Connectome-based predictive modeling of individual anxiety

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Abstract

Anxiety-related illnesses are highly prevalent in human society. Being able to identify neurobiological markers signaling high trait anxiety could aid the assessment of individuals with high risk for mental illness. Here, we applied connectome-based predictive modeling (CPM) to whole-brain resting-state functional connectivity (rsFC) data to predict the degree of anxiety in 76 healthy participants. Using a computational "lesion" method in CPM, we then examined the weights of the identified main brain areas as well as their connectivity. Results showed that the CPM could predict individual anxiety from whole-brain rsFC, especially from limbic areas-whole brain and prefrontal cortex-whole brain. The prediction power of the model significantly decreased from (simulated) lesions of limbic areas, lesions of the connectivity within the limbic system, and lesions of the connectivity between limbic regions and the prefrontal cortex. Although the same model also predicted depression, anxiety-specific networks could be identified independently, centered at the prefrontal cortex. These findings highlight the important role of the limbic system and the prefrontal cortex in the prediction of anxiety. Our work provides evidence for the usefulness of connectome-based modeling of rsFC in predicting individual personality differences and indicates its potential for identifying personality structures at risk of developing psychopathology.

Introduction

Anxiety, a personality dimension in healthy individuals, is a universal negative emotion that entails avoidance behaviors such as worrying, irritability, difficulty to relax and a tendency to interpret ambiguous situations as threatening (Spielberger, 1983; Grachev and Apkarian, 2000; Eysenck et al., 2007). Although anxiety has valuable adaptive benefits, individuals enduring high trait anxiety may be at risk to develop mental disorders (Pezawas et al., 2005; Sandi and Richter-Levin, 2009; Cremers et al., 2010). It has been estimated that an alarming 28.8% of the general population suffer from an anxiety-related disorder at some point in their lifetime (Kessler et al., 2005). Identifying neurobiological markers signaling high trait anxiety could aid the assessment of high-risk individuals, especially those with difficulty expressing their feelings to others (Eisenberger et al., 2005; Drysdale et al., 2017).

Anxiety is a complex emotion that is associated with mutual inhibition between subcortical and cortical areas of the brain (Tovote et al., 2015; Xu et al., 2019). Particularly, the limbic system and the prefrontal cortex are highly engaged in the balance of these mutually inhibitory relationships (Hofmann et al., 2012). The limbic system has long been considered crucial for emotion processing (Fuchs and Flügge, 2003), and the prefrontal cortex plays an important role in top-down regulation of limbic activity (Mochcovitch et al., 2014). Regarding anxiety disorders, an emotion dysregulation model has been proposed that is characterized by an imbalance of prefrontal regulation over

limbic activity (Behar et al., 2009; Manber Ball et al., 2013; Mochcovitch et al., 2014).

Specifically, it has been suggested that patients with anxiety disorders experience chronic

hyper-arousal in the limbic circuitry (Kim et al., 2011). This fatigues the top-down

regulation system in the prefrontal cortex, resulting in ineffective control particularly of

negative emotions (Manber Ball et al., 2013). With regard to trait anxiety as a personality

dimension in non-clinical samples, it has been demonstrated that anxiety-prone

individuals require greater engagement of prefrontal regions to down-regulate negative

emotions (Campbell-Sills et al., 2011). In spite of this, no effort has been made as yet to

predict anxiety at the individual level for healthy people. Individualized prediction of

anxiety would advance our understanding of the underlying neural mechanism and

facilitate early identification of proneness to clinical anxiety.

Recently, a novel approach has been tested to account for inter-individual variability in

brain functional networks: Connectome-based predictive modeling (CPM). CPM is a

novel data-driven approach for developing predictive models of brain–behavior

relationships that can detect individual variability more accurately ("functional

connectome fingerprinting"; Finn et al., 2015) by extracting and summarizing the most

relevant features from resting-state functional connectivity (rsFC) using full

cross-validation (Shen et al., 2017). Despite testing a specific hypothesis, CPM provides

more holistic measures with whole-brain analyzes. This approach has successfully been

used to predict individual personality traits and aspects of functional cognition, such as

fluid intelligence (Finn et al., 2015), sustained attention (Rosenberg et al., 2015), and creative ability (Beaty et al., 2018). Moreover, a computational lesion method based on CPM can reveal brain regions that are important in individualized prediction (Feng et al., 2018). The computational lesion method allows for the computational manipulation of brain regions to be "lesioned", and thus represents a non-invasive method simulating the effect of lesions in particular brain regions on aspects of neuropsychological functioning.

The aim of current study was to predict individual levels of anxiety in healthy participants by applying CPM and the computational lesion approach to whole-brain resting-state fMRI data. We hypothesized that CPM would successfully predict anxiety at the individual level. Based on the emotion dysregulation model (Behar et al., 2009) and the computational lesion method in CPM (Feng et al., 2018), we hypothesized that both the limbic system and the prefrontal cortex would significantly contribute to the identification of individual levels of anxiety.

Materials and Methods

Participants

Eighty-eight healthy undergraduate students took part in MRI scanning. All the participants had no history of neurological and psychiatric disorders or head injury. After excluding excessive head motion [10 participants, exceeding 2.5 mm maximum translation, 2.5° rotation or 0.2mm mean frame-wise displacement (FD; Yan et al., 2013; Power et al., 2014)] and outliers in Back Anxiety Inventory scores (BAI: 2 participants, out of mean ± 2.5 std), the final sample consisted of 76 participants (38 females; age = 21.34 ± 1.76). The study was approved by the local Ethics Committee at Beijing Normal University and written informed consent was obtained from all participants.

Anxiety assessment

To assess anxiety, we used the Chinese version of the BAI (Aaron T. Beck et al., 1988). This inventory consists of 21 items, each answer being scored on a four-point Likert scale of 1 (not at all) to 4 (severely). Participants also completed the Chinese version of the Zung self-rating depression scale (SDS; Zung, 1965).

Image acquisition

MRI data were acquired with a Siemens Trio 3T scanner powered with Total Imaging Matrix technique at the Imaging Center for Brain Research at Beijing Normal University. Both the fMRI and high-resolution 3D structural brain data were obtained using a

12-channel phased-array head coil with implementing a parallel imaging scheme that

generalized auto-calibrating partially parallel acquisitions (Griswold et al., 2002). The

fMRI data were acquired with a gradient-echo echo-planer imaging sequence with the

following parameters: repetition time (TR) = 2000 ms, echo time (TE) = 30 ms, 33

transversal slices, slice thickness 3.5 mm with gap 0.7 mm, flip angle = 90°, field of view

(FOV) = 224 mm × 224 mm, data matrix = 64 × 64, 240 volumes scanned in 8 min, and

spatial coverage (3.5 + 0.7) mm/slice×33 slices ≈ 139 mm. Additionally, the 3D structural

brain images (1mm^3 isotropic) were acquired for each participant using a T1-weighted

3D magnetization-prepared rapid gradient echo sequence with the following parameters:

TR / TE = 1900 ms / 3.44 ms, flip angle = 9°, data matrix = 256 × 256, FOV = 256 mm ×

256 mm, BW = 190 Hz / pixel, 176 image slices along the sagittal orientation, obtained in

about 6 min. During resting-state scanning, all participants were instructed just to keep

still, close their eyes, remain awake and think of nothing in particular.

Preprocessing

Functional MRI data were preprocessed with DPABI (http://rfmri.org/dpabi; (Yan et al.,

2016), a software package based on SPM12 (version no.7219;

https://www.fil.ion.ucl.ac.uk/spm/software/spm12/). It comprised the following steps: 1)

discarding the first 10 volumes to decrease the signal's instability; 2) correcting slice

timing; 3) realignment; 4) co-registering the T1-weighted image to the corresponding

mean functional image; 5) segmenting into grey matter, white matter and cerebrospinal

fluid by DARTEL; 6) regressing common nuisance out by Compcor (Behzadi et al.,

2007), including the white matter signal, the cerebrospinal fluid signal, 24 movement

regressors, and global signal. The 24 movement regressors included autoregressive

models of motion incorporating six head motion parameters, six head motion parameters

one time point before, and the 12 corresponding squared items (Friston et al., 1996; Yan

et al., 2013). Note that we administrated global signal regressors to eliminate noise,

including head motion and respiratory as well as cardiorespiratory artifacts (Power et al.,

2015; Ciric et al., 2017, 2018; Murphy and Fox, 2017). 7) detrending; 8) normalizing to

the standard Montreal Neurological Institute space (MNI template, resampling voxel size

$3\times3\times3$ mm^3); 9) smoothing with a Gaussian kernel of 4 mm full width at half maximum

(FWHM); 10) filtering (0.01-0.1Hz).

Functional Network Construction

After preprocessing, we constructed the static whole-brain rsFC matrices. Specifically,

we employed a 268-node functional atlas that was derived from a group-wise spectral

clustering algorithm. This group-wise optimization approach ensures functional

homogeneity within each subunit, and time-course consistency of the network nodes at

the group level, resulting in improved reliability and sensitivity of the network analyses

(Shen et al., 2010, 2013). In light of previous literatures using this atlas (Shen *et al.*, 2013;

Feng *et al.*, 2018), nodes were further divided into ten lobes, including prefrontal lobe (46

nodes), motor lobe (21 nodes), insula lobe (7 nodes), parietal lobe (27 nodes), temporal

lobe (39 nodes), occipital lobe (25 nodes), limbic lobe (36 nodes), cerebellum lobe (41

nodes), subcortical lobe (17 nodes) and brainstem lobe (9 nodes; Fig. 2d). After Fisher's

Z transformation, the resulting 268 ×268 symmetric matrices represented edges from the

rsFC profile.

Connectome-based predictive modeling (CPM)

Based on the whole-brain rsFC, we used CPM to predict the degree of anxiety

individually. In light of ten simple rules for applying predictive modeling to rsFC data

(sample size < 200; Scheinost *et al.*, 2019) and to be consistent with past work employing

CPM (Finn et al., 2015; Rosenberg et al., 2015; Beaty et al., 2018), we performed

leave-one-out cross-validation (LOOCV). That is, in each iterative analysis, the

predictive model was built based on n - 1 participants (training set) and then the score of

the remaining participant (test set) was predicted. In this study, we ran it 76 times. Steps

were as follows (Fig. 1). 1) Data preparation, preparing rsFC matrices and BAI scores of

all participants. 2) Edge selection, performing Pearson correlations between each edge in

rsFC matrices and BAI scores in the training set and selecting only the most significantly

correlated edges as predictive networks. The threshold was set at $p < 0.01$ (Dosenbach et

al., 2010; Rosenberg et al., 2015; Takagi et al., 2018). 3) Network construction, a positive

network (i.e., positive correlation within selected edges) and a negative network (i.e.,

negative correlation within selected edges). 4) Network strengths, summing the edges of

the positive network and the negative network separately for each participant. 5) Model

building, fitting the linear model between BAI scores and network strengths across the training set for both the positive network and the negative network to build the positive model and the negative model, respectively. 6) Individual prediction, applying models to predict the BAI score according to the network strengths in the test set (the excluded participant). Notably, normalization of each edge across the training set was performed and parameters from the training set were applied to the test set.

Fig.1. The schematic flow of connectome-based predictive modeling.

Note: rsFCs, resting-state functional connectivity; BAI, Beck Anxiety Inventory.

Model assessments

After LOOCV, the model validity was evaluated by Pearson correlation coefficient (r)

between real BAI scores and predicted BAI scores for the positive and negative model,

respectively. To check whether these effects were expected by chance, we further ran

permutation test for 1000 times. Specifically, permutation tests randomly shuffled the

label between real BAI scores and rsFC matrices each time and then calculated a

correlation coefficient from CPM. The calculating 1000 correlation coefficients

composed null distributions. We then calculated the percentile of model validity value

among these null distributions.

Computational lesion prediction

Because the obtained networks were slightly different in each iteration, we extracted the

common edges (i.e., overlaps of all the negative networks) to construct common

networks and then categorized them into 10 macroscale lobes. After that, lesion

prediction analyses were performed to examine the weights of the limbic lobe and the

prefrontal lobe as well as their connectivity. For example, after excluding edges in the

limbic lobe (36 nodes), the remaining 232×232 rsFC matrices were used to predict

individual anxiety (Feng *et al.*, 2018). Finally, we contrasted whole-brain prediction with

lesion prediction in terms of predictive power by Steiger's Z (Steiger, 1980).

Control analyses

To avoid potential confounding effects of head movements, gender and age, control

analyses were conducted. We repeated our analyses by implementing scrubbing with the

criterion of an FD above 0.2 mm (Jenkinson et al., 2002; Yan et al., 2013). Additionally,

partial Pearson correlation analyses were conducted with mean FD of head motion

(Jenkinson et al., 2002), gender and age as co-variables in edge selection and final

correlation, separately.

Distinct brain networks between anxiety and depression

Given that anxiety was highly correlated with depression (Stavrakaki and Vargo, 1986;

Clark and Watson, 1991), we explored distinct brain networks for anxiety versus

depression in this module (Fig. 5). After excluding two participants (out of mean $\pm$

2.5std) in BAI scores, 46 high anxious participants were selected from the criteria of the

top 55% in BAI scores, and 44 high depressive participants were selected from the top 55%

in SDS scores (overlap: 35 participants). Eleven anxiety-specific participants (BAI:

25~32, mean $\pm$ std = 26.20 $\pm$ 2.15; SDS: 20~31, mean $\pm$ std = 28.00 $\pm$ 3.23) and

nine depression-specific participants (BAI: 21~24, mean $\pm$ std = 23.11 $\pm$ 1.05; SDS:

32~38, mean $\pm$ std = 34.00 $\pm$ 1.87) were identified for further explorative contrast

analyses after excluding 35 anxiety participants comorbid with depression.

We employed a CPM-like model to explore distinct brain networks between anxiety and

depression (Takagi *et al.*, 2018; Fig. 5). In an LOOCV manner, we conducted two-sample

t-tests between the anxiety-specific and the depression-specific rsFC matrices across the

training set for each edge. Based on the significance threshold $p < 0.01$ (Dosenbach *et al.*,

2010; Rosenberg *et al.*, 2015; Takagi *et al.*, 2018), anxiety-specific edges were selected to

construct the anxiety-specific network across the training set for those anxiety-specific edges significantly higher than depression-specific edges. Likewise, depression-specific edges were selected to construct the depression-specific network across the training set for those depression-specific edges significantly higher than anxiety-specific edges. Next, the "anxiety score" of the remaining participant (test set) was defined summing rsFCs in the anxiety-specific network; the "depression score" was calculated correspondingly. Then, we validated the difference between anxiety-specific and depression-specific networks by performing a two-sample t-test between "anxiety scores" and "depression scores". Lastly, we extracted common edges (i.e., overlaps of all anxiety-specific networks and overlaps of all depression-specific networks) to construct common networks and divided them into 10 macroscale lobes.

Fig . 5. The schematic flow for exploring distinct brain networks between anxiety and depression. Note: rsFCs, resting-state functional connectivity.

Results

Normality test

BAI scores were not normally distributed according to the Kolmogorov-Smirnov Test of Normality (BAI: $Z = 1.695$, $p = 0.006$, range: 21~44, mean $\pm$ std $= 27.78 \pm 6.21$; SDS: $Z = 0.788$, $p = 0.564$, range: 20~57, mean $\pm$ std $= 34.34 \pm 7.89$). Therefore, we performed reciprocal transformation (100 / scores; Box and Cox, 1964) to normalize BAI scores (BAI: $Z = 1.312$, $p = 0.064$; 3.75 ± 0.70).

CPM assessments of anxiety

LOOCV revealed that the negative model, but not the positive model, had significant prediction power to anxiety scores [positive model, $r_{(74)} = -0.01$, $p = 0.939$, Fig. 2a; negative model, $r_{(74)} = 0.33$, $p = 0.004$, Fig. 2b]. Permutation tests (1000 times) revealed that this result was expected above chance ($p = 0.036$, Fig. 2c). Thus, subsequent analyses focused on the negative model. Please note that the negative model indicated positive correlations between rsFC and levels of anxiety because of reciprocal transformation.

Fig. 2. Correlations between real BAI score and predicted BAI scores in (a) positive

model and (b) negative model. (c) Permutation distribution of correlations in the negative

model. (d) Whole brain parcellation with 10 lobes. (e) Common edges contributing to

anxiety prediction. (f) (g) Division of anxiety network into 10 lobes and the contribution

of each lobe to anxiety prediction. Note: BAI, Beck Anxiety Inventory; PFC, prefrontal;

Mot, motor; Ins, insula; Par, parietal; Tem, temporal; Occ, occipital; Lim, limbic; Cer,

cerebellum; Sub, subcortical; Bsm, brainstem. R: right; L, left. **$p<0.01$.

The number of edges, common edges and networks across LOOCV are shown in Table 1

and Fig. 2e. Importantly, prediction through the common networks resulted in a stronger

correlation than the whole-brain network prediction ($r_{(74)} = 0.85$, $p = 0$; Steiger's $Z =$

8.09, $p < 0.001$). It suggested that the common edges have a stronger prediction power

despite the small number of common edges. The number of edges in each lobe from

common networks is shown in Fig. 2f & g. Furthermore, the top 10 highly connected

brain nodes were located in the ventral anterior cingulate cortex (vACC), ventral-lateral

prefrontal cortex (vlPFC), posterior cingulate cortex (PCC), medial superior parietal

gyrus (mSPG), inferior temporal gyrus (ITG) and middle temporal gyrus (MTG; Fig. 3).

Table1. The number of edges or networks across LOOCV in CPM of anxiety,

anxiety-specific networks and depression-specific networks

	CPM of anxiety	anxiety-specific networks	depression-specific networks
edges [min, max]	[130, 169]	[108, 197]	[93, 179]
common edges	58	54	40
networks	76	20	20

Note: CPM, connectome-based predictive modeling.

Fig. 3. Connectivity patterns of the top 10 most highly connected brain nodes. The MNI coordinates are shown under the name of each brain node.

Note: vACC, ventral anterior cingulate cortex; vlPFC, ventral-lateral prefrontal cortex; mSPG, medial superior parietal gyrus; ITG, inferior temporal gyrus; PCC, posterior cingulate cortex; MTG, middle temporal gyrus; R, right; L, left.

Computational lesion prediction

In addition to the limbic lobe and the prefrontal lobe, we also conducted lesion prediction analyses for the temporal lobe, because the number of common edges in the temporal lobe was almost as high as for the limbic lobe and the prefrontal lobe (Fig. 2g). These results showed that the anxiety prediction power of the model decreased dramatically for lesions to the limbic or temporal lobes, for lesioned connectivity within the limbic lobe, and for lesioned connectivity between the limbic and the prefrontal lobe (see Table 2 and Fig. 4).

Fig. 4. Lesion predictions. (a) Lesion lobe predictions. (b) Lesion connectivity predictions. Note: Lim, limbic; PFC, prefrontal; Tem, temporal. *$p<0.05$, **$p<0.01$.

Table2. Lesion predictions

Lesion	Predictive power		Difference from the whole-brain model
	r	*p*	Steiger's Z
Lim	0.16	0.165	-2.38*
PFC	0.24	0.037	-1.44
Tem	0.17	0.141	-2.24*
Lim-Lim	0.29	0.012	-3.06**
Lim-PFC	0.28	0.014	-2.03*
Lim-Tem	0.29	0.012	-1.21
PFC-PFC	0.33	0.004	0
PFC-Tem	0.32	0.005	-0.36

Tem-Tem	0.34	0.002	1.87

Note: Lim, limbic; PFC, prefrontal; Tem, temporal; *p<0.05; **p<0.01.

Control analyses

After controlling for nuisance variables in edge selection and final correlation, and for scrubbing in preprocessing, the predictive models remained significant (Table 3). The common networks drawing from anxiety could also predict individual depression through CPM [$r_{(74)}$ = 0.64, $p < 0.001$, Fig. 6b].

Table3. Control analyses with adding head motion, age and gender as covariates in edge selection and final correlation, as well as scrubbing of head motion.

	Control at edge selection	Control at final correlation
Head motion	r = 0.31, p = 0.006	r = 0.33, p = 0.004
Age	r = 0.24, p = 0.035	r = 0.30, p =0.008
Gender	r = 0.22, p =0.051	r = 0.32, p = 0.005
Scrubbing	r = 0.28, p = 0.015	

Distinct brain networks between anxiety and depression

Given the strong correlation between (reciprocally transformed) BAI scores and SDS scores [$r_{(74)}$ = -0.73, $p < 0.001$, Fig.6a], we explored distinct brain networks between

anxiety and depression. The two-sample *t*-test revealed a significant difference between

"anxiety scores" and "depression scores" [*t* (38) = -4.15, *p* <0.001, Cohen's *d* = -1.857, Fig.

6c], validating significant differences between the anxiety-specific and the

depression-specific networks. The number of edges, common edges and networks across

LOOCV for anxiety-specific and depression-specific networks are shown in Table 1, Fig.

6d and Fig. 6e. For the purpose of visualization, common networks in each lobe greater

than or equal to ten were presented (Fig. 6f).

Fig. 6. (a) Distribution of anxiety scores and depression scores for each participant. (b)

Predicting depression by common edges obtained from anxiety. (c) Anxiety scores

obtained from anxiety-specific networks, and depression scores obtained from

depression-specific networks for two sample *t*-test analysis to validate these networks.

For the purpose of visualization, anxiety scores and depression scores were normalized.

(d) anxiety-specific network. (e) depression-specific network. (f) Division of

anxiety-specific network and depression-specific network into 10 lobes. Note: PFC,

prefrontal; Mot, motor; Ins, insula; Par, parietal; Tem, temporal; Occ, occipital; Lim,

limbic; Cer, cerebellum; Sub, subcortical; Bsm, brainstem. R: right; L: left. ***$p<0.001$.

Discussion

In this study, we employed CPM, a data-driven, full cross-validation approach, to predict

levels of anxiety in healthy participants from whole-brain rsFC. We demonstrated that

anxiety could be predicted by individual functional connectivity patterns, especially those

from the limbic system and prefrontal cortex. More specifically, "lesion prediction"

revealed that connectivity within the limbic system as well as connectivity between the

limbic system and prefrontal cortex most significantly predicted individual anxiety levels.

The nodes that highly contributed to the predictive model included ventral anterior

cingulate cortex (vACC), ventral-lateral prefrontal cortex (vlPFC), posterior cingulate

cortex (PCC), medial superior parietal gyrus (mSPG), inferior temporal gyrus (ITG) and

middle temporal gyrus (MTG). We also explored anxiety-specific networks and

depression-specific networks.

Using a computational lesion method, our CPM-based approach suggested that the

anxiety-predictive power of the model significantly decreased with a) lesion of the limbic

system, b) lesion of the connectivity within the limbic system, and c) lesion of the

connectivity between the limbic system and prefrontal cortex (Fig. 4). These results,

except increased prefrontal-limbic connectivity, conform to the emotion dysregulation

model (Behar et al., 2009). It is common that anxiety is associated with emotional

hyper-arousal, which was accompanied by hyper-connectivity within the limbic system

(Kim et al., 2011). However, interpretations of the observed prefrontal-limbic

hyper-connectivity are less straightforward. In anxiety-related disorders, attenuated

prefrontal responses (Manber Ball et al., 2013) to over-responsiveness of the limbic

system (Etkin et al., 2004; Qin et al., 2014; Bishop, 2007) have been observed. A

nonclinical study found that anxiety-prone individuals were as successful as controls to

reduce negative their emotions, but required greater engagement of lateral and medial

PFC for successful down-regulation of negative emotions (Campbell-Sills et al., 2011).

The increased prefrontal-limbic connectivity at rest in the current study might thus reflect

more effort to regulate emotions in daily life in participants with higher degrees of

anxiety.

Among the prefrontal-limbic circuit, vACC, vlPFC and PCC were key nodes that

contributed to the anxiety-predictive model. vACC plays a key role in the modulation of

physiological arousal (Maresh et al., 2013) and the control of negative affect (LeDoux,

2003; Passamonti *et al.*, 2008; Petrovic *et al.*, 2005). vlPFC has been implicated in

effortful down-regulation of negative emotion (Campbell-Sills et al., 2011). The PCC is

involved in mediating interactions of emotional and memory-related processes (e.g.,

Maddock *et al.*, 2003). Taken together, these key nodes in the current study might reflect

the neural underpinning of anxious individuals within the normal range experiencing a

successful emotion regulation. However, we did not find the amygdala in the top ten

connected brain nodes. It has long been acknowledged that the amygdala exerts a

significant role in emotion regulation (Whalen et al., 2002; Somerville et al., 2004;

Sylvester et al., 2012; Grupe and Nitschke, 2013; Tovote et al., 2015; Xu et al., 2019).

Despite this, previous hypothesis-driven studies may over-represent the amygdala.

Specifically, the significant diagnostic effect of amygdala in ROI studies disappeared

when whole-brain studies were also considered in a meta-analysis for mental disorders

(including anxiety disorders; Sprooten *et al.*, 2017). Another reason for failure to find the

amygdala might be that it was difficult to infer the specific involvement of amygdala in

the 268-node functional atlas, because the averaged size of the amygdala (1.24 cm^3) is

smaller than the averaged size of the other nodes (4.8 cm^3; Brabec *et al.*, 2010; Hsu *et al.*,

2018). Future studies could attempt to account for such differences in the average size of

relevant brain regions.

Surprisingly, anxiety-prediction power also significantly decreased with lesion of the

temporal gyrus. Within the temporal gyrus, medial (MTG) and inferior temporal gyrus

(ITG) were highly connected brain nodes in anxiety-predictive networks. MTG plays a

crucial role in social perception to uncertain threats (Haxby et al., 2002; Geng et al., 2018;

Feng et al., 2019). ITG is associated with the ventral visual pathway (Baddeley et al.,

1997). Its volume is associated with anxiety disorders, functionally reflecting the

processing of external social cues (Liao et al., 2011). Therefore, the current results might

reflect the information flow of social perception to uncertain threats from ITG to MTG in

anxiety.

It is important to note that anxiety and depression were highly correlated in this study (a common finding) and that the anxiety-predictive model also predicted depression. Anxiety in this study may therefore be considered as referring to an anxious-depressed trait. Such close connection is not surprising given the high correlation of anxiety and depression in real life (Stavrakaki and Vargo, 1986; Clark and Watson, 1991). It has been demonstrated that anxiety-prone individuals require more engagement of prefrontal cortex to accomplish down-regulation of negative emotion (Campbell-Sills et al., 2011), which has also been found in depressed individuals (Johnstone et al., 2007). The neuropsychological mechanisms during emotion regulation may thus be similar in anxiety and depression, especially regarding the prefrontal-limbic connectivity (Campbell-Sills et al., 2011).

We also preliminarily dissociated anxiety and depression at the network level. Anxiety-specific networks were mainly centered in the prefrontal cortex, connecting with the insula, subcortical lobes and cerebellum, whereas depression-specific networks were mainly observed within the cerebellum and between the limbic system and brainstem (Fig. 6f). However, the sample size of the high anxiety-specific and high depression-specific participants was too small in the current study; these results should thus be interpreted with caution. It has been documented that differentiation of anxiety and depression is difficult because of their high inter-correlation (Stavrakaki and Vargo, 1986; Clark and Watson, 1991). Accordingly, categorical definitions of anxiety and depression are

difficult to capture the distinctions of brain abnormalities (Oathes et al., 2015; Pannekoek et al., 2015). Nonetheless, the current study applied a novel brain model to understand the neurobiological distinction of anxiety and depression. Future studies with large sample sizes of each specific group are needed.

The present work represents advances in neuroscience to advocate the applications of rsFC in a cross-validation manner (Shen et al., 2017). An individualized predictive model of anxiety was estimated from whole-brain rsFC profiles. The advantages of this approach are fourfold. First, resting-state data is easy to collect relative to task-dependent experiments (Rosazza and Minati, 2011). Despite being task-free, rsFC can track individual cognitive performance (Scheinost et al., 2019), even higher-order functions such as trust (Lu et al., 2019). Importantly, rsFC is stable (even across three years; Horien et al., 2019) and unique (functional connectome 'fingerprinting'; Finn et al., 2015). Second, compared to standard group comparisons between high-anxious and low-anxious individuals, the present model is more sensitive for the assessment of aspects of neuropsychological functioning in the individual brain (Gabrieli et al., 2015; Dubois and Adolphs, 2016). Although studies with correlation or regression models also used the term "prediction", such in-sample prediction rather than out-of-sample prediction, incurs over-fitting (Gabrieli et al., 2015; Dubois and Adolphs, 2016). Third, instead of region-of-interest (ROI) or seed-based rsFC studies, we were able to obtain an objective picture of whole-brain rsFC networks in individual participants, eliminating the

risk of over-representation of certain ROIs (Sprooten et al., 2017). In this way, our

findings were still meaningful, conforming to emotion regulation network regarding

'emotion dysregulation model' (Behar et al., 2009; Manber Ball et al., 2013;

Mochcovitch et al., 2014). Finally, the approach of implementing computational ('virtual')

lesions may have advantages above noninvasive neurostimulation methods, for instance,

tDCS and TMS, which can cause some discomfort to participants. And although the

causal explanatory power of neurostimulation techniques is stronger, they have

significant drawbacks, the most important one being that they can only target superficial

cortical regions as the depth of stimulation is very limited. A combination of the strenghts

of both methods can also be indicative. Indeed, the computational lesion approach might

provide potential neurostimulation targets (i.e., network-guided TMS; Fox *et al.*, 2012)

for future studies to reduce the tension of anxiety.

Several limitations of the present study are worth mentioning. First, we used a

group-level atlas in the individualized prediction of anxiety, which might overlook subtle

brain-behavior associations if they are highly variable. It has been documented that

individualized prediction with the group-level atlas has a weaker predictive power than

that with the individualized template (Wang et al., 2018). Second, for the purpose of early

identification in subclinical population, we only recruited healthy participants. Given the

asymmetric mechanisms between patients and healthy participants (Liao et al., 2010),

however, whether this result could extend to clinical diagnosis in anxiety disorders

remains unknown. Future studies could test our model in patients with anxiety disorders.

To conclude, we established a brain connectivity-based model that was able to predict anxiety in novel individuals. In light of emotion dysregulation models, we demonstrated that regions of emotion regulation networks, such as vACC, vlPFC and PCC, were of importance for individual anxiety-prediction in healthy people. We also provide preliminary evidence for distinct networks in the classification of anxiety and depression. The current work may have important implications for the early identification of individuals enduring high trait anxiety in non-clinical populations.

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0026004.

268×268 rsFCs

BAI scores
2.36
4.00
⋮
5.15

Extract time course

Leave-one-out Cross-validation

Correlation matrix of rsFCs with BAI scores

r
0.4
0.2
0
-0.2
-0.4

Threshold
$p < 0.01$

Positive network

Negative network

Summing rsFCs in selected edges for positive/negative network in training set

Σ_1
Σ_2
⋮
Σ_{n-1}

Fitting the linear model

Behavior

$y = a\,x + b$

Brain

Predicting anxiety in a novel participant

Behavior

$y = a\,x + b$

?

Brain

Model Assessment

predicted BAI scores

real BAI scores

Top 55% Anxiety   Top 55% Depression

high anxiety
high depression

11 anxiety-specific participants   9 depression-specific participants

Leave-one –out Cross-validation

Anxiety-specific group   Depression-specific group

268×268 rsFCs   268×268 rsFCs

1   1
2 . . . .   2 . . . . . .
participants   participants
           N   19-N

two-sample t-test

threshold
p < 0.01

Select only the significantly correlated edges

anxiety-specific network   depression-specific network

Summing rsFCs in selected edges
as the remaining participants' scores

Σ_{a1} Σ_{d1}

Model Assessment

Scores

two sample t test

anxiety-specific   depression-specific
networks   networks