Figure 5B bottom). Hence, we believe it was caused by the I_{CaL} inward current.

375 According to our model, the superficial sources resulted from a combination of I_{M} , I_{KS} , and
376 the returning capacitive/leak outward currents through the apical-dendrites. Because of its reversal
377 potential, the cation current I_{h} could be either a source or a sink during a Ca^{2+} -spike at a very
378 superficial level. The relative amplitude of this delayed sink-source was adjusted using parameter
379 $\alpha_{\text{KS}} = 1$ to reproduce a similar CSD pattern as that reported by Suzuki and Larkum (2017). The
380 CSD analysis clearly revealed the presence of such a sink/source current density distribution
381 (Figure 5D, right color map panel (I_{h}) and expanded plot, respectively). Since we did not consider
382 synaptic connections between the L5-PCs, the above results suggest that the late sink is associated
383 with the dendritic Ca^{2+} -spikes.

384 *** Please insert Figure 5 around here ***

385 Finally, we investigated the influence of the I_h current on the source-sink pattern generated
386 by the dendritic Ca^{2+} -spikes. We repeated the simulations, but now without the I_h current in the
387 apical-dendritic compartment (Figure 5D). In agreement with the experimental data (Suzuki and
388 Larkum, 2017), we found that the amplitude of the delayed sink in layer 2/3 is significantly
389 increased by blocking the I_h current ($p = 0.0089$, Wilcoxon signed-rank test, $N = 10$ trials). Since
390 the superficial source in Suzuki and Larkum (2017) was very close to the pia boundary, we believe
391 the iCSD method used by the authors might have misestimated this source. Therefore, we did not
392 compare the experimental effect of blocking I_h on that superficial sources with that predicted by
393 our model. The cation current I_h was too small in amplitude to produce any detectable change in
394 the CSD when blocked. However, this current was crucial to produce a shifted resting membrane
395 potential of +10 mV (Figure 1C) in the apical-dendrite/trunk compartment, which disappeared
396 when I_h was blocked. As the trunk resting membrane potential became more negative, the effect
397 of I_{CaL} was larger between 25-35 ms after stimulation (**Figure 5D**), producing a more intense
398 delayed sink during Ca^{2+} -spiking at the level of the L5-PC trunk.

399 Discussion

400 Synaptic integration in apical dendrites of L5-PCs is facilitated by several unique
401 characteristics of these neurons: **(i)** the f-I curves with differentiated sensitivity for the soma and
402 distal trunk, **(ii)** the BAC firing-based amplification of coincident apical-dendritic inputs, **(iii)** the
403 CF effect for eliciting Ca^{2+} -spikes in the distal trunk, and **(iv)** its related increases in intracellular
404 $[\text{Ca}^{2+}]_i$ in apical-dendrites strengthening NMDA synaptic efficacy. Biophysical models with
405 different level of complexity have been proposed to account for single L5-PC features (Rapp et
406 al., 1996; Larkum et al., 2004; Chua et al., 2015; Yi et al., 2017) or combinations of features
407 (Schaefer et al., 2003; Hay et al., 2011; Bahl et al., 2012; Almog and Korngreen, 2014; Mäki-
408 Marttunen et al., 2018). Models explaining combinations of features require many compartments
409 to capture realistic L5-PC morphology and more than four ionic channels per compartment, which
410 substantially increase the computational cost and time (Table 3). Consequently, using these models
411 in large-scale simulations of collections of L5-PCs requires special computational resources and
412 extensive time. Moreover, fitting them to large-scale electrophysiological data (e.g., LFP and
413 EEG) will be challenging, limiting interpretability and applications to other research areas. Also,
414 no previous model has accounted for the shift in the CF due to the influence of I_h , explained the

415 CSD patterns associated with dendritic Ca^{2+} -spikes evoked by somatic stimulation of PCs above
416 the CF, or the effect on these patterns of blocking I_h (Suzuki and Larkum, 2017). We proposed a
417 2-compartment model of L5-PCs with just seven ion channels that explain qualitatively all
418 abovementioned features.

419 *** Please insert Table 3 around here ***

420 **Layer 2/3 PCs vs. layer 5 PCs**

421 Does our model account for characteristics of PCs in layer 2/3 (L2/3)? Even though they
422 share many electrophysiological properties, L2/3-PCs have distinct features that differentiate their
423 role in the cortical microcircuit (Larkum et al., 2007). Similar to L5-PCs, L2/3-PCs have
424 excitability zones in both the axon initial segment and the distal apical dendrites. These act as two
425 different functional compartments that allow these neurons to associate inputs coming to the distal
426 apical dendrites with those coming to the soma or basal dendrites. Large Ca^{2+} influx and
427 regenerative dendritic potentials are also evoked by back-propagating action potentials above a
428 CF. Moreover, as in L5-PCs (Pérez-Garci et al., 2006), GABAergic inhibitory inputs to the distal
429 apical dendrites cause long-lasting reduction of dendritic activity. However, unlike L5-PCs, L2/3-
430 PCs do not show long plateau-like dendritic depolarizations. Consequently, brief dendritic spikes
431 have less influence on somatic AP output. In fact, isolated dendritic potentials in response to supra-
432 threshold dendritic stimulation are more common than dendritic spikes coupled to a somatic AP.
433 Furthermore, though coincident inputs to both functional compartments reduce the threshold for
434 dendritic spike generation, stronger dendritic inputs are needed to evoke an extra somatic AP. In
435 addition, L2/3-PCs display little attenuation in the dendritic response to long current injections
436 suggesting a low density of I_h channels in the dendrites, described as sag by Larkum et al. (2007).

437 **Functional Implications: Microcircuitry underlying cognitive control**

438 Cognitive control involves the suppression of automatic or impulsive behavior for
439 successful goal-directed behavior. Some models of cognitive control formalize this function as the
440 co-activation of two conflicting action plans, which need to be resolved for correct performance
441 (Botvinick et al., 2001). Coincidence detection can also support *error detection* – a mismatch (or
442 conflict) between task goals and actual behavior – and *prediction error* – a mismatch between
443 expected and experienced outcomes (Alexander and Brown, 2011; Bastos et al., 2012; Cohen,
444 2014). Human and macaque electrophysiology experiments have characterized a negativity in

445 scalp potentials associated with these cognitive functions (Gehring et al., 1993). Two components:
446 an N2 for conflict detection and the ERN for error detection. While the N2 and ERN are indices
447 of cognitive control, studying signal processing at the microcircuit level is essential to
448 understanding actual mechanisms (Cohen, 2017). Our biophysical model offers a powerful tool to
449 test different hypotheses and instantiate circuit models motivated by recent research sampling
450 neural spiking and LFP across frontal cortical layers (Chandrasekaran et al., 2017; Bastos et al.,
451 2018; Sajad et al., 2019).

452 Recent models have proposed that conflict detection can be achieved by the detection of
453 coincident synaptic inputs in the medial frontal cortex (Alexander and Brown, 2011; Cohen, 2014;
454 Dembrow et al., 2015). L5-PCs can provide the neural substrate for the coincidence detection as
455 they have large dendritic trees that allow for integration across inputs from cognitive, limbic, and
456 motor structures (Huerta and Kaas, 1990; London and Häusser, 2005; Morecraft et al., 2012; Beul
457 and Hilgetag, 2015). Recently, we found that following errors in the stop-signal task, in a medial
458 frontal area, error-related neural spiking was first observed in putative pyramidal neurons in L5
459 and lower L3 (Sajad et al., 2019) concurrent with sinks in current in the superficial layers where
460 these neurons extend their dendrites (Sajad et al., 2017). Figure 6 diagrams our conjecture on the
461 role of L5-PCs in error detection in agranular cortex guided by the knowledge of the microcircuitry
462 and known anatomical connections. L5-PCs can detect the coincidence of an efferent copy of the
463 motor command from the mediodorsal thalamus and the task rule from prefrontal cortex (Sajad et
464 al., 2019). A mismatch between the two signals can result in spiking activity that can project
465 extrinsically to other structures (Barbas, 2015) and intrinsically to other neurons in the microcircuit
466 (Douglas et al., 1995; Haeusler and Maass, 2007; Kajikawa and Schroeder, 2011). L5-PCs are
467 densely interconnected with each other (not shown) resulting in rapid synchronous excitation of a
468 large number of L5-PCs upon receiving input currents (Hempel et al., 2000; Wang et al., 2006;
469 Morecraft et al., 2012). L5-PCs are also connected to inhibitory interneurons, which result in the
470 control of this excitation. Noteworthy, recent evidence suggests inhibitory neurons in agranular
471 cortex support more intra- than inter-laminar connections (Kätzel et al., 2011; Beul and Hilgetag,
472 2015). Hence, the inter-laminar inhibitory projections depicted in Figure 6 represent inhibitory
473 influences that are likely mediated by additional PCs and interneurons in L3 and L5 (not shown).

474 Previous studies have suggested that the patterns of interconnectivity of PCs and their
475 inhibition, particularly by the somatostatin-positive neurons, is important for controlled patterns

476 of theta-band rhythmogenesis in the medial frontal cortex (Mainen and Sejnowski, 1996; Cohen,
477 2014), and its genesis has been associated with the role of the I_h current (Ulrich, 2002). Also,
478 intrinsic signal processing, involving inhibition of L5-PCs by interneurons in the upper layers has
479 been associated with the generation of gamma oscillations (Buzsáki and Wang, 2012; Bastos et
480 al., 2018). These oscillations result in scalp potential reflections of the gamma rhythm as well as
481 the theta rhythm, one the hallmarks of error and conflict detection (Tallon-Baudry and Bertrand,
482 1999; Cohen and Donner, 2013).

483 *** Please insert Figure 6 around here ***

484 While Figure 6 provides one explanation for signal flow within the microcircuit, it is far
485 from complete and relies on untested assumptions. For instance, the location where inputs to L5-
486 PCs converge and the mechanism for how these signals are integrated at the biophysical level
487 remains technically challenging to study (Stuart and Spruston, 2015). Furthermore, the interaction
488 between L5-PCs and other neurons in the microcircuit remains unclear. The biophysical model
489 proposed in the current study provides an essential tool for further testing between and refining
490 competing hypotheses. Future work needs also the development of similar biophysical models for
491 L2/3-PCs and other neurons in the microcircuitry.

492 **A necessary step towards understanding the origin of the ERN**

493 The proposed model will be useful for another research goal of developing a forward model
494 of the ERN component. Clearly, the EEG arises from the activity of neurons in the brain tissue,
495 but the detailed relationship to activity within neocortex remains unclear (Riera et al., 2012;
496 Einevoll et al., 2013; Reimann et al., 2013). Recently, we have shown that error-related spiking
497 activity of neurons in the upper layers, but not lower layers of monkey supplementary eye field
498 predicts the magnitude of the ERN (Sajad et al., 2019). Also, recent work recording from single
499 neurons in humans have shown coupling between error neuron activity and intracranial EEG (Fu
500 et al., 2019). Somatic action potentials are unlikely to directly influence the EEG due to their
501 voltage dynamics; however, bursts of action potentials from a large population of neurons can
502 influence the EEG (Buzsáki et al., 2012). Furthermore, the large-scale EEG topography of Ca^{2+} -
503 spikes remains unknown (Suzuki and Larkum, 2017). The proposed biophysical model of L5-PC
504 can be used to directly examine how current flow resulting from neuronal activity within the
505 microcircuit, down to fine details of current dynamics, can result in LFP and scalp EEG (Riera et
506 al., 2012). Establishing a link between specific microcircuit motifs and fluctuations in the scalp

507 potentials can render ERN more effective markers of specific cortical processes and stronger
508 diagnostic tools for patients with compromised cognitive control functions.

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691 compartments. *Neuron* 13:23–43.

692 **Figure and Table Legends**

693 **Figure 1.** *Illustration of the biophysical model, LFP estimation and simulated neocortical column.*

694 **A** – Equivalent circuit of the 2-compartment biophysical model. The first and second portions of
695 the circuit represent the basal-dendritic/somatic and apical-dendritic/trunk compartments,
696 respectively. The lengthy trunk is represented by the transfer resistance (R_T) between the
697 compartments. Each ionic channel (k -th) is represented by an electromotive force E_k (i.e., the ion
698 equilibrium potential) and a voltage-dependent conductance g_k in parallel. **B** – Illustration of the
699 forward-modeling used for LFP estimation from the compartmental model of the L5-PCs. To
700 compute the transmembrane currents, the cell was divided into five current source/sink regions
701 (indicated by rectangles). The position of the point source/sink representing the compartment of a
702 neuron is given by the parameter $\mathbf{r}_n = \{x_n, y_n, z_n\}$. The position of a representative electrode is
703 given by the parameter $\mathbf{r}_e = \{x_e, y_e, z_e\}$. **C** – Diagram of the simulated cortical column formed by
704 a collection of 1,000 L5 PCs. The somas were distributed randomly in the tangential dimension of
705 layer 5. The mean (standard deviation) depth of the neurons was 1.04 (0.22) *mm* from the pia
706 matter. The simulated cortical column had a diameter of 3 *mm* and a total depth of 1.6 *mm*. As
707 previously reported (Berger et al., 2001), we used a resting membrane potential of -65 *mV* at the
708 somatic compartment, which drifted to -55 *mV* at the apical-dendritic/trunk compartment due to
709 the presence of the I_h current.

710 **Figure 2.** *Frequency - Input (f - I) relationship.* **A** – Micrograph of a L5-PC with recording locations
711 at the soma (*blue*) and distal trunk (*red*) indicated with diagram pipettes. **B** – Somatic AP responses
712 (1st and 3rd panels) to the staircase incremented noisy input current (2nd and 4th panels) injected
713 into the soma (*blue*) and distal trunk (*red*). **C** – Observed (*black*, Larkum et al., 2004; Bahl et al.,
714 2012) AP firing frequency as a function of the mean input current. The range of observed values
715 is highlighted by a gray fill. Simulated mean and SEM spike rate over 50 trials for each current
716 step in the soma (*blue*) or distal trunk (*red*) compartment. Superimposed are observed (*black*
717 *dashed*) and simulated (*blue and red dashed*) linear regressions. **D** – Current differences (ΔI)
718 between the f - I curves for somatic and distal trunk stimulation to produce the same Na^+ -AP firing
719 frequency. No significant differences were found between the observed ΔI , numerically estimated
720 from Larkum et al., (2004) and that predicted by the model ($t(5) = 2.0789$, $p = 0.0922$, paired two-
721 tailed t-test).

722 **Figure 3.** *Back-propagating AP activated Ca^{2+} -spike firing.* **A** – Micrograph of a L5-PC with
723 recording locations at the soma (*blue*) and distal trunk (*red*) indicated with a schematic pipette. **B**
724 – Simulated (left) and observed (right, Schaefer et al., (2003)) subthreshold current injected into
725 the apical dendrites creates only subthreshold somatic and dendritic depolarization. **C** – Simulated
726 and observed suprathreshold somatic current pulse elicits an AP that propagates back to the apical
727 dendrites creating a dendritic depolarization but no dendritic Ca^{2+} -spike. **D** – Simulated and
728 observed combined somatic and tuft stimulation evokes an AP, a dendritic Ca^{2+} -spike, and another
729 somatic AP following the onset of the dendritic Ca^{2+} -spike. **E** – Simulated and observed
730 suprathreshold stimulation of distal apical dendrites evokes a dendritic Ca^{2+} -spike. Scales in C are
731 common for all simulated (left) and observed (right) results. Red: apical-dendrite/trunks, Black:
732 basal-dendrites/soma, and Dashed Line: dendritic threshold.

733 **Figure 4.** *Effect of somatic stimulation frequency on dendritic Ca^{2+} -spike occurrence.* **A** – A
734 simulated train of brief suprathreshold pulses at frequencies of 100 Hz (left) and 149 Hz (right)
735 (top) was injected into soma eliciting a train of APs (*black*, below). Only the 149 Hz train evoked
736 a dendritic Ca^{2+} -spike (*red*, below). **B** – Intracellular dendritic Ca^{2+} concentration during somatic
737 stimulation at 100 Hz (left) and 149 Hz (right). Blue lines indicate the Ca^{2+} concentration at each
738 time instant of the dendritic voltage traces indicated in panel A. **C** – Integrated area below the
739 dendritic voltage traces as a function of the AP frequency with (blue) and without (black) blocking
740 the I_h current. CFs of 105 Hz and 149 Hz were obtained when I_h was present and absent,
741 respectively. **D** – Observed shift in CF after blocking I_h for eleven cells (black circles, numerically
742 estimated from Berger et al., (2003)) and simulated with the model (blue diamonds).

743 **Figure 5.** *LFP and CSD derived from dendritic Ca^{2+} -spikes in a collection of L5-PCs.* **A** – Basal-
744 dendritic/somatic (black, V_s) and apical-dendritic/trunk (red, V_d) simulated responses of a
745 collection of 1,000 L5-PCs to suprathreshold optogenetic stimulation above the CF. **B** – Raster
746 plots (top) and post-stimulus time histogram (bottom) of 100 randomly selected L5-PCs (top)
747 showing spike times of Na^+ -APs (black) and Ca^{2+} -spikes (red). The total number of Na^+ -AP and
748 Ca^{2+} -spike events every 5ms is shown (bar plots, bottom). **C** – LFPs evoked by the simulated
749 optogenetic stimulation of the collection of L5 PCs calculated on an array of 16 microelectrodes
750 (100 μm separation). Voltage traces at each depth are averaged over 10 simulated trials. The black

751 rectangle indicates the delayed sink associated with the dendritic Ca^{2+} -spike. **D** – CSD analysis of
752 the evoked LFPs averaged over 10 trials without (left) and with (right) the I_h current. The top right
753 panel expands the selected area to reveal the delayed sink associated with the Ca^{2+} -spikes arising
754 earliest 0.4 mm below the pia matter. Middle right panel plots averaged I_{CaL} current in the trunk
755 of the L5-PCs, showing an amplitude increase 25-35 ms after stimulation when I_h was blocked.
756 Lower right panel compares the average with SEM of the amplitude of this current sink with and
757 without blocking the I_h current, which was significantly different ($p = 0.0089$, Wilcoxon signed-
758 rank test, $N = 10$ trials). The blue bar in all the plots indicates the time window for the optogenetic
759 stimulation.

760 **Figure 6.** *Cortical microcircuit for coincidence detection underlying cognitive control.* The
761 simplified diagram of circuitry embedding a L5-PC (blue) in agranular cortex with soma (triangle)
762 located in L5, dendrites that extend up to L1, and axons (blue arrows) that project both intrinsically,
763 innervating inhibitory neurons (red) and other pyramidal neurons (not shown) in the microcircuit,
764 and extrinsically, innervating other brain areas. This figure illustrates how dendritic dynamics can
765 contribute to an error signal. An efferent copy of a motor command is delivered through a
766 feedforward thalamic pathway, terminating on the L5-PC soma and apical dendrite. A task rule
767 signal from prefrontal cortex is delivered through a feedback pathway, terminating on the L5-PC
768 apical dendrites. The soma of a L5-PC (blue triangle) generates Na^+ -APs that propagate
769 intracortically to Martinotti cells (ovals) and other inhibitory interneurons (star). The Martinotti
770 cells terminate on the L5-PC apical dendrites, while the other interneuron terminates on the soma.
771 Note that because inhibitory neurons in agranular cortex largely make intra-laminar projections,
772 the inter-laminar inhibitory projections depicted here (dashed red lines) represent connections that
773 are likely mediated by additional PCs and interneurons in L3 and L5 (not shown). The dynamics
774 of this connectivity induces Ca^{2+} spikes, which amplify the coincidence of the efferent copy and
775 the task rule to generate an error signal. These neuronal events are signaled by the generation of
776 theta band LFP from deeper layers and gamma band LFP from superficial layers (indicated by
777 labeled oscillations).
778

779 **Table 1:** *Parameters used for the simulations.* The first column indicates the ionic channels per
780 compartment. The second and third columns show the maximum conductance and equilibrium
781 potential for each ionic channel, respectively. The exponents of the activation (x) and inactivation
782 gating are indicated in the fourth column. Electrotonic parameters (capacitances/resistances) are
783 also shown.

784 **Table 2:** The gating kinetics for each ionic channel.

785 **Table 3:** *Summary of previous/current biophysical models used to describe the principal features*
786 *of L5-PCs.* The first, second, third and fourth columns show the study, number of compartments,
787 number of ionic channels and the platform used to create the simulated data for each study. The
788 fifth column list the features that were explained by each study. The column “Ions” provides the
789 following information $N_s: N_c/c$, where N_s and N_c are the number of ionic species considered and
790 the number of ionic channels per compartment, respectively. In some cases, the number of ionic
791 channels per compartment depends on the regions of the neuron considered. Acronyms: IF –
792 Integrated and Fire Model; S – Soma; AD – Apical Dendrites; BD – Basal Dendrites; T – Trunk;
793 and A_h – Axon Hillock.
794

795 Table 1

	g_k (μS)	E_k (mV)	(x,y)
Somatic Compartment			
$C_m^s = 0.26$ nF, $R_m^s = 50$ M Ω (Larkum et al., 2004)			
Na	18	50	(3,1)
Kdr	5	-85	(0,4)
Leak	$1/R_m^s$	-31.5	(0,0)
Dendritic Compartment			
$C_m^d = 0.12$ nF, $R_m^d = 43$ M Ω (Larkum et al., 2004)			
Nap	0.022	50	(3,1)
CaL	3.85	$\frac{RT}{zF} \ln \left(\frac{[Ca^{2+}]_o}{[Ca^{2+}]_i} \right)$	(2,0)
h	0.865	-45	(1,0)
M	1	-85	(1,0)
Ks	28	-85	(2,1)
Leak	$1/R_m^d$	-48.1	(0,0)
$R_T = 65$ M Ω (Larkum et al., 2004)			
$[Ca^{2+}]_o = 2$ mM			

796

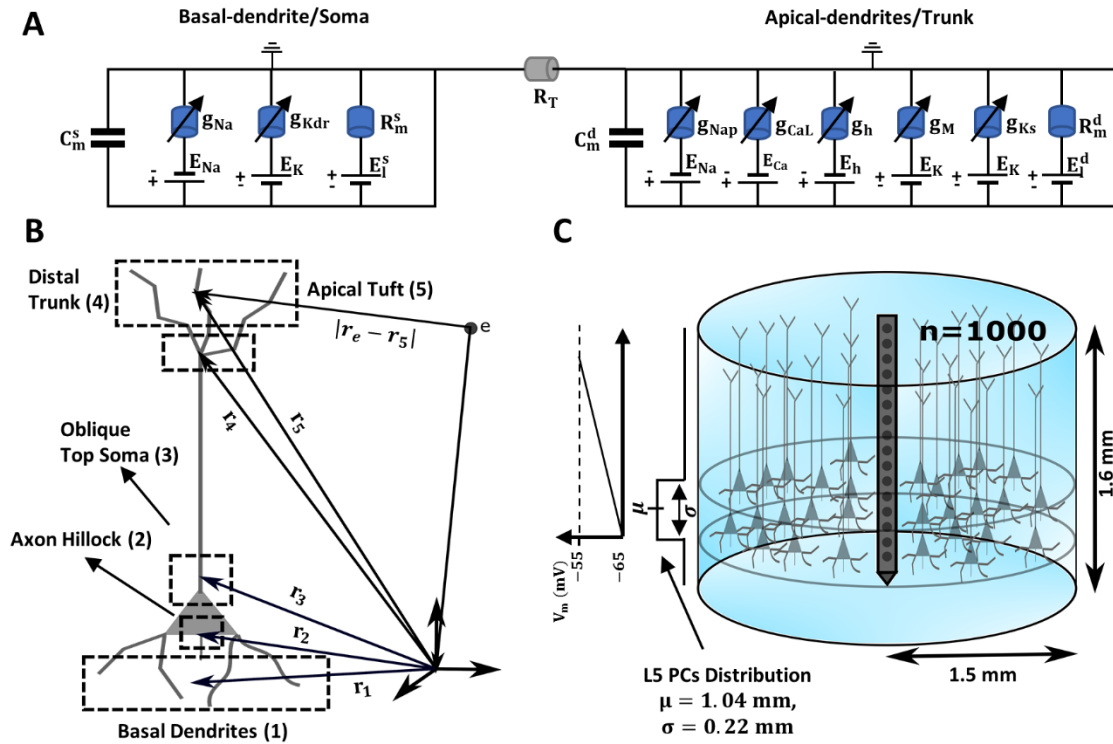
797 Table 2

Ionic Current	Gating variables
<i>Na</i>	$\alpha_m = 0.1 \cdot (V + 40) / (1 - \exp(-(V + 40)/10))$
	$\beta_m = 4 \cdot \exp(-(V + 65)/18)$
	$\alpha_h = 0.07 \cdot \exp(-(V + 65)/20)$
	$\beta_h = 1 / (1 + \exp(-(V + 35)/10))$
<i>Kdr</i>	$\alpha_m = 0.01 \cdot (V + 55) / (1 - \exp(-(V + 55)/10))$
	$\beta_m = 0.125 \cdot \exp(-(V + 65)/80)$
<i>Nap</i>	$m_\infty = 1 / (1 + \exp(-(V + 52.6)/4.6))$
	$\alpha_m = 0.182 \cdot (V + 38) / (1 - \exp(-(V + 38)/6))$
	$\beta_m = (-0.124 \cdot (V + 38)) / (1 - \exp((V + 38)/6))$
	$\tau_m = \frac{6}{T_{adj}(\alpha_m + \beta_m)}$
	$h_\infty = 1 / (1 + \exp(-(V + 52.6)/4.6))$
	$\alpha_h = -2.88 \times 10^{-6} \cdot (V + 17) \cdot (1 - \exp((V + 17)/4.63))$
	$\beta_h = -6.94 \times 10^{-6} \cdot (V + 64.4) \cdot (1 - \exp((V + 64.4)/2.63))$
<i>CaL</i>	$\alpha_m = 1.6 / (\exp(-0.072 \cdot (V - 5)) + 1)$
	$\beta_m = 0.02 \cdot (V + 8.69) \cdot (\exp((V + 8.69)/5.36) - 1)$
<i>Ks</i>	$m_\infty = 1 / (1 + \exp(-(V + 11)/12))$
	$\tau_m = (1.25 + 175.03 \cdot \exp(0.026(V + 10))) / T_{adj}$, for $V < -60$
	$\tau_m = (1.25 + 13 \cdot \exp(-0.026(V + 10))) / T_{adj}$, otherwise
	$h_\infty = 1 / (1 + \exp(-(V + 64)/11))$
<i>h</i>	$\tau_h = 360 + (1010 + 24 \cdot (V + 65)) \cdot \exp(-((V + 85)/48)^2)$
	$\alpha_m = 0.00643 \cdot (V + 154.9) / (\exp((V + 154.9)/11.9) - 1)$
<i>M</i>	$\beta_m = 0.00193 \cdot \exp(V/33.1)$
	$\alpha_m = 0.0033 \cdot \exp(0.1(V + 35))$
	$\beta_m = 0.0033 \cdot \exp(-0.1(V + 35))$
	$\tau_m = \frac{1}{T_{adj}(\alpha_m + \beta_m)}$
$T_{adj} = 2.3^{\frac{34-21}{10}}$	

798

799 Table 3.

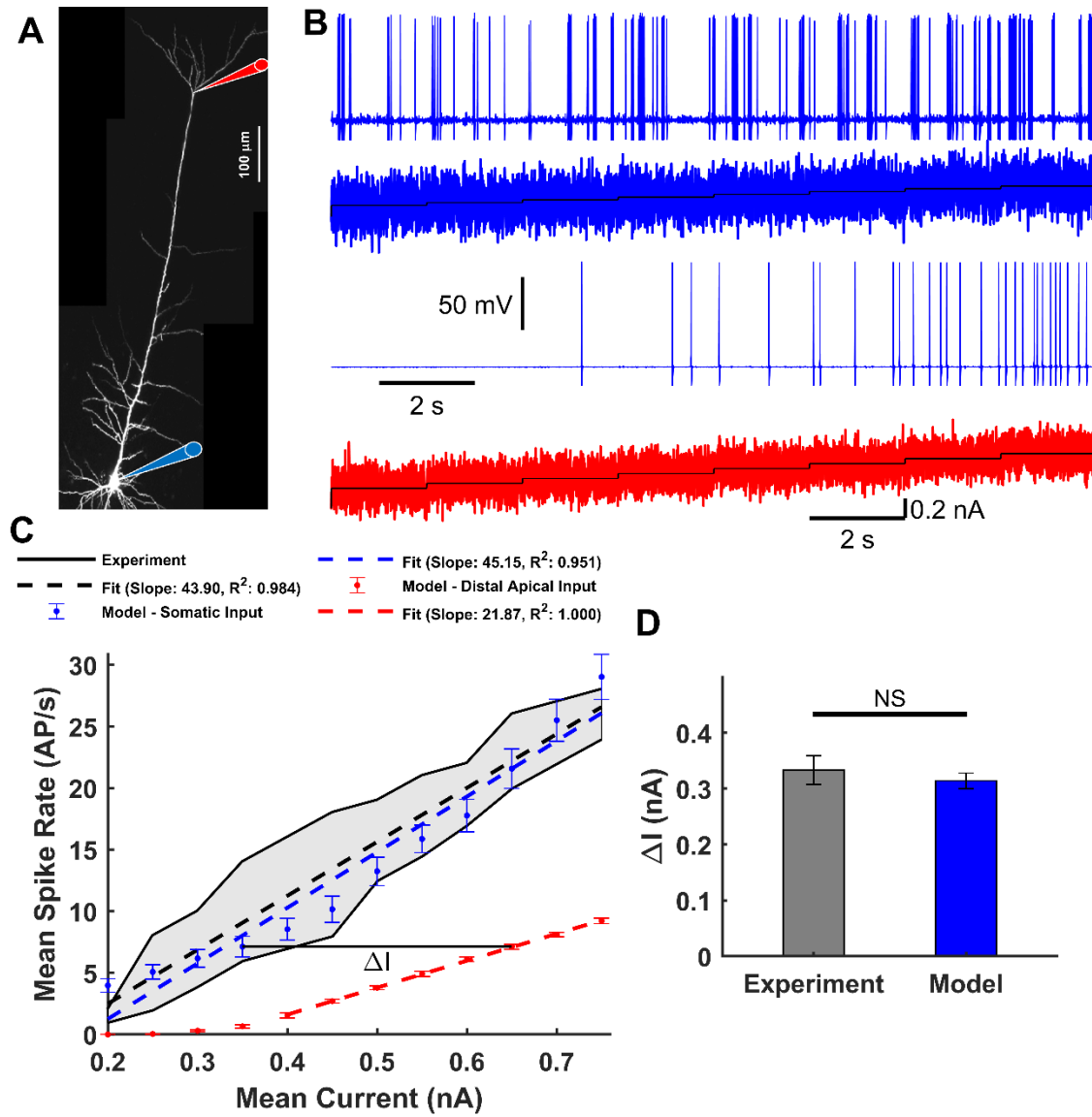
Study	Geometry	Compartment	Ions	Platform	Features Explained
(Rapp et al., 1996)	Realistic	>>1,000	2: 2/c Total >>2,000	NEURON	BAC firing
(Larkum et al., 2004)	Simplified	2	2: 1/c, +IF model Total = 2	Not reported	f-I curves
(Schaefer et al., 2003)	Realistic	8	7: 6/c Total = 48	NEURON	BAC firing CF
(Hay et al., 2011)	Realistic	199	8: 8/c S(1), 6/c AD(198) Total = 1,196	NEURON	BAC firing CF f-I curves
(Bahl et al., 2012)	Realistic	14	8: 1/c A _n (5), 5/c S(1), 1/c BD(1), 4/c AD(7) Total = 39	NEURON	BAC firing f-I curves
(Almog and Korngreen, 2014)	Realistic	Many Compartments 50 μ m in length	8: 8/c Total = Many	NEURON	BAC firing CF
(Chua et al., 2015)	Simplified	3	1: 1/c AD, + IF model Total = 1	NEST	BAC firing
(Yi et al., 2017)	Simplified	2	3: 2/c S(1), 1/c AD(1) Total = 3	MATLAB	f-I curves
(Mäki-Marttunen et al., 2018)	Realistic	4	10: 9/c S(1), 1/c BD(1), 7/c AD(2) Total = 24	NEURON	BAC firing f-I curves [Ca ²⁺] _i
This study	Minimum	2	7: 2/c BD/S(1), 5/c AD/T(1) Total = 7	MATLAB	BAC firing CF f-I curves [Ca ²⁺] _i I _h effect CSD maps



801

802 **Figure 1**

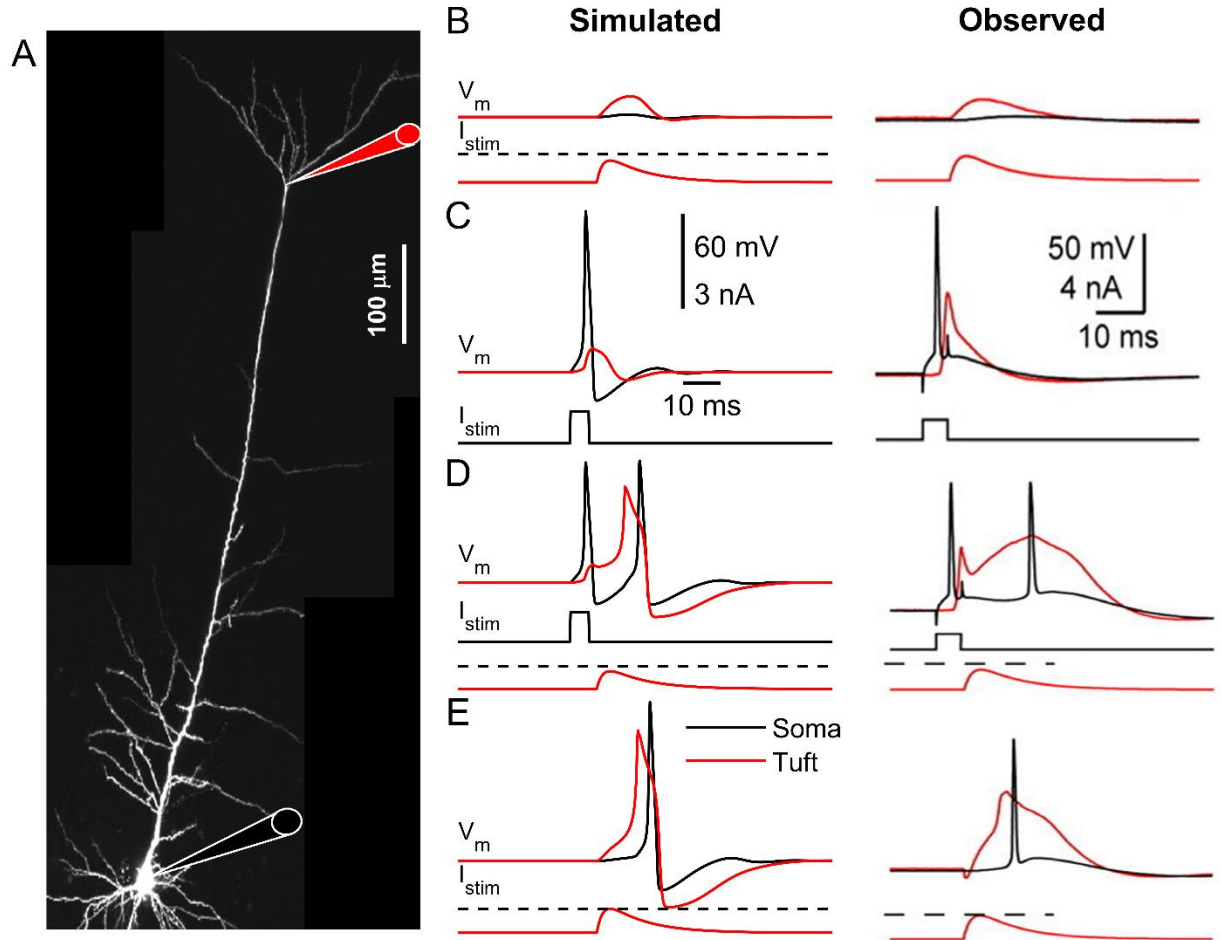
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805 **Figure 2**

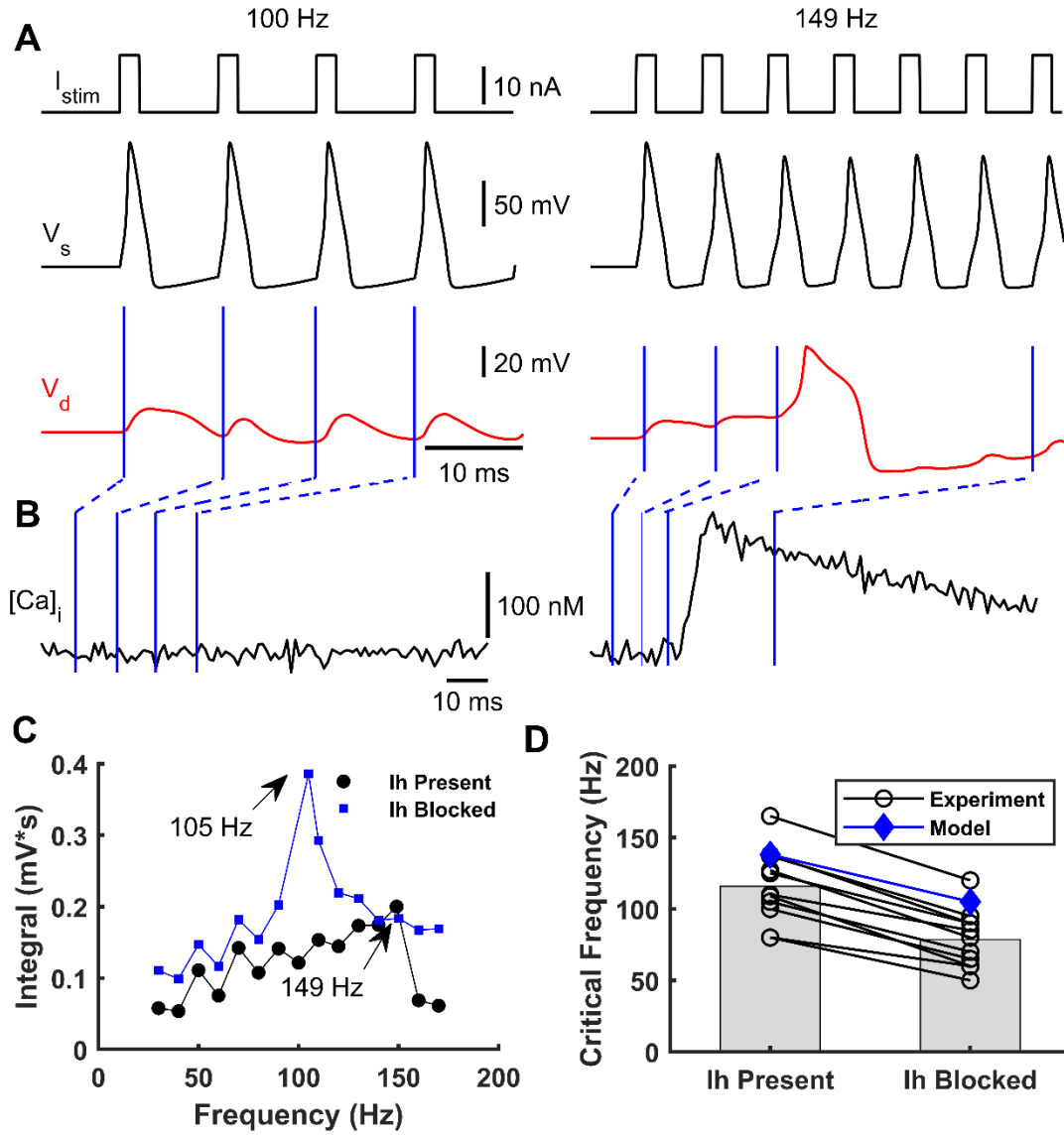
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808 **Figure 3**

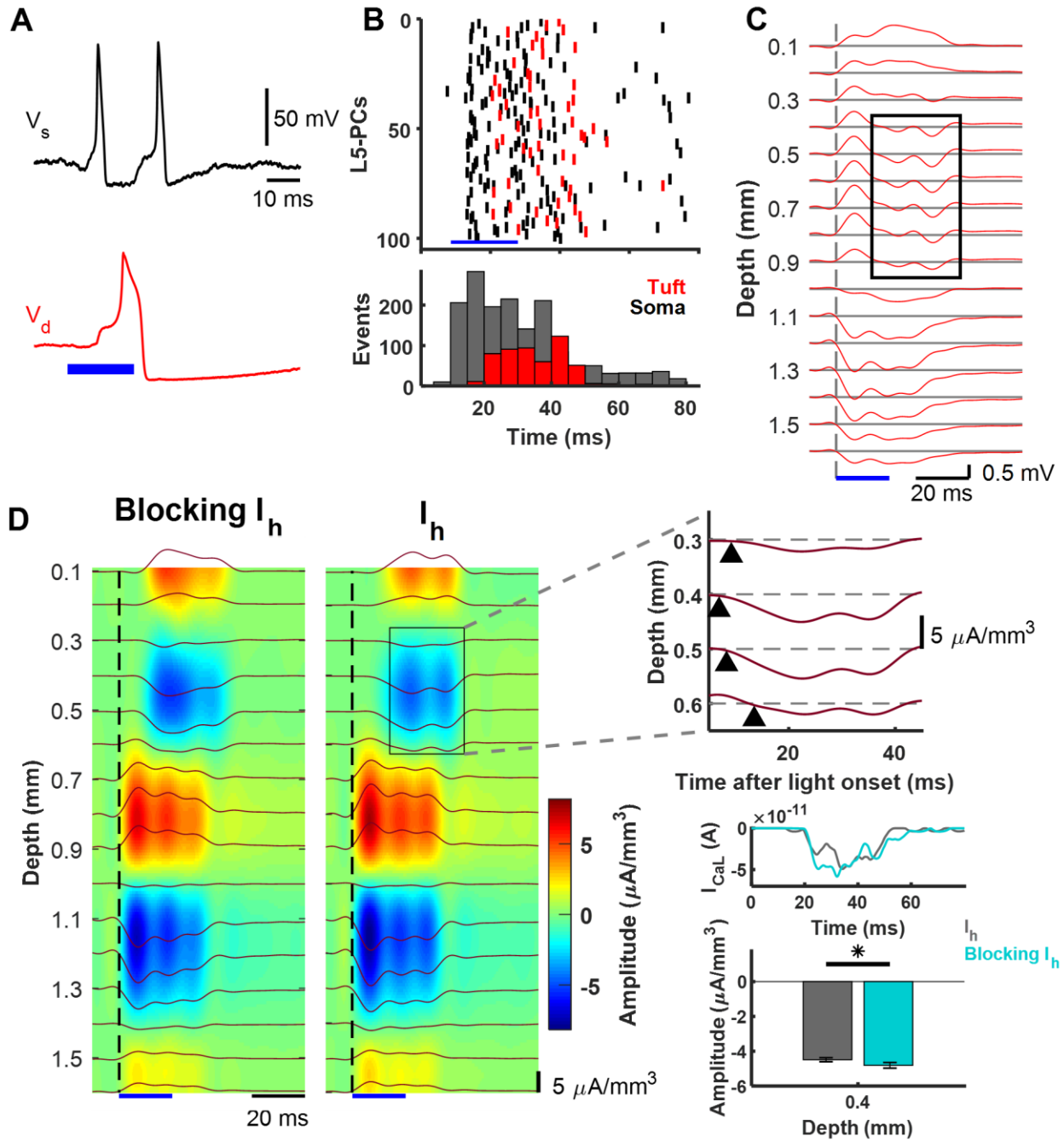
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811 **Figure 4**

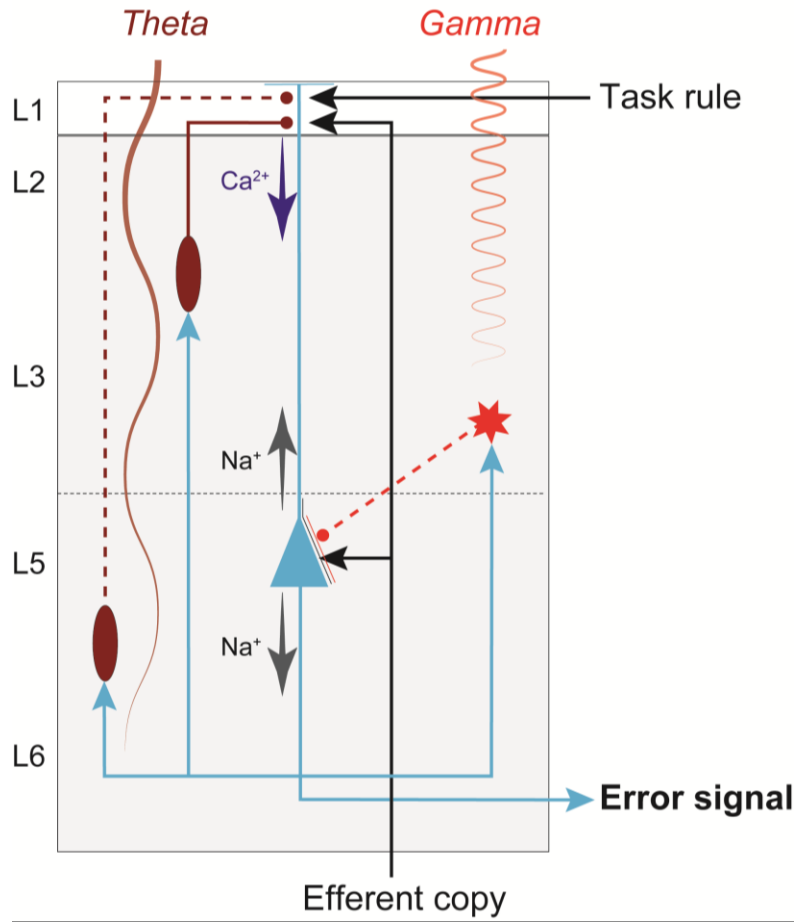
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814 **Figure 5**

815



816

817 **Figure 6**