

1 **Impact of prior and projected climate change on US Lyme disease incidence**
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52 Abstract

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54 **Background:** Lyme disease is the most common vector-borne disease in temperate zones and
55 a growing public health threat in the US. Tick life cycles and disease transmission are highly
56 sensitive to climatic conditions but determining the impact of climate change on Lyme disease
57 burden has been challenging due to the complex ecology of the disease and the presence of
58 multiple, interacting drivers of transmission.

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60 **Objectives:** We estimated the impact of prior temperature and precipitation conditions on US
61 Lyme disease incidence and predicted the effect of future climate change on disease.

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63 **Methods:** We incorporated 17 years of annual, county-level Lyme disease case data in a panel
64 data statistical modeling approach to investigate prior effects of climate change on disease
65 while controlling for other putative drivers. We then used these climate-disease relationships to
66 forecast Lyme disease cases using CMIP5 global climate models and two potential climate
67 scenarios (RCP 4.5 and RCP 8.5).

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69 **Results:** We find that climate is a key driver of Lyme disease incidence across the US, but the
70 relevant climate variables and their effect sizes vary strongly between regions, with larger
71 effects apparent in the Northeast and Midwest where Lyme disease incidence has recently
72 increased most substantially. In both of these regions, key climate predictors included winter
73 temperatures, spring precipitation, dry summer weather, and temperature variability. Further, we
74 predict that total US Lyme disease incidence will increase significantly by 2100 under a
75 moderate emissions scenario, with nearly all of the additional cases occurring in the Northeast
76 and Midwest.

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78 **Conclusions:** Our results demonstrate a regionally-variable and nuanced relationship between
79 climate change and Lyme disease and highlight the need for improved preparedness and public
80 health interventions in endemic regions to minimize the impact of further climate change-
81 induced increases in Lyme disease burden.

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84 Introduction

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86 Arthropod-transmitted pathogens and the diseases they cause pose a severe and
87 growing threat to global public health (World Health Organization 2014). Because vector life
88 cycles and disease transmission are highly sensitive to abiotic conditions (Mattingly 1969;
89 Sonenshine and Roe 2013), climate change is expected to alter the magnitude and geographic
90 distribution of vector-borne diseases (Kilpatrick and Randolph 2012; World Health Organization
91 2014). Climatic changes, in particular warming temperatures, have already facilitated expansion
92 of several vector species (e.g., Purse et al. 2005; González et al. 2010; Roiz et al. 2011; Clow et
93 al. 2017a), and have been associated with increased vector-borne disease incidence (e.g.,
94 Loevinsohn 1994; Subak 2003; Hii et al. 2009). Identifying areas of high risk for current and
95 future vector-borne disease transmission under climate change is critical for mitigating disease
96 burden. However, the presence of interacting drivers of disease transmission such as land use
97 change and globalization, and the complex ecology of vector-borne disease make this effort
98 challenging (Lafferty and Mordecai 2016; Mills et al. 2010; Ostfeld and Brunner 2015; Rogers
99 and Randolph 2006; Tabachnick 2010).

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This challenge is particularly apparent in the case of Lyme disease, the most common
vector-borne disease in temperate zones (Kurtenbach et al. 2006; Rizzoli et al. 2011;
Rosenberg et al. 2018), because transmission depends on a complex sequence of biotic

103 interactions between vector and numerous host species that may respond differently to
104 environmental change (Ostfeld 1997). In the US, Lyme disease is caused by the bacteria
105 *Borrelia burgdorferi*, and is vectored by two tick species: *Ixodes scapularis* in the eastern and
106 midwestern US and *Ixodes pacificus* in the western US. After hatching from eggs, both tick
107 species have three developmental stages—larvae, nymph, and adult—during which they take a
108 single blood meal from a wide range of vertebrate hosts before transitioning to the next
109 developmental stage or reproducing (Sonenshine and Roe 2013). This life cycle takes 2-3 years
110 to complete, 95% of which is spent at or below the ground surface in diapause, seeking a host,
111 digesting a blood meal, or molting (Ostfeld and Brunner 2015; Sonenshine and Roe 2013).

112 Given their long life spans, inability to regulate their body temperature, and high degree
113 of interaction with the physical environment, ticks are highly sensitive to changes in climatic and
114 weather conditions (Sonenshine and Roe 2013). Prior research has demonstrated that
115 temperature and moisture conditions at the ground surface strongly influence tick mortality,
116 development, and host-seeking abilities (Ostfeld and Brunner 2015). In particular, high
117 temperatures and low humidity decrease *I. scapularis* and *I. pacificus* survival (Bertrand and
118 Wilson 1996; Nieto et al. 2010; Stafford 1994) and host-seeking activity (Lane et al. 1995;
119 MacDonald et al. 2019b; Schulze et al. 2001; Vail and Smith 1998), while cold temperature
120 extremes cause significant mortality (Lindsay et al. 1995; Vandyk et al. 1996). Accordingly,
121 temperature and precipitation are important predictors of these tick species' latitudinal and
122 altitudinal range limits (Berger et al. 2014a; Brownstein et al. 2003; Estrada-Peña 2002;
123 Leighton et al. 2012; McEnroe 1977; Ogden et al. 2005), and changes in climatic conditions
124 have been associated with northward range shifts of *I. scapularis* (Clow et al. 2017b, 2017a;
125 Ogden et al. 2014a).

126 While the movement of vector species to higher latitudes suggests an associated
127 impending increase in Lyme disease with further climate warming, the direct impacts of climate
128 on Lyme disease cases are difficult to measure given the influence of many non-climate related
129 factors (Kilpatrick et al. 2017). As a result, the few studies that have attempted to determine the
130 impact of climate conditions on Lyme disease incidence have yielded conflicting results. For
131 example, studies have found positive associations between incidence and each of the following:
132 average spring precipitation (McCabe and Bunnell 2004), June moisture index in the region two
133 years prior (Subak 2003), fewer dry summer days (Burtis et al. 2016), warmer winter
134 temperatures in the prior year (Subak 2003), and increasing average annual temperature
135 (Dumic and Severnini 2018; Robinson et al. 2015). However, others failed to detect an effect of
136 temperature on incidence (McCabe and Bunnell 2004; Schaubert et al. 2005), found the timing
137 of climatic changes to be inconsistent with the timing of variation in Lyme disease cases
138 (Randolph 2010), were limited in geographic scope (Burtis et al. 2016; Dumic and Severnini
139 2018; McCabe and Bunnell 2004; Robinson et al. 2015; Subak 2003) and/or used modeling
140 techniques that did not account for confounding variables that might influence disease incidence
141 (Subak 2003; McCabe and Bunnell 2004). Further, while the rise in Lyme disease cases in the
142 US has occurred concurrently with climatic changes promoting tick suitability, demonstrating
143 causal relationships is challenging (Ostfeld and Brunner 2015). This has led others to argue that
144 climate change is merely the backdrop for rising tick-borne disease incidence (Randolph 2010),
145 while other factors such as increasing physician awareness are the true drivers of increased
146 disease burden (Morshed et al. 2006; Scott and Scott 2018). Nonetheless, a recent CDC study
147 on vector-borne disease burden in the US showed a dramatic rise in Lyme disease (Rosenberg
148 2018), and much of the extensive media coverage of this report asserted the role of climate
149 change. Despite this media attention, as well as strong known relationships between climate
150 conditions and key features of vector ecology, the evidence for climate change as a driver of
151 increasing Lyme disease incidence in the US remains equivocal.

152 In this study, we investigate the role of past climatic conditions on Lyme disease
153 incidence across the US using a 17-year, county-level Lyme disease case reporting dataset and

154 explicitly controlling for other drivers of disease burden. Specifically, we ask: How has
155 interannual variation in climate conditions contributed to changes in Lyme disease incidence?
156 We include climate variables capturing changes in temperature and precipitation conditions and
157 investigate how relationships between climate and Lyme disease outcomes vary across
158 different regions of the US. To avoid drawing spurious conclusions about the effects of climate,
159 we analyze the effects of other known and potential drivers of disease incidence such as
160 changing forest cover, public awareness of tick-borne disease, and health-seeking behavior,
161 and use a statistical approach that explicitly accounts for unobserved heterogeneity in disease
162 incidence between counties and years. We then use these modeled, regionally-specific
163 relationships between climate and Lyme disease burden to ask: How is US Lyme disease
164 incidence expected to change under future climate scenarios? We report the predicted change
165 in Lyme disease incidence for individual US regions in 2040 – 2050 and 2090 – 2100 relative to
166 hindcasted 2010 – 2020 levels under two potential climate scenarios: RCP 8.5, which reflects
167 the upper range of the literature on emissions, and RCP 4.5, which reflects a moderate
168 mitigation scenario (Hayhoe et al. 2017).
169

170 **Methods**

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172 **Lyme disease case data**

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174 We obtained annual, county-level reports of Lyme disease cases spanning 2000 to 2017
175 from the US Centers for Disease Control and Prevention (CDC) (Supplementary Methods).
176 These disease case data provide the most spatially-resolved, publicly available surveillance
177 data in the US. Raw case counts were converted to incidence—the number of cases per
178 100,000 people—for each year using annual county population sizes from the US Census
179 Bureau (USCB).
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181 **Climate data**

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183 We calculated the following variables to capture climate conditions relevant for tick-
184 borne disease transmission: average winter temperature lagged one year; average spring
185 precipitation; the number of hot, dry days in May – July; cumulative average temperature;
186 cumulative daily precipitation; temperature variance; and precipitation variance (Table 1).
187 Details about how these variables were calculated and their biological relevance are listed in
188 Table 1. For past climate conditions, we obtained daily, county-level average temperature and
189 total precipitation data from the National Oceanic and Atmospheric Administration (NOAA)
190 weather stations accessed via the CDC's Wide-ranging Online Data for Epidemiological
191 Research (WONDER) database.

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193 To estimate future climate variables, we used CMIP5 modeled temperature and
194 precipitation data available from NASA Goddard Institute for Space Studies global climate
195 models (Schmidt et al. 2014). Specifically, we obtained estimates of daily near-surface air
196 temperature and precipitation through 2100 under the upper climate change scenario (RCP 8.5)
197 and a moderate climate change scenario (RCP 4.5) (Taylor et al. 2012; van Vuuren et al. 2011).
198 These climate scenarios are relatively similar in the radiative forcing levels assumed through
199 2050 but diverge substantially in the latter half of the century. Climate estimates from these two
200 scenarios are provided at a 2° x 2.5° resolution; values were then ascribed to counties based on
201 county latitude and longitude (Supplementary Methods). Mean values for hindcasted and
202 forecasted climate variables for each region are listed in Supplementary Table 1.

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203 **Awareness data**

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We controlled for variation in public awareness of ticks and Lyme disease using data from Google trends on the frequency of “ticks” as a search term. We obtained data on “ticks” search frequency, normalized for a given location and year, for 2004 (the first year the data were available) to 2017. We also initially used “tick bite”, and “Lyme disease” as search terms, but found that these generated nearly identical coefficient estimates, thus we proceeded to use only the “ticks” search term as a predictor. Search frequency data were aggregated at the designated market area (DMA), the smallest spatial scale available. Search frequency values for a given DMA, which contained an average of 14 counties, were thus applied equally to all counties therein. We also calculated a 1-year lagged version of the tick search variable, as awareness of tick-borne disease is likely endogenous to disease reporting, and using predetermined values reduces endogeneity concerns (Bascle 2008).

Health-seeking behavior data

We explicitly controlled for variation in health-seeking behavior, previously posited as a driver of Lyme disease reporting (Armstrong et al. 2001; Wilking and Stark 2014) by including the following three variables: diabetes incidence, health insurance coverage, and poverty. Diabetes was selected as a healthcare-seeking proxy as the behavioral drivers of healthcare seeking that drive diabetes reporting are likely to be similar to those of Lyme disease. Namely, the early symptoms of diabetes are often vague (Harris and Eastman 2000) and an individual's ability and decision to seek healthcare plays a large role in whether their case is recorded, as reflected in the substantial underreporting of this disease (Anwar et al. 2011; Doshi et al. 2010; Harris and Eastman 2000). We obtained annual, county-level data for 2004 to 2015 on the percentage of adults aged 20+ years diagnosed with type 1 or type 2 diabetes from the CDC's US Diabetes Surveillance System. To capture variation in healthcare access, we included the annual percentage of county residents with any form of health insurance coverage using data for 2005 to 2017 provided by the USCB's Small Area Health Insurance Estimates (SAHIE) program. Lastly, we used data from the USCB to include the percentage of county residents living in poverty as a predictor, as poverty has been significantly negatively associated with healthcare-seeking behavior (Bourne 2009; Kirby and Kaneda 2005).

Land cover data

We included two land cover variables putatively associated with higher tick-borne disease risk: the percent forest in a given county and year, and the percent mixed development (Brownstein et al. 2005b; Dister and Fish 1997; Frank et al. 1998; Glass et al. 1995; Killilea et al. 2008; MacDonald et al. 2019a). We calculated these variables using 30-m resolution land cover data from the US Geological Survey (USGS) National Land Cover Database (NLCD) (Yang et al. 2018). Percent forest included any deciduous, evergreen, or mixed forest. Mixed development was defined as areas with a mixture of constructed materials and vegetation, including lawn grasses, parks, golf courses, and vegetation planted in developed settings. We calculated county-level values of these land cover variables for 2001, 2004, 2006, 2008, 2011, 2013, and 2016 as these are the only years the NLCD dataset is currently available.

To estimate future land cover variables, we used USGS land cover projections available through 2100 (Sohl et al. 2014). We used modeled land cover data from two land-use change scenarios corresponding to the Intergovernmental Panel on Climate Change (IPCC) Special Report on Emission Scenarios (SRES). We used scenario B1, which reflects lower urban development, to align with the moderate climate change scenario (RCP 4.5), and scenario A1B, which reflects higher urban development and forest clearing, to align with the upper climate change scenario (RCP 8.5) (Nakicenovic et al. 2000; Rogelj et al. 2012; Sohl et al. 2014). Using

255 these data, we again calculated annual, county-level values of percent forest cover and mixed
256 development. However, as the ‘mixed development’ land cover class was not included in the
257 projected data, we instead used the ‘mechanically disturbed’ public or private land cover class
258 (Supplementary Methods).

259 260 **Regional divisions**

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262 Given the large variation in climatic conditions across the US, as well as variation in
263 ecological dynamics of tick-borne disease such as tick species identity, tick densities, tick
264 questing behavior, and host community composition (Eisen et al. 2016; Kilpatrick et al. 2017;
265 Ostfeld 1997; Salkeld and Lane 2010), we examined regional differences in climate-disease
266 relationships. We used the US Fish & Wildlife Service regional boundaries to divide the US into
267 the following seven regions for analysis: Northeast, Midwest, Mountain Prairie, Pacific, Pacific
268 Southwest, South, and Southeast (Figure 1). These regional divisions were selected as
269 they roughly correspond to genetic structuring of *I. scapularis* and *I. pacificus* (Humphrey et al.
270 2010; Kain et al. 1997, 1999) and are likely distinct in environmental conditions and resources
271 (Ricketts et al. 1999; Smith et al. 2018). Further, each region contains only one vector species:
272 *I. scapularis* in the Northeast, Midwest, Southeast, and Southwest, and *I. pacificus* in the Pacific
273 and Pacific Southwest (Dennis et al. 1998). As neither species has an established presence in
274 the Mountain Prairie, this region was removed from the analysis. Regional descriptions,
275 including the population size (as of 2017), the number of counties, and the average climate
276 conditions, are provided in Supplementary Table 2.

277 278 **Statistical approach**

279
280 We used a least squares dummy variable (termed “fixed-effects” in econometrics)
281 regression approach to estimate changes in Lyme disease incidence using repeated
282 observations of the same groups (counties) from 2000 – 2017 (Larsen et al. 2019). We included
283 ‘county’ and ‘year’ as dummy variables to control for any unobserved heterogeneity that may
284 influence reported Lyme disease burden in a particular county across all years (e.g., number of
285 health care providers), or influence Lyme disease in all counties in a given year (e.g., changes
286 in disease case definition). All counties (n = 2,232) for which there was complete data on Lyme
287 disease cases, climate, and other predictors were included.

288 To account for regional variation in the predictors of tick-borne disease incidence
289 (Raghavan et al. 2014; Wimberly et al. 2008), we ran separate models for each US region (see
290 Methods: Regional divisions). We used stepwise variable selection, in which variables were
291 added if they reduced model Akaike information criterion (AIC) by 2 or more, to identify the
292 climate, land cover, and non-ecological predictors that best explained Lyme disease incidence
293 in each region (Yamashita et al. 2007; Zhang 2016). We assessed the multicollinearity of these
294 models by calculating the variance inflation factor (VIF). No predictors had VIF values greater
295 than 10 after the stepwise variable selection procedure, thus we did not remove any variables
296 from the final models due to high collinearity (Hair et al. 2014).

297 We accounted for spatial autocorrelation of observations by using cluster-robust
298 standard errors. This nonparametric approach accounts for arbitrary forms of autocorrelation
299 within a defined “cluster” to avoid misleadingly small standard errors and test statistics
300 (Cameron and Miller 2015). We specified clusters as US Agricultural Statistics Districts (ASDs)
301 as these districts contain contiguous counties grouped by similarities in soil type, terrain, and
302 climate. When reporting on the significance of a predictor, we use standard errors and p-values
303 calculated using this correction.

304 305 **Lyme disease forecasting**

306
307 We forecasted Lyme disease incidence using the climate and land cover variables
308 included in the best model for each region as well as a county dummy variable. Non-ecological
309 predictors were not included as projections for these variables are unavailable. Using these
310 models, we obtained regional estimates for Lyme disease incidence under the upper and
311 moderate climate change scenarios (RCP 8.5 and RCP 4.5) for 2040 – 2050 and 2090 – 2100.
312 We calculated county-level changes in Lyme disease incidence by subtracting modeled
313 incidence for 2010 – 2020 from forecasted incidence generated using the same modeled
314 climate and land cover data sources. We converted predicted Lyme disease incidence to cases
315 by assuming county population sizes remained the same as those in 2017. As the USCB
316 projects a 75% increase in US population size by 2100 (under the most likely scenario regarding
317 fertility, mortality, immigration, and emigration rates) (U.S. Census Bureau 2000), our estimates
318 on the number of additional Lyme disease cases are conservative. To generate rough
319 predictions of Lyme disease case counts under population growth, we provide estimates that
320 assume a 75% increase in population size relative to 2017 within each county. We report point
321 estimates and 95% prediction intervals when discussing predicted changes in Lyme disease
322 case counts.

323 324 **Model validation**

325
326 We assessed predictive model accuracy by comparing hindcasted Lyme disease
327 incidence under both emissions scenarios to observed values for 2008 – 2017 (Clark et al.
328 2001; Judge et al. 1985). We also compared model accuracy under varying model
329 specifications. In the first specification, each regional model contained the predictors (climate,
330 land cover, and non-ecological) determined through variable selection (see Methods: Statistical
331 approach) as well as county and year dummy variables. In the second specification, each
332 regional model contained all available predictors (7 climate predictors, 2 land cover predictors,
333 and 4 non-ecological predictors) and the county and year dummy variables. Under the third
334 specification, regional models contained all available predictors but no dummy variables. Under
335 each of these specifications, we created models of Lyme disease incidence on a training
336 dataset containing a randomly selected 75% subset of counties and years and used the
337 withheld 25% of observations for validation (Caldwell et al. 2016; Hijmans 2012). To evaluate
338 the performance of each model specification, we calculated the root-mean-square error and
339 correlation coefficient between predicted and actual Lyme disease incidence for 2006 – 2013
340 (the years with complete data for all predictors) for each regional model.

341 To capture any non-linear relationships between climate predictors and Lyme disease
342 incidence, we also generated models using quadratic versions of the climate predictors where
343 applicable. Specifically, we used the stepwise variable selection approach starting with
344 quadratic and linear versions of each climate variable to again determine the best model for
345 each region. We then used these models to forecast Lyme disease incidence in 2090 - 2100
346 under both the upper and moderate climate change scenarios.

347 348 349 **Results:**

350 351 **Climate and Lyme disease incidence**

352
353 At least one climate variable was included in the best model of Lyme disease incidence
354 for all US regions with vector species present (Table 2). However, the specific climate variables
355 included in the model varied between regions. Variables capturing precipitation conditions, such
356 as cumulative precipitation or average spring precipitation, were included in models of Lyme

357 disease incidence in the Southwest, Southeast, and Pacific regions. Conversely, only average
358 winter temperature was predictive of Lyme disease incidence in the Pacific Southwest. In the
359 Northeast and Midwest, multiple temperature and precipitation variables such as the number of
360 hot dry days, average spring precipitation, average winter temperature, and temperature
361 variance were included. Further, cumulative temperature was included in the Northeast model
362 while cumulative precipitation was included in the Midwest. Where included, average winter
363 temperature and cumulative temperature were positive predictors of Lyme disease incidence,
364 while average spring precipitation and precipitation variance were negative predictors. The
365 effects of cumulative precipitation, temperature variance, and the number of hot, dry days varied
366 between regions.

367 368 **Non-climate predictors and Lyme disease incidence**

369
370 For all regions, the best model of Lyme disease incidence included tick awareness,
371 diabetes incidence, and a land cover variable (Table 2). Specifically, the 1-year lagged tick
372 search frequency was included rather than the contemporary equivalent as it led to greater
373 reductions in model AIC. This tick awareness variable was a positive predictor in all regions.
374 County-level diabetes incidence was a negative predictor in the Northeast, Midwest, and
375 Southeast, and a positive predictor in the Pacific, Pacific Southwest, and Southwest. The
376 percent land cover classified as mixed development was included in the best model for the
377 Northeast (negative predictor), and for the Pacific Southwest and Southwest (positive predictor),
378 while the percent forest cover was included in the Midwest and Pacific (negative predictor), and
379 in the Southeast (positive predictor). The other available non-climate predictors—county-level
380 poverty and health insurance coverage—did not meet the criteria for inclusion in any regional
381 models (see Methods: Statistical approach).

382 The above predictors were included in each regional model of incidence along with
383 county and year dummy variables. A large portion of the variance in incidence for each region
384 was explained by the county dummy variable (Table 2), indicating that unobserved county-level
385 heterogeneity is a large driver of variable Lyme disease incidence.

386 387 **Model Validation**

388
389 Hindcasted Lyme disease incidence matched the observed values with reasonable
390 accuracy overall, with greater correlation between estimated and observed values in higher
391 incidence regions (Northeast and Midwest) than in lower incidence regions (Pacific, Pacific
392 Southwest, Southwest, and Southeast) (Table 3 and Supplementary Figure 1). For all regions,
393 total estimated Lyme disease incidence was within 8.9% of the observed total incidence.
394 Further, the correlation between estimated Lyme disease incidence for a particular county and
395 year and the observed values were 0.86 and 0.90 for the Northeast and Midwest, respectively.
396 In the lower incidence regions, the correlation coefficients were 0.51, 0.34, 0.34, and 0.49 for
397 the Pacific, Pacific Southwest, Southwest, and Southeast, respectively. While the point
398 estimates for hindcasted Lyme disease incidence tended to closely match the observed values,
399 the prediction intervals around these estimates were large, particularly for the lower incidence
400 regions.

401 Predictive accuracy also varied across the three model specifications evaluated here. As
402 expected, the model specification without county and year dummy variables had higher root-
403 mean-square error or lower correlation coefficients for nearly all regions, indicating lower
404 predictive accuracy (Supplementary Table 3). However, the two model specifications with
405 county and year dummy variables—the main model specification in which predictors were
406 determined through variable selection, and the alternative model specification containing all
407 possible predictors—were very similar in their predictive accuracy. The simpler, variable

408 selection-based model specification was thus selected for the remaining analysis to minimize
409 overfitting and decrease transferability concerns (Allen and Fildes 2001; Wenger et al. 2011;
410 Wenger and Olden 2012), but forecasting results from both model specifications are shown in
411 Supplementary Table 4. Forecasting results from the alternative model specification with all
412 ecological predictors suggest smaller changes and higher uncertainty in Lyme disease
413 incidence for each region, compared to the main model specification.

414 Several regional models were improved through replacing linear climate predictors with
415 quadratic climate predictors. Specifically, after repeating the variable selection approach
416 including quadratic and linear climate terms, the Northeast incidence model now included
417 quadratic terms for average spring precipitation and cumulative temperature; the Southwest
418 models included quadratic terms for cumulative precipitation, average spring precipitation and
419 precipitation variance; and the Midwest models included quadratic terms for hot dry days,
420 average winter temperature, average spring precipitation, cumulative precipitation, and
421 temperature variance. The Pacific, Pacific Southwest, and Southeast incidence models were not
422 improved through the inclusion of quadratic climate predictors. Forecasting results from models
423 including these non-linear climate variables are similar to those with linear predictors under the
424 moderate climate change scenario, although with smaller predicted changes in incidence
425 (Supplementary Table 5). Forecasting results differ more substantially under the upper climate
426 change scenario, with non-significant decreases predicted for the Northeast and Southeast
427 when quadratic climate predictors are included, but significant increases predicted for these
428 regions under the original model. As the climate predictors used in this study were drawn from
429 the prior literature on climate and Lyme disease cases (see Table 1), in which linear versions of
430 climate predictors were used, we use output from the linear models when presenting forecasting
431 results (but see Supplementary Table 5).

432

433

434 **Forecasted Lyme disease incidence**

435

436 Under the upper climate change scenario (RCP 8.5), the total number of Lyme disease
437 cases in the US is predicted to increase by 17,672 [-13322, 48666] by 2040 – 2050 and 27,630
438 [-6468, 61727] by 2090 – 2100 (Figure 2, Table 4). These case changes are relative to
439 hindcasted 2010 – 2020 case counts and are based on 2017 population sizes. For the moderate
440 climate change scenario (RCP 4.5), the predicted increases in cases for 2040 – 2050 and 2090
441 – 2100 were 15,395 [-15493, 46284] and 34,183 [1124, 67243], respectively. These results
442 indicate that substantial future increases in US Lyme disease burden are likely, although the
443 prediction intervals around these estimates are large, and overlap zero except under the
444 moderate climate change scenario for 2090 – 2100. Further, the expected change in incidence
445 varies strongly by region (Figures 2-3). Significant increases in cases are predicted in the
446 Northeast by 2090 – 2100 under both climate change scenarios (29,813 [8311, 51315] under
447 RCP 8.5 and 25,565 [4697, 46434] under RCP 4.5) and for the Southeast under the upper
448 climate change scenario only (1,248 [252, 2244]). Modest, non-significant increases or
449 decreases are predicted for the Pacific, Pacific Southwest and Southwest under both scenarios.
450 For the Midwest, an increase in cases is predicted under the moderate climate change scenario
451 (8,872 [-66, 17810]) while a decrease is predicted under the upper scenario (-3,432 [-12688,
452 5823]). While both of these predictions were not statistically distinguishable from zero, these
453 results suggest there may be nonlinear effects of climate change in this region.

454 These predicted changes in Lyme disease case counts are likely conservative as
455 estimates are based on 2017 population sizes. By assuming equal population growth across the
456 US, at levels predicted by the USCB, we find the total number of Lyme disease cases in the US
457 may increase by 48,545 [-11365, 108455] by 2100 under the upper climate change scenario
458 and 60,020 [1974, 118146] under the moderate scenario (Supplementary Table 6). However, as

459 the degree of population growth is highly uncertain, and population growth will vary in
460 magnitude and direction by county, this analysis was largely exploratory. Further, as with the
461 predictions assuming no population growth, the large prediction intervals around the point
462 estimates here indicate the future effects of climate change on Lyme disease incidence are
463 highly uncertain.

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466 Discussion

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468 Vector-borne diseases are inherently sensitive to climatic conditions, making accurately
469 estimating effects of climate change on disease burden a public health priority. We found that
470 climate was a key predictor of Lyme disease incidence in all US regions with established vector
471 species (Northeast, Midwest, Pacific, Pacific Southwest, Southwest, and Southeast) in the past
472 17 years. However, the specific climate variable(s) predictive of Lyme disease incidence varied
473 between regions. In general, the climate variables predictive of disease incidence for a given
474 region tended to reflect climate conditions within the region and known relationships between
475 tick life cycles and climate (reviewed in Eisen et al. 2016). For instance, in the Southeast and
476 Southwest regions, which have the warmest and driest conditions during the tick questing
477 period (Supplementary Tables 1-2), climate variables capturing precipitation conditions (e.g.,
478 cumulative precipitation) were key predictors of Lyme disease incidence. In the colder and more
479 thermally variable Northeast and Midwest regions, climate variables capturing limiting
480 temperatures (e.g., average winter temperatures and temperature variance) were predictive of
481 Lyme disease.

482 These regionally-specific climate and Lyme disease relationships are consistent with a
483 large body of literature on the physiology and ecology of the US vectors of Lyme disease, *I.*
484 *scapularis* and *I. pacificus*. In particular, many prior studies have demonstrated substantial
485 decreases in tick survival and questing activity under low moisture conditions (Berger et al.
486 2014b, 2014a; Jones and Kitron 2000; Knülle and Rudolph 1982; Needham and Teel 1991;
487 Rodgers et al. 2007; Stafford 1994). Thus, variation in precipitation may have a greater impact
488 on Lyme disease incidence in drier regions, as observed in this study, through changes in tick
489 abundance and tick-human contact rates. Also consistent with the results of this study,
490 extensive prior research indicates that cold winter and annual temperatures are associated with
491 longer development periods and/or higher tick mortality (Brownstein et al. 2003; Estrada-Peña
492 2002; Leighton et al. 2012; McEnroe 1977; Ogden et al. 2004), reduced host-seeking abilities of
493 the adult life stage (Carroll and Kramer 2003; Clark 1995; Duffy and Campbell 1994), and
494 reduced abundance of the white-footed mouse, a key reservoir host species (Wolff 1996).
495 Similarly, studies have found that warming temperatures at high latitudes contribute to quicker
496 tick development rates, increased survival, and range expansion (Brownstein et al. 2003; Clow
497 et al. 2017a; Leighton et al. 2012; Lindsay et al. 1995; Ogden et al. 2004; Rand et al. 2004).
498 These studies suggest that milder winters would be associated with increasing Lyme disease
499 incidence, with the largest effects observed in cooler regions, as detected in this study.

500 In addition to supporting prior literature on climate and tick ecology, the effects of climate
501 conditions on Lyme disease incidence were detected while controlling for non-climate predictors
502 of disease. In particular, we explicitly controlled for variation in human awareness of ticks, land
503 use, a proxy for health-seeking behavior, and other unobserved heterogeneity between US
504 counties and years in our modeling approach. Increasing tick awareness, as determined by the
505 frequency of tick-related Google searches, was generally positively associated with Lyme
506 disease incidence, while land cover and health-seeking behavior predictors had regionally-
507 variable relationships. By controlling for these effects, we provide strong evidence that the
508 positive effect of warming temperatures on Lyme disease in colder regions is not simply driven
509 by increasing human awareness of tick-borne disease, temporal trends, or other concurrent

510 changes as has been previously suggested (Morshed et al. 2006; Randolph 2010; Scott and
511 Scott 2018).

512 While our statistical models included both climate and non-climate predictors of Lyme
513 disease incidence, model accuracy varied widely between regions. Most notably, model
514 accuracy was substantially greater for endemic regions (Northeast and Midwest), compared to
515 low incidence regions (Pacific, Pacific Southwest, Southwest, and Southeast) (Ciesielski et al.
516 1988). The relatively poor predictive accuracy in non-endemic regions may be due to higher
517 misdiagnosis rates and/or higher travel-associated Lyme disease transmission (Eldin and
518 Parola 2018; Parola and Paddock 2018) decoupling the relationship between local conditions
519 and disease. However, evidence suggests that most Lyme disease transmission occurs in the
520 peri-domestic environment, in which the county of transmission and reporting are likely to be the
521 same (Connally et al. 2009; Falco and Fish 1988; Jackson et al. 2006; Maupin et al. 1991). The
522 lower predictive accuracy in these regions more likely reflects a lack of sufficient annual
523 variation in Lyme disease incidence needed to detect effects of climate in these regions, and/or
524 weaker effects of climate conditions on Lyme disease transmission relative to confounding
525 drivers not included in our model such as host movement and community composition. In
526 contrast, the largest effect of climate on disease transmission is expected at the edges of the
527 climate suitability for transmission (Githeko et al. 2000). As the Northeast and Midwest are near
528 the *I. scapularis* northern range limit, the higher model accuracy here likely indicates stronger
529 climate-Lyme disease relationships. Supporting this assertion, more climate variables were
530 included as predictors after variable selection in these regions than in low incidence regions.

531 Our Lyme disease forecasts, made using regionally-specific incidence models and
532 projected climate and land cover data, suggest that climate change may lead to substantial
533 increases in incidence in coming decades, but that the magnitude of these effects is highly
534 uncertain and depend on assumptions about the functional form of climate-disease
535 relationships. Across the US, an estimated additional 34,183 cases [95% PI: 1124, 67243] are
536 predicted by 2100 under a moderate climate change scenario (RCP 4.5), representing a 92%
537 increase in Lyme disease burden relative to 2010 – 2020 levels. These estimates are likely to
538 be conservative as they relied on 2017 county population sizes. Applying predicted US
539 population growth rates to all counties equally increases this estimate to 60,020 [95% PI:
540 1974,118146] additional cases by 2100 under the moderate scenario. The overwhelming
541 majority of this increase would be experienced in the Northeast and Midwest while minimal
542 changes are expected elsewhere. Under the upper climate change scenario (RCP 8.5), Lyme
543 disease incidence is predicted to increase in the Northeast and Southeast by 2100, while
544 changes are not statistically distinguishable from zero in other regions and for the US as a
545 whole. However, the large prediction intervals suggest high uncertainty in future Lyme disease
546 incidence, which could include either increases or decreases that could be regionally-specific.
547 Further, the forecasting results differ, particularly for the upper climate change scenario, when
548 generated assuming non-linear climate-disease relationships. These results indicate that
549 climate change will very likely impact future Lyme disease incidence, but that effects will vary
550 strongly between regions, and will depend on the degree of climate change.

551 Our prediction of climate change-induced increases in Lyme disease burden, particularly
552 at higher latitudes, is consistent with prior studies predicting or observing increasing *I.*
553 *scapularis* habitat suitability and range expansion under climate warming (McPherson et al.
554 2017; Ogden et al. 2008, 2014b). Similar range expansions have also been predicted and
555 observed for *Ixodes ricinus*, the European Lyme disease vector, under climate warming (Gray et
556 al. 2009; Jaenson and Lindgren 2011; Lindgren et al. 2000; Porretta et al. 2013). Further, our
557 finding that the predicted changes in incidence depend on the degree of future warming is also
558 consistent with prior work. *I. scapularis* range expansion and population growth, and the
559 proportion of Eastern Canadians at risk for Lyme disease, are predicted to be higher under
560 upper climate change scenarios than under mitigation scenarios (Leighton et al. 2012;

561 McPherson et al. 2017). These results suggest that vector range expansions and future Lyme
562 disease burdens depend in part on climate policy actions.

563 More generally, our results are consistent with expectations from vector thermal biology
564 that suggest that warming temperatures generally increase transmission near the cold edge of a
565 vector's range limit, but may decrease or have variable effects elsewhere (Lafferty and
566 Mordecai 2016; Martens et al. 1995; Mordecai et al. 2019; Ogden and Lindsay 2016). For tick-
567 borne disease, as for other vector-borne diseases, multiple temperature-sensitive traits combine
568 to influence transmission, including survival, development rates, and host-seeking (questing)
569 (Ogden et al. 2004; Ogden 2017; Randolph 2004; Randolph et al. 2002). Nonlinear effects of
570 temperature on these traits typically leads to vector-borne disease transmission peaking at
571 intermediate temperatures and declining to zero outside of lower and upper thermal limits
572 (Mordecai et al. 2019). This suggests that climate warming would most strongly increase
573 transmission near the lower thermal limits, such as in the Northeast and Midwest regions, as
574 was observed here. This further suggests the effects of climate warming would differ in
575 magnitude and direction depending on the extent of warming, as seen in the Midwest region
576 where increases in incidence were predicted under moderate warming (RCP 4.5) and
577 decreases in incidence were predicted with more severe warming (RCP 8.5). The theoretical
578 expectations of nonlinear thermal responses therefore help to explain some of the context-
579 dependent effects of temperature found empirically in this study

580 While our results match expectations from empirical and theoretical vector-borne
581 disease biology, our Lyme disease forecasts should be interpreted with caution. The large
582 prediction intervals around our point estimates indicate a wide range of potential disease
583 outcomes under climate change. While significant increases were predicted for some regions,
584 many other factors contribute to Lyme disease transmission including host movement and
585 community composition, and human avoidance behaviors (Berry et al. 2018; Brinkerhoff et al.
586 2011; Brownstein et al. 2005b; Larsen et al. 2014; MacDonald et al. 2019a; Ogden et al. 2008;
587 Ostfeld 1997). Accordingly, we found that unobserved county-level heterogeneity, which would
588 encompass these factors, was a predominant driver of incidence in each of our regional models.
589 Further, while we examined the effects of two potential climate scenarios, uncertainty in these
590 climate change projections was not incorporated into our predictive models and would add
591 additional uncertainty in our Lyme disease predictions. Lastly, as our forecasting models
592 extrapolate from climate and disease relationships observed in the previous 17 years, we
593 assume that these relationships can be extended to climate conditions not yet experienced.
594 That is, we assume the relationship between cumulative temperature, for example, and Lyme
595 disease incidence in a given region will remain the same even as cumulative temperatures
596 exceed prior values. This could generate inaccurate predictions for regions near current tick
597 upper thermal limits such as the Southeast and Southwest as further warming and drought here
598 may reduce tick survival and host-seeking abilities (Berger et al. 2014a; Randolph and Storey
599 1999; Schulze et al. 2001; Vail and Smith 1998). Generating more accurate predictions for
600 these regions would require experiments investigating effects of future temperatures on aspects
601 of tick-borne disease transmission.

602 Despite these limitations, our results are consistent with a growing body of evidence
603 linking increased Lyme disease risk with climate warming (Brownstein et al. 2005a; Burtis et al.
604 2016; Clow et al. 2017b; Dumic and Severnini 2018; Kilpatrick et al. 2017; Leighton et al. 2012;
605 Ogden et al. 2008, 2014b; Robinson et al. 2015; Subak 2003; Tuite et al. 2013). We
606 demonstrate that climate is a key driver of Lyme disease incidence across the US,
607 independently of other drivers of disease risk. We predict that future climate change could
608 substantially increase Lyme disease burden, but the predicted effects are highly uncertain and
609 regionally-specific. The largest changes in incidence are likely to be experienced in the
610 Northeast and Midwest, where current climate-disease relationships are strongest and Lyme
611 disease incidence has recently increased most substantially (Rosenberg 2018). Our predictions

612 provide an essential first step in determining broad patterns of Lyme disease risk under climate
613 change, but ongoing surveillance efforts and mechanistic studies linking changes in vector
614 ecology under climate change to human disease incidence should be conducted to refine these
615 risk assessments.

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993 **Tables**

994

995 **Table 1.** Climate variables tested for models of disease incidence by region, along with
996 descriptions and justification of their relevance to disease transmission.
997

Climate Variable	Description	Biological Relevance
Lagged winter temperature	Average monthly temperatures for Dec - Feb 1.5 years prior. Identified by Subak, 2003 as significantly positively correlated with Lyme disease incidence in highly endemic areas.	Colder winter temperatures are associated with reduced host-seeking abilities of the adult tick (Duffy and Campbell 1994; Clark 1995; Carroll and Kramer 2003) and reduced abundance of the white-footed mouse, a highly competent reservoir host (Wolff 1996).
Spring precipitation	Average precipitation in May and June. Identified by McCabe and Bunnell, 2004 as significantly positively correlated with Lyme disease incidence in highly endemic areas.	Greater precipitation during the late spring and early summer increases the moisture of the leaf litter, providing conditions which promote the survival and questing activity of the nymphal life stage (Knülle and Rudolph 1982; Berger et al. 2014).
Hot, dry days	The number of days with temperature > 25°C and precipitation = 0 during May – July (or May – June for counties with <i>Ixodes pacificus</i>). Identified by Burtis et al. 2016 as significantly negatively correlated with Lyme disease incidence in highly endemic areas.	Hot, dry conditions are associated with decreased questing activity and questing height of ticks (Randolph and Storey 1999; Schulze et al. 2001), reducing the likelihood of attachment to humans (Arsnoe et al. 2015). The May through July, and May through June, time periods capture the peak nymphal questing periods for <i>I. scapularis</i> and <i>I. pacificus</i> , respectively (Eisen et al. 2016).
Cumulative average temperature	The sum of average daily temperatures (°F) over the entire year	Cumulative temperature appears to control most developmental stages of <i>I. scapularis</i> (Lindsay et al. 1995; Rand et al. 2004). Lower cumulative temperature is associated with longer development periods and/or higher tick mortality (McEnroe 1977; Estrada-Peña 2002; Brownstein et al. 2003; Ogden et al. 2004; Leighton et al. 2012).
Cumulative daily precipitation	The sum of total daily precipitation (mm) over the entire year	Greater precipitation increases the moisture of the leaf litter, providing conditions which promote tick survival and questing activity (Knülle and Rudolph 1982; Jones and Kitron 2000; Berger et al. 2014a).
Temperature variance	The variance in average daily temperatures (°F) over the entire year	Frequent temperature variation can decrease tick survival, even beyond that of constant cold exposure, due to energetic costs associated with adapting to changing temperatures (Gigon 1985; Herrmann and Gern 2010); however, effects will vary based on the average temperature of the region.

Precipitation
variance

The variance in total daily
precipitation (mm) over the entire
year

Both drought and heavy rainfall are associated with decreased tick questing activity and survival (Randolph 1997; Jones and Kitron 2000; Perret et al. 2004). Variation in precipitation, as opposed to consistent rainfall supplying favorable high relative humidity conditions, may thus be detrimental for tick survival, but will depend on the average precipitation of the region and the magnitude of variation.

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1001 **Table 2.** Effect of climate and non-climate variables on Lyme disease incidence by region. Only
 1002 variables included in the best model, as determined by variable selection, are shown. The
 1003 scaled coefficient estimates (Coef.) shown here reflect the standard deviation change in Lyme
 1004 disease incidence for a one standard deviation change in the climate variable. The coefficients
 1005 are scaled so that the effects of different variables are directly comparable. The standard errors
 1006 (SE) shown are clustered by the agricultural statistics district (see Methods: Statistical
 1007 approach). Statistically significant ($p < 0.05$) coefficients are denoted with *.
 1008

	Northeast		Midwest		Pacific		Pacific Southwest		Southwest		Southeast	
Variable	Coef.	SE	Coef.	SE	Coef.	SE	Coef.	SE	Coef.	SE	Coef.	SE
Climate variables												
Avg. Winter Temp.	0.117	0.145	0.398*	0.168			0.872	0.653				
Avg. Spring Precip.	-0.095	0.053	-0.045	0.042	-0.319	0.178			-0.915	0.644		
Hot, Dry Days	-0.141*	0.071	-0.213*	0.079					0.259	0.181		
Cumulative Temp	0.503	0.364										
Cumulative Precip.			-0.070	0.076					2.634	1.734	-0.054*	0.024
Temp. Variance	0.271	0.202	-0.134	0.153								
Precip. Variance									-0.750	0.469		
Non-climate variables												
Lag 'Ticks' Search	0.211*	0.053	0.008	0.019	0.026	0.025	0.028	0.083	0.11	0.092	-0.01	0.017
Poverty												
Percent Insured												
Diabetes	-0.025	0.065	-0.026	0.032	0.052	0.132	0.022	0.094	0.062	0.064	-0.041	0.026
Forest Cover			-3.323	6.121	-0.769	1.706					0.416	0.404
Mixed Dev. Cover	-1.608	0.989					4.57	4.516	2.736	3.439		
R ²	0.796		0.824		0.417		0.375		0.443		0.356	
Model with only county dummy variable												
R ²	0.606		0.331		0.156		0.114		0.090		0.149	
Model with only year dummy variable												
R ²	0.045		0.018		0.028		0.139		0.007		0.010	

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1012 **Table 3.** Observed and estimated total Lyme disease incidence for 2008 – 2017 (i.e. sum of all
1013 cases within a region across this time period). Hindcasted values were generated using the
1014 climate and land cover variables included in the best model for each region as well as county
1015 and year dummy variables. 95% prediction intervals are listed below each estimate. Correlation
1016 coefficients indicate the similarity between the estimated and observed Lyme disease incidence
1017 for a given county and year.
1018

	Observed	Upper climate change scenario (RCP 8.5)		Moderate climate change scenario (RCP 4.5)	
		Hindcasted	Correlation coefficient	Hindcasted	Correlation coefficient
Northeast	205664	205664 [99607, 311721]	0.862	205664 [98451, 312877]	0.859
Midwest	107110	107110 [17494, 196726]	0.898	107110 [17201, 197019]	0.897
Pacific	898	818 [-404, 2039]	0.512	847 [-438, 2133]	0.513
Pacific Southwest	678	678 [-1612, 2968]	0.343	678 [-1611, 2967]	0.344
Southwest	1598	1680 [-5129, 8489]	0.335	1602 [-5229, 8433]	0.346
Southeast	4677	4265 [-5795, 14505]	0.494	4348 [-6428, 15125]	0.493

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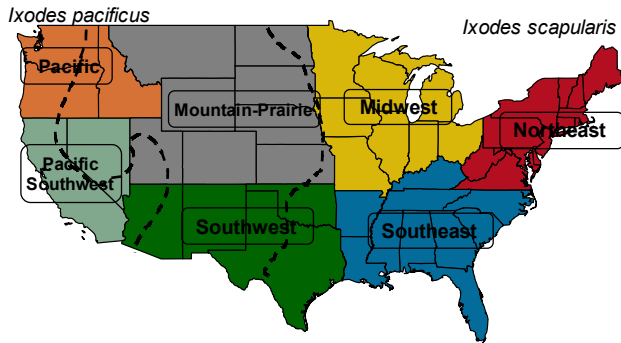
1021 **Table 4.** Predicted change in the number of Lyme disease cases, relative to hindcasted 2010 –
1022 2020 levels, for each region under upper and moderate climate change scenarios. Point estimates
1023 and 95% prediction intervals are shown.
1024

	Upper climate change scenario (RCP 8.5)		Moderate climate change scenario (RCP 4.5)	
	2040 - 2050	2090 - 2100	2040 – 2050	2090 - 2100
Northeast	19625 [-209, 39460]	29813 [8311, 51315]	12915 [-7053, 32884]	25565 [4697, 46434]
Midwest	-2566 [-10597, 5465]	-3432 [-12688, 5823]	2554 [-5254, 10362]	8872 [-66, 17810]
Pacific	27 [-134, 189]	59 [-150, 268]	-8 [-162, 146]	-18 [-193, 156]
Pacific Southwest	-13 [-1343, 1317]	-25 [-1406, 1357]	-42 [-1345, 1260]	-164 [-1539, 1211]
Southwest	-16 [-764, 731]	-34 [-787, 718]	-27 [-778, 723]	-56 [-814, 702]
Southeast	614 [-275, 1504]	1248 [252, 2244]	4 [-900, 909]	-15 [-960, 930]
US Total	17672 [-13322 48666]	27630 [-6468, 61727]	15395 [-15493, 46284]	34183 [1124, 67243]

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a



b Lyme disease incidence 2000 - 2017



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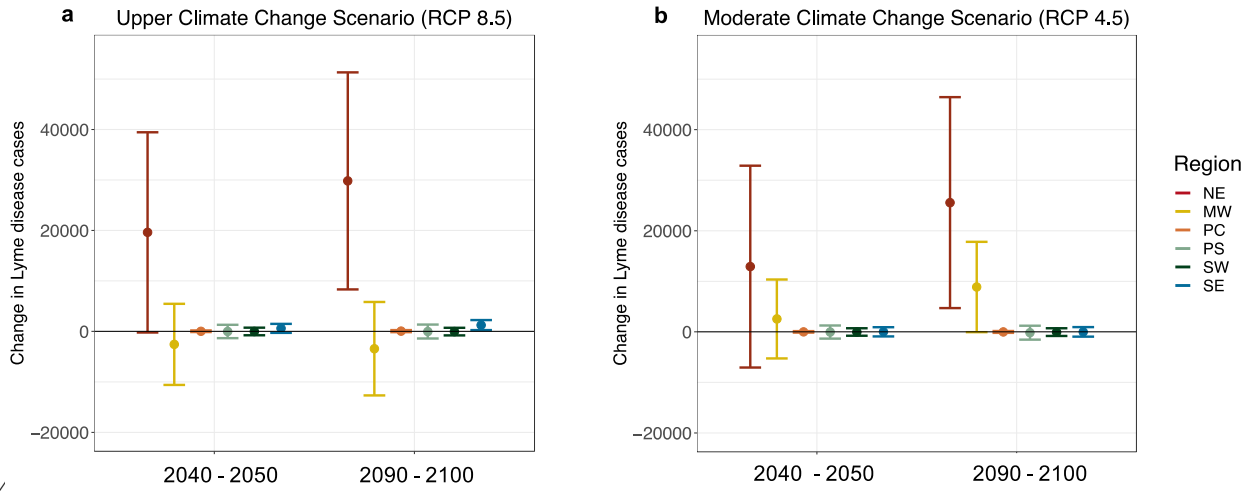
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1031 **Figure 1. a)** Regional boundaries designated by US Fish & Wildlife Service. These regions were
1032 used to analyze spatial variation in the effects of climate conditions on disease outcomes. Map
1033 recreated from: <https://www.fws.gov/endangered/regions/index.html>. Dashed black lines denote
1034 the approximate eastern boundary of *Ixodes pacificus* and western boundary of *Ixodes*
1035 *scapularis*, respectively, based on distribution maps created by the CDC. **b)** Regional time
1036 series of log Lyme disease incidence (the number of cases per 100,000 people in the
1037 population) from 2000 – 2017. The Mountain Prairie region is not shown here as it was removed
1038 from the analysis due to low vector presence.

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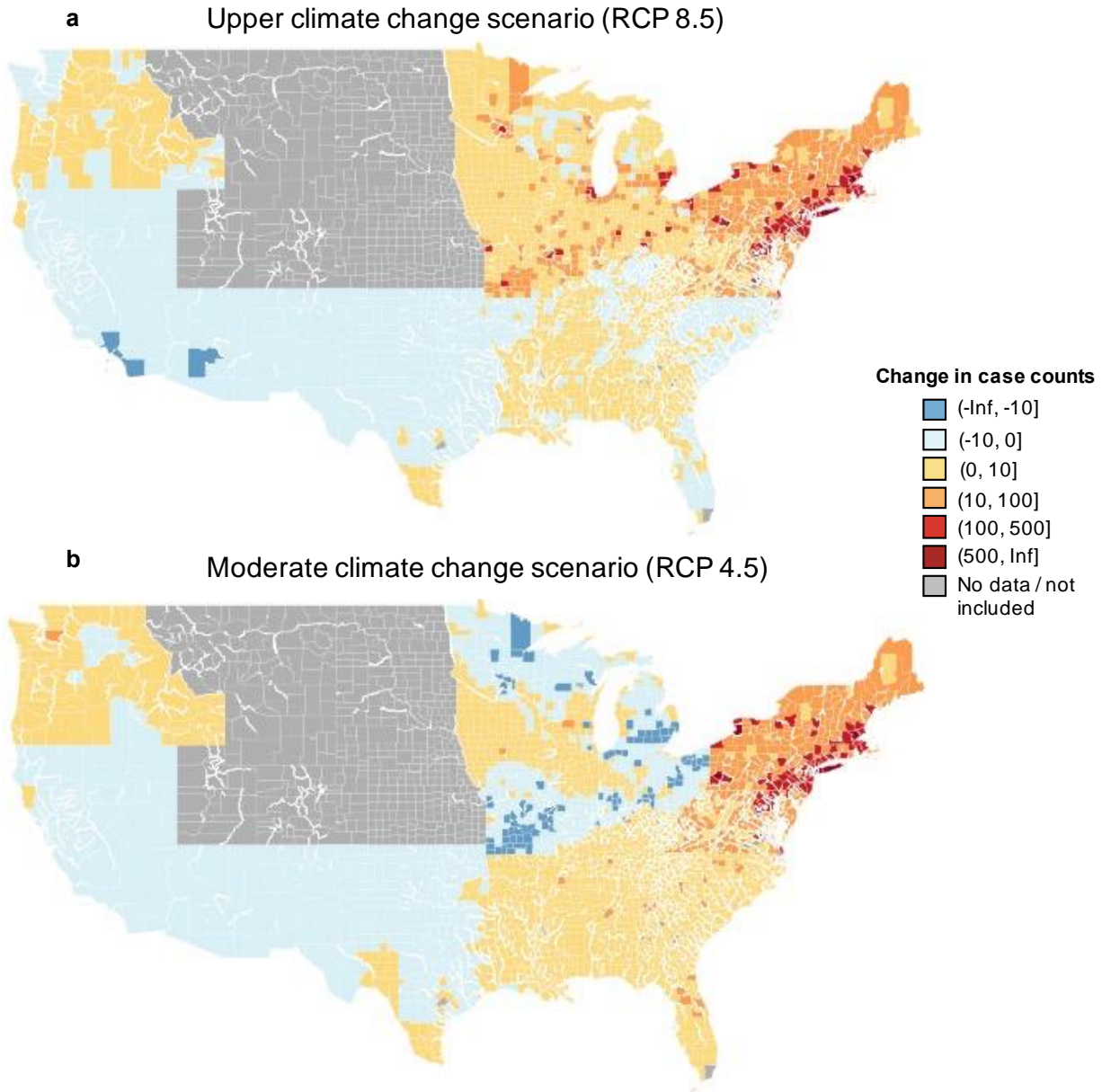
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Figure 2. Predicted change in Lyme disease cases by region for 2040 – 2050 and 2090 – 2100 under the **a)** upper and **b)** moderate climate change scenarios. Case changes refer to raw case counts rather than incidence and indicate the average change in cases for a particular decade relative to hindcasted values for 2010 – 2020. Bars represent 95% prediction intervals. Regions are defined in Fig. 1.

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1058 **Figure 3.** Predicted change in Lyme disease cases for 2100 shown at the county level under

1059 the **a)** upper and **b)** moderate climate change scenarios. Case changes refer to raw case counts

1060 rather than incidence and are relative to hindcasted values for 2010 – 2020. All counties within

1061 the Mountain Prairie are shown in gray as this region was not included in the analysis. Other

1062 counties shown in gray (n = 49) containing missing disease, land cover or climate data.

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1064