Species boundaries and molecular markers for classification among 16SrI phytoplasmas informed by genome analysis

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Abstract

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Phytoplasmas are diverse plant-pathogenic bacteria that greatly impact agriculture
worldwide. The current classification system for these uncultivated bacteria is based on the
restriction fragment length polymorphism (RFLP) analysis of their 16S rRNA genes. With the
increased availability of phytoplasma genome sequences, the classification system can now be
refined. This work examined 11 strains that belong to the 16SrI group within the genus
'Candidatus Phytoplasma' and investigated the possible species boundaries. We found that the
RFLP classification method is problematic due to intragenomic variation of the 16S rRNA genes
and uneven weighing of different nucleotide positions. Importantly, our results based on the
molecular phylogeny, differentiations in chromosomal segments and gene content, and
divergence in homologous sequences, all supported that these strains may be classified into
multiple operational taxonomic units equivalent to species. Strains assigned to the same species
share >97% genome-wide average nucleotide identity (ANI) and >78% of their protein-coding
genes. In comparison, strains assigned to different species share <94% ANI and <75% of their
genes. These findings suggested the existence of barrier(s) against homologous recombination
between species, and supported the proposal that 95% ANI could serve as a cutoff for
distinguishing species in bacteria. Critical examination of these results and the raw sequencing
reads also allowed us to identify one genome that was possibly mis-assembled by mixing two
sequencing libraries containing different phytoplasmas. This finding provided a cautionary tale
for working on uncultivated bacteria. Based on the new understanding of phytoplasma
divergence and the current genome availability, we developed four molecular markers that could
be used for multilocus sequence analysis (MLSA). By selecting markers that are short yet highly
informative, and are distributed evenly across the chromosome, these markers provided a cost-
effective system that is robust against recombination. Finally, examination of the effector gene
distribution further confirmed the rapid gains and losses of these genes, as well as the
involvement of potential mobile units (PMUs) in their molecular evolution. Future improvements
on the taxon sampling of phytoplasma genomes will allow us to further expand the analysis, and
thus contribute to phytoplasma taxonomy and diagnostics.
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Introduction

Phytoplasmas are a group of insect-transmitted plant-pathogenic bacteria that reduce yields of diverse crops worldwide (Lee et al., 2000; Hogenhout et al., 2008; Bertaccini and Lee, 2018). These obligate parasites are related to the animal-pathogenic *Mycoplasma* (Chen et al., 2012), and both groups of these wall-less bacteria were assigned to the class Mollicutes under the phylum Tenericutes (Brown, 2010). However, unlike *Mycoplasma* and several other Mollicutes lineages, axenic cultivation of phytoplasmas has not been achieved yet. Due to this reason, all phytoplasmas were assigned to a '*Candidatus*' ('*Ca.*') genus (The IRPCM Phytoplasma/Spiroplasma Working Team, 2004).

For the purposes of plant disease diagnosis or research on their basic biology, a reliable system for strain identification and classification is critical. For example, it is important to understand if the diseases affecting different plants, transmitted by different vectors, and/or occurring in different locations are caused by the same or different phytoplasmas. In addition, to infer the evolutionary history and biodiversity of phytoplasmas, defining species or other equivalent biological units are of fundamental importance. The current system for phytoplasma classification was first established in the 1990s (Lee et al., 1993; Lee et al., 1998). This system utilizes a defined set of 17 restriction enzymes to perform restriction fragment length polymorphism (RFLP) analysis on a 1.25-kb PCR product derived from their 16S rRNA gene (Gundersen and Lee, 1996). Under this system, a set of reference strains were chosen, and a new strain could be assigned to the same 16S rRNA gene RFLP (16Sr) group and subgroup as a reference when the similarity coefficient is higher than 0.85 and 0.97, respectively (Zhao et al., 2009; Zhao and Davis, 2016). With the development of an easy-to-use web-based tool iPhyClassifier (Zhao et al., 2009), this system has been well-adopted by the phytoplasma research community.

However, despite the popularity, the current 16Sr classification system has several shortcomings. To begin with, the RFLP approach considers only those nucleotides located within the restriction sites, rather than the entire sequence. Additionally, the similarity coefficients of the RFLP patterns do not provide information about phylogenetic relationships. Furthermore, intragenomic sequence variations of 16S rRNA genes may cause problems in classification. Most importantly, although 16S rRNA gene is considered as a universal marker for bacterial classification, the use of a single locus is inherently unreliable. Rather, adoption of multilocus sequence analysis (MLSA) is strongly recommended (Glaeser and Kämpfer, 2015). Unfortunately, selection of suitable markers for MLSA is not straightforward. Due to the highly variable evolutionary rates among different bacteria and among different genes (Kuo and Ochman, 2009), the markers that work well for one group do not necessary work well for others. Whereas the use of whole genome sequences to replace MLSA provides a potential solution and is gaining popularity (Konstantinidis and Tiedje, 2005; Konstantinidis et al., 2017; Jain et al., 2018; Parks et al., 2018), whole genome analysis is still challenging for uncultivated bacteria such as phytoplasmas. For these reasons, development and evaluation of MLSA markers are still important.

To develop and evaluate MLSA markers, the availability of genome sequences is critical for two reasons. First, genome-based classification provides accurate assignments for the strains being considered, which serves as a reference for the MLSA results. Second, genome sequences provide a complete catalog of the genomic regions that may be used as markers, such that the relative performance of each potential marker may be evaluated. In this regard, phytoplasmas belonging to the 16SrI group, also often known as '*Candidatus* Phytoplasma asteris' (i.e.,

defined as encompassing all known subgroups within the 16SrI group) (Lee et al., 2004),
represent the best system to test the concept of genome-assisted MLSA marker development.
Among the >30 16Sr groups that have been described (Zhao and Davis, 2016), only eight have
genome sequences available (Cho et al., 2019b). Moreover, the genome sequencing efforts have
been highly focused on the 16SrI group (Table 1), which has a worldwide geographical
distribution, wide range of plant hosts, and great impact on agriculture (Lee et al., 2004). Finally,
this group is also the one that received most research attention on the molecular mechanisms of
plant-microbe interactions; the four phytoplasma effectors that have been characterized were all
initially studied in 16SrI phytoplasmas (Sugio et al., 2011b; Tomkins et al., 2018; Gamboa et al.,
2019; Huang and Hogenhout, 2019). Our preliminary study of this group identified extensive
levels of genome divergence and suggested that multiple species exist (Cho et al., 2019a). In this
work, we expanded the sampling of available genome sequences to perform in-depth analysis of
genome comparisons. Specifically, we aimed to provide quantitative guidelines for defining
species boundaries, which could better inform phytoplasma taxonomy. Additionally, we aimed to
develop MLSA markers that could facilitate future genetic characterization of the phytoplasmas
in this important group.
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Materials and Methods
<u>Data Sets and Analysis Methods</u>
The 11 genome sequences included in this study are listed in Table 1. These data sets
represent all phytoplasma genomes available from GenBank (Benson et al., 2018) as of January
2020 and are recognized as belonging to the 16SrI group or '*Ca*. P. asteris' (Lee et al., 2004).
Two other '*Ca*. Phytoplasma' species affiliated with the 16SrI group have been described,
including '*Ca*. P. japonicum' (Sawayanagi et al., 1999) and '*Ca*. P. lycopersici' (Arocha et al.,
2007). However, only few partial gene sequences are available for these two '*Ca*.' species so
they were not included in this study.
To confirm the 16Sr group and subgroup assignments, we extracted the 16S rRNA gene
sequences from the genomes and analyzed those sequences using the iPhyClassifier (Zhao et al.,
2009). Most phytoplasma genomes contain two copies of 16S rRNA genes and each copy was
processed individually. The strain OY-V lacks any 16S rRNA gene in the current assembly and
therefore was excluded from this analysis. The strain NJAY has four partial sequences for its 16S
rRNA genes and all of these four sequences were examined.
All bioinformatic tools for processing these data sets were used with the default settings
unless stated otherwise. For correlation tests, the cor.test function implemented in R v3.4.4 (R
Core Team, 2019) was used to examine the Pearson's product moment correlation coefficient.
For strain TW1 (GenBank genome accession GCA_003181115.1), we downloaded the
raw sequencing results from the NCBI Sequence Read Archive (SRA) to examine the
phytoplasma sequences present in the sequencing libraries. The raw reads were mapped to two
other reference genomes (i.e., AYWB and OY-M; see Table 1) to detect the presence of 16SrI-A
and 16SrI-B type phytoplasmas, respectively. For the Oxford Nanopore MinION reads (SRA
accession SRR7548026), the mapping was performed using Minimap2 v2.15 (Li, 2018). For the
Illumina MiSeq paired-end reads (SRA accession SRR7548027), the mapping was performed
using the Burrows-Wheeler Alignment (BWA) tool v0.7.17 (Li and Durbin, 2009). The mapping
results were programmatically checked using SAMtools v1.9 (Li et al., 2009) to identify the
sequence variations.
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<u>Genome Comparisons</u>
For whole-genome comparison, FastANI v1.1 (Jain et al., 2018) was used to calculate the
proportion of genomic segments mapped and the average nucleotide identity (ANI) of those
segments in each genome-pair. The genome alignment of representative strains with high quality
assemblies were performed using genoPlotR v0.8.9 (Guy et al., 2010). The syntenic regions were
identified using BLASTN v2.6.0 (Camacho et al., 2009), the cutoff values for sequence
similarity were set to e-value = $1e^{-15}$ and alignment length = 2,000 bp.
For gene-centric investigation, the homologous gene clusters among all genomes were
identified using OrthoMCL v1.3 (Li et al., 2003) based on the procedures described in our
previous studies (Lo et al., 2013; Chung et al., 2013). Briefly, all coding sequences (CDS),
including those annotated as pseudogenes, were included in the all-against-all similarity searches
using BLASTN v2.6.0 (Camacho et al., 2009) with an e-value cutoff of $1e^{-15}$. The BLASTN
results were used as the input for OrthoMCL, which normalized the within- and between-genome
comparisons and identified homologs according to the principle of reciprocal best hits. Similar to
the procedures for whole-genome comparisons, the proportion of homologs shared and the
sequence identity of shared homologs were considered as two separate metrics of genome
similarities. In addition to the pairwise comparisons, the gene content of all genomes was
compared using a procedure based on principal coordinates analysis (Lo et al., 2018). For this
procedure, the homologous gene clustering result was converted into a matrix of 11 genomes by
1,233 gene clusters; the value in each cell indicates the gene copy number. This matrix was
converted into a Jaccard distance matrix among genomes using the VEGAN package in R, then
processed using the PCOA function in the APE package (Popescu et al., 2012).
To compare the genes for putative secreted proteins among these phytoplasmas, we
examined all coding sequences using a procedure described in a previous study (Cho et al.,
2019b). Briefly, SignalP v5.0 (Armenteros et al., 2019) was used to check for the presence of
signal peptide based on the Gram-positive bacteria model. The program TMHMM v2.0 (Krogh
et al., 2001) was used to verify that these putative secreted proteins have no transmembrane
domain.
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<u>Molecular Phylogenetics</u>
For phylogenetic inference, MUSCLE v3.8.31 (Edgar, 2004) was used to generate
multiple sequence alignments and PhyML v3.3 (Guindon and Gascuel, 2003) was used to infer
maximum likelihood phylogenies. The visualization was performed using JalView v2.11
(Waterhouse et al., 2009) and FigTree v1.4.4. In each inference, PHYLIP v3.697 (Felsenstein,
1989) was used to generate 1,000 replicates for bootstrap analysis.
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<u>Development of Molecular Markers</u>
To identify molecular markers that may be suitable for classification, those multiple
sequence alignments of single-copy genes shared by all genomes were examined manually.
Genes were ranked by the number of variable sites that could be used for distinguishing different
16SrI subgroups. Then the list was prioritized based on the criterion of having multiple markers
located in distinct regions of those phytoplasma chromosomes. The PCR primers (Table 2) were
designed manually with the aim of producing ~700-800 bp products to cover those variable
regions, such that each product could be sequenced in one single Sanger sequencing reaction. To
validate the primer design, a DNA sample derived from a periwinkle infected by the
phytoplasma strain DY2014 (Cho et al., 2019b) was used as the template for PCR tests using the

KAPA Taq ReadyMix (Roche, USA) following the manufacturer's instructions. The cycling
conditions were: (1) an initial activation step at 95°C for 3 min; (2) 35 cycles of 98°C for 20 sec,
55°C for 30 sec, and 72°C for 60 sec; and (3) a final extension step of 72°C for 5 min. The
annealing temperature was lowered to 53°C for the newly designed primers for *secY* (Table 2),
while the other three primer sets used 55°C. Agarose gel electrophoresis was conducted to
confirm that all PCR tests produced one single band of expected size. For further confirmation,
the PCR products were purified using the QIAquick Gel Extraction Kit (Qiagen, Germany)
according to the manufacturer's instructions, then sequenced using the BigDye Terminator v3.1
Cycle Sequencing Kit on an Applied Biosystems 3730XL DNA Analyzer (Thermo Fisher
Scientific, USA). The sequencing results were compared to the genome sequence for final
confirmation.
The procedure for calculating the substitution rates was based on that described in our
previous studies (Kuo and Ochman, 2009; Kuo et al. 2009). Briefly, the homologous protein
sequences were aligned by using MUSCLE v3.8.31 (Edgar, 2004). The protein alignments were
converted into codon-based nucleotide alignment using PAL2NAL v14 (Suyama et al., 2006),
which were then processed using the YN00 method (Yang and Nielsen, 2000) implemented in
PAML v4.9h (Yang 2007).
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Results and Discussion
<u>Genome Characteristics</u>
Among the 11 phytoplasma genomes included in this study, four have the complete
chromosomal sequence available while the other seven are draft assemblies with varying levels
of completeness (Table 1). For those incomplete genomes, the true genome sizes are difficult to
determine. Nonetheless, the largest (i.e., OY-M; 853 kb) and the smallest (i.e., MBSP-M3; 576
kb) genomes in this data set are both completed assemblies, indicating the high level of genome
size variation among these closely related strains. The total number of CDS is strongly correlated
with the genome size (r = 0.92, p = 2.7e-5). However, the number and proportion of pseudogenes
varied widely among these genomes. For example, the strains AYWB and NJAY have a
genome-wide ANI of 99.7%, yet the proportion of pseudogenes are 17% and 41%, respectively.
In another case, DY2014 and OY-V have a genome-wide ANI of 99.4%, yet the proportion of
pseudogenes are 8% and 25%, respectively. Although these differences may reflect true
biological processes, such as some strains have experienced genome degradation, it is also
possible that these differences may be explained by artifacts. Regarding the sequencing methods,
AYWB was based on Sanger sequencing (Bai et al., 2006) and DY2014 was based on Illumina
(Cho et al., 2019b), while NJAY was based on one single Roche/454 library (Sparks et al., 2018)
and OY-V was based on one Illumina paired-end library and one 454 GS FLX mate-pair library
(Kakizawa et al., 2014). Due to the low GC-content of these genomes (i.e., ~27-28%), it is
plausible that sequencing errors in homopolymeric regions, particularly those errors originated
from the 454 sequencing technology, may have contributed to the high numbers of pseudogenes
with frameshift mutations in NJAY and OY-V. Moreover, assemblies that are more fragmented
may also have more partial genes located at the ends of contigs. Due to these concerns, all
pseudogenes were included in the gene-centric investigation of this study.
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229 <u>Classification of the 16SrI Phytoplasmas Analyzed</u>
230   The 16SrI subgroup assignments of these strains were mostly as expected but there were
231 few surprises (Figure 1A). First, WBD was reported as a 16SrI-B strain (Chen et al., 2014).

However, our examination of the sequence record deposited in GenBank revealed that the two copies of 16S rRNA genes in this genome differ by 4-bp, and iPhyClassifier (Zhao et al., 2009) assigned these two sequences to 16SrI-R and 16SrI-S, respectively. Results from molecular phylogeny also support that these two sequences form a clade that is distinct from other 16SrI-B sequences. Second, DY2014 has two 16S rRNA genes that differ by 1-bp. While one was assigned to 16SrI-B, the other was identified as being a new subgroup with a RFLP pattern most similar to 16SrI-B. Finally, TW1 has two sequences that differ by 12-bp, with one assigned to 16SrI-A and the other assigned to 16SrI-B (Town et al., 2018).

These results highlighted several issues of this RFLP-based classification system for phytoplasmas. First, the intra-genomic variation of 16S rRNA genes may result in a strain being assigned to different subgroups depending on which homolog was examined. This issue has been reported for other phytoplasmas and a three-letter subgroup designation system has been proposed (Wei et al., 2008). For example, a paulownia witches'-broom (PaWB) phytoplasma was first classified as a 16SrI-D strain (Lee et al., 1998) but later found to harbor a 16SrI-B type sequence as well. To accommodate these findings, the subgroup status of this PaWB was redesignated as 16SrI-(B/D)D, with the first two letters in parentheses denoting the types of those two 16S rRNA genes, and the third letter denoting its 16Sr subgroup assignment (Wei et al., 2008; Zhao et al., 2009). Although this three-letter system provides comprehensive information, it may be unnecessarily complex and potentially confusing. Moreover, it is unclear which one of the two types should be chosen for the formal subgroup assignment. In the case of PaWB, it was assigned to 16SrI-D based on precedence. However, in the case of WBD (i.e., originally described as a 16SrI-B strain), it is unclear if it should be redesignated as 16SrI-(R/S)R or 16SrI-(R/S)S. Second, this RFLP-based system considers only the sequence variations in restriction sites. By ignoring the sequence variations in other positions, this approach could alleviate the problem of intra-genomic variations to a certain degree (e.g., the two sequences in AYWB differ by 2-bp, yet both were assigned to 16SrI-A). However, this approach wasted much of the information contained in the full sequence and the uneven weighing of nucleotide positions could introduce strong biases. The strain DY2014 represents one extreme example to illustrate such biases, with a single-bp difference that is sufficient to result in different subgroup assignments between the two 16S rRNA genes. Finally, a classification system that depends solely on the 16S rRNA genes while ignoring other regions of the genome does not provide sufficient resolution.

Regardless of the group/subgroup assignments of these 16S rRNA genes, all these sequences share >99% identity (Supplementary Table S1), which is above the general recommended thresholds for defining species. These thresholds include: (1) 97% identity that is commonly used for defining operational taxonomic units (OTUs) corresponding to species in microbiota surveys (Schloss and Handelsman, 2005), (2) 97.5% identity recommended for '*Ca. phytoplasma*' species (The IRPCM Phytoplasma/Spiroplasma Working Team, 2004), and (3) 98.6% identity recommended for uncultivated bacteria (Konstantinidis et al., 2017). In other words, these strains may be considered as all belonging to '*Ca.* Phytoplasma asteris' (Lee et al., 2004) based on their 16S rRNA gene sequences. However, delineating species based on one single gene may be problematic; more in-depth investigation of their genomic divergence is necessary.

Genomic Divergence among 16SrI Phytoplasmas and Putative Species Boundaries

To further investigate the divergence of these phytoplasmas, we utilized two approaches to quantify their genomic similarities. In the first approach, the whole genome sequences were used to calculate the proportion of genomic segments shared, as well as the ANI values of these segments. A previous study that examined >90,000 prokaryotic genomes found that the ANI values exhibit a bimodal distribution with the within-species comparisons almost always have >95% ANI (Jain et al., 2018). We found that based on this criterion, the 11 strains examined could be separated into three clusters that roughly correspond to their 16SrI subgroup assignments (Figure 2A). The two 16SrI-A strains, AYWB and NJAY, share 86.9% of their genomes and these shared regions are nearly identical (i.e., ANI = 99.7%). Because the NJAY assembly is highly fragmented and ~10% smaller compared to the complete genome of AYWB, the low proportion of genomic segments shared may be explained by missing data in the NJAY assembly. The strain WBD shares only ~93% ANI with all other 16SrI phytoplasmas compared and represents a distinct lineage. All of the remaining strains, including those assigned to 16SrI-B and TW1, share >97% ANI and could considered as a third cluster. This clustering result is consistent with the maximum likelihood phylogeny inferred using 303 single-copy genes shared by all strains (Figure 1B and Supplementary Table S2).

In the second approach, we considered only the putative coding regions (i.e., intact CDS and putative pseudogenes) of these genomes for calculating genomic similarities (Figure 2B). Compared to the genome-wide ANI approach, this gene-centric method is more laborious and could potentially be affected by annotation quality. Nevertheless, this approach has a higher confidence in inferring the homology prior to calculating sequence identities and provides a complementary method to the ANI approach. Although the exact genome similarities values differ slightly, the patterns found by using these two approaches are consistent.

Based on these results regarding genomic similarities and molecular phylogeny, it appeared that these 16SrI phytoplasmas could be classified into three taxonomic units corresponding to species. Similar to a previous study that examined diverse prokaryotes (Jain et al., 2018), the sequence identity values among these phytoplasmas also exhibited a bimodal distribution (Figure 3). Excluding the comparisons involving TW1, the within-species comparisons all have >97% genome-wide ANI, while between-species comparisons all have <94% ANI (Figures 2A and 3A). For sequence identities that were calculated based on only the genic regions, in which the homology could be inferred more confidently, a similar separation was found (i.e., within-species: >98.9%, between-species: <96%; see Figures 2B and 3B). These results suggest that those strains assigned to the same species may have on-going homologous recombination that maintained high sequence similarities in their shared genomic regions. In contrast, certain genetic barriers exist to lower homologous recombination between different species. In other words, these results provide further support to the hypothesis that the biological species concept, which is based on the barriers to homologous gene exchange, could be applied to bacteria as well (Bobay and Ochman, 2017). Moreover, these results agree with a previous study in that the conventional 97% identity threshold for delineating species based on 16S rRNA gene is too low (Edgar, 2018).

In addition to sequence identity, another important measurement for genetic similarity is the proportion of genomic regions shared. For this second measurement, a wide range was observed for both within- and between-species comparisons (Figures 2 and 3). The wide spread was mostly explained by the inclusion of two highly fragmented draft genomes (i.e., OY-V and CYP; see Table 1), which resulted in low estimates that are artifacts stemming from missing data. Similarly, the inclusion of WBD produced high proportions of genomic regions shared between

species (i.e., 82% with AYWB and 84% with MBSP-M3). If the complete genome sequence of
WBD is available, the denominator would change and these estimates could change in either
direction. Due to these uncertainties, we restricted the comparisons to those four strains with the
complete genome sequences available (i.e., AYWB, OY-M, MBSP-M3, and De Villa). For this
reduced data set with high confidence, strains assigned to the same species share ~78-93% of
their chromosomal segments and ~79-89% of their protein-coding genes, whereas strains
assigned to different species share ~74-78% of their chromosomal segments and ~70-75% of
their protein-coding genes.
For an alternative approach of visualization, we used the principal coordinates analysis to
compare the gene content divergence among these phytoplasmas (Figure 4). With the exception
of TW1, the results were consistent with the molecular phylogeny (Figure 1B) and pairwise
genome similarities (Figures 2 and 3). The first coordinate explained ~40% of the variance and
showed clear separation for the three putative species. The second coordinate explained ~23% of
the variance and showed that those 16SrI-B strains could be separated into three subgroups.
Taken together, these results supported the existence of multiple operational taxonomic
units equivalent to species within the previously described '*Ca*. Phytoplasma asteris' (Lee et al.,
2004) and provided quantitative guidelines for establishing the species boundaries. Future work
to improve the sampling of genomic diversity among these important plant pathogens are
necessary to inform the taxonomy revisions.
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The Special Case of the TW1 Genome
Based on the aforementioned framework for phytoplasma classification, the strain TW1
represents a strange and difficult case. The high level of intra-genomic variation between its two
copies of 16S rRNA genes has been noted in the initial characterization (Town et al., 2018). In
our whole-genome analysis, this strain shares a higher proportion of its chromosomal segments
and protein-coding genes with those 16SrI-A strains, yet has higher sequence identities with
those 16SrI-B strains (Figures 2 and 3). Because of these properties, this strain was clustered
with those 16SrI-A strains based on gene content (Figure 4), while having closer phylogenetic
relationships with those 16SrI-B strains (Figure 1B).
In the absence of an intuitive hypothesis that could explain the evolutionary processes
leading to these conflicting findings, we examined the possibilities that these findings were
results of artifacts. This TW1 genome was co-assembled using one long-read library (based on
Oxford Nanopore MinION) and one short-read library (based on Illumina MiSeq) (Town et al.,
2018). When the raw reads from these two sequencing libraries were examined separately, we
found that the long-read library likely contains a 16SrI-A type phytoplasma. When the raw reads
were mapped to the AYWB chromosome, all regions were covered and 3,725 sequence
polymorphisms were found; when mapped to OY-M, 52,649 bp had no coverage and 31,401
sequence polymorphisms were found. In contrast, the short-read library likely contains a 16SrI-B
type phytoplasma. When the raw reads were mapped to OY-M, 103,922 bp had no coverage and
9,489 sequence polymorphisms were found; when mapped to AYWB, 110,458 had no coverage
and 29,323 sequence polymorphisms were found.
Based on these findings, a possible explanation is that during the assembly process, the
long reads produced several 16SrI-A type scaffolds, which resulted in the high similarities of the
TW1 genome content to 16SrI-A as observed (Figures 2-4). Subsequently, during the polishing
stage, the exact sequences of these scaffolds were modified based on the short-read library, thus
explaining the high sequence similarity of this TW1 genome to those 16SrI-B strains. In other

words, in its current form, this TW1 genome assembly represents an artifact due to mis-assembly,
rather than a true representative of an existing phytoplasma strain.
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Development of Molecular Markers for Multilocus Sequence Analysis
To develop molecular markers that may be useful for the MLSA of these phytoplasmas
and their relatives, we examined the multiple sequence alignments of those 303 single-copy
genes shared by all of the genomes analyzed. The selection criteria were based on: (1) the
number of informative sites that may be used to distinguish the three species identified, (2) the
possibility of designing PCR primers for a product in the size range of ~700-800 bp and covers a
large number of informative sites, and (3) the chromosomal locations. The first two criteria were
aimed to make the Sanger sequencing of these markers as cost-effective as possible, while the
third criterion was aimed to make the MLSA robust against recombination.
Based on these criteria, we selected four markers to supplement the 16S rRNA gene.
These four makers all have high numbers of informative sites, particularly when compared to
those markers that have been developed previously (Table 2 and Supplementary Figure S1). The
substitute rates of these marker genes are relatively high but do not deviate strongly when
compared to other shared single-copy genes (Supplementary Figure S2).
In terms of chromosomal locations, these markers are well separated in all genomes
examined despite the extensive genome rearrangements observed (Figure 5). Although the extent
of recombination in phytoplasmas is not well understood, recent studies on *Xylella fastidiosa* (i.e.,
another insect-transmitted plant pathogen that is restricted to vascular tissues) revealed that
recombination affected ~0.5-15% of the genome, depending on the exact subspecies (Potnis et al.,
2019; Vanhove et al., 2019). The recombined fragments could have sizes up to 31 kb, with an
average of 1 kb. Based on these estimates, it is unlikely that one single recombination event
would affect more than one of these four markers. Thus, the results of MLSA should be robust
against the interference of recombination.
When we used these four newly developed markers for molecular phylogenetic inference,
the concatenated alignment (together with the 16S rRNA gene, which is expected to be
sequenced in the initial characterization of newly collected strains) produced a phylogeny
(Figure 1C) that is comparable to the result from genome-scale analysis (Figure 1B). This result
demonstrated the utility of these markers for future genotyping work. With better sampling of
phytoplasma strains and more accurate classification of those strains using these markers,
informed decision could be made to select representatives for genome sequencing efforts, which
could contribute to the study of phytoplasma genetic diversity and evolutionary history, as well
as improve the taxonomy.
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Phylogenetic Distribution of Phytoplasma Effector Genes
With the well-resolved phylogeny (Figure 1B) as a framework, we investigated the
distribution of putative effector genes among these phytoplasmas. The results indicated a high
level of variation in the gene counts (Figure 6). One clade (i.e., MBSP-M3, De Villa, and LD1)
have only ~10-14 genes that encode putative secreted proteins. These numbers are much lower
than the ~34-44 found in their sister clade (i.e., OY-M, OY-V, and DY2014). The low numbers
are not artifacts of incomplete genome sequences. In fact, the two strains with the lowest
numbers (i.e., MBSP-M3 and De Villa) both have the complete chromosomal sequences
available. These findings suggest that there may have been lineage-specific reduction in effector
gene counts, although the underlying ecological factors are unclear.

For those four phytoplasma effectors that have been characterized experimentally,
namely SAP05 (Gamboa et al., 2019; Huang and Hogenhout, 2019), SAP11 (Bai et al., 2009;
Sugio et al., 2011a; Lu et al., 2014; Sugio et al., 2014; Chang et al., 2018), SAP54/PHYL1
(MacLean et al., 2011; MacLean et al., 2014; Maejima et al., 2014; Orlovskis and Hogenhout,
2016), and TENGU (Hoshi et al., 2009; Sugawara et al., 2013; Minato et al., 2014), only
TENGU is conserved among all strains. Although some cases of gene absence may be artifacts
of incomplete genome assemblies, the strain De Villa (which has the complete chromosomal
sequence available) lacks all other three effector genes, indicating that none of those three
effectors is essential.
The variable pattern of effector gene presence and absence may be linked to the
molecular evolution of potential mobile units (PMU) in these phytoplasma genomes (Bai et al.,
2006). These mobile genetic elements were known to facilitate horizontal gene transfers between
either closely or distantly related strains and may be gained or lost rapidly (Chung et al. 2013;
Ku et al. 2013; Orlovskis et al., 2017; Cho et al., 2019b; Seruga Music et al., 2019). When we
examined the chromosomal locations of those four effector genes, TENGU is not associated with
PMUs, while the other three are. Finally, as noted in our previous study (Cho et al., 2019b),
DY2014 and OY-V both have two copies of SAP11 homologs. One copy is located within a
16SrI-B type PMU, suggesting vertical inheritance, while the other copy is located within a
16SrI-A type PMU, suggesting horizontal acquisition. These observations provided further
support to the importance of PMUs in phytoplasma effector evolution.
435
Conclusion
By quantifying the genetic divergence among a group of closely-related phytoplasmas,
this work demonstrated a discontinuity in their genome similarities. The results suggested that
these phytoplasmas could be classified into multiple distinct taxonomic units equivalent to
species. Importantly, the current classification system for phytoplasmas that is based on the
RFLP analysis of their 16S rRNA genes does not provide sufficient resolution or accuracy.
Although using 16Sr subgroup for species may appears to be a simple solution (e.g., in the cases
of 16SrI-A and 16SrI-B), this does not always work (e.g., several strains have two 16S rRNA
genes that were assigned to different subgroups). As such, it is important to incorporate genomic
information in the future revisions of phytoplasma taxonomy. A previous proposal of using 95%
ANI as a cutoff to delineate bacterial species (Jain et al., 2018) appears to work well and
warrants further consideration to be adopted. In recognition of the difficulties involved in
genomic studies of uncultivated bacteria, this work also demonstrated the feasibility of using
genome analysis to develop cost-effective MLSA markers, which will be useful for practical
purposes. For future directions, it is critical to improve the taxon sampling of phytoplasma
genomes strategically, such that similar approaches may be expand to genus-wide analysis to
facilitate the studies of these important plant pathogens.
453

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464 **Author Contribution**
465   S-TC, H-JK, and C-HK performed the experiments and analyzed the data. WH and SAH
466 provided intellectual input. S-TC prepared the figures and supplementary materials. S-TC and C-
467 HK wrote the manuscript. SAH edited the manuscript. C-HK designed the experiments, acquired
468 the funding, and supervised the project.
469
470 **Conflict of Interest Statement**
471   The authors declare that the research was conducted in the absence of any commercial or
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473

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Figure Legends

Figure 1. Maximum likelihood phylogenies. The numbers on the internal branches indicate the level of bootstrap support based on 1,000 resampling; only values >=60% are shown. (A) 16S rRNA genes. The alignment contains 1,541 aligned nucleotide sites. The strain name, locus tag of the 16S rRNA gene (in parentheses), and the 16SrI subgroup classification (in square brackets) are labeled. A question mark '?' in the subgroup classification indicates that the sequence is classified as a new subgroup, the existing subgroup with the highest similarity is provided. (B) Single-copy coding genes shared by all strains. The concatenated alignment contains 303 genes and 291,990 aligned nucleotide sites. (C) Five molecular markers selected in this study. The concatenated alignment contains 4,228 aligned nucleotide sites.

Figure 2. Pairwise genome similarities. The strains with the complete genome sequences available are highlighted in bold. (A) Similarity scores based on whole genome comparisons. Above diagonal: average nucleotide identity (%); diagonal: genome size (kb); below diagonal: genomic segments mapped (%). (B) Similarity scores based on genic regions. Above diagonal: genic sequence identity (%); diagonal: number of homologous gene clusters; below diagonal: homologs shared (%).

Figure 3. Correlations between metrics of genome similarities. (A) Similarity scores based on whole genome comparisons. (B) Similarity scores based on genic regions. Filled symbols indicate the pairwise comparisons involving two complete genomes, empty symbols indicate the pairwise comparisons involving one or two draft genomes. The strain TW1 is a special case; its similarity scores in comparison with 16SrI-A and 16SrI-B strains are indicated by red and blue, respectively.

Figure 4. Principal coordinates analysis of gene content. Each genome is represented by one dot (color-coded according to the 16Sr subgroup assignment). The distance between dots corresponds to their differences in gene content. The % variance explained by each axis is provided in parentheses. The strains with the complete genome sequences available are highlighted in bold.

Figure 5. Genomic locations of marker genes. Nucleotide sequence identity of the conserved regions between genomes are illustrated (red: match on the same strand; blue: match on the opposite strand).

Figure 6. Phylogenetic distribution of putative effector genes. The cladogram that illustrates the phylogenetic relationships among these strains is based on Figure 1B (i.e., maximum likelihood phylogeny based on 303 shared single-copy genes). The number in parentheses after the strain name indicates the number of genes that encode putative secreted proteins in each genome. The strains with the complete genome sequences available are highlighted in bold. On the right-hand side, the table lists the locus tags of homologs for those four phytoplasma effectors that have been characterized. Those locus tags with an asterisk (*) were annotated as putative pseudogenes.

Supplementary Materials

Supplementary Figure S1. Multiple sequence alignments of selected marker genes. The primer sites are highlighted in orange. Shades of blue colors in the alignment indicate the levels of sequence conservation.

Supplementary Figure S2. Distribution of substitution rates among those single-copy genes shared by the 11 phytoplasma genomes analyzed. The synonymous substitution rate (Ks) and non-synonymous substitution rate (Ka) were calculated based on the homologs found in AYWB and MBSP-M3. Among those 303 single-copy genes, nine were annotated as pseudogenes and were omitted from the substitution rate calculation.

Supplementary Table S1. Pairwise nucleotide sequence identity of the molecular markers used in the phylogenetic inference. Numbers in the diagonal cells indicate the length of each unaligned sequence.

Supplementary Table S2. List of the 303 single-copy genes shared by all of the 11 phytoplasma genomes analyzed in this study. The gene identity, genomic location, and functional annotation of these genes are provided based on the information from AYWB. The substitution rate estimates are based on the comparison between AYWB and MBSP-M3.

(A)

	AYWB	NJAY	WBD	OY-M	OY-V	DY2014	MBSP-M3	De Villa	LD1	CYP	TW1
AYWB	724	99.7	93.3	92.5	92.1	92.6	92.6	92.9	93.1	93.0	95.7
NJAY	86.9	652	93.5	92.6	92.0	92.6	92.7	92.8	92.8	93.1	95.1
WBD	82.3	81.2	611	93.3	92.7	93.1	93.2	93.3	93.5	93.4	93.3
OY-M	74.2	70.5	75.5	853	98.3	98.8	97.6	98.1	98.3	98.9	97.0
OY-V	67.4	71.3	70.3	68.4	740	99.4	96.9	97.2	97.4	97.4	95.8
DY2014	75.5	72.8	78.7	84.4	75.0	825	97.4	97.9	98.0	98.2	96.3
MBSP-M3	78.0	79.7	84.0	78.2	72.8	79.3	576	98.9	98.5	98.0	96.9
De Villa	75.7	77.1	82.9	78.4	71.1	79.9	92.9	604	98.8	98.5	97.2
LD1	75.8	79.8	85.1	78.5	71.4	80.5	92.3	95.2	599	98.4	97.4
CYP	64.8	70.3	73.7	63.3	66.2	64.0	77.5	76.1	75.9	660	98.5
TW1	96.5	84.1	80.6	71.5	68.7	76.5	76.0	74.7	74.8	63.3	744

Above diagonal: Average Nucleotide Identity (%) — 95 / 99
Diagonal: Genome Size (kb)
Below diagonal: Genomic Segments Mapped (%) — 70 / 80

(B)

	AYWB	NJAY	WBD	OY-M	OY-V	DY2014	MBSP-M3	De Villa	LD1	CYP	TW1
AYWB	570	100.0	95.5	94.3	94.3	94.3	94.2	94.2	94.2	94.4	94.9
NJAY	92.6	551	95.6	94.4	94.3	94.3	94.2	94.3	94.3	94.4	94.9
WBD	77.9	77.8	524	94.9	94.8	94.8	94.7	94.8	94.7	94.9	95.3
OY-M	70.2	70.0	74.2	660	99.5	99.5	99.0	99.1	99.1	99.6	99.2
OY-V	68.2	67.1	70.4	84.9	718	100.0	98.9	98.9	98.9	99.2	98.8
DY2014	70.3	70.1	72.9	86.1	87.6	688	98.9	98.9	98.9	99.2	98.9
MBSP-M3	74.6	75.6	78.6	74.2	76.4	505		99.3	99.1	99.1	98.8
De Villa	71.6	72.1	77.5	78.9	72.9	76.2	88.8	506	99.4	99.2	98.9
LD1	71.1	71.4	76.8	80.1	75.5	77.6	88.6	90.7	533	99.2	98.8
CYP	71.4	70.2	75.6	81.1	76.0	76.3	78.2	79.1	79.5	635	99.6
TW1	86.6	83.9	76.8	71.4	69.6	70.9	73.6	71.2	71.4	73.0	598

Above diagonal: Genic Sequence Identity (%) — 98 / 99.9
Diagonal: Number of Homologous Gene Clusters
Below diagonal: Homologs Shared (%) — 70 / 80

	SAP05	SAP11	SAP54/PHYL1	TENGU
AYWB (33)	AYWB_RS00160	AYWB_RS01770	AYWB_RS01055	AYWB_RS00785
NJAY (22)	BBA70_00185	BBA70_01750	BBA70_01070*	BBA70_00770
WBD (16)	N747_RS0100715	N747_RS0100020	N747_RS03525*	N747_RS0101805
OY-M (37)	PAM_RS03110	PAM_RS03115	PAM_RS00330	PAM_RS02860
OY-V (34)	OYV_RS02545	OYV_RS02510* OYV_RS02550	OYV_RS03895	OYV_RS02965*
DY2014 (44)	PLY_1120	PLY_1110 PLY_3690	PLY_0730	PLY_4530
MBSP-M3 (13)		MBSPM3_RS00550		MBSPM3_RS01195
De Villa (10)				EXT02_RS01135
LD1 (14)				BHE82_RS02390
CYP (20)	OL66_RS02400		OL66_RS03105	OL66_RS00470
TW1 (17)	DF280_02690	DF280_00985*	DF280_01620	DF280_01810

Table 1. List of the genome sequences analyzed.

Strain	Accession	Assembly	Genome Size (bp)	CDS-intact	CDS-pseudo	Location	Host	Reference
AYWB	GCF_000012225	Complete	723,970	557	116	USA	Lettuce	Bai et al., 2006
NJAY	GCA_002554195	75 contigs	652,092	371	262	USA	Lettuce	Sparks et al., 2018
WBD	GCF_000495255	6 contigs	611,462	471	76	China	Wheat	Chen et al., 2014
OY-M	GCF_000009845	Complete	853,092	728	144	Japan	Onion	Oshima et al., 2004
OY-V	GCF_000744065	170 contigs	739,592	651	217	Japan	Onion	Kakizawa et al., 2014
DY2014	GCA_005093185	8 contigs	824,596	775	63	Taiwan	Periwinkle	Cho et al., 2019b
MBSP-M3	GCF_001712875	Complete	576,118	498	34	Brazil	Maize	Orlovskis et al., 2017
De Villa	GCF_004214875	Complete	603,949	512	21	South Africa	Grapevine	Coetzee et al., 2019
LD1	GCF_001866375	8 contigs	599,264	536	29	China	Rice	Zhu et al., 2017
CYP	GCF_000803325	252 contigs	659,699	546	154	Italy	Daisy	Pacifico et al., 2015
TW1	GCA_003181115	5 contigs	743,598	457	248	Canada	Canola	Town et al., 2018

Table 2. List of molecular markers for phytoplasma classification.

Marker	Primers (5'-3')	Amplicon Size (bp)	Variable Sites	Informative Sites	References
16S rRNA	[R16F2n] GAAACGACTGCTAAGACTGG [R16R2] TGACGGGCGGTGTGTACAAACCCCG	1,246	15	6	Gundersen and Lee, 1996
Ribosomal proteins (*rpl23*, *rpl2*, and *rps19*)	[rpF1] GGACATAAGTTAGGTGAATTT [rpR1] ACGATATTTAGTTCTTTTTGG	1,240	70	25	Lim and Sears 1991
Ribosomal proteins (*rpl22* and *rps3*)	[rpF1C] ATGGTDGGDCAYAARTTAGG [rp(I)R1A] GTTCTTTTTGGCATTAACAT	1,236	70	25	Martini et al., 2007
Preprotein translocase SecY (*secY*)	[AYsecYF1] CAGCCATTTTAGCAGTTGGTGG [AYsecYR1] CAGAAGCTTGAGTGCCTTTACC	1,358	105	62	Lee et al., 2006
Chaperonin 60 (*groEL*/*cpn60*)	[H279p] GATIIIGCAGGIGATGGAACMACIAC [H280p] TGRTTITCICCAAAACCAGGIGCATT	605	21	12	Dumonceaux et al., 2014
Elongation factor Tu (*tuf*)	[fTufu] CCTGAAGAAAGAGAACGTGG [rTufu] CGGAAATAGAATTGAGGACG	842	39	23	Schneider and Gibb, 1997
DegV family protein (*degV*)	[F] GTAGTTGATTCTACTTGCGG [R] ACTACAGGAGAAATAGCACC	785	57	36	This study
TIGR00282 family metallophoesterase	[F] AGATATTTACGGAAACCCAG [R] AGGTTTAAGAGTGACAAGTAAA	708	47	30	This study
Preprotein translocase SecY (*secY*)	[F] AATTGTTGTTTCGATGAGCC [R] TTGGCAGTAGCTTTGATGCG	711	64	36	This study
RluA family pseudouridine synthase (*rluA*)	[F] AAAGAGTTTCTTATTTCTGCCAG [R] GACCTAAAGGAGTAATATGGTG	778	80	54	This study