Reciprocal transplant experiment reveals partial functional redundancy in the aquatic microbiome

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Abstract

Background: Microbial communities have long been assumed to have functional redundancy, therefore characterizing community functional relationships has been the focus of microbial ecology in recent years. However, empirical investigations via time-series experiments to test functional redundancy hypothesis in diverse environments have remained a challenge in microbial ecology. In this study, we performed first of a kind time series *in situ* reciprocal transplant experiments in two lakes (surface and bottom water) with disparate trophic states (mesotrophic and oligotrophic) to delineate community functional relationships using high-throughput amplicon and whole metagenome sequencing complemented with the functional assay.

Results: We determined that the two lakes had different pre-selected community composition. While monitoring the effect of swapping on community composition and functions through time, we identified that the pre-selected microbial community remains resistant/resilient to change even after incubating in a different environment. However, functional attributes showed contrasting results when analyzed at reads- and predicted gene levels, highlighting the importance of resolution when delineating community functional relationships. Furthermore, there was a linear relationship between community composition and functional attributes, with a broad range of similarities in the former and a narrower range and overall more similarity in the latter, providing support for partial functional redundancy and its dynamic nature along with the spatial and temporal variations. Ecoplate analysis suggested that the metabolic activity of a community is influenced by its local environment, even though broad functions like carbon metabolism are widespread.

Conclusions: This study to the best of our knowledge is the first to conduct *in situ* reciprocal

transplant experiments in lakes surface and bottom water and delineate community functional

relationship. Our study supports the presence of partial functional redundancy, which in turn is

dynamic and is influenced by the environment. Furthermore, we also highlight a never before

reported aspect of resolution-dependency in understanding community functional relationship of

the aquatic microbiome.

Keywords: Partial Functional Redundancy, Resolution dependency, Community Functional

relationships

Introduction

Understanding the diversity and role of microbial communities is important because microbes are ubiquitous [1] and drive ecosystem processes by governing biogeochemical cycles [2-4]. In recent years, researchers have studied community compositions and functions and attempted to understand the community function relationship in different ecosystems ranging from oceans [5-7] to freshwater ecosystems [8-10], soil [11, 12], plants [13, 14], and the human gut [15, 16]. These studies have put forward two plausible scenarios delineating community function relationships: 1) community and function are coupled so that changes in the community composition will change microbial-mediated processes, and 2) where community and function appear decoupled, microbe-mediated processes are somewhat independent of changes in community composition [17]. The latter is also known as functional redundancy.

Lately, functional redundancy has been categorized into strict and partial functional redundancy. Strict functional redundancy implies that different taxa or phylotypes can conduct the same set of metabolic processes and can readily replace each other [17-19]. Partial redundancy implies that certain organisms can coexist while sharing specific functions, but differing in other functions or ecological requirements [20]. The paradigm of strict functional redundancy does not hold in all ecosystems, as shown in soil [21, 22] and, recently, the oceans [7]. Furthermore, studies applying different molecular approaches to understand the community function relationship have yielded different conclusions. Studies using taxonomic profiling with the 16S rRNA gene or housekeeping genes [23-25] have reported a disconnect between community composition and function, whereas studies focused on ecology and microbial molecular evolution have reported a strong relationship between community composition and functional traits [17, 26-28].

Terrestrial plants and animal ecosystem studies have provided the most insights into the community function relationship [9] in recent years. Unlike terrestrial ecosystems, aquatic ecosystems have different and unique features [29]. Studies of aquatic ecosystems, especially rivers and lakes, in both the field [30-32] and laboratory [33-35] have been central for deducing community function relationships; some of these studies support functional redundancy [10, 36-38] and others challenge it [39-41].

Langenheder *et al* (2005) [10] used water samples from four lakes as an incubating medium and inocula of combinations of each inside controlled laboratory bioreactors to test the community function relationship in the aquatic environment. They reported that communities with different compositions can have the same functions, and hence there is strong functional redundancy in the aquatic environment. Whereas Delgado-Baquerizo *et al* (2016) [41], in their independent microcosm experiment, reported that a decline in microbial diversity has significant and direct consequences for both broad and specific ecosystem functions, concluding that there is either little or no functional redundancy in lake ecosystems. It is important to note that these studies and many others are confined to specific functions (biomass, respiration rate, growth rate, etc.) [8-10], and results of direct 16S rRNA gene sequencing rarely align with those of whole metagenomic approaches [42]. Although these studies have broadened our understanding of community function relationships in aquatic ecosystems, none have mimicked the *in vivo* dynamics of natural ecosystems.

Efforts have been made using *in vitro* reciprocal transplant experiments to test the hypothesis of functional redundancy in aquatic environmental studies, but most of these studies were performed for short durations of time and in artificially controlled laboratory environments [8,10,43,44]. *In situ* transplant experiments have been used to study shifts in bacterial

community composition as a response to nutrient availability [8] and link functional redundancy

with metabolic plasticity in aquatic environments [45]. These studies are limited to measuring

broad functions such as cumulative microbial respiration, dissolved organic carbon, biomass

duplication time, and specific growth rate as proxies for the metabolic potential of a community

when it is transplanted into a different environment. Hence, empirical research is needed to test

the hypothesis of functional redundancy in aquatic ecosystems using high throuput approaches

encompassing both community composition and function.

In this study, we performed an *in situ* experiment in which water (surface and bottom)

from one lake was reciprocally transplanted into another lake. Inoculated water was maintained

inside dialysis tubes resembling "microbial cages" that are exposed to ambient nutrient

concentrations from the incubating lake [46]. We performed a multi-approach analysis using the

16S rRNA gene and gene transcripts for total and active community compositions, respectively.

We used whole metagenome sequencing and 16S rRNA-based pseudo-metagenome for

functional profiles and Biolog Ecoplates for metabolic activity of the microbial communities in

the two lakes. Community function comparisons were performed to test the hypothesis of

functional redundancy and also to study how changing the local environment affects the

metabolic activity of microbial communities.

Results

Diversity analysis and its change

We sampled water from the surface and bottom of TFL and YYL every two weeks from January-

February 2015. BG samples acted as the control for community composition and functional

attributes of undisturbed lake water in their natural setting. Unrarefied samples were applied to

generate rarefaction curves and determine how well the sequencing effort represented for the

microbial diversity. Most of the samples from the 16S rRNA gene and 16S rRNA amplicons

appeared to plateau, indicating that the sequencing adequately captured most of the microbial

diversity in the two lakes (Additional file 1, Fig. S2 and S3).

Alpha diversity (Shannon and Inv. Simpson) was measured after rarifying 16S rRNA

gene samples at 27,689 (surface) and 26,238 (bottom) reads, and 16S rRNA samples at 25,513

(surface) and 23,131 (bottom) reads. YYL BG samples (Ys and Yb) had higher Shannon and Inv.

Simpson diversities than TFL BG samples (Ts and Tb) (Additional file 1, Table S1). We

observed a mixed pattern in which diversity (Shannon and Inv. Simpson) in self-swap and cross-

swap samples decreased from two to four weeks, and later increased from four to six weeks

(Additional file 1, Table S1).

Community function relationship in BG samples: hints of resolution dependence

The total community composition of BG samples from the two lakes was significantly different

at the class and genus taxonomic levels. At the genus level, PCoA axis1 and axis2 explained

>75% of the total variance in the surface and bottom levels, with inoculum (lake water) being the

significant factor (Surface: ADONIS$_{inoculum}$: $F_{1, 6}$ = 16.01, $p < 0.05$; Bottom: ADONIS$_{inoculum}$: $F_{1, 6}$

= 15.71, $p < 0.05$) (Additional file 1, Fig. S4A).

There was no significant difference between the COG-Class based functional profile

(ORF level) of BG samples from the two lakes (Additional file 1, Table S2). Since COG-Class is

a general classification for functional attributes, we performed a functional profile comparison at

the finest resolution possible (i.e., COG-Family). At COG-Family (ORF-level), we observed that

inoculum had a weak but significant effect driving the functional difference between BG samples

from the lakes (Surface: $\text{ADONIS}_{\text{inoculum}}$: $F_{1, 6} = 2.85$, $p < 0.05$, Bottom: $\text{ADONIS}_{\text{inoculum}}$ $F_{1, 6}$:

2.69, $p < 0.05$) (Additional file 1, Table S2).

We also performed a functional profile analysis on merged reads at the COG-Class and -

Family levels. With reads at the COG-Family level, inoculum (lake water) had a strong and

significant effect on the functional profile (COG-Family) of the BG samples (Surface:

$\text{ADONIS}_{\text{inoculum}}$ $F_{1, 6} = 6.24$, $p < 0.05$; Bottom: $\text{ADONIS}_{\text{incoculum}}$: $F_{1, 6} = 4.152$, $p < 0.05$)

(Additional file 1, Fig. S4B, Table S2). No significant difference was observed in reads at the

COG-Class level (Additional file 1, Table S2).

The different functional profiling outcomes for BG samples from the two lakes, based on

the level of analysis (ORF vs Reads; COG-Class vs COG-Family), suggest a possible resolution

dependency when delineating community function relationships. Hence, both approaches were

used (Metagenome level: ORF and Read; COG: Class and Family level) to compare the

functional profiles and test the hypothesis that these lake microbial communities have functional

redundancy.

The community functional relationship is resolution-dependent

The *in situ* reciprocal transplant experiment was conducted to empirically test the community

function relationship in a temporal manner. The total community composition of the cross-swap

samples was similar to their self-swap counterparts for both the surface and bottom samples. At

the genus level, PCoA axis1 and axis2 explained >75% of the total variance (Fig. 2A). Only the

inoculum (not the incubating lake) had a significant effect (Surface: $\text{ADONIS}_{\text{inoculum*incubating-lake}}$:

$F_{1, 8 \text{ (inoculum)}} = 18.90$, $p < 0.05$; Bottom: $\text{ADONIS}_{\text{inoculum*incubating-lake}}$: $F_{1, 8 \text{ (inoculum)}} = 20.44$, $p < 0.05$)

(Additional file 1, Table S2). This clustering of cross-swap samples with their self-swap

counterparts spoke to the resistance and/or resilience of the bacterial community to external

perturbations over time.

There was no significant difference in the functional profile of swapped samples (cross-

and self-swap) between COG-Class and -Family when analyzed with ORF-level (Additional file

1, Table S2). Nevertheless, when analyzed with reads-level at the COG-Family level, the

inoculum had a significant effect on the clustering of the samples (Surface:

$\text{ADONIS}_{\text{inoculum*incubating-lake}}$: $F_{1, 8 \text{ (inoculum)}} = 4.02$, $p < 0.05$; Bottom: $\text{ADONIS}_{\text{inoculum*incubating-lake}}$: $F_{1,}$

$_{8 \text{ (inoculum)}} = 5.41$, $p < 0.05$) (Fig. 2B). No significant difference was observed at the COG-Class

level for the surface samples (Additional file 1, Table S2). Furthermore, we observed that cross-

swap samples tended to shift away from their self-swap counterparts with an increase in

incubation time (Fig. 2B marked by arrows).

Differences in community compositions and the results of the functional profile for

swapped samples confirmed that community function relationships are resolution-dependent.

Furthermore, shifts in the functional profile of cross-swap samples away from their self-swap

counterparts after two and four weeks of incubation in different lakes suggest that their

community functions are dynamic. These shifts also suggest that the local environment plays an

important role in shaping the community's functional profile.

Active community, its functional attributes, and partial functional redundancy

16S rRNA gene-based community composition and whole metagenome-based functional

profiles represent the entire bacterial community and overall functional profile of the ecosystem,

respectively. As not all bacteria are metabolically active at the same time, it is important to

determine the community function relationship based on active community profiles, as

metabolically active bacteria are one of the major driving forces in the relationship. Hence, we

analyzed community profiles with 16S rRNA gene transcript amplicons and derived imputed

pseudo-metagenome functional profiles (COG-Family level) from the active community

composition using PICRUSt2.

The active community composition profile was positively correlated with the 16S rRNA

gene-based total community profile ($R_{surface}$: 0.9318, $p < 0.01$; R_{bottom}: 0.9246, $p < 0.01$)

(Additional file 1, Table S3). Two lakes had significantly different community compositions at

the genus level (Additional file 1, Fig. S5). PICRUSt2 derived a pseudo-metagenome-based

functional profiles at the COG-Family level, and was also positively correlated with the whole

metagenome-based functional profile (COG-Family: Reads level) ($R_{surface}$: 0.3430, $p < 0.01$;

R_{bottom}: 0.2895, $p < 0.01$) (Additional file 1, Table S3). PERMANOVA analysis showed that

only the inoculum had a significant effect on sample clustering (Surface:

$ADONIS_{inoculum*incubating-lake}$: $F_{1, 8 (inoculum)} = 16.65$, $p < 0.05$; Bottom: $ADONIS_{inoculum*incubating-lake}$:

$F_{1, 8 (inoculum)} = 15.05$, $p < 0.05$) (Additional file 1, Table S2).

We performed a linear regression analysis based on the Bray-Curtis similarity (1-Bray-

Curtis distance) on the active community and the PICRUSt2-based functional profile to test

whether there is a linear relationship between bacterial community composition and functional

profile at any similarity level. We found that similarities in community composition profiles

were strongly correlated with functional attributes ($R^2_{surface} = 0.65$, $R^2_{bottom} = 0.63$). This linear

relationship between community and function was based on a broad range of similarities in the

community (<20% to >70%) and a relatively narrow but higher range of similarity in functional

attributes (>90% to <97%). This result supports the idea that functional redundancy and

functional dissimilarity co-exist in this ecosystem (i.e., partial functional redundancy) (Fig. 3A).

Quantifying functional redundancy

L-divergence, which scales from 0 (identical) to 2 (highly divergent), was used to

quantify functional redundancy. We identified a highly divergent community (*L*-divergence$_{surface}$:

1.02 ± 0.48; *L*-divergence$_{bottom}$: 0.91 ± 0.44) based on the 16S rRNA gene and a relatively similar

functional profile (*L*-divergence$_{surface}$: 0.04 ± 0.1; *L*-divergence$_{bottom}$: 0.039 ± 0.41) based on whole

metagenomes in the two lakes (Fig. 3B). Furthermore, we also calculated *L*-divergence for

community and functional profiles using different datasets from this study and obtained similar

results (Additional file 1, Table S4).

Metabolic activity is influenced by the local environment

We used ecoplates as a proxy to both measure the metabolic activity (in terms of carbon

metabolism) of the microbial communities in the two lakes and test temporal-physiological

changes in metabolic activity during the reciprocal transplant experiment. Mean AWCD was

used as an indicator of metabolic activity, and we observed that YYL lake BG samples had a

higher mean AWCD than did TFL lake samples. Different values of AWCD were observed at

different sampling time-points (Additional file 1, Fig. S6).

Swapped samples were used to test whether incubating the samples from the low nutrient

environment (TFL) in the high nutrient environment (YYL) and vice versa affected metabolic

activity. Two categories were used to compare samples. 1) Same inoculum in different

incubating lakes (surface: Ts→Y vs Ts→T, Ys→T vs Ys→Y; bottom: Tb→Y vs Tb→T, Yb→T

vs Yb→Y) 2) Different inoculum in the same incubating lake (surface: Ts→Y vs Ys→Y, Ys→T

vs Ts→T; bottom: Tb→Y vs Yb→Y, Yb→T vs Tb→T).

While comparing samples with the same inoculum in different incubating lakes, we

observed that the metabolic activity of Ts→Y samples was significantly higher than in Ts→T

samples (Fig. 4A) across all time points. However, Ys→T samples had significantly lower

metabolic activity (except Ys3→T vs Ys3→Y) than Ys→Y samples (Additional file 1, Fig.

S7A). In the bottom samples, the metabolic activity of Tb1→Y sample was significantly higher

than Tb1→T samples (Fig. 4B), and no significant difference was observed at other sampling

time points. Yb1→T samples had lower metabolic activity than Yb1→Y samples, and no

significant difference was observed between Yb2→T and Yb2→Y. However, Yb3→T had

significantly higher metabolic activity than Yb3→Y (Additional file 1, Fig. S7B).

When the inoculum was different in the same incubating lake, we observed that Ys→T

samples had higher metabolic activity than Ts→T samples across all sampling time points (Fig.

4C), and Ys→Y samples had higher metabolic activity than Ts→Y samples (except Ts3→Y vs

Ys3→Y) (Additional file 1, Fig. S7C). Of the bottom samples, Yb→T metabolic activity was

higher than Tb→T (Fig. 4D), and Yb→Y was higher than Tb→Y (except Tb3→Y) (Additional

file 1, Fig. S7D).

Discussion

In recent years, the focus of microbial ecology studies has shifted from understanding the

community diversity to understanding what microbes are doing and how they respond to changes

in the environment and delineating community function relationships in diverse ecosystems [5-

7,14,47,48]. With advancements in sequencing technology and relative improvements in

metagenomic analyses, efforts have been made to establish community function relationships in

pristine and disturbed ecosystems. Recently, laboratory and field studies from different

environments testing community function relationships have provided insightful results [5-

7,10,37,41,44,45,49-52]. Yet, our understanding of community function relationships and their

magnitudes in natural environments remains poor because of the lack of *in situ* empirical time-

series studies applying high-throughput sequencing technology alongside functional approaches.

In this setting, the main motivation of our study was to empirically test the hypothesis of

functional redundancy in the aquatic ecosystem with an *in situ* reciprocal transplant experiment.

We used high throughput amplicon, whole metagenome sequencing, and ecoplate analysis to

determine the community function relationship in the aquatic ecosystem. To the best of our

knowledge, this is the first study that examines the function redundancy hypothesis in surface

and bottom lake waters with time series reciprocal transplant experiments *in situ.*

The 16S rRNA gene-based bacterial community composition of BG samples was

significantly different between lakes (Additional file 1, Fig. S4A), which was expected, as the

two lakes have different trophic states [53-55]. However, results from the functional profile

analysis have unexpected implications for resolution dependency and the community function

relationship. ORF-based functional profiles (BG samples) for COG-Class and -Family were not

significantly different between the two lakes, suggesting that functional redundancy is present

(Additional file 1, Table S2). Functional profiles supported functional redundancy in previous

studies as well when analyzed at the ORF level [6,56]. Read-based functional profiles, however,

were significantly different for the two lakes (Additional file 1, Fig. S4B), challenging our

conclusions about functional redundancy and suggesting that resolution dependency helps

determine the community function relationship. Recently, Galand *et al* (2018) [7] using

sequenced reads from a three-year survey, determined a functional profile and identified a lack

of strict functional redundancy in the oceans. They argued that the functional profile, when

examined at the predicted gene level, can significantly undermine the overall functional profile

of ecosystems, as predicted genes are extrapolated from the similarity between cultured and

taxonomic annotations of environmental samples. Nonetheless, we propose that clustering reads

directly to assign functions can also give spurious results that have little significance without

taxonomy. Thus, we used reads directly to get functional profiles of the lake water community

using *a priori* knowledge of operational databases.

Studies in recent years have explored community responses and functional profiles in

different aquatic environments using reciprocal transplant experiments and other approaches

[9,10,44,45,57]. We identified a significant difference in microbial communities in two lakes,

even after reciprocal transplantation and incubation for six weeks. Cross-swap samples clustered

with their self-swap counterparts for both surface and bottom samples (Fig. 2A). Furthermore,

inoculum had a significant effect on the clustering of the samples (Additional file 1, Table S2).

Our results are similar to Langenheder *et al* (2006) [57]; thus, we suggest that the bacterial

community was more or less resistant to change, which is consistent with previous studies

[17,50]. We cannot, however, rule out the possibility that the bacterial communities are resilient

and can recover from a disturbance to an original or alternate stable state [58] because our

sampling interval was two weeks—which is longer than most sample time point experiments—

and also because of the shifts observed within the cluster of cross-swap samples (Fig. 2A and

Additional file 1, Fig. S5). We believe that the community might have varied immediately or

very shortly after transplantation, but then recovered to an alternate stable state in less than two

weeks. Bacterial communities in a lake ecosystem were demonstrated to recover quickly after

natural disturbance (lake mixing) [52]. Earlier studies have shown bacterial communities to be

both resilient and resistant in various ecosystems [17,50].

We hypothesized that transplanting an inoculated sample from one lake into another lake

with an entirely different trophic state would influence the sample's functional profile. A similar

hypothesis was tested and confirmed in an earlier study [3]. Interestingly, we observed shifts in

the functional profile of cross-swap samples away from their self-swap counterparts (Fig. 2B,

marked as dashed arrows). This phenomenon was observed for Ys→T and Yb→T samples, but

was not as clear for Ts→Y and Tb→Y samples (Fig. 2B). During our experiment, the Tb2→Y

sample (after four weeks of incubation) was lost, and hence the shift in Tb→Y samples cannot be

determined accurately. Furthermore, transplant samples behaved differently in the two lakes,

suggesting that the local environment influences the functional profile of a community, maybe

due to the nutrient availability in that environment and how it differs from that of the original

environment [53,54]. Although these shifts are not quantified, they support our hypothesis that

the local environment influences the functional profile of the swapped bacterial community, as

was previously reported in plants [14] and animals [59]. A long-time series analysis would help

determine whether communities in a new environment eventually settle into an alternate stable

state.

Partial functional redundancy has been reported in different ecosystems [7,10,41].

Therefore, we speculated that there is a linear relationship between community composition and

ecosystem function. Regression analysis using active community composition and the imputed

metagenome functional profile identified a linear relationship between the community and its

function in the ecosystem of both lakes (Fig. 3A). Our result contrasts with a study on the marine

ecosystem, where the range of similarities between community composition and function profile

was of similar magnitude [7]; this might be due to the aquatic environment studied and the

duration and frequency of sampling. Furthermore, a relatively highly divergent community (L-

divergence$_{surface}$: 1.02±0.48; L-divergence$_{bottom}$: 0.91±0.44) and relatively low divergent

functional profile (L-divergence$_{surface}$: 0.04±0.1; L-divergence$_{bottom}$: 0.039±0.41) (Fig. 3B)

between the two lakes also confirms a partial functional redundancy, whose magnitude varies

based on the resolution of the analysis (Additional file 1, Table S4). Significant high positive

correlations were obtained between the 16S rRNA gene and 16S rRNA gene transcript-based

community composition and also with whole metagenome and PICRUSt2 derived imputed

metagenome functional profiles (Additional file 1, Table S3). This implies that either of the

approaches can be used to determine the community function relationship in our study, and their

results will be not differing significantly.

Natural communities can use diverse carbon and energy sources for growth and survival

[60]. Microbes, especially bacteria, often overcome changes in the environment by expressing a

range of metabolic capabilities or mixotrophy [61,62]. Therefore, ecoplates containing carbon

sources of different complexities were used to test whether a change in the local environment has

any consequence on the total metabolic activity of the bacterial community; they identified a

similar potential substrate utilization pattern to other studies [40,45]. Over seven days of

incubation and observation, and using carbon metabolism as a proxy for overall metabolism, we

determined that the total metabolic activity of Ts→Y samples were higher than Ts→T ones (Fig.

4A), and that of Ys→T samples was lower (except Ys3→T) than Ys→Y ones (Additional file 1,

Fig. S7A). Similar results were obtained for bottom samples (Fig. 4B and Additional file 1, Fig.

S7B). Furthermore, Ys→T and Yb→T samples had higher metabolic activity than Ts→T and

Tb→T, respectively (Fig. 4C, 4D), and Ts→Y and Tb→Y had lower metabolic activity than

Ys→Y and Yb→Y, respectively (Additional file 1, Fig. S7C and S7D). These changes in total

metabolic activity highlight the effect that the local environment can have on the total metabolic

activity of a bacterial community. These results also provide important evidence that metabolic

activity differs distinctly between the surface and bottom waters of the lakes. We also

demonstrate that inoculum has either a very weak or no effect on functional profiles in terms of

carbon substrate utilization (Additional file 1, Fig. S8 and Table S5), which is similar to findings

from other studies [8,10,39,63]. Lack of correlation between bacterial community functional

attributes and composition has been interpreted as evidence of functional redundancy [17,64].

However, the significantly high correlation suggests that functional redundancy may be

constrained. We observed no or very small positive correlation between total or active bacterial

community and substrate utilization profiles based on ecoplates (Additional file 1, Table S3). A

similar result was observed in boreal freshwater bacterioplankton communities and coastal

waters [40,65]. This may again suggest that some substrates cause functional redundancy.

366   In the present study, we used a reciprocal transplant experiment in which the bacterial

community from one lake was grown in another, very different lake. Dialysis tubing bags, which

acted as microbial cages, provided bacteria access to the nutrients in their transplant

environment. Although dialysis bags are not perfect cages, they are much more realistic than any

experimental bottles or reactors. Our sampling strategy did not determine whether the bacterial

communities in the two lakes were resilient to change; future experiments aiming to answer this

question should use shorter intervals between sampling. Functional redundancy is a difficult

concept to quantify, and we still do not know its magnitude and variability among different

ecosystems, so care should be taken when applying results from this study to other environments.

Conclusion:

Establishing the community function relationship has been central to understanding the

complexity of microbial diversity and their functions in different ecosystems. Laboratory and

field studies have made important findings on this subject in recent years. Yet, few *in situ* long

term experiments have been conducted empirically to test the hypothesis of functional

redundancy. Furthermore, most previous studies were limited to measuring specific functions,

and hence they could not make conclusions about overall ecosystem dynamics. This study is the

first to test the community function relationship through reciprocal transplant experiments in lake

waters (surface and bottom) using a time series, utilizing amplicon sequencing complemented

with whole metagenome sequencing and metabolic analysis using ecoplates. The insights offered

by this work deciphering the community function relationship of the freshwater aquatic

ecosystem will add much-needed knowledge in this field.

We found that bacterial community composition is resistant and/or resilient to change in

the local environment. Whereas, the functional profile is influenced by the local environment.

Further, the community function relationship is dynamic and also dependent on the resolution of

the analysis. At the predicted ORF level, we observed no significant difference in the community

functional profile of the two lakes. However, at the reads level, a significant difference in the

community functional profile of the two lakes was observed. Moreover, a significant correlation

between community composition (broad similarity range) and community functional attributes

(small but higher similarity range) supports the presence of partial functional redundancy in

aquatic environments. Metabolic activity analysis using ecoplates also provides strong support

for the presence of functional redundancy in the aquatic environments and also provides

evidence for the influence of the local environment on the metabolic activity. Further, the two

lakes and their surface and bottom waters show differing effects on the community and

functional profile behavior when disturbed artificially.

Materials and methods

Sampling sites

Two proximity (21 km), sub-alpine lakes in northern Taiwan were chosen as

experimental sites: Tsuei-Feng Lake (TFL) (24° 30' 52.5'', 121° 36' 24.8'' E) and Yuan-Yang

Lake (YYL) (24° 34' 33.6'' N, 121° 24' 7.2'' E), at an altitude of 1,840 and 1,670 m,

respectively. These lakes, despite being at similar altitudes and geographical locations, have

different trophic states, with TFL being oligotrophic [53] and YYL mesotrophic [54]. Both lakes

are within a protected area and are therefore introduced to minimal or no anthropogenic activity

year-round. These lakes have been at the focus of limnological and meteorological research in

Taiwan over the past years.

Experimental setup, sample collection, and preparation

Water samples from the surface (0.5 m deep) and bottom (1 m above the sediment) of

both lakes were collected every two weeks from January-February 2015 (four times total) (Fig.

1D). These samples were collected at the center of the lakes (also the deepest point) using a boat.

Two L of lake water (BG) (Fig. 1A) was filtered using a piece of gauze (to remove large debris,

e.g., leaves), then sand and dust particles were removed using an 11-µm filter. Finally, 200 ml of

water was filtered using a 0.22-µm filter (diameter: 47 mm, Advantec); samples were kept at -

20° C before DNA extractions in the laboratory. For each sample, three replicates (n = 3) were

collected.

To create an artificial disturbance (for both the surface and bottom), 6 L from each places

in the lakes (surface and bottom) was swapped and incubated in the same and different lakes

(e.g. TFL water was swapped and incubated in TFL (Self-swapped) and YYL (Cross-swapped)

(Fig. 1B and 1C). The dialysis tube (Sigma-Aldrich, St. Louis, MO, USA) allows only ions (Mol.

wt. <14 KDa) to pass through. These dialysis tubes were placed in specially designed metal

cages.

Seventy-two dialysis tubes (36 surface and 36 bottom), each filled with water samples

(~500 ml each), were incubated for six weeks. Every two weeks, samples from 12 tubes from

each lake (6 self-swapped and 6 cross-swapped) were collected and the rest of the dialysis tube-

containing water samples were replaced by new tubes to avoid algal growth on their outer

surface. Collected water samples (~500 ml each tube) were filtered as described above and stored

at -20°C before DNA extraction.

431   Nucleic acid extraction, PCR amplification, and sequencing

Total genomic DNA from filtered water samples was extracted using the UltraClean Soil

DNA Kit (MoBio, Solana Beach, CA, USA) following the manufacturer's protocol. RNA from

water samples was extracted using UltraClean Soil RNA Kit (MoBio, Solana Beach, CA, USA),

and residual DNA was removed using a TURBO DNA-Free Kit (Invitrogen, Carlsbad, CA,

USA). The nucleic acid yield was determined by NanoDrop ND-1000 UV-Vis

Spectrophotometer (Nano-drop Technologies, Inc., Wilmington, DE, USA).

Complementary DNA (cDNA) was synthesized from purified RNA using the SuperScript

IV First-Strand Synthesis System for RT-qPCR (Invitrogen, Carlsbad, CA, USA) following the

manufacturer's protocol. To prepare 16S rRNA gene transcripts, PCR amplification was

conducted to target the V6-V8 hypervariable regions of the bacterial 16S rRNA gene using the

bacterial universal primers -968F (5'AACGCGAAGAACCTTAC-3') [66] and 1391R (5'-

ACGGGCGGTGWGTRC-3') [67]. The reaction mixture contained 1 μl of 5 U TaKaRa *Ex*

Taq HS (Takara Bio, Otsu, Japan), 5 μl of 10× *Ex Taq* buffer, 4 μl of 2.5 mM deoxynucleotide

triphosphate mixture, 1 μl of each primer (10 μM), and 1-5 μl (10–20 ng) of template DNA in a

volume of 50 μl. PCR was performed under the following conditions: 94°C for 5 min, 30 cycles

of 94°C for 30 s, 52°C for 20 s, and 72°C for 45 s, with a final extension of 72°C for 10 min.

DNA-tagging PCR was used to tag each PCR product of the bacterial 16S rRNA gene's

V6-V8 region [66]. The tag primer was designed with four overhanging nucleotides; this

arrangement ensured 256 distinct tags—at the 5′ end of the 968F and 1391R primers—for

bacterial DNA. The tagging PCR conditions comprised an initial step of 94°C for 3 min, 5 cycles

at 94°C for 20 s, 60°C for 15 s, 72°C for 20 s, and a final step of 72°C for 2 min.

Illumina sequencing was performed on pooled 40-ng lots of uniquely marked samples by

Yourgene Biosciences, Taiwan using the Miseq system. Six TruSeq DNA-PCR Free libraries (3:

16S rRNA gene and 3: 16S rRNA gene transcripts) were prepared for 2×300 bp paired-end reads

by Yourgene Biosciences. Raw reads were sorted and primers removed before further analyses.

For whole-metagenome sequencing, the total genomic DNA was extracted using the

UltraClean Soil DNA Kit (MoBio, Solana Beach, CA, USA) following the manufacturer's

protocol. Extracted DNAs from the 41 samples were sent to Yourgene Biosciences (Taipei,

Taiwan) for library preparation without amplification (PCR Free library) and sequencing by the

Illumina MiSeq system (USA).

Community and functional attribute analysis

Illumina sequenced 16S rRNA gene reads were sorted into samples using an in-house script. Sequences in each sample were screened by MOTHUR v1.3.81 [68] to keep those 1) of lengths >390 bp and <450 bp, 2) with 0 ambiguous bases, and 3) with homopolymers <8 bp. UCHIME with USEARCH v8 [69] (parameters: reference mode, rdp gold database, and mindiv of 3) was used to remove chimeric reads. After dereplication and removal of singletons, the reads were clustered at a 97% identity threshold to get cross-sample representative sequences as operational taxonomic units (OTUs) using the UPARSE pipeline [70]. The taxonomic classification of OTUs was performed by MOTHUR using SILVA 16S rRNA database v128 [71,72]. 16S rRNA gene transcript read datasets were processed using the same approach outlined above.

Metagenomic reads obtained from the Illumina MiSeq system were preprocessed and the adapters removed using Cutadapt v1.4.2 [73], quality trimming of reads was performed with Seqtk v. 1.2.-r94 (phred score <20, short read cut off <35 bp) [74], singlet reads were discarded. Metagenomes data were processed using two approaches (Additional file 1, Fig. S1). 1) Predicted ORF level: reads were *de-novo* assembled individually for each sample using CLC Genomics workbench version 1.10.1 (Qiagen) with default parameters, scaffolding was not performed, ORF prediction was performed using Prodigal [75] wrapped in PROKKA on contigs >200 bp long [76] with metagenome mode enabled. 2) Reads level: quality filtered paired reads were merged using FLASH version 1.2.11 [77]. These merged reads were used for the functional analysis.

Predicted ORFs and merged reads from each metagenome were searched for similarity

using DIAMOND [78], implementing blastx [79] against the conserved domain database (CDD)

of NCBI (last downloaded: 2018) [80] with e-value <1e-5 and aligned length of 50 amino acids.

Annotated ORFs and reads were categorized at COG (Clusters of Orthologous Groups) Class

and Family levels from the blastx results using in-house scripts. At the reads level, COG-Family

represented in 90% of samples with at least 10 reads each, were used for the downstream

analysis. Furthermore, previously-obtained 16S rRNA gene transcript abundance profiles were

used to obtain imputed-metagenome functional profiles (at the COG Family level only) with

PICRUSt2 (https://github.com/picrust/picrust2).

Ecoplates as the proxy for metabolic activity

The carbon assimilation potential of the microbial communities in the two lakes was

assessed with Biolog EcoPlates[TM] (Biolog Inc., Hayward, CA, USA), hereafter written as

ecoplates. Undiluted water (150 μl) from each sample was added to ecoplate wells, each

containing 31 different carbon sources (and one control well with no carbon source). Plates were

incubated at 25°C and the absorbance of each well was measured at 590 nm using an automatic

optical density microplate reader (Spectramax M2, Molecular Devices, San Jose, CA, USA)

every 24 hours for 7 days. On day 7, absorbance values from ecoplates were used to calculate

average well color development (AWCD) in the plate, as expressed by the equation below.

$$AWCD = (\Sigma\ (R - C)/93)$$

where C and R represent the absorbance value in the blank well and substrate, respectively.

Negative values were converted to 0. The absorbance values were then treated and normalized

using AWCD.

Statistical analysis

All statistical analyses were performed in R [81]. Abundance profiles from the 16S rRNA

gene and 16S rRNA gene transcript amplicon datasets were calculated using an in-house R script

and processed with R packages phyloseq [82], vegan [83], and ggplot [84] for downstream

analysis and visualization, including ordination, alpha, and beta diversity calculations. Alpha

diversities (Richness, Shannon, and Inv. Simpson) were measured using the estimate_richness

function from phyloseq in R, with subsampling libraries with replacement 100 times and

averaging the diversity estimates from each trial. Rarefaction curves were drawn using the ggrare

function from the ranacapa package [85] on unrarefied samples. All the abundance profiles were

log (x+1)-transformed to achieve normality. Beta diversity was performed based on an

ordination analysis using the Bray-Curtis distance method unless otherwise stated. Permutational

multivariate analyses of variance (PERMANOVA) were performed using the Adonis function in

the vegan package. Ecoplate normalized absorbance values were also log (x+1)-transformed for

PCA analysis and functional clusters were determined using gap statistics from the cluster [86]

with the K-means clustering method. The effects of the transplant experiment on carbon

assimilation were tested using unpaired Student's t-test. The Mantel's Pearson correlation test

was performed with Bray-Curtis distance for the community, functional data, and with Euclidean

distance for ecoplate substrate utilization profiles. Microbial community and function differences

were quantified using *L*-divergence [87]. The linear relation between community and function

was statistically tested with linear regression analysis and ANOVA.

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Competing Interests

All authors declare no competing interests.

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Author Contributions

S.L.T conceived of the idea for this study. K.T performed all the analyses and wrote and revised

the manuscript. M.W and C.Y performed sampling and experiments. S.Y played an active role in

the discussion, interpretation of results, and writing of the manuscript. B.B, C.C, and J.T helped

with sampling and provided logistical support for the experimental setup. C.S.N provided critical

comments on the manuscript. All authors read and approved the current version of the

manuscript. All authors also declare that they have no conflict of interest.

Data Availability

All the sequencing data have been deposited in the NCBI database under the

BioProject PRJNA596577. Other miscellaneous information is available from the corresponding

author upon request.

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Conflict of interest

The authors declare that they have no conflict of interest.

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Figure Legends

Figure 1: Schematic representation of the study. 1A) Sampling of background (BG) samples

from the surface and bottom of Yuan-Yang and Tsuei-Feng Lakes (a, b, c, d). **1B)** Self-swap

scheme with sample representation (e, f, g, h). **1C)** Cross-Swap scheme with sample

representation (i, j, k, l). **1D)** Timeline for sample collection and the overall duration of the

experiment.

Figure 2: Principal Coordinate Analysis (PCoA) plots. 2A) 16S rRNA gene-based bacterial

community composition was significantly different between the two lakes, and cross-swap

samples clustered tightly with their self-swap counterparts**. 2B)** Functional profile (read-based

COG-Family) derived from whole-metagenome sequencing was also significantly different

between the swapped samples from the two lakes. Dotted grey arrows represent the shift in

cross-swap samples away from their self-swap counterparts, indicating that the local

environment affected the functional profile.

Figure 3: Community function relationship and *L*-divergence as a measure to quantify the

functional redundancy. 3A) The relationship between the similarities in communities' (16S

rRNA gene transcript-based) overall functional attributes (PICRUSt2-derived imputed

metagenome) and community composition of surface ($R^2 = 0.65$, $p < 0.01$, F test for the overall

significance of the linear regression) and bottom samples ($R^2 = 0.63$, $p < 0.01$, F test for the

overall significance of the linear regression). **3B)** Heat maps based on *L*-divergence

measurements for community and overall functional attributes of surface and bottom samples.

Figure 4: Effect of average well color development (AWCD)—as a measure of metabolic

activity of the community— with a change in the local environment. A) and **B)** TFL self-

swap samples (Ts(n)→T; Tb(n)→T) compared with cross-swap samples (Ts(n)→Y; Tb(n)→Y).

C) and **D)** TFL self-swap samples (Ts(n)→T; Tb(n)→T) compared with cross-swap samples

(Ys(n)→T; Yb(n)→T). Significant difference tested with Student's t-test $p < 0.05$. Error bars

indicate standard deviation.

A

Yuan-Yang Lake (Y)   Tsuei-Feng Lake (T)

BG

a = Ys(n)   b = Yb(n)
c = Ts(n)   d = Tb(n)

B

Self-swap

e = Ys(n) → Y   f = Yb(n) → Y
g = Ts(n) → T   h= Tb(n) → T

C

Cross-swap

i = Ys(n) → T   k= Yb(n) → T
j = Ts(n) → Y   l= Tb(n) → Y

D

Sampling
Time-point
n = 0   1   2   3

2 weeks
4 weeks
6 weeks
Incubation time

*s = surface
*b = bottom