

1 **Parallel lemniscal and non-lemniscal sources control auditory responses in the**
2 **orbitofrontal cortex (OFC)**

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30 **Abstract**

31 The orbitofrontal cortex (OFC), controls flexible behavior through stimulus value updating
32 based on stimulus outcome associations, allowing seamless navigation in dynamic sensory
33 environments with changing contingencies. Sensory cue driven responses, primarily studied
34 through behavior, exist in the OFC. However, OFC neurons' sensory response properties,
35 particularly auditory, are unknown, in the mouse, a genetically tractable animal. We show that
36 mouse OFC single neurons have unique auditory response properties showing pure deviance
37 detection and long timescales of adaptation resulting in stimulus-history dependence. Further,
38 we show that OFC auditory responses are shaped by two parallel sources in the auditory
39 thalamus, lemniscal and non-lemniscal. The latter underlies a large component of the observed
40 deviance detection and additionally controls persistent activity in the OFC through the
41 amygdala. The deviant selectivity can serve as a signal for important changes in the auditory
42 environment. Such signals if coupled with persistent activity, obtained by disinhibitory control
43 from the non-lemniscal auditory thalamus or the amygdala, will allow for associations with a
44 delayed outcome related signal, like reward prediction error, and potentially forms the basis of
45 updating stimulus outcome associations in the OFC. Thus the baseline sensory responses allow
46 the behavioral requirement based response modification through relevant inputs from other
47 structures related to reward, punishment, or memory. Thus, alterations in these responses in
48 neurological disorders can lead to behavioral deficits.

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55 **Introduction:**

56 The OFC, a part of the prefrontal cortex (PFC), is involved in flexible behaviour (Miller and
57 Cohen, 2001; Wallis, 2007; Fritz et al., 2010) by encoding specific stimulus–outcome or action-
58 outcome expectancies as well as by dynamically revaluating such expectancies on the basis of
59 behavioural demands and motivational states (Delamater, 2007; Rudebeck et al., 2008; Wilson
60 et al., 2014; Fresno et al., 2019). Specific OFC circuits can control specific aspects of flexible
61 behaviour and multiple reinforcement learning processes (Lee et al., 2012; Groman et al.,
62 2019). In order for OFC neurons to encode the sensory attributes and subjective value of
63 outcomes associated with external stimuli (Schoenbaum and Roesch, 2005; Delamater, 2007;
64 Ostlund and Balleine, 2007) it requires sensory inputs. It is known that sensory stimulus-
65 evoked signals in the OFC can distinguish between appetitive and aversive outcomes (Morrison
66 and Salzman, 2011) associated with the stimuli. Further, the OFC also has the capacity to
67 influence sensory processing by modulating neuronal receptive fields in early sensory cortices,
68 particularly the auditory cortex (Winkowski et al., 2013).

69 In order to understand how mechanistically specific stimulus outcome associations are created
70 and how the stimulus evoked OFC responses may influence sensory representation, it becomes
71 crucial to delve into the origins of sensory inputs and sensory response properties of the OFC.
72 In the case of auditory stimuli, the pathways involved and their contribution to auditory
73 responses in the OFC are not known. What aspects of information in the ongoing auditory
74 environment, how and in what form reaches the OFC would determine how mechanistically
75 stimulus-outcome expectancies or values would get computed or updated. As a first step, we
76 consider the auditory evoked responses of OFC neurons, purely from a sensory perspective and
77 attempt to decipher the crucial components of the auditory pathway involved in shaping
78 auditory responses and their properties in the OFC.

79 With single unit recordings in both awake and anaesthetized OFC we show that auditory
80 responses in the OFC are strongly context dependent with long timescale history dependence
81 and pure deviance detection, unlike the auditory pathway. Investigation of anatomical and
82 functional sources of inputs show that both the lemniscal and non-lemniscal pathways at the
83 cortical and sub-cortical levels shape auditory responses in the OFC. With multiple
84 pharmacological inactivation experiments, the contributions of multiple auditory cortical and
85 subcortical areas in OFC's auditory responses were assessed. In the auditory cortex (AC), the
86 dorsal region (AuD), with the most projections to the OFC, surprisingly did not contribute to
87 auditory responses of the OFC, while the other higher order non-lemniscal ventral auditory
88 area, AuV (Sacco and Sacchetti, 2010) was found to be the main source of auditory evoked
89 excitatory drive to the OFC. The primary auditory cortex (A1) contributed to temporal response
90 properties in the OFC. Further, considering the auditory thalamic (medial geniculate body,
91 MGB) sources showed that non-lemniscal AuV's contribution to OFC responses originate from
92 the lemniscal MGBv through its direct projections to AuV (Ohga et al., 2018). The non-
93 lemniscal, polymodal medial division of MGB, MGBm (Weinberger, 2011; Lee, 2015)
94 inactivation however caused the OFC auditory responses to become persistent, like frontal
95 cortex responses during working memory dependent tasks (Fuster and Alexander, 1971;
96 Funahashi et al., 1989; Schoenbaum and Setlow, 2001). Thus MGBm could be an important
97 component of the circuit that allows responses to persist for a longer duration depending upon
98 task demands. Thus the MGBm is a source that causes auditory driven long lasting inhibition
99 in the OFC. Inactivation of BLA, providing inhibitory inputs (Dilgen et al., 2013; McGarry
100 and Carter, 2016; Lichtenberg et al., 2017) to the OFC and known to receive MGBm inputs via
101 the LA, lateral amygdala (Ledoux, 2000; Woodson et al., 2000), showed similar emergence of
102 auditory driven persistent activity. Thus the MGBm-LA-BLA-OFC pathway provides crucial
103 inhibitory control on AuV driven auditory responses in the OFC. Further, the same pathway

104 contributed substantially to the strength of deviant selectivity in the OFC. We suggest that the
105 feedforward inhibition (Dilgen et al., 2013) from BLA to OFC and parallel MGBm to LA
106 inhibition (Woodson et al., 2000) transmitted to OFC via BLA allow for two independent
107 controls to generate persistent activity in the OFC required for stimulus outcome associations.
108

109 **Methods**

110 **Animals:** All animal experiments were approved by Institutional Animal Ethics Committee
111 (IAEC) of Indian Institute of Technology Kharagpur. Animals were reared under a 12-h
112 light/dark cycle and maintained at a temperature of 22–25 °C and had access to food and water
113 *ad libitum*. C57BL/6 mice of either sex aged between P-25 to P-45 were used for the
114 experiments. Data acquired in pharmacological block experiments before blocking were also
115 included in analysis of OFC responses.

116 Table 1. Experiment wise animals used

Experiment	Number of mice
OFC Electrophysiology	27
AC Electrophysiology	5
Anatomy	9
A1 Inactivation (+ Bilateral)	7 (+ 2)
AuV Inactivation	5
AuD Inactivation	3
MGBv Inactivation	2
MGBm Inactivation	5
BLA Inactivation	5
Awake OFC Electrophysiology	5
Total	74

117 **Animal Preparation**

118 **Anesthetized recordings:** Animals were anesthetized using Isoflurane (5% for induction and
119 around 1-1.5 % for maintenance). Body temperature was maintained at 39 °C by placing the
120 animal on a heating plate. A small incision was made to expose the skull and a metal plate was
121 attached on to the skull to head fix the animal. Once head fixed, a small (~2 mm dia) craniotomy
122 was performed to remove the skull over the recording site. For OFC, the stereotaxic coordinates
123 used were AP=+2.5 mm ML=1 mm from the Bregma, DV=1.8mm (Paxinos and Franklin,
124 2013) from the brain surface. For AC, the recording site was identified based on vasculature
125 (Sawatari et al., 2011) . All recordings were performed with a microelectrode array (MEA)
126 with a 4X4 grid (125 µm between rows and columns) of epoxy-coated tungsten electrodes
127 (MicroProbes, impedance ~3-5 mega ohms).

128 **Awake head-fixed recordings:** As in the anesthetized case, a similar but smaller craniotomy
129 (~1 mm dia) was performed and the electrodes were advanced into the recording site and the
130 held fixed on the skull using Metabond (C & B superbond). A titanium plate was also fixed to
131 the skull (posterior to the electrodes) with Metabond to head-fix the animal during the
132 experiments. The Animals were allowed to recover for 5 days and then were habituated with
133 the recording setup for 30 min for three days before data collection. Data collection lasted for
134 less than 7 days, with ~1 hour long sessions every day. Units collected on each day from the
135 recording electrodes were considered as separate units.

136

137 **Stimulus:** All acoustic stimulation was presented from the right side, contralateral to the
138 recording site. Initially noise bursts (6-48 kHz bandwidth, 50 ms, 5 second gaps, of multiple
139 intensities – 40 dB to 0 dB attenuation, in 10 dB steps; 0 dB attenuation corresponds to ~95 dB
140 SPL for tones) were used to obtain threshold sound level for noise. Next single units were
141 characterized with pure tones (50 ms, 6-48 KHz, 1/2 octave apart, 70-80 dB SPL – depending

142 on noise threshold, 5 seconds apart, except mentioned otherwise) to obtain tuning and the best
143 frequencies (BF) at the chosen sound level. Next response to a pair of oddball stimulus set was
144 collected. The oddball stimulus consisted of a standard token (S, 50 ms, either a noise token or
145 a pure tone) and deviant token (D, 50 ms, either a pure tone or a noise token respectively for
146 noise-tone, NT or TN, oddball; S and D were both pure tones in case of tone-tone, TT, oddball).
147 The S-D stream had 15 tokens presented usually at 4 Hz or 3.3 Hz; all the tokens were S tokens
148 except the 8th token (usually) which was the D token. In the second of the pair of oddball
149 stimulus set the S and D tokens were swapped. Each oddball set was repeated 20-30 times with
150 a gap of >5s between each repetition. All sound tokens presented in all kinds of stimuli had
151 5ms rise and fall times. Since recordings were with MEAs with 16 electrodes, the pure tone
152 frequency was chosen based on the tuning of the majority of simultaneously recorded neurons,
153 such that the chosen tone frequency was within the pure tone tuning range of most neurons.
154 The stimuli were generated using a custom written software in MATLAB (Mathworks) and
155 presented with Tucker Davis Technologies (TDT) ES1 speakers (driven with TDT ED1
156 drivers) after generation with a TDT RX6 processor and attenuated using a TDT PA5. The
157 speaker was placed 10 cm away from the contralateral ear.

158

159 **Electrophysiology:**

160 **Anesthetized:** The MEA was slowly advanced in to the recording site with the help of a
161 manipulator (MP-225, Sutter). The electrodes were allowed to settle and stabilize for about 30
162 min. before the data was acquired. Data were collected using custom written software
163 (MATLAB), through a unity gain headstage (16 channels, Plexon HST 16o25) amplifier,
164 followed by a preamplifier (PB3, Plexon, 1000X). Wide-band neural signals (0.7–8 kHz) as
165 well as a parallel set of 16 channels with spike signals (150 Hz to 8 KHz) were stored after
166 digitizing at 20 kHz using a A/D board (National Instruments). Off-line analysis was performed

167 with stored data. At the end of the experiment, the animal's brain was harvested for *post hoc*
168 examination of the recording site.

169 **Awake:** For awake recordings, the animal was placed in a small tube with head protruding out
170 and fixed using the titanium plate implanted during surgery. Rest of the procedure was similar
171 to the anesthetized recordings.

172 **Anatomy:** 9 animals were injected with 200 nl of green retrobeads (Lumafluor) into OFC and
173 3 of them were also injected with 100 nl of anterograde tracing AAV.CB7.CI.mCherry in
174 MGBv using Nanoject II. After 14 days of injection, the animals were transcardially perfused
175 with 20 ml PBS followed by 20 ml of 4% Paraformaldehyde and brain was harvested and kept
176 in 4% paraformaldehyde overnight. 100 μ m thick brain sections were cut using a vibratome
177 (Leica VT1000S), mounted on a glass slide with fluomount cover slip, and observed under a
178 fluorescence microscope (Leica DM2500).

179

180 **Electrophysiology with pharmacological inactivation:** A small burr hole was made on the
181 skull over the area to be inactivated, ipsilateral (or both sides as mentioned) to the recording
182 site. A Hamilton syringe (7000 series) loaded with the 200nl of GABA agonists
183 (5 μ g/ μ l muscimol and 2 μ g/ μ l baclofen) or equal volume saline was inserted and held via a
184 cannula implanted on the skull with dental cement. Only after the syringe was positioned
185 securely, the electrodes were inserted into the recording site as described above. For injecting
186 the agonists/saline during the experiment, the Hamilton syringe was gently pressed/tapped
187 multiple times over 5-10 s period to release the entire volume. There was a wait period of 30
188 mins for the agonists to have their effect before the next data set was acquired. SR101 was
189 added to the mixture of agonists or saline for *post hoc* confirmation of the target site and spread
190 of the injection. Different divisions of AC were identified and marked based on the vasculature.

191 For MGB (Slater et al., 2019) and BLA (Luna and Morozov, 2012) following stereotaxic
192 coordinates were used:

193 MGB: AP= -3.27 mm, ML= 2.0 mm from Bregma, -3.0 mm from the brain surface

194 BLA: AP= -1.3 mm, ML=3.2 mm from Bregma, 3.8 from the brain surface.

195

196 **Data Analysis:**

197 Spike Sorting was done offline in custom written MATLAB software. Data were notch filtered
198 (Butterworth 4th order) to reject any remnant power supply 50Hz oscillations. Spiking activity
199 was obtained directly from the spike channels of the PBX3 preamp. Waveform fluctuations
200 above 3.5-4 standard deviations (usually 4) from the baseline were isolated and based on
201 shapes, spike waveforms were clustered into different groups. The timing of spikes with respect
202 to data collection onset (and hence also stimulus presentation) were extracted for each spike
203 shape (single unit) for further analysis. A single unit was considered as responsive if the spike
204 rate within 400 ms (200 ms in case of awake condition) of stimulus presentation was
205 significantly different from the baseline (300 ms preceding the stimulus, t-test, alpha=0.05).
206 Response latency was calculated as the time at which the spike rate in the average peristimulus
207 time histogram (PSTH, 20ms bins) was maximum.

208 *Narrow tuning and calculation of BF:* Tuning of neurons was considered to be narrow (well-
209 tuned) or bimodal and/or broad. The frequency corresponding to the maximum response rate
210 out of the 7 frequencies presented to narrowly-tuned units (below) was considered the BF of
211 the unit. Those units were narrow -tuned whose average response to frequencies other than one
212 octave around BF was two standard deviations (variability in response rate at BF) below the
213 response at BF.

214 *Spatial BF Variability*: The standard deviation of BFs of all the simultaneously acquired units
215 (only narrowly tuned, above) was normalized by the product of area accommodating these
216 responding units and the number of units.

$$217 \text{ SpatialBFvariability} = \frac{\text{std}(\text{BFsof simltneouslyacquiredunits})}{\text{area} \times \text{numberofunits}} \dots (1)$$

218 For simulating a distribution of completely heterogeneous BFs, each unit was randomly
219 assigned a BF (uniformly over the 7 frequencies used) and BF variability was calculated with
220 1000 bootstraps.

221 *CSI calculation*: For common selectivity index (CSI) calculation, those units were included
222 which responded to at least one of the four stimulus tokens, first of each of the S tokens in the
223 oddball pair S_X and S_{XS} (XS being the swap of the X oddball), and the deviant tokens, D_X and
224 D_{XS} . CSI was calculated as per the following equation (Ulanovsky et al., 2003)

$$225 \text{ CSI} = \frac{(D_X + D_{XS}) - (S_X + S_{XS})}{(D_X + D_{XS}) + (S_X + S_{XS})} \dots (2),$$

226 where, $S_i/D_{X/XS}$ represent the mean rate response to those tokens – S_i the i^{th} token and S_{PT} being
227 the token preceding the D token. The rate responses in each case were computed based on the
228 following windows:

229 -For S_1 (100-400 ms from S_1)

230 -For S_{ALL} ($S_2 + 100$ ms - till deviant; entire length)

231 -For S_{PT} (100ms from S_{PT} - up to deviant)

232 -For D (100-400 ms from deviant)

233 When a sufficient sized population (at least 50 units) of paired data was not available (as in the
234 case of tone-tone, TT oddball and in before versus after pharmacological inactivation
235 experiments) deviant selectivity index (DSI) of each unit was calculated as follows

$$236 \text{ DSI} = \frac{D_X - S_X}{D_X + S_X} \dots (3).$$

237 *Anatomy:* The number of retrobeads was quantified using a threshold that was manually set for
238 individual sections depending upon the background intensity. The laminar demarcation was
239 based on distance from pia, which was also corroborated in a subset with the MGBv projections
240 observed with AAV.CB7.CI.mCherry. All beads within 300 μm from the pia were marked as
241 layer 2-3, beads between 300 to 450 μm (or mCherry) were marked as layer 4 and beads below
242 that were grouped into layer 5-6.

243 Demarcation of AuV, A1 and AuD to confirm correct injections through spread of SR101 in
244 blocking solution was through brain atlas (Paxinos and Franklin, 2013) and distance from Rf
245 (rhinal fissure). Only those animals were included in the dataset whose spread was *post hoc*
246 confirmed as above. Injections in MGBv and MGBm were targeted and *Posthoc* conformed
247 through brain atlas (Paxinos and Franklin, 2013)

248 *Response duration:* A sliding response window of size 100 ms starting from the stimulus start,
249 in 20 ms steps, was compared with the random 100 ms window in the baseline for significance.
250 Consecutive significant bins with a time difference of less than 100 ms between them were
251 joined together for the determination of response duration.

252 *Spike timing jitter:* The spike timing jitter expressed as variability in the timing of individual
253 spikes across trials was computed as the reliability (R_{corr}) in spike timing which is a measure
254 of similarity between pairs of individual spike trains (Schreiber et al., 2003). The trial wise
255 individual spike trains binned at 1 ms was convolved with a Gaussian filter of $\sigma=2$ ms and then
256 coefficient of correlation was calculated between all pairs of trials using the MATLAB function
257 *corrcoef*. Higher the R_{corr} value less is the spike timing jitter.

258 *Pairwise correlations:* Pairwise correlations were calculated as correlation coefficient using
259 the MATLAB function *corrcoef* between mean PSTHs (from stimulus start) of simultaneously
260 recorded units.

261

262 **Results**

263 **OFC neurons respond to sound with very long timescale dependence**

264 OFC single neurons, mainly studied in primates, are known to respond to sounds and other
265 sensory stimuli. However, response properties of particularly auditory stimuli in the mouse
266 OFC are not known. Although mouse OFC single neurons have been shown to respond to a
267 variety of sounds (Winkowski et al., 2017), it is unclear how selective the responses are and
268 how the responses change under different sensory contexts. Most studies have considered
269 auditory cue associated responses in the OFC in the context of behavior with single or multiple
270 cues linked to aspects of behavior. However, in a dynamic natural environment, in which an
271 animal is required to involve the OFC for the purposes of flexible stimulus value guided
272 behavior (Wallis, 2007; Groman et al., 2019), the sensory response properties in different
273 sensory contexts is crucial. To first obtain OFC single neuron responses to sounds, independent
274 of behavioral state, active memory and other cognitive processes, we considered single units
275 in the OFC of the anesthetized mice.

276 OFC single unit recordings were performed in the anesthetized mouse in response to auditory
277 stimuli. The recording sites were confirmed to be in the OFC (lateral and/or ventral), *post-hoc*
278 by Nissl staining of coronal sections (Fig. 1A). We found that neurons in the mouse OFC
279 robustly responded to sound stimulation (Fig. 1B and 1C) with broadband noise and pure tones
280 (also see Winkowski et al., 2017), with typically monotonic rate intensity functions with noise
281 (Fig. 1B, right) and variety of BFs (Fig. 1Ci-iv) with narrow (Methods, 45% of the responding
282 units) and bimodal to broad tuning (55% of the responding units, Fig 1Cv-vi). While most of
283 the responding units increased their firing rate at BF (~86%, 816/949 units), about ~14%
284 (133/949) of units reduced their firing rate at some frequency (Fig. 1D); 76 of the 133 units
285 were excited at BF while the rest showed no excitatory responses. Notably the inhibition
286 observed in all the 133 units (Fig. 1D), were all at either 6 or 8.5 kHz. The mean peak latency

287 observed at the BF of units with excitatory responses was 284 ± 3 ms (Fig. 1e). The latency
288 was substantially longer than what is observed in the mouse AC single unit responses but has
289 similar latencies as a late component of auditory responses (Chen et al., 2015), likely in the
290 nonprimary AC.

291 In order to check for possible topographical organization of tuning based on the narrowly tuned
292 units in the horizontal plane of the OFC, we considered the variability of BF of units recorded
293 simultaneously. The distribution of calculated spatial BF variability in simultaneously recorded
294 units (Eqn. 1, Methods) across all recording locations was compared with the distribution
295 expected with completely random BFs at each recording site (Methods) (Fig 1E, black arrow
296 median of data, gray arrow median of the distribution with spatially random BFs). The two
297 distributions were not significantly different (KS-test, $p=0.06$). Thus we conclude that the local
298 organization is completely random indicating absence of any BF based organization at the
299 spatial scales (~ 400 μm) of our recording along the horizontal plane in OFC.

300 We used a 5s inter stimulus gap to characterize single unit responses to tones and noise in the
301 mouse OFC. While, stimulus presentation rates in the auditory pathway, to rule out any effects
302 of adaptation, have been long established (Antunes et al., 2010), corresponding repetition rates
303 in the OFC are not known. To investigate effects of adaptation and stimulus history, we
304 recorded OFC responses to pure tone presentation with varying inter-trial interval (ITI) with
305 short interval having less than 5s, mid interval ranging from 5s to 7s and long interval ranging
306 from more than 7s to up to 11s (Fig. 2A and C). Since multiple time scales of adaptation exist
307 (Ulanovsky et al., 2004) in the auditory cortex itself, we investigated the timescales of
308 adaptation in the OFC in comparison to AC (Fig. 2B and F, both A1 and AuV; determined
309 from *post hoc* Nissl stains with electrode tracks). We found that the response profiles of these
310 three ITIs in the OFC were significantly different (one-way ANOVA Fig. 2C&D). The peak
311 spike rate of the long ITI group was significantly different (one-way ANOVA, $p<0.001$) from

312 the mid and short ITIs (Fig. 2D), whereas the latency (Fig. 2E) of the short group was
313 significantly different from the other two groups (one-way ANOVA $p < 0.01$ between short and
314 long and $p < 0.001$ between short and mid). These results indicate that OFC neurons show very
315 long (at least up to tens of seconds) timescales of stimulus history dependence, reflected in the
316 spike rate or latency of the response. Similar analyses of the AC neurons (Fig. 2F-H) show that
317 neither the peak spike rates (Fig. 2F&G) nor the response latencies (Fig. 2H) were different in
318 the three groups. Thus OFC responses to auditory stimuli were found to have unprecedented
319 temporal stimulus history dependence. Such remarkable dependence of sensory responses on
320 long stimulus history, unlike in the sensory cortex, would be crucial in normal environments
321 with continuously varying sensory inputs, unlike experimental controlled environments.

322 **Neurons in the OFC show high context dependence and pure deviance detection unlike** 323 **in the AC**

324 Given the long temporal dependencies, presumably due to strong and long lasting adaptation,
325 it becomes important to find, to what aspects in streams of sounds, neurons in the OFC respond.
326 Since most neurons in the OFC are broadly tuned and respond to noise (Fig. 1), we first
327 considered an oddball stimulus set with noise tokens as the standard (S) stimulus with a tone
328 embedded in the stream as the D token (Methods). Thus responses of OFC single units to a pair
329 of NT and TN oddball stimuli were collected. We found that OFC neurons robustly responded
330 to the D token (Fig. 3A). Typically, a strong onset response to the first of the standards (a
331 deviant/change from the pre-stimulus silence) was seen, followed by a strong response to the
332 D. Following both the first S and D, the responses adapted quickly without responding to the
333 succeeding tokens. Thus the responses in the OFC to odd-ball stimulation show strong and fast
334 stimulus specific adaptation (Taaseh et al., 2011; Nieto-Diego and Malmierca, 2016).
335 Oddball stimulus response pairs (NT and TN) from 109 OFC units (10 animals), 218 cases,
336 where the unit responded at least one of the 4 stimulus tokens (S_1 and D in each of the two in

337 a pair, Methods). In 36% (79/218) of the cases units responded to both the first S token (S_1)
338 and the D token ($S_1=1, D=1$; 62/79 NT and 17/79 TN cases, Fig. 3B, black), while in 31%
339 (68/218) cases units responded to S_1 but not D ($S_1=1, D=0$; 3/68 NT and 65/68 TN cases Fig.
340 3B, magenta). Further, 17% (37/218) responded to only the D token ($S_1=0, D=1$; 21/37 NT and
341 16/37 TN cases Fig. 3B, blue) and the remaining 16% did not respond to either. In the last 16%
342 cases there was however a response to one of the stimulus tokens (S_1 and/or D) in the
343 corresponding swap oddball stimulus. Thus, although OFC neurons were found to be generally
344 responsive to both, the tone token and the noise token (Fig. 1, Methods), their response to the
345 same sound token changed depending on the context of the stimuli. We find that when a neuron
346 responded to a tone as S_1 (82/109), it was less likely to respond to the noise token as D (65/82
347 and 17/82, $p < 0.001$, *two proportion z-test*). On the contrary, if a neuron responded to the noise
348 token as $S_1=1$ (65/109), it was more likely to also respond to the tone token as D (62/65 and
349 3/65, $p < 0.001$, *two proportion z-test*). Such context specific selectivity is contrary to
350 expectations as a broadband noise as S is likely to adapt all frequency channels thus masking
351 the response to a tone as D embedded in a sequence of noise tokens. The above suggests that
352 the OFC auditory circuitry is inherently more selective to detecting narrowband sounds in
353 broadband background noise. However, when considering the response of the same unit to a
354 stimulus token (noise or tone) as the S and as D, we find that out of 109 units, 75(17), 7(48),
355 8(16) and 19(28) units responded to the tone (noise) both as S and D, only as S, only as D and
356 neither respectively. The above suggests that responses to the tone was less context sensitive
357 than noise with more units responding to tones than to noise (75/109 and 17/109, $p < 0.001$, *two*
358 *proportion z-test*) independent of its occurrence as S or D. This further corroborates the fact
359 that the OFC neurons inherently are more responsive to tones and hence likely narrowband
360 tonal sounds like mouse vocalizations.

361 In contrast, we found nearly an opposite pattern of context dependence in the AC. Responses
362 to oddball stimuli pairs (NT and TN) from a total of 62 units (51 in A1, 11 in AuV, 124 cases,
363 Fig. 3C) were collected from the AC that showed responses to at least one of the 4 stimulus
364 tokens (as above, Methods). In 22% (28/124) of the cases units responded to both S_1 and D (7
365 NT and 21 TN, bar plot Fig. 3C, black), while in 48% (60/124) cases units responded to S_1 but
366 not D (54 NT 6 TN, bar plot in Fig. 3C, magenta). Further, 20% (25/124) responded to only
367 the D token (none NT and 25 TN, bar plot in Fig. 3C, blue) and the remaining 10% did not
368 respond to either. As expected from adaptation along frequency channels, when there was a
369 response to the noise as S very few units showed a response to the tone as deviant (7/61) and
370 most were unresponsive to the tone (54/61), opposite of what was observed in the OFC.
371 Considering responses of the same neuron to the tone or noise token in either of the two
372 contexts (as S_1 or D), 7(45), 20(16), 0(1) and 35(0) out of 62 AC units responded to tone (noise)
373 as both S_1 and D, only as S_1 , only as D and neither of the two. As above, in the AC, contrary
374 to observations in the OFC, the tone responses independent of S and D were absent, while noise
375 responses occurred almost independent of S and D (7/62 and 45/62, $p < 0.001$, *two proportion*
376 *z-test*). There were many more cases in the AC when there were no responses to the tone either
377 as S or as D. Such units were present in both A1 and AuV in similar proportions (30/51 and
378 5/11, NS). The choice of tone frequency (Tf) for oddball sets (NT & TN) with respect to the
379 BF of neurons whose responses were collected, could not explain the difference of context
380 dependence in the AC and OFC as in both cases the choices were similar (Fig 3D mean(|BF-
381 Tf|) 1.3 octaves OFC and 1.1 octave AC, NS, *unpaired t-test*).

382 To find the neuron's inherent preference to the deviant either T or N, we calculated CSI in three
383 different ways based on the choice of S tokens considered for S_X and S_{XS} in Equation 2. We
384 compared the spike rates in response to either the first token of standard (S_1) and D, or all
385 standard tokens (S_{ALL}) preceding D except S_1 or just the previous token (S_P) to D. Comparison

386 of responses in scatter plots (Fig. 4A) of spikes rates of S_1 and D (left), S_{ALL} and D (middle)
387 and S_P and D (right) for NT and TN (blue and orange respectively) lack of responses to S
388 beyond S_1 . Further, the different CSI distributions (Fig. 4A, bottom) show that the OFC
389 neurons respond strongly to S_1 as compared to D probably because S_1 is a bigger change than
390 D in the stimulus space. The scatter plots of spike rates and CSI indices of S_{ALL} and D and S_P
391 and D look very similar suggesting that OFC neurons do not respond to any S except S_1 . We
392 calculated the CSI of OFC neurons by either taking S_{ALL} and D or S_P and D, we found that
393 these two CSI distributions were not significantly different, whereas in AC these two
394 distributions were significantly different ($p < 0.001$, *t-test*) (Fig. 4B). This clearly shows a strong
395 adaptation onset right from the second token (beginning of repetition) in OFC unlike ACX
396 suggesting a hierarchy of SSA strength along this pathway.

397 Since neurons in the OFC did not respond to any of the S tokens following the response to S_1 ,
398 showing pure deviance detection by responding only to the D token (with S_1 being also
399 effectively a D from the preceding silence), instead of the 200 (usually)/ 250 ms inter token
400 interval (IToI), we also considered IToIs of 300 (27 units), 400 (41 units) and 500 ms (40 units).

401 Single neuron raster plots and population mean PSTHs of responses to oddball stimuli (Fig.
402 4C) showed no responses to any of the sound tokens other than S_1 and D, again showing pure
403 deviance detection like responses.

404 In order to consider the generality of the pure oddball detection with two narrowband sounds
405 instead of one narrowband and one broadband, we also collected responses to oddball stimuli
406 with 2 tones (TT; 102 units) but not necessarily in pairs as in the NT/TN cases. As with TN
407 and NT cases, there was a lack of responses to the S token beyond S_1 and strong response to
408 the D tone (Fig. 4D). Comparing the mean DSI (Fig. 4E) between the 3 ways of computing
409 selectivity index (considering S_X in Eqn. 3, to be response to S_1 , S_{ALL} and S_P), as in the case of
410 NT/TN CSI, showed no significant difference between DSI_{ALL} and DSI_P (0.49 and 0.6, NS,

411 ANOVA). In a small subset of units ($n=25$), for which paired data was available with the same
412 stimulus parameters (repetition rate, position of D) as the TN and NT dataset, CSI_{ALL} and CSI_P
413 were also not different (0.23 and 0.26, NS, ANOVA). The comparatively lower CSI value was
414 due to lack of responses to some of the tones as D in this dataset or higher spontaneous rate,
415 which also further shows strong adaptation to the S tone. Thus OFC neurons probed with odd
416 ball stimuli show that they inherently detect changes or violations to the regularity in the
417 stimulus space and could be an important attribute required for flexible value updating in the
418 OFC.

419

420 **Sparse responses in the OFC of awake, passively listening mice also show deviance** 421 **detection**

422 In order to confirm that the observed deviance detection in the OFC is also present in neurons
423 in awake, single unit recordings in passively listening mice were performed with electrodes
424 implanted in the left OFC (Methods). As in the anesthetized case, we found robust responses
425 to auditory stimulation, with 73% (162/219) units showing excitatory responses to pure tones
426 (Fig. 5A&B), which is a much higher proportion of neurons than in an earlier study
427 (Winkowski et al., 2017). The neurons showed expectedly much shorter latency to peak
428 (134.5 ± 2.83 ms SEM, Fig. 5C) than in the anesthetized mice, while a small 14% units showed
429 a very short latency (~ 14 ms) and were not included in further analyses as they could be sound
430 evoked movement related. As in the anesthetized mice, in the awake mice also, a small fraction
431 of neurons (4%) showed inhibitory responses to tones.

432 In the awake head-fixed passively listening mice, responses to TN and NT pairs of oddball
433 stimuli were collected from 54 units (108 cases, Fig. 5D and E), which showed responses to at
434 least one of the four stimuli (two S_1 tokens and two D tokens), as earlier. The population PSTHs
435 (Fig. 5E) clearly show that neurons responded only to the first token S_1 and then to the D token

436 and not to the other tokens, as was observed in the anesthetized OFC. Thus the basic
437 observation of immediate adaptation to a single token and response only to deviant token, if at
438 all, giving rise to deviant selectivity, is the same as in anesthetized mice. However, the
439 responses in the oddball case were far more selective, sparse and context dependent in the case
440 of passively listening mice than in anesthetized mice. In 30/108 (28%) cases there were
441 responses to neither S_1 nor D, which is significantly larger than that in the anesthetized OFC
442 and AC (34/218, $p < 0.01$ and 12/124, $p < 0.001$ respectively, *two proportion z-test*). In 10/108
443 cases there were responses to both S_1 and D (8 NT and 2 TN), 49/108 cases to only S_1 (36 NT
444 and 13 TN) and 19/108 cases to only the D token (1 NT and 18 TN, Fig. 5F). Thus overall, in
445 the awake condition, there were responses to the D token in 27% (29/108) cases compared to
446 53% cases in the anesthetized OFC (Fig. 3B), showing even higher selectivity of responses.
447 When considering the same sound tokens as S and D, unlike the anesthetized case we found
448 far stronger context dependence of responses, particularly to tones. In 1(14), 14(30), 8(6) and
449 31(4) out of 54 units, there were responses to tones (noise), both as S_1 and D, only as S_1 and
450 not as D, only as D and not as S_1 and to neither S_1 nor as D, respectively. Thus responses to
451 tones were far sparser and selective in the oddball stimuli with only 23/54 units showing
452 responses to the tone (either as S_1 or as D), although for pure tones there were responses in
453 73% units ($p < 0.001$, *two proportion z-test*).

454

455 **OFC receives the major projections from the dorsal AC but the major excitatory drive**
456 **from the ventral AC**

457 With our observed auditory response properties in the OFC single neurons, and its capability
458 in modifying auditory cortical responses (Winkowski et al., 2013), it is important to decipher
459 the sources of auditory inputs to the OFC to understand how such response properties are
460 derived and how the circuit maybe involved during behavior. While the mouse OFC is being

461 studied extensively in the context of behavior with different sensory stimuli and cues
462 (Bissonette et al., 2008; Graybeal et al., 2011; Ward et al., 2015; Jennings et al., 2019; Liu et
463 al., 2019), mouse OFC afferents that can carry auditory information is not clear. In fact,
464 parallels of prefrontal structure and function between the mouse and the non-human primate
465 appear to be inconsistent across studies, rodent atlases (Preuss, 1995; Laubach et al., 2018),
466 although the mouse OFC (and PFC) receives medio-dorsal nucleus (MDN) inputs
467 (Groenewegen, 1988; Murphy and Deutch, 2018). With the rise in use of mice, due to genetic
468 and other technical advantages, in frontal cortical research, it becomes important to
469 characterize the anatomical inputs. In our case, the recording location is the lateral and ventral
470 OFC (LO/VO) relatively in the rostral part of the OFC extent (Fig. 1A). Although in the rat, an
471 analogous region is known to receive auditory cortical projections (Murphy and Deutch, 2018),
472 anatomical and functional details of the sources are not known in the rodent.

473 To find the main source of auditory afferents in the OFC that would be capable of driving
474 auditory responses, we performed neuroanatomical experiments by injecting 200 nl green
475 retrobeads (Lumafluor Inc) stereotactically in the OFC. We specifically targeted the location
476 where we perform electrophysiological recordings ($n = 9$, Figs. 1A&6A). Number of beads in
477 the regions across the rostro-caudal (RC) and medio-lateral (ML) extent encompassing the
478 ventral AC (AuV), primary AC (A1) and dorsal AC (AuD) were observed and quantified (Fig.
479 6B, Methods). In a subset of experiments (Methods) we also confirmed the extent of A1 and
480 AuV with m-cherry labeled projections (Fig. 6C) from the ventral division of the medial
481 geniculate body (MGBv). Since both AuV and A1 (Fig. 6C) receive MGBv projections (Ohga
482 et al., 2018), the region dorsal to A1 could be identified as AuD. The regions were demarcated
483 in other mice (without MGBv labeled projections) based on the mouse atlas (Paxinos and
484 Franklin, 2013), which corresponded well with our observations in mice with MGBv injections.
485 Similarly, labeled MGBv projections also allowed us to corroborate lamina specific

486 distribution of cells projecting to the OFC. The average projection profile across the ML extent
487 of AC showed that AuD had the highest density of cells projecting to the OFC, followed by
488 AuV, with minimal projections from A1 (Fig. 6D). The lamina specific distributions (Fig. 6E)
489 showed that most of the projections to OFC from the AC originated in the infra-granular layers
490 (Layer V/VI). Thus with both A1/AuV and AuD projecting to the OFC, it is likely that both
491 the lemniscal and nonlemniscal pathways are involved in shaping auditory responses in the
492 OFC.

493 We next tested the functionality of projections from AC to the OFC, to determine the
494 contribution of the different regions of AC to the auditory responses in the OFC. In a series of
495 experiments, single unit recordings with responses to pure tones in the OFC were performed
496 before and after pharmacological inactivation of AuD ($n = 3$), AuV ($n = 5$) and A1 ($n = 7$) with
497 muscimol and baclofen (Methods). The site of inactivation was confirmed by tracking
498 fluorescent SR101 (Fig. 6F-H, left top) mixed with the muscimol-baclofen solution.

499 Contrary to expectation, inactivation of AuD (Fig. 6F), did not affect the single unit OFC
500 responses to auditory stimulation (Fig. 6F, right, example single unit dot raster). The population
501 mean PSTHs ($n = 45$ units) before and after AuD inactivation (Fig. 6F, bottom left), showed
502 no difference (blue and red, mean spike rates 72.2 ± 3.7 and 65.7 ± 4.8 , NS). All frequencies
503 responding significantly before inactivation were included in constructing the population
504 PSTHs. While the AuV, with lesser number of neurons than AuD projecting directly to the
505 OFC (0.11 ± 0.02 & 0.2 ± 0.03 beads, $p < 0.01$ *t-test*; normalized), was found to be the source
506 of almost the entire auditory driven excitatory activity in the OFC. Similar plots as before, of
507 example dot raster and population mean PSTHs ($n = 43$ units) for before and after AuV
508 inactivation (Fig. 6G) show that OFC auditory responses were almost completely abolished
509 following AuV block (98.7 ± 3.3 and 14.9 ± 1.4 , $p < 10^{-76}$). Of course, other than the direct
510 inputs to OFC from AuV, other inputs to OFC providing such excitatory auditory inputs cannot

511 be ruled out, but such indirect pathways also must originate from the AuV. Thus the dorsal and
512 ventral divisions of nonprimary AC are in stark contrast of each other in terms of their
513 contribution to OFC auditory responses. However, their direct anatomical connections show
514 characteristics opposite to their functional contributions. Similar to AuD block, inactivation of
515 A1 (Fig. 6H) did not lead to any change in firing rates (70.1 ± 2.4 and 72.9 ± 3.4 , NS), as
516 observed in population mean PSTHs ($n = 62$ units) and dot raster plots (Fig. 6H). The
517 possibility of a contralateral A1 contribution through indirect pathways to OFC was also
518 considered. Bilateral inactivation of A1 (Fig. 6I, top left) also did not change firing rates of
519 OFC neurons as assessed through population mean PSTHs ($n = 30$ units) and mean firing rates
520 of single units in response to tones before and after pharmacological inactivation of both A1s
521 (61.7 ± 10.07 and 79.5 ± 11.3 , NS).

522

523 **Auditory responses in the OFC originate from both, the lemniscal and nonlemniscal**
524 **medial geniculate body (MGB)**

525 Although AuV, alternately A2 (Stiebler et al., 1997), is classically considered a belt area (Kaas
526 and Hackett, 2000), the presence of lemniscal inputs to AuV (Fig. 6C, Ohga et al., 2018)
527 opposes the classical view that MGBv projects exclusively to A1/AAF in the core AC. Since
528 A1 and AuD inactivation did not cause changes in response rates in the OFC and given MGBv
529 projections on AuV, we hypothesized that OFC auditory responses originate in the lemniscal
530 MGBv. To test the hypothesis, single unit recordings in response to pure tones in the OFC were
531 performed before and after MGBv inactivation (Fig. 7A). As with AuV inactivation, auditory
532 responses in the OFC were completely abolished with MGBv block (23 units, 121.3 ± 9.7 and
533 18.5 ± 1.5 , $p < 10^{-16}$). Since MGBv efferents almost entirely project to A1/AAF and AuV, we
534 conclude that the entire auditory driven excitatory input originates in the lemniscal auditory
535 thalamus (MGBv). For our MGBv inactivation we confirmed *post hoc* (Fig. 7A top left, inset)

536 the lack of SR101 in dorsal MGB (MGBd) (Paxinos and Franklin, 2013) to rule out inactivation
537 of the neurons projecting to AuV from there. Physical damage to MGBd during GABA agonist
538 injections to MGBv was also ruled out by repeating the experiments with saline injections to
539 MGBv which did not alter tone response rates in the OFC ($n = 18$ units, 93 ± 6 and 75.6 ± 6.6 ,
540 NS). Also, since AuD with major thalamic afferents from MGBd (Kok and Lomber, 2017) did
541 not alter OFC responses, involvement of the nonlemniscal MGBd in OFC auditory responses
542 are at best minimal. The contribution to OFC auditory responses of the remaining major
543 nucleus of the auditory thalamus (Calford, 1983; Rouiller et al., 1989; Winer et al., 1999), the
544 medial MGB (MGBm), was also tested in a similar fashion (Fig. 7B). As opposed to abolition
545 or no change in response rates observed in the other inactivation experiments, responses to pure
546 tones altered dramatically in the OFC following inactivation of MGBm. Most units showed a
547 behavior similar to the example single unit activity before (blue) and after (red) MGBm block
548 shown in Fig. 7B (right). The population mean PSTHs (42 units) showed no change in peak
549 response rates (54.7 ± 2.8 and 52 ± 2.8 , NS, *t-test*) with MGBm inactivation, but the responses
550 became persistent, with spiking continuing following the stimulus onset sometimes up to 1s
551 and mean response duration almost doubling (177 ± 6 and 314 ± 11 ms, $p < 0.001$, Fig. 7C).
552 Thus, MGBm, which has multiple sources of auditory inputs as well as other sensory inputs
553 and crucially involved in auditory fear conditioning, in the non-lemniscal auditory pathway, is
554 a source of long lasting auditory driven inhibition in the OFC. The net inhibitory effect in OFC
555 originating from the MGBm thus sharpens auditory responses. Likewise, when the inhibitory
556 source in MGBm is itself inhibited, based on behavioral relevance of stimuli (O'Connor et al.,
557 1997) the effect would be to convert a short duration response into a long lasting persistent
558 activity, a feature of prefrontal cortical responses in working memory (Fuster and Alexander,
559 1971; Funahashi et al., 1989; Schoenbaum and Setlow, 2001).

560 Further investigation of the nonlemniscal source (MGBm) of auditory responses in OFC,
561 requires following up on its broad projections across entire AC, multimodal and limbic cortical
562 areas (Lee and Winer, 2008; Lee, 2015) through cortical layers 1 and 6 (Huang and Winer,
563 2000) and the amygdala, specifically the lateral amygdala, LA (Iwata et al., 1986; LeDoux et
564 al., 1990; Woodson et al., 2000). Given the strong connection from MGBm to LA (Woodson
565 et al., 2000) and LA to BLA (Ledoux, 2000) and dense projections from BLA to OFC
566 (Lichtenberg et al., 2017), we hypothesized that the major MGBm contribution to OFC
567 auditory responses is through the BLA. Barring the possible auditory response contributions in
568 the OFC of MGBm through AC layer 1 (Huang and Winer, 2000) and AC to LA through BLA,
569 effects of BLA inactivation would produce an effect similar to that of MGBm silencing. As
570 expected, on inactivating the BLA (Fig. 7D) we found a similar response pattern with long
571 lasting persistent activity in the OFC (example dot raster, Fig. 7D, right), with no change in
572 peak firing rates as assessed through the population mean PSTHs before and after BLA block
573 (56 units, 68.7+/- 4.6 and 78.9+/-5, NS, *t-test*). As with MGBm block response duration
574 increased (Fig. 7E) by similar degrees with BLA inactivation (response duration: 156+/-11 and
575 338+/- 14, $p < 0.001$, *t-test*).

576 The changed response to tones after MGBm or BLA inactivation was quantified by considering
577 the difference in mean rate responses (after-before) to the different frequencies (in the window
578 of response duration after inactivation, Methods) relative to the BF of the units (Fig. 7F,
579 MGBm, block left, BLA block, right). In both cases similar pattern of rate difference was
580 observed, with almost all frequency components relative to BF, showing no significant
581 difference except a peak at 2 octaves above BF and a negative peak at BF. Thus the MGBm or
582 BLA based inhibition into the OFC is not organized in a BF specific way. Rather when
583 considering the changes in firing rate before and after MGBm or BLA inactivation (Fig. 7G,
584 left and right) in absolute frequency it is found that significant inhibitory inputs are in the

585 middle frequency region of mouse hearing (17-34 kHz). Further we can conclude that there is
586 a trend of a significant net excitatory contribution mediated through both MGBm and BLA in
587 the lower frequencies (6-12 kHz). Since the pathway originating in the MGBm is associated
588 with fear conditioning it is likely that this pathway is already shaped based on the natural
589 previous experience of fear associated stimuli and auditory events.

590

591 **Auditory response properties and deviant selectivity of OFC: contributions of auditory**
592 **input sources**

593 Our inactivation experiments of the different divisions of the AC, the MGB and the BLA
594 conclusively show the sources of the major auditory driven effective excitatory and inhibitory
595 inputs to the OFC. The conclusions are based on the overall firing rates and duration of
596 responses to tones. Further analyses of the responses before and after inactivation of A1, AuD,
597 MGBm and BLA (the cases in which responses were not abolished) show how detailed
598 temporal aspects of OFC responses, important in population coding based on synchronization
599 and ultimately in contributing to plasticity and adaptive coding, are derived from the different
600 sources. Since AuD inactivation did not cause any changes in responses in the OFC, changes
601 in other aspects of responses were not considered further.

602 We find that, with inactivation of A1, although there are no changes in firing rate, there is a
603 significant reduction in latency of responses to tones in single units of the OFC (306 ± 3.4 ms,
604 258 ± 4.33 ms, $p < 0.001$, *paired ttest*, Fig. 8A, left). Such latency reduction is usually
605 associated with, a stimulus getting effectively stronger (for example with increasing sound
606 level of noise, Fig. 1B), weaker long term adaptation (Fig. 2E) or a disinhibition. Similarly, on
607 considering latencies before and after inactivation of MGBm and BLA, opposing effects of
608 latency were found, although in both cases similar changes were observed in terms of response
609 rates and response duration. MGBm inactivation led to marked increase in latency to tones

610 (246.5 \pm 4.4, 296.6 \pm 7.3 ms, $p < .001$, *paired t-test*, Fig. 8A, middle) while inactivation of BLA
611 barely led to a reduction in latency (315.4 \pm 7.4, 297.4 \pm 8 ms, NS *t-test*, removing effect of
612 outliers and comparing medians, $p < 0.05$ *ranksum*). Since the initial latency of response varied
613 between the two populations of single units (before MGBm block and before BLA block) we
614 also compared the fractional change in latency of single units on MGBm inactivation and BLA
615 inactivation (mean 27% and 7% *unpaired t-test* $p < 0.001$). Thus the effective inhibition in the
616 OFC, originating from MGBm is not simply relayed by the BLA. It is likely that there are other
617 auditory sources that also provide inhibition via BLA on to OFC. Such sources of inhibition
618 on OFC through BLA, likely from AC, (Bertero et al., 2019) remain intact on MGBm
619 inactivation leading to longer latency, while with BLA inactivation their effect is removed to
620 lead to the reduced latency. A likely candidate pathway would be A1/AuV to the lateral
621 amygdala (LA, Romanski and LeDoux, 1993; Romanski et al., 1993; Tsukano et al., 2019),
622 which in turn projects to the BLA (Janak and Tye, 2015). Further, LA also receives inputs from
623 MGBm (Ledoux, 2000; Woodson et al., 2000) and is involved in fear conditioning, and thus it
624 is a critical pathway to provide affective value information associated with particular auditory
625 stimuli to the OFC.

626 Spike timing is crucial in generating synchronization in populations of neurons (Kreuz et al.,
627 2007; Ermentrout et al., 2008), plasticity (Benedetti et al., 2009; Li et al., 2014) and in forming
628 associations (Atilgan et al., 2018). Such temporal aspects of auditory responses are important
629 in adaptively modifying and transforming sensory stimulus driven responses to behavior
630 associated responses in the OFC. We first considered spike timing reliability in the OFC single
631 units before and after inactivation of the different auditory sources. A1 inactivation remarkably
632 increased spike timing reliability quantified through R_{corr} (Methods, from 0.0953 ± 0.01 to
633 0.1385 ± 0.01 , $p < 0.001$, *paired t-test*, Fig. 8B, left) in response to tones. Again, a differential
634 effect was observed with BLA and MGBm inactivation. While MGBm inactivation decreased

635 spike timing reliability (from 0.16 ± 0.02 , to 0.11 ± 0.01 , $p < 0.01$, *paired t-test*, Fig. 8B, middle),
636 BLA inactivation nearly doubled reliability of spike times (from 0.11 ± 0.02 , 0.19 ± 0.02 ,
637 $p < 0.001$, *paired t-test*, Fig. 8B, right) in repeated presentation of tones. Since inhibition is
638 crucial in precise spike timing (Pouille and Scanziani, 2001; Wehr and Zador, 2003), and with
639 MGBm having the highest reliability in spiking (Anderson and Linden, 2011) among the
640 auditory thalamic nuclei, the loss of BLA mediated feedforward inhibition originating from
641 MGBm, leads to the decreased reliability is spiking in the OFC. However, since A1 acts as a
642 source of spike timing jitter in the OFC (Fig. 8B, left), blocking of BLA also effectively
643 removes the effect of A1 dominated spiking jitter introduced in the OFC through LA.
644 To analyze the contribution of the different auditory sources to response properties of
645 populations of OFC single units. We considered the case of NT and TN oddball stimuli since
646 the hallmark of the auditory responses of the OFC is context dependence and deviant detection
647 (Fig. 8C). Pairwise correlations (Methods) can strengthen coding efficiency (Hung et al., 2015)
648 and enhance plasticity (Feldman, 2009). Thus, synchronization of responses in pairs of
649 simultaneously recorded OFC single units was considered before and after inactivation of each
650 of the auditory sources. Inactivation of A1 produced a small decrease in synchronization with
651 oddball stimuli (from 0.67 ± 0.01 to 0.61 ± 0.02 , $p < 0.05$, *t-test* Fig. 8D, left). However,
652 MGBm inactivation caused no change in pairwise correlations (0.69 ± 0.01 and 0.69 ± 0.01 ,
653 NS, Fig. 8D, middle) while BLA inactivation reduced pairwise correlations (from 0.64 ± 0.02
654 to 0.43 ± 0.02 , $p < 0.001$ *t-test*, Fig. 8D, right). Thus the effective inhibitory input to the OFC
655 from the BLA synchronizes activity across populations of single units, independent of the
656 MGBm input to the BLA. As BLA inactivation increases spike timing reliability of single
657 neurons, it suggests that auditory driven indirect AC input to the BLA on to OFC, causes
658 synchronization across pairs of neurons by reducing across trial similarity in responses of single

659 neurons, enhancing a population level representation. The above is further corroborated by the
660 fact that A1 inactivation reduced pairwise correlations in the OFC, although to a lesser degree.
661 Finally, we consider the contribution of different auditory sources on the deviant detection
662 property of OFC single units. Since we did not have sufficient data on pairs of NT and TN both
663 before and after inactivation of different structures, we considered DSI (Methods) as opposed
664 to CSI to quantify oddball selectivity. Population mean PSTHs of OFC single units in response
665 to oddball stimuli before and after inactivation of A1, MGBm and BLA (each row, Fig. 8C)
666 show emergence of persistent activity at both the onset and the deviant except in the case of
667 A1 block. Quantification of the selectivity (DSI) before and after block shows that A1 does not
668 contribute to DSI in the OFC, while inactivation of both the MGBm and the BLA produced
669 drastic reduction in DSI (from 0.44 ± 0.06 to 0.46 ± 0.07 , $p=0.57$, 0.46 ± 0.05 to -0.34 ± 0.09 ,
670 $p < 0.001$ and 0.72 ± 0.04 to 0.43 ± 0.07 , $p < 0.001$ respectively *t-test*, Fig. 8E). The difference
671 in reduction of DSI in case of MGBm and BLA inactivation, suggest that the primary source
672 of the selectivity to oddball stimuli in the OFC is the MGBm, while it is further strengthened
673 by auditory inputs from the BLA from sources other than MGBm (like AC) to the OFC.
674 However, it should be noted that, with neither MGBm nor BLA inactivation responses to
675 subsequent tokens after the onset token appeared. So although values of DSI reduce on average
676 to produce lower oddball selectivity in both cases (MGBm and BLA inactivation), the OFC's
677 intrinsic selectivity to deviant (onset token also a deviant following silence) and subsequent
678 fast adaptation over a long time scale is unchanged. AuV is the source of the primary excitatory
679 input to the OFC and the AuV itself does not show deviant selectivity (Fig. 3C). Thus
680 adaptation in synapses providing the auditory input and the recurrent synapses within the OFC
681 along with the membrane properties of OFC neurons themselves likely give rise to the pure
682 oddball detection responses in the OFC.

683

684 **Discussion**

685 We find the presence of robust auditory responses in single units of the mouse OFC during
686 passive listening both in awake as well as in the anesthetized state. Auditory sensory responses
687 in the OFC as in AC showed robust responses to tones and noise, the usual characterization
688 stimuli. Other studies probing the OFC with different kinds of auditory stimuli in the mouse
689 (Winkowski et al., 2017) and in primates (Rolls et al., 2006) have also found robust responses.
690 However, in our study we show that the OFC auditory responses were drastically different from
691 AC responses in a number of major ways, which have not been studied in the OFC previously.
692 Although AC auditory responses are also affected by multiple time scales of adaptation
693 (Ulanovsky et al., 2004), OFC neurons showed extremely long history dependence and
694 adaptation lasting above 10s as observed with comparisons of OFC and A1/AuV responses
695 with variety of ITIs (Fig.2). This difference was visible when ITI was varied between tone
696 presentations and shorter ITIs produced higher latencies and lower peak spike rates as
697 compared to longer ITIs in the OFC. The effects of such adaptation were stronger in the awake
698 as there were far fewer responses to deviants in TN and NT oddball stimuli. The difference in
699 history dependence between OFC and AC thus potentially encodes the sensory aspect of the
700 auditory memory over long timescales. Encoding auditory memory is essential in the OFC as
701 it is known to play a key role in creating various stimulus-outcome associations (Delamater,
702 2007; Rudebeck et al., 2008; Sadacca et al., 2018) and their revaluation. Since the outcome of
703 a stimulus is almost always temporally offset by large durations (Pavlov, 1927; Fuster and
704 Alexander, 1971) such long history dependence is essential in creating associations between
705 the two as in auditory trace conditioning (Runyan et al., 2004; Gilmartin and McEchron, 2005).
706 The OFC neurons show pure deviance detection during oddball stimulus streams, not
707 responding to any repetition of the standard stimulus except the first one. SSA is present in the
708 auditory pathway as early as the inferior colliculus, MGB as well as AC (Antunes et al., 2010;

709 Taaseh et al., 2011; Ayala and Malmierca, 2013) where there is a gradual reduction in the spike
710 rates to the repeating standards due to adaptation but here in the case of OFC we find a complete
711 and sudden cessation of responses right from the first instant of repetition such that CSI
712 distribution when computed with S_{ALL} and S_P were not significantly different unlike seen in the
713 AC (Fig. 4). This faster and stronger adaptation to repetition appears to emerge in the OFC
714 possibly achieved by local circuits within OFC through recurrence (Yarden and Nelken, 2017).
715 The CSI distribution when computed with S_1 shows a negative mean showing that responses
716 in the OFC are highest to the biggest change in the stimulus space as the first token of the
717 standards in the sequence to be the biggest change.

718 The presence of long timescales of adaptation in an anesthetized preparation shows the intrinsic
719 nature of the OFC neurons. In the awake mouse such temporal dependence is more pronounced
720 with fewer responses to the oddball stimulus (Fig. 5F). Occurrence of a stimulus from silence
721 (also a deviant) is sufficient in many cases to suppress responses to a changed stimulus
722 (oddball) within 2 seconds of the first stimulus token (Fig. 5E&F). However, as evident in our
723 tuning data in the awake mice, where single tokens of different tones are presented with a gap
724 of 5s, single units in the OFC respond to a changed stimulus (Fig. 5A). Thus in the awake
725 condition stimulus changes beyond 2 seconds and within 5 seconds are detectable, thus making
726 the history dependence more pronounced than in the anesthetized state.

727 The long time scale adaptation or history dependence observed in the OFC has also been
728 observed to a similar degree in the anesthetized rat MGBm (Antunes et al., 2010), where SSA
729 is observed in some neurons with up to 2s stimulus onset asynchrony (SOA). However, as
730 shown by MGBm inactivation, it serves as a source of a long lasting inhibitory drive to the
731 OFC. Thus, the long time scale dependence observed in the OFC, with suppression of responses
732 beyond the onset stimulus cannot be derived by the same pathway from the MGBm, as the

733 OFC's main excitatory auditory drive's source is AuV (Fig. 6G) from MGBv (Fig. 7A), which
734 does not show such remarkable history dependence.

735 In our pharmacological block experiments we found that, while OFC robustly responded to
736 auditory stimulation, A1 does not contribute to OFC response strength but only induces more
737 jitter in spike timing and longer response latency possibly via an early inhibition through a
738 weak input along the A1/AuV-LA-BLA (Romanski et al., 1993; Ledoux, 2000; Tsukano et al.,
739 2019) or directly from A1 to OFC pathways (Fig. 6D & 6H). Anatomically, we found that
740 secondary areas of the AC send most of the projections to OFC within AC with AuD showing
741 the strongest labelling. Despite the strongest labelling, the OFC responses were not affected
742 upon inactivating AuD. The mouse AuD is more involved in representing perceptual meaning
743 of primarily temporally structured sounds while AuV is thought to represent value in terms of
744 novelty (Weible et al., 2014; Geissler et al., 2016). The OFC is involved in stimulus outcome
745 value computation, which is consistent with the result that AuV drives excitatory auditory
746 responses in the OFC with basic tone and noise stimuli in the anesthetized state. AuD on the
747 other hand is likely recruited to provide inputs to the OFC in a more behavioral context specific
748 manner with complex (vocalizations) or multimodal stimuli (Morrill and Hasenstaub, 2018).
749 The function and necessity of the AuD projections on to the OFC requires further investigation.
750 Since OFC responses were abolished on silencing MGBv as with inactivation of AuV which
751 receives direct inputs from the MGBv (Fig. 7A) (Ohga et al., 2018), we hypothesize that the
752 OFC auditory responses are driven by MGBv via AuV.

753 Thus, we find that the auditory inputs to the OFC originate in at least two parallel regions in
754 the MGB, the ventral and medial divisions. These two streams converge in at least two
755 locations, the amygdala and the OFC. The input from AuV can be hypothesized to carry in
756 sensory information with context dependence which is sharpened through the long lasting
757 deviant selective inhibitory drive originating from the MGBm and modified in the BLA and

758 also through local recurrent connections in the OFC. Thus the MGBm and BLA both provide
759 a saliency filtering of the sensory inputs to the OFC and suppress responses in OFC units
760 following deviant/salient auditory events. Moreover, control of thalamic deviant detection
761 response is modulated by attention through the thalamic reticular nucleus (TRN) which can
762 further modify deviant detection in the OFC (Yu et al., 2009) by sharpening selectivity.
763 The pathway originating in the MGBm also provides a means of controlling the sensory driven
764 activity by causing persistent activity in the OFC, if needed, allowing the sensory stimulus to
765 be associated with other outcome related delayed signals related to reward (Thorpe et al., 1983;
766 Hikosaka and Watanabe, 2000; Stalnaker et al., 2014), prediction error (O'Neill and Schultz,
767 2013) or punishment (O'Doherty et al., 2001; Windmann et al., 2006) required for
768 reinforcement in acquisition as well as in reversal learning. The non-lemniscal MGBm or BLA
769 inactivation causing the OFC responses to persist for a long duration (up to ~1 sec), could be
770 further longer in the awake state as we see lack of responses to even the deviant stimulus in the
771 majority of cases. Such longer persistent activity is common in the PFC in the awake state in
772 the context of behavior involving working memory (Fuster and Alexander, 1971; Funahashi et
773 al., 1989; Curtis and D'Esposito, 2003) and also in the OFC conveying incentive value of cues
774 (Gallagher et al., 1999; Tremblay and Schultz, 1999). However, mechanisms of origins of such
775 persistent activity are unknown. Thus we hypothesize that the auditory input pathway
776 originating in the non-lemniscal MGB, provides the behavior related control on the sensory
777 stimulus through two ways - when active it provides sharpening of deviant detection in the
778 OFC and when inactive it converts the short stimulus response into persistent activity. In the
779 latter case, the final input to OFC along this pathway from BLA, causes decorrelation of
780 response in pairs of OFC units and precise spike timing across trials, other than causing
781 persistence and lowering of deviant detection. Precisely timed spiking in the persistent OFC
782 activity would aid in plasticity (Markram et al., 1997; Bi and Poo, 1998; Dan and Poo, 2004;

783 Feldman, 2009) required for associating the auditory stimulus with delayed outcome related
784 signals. Similarly, decorrelation of activity, removing redundancies in the population, allows
785 more possibilities of creating associations with the OFC stimulus driven activity and outcome
786 signals. Frontal cortex is also known to code reward value and other cognitive aspects in spike
787 times (Smith et al., 2019) and hence the decorrelation and precise spike timing would aid in
788 forming associations.

789 The above detailed inhibitory control can be achieved by suppression of an auditory stimulus
790 driven responses in the MGBm or BLA. MGBm has been shown to be the first station in the
791 auditory pathway that shows changes in the firing rate due to task related stimulus associations
792 (Birt et al., 1979) and forms part of the thalamo-amygdaloid component in the auditory
793 pathway (Ledoux et al., 1986) and crucial in fear learning. A study showed presence of a cell
794 type in the MGBm that silences itself during conditioned stimulus presentation (O'Connor et
795 al., 1997). MGBm has been shown to initiate a feed forward inhibition in the LA (Woodson et
796 al., 2000), which through BLA to OFC can cause persistent activity. The MGBm connections
797 with the limbic-related nuclei in the amygdala can uniquely alter OFC response allowing
798 affective and emotional aspects of aversive stimuli to be associated with outcomes or changed
799 outcome signals (LeDoux et al., 1985, 1991; Iwata et al., 1986; Cruikshank et al., 1992). The
800 LA region receiving MGBm inputs also receive convergent inputs from the A1/AuV
801 (Romanski et al., 1993; Tsukano et al., 2019), which may allow synchronization and coalescing
802 sensory responses to salient affective stimuli (Winer, 2006; Weinberger, 2011). Thus the
803 MGBm driven control of OFC activity is likely to do with associations of fear eliciting stimuli
804 (Weinberger et al., 1995; Weinberger, 2011). It is also reflected in the fact that the frequency
805 profile of the inhibitory inputs from the MGBm via the LA-BLA-OFC pathway is innately
806 tuned to the 30 kHz region (Fig. 7) which is also the frequency range of fear induced
807 vocalizations.

808 Similarly the BLA, known to encode valence (Paton et al., 2006; Janak and Tye, 2015; Zhang
809 and Li, 2018), to OFC connections have been shown to be important in a number of ways in
810 disruption of decision making and reversal learning (Zeeb and Winstanley, 2013; Orsini et al.,
811 2015; Lichtenberg et al., 2017; Groman et al., 2019). Particularly BLA projections to the OFC
812 are known to enable stimulus cue triggered reward expectations and ablation of BLA neurons
813 projecting to the OFC impairs reversal learning due to inability to use positive outcomes guided
814 choices following reversal. We hypothesize that the underlying deviant selectivity of auditory
815 inputs imposed by the amygdala and lack of precise disinhibitory control to generate persistent
816 activity to associate with the newly rewarding or positive outcomes in the OFC after
817 contingency reversal leads to observed dysfunctions stated above. Thus we propose that the
818 MGBm via LA-BLA and BLA itself act as controllers of persistent activity required for
819 stimulus and delayed outcome associations during reversal learning, for aversive and rewarding
820 cues respectively.

821

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1055 **Figure Legends**

1056

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1071 **Figure 2 – OFC neurons show long timescales of adaptation**

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1078

1079 **Figure 3 – Pure Deviant Detection in OFC unlike AC (i)**

1080 (A) An example unit showing auditory response (raster (black) and PSTH (red) to an odd-ball
1081 stimulus where noise is played as standard and tone as deviant (NT; left) and its swap (TN;
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1090 **Figure 4 – Pure Deviant Detection in OFC unlike AC (ii)**

1091 (A) Top: Scatter plot of mean spike rate at standard and deviant in NT (blue) and TN (orange).
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1102 **Figure 5 – Awake mouse OFC also shows deviance detection**

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1108 (C) A distribution of peak response latency in the awake condition. (D) Raster plot (black) and
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1114 units belonging to TN group.

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1118 **Figure 6 – Distinct contributions of AC divisions in OFC auditory responses**

1119 (A) Top: Coronal brain section showing retrobeads injection site (white arrow) in the OFC.
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1121 showing auditory cortex. Magenta box roughly marks the part of the brain region shown in
1122 bottom left image. Bottom left: regions of AuD and AuV are marked in the yellow box. rf:
1123 rhinal fissure. Middle: AuD (top) and AuV (bottom) showing labeled cell bodies (white arrows)
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1125 of the blue pixels for easy visualization of the beads. (C) Left: Brain section showing layer 4
1126 of the AC labelled with AAV-mcherry injected in the MGBv. Right; zoomed in image of the
1127 area inside the yellow box on the left showing beads. (D) Mean number of beads as a function
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1129 beads in the AC (F) Brain section showing block site in the AuD; Raster plots of an example
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1139

1140 **Figure 7 – Parallel excitatory and inhibitory contributions to OFC auditory responses**
1141 **originate in the lemniscal and non-lemniscal auditory thalamic nuclei**

1142 (A) Brain section showing block site in the MGBv; Raster plots of an example unit before and
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1148 BLA; Raster plots of an example unit before and after silencing the BLA; mean population
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1152

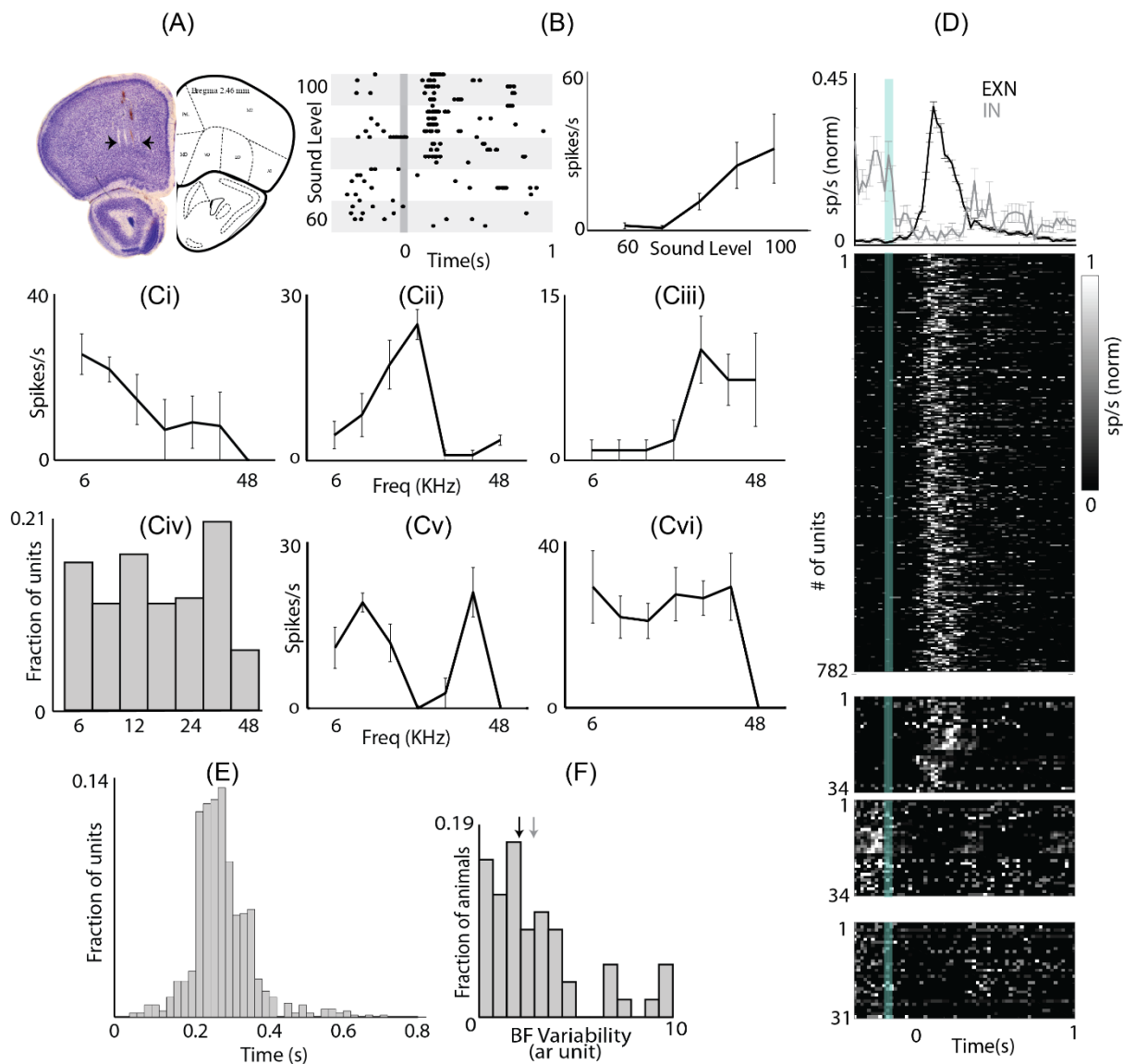
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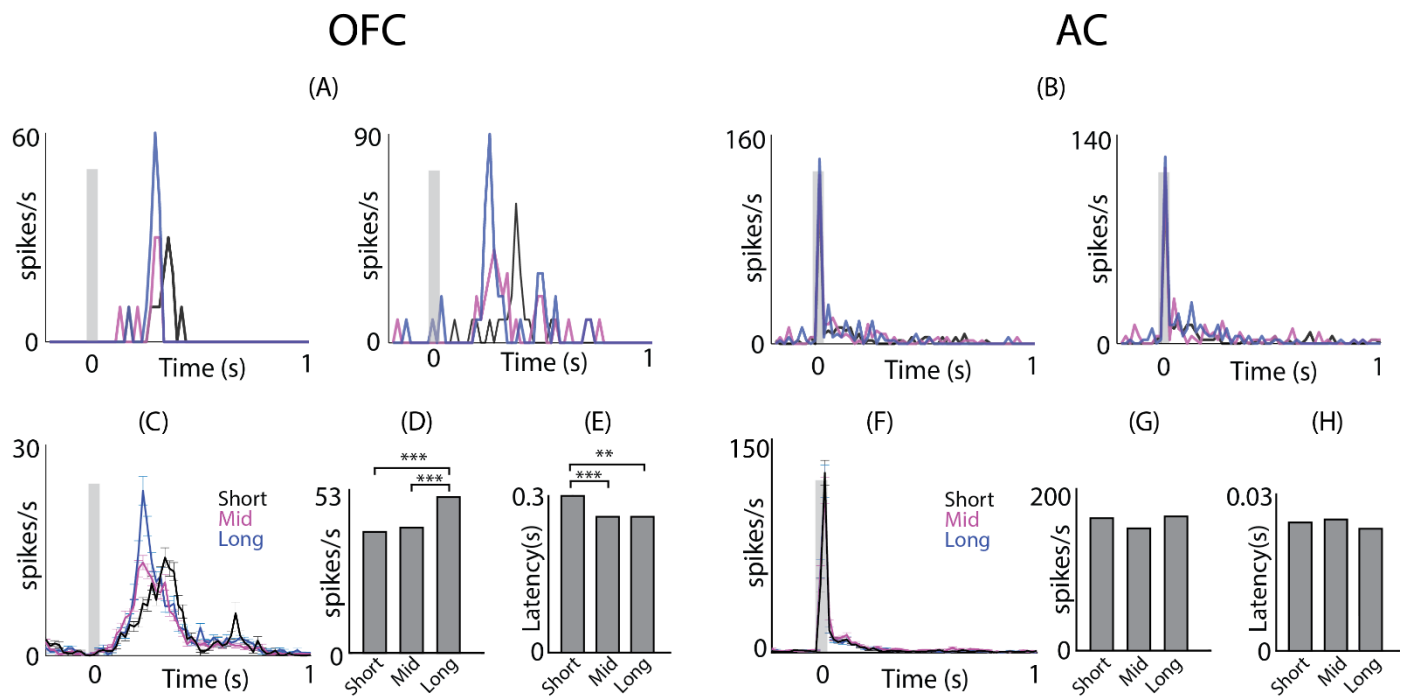
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 1179

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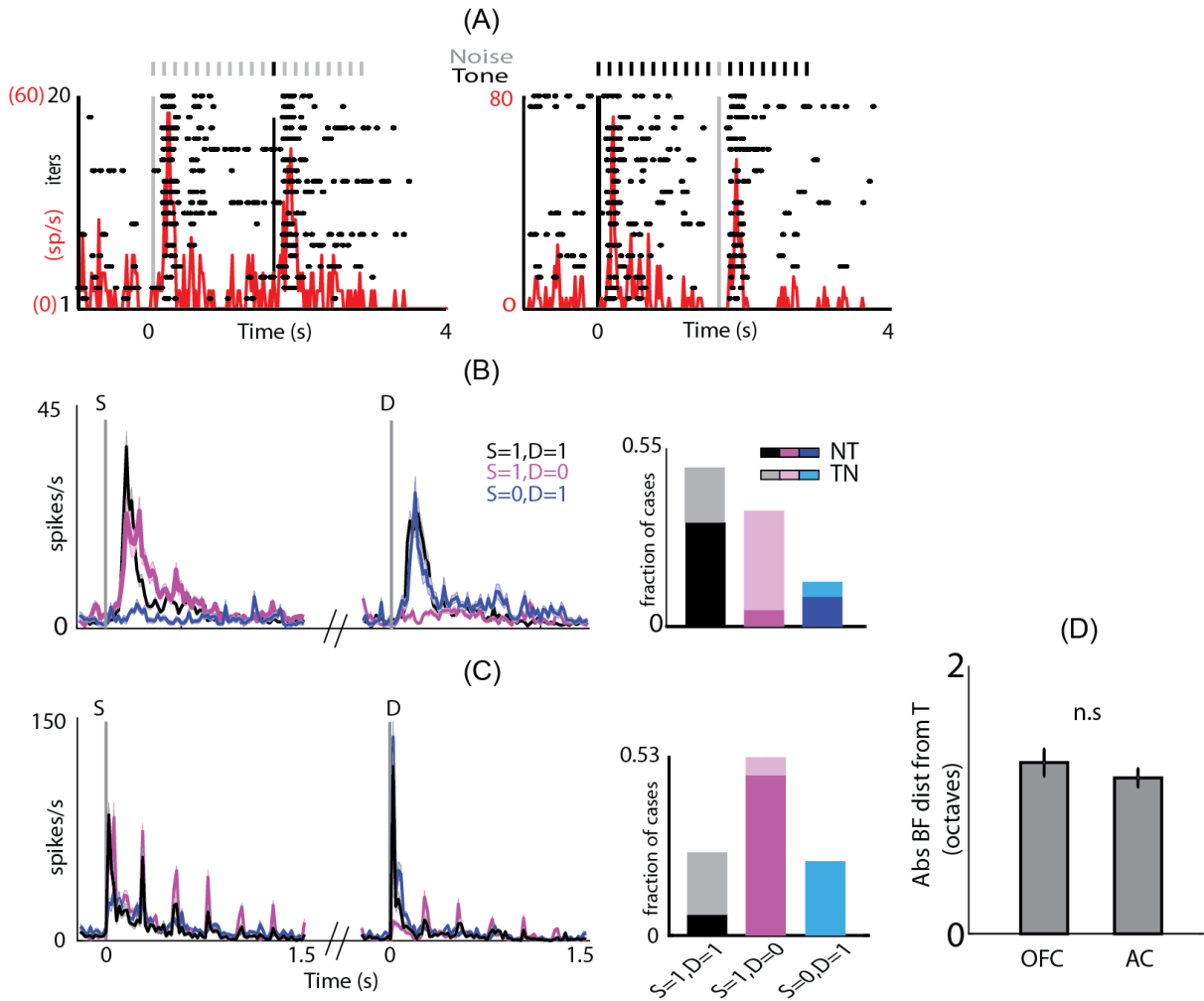


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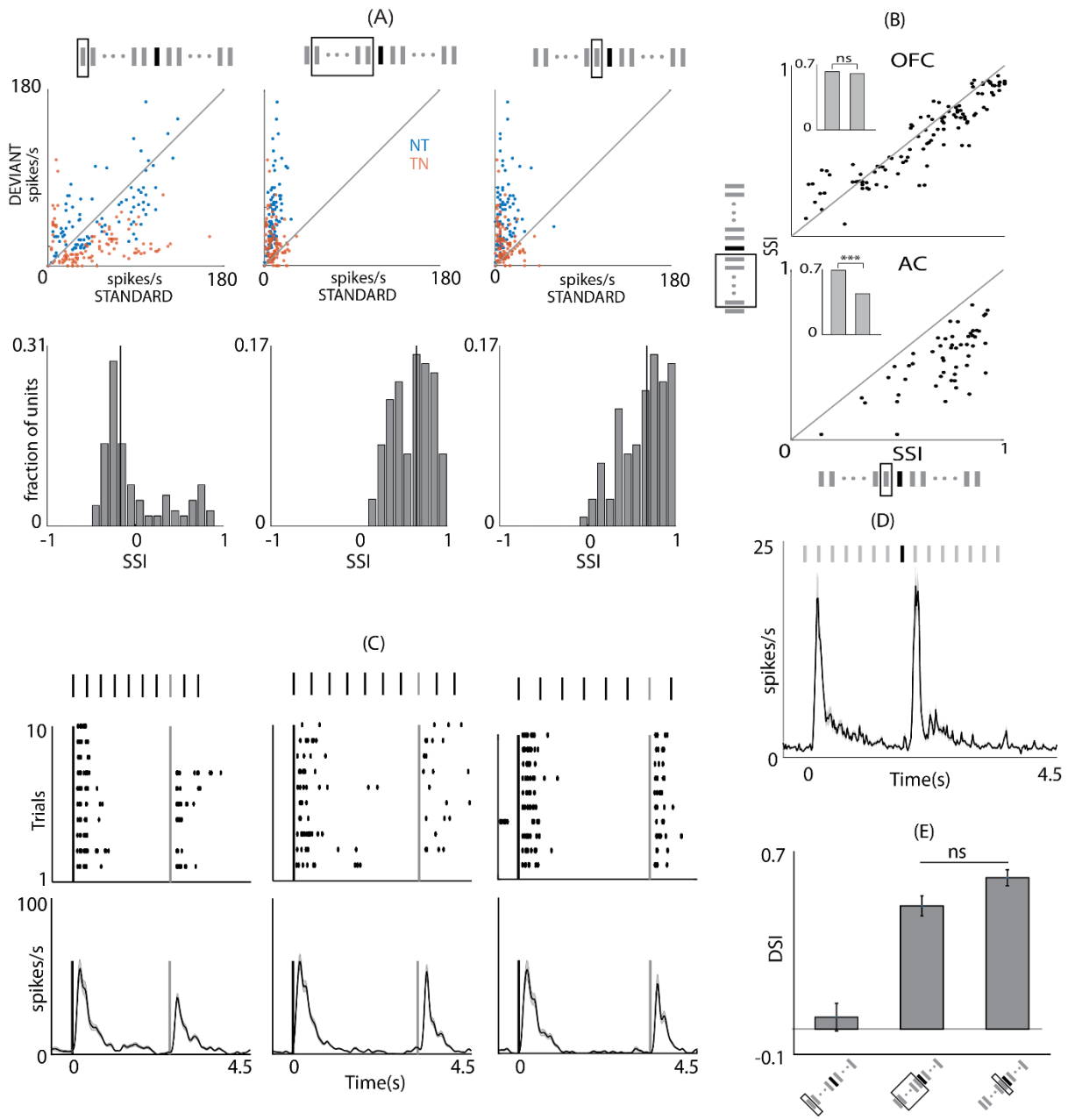
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1203

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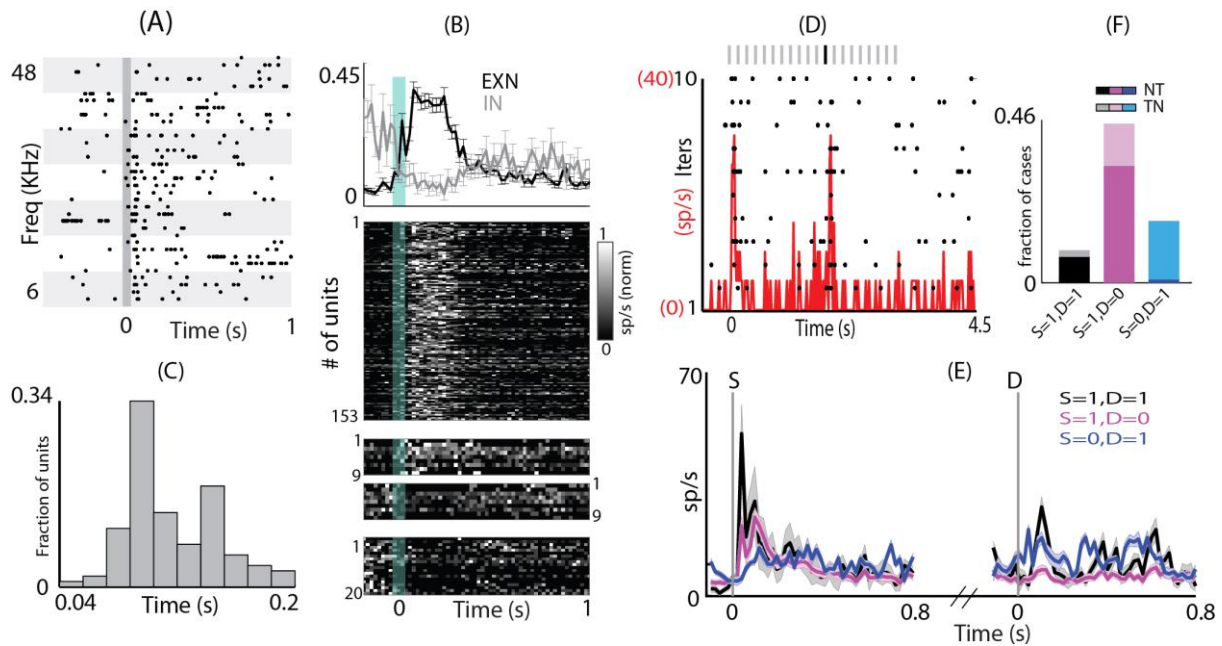
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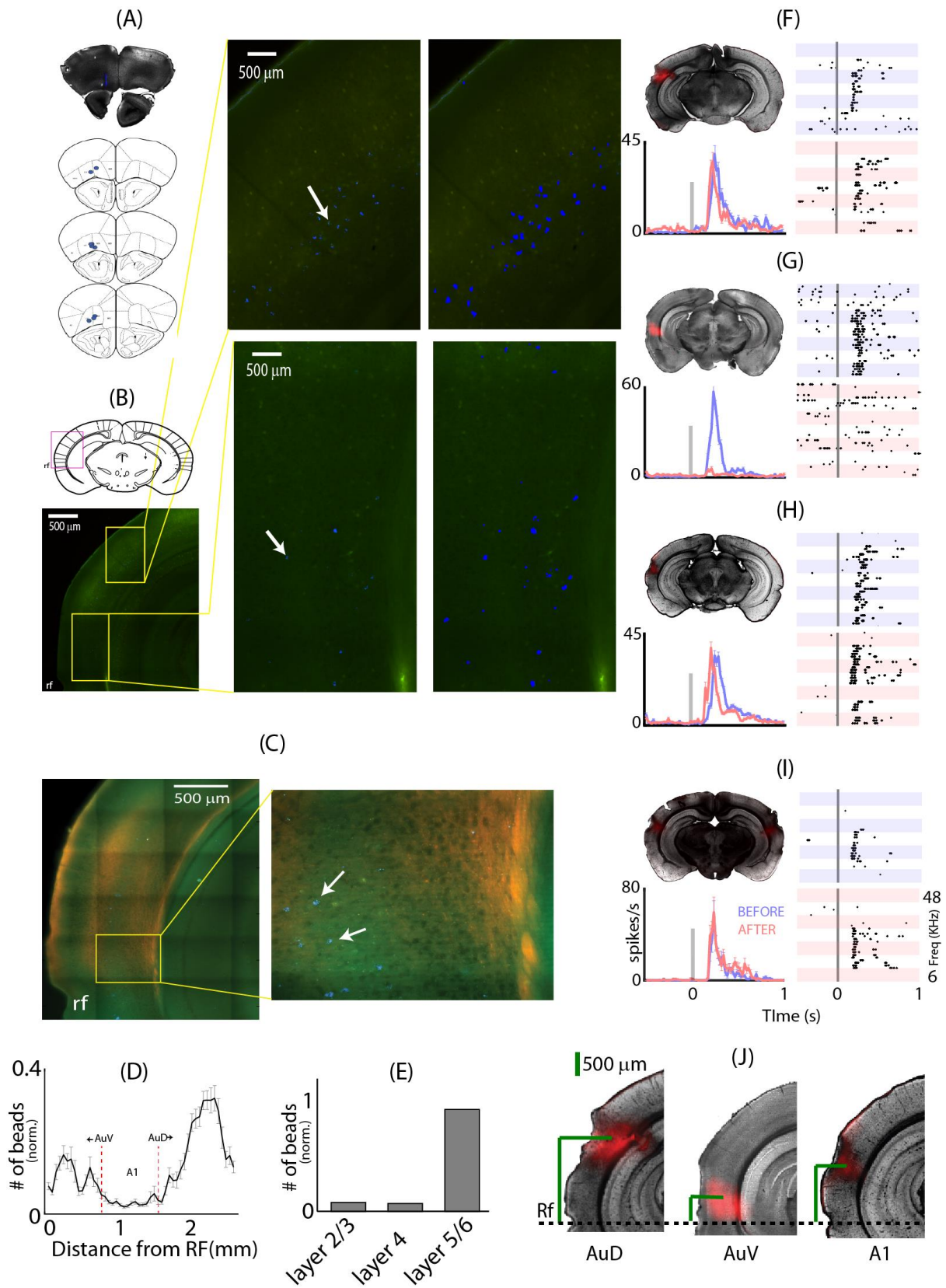
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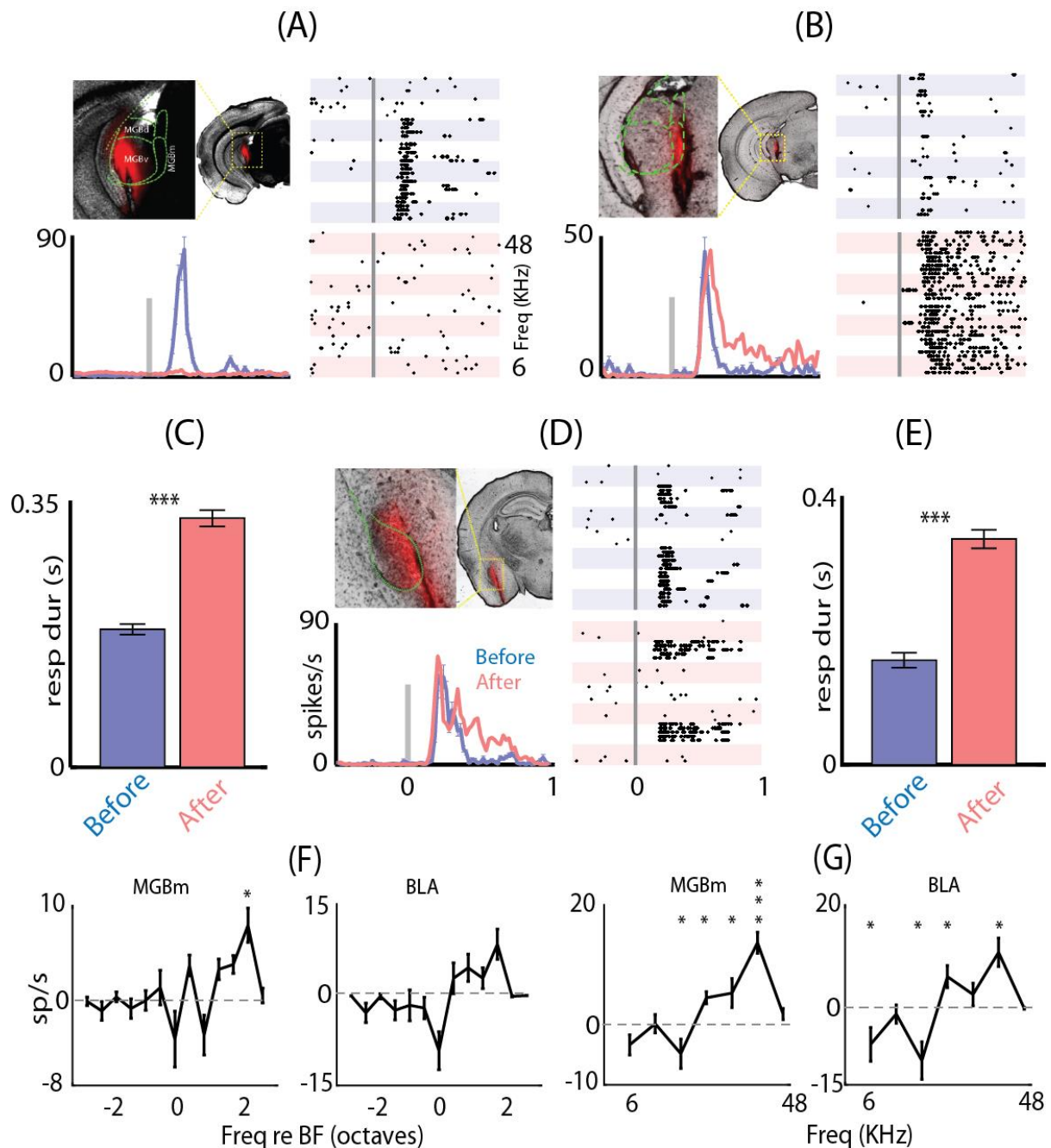
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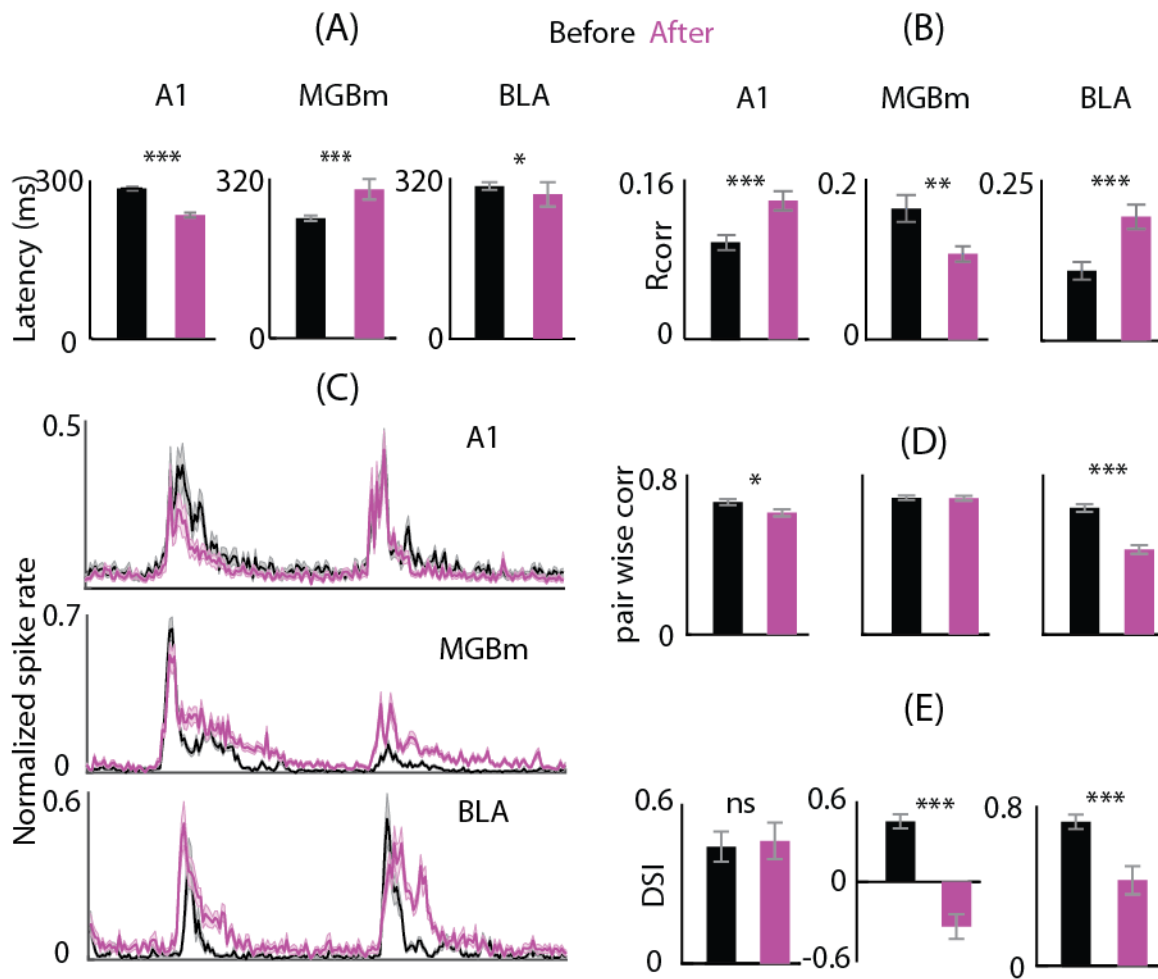


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1287