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Bud23 promotes the progression of the Small Subunit Processome to the pre-40S ribosome in

Saccharomyces cerevisiae

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Running tittle: The role of Bud23 in 40S maturation.

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Abstract

The first metastable assembly intermediate of the eukaryotic ribosomal small subunit (SSU) is the SSU Processome, a large complex of RNA and protein factors that is thought to represent an early checkpoint in the assembly pathway. Transition of the SSU Processome towards continued maturation requires the removal of the U3 snoRNA and biogenesis factors as well as ribosomal RNA processing. While the factors that drive these events are largely known, how they do so is not well understood. The methyltransferase Bud23 has a role during this transition, but its function, beyond the nonessential methylation of 18S rRNA, is not characterized. Here, we have carried out a comprehensive genetic screen to understand Bud23 function. We identified 67 unique extragenic *bud23Δ*-suppressing mutations that mapped to genes encoding the SSU Processome factors *DHR1*, *IMP4*, *UTP2* (*NOP14*), *BMS1* and the SSU protein *RPS28A*. These factors form a physical interaction network that links the binding site of Bud23 to the U3 snoRNA and many of the suppressing mutations weaken protein-protein and protein-RNA interactions. Importantly, this network links Bud23 to the GTPase Bms1 and the RNA helicase Dhr1. Bms1 is thought to drive conformational changes to promote rRNA cleavage, and we previously showed that Dhr1 is required for unwinding the U3 snoRNA. Moreover, particles isolated from cells lacking Bud23 accumulated late SSU Processome factors and pre-rRNAs not cleaved at sites A1 and A2. We propose a model in which Bud23 dissociates factors surrounding its binding site to promote SSU Processome progression.

Author summary

Ribosomes are the molecular machines that synthesize proteins and are composed of a

large and a small subunit which carry out the essential functions of polypeptide synthesis and

mRNA decoding, respectively. Ribosome production is tightly linked to cellular growth as cells

must produce enough ribosomes to meet their protein needs. However, ribosome assembly is a

metabolically expensive pathway that must be balanced with other cellular energy needs and

regulated accordingly. In eukaryotes, the small subunit (SSU) Processome is a metastable

intermediate that ultimately progresses towards a mature SSU through the release of biogenesis

factors. The decision to progress the SSU Processome is thought to be an early checkpoint in the

SSU assembly pathway, but what drives this checkpoint is unknown. Previous studies suggest that

Bud23 plays an uncharacterized role during SSU Processome progression. Here, we used a

genetic approach to understand its function and found that Bud23 is connected to a network of

factors that stabilize the particle. Interestingly, two of these factors are enzymes that facilitate

structural rearrangements needed for progression. We conclude that Bud23 promotes the

release of factors surrounding its binding site to drive rearrangements during the progression of

the SSU Processome.

Introduction

Ribosomes are the molecular machines that translate the genetic code. Each ribosome is

composed of a small subunit (SSU) that coordinates mRNAs and tRNAs for decoding and a large

subunit (LSU) that catalyzes peptide bond formation. In the eukaryotic model organism

Saccharomyces cerevisiae, the LSU, or 60S, contains three rRNAs (25S, 5.8S and 5S) and 46

ribosomal proteins (r-proteins), whereas the SSU, or 40S, has the 18S rRNA and 33 r-proteins [1].

The subunits are produced by an energetically expensive and dynamic assembly pathway

requiring more than 200 *trans*-acting biogenesis factors (reviewed in [2–5]). Ribosome assembly

begins in the nucleolus with the synthesis of the primary 35S rRNA transcript and the 5S rRNA.

The primary transcript contains the 18S, 5.8S, and 25S rRNAs and four spacer regions that are

removed during ribosome assembly: two external transcribed spacers (ETS) and two internal

transcribed spacers (ITS) (Fig. S1). The r-proteins and the biogenesis factors assemble on the rRNA

in a hierarchical order [6–10], most of which promote the correct architecture of the subunits by

chaperoning and modifying the rRNA while others drive structural rearrangements and the

removal of the spacer regions.

Because the pre-18S rRNA is encoded in the 5'-portion of the primary transcript the initial

folding of the pre-rRNA is dedicated to SSU assembly. These co-transcriptional nucleolar events

lead to assembly of the SSU Processome (sometimes referred to as a 90S pre-ribosome) [8,11,12].

The SSU Processome serves two primary roles: 1) promote the formation of the SSU architecture

and 2) process the pre-rRNA at sites A0 and A1, to remove the 5' ETS, and at site A2, to separate

the SSU and LSU precursors (reviewed in [13,14]). Recent high-resolution structures of the

complete SSU Processome revealed a large metastable assemblage of 15 r-proteins and about 50

biogenesis factors on the 5' ETS and pre-18S rRNAs [15–17]. The 18S rRNA is divided into the 5',

central, 3' major, and 3' minor domains (Fig. S2) that fold independently as the SSU Processome

assembles [15–19]. In the SSU Processome, these RNA domains are scaffolded by a multitude of

biogenesis factors, the 5' ETS ribonucleoprotein complex (RNP), and U3 snoRNA that prevent

their collapse into the densely packed structure of the subsequent pre-40S and mature 40S

particles. A correctly assembled SSU Processome ultimately transitions to the pre-40S [20] which

requires the release of most of these biogenesis factors, including U3 and 5' ETS RNP, as well as

the aforementioned endonucleolytic cleavages of the pre-rRNA. This transition and the

coordinated disassembly of the SSU Processome allows large architectural rearrangements to

occur that yield the pre-40S and likely release it into the nucleoplasm for continued maturation.

While recent structural and molecular analysis of the SSU Processome have brought its assembly

into focus, there remains a dearth of understanding about the events and mechanisms driving its

transition into the pre-40S.

Early recruitment of the U3 snoRNA is crucial for the formation and function of the SSU

Processome [7,11,21–26]. Structural analyses of the SSU Processome show that the box C/D U3

snoRNA threads into the core of the complex where it spatially separates the rRNA domains and

scaffolds biogenesis factors [15,16]. Two regions of U3, referred to as the 5' and 3' hinges,

hybridize to the 5' ETS RNA while its Box A' and Box A regions hybridize to the pre-18S rRNA,

henceforth U3-18S heteroduplexes. Notably, Box A hybridizes with helix 1 of 18S, precluding the

formation of the Central Pseudoknot (CPK) of the SSU [15,17]. The CPK is a universally conserved

feature of the SSU formed by long-range, non-canonical base-pairing between helices 1 and 2

that allows the four rRNA domains to compact onto one another (Fig. S2), and generates the

environment necessary to establish the decoding center [1,27]. Because U3 blocks CPK

formation, the release of U3 is a critical, irreversible step in the maturation of the SSU [14,28].

The unwinding of U3 is catalyzed by the DEAH/RHA helicase Dhr1 [29] which is activated by the

SSU Processome factor Utp14 [30–32]. Mutational analysis identified a short loop of Utp14 that

is necessary and sufficient for the activation of Dhr1 *in vitro* [30,32], and deletion or significant

mutation of this loop phenocopies a catalytic *dhr1* mutant *in vivo* [30]. How Utp14 times the

activation of Dhr1 remains unknown, and neither Dhr1 nor the activation loop of Utp14 have

been resolved in SSU Processome structures [15,16]. RNA crosslinking and structural analysis

indicate that Utp14 binds simultaneously to the U3 and 5' ETS RNAs, as well as the central domain

and 5'- and 3'-ends of the pre-18S rRNA, suggesting that Utp14 is uniquely positioned to time

Dhr1 activation by monitoring completion of transcription of the pre-18S rRNA [15,16,33].

The endonucleolytic cleavages within the rRNA at sites A1 and A2 are also irreversible

steps that occur around the time of the transition of the SSU Processome to pre-40S (Fig. S1). The

current SSU Processome structures all contain rRNA cleaved at A0 but not at A1, indicating that

A0 cleavage alone is not sufficient to trigger progression from the SSU Processome [15–17,19].

Around the time of Dhr1 function, cleavages at sites A1 within 5' ETS and A2 within ITS1 occur

[29,34,35]. It is possible that cleavage at site A1 sets the transition in motion. Site A1 is cleaved

by the PIN domain nuclease Utp24 [36–38]. However, it is positioned about 50 Å away from site

A1 in the complete SSU Processome [15,16] indicating that some structural rearrangements must

occur for it to access its substrate. It is proposed that the essential GTPase Bms1 acts as a

molecular switch to drive such structural rearrangements [16], but what signals Bms1 activation

is not known. Subsequent cleavage at site A2 separates the SSU precursor from the LSU

precursor. When cleavage at site A2 is inhibited, cleavage at the downstream site A3 instead

bifurcates the two maturation pathways.

Bud23 is a methyltransferase that acts with its cofactor Trm112 to modify guanosine 1575

(G1575) within the 3' major domain of 18S rRNA [39–42]. *BUD23* is a nonessential gene in yeast,

but its deletion (*bud23Δ*) causes a significant growth defect that correlates with an approximate

70% reduction of 40S subunits [39]. Catalytically inactive *bud23* mutants fully complement the

growth defect of *bud23Δ* cells suggesting that the presence of the protein but not its

methyltransferase activity is needed for ribosome assembly [39,40,43]. Bud23 is not a stable

component of the complete SSU Processome and is usually thought to act on a nuclear pre-40S

intermediate. Consistent with this notion, the human orthologs of Bud23 and Trm112 were

resolved in an early pre-40S structure [44]. Moreover, its binding site is occupied by the assembly

factor Emg1 in the SSU Processome structures, precluding Bud23 from assembling into this

structure [15–17]. Despite these observations, there are multiple lines of evidence indicating that

Bud23 joins the SSU assembly pathway during the transition of the SSU Processome to pre-40S.

First, Bud23 coimmunoprecipitates with the late-acting SSU Processome factors Dhr1, Utp14, and

Utp2 [45,46], and Trm112 copurifies several late SSU Processome factors including Dhr1 and

Utp14 [42]. Second, Bud23 and Trm112 sediment at the positions of both 90S and 40S in sucrose

density gradients, reflecting their association with the SSU Processome and pre-40S, respectively

[40,42,46]. Third, *bud23Δ* cells are defective in A2 site cleavage [45] which releases the pre-40S

particle. Finally, the growth and A2 site cleavage defects caused by the absence of Bud23 are

suppressed by extragenic mutations in the SSU Processome factors *DHR1*, *UTP14*, *UTP2 (NOP14)*,

and *IMP4* [30,45–47] suggesting that Bud23 acts concurrently with these factors. Despite the

evidence that Bud23 enters the 40S biogenesis pathway during the transition of the SSU

Processome to pre-40S, the specific function for Bud23 has not been described.

Here, we have carried out a comprehensive genetic analysis of extragenic suppressor

mutations of *bud23Δ* and identified a genetic and physical interaction network that connects

Bud23 to the transition of the SSU Processome to the pre-40S. We found novel extragenic

mutations in *IMP4*, *RPS28A*, *UTP2*, *UTP14*, *DHR1*, and *BMS1* that acted as bypass suppressors of

bud23Δ. Recent SSU Processome structures provide the context to rationalize how these bypass

suppressors disrupt SSU Processome structure and suggest a role for Bud23 in the SSU

Processome transition. Bms1, Imp4, and Utp2 all interact with the 3' basal subdomain and have

helical extensions that embrace the U3-18S substrate of Dhr1. We found that many of the

mutations destabilized protein-protein or protein-RNA interactions connecting the 3' major

domain to the U3-18S heteroduplexes. Finally, mass spectrometric and Northern blot analysis of

particles isolated in the absence of Bud23 revealed an enrichment of late SSU Processome factors

and rRNA species not yet cleaved at sites A1 and A2. Together, our data imply that Bud23 binding

induces the disassembly of SSU Processome factors connecting the 3' basal subdomain to the U3-

18S duplexes. We propose that Bud23 promotes the function of Bms1 and Dhr1 to drive

rearrangements for compaction of the 3' basal subdomain in the pre-40S subunit.

Results

Extragenic suppressors of *bud23Δ* map to SSU Processome factors and connect Bud23

to the U3 snoRNA. Bud23 methylates G1575 in the 3' basal subdomain of the 18S rRNA [39,40],

a region comprised of helices 28, 29, 30 41, 41es10, 42, and 43 (Figs. 1A, 1B, & S2) [17]. The

deletion of *BUD23* severely impairs 40S production and cell growth, yet a catalytically inactive

Bud23 fully complements *bud23Δ* [39,40], suggesting that 40S assembly requires Bud23 binding

but not rRNA methylation. The slow growth defect of *bud23Δ* places strong selective pressure on

cells for extragenic bypass suppressors. Our lab previously reported suppressing mutations in

DHR1, *UTP14*, and *UTP2,* all coding for late acting SSU Processome factors [30,45,46]. We also

found mutations in *IMP4* encoding an early SSU Processome factor [47]. These results connected

Bud23 to the late events of the SSU Processome, but they did not allow us to rationalize a

mechanism for Bud23 function. The complete SSU Processome harbors nearly 70 ribosomal

proteins and biogenesis factors, and we postulated that mapping the mutations to current high-

resolution structures of the SSU Processome would help illuminate the function of Bud23. To

expand the coverage, we screened for additional spontaneous suppressors by continuously

passaging cultures of *bud23Δ* until they arose. We then amplified and sequenced the *IMP4*,

DHR1, *UTP14*, and *UTP2* loci from these suppressed strains, and identified additional mutations

in these genes. Suppressed strains that did not contain mutations in these genes were subjected

to whole-genome sequencing and genome variant analysis. This revealed novel suppressing

mutations in *RPS28A*, a ribosomal protein that binds the 3' basal subdomain, and *BMS1*, an

essential GTPase of the SSU Processome. Mutations in *RPS28A* and *BMS1* were confirmed by

Sanger sequencing and verified as suppressors of *bud23Δ* after reintroducing the mutations into

bud23Δ cells (data not shown).

Portions of Bms1, Imp4, Rps28, Utp2, and Utp14, but not Dhr1, have been resolved in

structures of the SSU Processome [15–17]. Remarkably, Bms1, Imp4, Rps28, and Utp2 all interact

directly with the 3' basal subdomain containing the Bud23 binding site (Fig. 1C). These factors

appear to contribute to the structural stability of the SSU Processome and form multiple protein-

protein and protein-RNA contacts (Fig. 1D). Additionally, Bms1, Imp4, and Utp2 each contain

extended alpha-helices that penetrate into the core of the SSU Processome where they embrace

the U3 Box A and Box A'-18S duplexes (Fig. 1D). Although Dhr1 is not resolved in any of the SSU

Processome structures published to date, we previously mapped its binding site on U3 to the 5'-

hinge and Box A, immediately upstream of and overlapping the Box A and Box A'-18S duplexes

that we identified as the substrate for Dhr1 unwinding (Fig. S3A) [29]. Only a few segments of

Utp14 are resolved in current SSU Processome structures, but Utp14 can be seen binding to pre-

rRNA and U3 snoRNA immediately upstream of the duplexes Dhr1 unwinds (Fig. S3A) [15,33].

Intriguingly, Utp14 and the factors positioned at the 3' basal subdomain bookend the U3-18S

heteroduplexes. Thus, Imp4, Utp2, and Bms1 provide a physical linkage between the 3' basal

subdomain and the U3-18S heteroduplexes that are unwound by Dhr1.

We identified five novel mutations in *BMS1* and one in *RPS28A* as spontaneous

suppressors of *bud23Δ* (Fig. 1E & Supplemental File 1). We also found an additional 15 mutations

in *DHR1*, 13 mutations in *IMP4*, two mutations in *UTP14*, and one mutation in *UTP2* that were

not isolated in our previous studies. Five additional mutations were identified in *UTP2* using

error-prone PCR mutagenesis (discussed below). These observations revealed a network of SSU

Processome factors that genetically interact with Bud23 and make multiple physical contacts

amongst one another (Fig. 1F). Importantly, this interaction network physically connects the 3'

basal subdomain with the U3-18S heteroduplex substrates of Dhr1, suggesting a functional

linkage between these two sites. Many of the mutations that we report here and previously [30]

are in protein-RNA or protein-protein interfaces where they would appear to weaken

interactions within the SSU Processome. Because these mutations bypass the absence of Bud23,

we propose that Bud23 binding to the 3' basal subdomain induces the release of factors from

this region to promote progression of the SSU Processome to a pre-40S particle. In the following

sections, we consider how the *bud23Δ* bypass suppressor mutations affect the dynamics of the

particle.

The Imp4 and Rps28A mutations mainly cluster around their interfaces with the 3' basal

subdomain. We identified 21 unique mutations in *IMP4* and a single mutation within *RPS28A*

that suppressed *bud23Δ* (Fig. 1E). All of these mutations partially restored growth in a *bud23Δ*

mutant (Fig. 2A), although the *rps28A-G24D* mutation did not suppress as well as the *imp4*

mutations, perhaps because expression of the wild-type paralog *RPS28B* partially masked its

suppression phenotype. Imp4 is a component of the heterotrimeric Mpp10-Imp3-Imp4 sub-

complex [48–52] which enters the SSU Processome at an early stage of its assembly, during

transcription of the 5' ETS [6,7]. The Mpp10 complex may serve as an initial binding platform for

several additional SSU Processome factors [53]. Recent structures show that Imp4 is positioned

in the core of the SSU Processome where its N-terminal domain (NTD) contacts the U3-18S

heteroduplexes while its RNA-binding Brix domain is cradled in the concave RNA fold of the 3'

basal subdomain (Fig. 2B) [15–17]. On the other hand, the ribosomal protein Rps28 binds to the

opposite, convex surface of the 3' basal subdomain (Fig. 2B), adjacent to but not occluding the

Bud23 binding site (Fig. 2B; marked by G1575) [44].

The mutations in Imp4 primarily mapped to two regions of the protein (Fig. 2C). Most of

the mutations were in the Brix domain of Imp4 at its interface with the 3' basal subdomain RNA,

henceforth "rRNA interaction" mutations (Fig. 2C). These included mutations of residues S93,

R94, S101, R116, N118, N121, and R146 which are all expected to form hydrogen bonds with the

rRNA [15,16]. These mutations likely weaken the affinity of the protein for the rRNA. The single

Rps28-G24D mutation maps to its rRNA interface with the 3' basal subdomain. Unlike Imp4,

Rps28 is an integral component of the small subunit and remains associated with the mature

ribosome. Consequently, it is unlikely that the glycine to aspartate substitution promotes release

of Rps28. More likely, this mutation may increase the flexibility of the RNA to facilitate release of

Imp4 (Fig. 2C). Five of the mutations in Imp4, in residues D74, Y77, H156, H159 and H208, mapped

to an intramolecular domain interface between the core of the protein and the NTD that interacts

with the U3 Box A'-18S duplex, henceforth "NTD interaction" mutations (Fig. 2D). The NTD

interaction mutations may alter the flexibility of the NTD, thereby destabilizing its interaction

with the U3 Box A'-18S duplex. The observation that *imp4* mutations that suppress *bud23Δ* are

predicted to weaken the affinity of Imp4 for the 3' basal subdomain, suggests that Bud23 binding

to the 3' basal subdomain leads to disruption of the protein-RNA interactions in this region.

Bud23 is needed for efficient processing at the A2 cleavage site [39]. To ask if the

suppressing mutations in *IMP4* and *RPS28A* bypass this rRNA processing defect in *bud23Δ* cells,

we prepared total RNA from actively dividing wild-type (WT) cells or *bud23Δ* cells with or without

a suppressing mutation in *IMP4* or *RPS28A* and probed for rRNA processing intermediates by

Northern blotting (Fig. 2E). As we reported previously [45], *bud23Δ* cells showed a loss of the

27SA2 rRNA intermediate, indicating loss of A2 cleavage, and reduced levels of 18S rRNA

compared to WT cells, but no concurrent accumulation of 23S rRNAs. Suppression of *bud23Δ* by

the *imp4* and *rps28A* mutants partially restored levels of the 27SA2 rRNA intermediate and 18S

rRNA indicating a restoration of cleavage at site A2 and 40S biogenesis. Surprisingly, *bud23Δ* cells

also slightly accumulated the 22/21S intermediates (Fig. 2E). 22S represents rRNA cleaved at sites

A0 and A3 but not A1 or A2 while 21S represents rRNA cleaved at sites A1 and A3 but not A2.

(Fig. S1). Although the A2-A3 probe cannot distinguish between the 22S and 21S intermediates

the A0-A1 probe gave a similar hybridization signal indicating that the 22S rRNA accounts for

some of this signal (Fig. 2E). Suppression of *bud23Δ* partially alleviated the accumulation of this

species. This was most evident in the strains harboring the *imp4* mutants S93T, R94S, N121I, and

H159R. These data indicate that Bud23 affects not only A2 processing, as we previously reported

[39], but also cleavage at A1.

As a complementary approach to ask if *bud23Δ* suppressors restored 40S biogenesis, we

analyzed ribosomal subunit levels on sucrose density gradients after separating free ribosomal

subunits, 80S, and polysomes from wild-type cells and *bud23Δ* cells with or without a suppressing

mutation. In wild-type cells, there was an appreciable steady-state level of free 40S and 60S

subunits (Fig. 2F). In contrast, in *bud23Δ* cells in which 40S production is limited, the free 40S

peak disappeared and the amount of free 60S was dramatically increased, at the expense of 80S

(Fig. 2F). The introduction of suppressing mutations in *imp4* or *rps28a* partially restored the levels

80S and free 40S, similar to the suppression of *bud23Δ* by mutations in *utp14* or *utp2* that we

reported previously [45]. Taken together, the Northern blotting and sucrose density gradient

data indicate that *imp4* and *rps28A* mutants partially alleviate the 40S biogenesis defects of

bud23Δ cells.

Utp2 mutants that suppress *bud23Δ* destabilize Utp2 interaction with Imp4. Our lab

previously identified *utp2-A2D* as a spontaneous and dominant suppressor of *bud23Δ* that

partially restores 40S biogenesis and A2 processing of the primary rRNA transcript [45]. From our

screen for additional spontaneous suppressors of *bud23Δ*, we found an additional Utp2

mutation, *utp2-L9S*, that suppressed *bud23Δ* (Fig. 3A). Utp2, also known as Nop14, assembles

into the SSU Processome with its binding partners Emg1, Noc4, and Utp14 [54–56], once the 3'

minor domain is fully transcribed [6,7]. The association of human Utp2 with human pre-40S

complexes indicates that Utp2 remains on nascent particles during the transition from the SSU

Processome to pre-40S [44] suggesting that it has an active role in particle progression.

To gain further insight into the mechanism by which mutations in *UTP2* suppress *bud23Δ*,

we performed random PCR mutagenesis of *UTP2* and identified six additional mutations in *UTP2*

that suppressed *bud23Δ* to different degrees (Fig. 3B; left panel). In this screen we also reisolated

the previously identified *utp2-A2D* mutation. All mutants fully complemented loss of Utp2 (Fig.

3B; right panel). The suppressing mutations all mapped to the N-terminal domain of Utp2; four

clustered around the extreme N-terminus and another three clustered around residues 148-151.

In an attempt to generate mutants with stronger phenotypes than the individual mutants, we

generated the combinatorial mutants *utp2-DPE* containing the mutations A2D, L6P, and K7E, and

utp2-SSH harboring the mutations L148S, F149S, and L151H. Both of the combinatorial mutants

retained the ability to suppress *bud23Δ* and fully complemented loss of *UTP2*, but *utp2-SSH* was

a stronger suppressor than *utp2-DPE* (Fig. 3B).

Based on recent partial structures of Utp2 within the SSU Processome [15,16] the globular

domain of Utp2 directly contacts the Emg1 heterodimer, Enp1, and Noc4 within a region of the

3' major domain that will make up the beak of the mature SSU, while its extended N- and C-

terminal arms pierce deep into the core of the SSU Processome. The C-terminal arm of Utp2

contacts the U3 Box A'-18S duplex while its NTD contacts the Brix domain of Imp4 (Figs. 1D & 3C).

Notably, four of the residues (L6, K7, L9 and F58) mutated in our screen were resolved in current

structures of the SSU Processome (Fig. 3C). Residues L6, K7, and L9 are within a small helix on the

extreme N-terminus of Utp2 that interacts with Imp4, while K7 also appears to contact the

phosphate backbone of C1623 of the 3' basal subdomain. Meanwhile, F58 of Utp2 makes an

additional nearby contact between these proteins. These observations prompted us to speculate

that the *utp2* suppressors of *bud23Δ* perturb the interaction between Utp2 and Imp4. Previous

large-scale yeast-two hybrid (Y2H) studies did not report an interaction between Utp2 and Imp4

[54,55]. However, those studies used Utp2 constructs harboring N-terminal fusions of GAL4

activating or DNA binding domain (AD and BD, respectively). Because the apparent interaction

between Utp2 and Imp4 requires the extreme N-terminus of Utp2 (Fig. 3C), such a fusion protein

could sterically hinder their interaction. To this end, we cloned a Utp2 Y2H construct harboring

an HA-tagged GAL4 activating domain fused to its C-terminus (Utp2-AD-HA), which allowed us to

detect an interaction between Utp2-AD-HA and BD-myc-Imp4 (Fig. 3D; left panel). Using this

system, we assayed the Utp2-DPE, Utp2-F58S, and Utp2-SSH mutants for their ability to interact

with Imp4. All of the mutants showed decreased interaction with Imp4 with the Utp2-F58S and

Utp2-SSH mutants being the most severe. All the mutant Utp2 proteins were expressed to similar

levels indicating that the reduced interaction was not due to differences in expression or

degradation of the mutant proteins (Fig. 3D; right panel). The results from the analysis of Utp2-

DPE and Utp2-F58S are consistent with the notion that the mutations in Utp2 that suppress

bud23Δ disrupt the interaction between Utp2 and Imp4. The result of Utp2-SSH losing interaction

with BD-Imp4 suggests that the flexible, unresolved region of Utp2 between R116 and P201

interacts with Imp4, but we cannot rule out the formal possibility that these mutations suppress

bud23Δ by some other means.

F58 of Utp2 fits into a hydrophobic pocket of Imp4 (Fig. 3E; upper panel). Interestingly,

two mutations in *IMP4*, V170F and P252L, that suppressed *bud23Δ* (Figs. 2A & 2B) map to

residues that line this pocket (Fig. 3E; lower panel). The positions of these two mutations predict

that they could also disrupt the interaction between Imp4 and Utp2. To test this possibility, we

introduced the V170F and P252L mutations into the BD-myc-Imp4 vector and assayed for their

interaction with Utp2-AD-HA. Indeed, mutation of either of these residues caused a loss of

interaction between Utp2 and Imp4 (Fig. 3F; upper panel), and the loss of interaction could not

be explained by reduced protein expression of the mutant Imp4 constructs (Fig. 3F; lower panel).

These results, together with the Y2H assays using mutant Utp2 strongly suggest that disrupting

the interaction between Imp4 and Utp2 bypasses the 40S assembly defect in the absence of

Bud23.

Bms1 mutants that suppress *bud23Δ* are poised to affect the conformational state of Bms1.

We found five *bud23Δ*-suppressing mutations within *BMS1* (Figs. 1F & 4A). Like the mutations in

DHR1, *UTP14*, *UTP2*, *IMP4*, and *RPS28A* (Fig. 2E) [30,45,46], mutant *bms1* alleles partially

alleviated the rRNA processing defects and restored 40S biogenesis (Figs. 4B & 4C) suggesting

that these mutations overcome the same biogenesis defect in the absence of Bud23 that the

other *bud23Δ* suppressors do. *BMS1* encodes a 136 kDa GTPase that is essential for 40S

biogenesis [57,58]. Bms1 forms a subcomplex with Rcl1 [58–61] prior to its entry into the SSU

Processome after completion of the 3' minor domain [6,7]. The GTPase activity of Bms1 has been

confirmed *in vitro* [59,60], and its ability to bind GTP is essential [61] suggesting that it is a

functional GTPase *in vivo*. However, the specific role of Bms1 within the SSU Processome has not

been well explored. GTPases often serve as molecular switches that undergo conformational

changes (reviewed in [62]). Due to its position in the SSU Processome, it has been suggested that

Bms1 helps remodel the SSU Processome core so the endonuclease Utp24 can access and cleave

the A1 site [16]. Thus, this model predicts that Bms1 has a role in the progression of the SSU

Processome to the pre-40S.

More than half of Bms1 has been resolved in structures of the SSU Processome and can be

divided into five major domains and two small regions that bind its partner Rcl1 and the

acetyltransferase Kre33 (Fig. 4D) [15–17]. Domain I contains the GTPase catalytic site and,

together with the beta-barrels of domains II and III, forms a globular body. Domain IV protrudes

from this globular body to interact with the 3' basal subdomain RNA and contacts the CTDs of

Imp4 and Utp2 (Fig. 4E). Finally, the C-terminal domain V begins as an extended strand that lays

on domain III before becoming an extended alpha-helix that inserts between the U3 Box A'-18S

and U3 Box A-18S heteroduplexes (Fig. 4D). Although the five mutations that suppressed *bud23Δ*

map to domains I, II, III and V (Fig. 4D; upper panel) , in 3D structure the mutated residues D124,

D843, A903, and S1020 lie immediately under the unstructured strand connecting domain IV to

the extended C-terminus (Fig. 4F). Thus, four of the five suppressing mutations likely promote

the flexibility of this connecting loop. G813 is located in the connector between Domains II and

III where it could alter the relative positioning of these two domains and influence how domain

III interacts with the unstructured strand of domain V.

Bms1 is structurally related to the translation elongation factor EF-Tu which delivers amino-

acyl tRNAs to the ribosome (Fig. S4A) [57]. Comparison of the Bms1 structure from the SSU

Processome to the crystal structures of EF-Tu bound to GDP or the non-hydrolysable GTP analog,

GDPNP, suggests that Bms1 is in the GTP-bound state in the SSU Processome structures and

allows us to speculate how Bms1 functions. The beta-barrels of domains II and III of Bms1 are

conserved in EF-Tu (Fig. S4B). In the GTP-bound state, the beta-barrels of EF-Tu are positioned to

accommodate tRNA binding (Fig. S4C) [63]. In GDP-bound EF-Tu the beta-barrels are rotated to

promote tRNA release (Figs. S4C & S4D) [64]. Interestingly, the comparison of the EF-Tu and Bms1

structures revealed that the space occupied by tRNA in EF-Tu (Fig. S4E) is occupied by an N-

terminal helix of Mpp10 and the unstructured strand of Bms1 that connects domain IV to the

extended C-terminus that interacts with U3 (Fig. S4F). This observation suggests that the GTP

hydrolysis-induced conformational changes of Bms1 could facilitate undocking of the

unstructured strand of Bms1 and Mpp10 from the conserved core of Bms1. Notably, four of the

five mutations in *BMS1* that suppressed *bud23Δ* were either within or contact this strand of Bms1

(Fig. S4F). We suggest that these mutations facilitate release of this strand and, perhaps, Mpp10

from Bms1. As this C-terminal domain has been proposed to regulate the timing of Utp24-

calatalyzed cleavage of the A1 site [16], the functional interaction between Bud23 and Bms1 may

explain why *bud23Δ* cells were deficient in A1 site cleavage (Figs. 2E & 4B), however we cannot

exclude the possibility that this defect is indirect. Future molecular analysis is needed to better

characterize the role of Bms1 in SSU Processome disassembly.

Disruption of Dhr1 and Utp14 interaction suppresses *bud23Δ*. Our lab previously reported

10 mutations in *DHR1* that suppress *bud23Δ* [46]. Here, we isolated an additional 15 suppressing

mutations within *DHR1* (Figs. 1F & 5A). Dhr1 is the DEAH-box RNA helicase responsible for

unwinding the U3 snoRNA from the SSU Processome [29,34]. The protein harbors a conserved

helicase core containing two RecA domains, a Winged-helix (WH) domain, a Helical Bundle (HB)

domain, and an OB-fold domain (Fig. 5A). Dhr1 also contains an N-terminal domain (NTD) that

interacts with Bud23 [40,46] and a unique C-terminal domain (CTD) that enhances its interaction

with its activator Utp14 [30–32]. Although Dhr1 has yet to be resolved in SSU Processome

structures, recent crystal structures of recombinant yeast Dhr1 [31] and its murine homolog

DHX37 [32] lacking the NTD allow us to map most of the mutated residues to structure (Fig. 5B).

Consistent with our previous report [46], the overwhelming majority of the mutations map to

residues on the surface of the RecA1 and RecA2 domains (Fig. 5C). We previously reported that

Utp14, the cofactor of Dhr1, binds the RecA1/2 domains [30]. Based on this, we hypothesized

that the mutations within the RecA1/2 domains could affect its interaction with Utp14. To this

end, we again turned to yeast 2-hybrid analysis between BD-myc-Dhr1 and AD-HA-Utp14. We

made three Dhr1 Y2H constructs that combined several mutations within or proximal to the

RecA1 domain (E360K, E397D, E402G, D408Y), within the RecA2 domain (H593Y, R596C, E831K),

or in both the RecA1 and RecA2 domains (R563M, D566Y, E831K, F837L) and tested them for the

ability to interact with Utp14. All three mutants showed a significant loss of interaction with

Utp14 (Fig. 5D; left). All three of the mutant proteins expressed similarly (Fig. 5D; right), indicating

that the loss-of-interaction was not due to differential expression. Thus, we conclude that

mutations within the RecA1 and RecA2 of Dhr1 that bypass *bud23Δ* do so by weakening its

interaction with Utp14. Several mutations mapped to the interface between the two RecA

domains while glutamate 1037, which is mutated to lysine or glutamine in two of the mutants,

coordinates residues important for RNA binding. Although additional *in vitro* analysis is required

to understand how these mutations impact Dhr1 function, Dhr1-E1037K,Q likely impairs RNA

binding.

Utp14 stimulates the unwinding activity of Dhr1 [30,32,65]. We previously reported five

mutations within Utp14 that suppress the growth defect of *bud23Δ* [30]. Notably, these

mutations are within an unresolved region of Utp14 spanning residues 719 to 780 that interacts

with Dhr1 (Fig. S3A & S3B), and extensive mutation or deletion of this region reduced Utp14

interaction with Dhr1, Utp14-dependent activation of Dhr1 unwinding activity *in vitro* and

phenocopied catalytically null Dhr1 *in vivo* [30]. Here, we report two additional mutations in

Utp14, W791L and W794L, that suppressed *bud23Δ* (Fig. S3B). These mutations are slightly

downstream of those previously identified and affect two highly conserved tryptophan residues

in a motif weakly reminiscent of a G patch, a motif common to activators of DEAH/RHA RNA

helicases [30]. Determining how the mutations in Dhr1 and Utp14 bypass loss of Bud23 will

require further work. However, the majority of the mutations in Dhr1 and Utp14 are predicted

to diminish their interaction and consequentially reduce the activity of Dhr1 (Fig. 5) [30]. Thus,

the simplest interpretation is that the bypass of *bud23Δ* by Dhr1 and Utp14 mutants is

accomplished by reducing the activity of Dhr1, possibly providing increased opportunity for the

helicase to work on a suboptimal substrate (see Discussion).

Depletion of Bud23 partially inhibits SSU Processome progression. The above genetic

results suggest that disrupting protein-protein and protein-RNA interactions in the 3' basal

subdomain of the SSU Processome can partially bypass the absence of Bud23. We interpret these

results to indicate that Bud23 binding leads to disassembly events that promote the remodeling

of this region the SSU Processome. To test the idea that Bud23 promotes disassembly events, we

characterized pre-ribosomal particles in the absence of Bud23. We introduced a genomically

encoded C-terminal Auxin-Induced Degron (AID) tag on Bud23 for rapid depletion of Bud23 upon

the addition of the small molecule auxin without the need for shifting carbon sources [66]. The

BUD23-AID strain grew similar to wild-type cells on media lacking auxin, while it showed a growth

defect comparable to the *bud23Δ* strain on media containing auxin indicating that the AID tag is

functional and does not significantly impact Bud23 function (Fig. 6A). Bud23-AID was significantly

depleted after 10 minutes and was undetectable after two hours of auxin treatment (Fig. 6B).

We first asked if Bud23 depletion impacted the association of Imp4 with pre-ribosomes.

We depleted Bud23-AID for two hours and separated particles by ultracentrifugation on sucrose

density gradients and fractionation. We then isolated the proteins from each fraction and

performed western blot analysis for Imp4. In the presence of Bud23, Imp4 sedimented

throughout the gradient with enrichment in the 40S to 80S fractions and near the top of the

gradient (Fig. 6C). The Imp4 in fractions 2 and 3 likely reflects its association with the Mpp10 sub-

complex [48,51,67] whereas the Imp4 in the 80S region reflects its association with the SSU

Processome at 90S. We also monitored the sedimentation of the biogenesis factor Enp1

harboring a C-terminal Tandem Affinity Purification (TAP) tag which also sedimented throughout

the gradient indicating its binding to both the SSU Processome and pre-40S particles [20]. In the

absence of Bud23-AID, both Imp4 and Enp1-TAP showed reduced sedimentation in the 80S

region but increased or maintained sedimentation in the 40S region of the gradient. The

enrichment of these proteins in fractions 6 and 7 in the absence of Bud23 is reminiscent of the

~45S intermediate that accumulates in catalytically deficient Dhr1 mutants and are thought to

represent a partially disassembled SSU Processome [29,30,46]. These results suggest that Imp4

and Enp1 are retained on a partially disassembled SSU Processome in the absence of Bud23,

however we cannot exclude the possibility that the altered their sedimentation is due to

degradation of unstable SSU Processome particles.

To further understand the nature of the 40S precursors that accumulate in the absence

of Bud23, we affinity purified Enp1-TAP particles from WT and Bud23-AID strains after two hours

of auxin treatment. Enp1-TAP is an ideal bait for these experiments as it binds to pre-ribosomes

before Bud23 binding and is released after Bud23 function [20], and because its sucrose density

gradient sedimentation was influenced by Bud23 (Fig. 6C). Following enzymatic elution, the

particles associated with Enp1 were sedimented through sucrose cushions to separate them from

any extraribosomal Enp1. The associated proteins were then separated by SDS-PAGE and

analyzed by Coomassie staining. The depletion of Bud23 led to both the reduction of and

accumulation of multiple protein species (Fig. 7A; black lines and blue lines, respectively). Mass

spectrometry of these discrete species identified the depleted proteins as the pre-40S factors

Tsr1, Rio2, and Nob1, while mostly late-acting, SSU Processome factors comprised the

accumulated factors suggesting that the loss of Bud23 precluded their release from pre-

ribosomes.

To further characterize the purified particles, we used mass spectrometry to analyze the

entire proteomic compositions of the wild-type and Bud23-depleted particles. To approximate

stoichiometry for each 40S assembly protein, we calculated its relative spectral abundance factor

(RSAF) by dividing the spectral counts for each protein by its molecular weight then normalizing

this value to the bait, Enp1 (Fig. S5 & Supplemental File 2). This analysis showed various proteins

that were accumulated or depleted from these particles. Interestingly, the proteins that showed

genetic interaction with *bud23*Δ remained relatively constant in the absence of Bud23, with the

exception of Utp2 which increased in abundance. As Enp1 and Imp4 co-sedimented in the

absence of Bud23 (Fig. 6C), we interpret this observation to indicate that these particles are

arrested upstream of the release of these factors where they remain stoichiometric with Enp1.

To simplify our analysis, we calculated a log2-fold change between Bud23-depleted and wild-type

particles for each protein and considered a result significant if a protein showed a ± 0.5-fold

change with a difference of at least 10 spectral counts (Fig. S6). This analysis revealed a set of 26

proteins that altered upon Bud23-depletion. These results agreed with what we observed by SDS-

PAGE in Fig. 7A in that there was an accumulation of mainly SSU Processome factors and a

depletion of mostly pre-40S factors. Notably, Noc4, Utp2, and Rrp12 bind to the 3' major domain

[15–17,19] while several factors, such as Bfr2, Enp2, Lcp5, Kre33, and Mrd1 have roles in the final

assembly of the SSU Processome [19,68]. Strikingly, the pre-40S factors Slx9 and Rio1 [69–71]

also accumulated in the absence of Bud23. These results suggest that without Bud23 the SSU

Processome improperly disassembles in that certain regions are progressed to a pre-40S-like

state whereas other regions appear arrested.

We also probed for the rRNA intermediates that co-precipitated with Enp1-TAP in the

presence and absence of Bud23 (Fig. 7B). We observed that Enp1 decreased association with the

23S rRNA with a concomitant accumulation of both 21S and 22S RNAs in the absence of Bud23.

By quantifying the amount of 22S relative to 35S detected with A0-A1 probe vs the amount of

21S/22S relative to 35S detected with the A2-A3 probe we calculate that the 21S and 22S species

are approximately equally abundant in the Enp1-TAP sample from Bud23-depleted cells. The

accumulation of 21S and 22S indicates that processing at A2 was inhibited upon Bud23 depletion,

as we have previously reported [39]. The accumulation of 22S was unexpected as this indicates a

defect in A1 cleavage as well. Furthermore, we saw a modest accumulation of the A0-cleaved 5'

ETS rRNA (~1.5-fold) and U3 snoRNA (~1.2-fold). Consistent with what is seen in whole cell

extracts of *bud23Δ* cells (Figs. 2E & 4B) [45,46], we also saw that 27SA2 intermediate was present

in the input and IP for the wild-type sample, but totally absent in the Bud23-depleted sample

(Fig. 7B). These results reconfirm that Bud23 is needed for efficient processing at A2 and support

the possibility that Bud23 also impacts cleavage at A1.

Discussion

Bud23 was identified as the methyltransferase that modifies G1575 in 18S rRNA and is

thought to act at a relatively late stage of small subunit assembly in the nucleolus [39,42].

Although it is conserved from yeast to humans [40,72–74] and deletion of *BUD23* in yeast leads

to severely impaired growth [39], its methyltransferase activity is dispensable for ribosome

assembly [39,72]. This indicates that the primary function of Bud23 stems from its binding to SSU

precursors, however the role of Bud23 in small subunit assembly is not well understood. Bud23

and its cofactor Trm112 co-sediment with both the pre-40S and the SSU Processome

[40,42,45,46]. Consistent with this, Bud23 also co-purifies with late-acting Processome factors

and early pre-40S factors [45,46]. Although Bud23 is not a component of the SSU Processome, as

highlighted by the fact that its binding site is occupied by the assembly factor Emg1 in the SSU

Processome structures [15–17], our work strongly implicates Bud23 in the disassembly of the SSU

Processome as it transitions to a pre-40S. The absence of Bud23 impairs A2 site cleavage [39], an

event that is tied to the transition. Prior to A2 cleavage, the U3 snoRNA is unwound from the 18S

rRNA which is catalyzed by the RNA helicase Dhr1 and its co-factor Utp14 [29,30]. Dhr1 physically

interacts with Bud23 [40,46], and we previously reported that mutations in Dhr1, Utp14, or other

SSU Processome factors suppress the growth and A2 site cleavage defects in *bud23Δ* cells [30,45–

47]. These results indicate that Bud23 enters the maturing SSU assembly pathway as the SSU

Processome transitions to the pre-40S. Here, we provide a significant body of additional evidence

to build a case for the role of Bud23 in this transition.

Does Bud23 promote the compaction of SSU structure? The 3' basal subdomain forms a

compact RNA unit whose structure remains relatively unchanged between the SSU Processome

and Bud23-bound pre-40S, despite its dramatic repositioning during this transition. The majority

of mutations that we found as suppressors of *bud23Δ* were in *IMP4* and *DHR1* with additional

mutations in *BMS1*, *RPS28A*, *UTP2*, and *UTP14* (Fig. 1D & 1E). Imp4, Rps28, Utp2 and Bms1 all

interact with the 3' basal subdomain of 18S rRNA. The Imp4 mutations clustered primarily in its

interface with the RNA of the 3' basal subdomain (Fig. 2C), opposite the binding site of Bud23

and are predicted to be disrupting interactions. The Brix domain of Imp4 also contacts the NTD

of Utp2 (Fig. 3C), and we showed that suppressing mutations on either side of this interface

disrupted their interaction (Figs. 3D & 3F). Likewise, the mutations in the GTPase Bms1 are

expected to affect intramolecular interactions in a manner that promotes a conformational

change within Bms1 (Fig. 4F). As Bms1 simultaneously binds to the 5' domain and the 3' basal

subdomain, a conformational change could induce large-scale repositioning of RNA elements

within the SSU Processome. The picture that emerges is that the *bud23Δ* suppressing mutations

define a network of protein-protein and protein-RNA contacts that stabilize the 3' basal

subdomain within the SSU Processome structure. Disrupting this interaction network bypasses

the requirement for Bud23, suggesting that the function of Bud23 is to destabilize these

interactions to promote progression to the pre-40S. Consistent with this idea, pre-ribosomal

particles accumulated late-acting SSU Processome factors in the absence of Bud23 (Figs. 7A &

S6). Thus, we propose that Bud23 binding to the 3' basal subdomain promotes large-scale

rearrangements as the open structure of the SSU Processome collapses into the more compact

structure of the pre-40S (Fig. 8A).

Is Dhr1 unwinding of the U3 snoRNA coordinated with the GTPase activity of Bms1? The

network of genetic and physical interactions that we define in this work functionally link the RNA

helicase Dhr1 and the GTPase Bms1. These two enzymes are thought to drive structural

rearrangements critical to the transition of the SSU Processome to a pre-40S particle. Dhr1

catalyzes U3 snoRNA removal [29] while Bms1 is proposed to remodel structure to promote A1

site cleavage [16]. In addition to the functional linkage between Dhr1 and Bms1, the 3' basal

subdomain connects directly to the U3-rRNA duplexes that Dhr1 unwinds via long alpha helical

extensions of Imp4, Utp2, and Bms1, suggesting that the events at the 3' basal subdomain are

coordinated with Dhr1 unwinding U3. The linkage of Dhr1 and Bms1 in the disassembly of the

SSU Processome is reminiscent of the relationship between the DExH RNA helicase Brr2 and the

EF-G-like GTPase Snu114 that regulate Spliceosome disassembly [75]. In the case of the

spliceosome, it is believed that nucleotide-dependent conformational changes in Snu114 activate

Brr2, mediated through the C-terminus of Snu114. It is possible that the actions of Dhr1 and Bms1

are similarly coordinated. Bms1 has a globular GTPase domain composed of domains I-III that

binds helices 15-18 of the 5'-domain of 18S rRNA while domain IV binds to the 3' basal subdomain

[15,16]. A long C-terminal helix of Bms1 extends into the SSU Processome core where it wedges

between the U3 Boxes A and A' heteroduplexes with 18S rRNA (Figs. 1D & S3A), the substrates

of Dhr1. In this way, Bms1 and Dhr1 can be mechanistically linked. We previously mapped the

binding site of Dhr1 to U3 snoRNA immediately downstream of the Boxes A and A'

heteroduplexes [29]. As Dhr1 is a DEAH-box helicase it likely translocates in a 3' to 5' manner [32]

and would be poised to disrupt the U3 heteroduplexes. However, the helical extension of Bms1

with those of Utp2 and Imp4 could act as obstructions to control Dhr1 translocation.

What is the role of Bud23 in SSU Processome disassembly? In the mature SSU

Processome, Emg1 occupies the Bud23 binding site [15,16]. Thus, the release of Emg1 and

possibly additional disassembly events must precede Bud23 recruitment. Consequently, we

envision that Bud23 does not act directly on the intact SSU Processome but on a later,

intermediate particle during the transition to pre-40S. The genetic linkage of Bud23 to Bms1 and

Dhr1 as well as the physical linkage formed by Bms1 between the U3-18S heteroduplexes, that

Dhr1 unwinds, and the 3' basal subdomain, where Bud23 binds, suggest that Bud23 may

coordinate their activities. We propose a mechanism in which Bud23 binding facilitates SSU

Processome progression. After A0 site cleavage but before A1 and A2 site processing Dhr1 binds

to the SSU Processome [17,29,31,33–35], and Utp14 positions Dhr1 ahead of the U3-18S

heteroduplexes where it is poised for unwinding [30,33]. However, the helical extensions of

Bms1, Imp4, and Utp2 embrace and stabilize the U3-18S heteroduplexes thereby inhibiting Dhr1

unwinding. (Fig. 8B). After a partial disassembly of the SSU Processome, including the release of

Emg1 from the 3' basal subdomain, Bud23 binding signals the GTPase activity of Bms1 to drive

structural rearrangements to displace the helical extensions allowing Dhr1 to progress through

its substrate.

How do the Dhr1 and Utp14 mutants bypass *bud23Δ*? The activity of Dhr1 is stimulated

by interaction with Utp14 both *in vivo* and *in vitro* [30,32,65]. This interaction is mediated by a

short loop within Utp14, spanning residues 719-780 in the yeast protein [30], that is conserved

from yeast to mammals [30,32,65]. Y2H and *in vitro* experiments show that mutation of this loop

reduces the interaction between Utp14 and Dhr1 and decreases stimulation of Dhr1 activity

[30,32]. Consistent with the *in vitro* results, mutation of this loop of Utp14 phenocopies a

catalytically defective Dhr1 mutant *in vivo* [30]. Single point mutations in this loop were identified

as suppressors of *bud23Δ*, suggesting that weakening the interaction between Utp14 and Dhr1

and likewise reducing the activation of Dhr1 by Utp14 suppresses *bud23Δ*. Similarly, the majority

of the mutations in Dhr1 that suppress *bud23Δ* lay across the surface of the RecA domains (Fig.

5C) and combining multiple such mutations leads to reduced interaction with Utp14 (Fig. 5D).

The ATPase activity of Dhr1 also depends on its interaction with RNA [29,30,65], and other

bud23Δ-suppressing mutations, such as *dhr1-E1037K,Q*, affect residues that appear important

for RNA binding. As with the Utp14 mutations, it appears that reducing Dhr1 activity leads to

bypass of *bud23Δ*. We suggest that in the absence of Bud23, the structural context in which Dhr1

unwinds the U3-18S heteroduplexes is altered and wild-type Dhr1 activity promotes discard of a

suboptimal substrate. Dhr1 unwinds U3 from the 18S to allows folding of the central pseudoknot

[29,65]. If Bud23 promotes rearrangements within the transitioning processome that are

necessary for folding of the CPK, then in the absence of Bud23, Dhr1 unwinding may be largely

unproductive, acting before substrates are positioned for efficient folding of the central

pseudoknot. Mutations in Dhr1, or in Utp14, that reduce its activity, may afford more time for

rearrangements within the transitioning SSU Processome to allow productive unwinding by Dhr1.

In this model, mutations in factors binding the 3' basal subdomain (Imp4, Bms1, and Utp2) may

help overcome the requirement of Bud23 to afford a more favorable substrate for central

pseudoknot folding. Similar models of kinetic proofreading have been developed for RNA

helicases involved in splicesosomal rearrangements [76] where, for example, mutations in Prp28

that reduce its ATPase activity enhance the splicing efficiency of a suboptimal substrate [77].

Materials and methods

Strains, growth media, genetic methods, and yeast two-hybrid (Y2H) analysis. All S.

cerevisiae strains and sources are listed in Table 1. AJY2676 was generated by genomic

integration of *Eco*RI-digested pAJ4339 [78] into AJY2161 to replace the *KanMX* marker with

CloNAT. AJY3156 was generated by genomic integration of *bud23Δ::KanMX* into AJY2665.

AJY4175 was generated by transforming pAJ4094 into a *UTP2/utp2Δ::KanMX* heterozygous

diploid strain [79], sporulated, and dissected. AJY4395 was generated by genomic integration of

AID-HA::OsTIR1::LEU2 amplified from pJW1662 [80] into the *BUD23* locus of AJY2665. All yeast

strains were cultured at 30°C in either YPD (2% peptone, 1% yeast extract, 2% dextrose), YPgal

(2% peptone, 1% yeast extract, 1% galactose), or synthetic dropout (SD) medium containing 2%

dextrose unless otherwise noted. When appropriate, media were supplemented with 150 to 250

µg/ml G418 or 100 µg/ml nourseothricin. All plasmids and sources are listed in Table 2. Y2H

analysis was performed as previously described [33].

Identification of additional spontaneous suppressors of *bud23Δ*. AJY2676 cells were

inoculated into 200 µL of YPD media in a 48-well format plate. Cells were cultured with

continuous shaking until saturation then diluted into fresh media. After each passage, cells were

plated onto YPD plates to test for the presence of suppressors. This process was iterated for each

culture until suppressors were observed. Single colonies of each suppressor strain were obtained

and genomic DNA was prepped using MasturePure™ Yeast DNA Purification Kit (Lucigen). The

DHR1, *IMP4*, *UTP2*, and *UTP14* loci were amplified and sequenced by Sanger to identify mutations

in known suppressors [30,45–47].

Libraries for the six strains that did not carry suppressors in *DHR1*, *IMP4*, *UTP2* or *UTP14*

were prepared and sequenced on an Illumina NextSeq 500 platform by the Genomic Sequencing

and Analysis Facility at the University of Texas at Austin. The quality of the resultant reads was

assessed using FastQC (v0.10.1) [http://www.bioinformatics.babraham.ac.uk/projects/fastqc/],

and　　　subsequently　　　processed　　　using　　　TrimGalore　　　(v1.14)

[http://www.bioinformatics.babraham.ac.uk/projects/trim_galore/] to discard low-quality

sequences and adapters. The processed reads were aligned using Bowtie2 (v2.3.4) [81] using the

default settings for paired-end reads. The resultant files were further processed with SAMtools

(v0.1.18) [82] and BCFtools (v0.1.17) [83] to generate variant call format files. VCFtools (v0.1.16)

[84] was used to filter out variants with low quality scores (Quality value < 100) and to compare

samples pairwise to identify mutations unique to each suppressed strain. This analysis revealed

single point mutations within *BMS1* and *RPS28A* that were confirmed by Sanger sequencing. The

bms1 and *rps28a* variants were subsequently cloned into centromeric vectors and, as with all

other *bud23Δ* suppressors that we have identified, the *rps28A* and *bms1* mutants were dominant

(data not shown). All mutant strains isolated in this screen are listed in Table 1.

Identification of mutations in *UTP2* that suppress *bud23Δ*. Random mutations in *UTP2*

were generated by error-prone PCR using *Taq* polymerase and pAJ2595 as the template and

oligos that hybridize to the upstream and downstream sequences of *UTP2*. The restriction

enzymes *Eco*RI and *Sph*I were used to linearize the vector pAJ2595 and the linearized vector was,

co-transformed with the mutant amplicon into AJY2161, and plated onto SD-Uracil media to

allow recombination of the mutant amplicon into the pAJ2595 backbone. Colonies displaying a

suppressed phenotype were isolated; vectors were rescued from yeast and sequenced after

confirming that the vectors conferred suppression.

Affinity purification. Cells were cultured as described in the Northern blotting and mass

spectrometry subsections below. All steps were carried out on ice or at 4°C. Cells were washed

with Lysis Buffer (50 mM Tris-HCl pH 7.6 (25°C), 100 mM KCl, 5 mM $MgCl_2$, 5 mM beta-

mercaptoethanol (βME), 1 mM each of PMSF and Benzamidine, and 1 μM each of leupeptin and

pepstatin) supplemented with EDTA-free Pierce Protease Inhibitor Mini Tablet cocktail (Thermo

Scientific), then resuspended in 1 volume Lysis Buffer. Extracts were generated by glass bead lysis

and clarified at 18,000g for 15 minutes. Clarified extracts were normalized according to A_{260} and

supplemented with 0.1% TritonX-100. Normalized extracts were incubated for 1.5 hours with

rabbit IgG (Sigma) coupled to Dynabeads (Invitrogen), prepared as previously described [85].

Following binding, the beads were washed thrice with Wash Buffer (Lysis Buffer supplemented

with 0.1% TritonX-100). The beads were resuspended in Elution Buffer (Wash Buffer

supplemented with TEV protease and Murine RNase Inhibitor (New England Biolabs)) and the

bound Enp1-TAP containing complexes were eluted for 1.5 – 2 hours. The resultant eluates were

handled as described in the Northern blotting and mass spectrometry subsections below.

Northern blot analysis. For analysis of rRNA processing in whole cell extract (WCE), strains

were cultured overnight in YPD media to saturation. Cell cultures were diluted into YPD at a

starting OD_{600} of 0.1 and cultured to mid-exponential phase (OD_{600} ~0.4-0.5) before collection

and storage at -80°C prior to lysis. For analysis of affinity purified RNAs, strains AJY2665 and

AJY4395 were cultured overnight in YPD media to saturation. Cells were diluted into YPD at a

starting OD_{600} of 0.05 and cultured for three hours. Cultures were treated with 0.5 mM auxin for

2 hours at 30°C, centrifuged, and frozen in liquid nitrogen. Affinity purification was performed as

described above. Affinity purified and WCE RNAs were isolated using the acid-phenol-chloroform

method as previously described (Zhu et al. 2016). RNAs were electrophoresed through 1.2%-

agarose MOPS 6% formaldehyde gel. Northern blotting was performed as previously described

(Li et al. 2009) using the oligo probes listed in the legends of Figures 2 and 7, and signal was

detected by phosphoimaging on a GE Typhoon FLA9500.

Mass spectrometry and analysis. Strains AJY2665 and AJY4395 were cultured as

described in the Northern blot analysis subsection. Affinity purifications were performed as

described above. To isolate factors associated with only pre-ribosomal particles, the eluate was

overlaid onto a sucrose cushion (15% D-sucrose, 50 mM Tris-HCl pH 7.6 (25°C), 100 mM KCl, 5

$MgCl_2$) then centrifuged at 70,000 rpm for 15 min in a Beckman Coulter TLA100 rotor. Following,

the pellets were precipitated with 15% trichloroacetic acid (TCA), washed with acetone and dried,

and resuspended in 1X Laemmli buffer. Approximately equivalent amounts of protein were either

fully separated on SDS-PAGE gels for excision of individual species or electrophoresed slightly

into a NuPAGE Novex 4%–12% Bis-Tris gel for analysis of the entire affinity purification. Peptides

were recovered from in-gel Trypsin digestion and prepared for identification by mass

spectrometry as previously described [33]. The resultant peptides were identified at The

University of Texas at Austin Proteomics Facility by LC-MS/MS on a Thermo Orbitrap Fusion 1

with either a 30 minute or 1 hour run time for identification of single species or complex sample,

respectively. Mass spectrometry data were processed in Scaffold v4.8.3 (Proteome Software,

Inc.). A protein threshold of 99% minimum with two peptides minimum and peptide threshold of

1% false discovery rate was applied. The data were exported, and custom Python 2.7 scripts were

used to calculate the relative spectral abundance factor (RSAF) for each protein by dividing the

total number of spectral counts by the molecular weight. Values for each protein were

normalized to the bait, Enp1, to reflect relative stoichiometry. Supplemental File 2 contains

relevant spectral counts and processed data from the mass spectrometry experiments.

Sucrose density gradient analysis. For polysome profile analysis of the suppressors of

bud23Δ, BY4741, AJY2676, AJY3744, AJY4529, AJY4531, and AJY4535 were cultured overnight in

YPD to saturation. Cultures were diluted into YPD at a starting OD_{600} of 0.02 and cultured to early

exponential phase (OD_{600}~0.10-0.13) and then treated with cycloheximide (CHX) at 100 μg/ml for

10 minutes at 30°C to inhibit translation. After centrifugation cells were frozen in liquid nitrogen

and stored at -80°C. Cells were washed and resuspended in Lysis Buffer (50 mM Tris-HCl pH 7.6

(25°C), 100 mM KCl, 5 mM $MgCl_2$, 7 mM βME, 100 μg/mL CHX, 1 mM each of PMSF and

Benzamidine, and 1 µM each of leupeptin and pepstatin). Extracts were prepared by glass bead

lysis and clarified by centrifugation for 15 minutes at 18,000g at 4°C. 4.5 A_{260} units of clarified

extract were loaded onto 7-47% sucrose gradients made in the same buffer lacking protease

inhibitors. Gradients were subjected to ultracentrifugation for 2.5 hours at 40,000 rpm in a

Beckman SW40 rotor. The gradients were subjected to continuous monitoring at 254 nm using

an ISCO Model 640 fractionator.

For analysis of the sedimentation of factors in the absence of Bud23, AJY2665 and

AJY4395 were cultured overnight to saturation. Cells were diluted into YPD at a starting OD_{600} of

0.05 and cultured for three hours (OD_{600} =~0.08-0.1). Cultures were treated with 0.5 mM auxin

for 2 hours at 30°C, then treated with CHX at 100 µg/mL for 10 minutes at 30°C. Cells were

harvested and stored as described above. Cells were washed and resuspended in Lysis Buffer

supplemented with an EDTA-free Pierce Protease Inhibitor Mini Tablet cocktail (Thermo

Scientific). Extracts were generated, and nine A_{260} units were loaded onto sucrose gradients and

subject to ultracentrifugation as described above. Gradients were fractionated into 600 µL

fractions with continuous monitoring at 254 nm using an ISCO Model 640 fractionator. Each

fraction was split in half to collect proteins and RNAs. Proteins were precipitated using 15% TCA

as described previously [33]. One-fifth of protein from each half fraction was separated on SDS-

PAGE gels and subjected to Western blotting. RNAs were precipitated as described previously

[86] supplemented with 10 µg of glycogen. One-third of RNA from each half fraction was

subjected to Northern blotting as described above.

Western blot analysis. Primary antibodies used in this study were anti-c-Myc monoclonal

9e10 (Biolegend), anti-HA (Biolegend), anti-Bud23 (C. Wang), anti-Rps24 (our laboratory), anti-

Glucose-6-phosphate dehydrogenase (Sigma ImmunoChemicals), and anti-Imp4 (S. Baserga).

Secondary anti-bodies were goat anti-mouse antibody-IRDye 800CW (Li-Cor Biosciences), goat

anti-rabbit antibody-IRDye 680RD (Li-Cor Biosciences), and goat anti-rabbit antibody-HRP

(Jackson Immunoresearch Laboratories). The blots in Figs. 3D, 3F, 5C, and 6B were imaged with

an Odyssey CLx infrared imaging system (Li-Cor Biosciences) using Image Studio (Li-Cor

Biosciences). The blots in Fig. 6C were imaged using SuperSignal West Pico PLUS

Chemiluminescent Substrate (Thermo Scientific) and exposed to film.

Molecular visualization. All images of SSU Processome and Dhr1 structures are from PDB

ascension codes 5WLC and 6H57, respectively. The structures of GDPNP- and GDP-bound EF-Tu

are from PDB ascension codes 1B23 and 1EFC, respectively. Molecular visualizations were

generated using MacPyMOL: PyMOL v1.8.2.1 Enhanced for Mac OS X (Schrödinger LLC).

Figure legends

Figure 1. Extragenic suppressors of *bud23*Δ reveal an interaction network that connects the 3'

basal subdomain to the U3-18S heteroduplexes. (A) A secondary structure map of the 18S rRNA

that indicates the position of the 3' basal subdomain (dark gray) and the central pseudoknot (CPK;

deep olive). (B) The position of the 3' basal subdomain (dark gray) within the context of the SSU

Processome structure (light gray). (C) Factors harboring mutations that suppress *bud23*Δ and are

resolved in the SSU Processome (PDB 5WLC) cluster around the 3' basal subdomain and the U3-

Box A'-18S heteroduplex that Dhr1 unwinds. Shown are: Bms1 (forest green), Imp4 (blue), Rps28

(cyan), Utp2 (orange), Utp14 (brown), U3 (deep purple), 18S rRNA (deep olive), 3' basal

subdomain (dark gray). (D) Zoomed view of factors in C showing contacts amongst each other,

the 3' basal subdomain, and the U3-Box A'-18S heteroduplex. N- and C-terminal domains, NTD

and CTD, respectively. The U3-18S heteroduplexes are shown as U3 Box A and U3 Box A'.

Guanosine 1575 (G1575, red) is shown as a marker for the binding site of Bud23. (E) Tabulation

of the number of unique mutations found in each extragenic suppressor of *bud23Δ*. Newly

identified mutations (novel) and previously identified (known) [30,45–47]. The complete list of

these mutations is available in Supplemental File 1. (F) Summary of the genetic and physical

interactions amongst the suppressors of *bud23Δ*. Factors are indicated as nodes; genetic and

physical interactions are shown as dashed and solid edges, respectively.

Figure 2. The Imp4 and Rps28A mutations primarily map to their interfaces with the 3' basal

subdomain. (A) Point mutations within *imp4* and *rps28a* suppressed the growth defect of *bud23Δ*

as shown by 10-fold serial dilutions of wild-type cells (BY4741), *bud23Δ* (AJY2676), and *bud23Δ*-

suppressed cells spotted on YPD media and grown for two days at 30°C. (B) Rps28 and the Brix

domain of Imp4 interact with the 3' basal subdomain RNA, while the NTD of Imp4 makes contacts

with its Brix domain and the U3-18S heteroduplexes. G1575, the binding site of Bud23, is shown

for reference. The regions where the mutated residues map are indicated by magenta and green

dashed boxes for the rRNA interaction and the NTD interaction, respectively. Factors are colored

the same as in Fig. 1. (C) Residues mutated in Rps28 and Imp4 Brix domain map to interaction

interfaces with the 3' basal subdomain RNA (magenta sticks). (D) Several residues mutated in

Imp4 map to an intramolecular interaction between the Brix and NTD of Imp4 (green sticks). (E)

Suppressing mutations in *imp4* and *rps28a* partially restored A2 processing and 18S rRNA levels

in *bud23Δ* cells. RNA processing intermediates were detected by Northern blotting on RNAs

extracted from wild-type (WT), *bud23Δ*, and *bud23Δ*-suppressed cells cultured to exponential

phase at 30°C in liquid YPD. P32-radiolabeled probes (Table 3) hybridized to the indicated regions.

The 25S and 18S rRNAs were detected by methylene blue staining of the RNAs prior to

oligonucleotide hybridization. (F) The *imp4* and *rps28a* mutations partially restored 40S

biogenesis as shown by polysome profiles after separation of extracts on sucrose density

gradients from wild-type, *bud23Δ*, and *bud23Δ*-suppressed cells cultured to exponential phase at

30°C in liquid YPD media.

Figure 3. The mutations in Utp2 disrupted its interaction with Imp4. (A) Spontaneous point

mutations within *utp2* partially suppressed the growth defect of *bud23Δ* as shown by 10-fold

serial dilutions of wild-type cells (BY4741), *bud23Δ* (AJY2676), and *bud23Δ*-suppressed cells

spotted on YPD media and grown for two days at 30°C. (B) Additional point mutations in *UTP2,*

generated by error-prone PCR, also suppressed the growth defect of *bud23Δ* (left) and

complemented loss of *UTP2* (right) as shown by 10-fold serial dilutions of *bud23Δ* (AJY2676) and

PGAL10-UTP2 (AJY4175) cells containing either empty vector, or vectors encoding the indicated

alleles of *UTP2* spotted on SD-Leu media containing glucose and grown for two days at 30°C. (C)

Several of the mutations in Utp2 map to residues (green sticks) located within its NTD (orange)

that interacts with the Brix domain of Imp4 (blue) adjacent to the 3' basal subdomain RNA (gray).

(D) Left: Yeast two-hybrid interaction assay between Imp4 and wild-type (WT) or mutant Utp2.

Strains carrying the indicated constructs were patched onto Leu- Trp- (L-W-) and Leu-Trip-His- (L-

W-H-) media supplemented with 2 mM 3-Amino-1,2,4-triazole (3AT) (AD, Gal4 activation domain;

BD, Gal4 DNA binding domain). Right: Western blot analysis of the wild-type and mutant Utp2-

AD-HA proteins using equivalent amounts of total protein extracts. Glucose-6-phosphate

dehydrogenase (G6PDH) was used as a loading control. (E) Top: F58 of Utp2 (green sticks) fits

into a hydrophobic pocket in the Brix domain of Imp4 (surface representation). Bottom: The

bud23Δ-suppressing mutations V170F and P252L of Imp4 (magenta sticks) line this pocket. Imp4

and Utp2 are colored blue and orange, respectively. (F) Top: Yeast two-hybrid interaction assay

between Utp2 and wild-type or mutant Imp4. Strains carrying the indicated constructs were

patched onto L-W- and L-W-H- media supplemented with 6 mM 3AT. Bottom: Western blot

analysis of the wild-type and mutant BD-myc-Imp4 proteins in equivalent amounts of total

protein extract is shown. G6PDH was used as a loading control.

Figure 4. The mutations in the GTPase Bms1 that suppress *bud23Δ* are poised to modulate its

conformational state. (A) Spontaneous point mutations within *BMS1* suppressed the growth

defect of *bud23Δ* as shown by 10-fold serial dilutions of wild-type cells (BY4741), *bud23Δ*

(AJY2676), and *bud23Δ* cells carrying the indicated *bms1* mutations spotted on YPD media and

grown for two days at 30°C. (B) The *bms1* mutations partially restored A2 processing and 18S

rRNA production in *bud23Δ* cells as shown by Northern blotting of RNAs extracted from wild-

type, *bud23Δ*, and *bud23Δ*-suppressed cells as described in Fig. 2E. (C) The *bms1* mutations

partially restored 40S biogenesis as shown by the analysis of the polysome profiles from the

indicated strains as described in Fig. 2F. (D) Top: Primary structure of Bms1 with domains (in

different shades of green), interacting regions and *bud23Δ* suppressing mutations annotated;

regions not resolved in SSU Processome structures are indicated in light gray. Bottom: The partial

structure of Bms1 (from PDB 5WLC) in the context of the SSU Processome is shown. Domains IV

and V extend from its GTPase core (domains I – III) to contact the RNAs of the 3' basal subdomain

(gray) and the U3-18S heteroduplexes (pink/gold), respectively. (E) At the 3' basal subdomain,

Domain IV of Bms1 also contacts the CTDs of Utp2 (orange) and Imp4 (blue). (F) The mutated

residues D124, D843, A903, and S1020 in Bms1 map to inter-domain contacts with the

unstructured strand of domain V that connects it to domain IV.

Figure 5. Most of the Dhr1 mutations map to surface residues of its RecA domains. (A) A cartoon

of the primary structure of Dhr1 is shown. The domains of Dhr1 are annotated by color: NTD, N-

terminal domain (light gray); RecA1/2, Recombination protein A1/2 (blue/green); WH, winged-

helix (yellow); HB, helical bundle (orange); OB, oligonucleotide-binding fold (brown); CTD, C-

terminal domain (light red). Unstructured regions are colored as light gray. Mutations reported

here (novel) and previously (known) [46] are indicated as black and magenta, respectively.

Numbering indicates residue numbering of yeast Dhr1. (B) The structure of yeast Dhr1 (PDB

6H57) with relevant features colored as described in panel A. Catalytic residues involved in ATP

hydrolysis are denoted as red sticks for reference. (C) The majority of the mutated residues map

to the surfaces of the RecA domains. Mutated residues are shown as black and magenta sticks as

described for panel A. The positions of the residues that were used to test loss-of-interaction

with Utp14 in panel D are indicated. (D) Top: Yeast two-hybrid interaction data between AD-HA-

Utp14 and wild-type (WT) or mutant BD-myc-Dhr1 are shown. Strains carrying the indicated

constructs were patched onto Leu- Trp- (L-W-) and Leu-Trip-His- (L-W-H-) media supplemented

with 10 mM 3-Amino-1,2,4-triazole (3AT) (AD-HA, GAL4AD-HA; BD-myc, GAL4BD-myc). Bottom:

Western blot analysis of the wild-type and mutant BD-myc-Dhr1 proteins using equivalent

amounts of total protein extracts is shown. Glucose-6-phosphate dehydrogenase (G6PDH) was

used as a loading control.

Figure 6. Imp4 and Enp1 accumulate with pre-40S upon Bud23 depletion. (A) The genomic

fusion of an auxin-inducible degron (AID) to the C-terminus of Bud23 rendered cells sensitive to

auxin, with a growth defect comparable to *bud23Δ*. 10-fold serial dilutions of wild-type

(AJY2665), *BUD23-AID* (AJY4395), and *bud23Δ* (AJY3156) cells were spotted on YPD media with

and without 0.5 mM auxin and grown for two days at 30°C. (B) Western blot of time-course of

depletion of Bud23-AID, using equivalent amounts of total protein extract from AJY2665 or

AJY4395 cells cultured to exponential phase then harvested prior to or after the addition of 0.5

mM auxin for the indicated time (WT; wild-type). G6PDH was used as a loading control. (C) The

sucrose density gradient sedimentation of Enp1-TAP, Imp4, and Rps24 in the presence (upper

panel) or absence (lower panel) of Bud23. Extracts were prepared from + Bud23 (AJY2665) and -

Bud23 (AJY4395) cells treated with 0.5 mM auxin for two hours and separated on sucrose density

gradients prior to fractionation. Proteins from each fraction were precipitated and subjected to

Western blot analysis.

Figure 7. Composition of 40S precursors purified in the absence of Bud23. (A) Coomassie-

stained gel of proteins that co-purified with Enp1-TAP in the presence (+) or absence (-) of Bud23.

Pre-ribosomal particles were enriched by overlaying eluate onto sucrose cushions followed by

ultracentrifugation. Individual species that showed clear enrichment or depletion were excised

and identified by mass spectrometry and are indicated in blue or black text, respectively. The

asterisks (*) denote proteins that also appeared in the analysis described in Fig. S6. (B) The rRNA

processing intermediates and U3 snoRNA that co-purified with Enp1-TAP in the presence (+) or

absence (-) of Bud23 were detected by Northern blotting using the indicated probes.

Oligonucleotides are listed in Table 3.

Figure 8. Models for Bud23 function in SSU Processome progression. (A) The Bud23-Trm112

subcomplex (light yellow and light blue, respectively) enters a partially disassembled SSU

Processome to establish a state competent for productive unwinding of U3 snoRNA (gray) by

Dhr1, to allow folding of the central pseudoknot and compaction of the 5' (blue), central (yellow),

3' major (magenta), and 3' minor (green) domains onto one another to yield an early pre-40S.

Only the rRNA, U3 snoRNA, and Bud23-Trm112 subcomplex are shown for simplicity. The U3-18S

rRNA heteroduplexes that Dhr1 unwinds are indicated by a dashed box. The rRNAs of the SSU

Processome (left) are a composite of two structures (PDB: 5WLC, 5WYK), and the Bud23-Trm112

subcomplex and the rRNA of the pre-40S (right) are from an early human pre-40S (PDB: 6G4W).

The middle image is an overlay of the left and right images with the partially disassembled SSU

Processome grayed out. (B) A cartoon 2-dimensional projection of Fig. 1D showing factors that

surround the 3' basal subdomain (gray) and U3-18S heteroduplexes (magenta/gold). During

assembly of the SSU Processome, multiple contacts are formed amongst Bms1 (green), Imp4

(blue), and Utp2 (orange). These three proteins contain long helical extensions that support the

U3-18S heteroduplexes but block their access by Dhr1 (light blue). Bms1, Imp4, and Utp2 all have

extensive interactions with the 3' basal subdomain rRNA that connect events here to the U3-18S

heteroduplexes. After partial SSU Processome disassembly, Bud23 (yellow) binds to the 3' basal

subdomain and signals to Bms1 to activate its GTPase domain. This, in turn, leads to

conformational changes that displace the helical extensions of these proteins away from the U3-

18S heteroduplexes allowing Dhr1 to gain access so that it can unwind it.

Supplemental figure legends

Figure S1. Schematic of rRNA processing relevant to 40S production in *S. cerevisiae.*

Endonucleolytic processing of the pre-18S rRNA at sites A0, A1, and A2 (or A3) occurs within the

context of the SSU Processome. Events occurring co- or post-transcriptionally are denoted by

blue and red scissors, respectively. Cleavage at either the A2 or A3 sites liberates the SSU

precursors from the LSU precursors containing the 27SA2 and 27SA3 rRNA intermediates,

respectively. In rapidly dividing cells processing of A0, A1, and A2 appear to occur co-

transcriptionally in a sequential order to produce the 20S rRNA intermediate. The RNA Exosome

exonucleolytically degrades the A0- and A1-cleaved 5' ETS fragments. When A1 or A2 cleavage is

delayed, post-transcriptional cleavage at A3 occurs to produce the 22S or 21S rRNA, and A1 and

A2 cleavage instead occur post-transcriptionally to generate the 20S rRNA. When SSU

Processome function is entirely precluded, cleavage at A3 produces the 23S rRNA that becomes

degraded. The 20S rRNA is a component of pre-40S intermediates that are exported to the

cytoplasm where an endonuclease processes the D site to yield the 18S rRNA.

Figure S2. Secondary structure diagram of the 18S rRNA. The 18S rRNA is divided into four main

domains: the 5' domain (blue), central domain (gold), 3' major domain (purple and black), and

the 3' minor domain (green). The 3' basal subdomain (black) is a sub-region of the 3' major

domain that forms during the assembly of the SSU Processome [17], and contains the binding

site for Bud23. The base methylated by Bud23, guanosine 1575 (G1575, red) is indicated. The

position of the Central Pseudoknot (CPK, gray) is also pictured.

Figure S3. The position of Utp14 and the binding site of Dhr1 within the SSU Processome. (A)

The location of the resolved segments of Utp14 (brown) in the SSU Processome. A contour line

indicates the unresolved region of Utp14 where the Dhr1-interaction surface and *bud23Δ*-

suppressing mutations are located. The U3 snoRNA binding site of Dhr1 and U3 mutations that

suppress a cold-sensitive Dhr1 mutant [29] are indicated by cyan and black sticks, respectively.

Bms1, Imp4, Rps28, Utp2, and the 3' basal subdomain RNA are shown for reference. (B) A cartoon

of Utp14 primary structure indicating the position of its resolved portions and the *bud23Δ*-

suppressing mutations reported here (black) and previously (light blue) within its Dhr1-

activaction loop [30].

Figure S4. Comparison of the structure of Bms1 to the conformational states of EF-Tu. (A)

Structural alignment of EF-Tu bound to the non-hydrolysable GTP analog, GDPNP (slate blue, PDB

1B23) to domain I of Bms1 (from PDB 5WLC) is shown. Bms1 is colored by domains as in Fig. 4D;

the GTP analog and magnesium ion bound to EF-Tu are shown as orange sticks and green sphere.

Structures are shown individually (left, middle) and as an overlay (right). (B) A view of domains II

and III of Bms1 compared to those of EF-Tu shows that the two domains adopt beta barrels in

similar conformations. (C) GDPNP-bound EF-Tu forms a complex with tRNA, while GDP-bound EF-

Tu (deep purple, PDB 1EFC) does not. (D) Conformational differences in the beta-barrel domains

of GDP and GTP-bound EF-Tu suggest that these domains rotate away from one another upon

GTP hydrolysis to promote tRNA release. (E) The amino-acyl tRNA contacts GDPNP-bound EF-Tu

through its two beta barrel domains. (F) Bms1 in the same orientation as EF-Tu in panel E. The

unstructured loop of domain V that connects it to domain IV (denoted by the black arrow) and

an N-terminal helix of Mpp10 (red) contacts domains II and III of Bms1 in a manner reminiscent

of how tRNA interacts with GDPNP-bound EF-Tu. The mutated residues that suppress *bud23Δ* are

shown as magenta sticks.

Figure S5. Proteomic compositions of 40S pre-cursors from cells with or without Bud23. Related

to Figure 7. A heatmap of SSU biogenesis proteins that co-immunoprecipitated with Enp1-TAP in

the presence (+) or absence (-) of Bud23 is shown. The scale spanning from 0 (white) to 1 (cyan)

reflects the relative spectral abundance factor (RSAF). The RSAF was calculated by first

normalizing the total number of spectral counts identified for a given protein to its molecular

weight; these values were further normalized to the bait, Enp1, to reflect stoichiometry. RSAF

values for each protein are shown within each cell. For each protein, the number of spectral

counts identified in the presence or absence of Bud23 are shown in parentheses, respectively.

Proteins that showed a significant increase or decrease relative to the + Bud23 sample and are

listed in Fig. S6 are denoted by an asterisks (*) colored blue or black, respectively. Proteins are

grouped according to [7] or by known function. Heatmaps were generated in Graphpad Prism

version 8.3.0 (328) for Mac iOS. The complete data for this figure are available in Supplemental

File 2.

Figure S6. 40S biogenesis factors whose association with 40S pre-cursors significantly changed

upon Bud23-depletion. Related to Figures 7 and S5. Mass spectrometry analysis of total proteins

that co-precipitated with Enp1. Proteins that showed a significant log2 fold-change difference in

the absence or presence or Bud23 are shown. Total number of peptides identified for each

protein was normalized to molecular weight then further normalized to the bait to generate RSAF

values (see Methods) which were used to calculate the log2 fold-change between the mutant

and wild-type samples. Proteins displaying a ± 0.5-fold change or more with a difference of

greater than 10 total spectral counts are plotted. Proteins are grouped according to when they

first bind to pre-ribosomes [7]. The complete mass spectrometry data are available in

Supplemental File 2.

Tables

Table 1: Yeast strains used in this study.

Strain	Genotype	Reference
AJY2665	*MATa his3Δ1 leu2Δ0 met15Δ0ura3Δ0 ENP1-TAP::HIS3MX6*	[87]
AJY2161	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX*	[39]
AJY2676	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT*	This study & [47].
AJY3156	*MATa his3Δ1 leu2Δ0 met15Δ0ura3Δ0 ENP1-TAP::HIS3MX6 bud23Δ::KanMX*	This study.
AJY3581	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX utp2-A2D*	[45]
AJY3512	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX*	This study & [47].

	imp4-V170F	
AJY3579	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-R94L*	This study & [47].
AJY3580	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-N118K*	This study & [47].
AJY3741	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-T92I*	This study & [47].
AJY3742	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-R116M*	This study & [47].
AJY3743	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-S93T*	This study & [47].
AJY3744	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-R94S*	This study & [47].
AJY3745	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::KanMX imp4-N118D*	This study & [47].
AJY4501	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-H159R*	This study.
AJY4502	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT utp2-L9S*	This study.
AJY4503	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT utp14-W794L*	This study.
AJY4504	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-R99L*	This study.
AJY4505	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-N121I*	This study.
AJY4506	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-Y77C*	This study.
AJY4507	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-S101W*	This study.
AJY4508	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-H208D*	This study.
AJY4509	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-R94C*	This study.
AJY4510	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-H156D*	This study.
AJY4511	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT*	This study.

	imp4-R99H	
AJY4512	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-R146G*	This study.
AJY4513	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT W791L*	This study.
AJY4514	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-D408Y*	This study.
AJY4515	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-G432R*	This study.
AJY4516	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-S511Y*	This study.
AJY4517	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-G434D*	This study.
AJY4518	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-E397D*	This study.
AJY4519	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-R596G*	This study.
AJY4520	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-D566Y*	This study.
AJY4521	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-A804D*	This study.
AJY4522	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-R596S*	This study.
AJY4523	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-M857I*	This study.
AJY4524	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-R563M*	This study.
AJY4525	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-R13G*	This study.
AJY4526	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-E1037Q*	This study.
AJY4527	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT dhr1-E1037K*	This study.
AJY4529	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT bms1-D843V*	This study.
AJY4530	*MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT*	This study.

	dhr1-F594L	
AJY4531	MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT rps28a-G24D	This study.
AJY4532	MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT bms1-D124Y	This study.
AJY4533	MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT bms1-A903P	This study.
AJY4535	MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT bms1-G813S	This study.
AJY4536	MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT imp4-P252L	This study.
AJY4537	MATa his3Δ1 leu2Δ0 ura3Δ0 lys2Δ0 met15Δ0 bud23Δ::CloNAT bms1-S1020L	This study.
AJY4395	MATa his3Δ1 leu2Δ0 met15Δ0ura3Δ0 ENP1-TAP::HIS3MX6 BUD23-AID-HA::OsTIR1::LEU2	This study.
BY4741	MATa his3Δ1 leu2Δ0 met15Δ0 ura3Δ0	Open Biosystems
PJ69-4a	MATa trp1-901 leu2-3,112 ura3-52 his3-200 gal4Δ gal80Δ LYS2::GAL1-HIS3 GAL2-ADE2 met2::GAL7-lacZ	[88]
PJ69-4alpha	MATalpha trp1-901 leu2-3,112 ura3-52 his3-200 gal4Δ gal80Δ LYS2::GAL1-HIS3 GAL2-ADE2 met2::GAL7-lacZ	[88]

982 **Table 2: Plasmids used in this study.**

Plasmid	Description	Reference
pAJ2321	GAL4AD-HA-UTP14 LEU2 2μ	[30]
pAJ2595	UTP2 URA3 CEN ARS	[45]
pAJ2596	utp2-A2D URA3 CEN ARS	[45]
pAJ2922	GAL4BD-c-myc-DHR1 TRP1 2μ	[46]
pAJ2769	GAL4BD-c-myc-IMP4 TRP1 2μ	This study.
pAJ3332	utp2-F149S URA3 CEN ARS	This study.
pAJ3335	utp2-L151H URA3 CEN ARS	This study.
pAJ3347	utp2-L148S, F149S, L151H URA3 CEN ARS	This study.

pAJ3348	utp2-L6P URA3 CEN ARS	This study.
pAJ3349	utp2-K7E URA3 CEN ARS	This study.
pAJ3350	utp2-L148S URA3 CEN ARS	This study.
pAJ4093	utp2-F58S URA3 CEN ARS	This study.
pAJ4094	PGAL10-UTP2 LEU2 CEN ARS	This study.
pAJ4095	utp2-A2D, L6P, K7E URA3 CEN ARS	This study.
pAJ4188	Utp2-GAL4AD-HA LEU2 2µ	This study.
pAJ4192	utp2-F58S-GAL4AD-HA LEU2 2µ	This study.
pAJ4193	utp2-L148S, F149S, L151H-GAL4AD-HA LEU2 2µ	This study.
pAJ4194	utp2-A2D, L6P, K7E-GAL4AD-HA LEU2 2µ	This study.
pAJ4493	GAL4BD-c-myc-imp4-V170F TRP1 2µ	This study.
pAJ4494	GAL4BD-c-myc-imp4-P252L TRP1 2µ	This study.
pAJ4503	GAL4BD-c-myc-DHR1-E360K, E397D, E402G, D408Y TRP1 2µ	This study.
pAJ4513	GAL4BD-c-myc-DHR1-R563M, D566Y, E831K, F837L TRP1 2µ	This study.
pAJ4514	GAL4BD-c-myc-DHR1-H593Y, R596C, E831K TRP1 2µ	This study.
pGADT7	GAL4AD-HA LEU2 2µ	[89]
pGBKT7	GAL4BD-c-myc TRP1 2µ	[89]
pJW1662	AtIAA17_71-114(AID*)-HA::LEU2 prADH1-OsTIR1	[80]
pRS416	URA3 CEN ARS	[90]

984 **Table 3: Oligonucleotide probes used for Northern blotting.**

Target	Sequence
+1-A0	5' GGTCTCTCTGCTGCCGGAAATG 3'
A0-A1	5' CCCACCTATTCCCTCTTGC 3'
A2-A3	5' TGTTACCTCTGGGCCCCGATTG 3'
U3 snoRNA	5' TAGATTCAATTTCGGTTTCTC 3'

Supporting information

987   Supplemental File 1 – Cumulative list of the suppressors of *bud23Δ*.

988   Supplemental File 2 – Mass spectrometry data for the Enp1-TAP affinity purifications.

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Author contributions

1001   JJB and AWJ conceptualized, analyzed and interpreted data, and wrote the manuscript. JJB performed experiments and bioinformatic analyses. JJB, RS, EWE, and AWJ isolated and identified the suppressors of *bud23Δ*.

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1269

A 18S rRNA

CPK

3' basal subdomain

B SSU Processome

3' basal subdomain

C U3-18S

Utp14

Bms1

Imp4

Rps28

Utp2

D

U3 Box A - 18S duplex

Bms1 CTD

Utp2 CTD

Bms1 GTPase

U3 Box A' - 18S duplex

Imp4 NTD

Imp4 Brix

3' basal subdomain

Utp2 NTD

G1575

Rps28

E *bud23Δ* suppressor mutations

Gene	Known	Novel	Total
DHR1	10	15	25
IMP4	8	13	21
UTP2	1	7	8
UTP14	5	2	7
BMS1	0	5	5
RPS28A	0	1	1

F

Interaction:
Genetic - - - -
Physical ———

Rps28 Bms1 Dhr1

Utp2 Bud23 Utp14

Imp4 U3

Figure 1, Black, et al 2020

A YPD

wild-type
bud23Δ

rps28a-G24D
imp4-Y77C
imp4-T92I
imp4-S93T
imp4-R94L
imp4-R94S
imp4-R94C
imp4-R99L
imp4-R99H
imp4-S101W

bud23Δ

imp4-R116M
imp4-N118D
imp4-N118K
imp4-N121I
imp4-R146G
imp4-H156D
imp4-H159R
imp4-V170F
imp4-H208D
imp4-P252L

Not shown {
imp4-D74G
imp4-H143D

Interface:
rRNA interaction
Imp4 NTD interaction

B U3 Box A' - 18S duplex

Imp4 Brix

Imp4 NTD

3' basal subdomain

Rps28

G1575

C rRNA interaction

Brix

Rps28

G1575

D NTD interaction

NTD

Brix

E
bud23Δ
imp4

WT | *bud23Δ* | *s28a-G24D* | *Y77C* | *S93T* | *R94S* | *R99H* | *S101W* | *N121I* | *H159R*

Probe:
35S — A2-A3
27SA2
23S
22/21S

22/21S — (high contrast)

22S — A0-A1

25S — methylene blue
18S

F

A254nm →

80S, 60S, 40S, poly
sucrose →
wild-type

60S, 80S
bud23Δ

60S, 80S
bud23Δ imp4-R94S

60S, 80S
bud23Δ rps28a-G24D

Figure 2, Black, et al 2020

Figure 3, Black, et al 2020

Figure 4, Black, et al 2020

Figure 5, Black, et al 2020

dhr1-EEED = E360K, E397D, E402G, D408Y
dhr1-RDEF = R563M, D566Y, E831K, F837L
dhr1-HRE = H593Y, R596C, E831K

Figure 6, Black, et al 2020

Figure 7, Black, et al 2020

A

3' minor domain

central domain

3' major domain

U3 snoRNA

Trm112-Bud23

5' domain

Partially disassembled SSU Processome

Domain compaction

U3 snoRNA

Early pre-40S

B

U3 Box A: 18S duplex

U3 Box A': 18S duplex

Dhr1

Bms1

Imp4

Utp2

Bud23

3' basal subdomain

Dhr1

Bms1

Imp4

Utp2

Bud23

Dhr1

Bms1

Imp4

Utp2

Bud23

Figure 8, Black, et al 2020

Figure S1, Black, et al 2020

central domain

3' major domain

5' domain

CPK

3' basal subdomain

G1575

3' minor domain

A 3D-based secondary structure, generated by RiboVision.

Figure S2, Black, et al 2020

A

U3 mutations that suppress cold-sensitive *dhr1*
Dhr1-U3 crosslinks

Bms1 GTPase domain

Utp14

Bms1 CTD

U3-18S duplexes

Utp2 CTD

Utp2 NTD

Dhr1-interacting loop

G1575

Imp4 NTD

3' basal subdomain

Rps28

B

Mutation:
Novel
Known

V754G
I755T
E757G
A758G
A760P
W791L
W794L

Utp14

1   899

Dhr1-activaction loop

Figure S3, Black, et al 2020

Figure S4, Black, et al 2020

Figure S5, Black, et al 2020

Figure S6, Black, et al 2020