ContamLD: Estimation of Ancient Nuclear DNA Contamination Using Breakdown of Linkage Disequilibrium

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Abstract

Ancient DNA (aDNA) has emerged as a powerful technology for learning about history and biology, but unfortunately it is highly susceptible to contamination. Here we report a method called *ContamLD* for estimating autosomal aDNA contamination by measuring the breakdown of linkage disequilibrium in a sequenced individual due to the introduction of contaminant DNA, leveraging the idea that the contaminant should have haplotypes that are uncorrelated to those of the studied individual. Using simulated data, we confirm that *ContamLD* accurately infers contamination rates with low standard errors (e.g. less than 1.5% standard error in cases with <10% contamination and data from at least 500,000 sequences covering SNPs). This method is optimized for application to aDNA, leveraging characteristic aDNA damage patterns to provide calibrated contamination estimates. Availability: https://github.com/nathan-nakatsuka/ContamLD.

Keywords

Ancient DNA, linkage disequilbrium, contamination

Background

Ancient DNA (aDNA) has emerged as a powerful technology for inferring population history, allowing direct study of the genomes of individuals who lived thousands of years in the past (1-3). Unfortunately, these inferences can be distorted by contamination during the excavation and storage of skeletal material, as well as the intensive processing required to extract the DNA and convert it into a form that can be sequenced.

Accurate measurement of the proportion of contamination in ancient DNA data is important,

because it can provide guidance about whether analysis should be restricted to sequences that

show the characteristic C-to-T damage pattern of authentic aDNA (if contamination is high) (4),

or carried out at all. When analysis is restricted to focus only on damaged sequences, large

fractions of authentic sequences are usually removed from the analysis dataset, as only a

fraction of genuinely ancient sequences typically carry characteristic damage. In addition, if a

sample is contaminated by another individual with damaged DNA—which can arise for example

as a result of cross-contamination from other specimens handled in the same ancient DNA

laboratory—it is impossible to distinguish authentic sequences from contaminating ones based

on the presence or absence of characteristic ancient DNA damage.

Current methods for estimating contamination have significant limitations. Methods based on

testing for heterogeneity in mitochondrial DNA sequences (which are expected to be

homogeneous in an uncontaminated individual) can be biased, because there are several

orders of magnitude of variation in the ratio of the mitochondrial to nuclear DNA copy number

across samples. Thus, samples that have evidence of mitochondrial contamination can be

nearly uncontaminated in their nuclear DNA, while samples that have no evidence of

mitochondrial contamination can have high nuclear contamination (5). Another reliable method

for estimating rates of contamination in ancient DNA leverages polymorphism on the X

chromosome in males (*ANGSD*), but this method does not work in females (6-8).

Several methods for estimating contamination rates in present-day nuclear DNA have been

published, including *ContEst* (9) and *ContaminationDetection* (10). However, these methods

generally assume access to uncontaminated genotype data from the individual of interest or

access to all possible contaminating individuals, which is rarely available for aDNA. Another

method developed specifically for aDNA, *DICE*, jointly estimates contamination rate and error

rate along with demographic history based on allele frequency correlation patterns (11).

However, this method requires both explicit demographic modeling and high genome coverage.

While this may be effective for estimation of contamination in archaic genomes like

Neanderthals and Denisovans that are highly genetically diverged from likely contaminant

individuals, it is not optimized for study of contamination among closely related present-day

human groups with complex demographic relationships or individuals from the same population.

In Racimo *et al.* 2016 (11), *DICE* required over 3x genome sequence coverage and solved the

distinctive problem of measuring contamination of present-day human in a Neanderthal

genome.

We report a method for estimating autosomal aDNA contamination using patterns of linkage

disequilibrium (LD) within a sample. This approach, called *ContamLD*, is based on the idea that

when sequences from one or more contaminating individuals are present in a sample, LD

among sequences derived from that sample is expected to be diminished, because the

contaminant DNA derives from different haplotypes and therefore should have no LD with the

authentic DNA of the ancient individual of interest. Thus, the goal of the algorithm is to

determine the LD pattern the ancient individual would have had without contamination and

compare it to the LD pattern found in the sample. The LD patterns of ancient individuals are

determined using reference panels from 1000 Genomes Project populations to compute

approximate background haplotype frequencies where haplotypes are defined as pairs of SNPs

with high correlation to each other. Contamination is then estimated by fitting a maximum

likelihood model of a mixture of haplotypes from an uncontaminated individual and a proportion

of contamination (to be estimated from the data) from an unrelated individual. *ContamLD*

corrects for mismatch of the ancestry of the ancient individual with the reference panels using

two different user-specified options. In the first option, mismatch is corrected using estimates

from damaged sequences (which, in principle, lack present-day contaminants). In the second

option, *ContamLD* performs an "external" correction by subtracting the sample's contamination

estimate from estimates for individuals of the same population believed to have negligible

contamination (the user could obtain this value from a *ContamLD* calculation on a male

individual with a very low estimate of contamination based on *ANGSD*). The second option has

more power than the first option and allows detection of cross-contamination by other ancient

samples, but it could have biases if a good estimate of an un-contaminated individual from the

same population is not available for the external correction.

We show that *ContamLD* accurately infers contamination in both ancient and present-day

individuals of widely divergent ancestries with simulated contamination coming from individuals

of different ancestries. The contamination estimates are highly correlated with estimates based

on X chromosome analysis in ancient samples that are male, as assessed using the tool

ANGSD (12). *ContamLD* run with the first option has standard errors less than 1.5% in samples

with at least 500,000 sequences covering SNPs (~0.5x coverage for data produced by in-

solution enrichment for ~1.2 million SNPs (2, 13), or ~0.1x coverage for data produced using

whole-genome shotgun sequences), while the second option has standard errors less than

0.5% in these situations, allowing users to detect samples with 5% or more contamination with

high confidence so they can be removed from subsequent analyses.

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Simulations of Contamination in Present-Day Individuals:

To test the performance of *ContamLD*, we simulated sequence level genetic data. For our first

simulations, each uncontaminated individual was based on genotype calls from a present-day

individual from the 1000 Genomes Project dataset. To determine the sequence coverage at

each site, we used genome data from a representative ancient individual of 1.02x coverage and

in each case generated the same number of simulated sequences at each site, with allele type

corresponding to that of the present-day individual (i.e. if the present day individual is

homozygous reference at a site, all simulated alleles are of the reference type, while if the

present day individual is heterozygous, simulated alleles are either of the reference or

alternative type, with 50% probability of each). The damage status (i.e. whether it carries the

characteristic C-to-T damage often observed in ancient DNA sequences) of each sequence was

also determined based on the status of the ancient reference individual. Contaminating

sequences were then "spiked-in" at varying proportions (0 to 40%), using an additional present-

day individual from the 1000 Genomes Project to determine the contaminating allele type (see

Methods). All contaminating sequences were defined to be undamaged, consistent with

contamination coming from a non-ancient source.

For most of the analyses reported in this study, we simulate data for SNP sites defined on the

1.24 million SNP capture reagent (2, 13) that intersect with 1000 Genomes sites, after removing

sex chromosome sites (leaving ~1.1 million SNPs). However, our software allows users to make

panels based on their own SNP sets, and in a later section we report results from a larger panel

(~5.6 million SNPs) provided with the software that can be used with shotgun sequenced

samples, which has more power to measure contamination.

We first analyzed data generated using a reference individual from the 1000 Genomes CEU

population (Utah Residents (CEPH) with Northern and Western European Ancestry) and the

SNP coverage profile of a 1.02x coverage ancient West Eurasian individual (I3756; see

Methods). Supplementary Figure 1 illustrates the distribution of LOD (logarithm of the odds)

scores generated when the algorithm is run on samples with 0%, 7% and 15% simulated

contamination. Supplementary Figure 2 shows all the estimates from 0 to 40%. At very high

contamination (above 15%) *ContamLD* often overestimates the contamination rate, but in

practice samples with above 10% contamination are generally removed from population genetic

analyses, so inaccuracies in the estimates at these levels are not a concern in our view (the

importance of a contamination estimate in many cases is to flag problematic samples, not to be

able to accurately estimate the contamination proportion). *ContamLD* assumes that the

individual making up the majority of the sequences is the base individual, so we do not explore

contamination rates greater than 50% in these simulation studies.

We observe a linear shift in the contamination estimates such that most estimates are biased to

be slightly higher than the actual value, with even greater overestimates occurring at higher

contamination rates (Supplementary Figure 2). This is likely due to the difference between the

haplotype distribution of the test individual and that of the haplotype panel, as the magnitude of

this shift increases as the test individual increases in genetic distance from the haplotype panel.

Even in cases where the test individual is of the same ancestry as the haplotype panel (as in

Supplementary Figure 2) there is expected to be a shift, because the test individual's haplotypes

are a particular sampling of the population's haplotypes, and the difference between having only

frequencies of the haplotype panel and a particular instantiation of those frequencies in the test

individual will lead to the artificial need for an external source ("contaminant") to fit the model

properly. Further, we observe negative shifts for inbred individuals, as expected because the

algorithm assumes the paternal and maternal copy of a chromosome are unrelated; if they are

related, then extra LD will be induced and more contamination will be necessary to lead to the

expected LD pattern. In principle, this inbreeding effect be corrected explicitly by estimating the

total amount of ROH in each individual and applying this as a correction, although we do not

provide such functionality as part of our software as there is not yet a reliable methodology for

quantifying the proportion of the genome that is affected by inbreeding in ancient individuals. In

any case, a correction will always be necessary to address these biases.

In our implementation, we correct for these shifts in two ways, implemented as different options

in *ContamLD*. The first option leverages sequences that contain C-to-T damage that is

characteristic of ancient sequences. This option assumes these sequences are authentically

ancient and not derived from a contaminating source (assumed to be from present-day

individuals), so the *ContamLD* estimate based on un-damaged sequences is corrected by

estimates based on the damaged sequences (see Methods for more details). In the second

option, we allow the user to subtract the contamination estimate from the estimate of an

individual of the same ancestry assumed to be uncontaminated. The second option has smaller

standard errors than the first option (Figure 1), because it does not rely on estimates from

damaged sequences (which have less power since they are a much smaller subset of the data).

In addition, the second option allows one to estimate contamination in cases where the source

of contamination is also ancient in origin (i.e. a contamination event that occurred anciently or

due to cross contamination with other ancient samples), while the first option will likely produce

an underestimate in these cases, since it assumes that sequences that contain C-to-T damage

are not contaminated. However, the second option will generally not be reliable unless there is a

relatively high coverage, ancestry-matched external sample for correction (with no inbreeding in

either the sample of interest or the external sample). The rest of the analyses were based on

the first option, but *ContamLD* includes both methods as options, and the uncorrected score

forms the basis for warnings outputted by the software (e.g. high contamination or possible

contamination with another ancient sample leading to an inaccurate damage correction

estimate).

A)   **B)**

Figure 1. *ContamLD* estimates when the uncontaminated source, contaminant source, and

haplotype panel are all from the same population (CEU). Contamination estimates when the simulated

contamination rate is between 0.00-0.15. **A)** Estimates with damage restricted correction (option 1). **B)**

Estimates with external correction from an uncontaminated sample (option 2). The black dotted line is

y=x, which would correspond to a perfect estimation of contamination. Error bars are 1.96*standard error

(95% confidence interval).

Simulated Contamination of Ancient Samples with Present-Day Samples:

ContamLD is designed to work on ancient individuals, so we simulated contamination of real

ancient individuals with present-day individuals from the 1000 Genomes Project, a scenario that

would occur when skeletal material from ancient individuals is contaminated by present-day

individuals during excavation or some point of the processing of the material. We used male

individuals with very low contamination rates (less than 1% based on X chromosome estimates

using *ANGSD* (12), which we subtracted from the *ContamLD* estimates to correct for any

underlying contamination). Figure 2A shows results from an Iberian Bronze Age sample (14)

(I3756) that has approximately 1.02x coverage at the targeted ~1.24 million SNP positions,

demonstrating that *ContamLD* produces highly accurate contamination estimates for this

simulation.

Effect of Different Haplotype Panels

There are many potential cases in which ancient individuals can come from populations with

very different genetic profiles to present-day 1000 Genomes populations, leading to an ancestry

mis-match to the haplotype reference panels. *ContamLD* provides panels from all 1000

Genomes populations as well as tools to identify the panel most closely matching to the

ancestry of their ancient individual, which they can then select for the analysis. However, due to

the potential for ancestry mis-match to still occur, we tested the effect of choosing haplotype

panels that are genetically diverged from the individual of interest (Figure 2A). For the ancient

Iberian sample, the CEU and TSI (Toscani in Italia) panels—representing northern and southern

European ancestry, respectively—yielded contamination estimates that are close to the true

contamination rate, especially for rates below 5%. However, *ContamLD* underestimates

contamination by ~2% when the CHB (Han Chinese in Beijing, China) and YRI (Yoruba in

Ibadan, Nigeria) panels were used instead (though we view these as unlikely cases, because

the user should usually be able to choose a panel more closely related to their ancient individual

than these scenarios). We thus recommend that users take care to choose an appropriate panel

that is within the same continental ancestry as their ancient individual. Nevertheless, we note

that we were able to obtain reasonably accurate estimates for Upper Paleolithic European

hunter-gatherers, such as the Kostenki14 individual (15), who is ~37,470 years old, even when

using present-day European panels that have significantly different ancestry from the hunter-

gatherers (Supplementary Figure 3).

A)

B)

 Figure 2. Genetic distance between uncontaminated individual and contamination sources or

haplotype panels impacts *ContamLD* estimates A) Ancient Iberian (I3756, 1.02x coverage)

contaminated with CEU with haplotype panels generated from CEU, TSI, CHB, and YRI populations. **B)**

Contamination estimates from the same ancient Iberian contaminated with TSI, CHB, or YRI and

analyzed with a CEU panel, from an ancient East Asian (DA362.SG, 1.10x coverage) contaminated with

CEU and analyzed with a CHB panel, or from an ancient South African (I9028.SG, 1.21x coverage)

contaminated with CEU and analyzed with a YRI panel. The black dotted line is y=x, which would

correspond to a perfect estimation of the contamination. All samples had damage restricted correction

applied (option 1).

Effect of Mismatch Between the Ancestry of the True Sample and Contaminating Individual

Contamination can come from a wide variety of sources, including, but not limited to, different

members of the archaeological excavation team, the aDNA laboratory, or even residual human

DNA on the plastic and glassware. Thus, we sought to understand the effect of mismatch in the

ancestry of the true sample and the contaminating individual in our contamination estimates. We

found that as the ancestry of the two diverged, *ContamLD* over-estimated contamination (Figure

2B). This effect occurred when we tested an ancient European with different contaminant

ancestries as well as when we tested ancient East Asian (16) and ancient South African (17)

samples contaminated with European DNA. Nevertheless, the over-estimation was not severe

at contamination levels below 5 percent, and samples above this proportion would likely be

flagged as problematic. We also explored scenarios where the ancestry of the panel matches

the contaminant rather than the true sample (Supplementary Figure 4) and found a ~2% under-

estimate at low levels of contamination and an over-estimate at high levels of contamination,

which we view as not problematic in practice for the same reasons as in the scenarios above.

When we tested the effect of having multiple contaminant individuals (Supplementary Figure 5),

we found no significant difference relative to having a single contaminant individual.

Effect of Coverage:

We tested the power of our procedure at different coverages (Figure 3). We found that while our

estimates were not biased to produce estimates consistently above or below the true value, the

standard errors increased significantly at lower coverages, as expected for the decreased power

for accurate estimation in these scenarios. We provide a much larger panel with ~5.6 million

SNPs (vs. ~1.1 million for the 1240K panel) that improves accuracy and usually decreases

standard errors for samples that are shotgun sequenced (Supplementary Figure 6). This panel

increases *ContamLD*'s compute time and memory requirements, though, so we recommend

that it only be used for individuals with lower than 0.5x coverage. In addition, we provide users

tools to create their own panels to meet their specific needs.

Figure 3. *ContamLD* **estimates for ancient European samples of different coverages after damage**

restricted correction (option 1). An ancient Iberian of 0.46x coverage, an ancient Hungarian of 0.27x

coverage, and an ancient Ukranian of 0.015x coverage (~16,000 snps) were contaminated with CEU and

analyzed using a CEU panel with *ContamLD* option 1 (damage restricted correction). The black dotted

line is y=x. Error shading is 1.96*standard error (95% confidence interval).

Estimating Contamination in Admixed Individuals

ContamLD relies on measuring the difference between the LD pattern of the sample and that

expected from an uncontaminated individual. However, individuals from groups recently

admixed between two highly divergent ancestral groups have LD patterns, in principle, similar to

that of an unadmixed individual with contamination from a group with ancestry diverged from

that of the individual of interest. To determine how this would impact *ContamLD*, we ran the

software on an ASW (Americans of African Ancestry in Southwest USA) individual with different

levels of added CEU contamination. When we ran *ContamLD* with a YRI panel and no

correction on an individual with no contamination, the individual was inferred to have a

contamination of ~20% (likely because the individual had ~15% European ancestry, and this

was interpreted by the software as contamination). Using an ASW panel did not perform any

better. However, the concerns were mostly addressed by the damage-restricted correction

(option 1) at low contamination levels (Supplementary Figure 7). The simulation with African-

Americans represents an extreme of difficulty, because the individual is from a group with very

recent admixture (~6 generations (18)) of ancestries highly divergent from each other with one

of the ancestries very genetically similar to the reference panel. It highlights how the damage-

restricted correction is still able to produce accurate estimates in these difficult cases.

Simulations to Compare ContamLD to ANGSD X Chromosome Estimates

We performed simulations where we randomly added sequences at increasing levels from 0 to

15% from an ancient West Eurasian individual (I10895) into the BAM files of 65 ancient male

individuals of variable ancestries and ages (we set the damaged sequences to be only from the

 non-contaminant individual; see Methods). We chose ancient male individuals that had average

 coverage over 0.5X and X chromosome contamination estimates under 2% (using method 1 of

 ANGSD) when no artificial contamination was added (and also corrected even for this baseline

 contamination by setting damaged reads to be a 5% down-sampling of the files that had no

 artificial contamination; see Methods). We then analyzed the individuals with *ContamLD* and

 ANGSD and found that compared to *ANGSD*, *ContamLD* consistently had the same or lower

 errors relative to the real contamination level (Figure 4, Supplementary Online Table 2).

 Figure 4. Contamination estimates with *ContamLD* and *ANGSD* for ancient individuals with

 different levels of contamination added in. 65 ancient individuals with average coverage over 0.5X had

 increasing levels of artificial contamination added in (from I10895, an ~1200BP ancient West Eurasian

 individual) and were then analyzed with *ContamLD* (with panels most genetically similar to the ancient

 individual and using damage restricted correction, option 1) and *ANGSD*. Details of all estimates

 (including standard errors) are provided in Supplementary Online Table 2. The black dotted line is y=x,

 which would correspond to a perfect estimation of the contamination.

Comparing ContamLD, ANGSD, and Mitochondrial Estimates (ContamMix) in Ancient

Individuals without Added Contamination

We tested 439 ancient males with *ContamLD*, *ANGSD* (X chromosome contamination

estimates), and *ContamMix* (mitochondrial contamination estimates) without adding additional

contamination. For this analysis, we included published data generated with the ~1.24 million

SNP enrichment reagent, as well as data from the same sites that failed quality control due to

evidence of contamination (Supplementary Online Table 3). Similar to prior studies (5), the

mitochondrial estimates often differed from the nuclear (*ANGSD* and *ContamLD*) estimates,

showing high contamination in some samples that had low nuclear contamination, and low

mitochondrial contamination in some samples that had high nuclear contamination (Figure 5a).

In contrast, *ANGSD* and *ContamLD* had better concordance. However, we observed that some

of the samples with high contamination estimates based on *ANGSD* had much lower *ContamLD*

estimates, reflecting over-correction from analyzing the damaged sequences, perhaps because

the contamination was actually cross-contamination from other ancient individuals, violating the

assumptions of our damage-correction (Figure 5b). This problem was mitigated in part,

however, because *ContamLD* produces a warning of "Very_High_Contamination" if the

uncorrected estimate is above 15% (even in cases where the corrected estimate is very low),

and all samples with X chromosome estimates over 5% were flagged with this warning and/or

had estimates of over 5% contamination with *ContamLD* (all samples with less than 5%

contamination in *ANGSD* had lower than 5% contamination with *ContamLD*). It is unfortunately

not possible to know the true contamination of the samples we tested in Figure 5, but the fact

that our software produced results with good correlation to X chromosome estimates shows that

it works well in real ancient data.

It is possible for there to be samples with moderately high contamination from another

ancient individual but both a low damage restricted correction estimate and no warning

generated, because these would have high uncorrected estimates, yet not high enough to reach

the threshold required for the warning. These samples would have to be identified with an

external correction. Lowering the threshold for the "Very_High_Contamination" warning would

produce too many false positives, because there are many cases with high uncorrected

estimates that have low corrected estimates that are likely not contaminated (e.g. due to

ancestry mismatches of the panel and the test individual). To understand these issues better,

we performed a simulation in which an ancient Iberian (I3756) was contaminated with another

ancient West Eurasian individual (I10895) and the damaged sequences were set to be a 5%

down-sampling of the set of contaminated sequences (thus simulating a case in which all of the

contamination is from another ancient individual who has the same damage proportion as the

ancient individual of interest). We found that, as expected, the contamination from the ancient

individual was not detected (the contamination estimates were always near 0%) by the damage

restricted correction version of *ContamLD* until the contamination reached 15% at which point

the "Very_High_Contamination" flag came up (Supplementary Figure 8). The contamination

would have been detected with the external correction version of *ContamLD* (since the damage

restricted correction continued to go up with increasing contamination; see Supplementary

Online Table 4), but without an uncontaminated ancient individual of the same group as the

target individual, this would be difficult to do without the possibility of bias in the contamination

estimate.

(A) **(B)**

Figure 5. Contamination estimates from *ContamLD*, *ANGSD*, and *ContamMix* in 439 ancient

individuals of variable ancestry. *ANGSD* estimates are plotted on the X-axis, and on the Y-axis are

either **(A)** *ContamMix* or **(B)** *ContamLD* estimates. In red are samples that were flagged in *ContamLD* as

"Very_High_Contamination" based on having uncorrected estimates over 15%. All *ContamLD* estimates

below 0 were set to 0.

Discussion and Conclusion

We have presented a tool, *ContamLD*, for estimating rates of autosomal DNA contamination in

aDNA samples. *ContamLD* is able to measure contamination accurately in samples of both

male and female individuals, with standard errors less than 1.5% for individuals with coverage

above 0.5X on the 1240K SNP set (for contamination levels less than 10%) for the damage

restricted correction version (option 1). On the shotgun panel we provide, standard errors are

less than 1.5% for coverages above 0.1x. *ContamLD* is best suited to scenarios in which the

contaminant and the ancient individual of interest are similar ancestry, which is useful, because

DICE (11) and many population genetic tools (e.g. PCA or ADMIXTURE (19)) are better suited

for detecting cases where the contaminant is of very different ancestry from the ancient

individual of interest. *ContamLD* works even for recently admixed individuals. Lastly, *ContamLD*

can detect cases of contamination from other ancient individuals, though this works best if it is

large amounts of contamination that can reach the threshold required for the

"Very_High_Contamination" flag.

We tested *ContamLD* in multiple different simulation scenarios to determine when bias or less

reliable results would occur. When applied to the situation with a test individual (ancient or

present-day), contaminant, and haplotype reference panel all from the same continental

ancestry, *ContamLD* provides an accurate, un-biased estimate of the contamination. When the

contaminant comes from a population that is of a different continental ancestry from the

population used for the base and haplotype panel, the contamination appears to be slightly

overestimated, particularly for higher contaminations. This should not be a large problem in

analyses of real (i.e. non-simulated) data, however, because the effect is small at the

contamination levels of interest (<5%). When we varied haplotype panels, we found that the

estimator is robust when applied to simulated datasets using haplotype panels that are

moderately divergent from the base sample (within-continent variation). We provide users tools

for automatically determining the panel that shared the most genetic drift with the sample so that

the user can use the panel most closely related to the sample. In other simulations, we found

that the performance of the algorithm declines as the coverage of the sample decreases. The

estimates are not unbiased, but the standard errors significantly increase when fewer than

300,000 sequences are available for analysis. In these cases, if the individual was shotgun

sequenced, we recommend that users choose the shotgun panel, which will substantially

increase power for the analyses.

We applied the algorithm to estimate contamination levels in dozens of ancient samples and

compared them to X chromosome based contamination estimates. There was generally good

correlation with the X chromosome estimates, except that when contamination was very high,

the LD based estimates were sometimes estimated incorrectly due to over-correction from the

damage estimates. This problem is mitigated, however, because the software indicates if the

uncorrected estimate is very high so users can identify highly contaminated samples and

remove them from further analyses. A difficult case for the software is if there is contamination

in part from another ancient sample. This can cause an over-correction and lead to an under-

estimate of the contamination. The "Very_High_Contamination" warning catches very high

contamination from other ancient samples, but it will miss cases of moderate levels of

contamination from other ancient samples, because it will not reach the threshold required for

the warning. In theory, the user can determine the true contamination in these cases using the

external correction, but the external correction can be difficult if the user does not have an

adequate sample to correct the estimate of the sample of interest. The damage correction of the

software also does not work if the samples have undergone full UDG treatment (no damaged

sequences), and for this case, the external correction is the only option.

The software run-time is dependent on the SNP coverage. If ~1,000,000 SNPs are covered (the

depth of the coverage on each SNP does not affect run-time), the full analysis for the sample

will be approximately 2 hours if 3 cores are available on CentOS 7.2.15 Linux machines (~25

GB of memory). The software is designed for samples to be run in parallel, so the total time for

analysis even for large numbers of samples is often not much greater than the time for a single

sample.

In summary, *ContamLD* is able to estimate autosomal nuclear contamination in ancient DNA

accurately with standard errors that depend on the coverage of the sample. This will be

particularly useful for female samples where X chromosome estimates are not possible. As a

general recommendation for users, we believe in most cases all samples with a contamination

estimate that is greater than 0.05 (5%) should be removed from further analyses, or the

contamination should be explicitly modeled in population genetic tests.

Supplementary Data:

Supplementary Data include an Excel spreadsheet detailing all ancient samples used and the

contamination estimates for this algorithm. Also included are 8 supplementary figures.

Materials and Methods

Datasets:

Present-day samples:

Genome wide data from the 1000 Genomes Project dataset (20) were used as present-day

reference samples. We restricted to sites included in the aDNA ~1.24 million SNP capture

reagent (2, 13) and to SNPs at greater than 10% minor allele frequency in the 1000 Genomes

Project dataset (20). However, the software allows users to make panels based on their own

SNP set. In the analyses presented here, we filtered for SNPs that were present in the 1000

Genomes dataset and also removed all sex chromosome SNPs leading to 1,085,678 SNPs in

the final 1240K dataset and 5,633,773 SNPs in the final shotgun dataset.

Ancient samples:

We analyzed mitochondrial and X chromosome contamination estimates (12, 21) from ancient

individuals from previous studies generated by shotgun sequencing or targeted enrichment with

1.24 million SNP enrichment, including many samples that failed quality control due to

contamination but were from the same archaeological sites (2, 17, 22-28). Information about the

ancient individual data are detailed in Supplementary Online Table 1 and below.

Obtaining sequence information:

For each ancient individual, we generated the sequence-depth data from the sample bam file,

counting the number of reference and alternative alleles at each SNP site in the analysis

dataset. Damage-restricted data was generated by restricting to sequences with PMD scores

greater than or equal to 3 (4). Our software can accommodate both genotype call data as well

as sequence data (the sequence data adds additional power to the analyses), but all analyses

were performed using the sequence-based method. We provide users with tools to pull down

read count data from BAM files in the format required for *ContamLD*.

Haplotype Calculation

508
To create haplotype panels, we obtained all SNP pairs in high LD for each 1000 Genomes

population using PLINK version 1.9 (29) with r^2 cut-off of 0.2. (Users can increase power slightly

at the expense of increased computational time by creating their own haplotype panel with a

lower r^2 cutoff). We then calculated the frequencies of each SNP in all of these pairs as well as

the haplotype frequencies at each of these pairs while holding out the present-day individuals

used for contamination simulation.

Algorithm to Estimate Contamination

517
Our goal is to estimate α, the level of contamination, by examining the frequencies of SNP pairs

that should be in LD (we term this two-SNP pair a haplotype) and determining how much their

frequencies differ from what would be expected under no contamination. To estimate this, we

need both the distribution underlying the haplotypes (*q*) that an uncontaminated test sample

should have as well as the distribution of "unrelated haplotypes" (*p*) that would form by chance

from background allele frequencies.

To determine q we must account for the fact that the test individual's genotypes are not phased.

Due to the low sequence depths at each SNP in ancient DNA, it is difficult to make confident

heterozygous calls, so instead we create pseudo-haploid calls by randomly choosing a

sequence to represent the genotype at that position (this holds when we are using genotype

calls or the sequence information directly, and when multiple sequences cover the same SNP,

we use all of them and treat them as independent). Thus, for this analysis, when examining a

pair of SNPs, it is equally likely for the SNP pair to have been formed from the true haplotype (if

the same parental chromosome is sampled from in both SNPs of the haplotype) or the

background distribution (if the opposite parental chromosome is sampled from). We therefore

can estimate q as:

$$q = p/2 + \widetilde{p}/2$$

where $\widetilde{p}$ is the distribution of true haplotypes and p is the distribution of unrelated haplotypes

that would form by chance from background allele frequencies. For inbred samples, the weight

on $\widetilde{p}$ is more than 1/2, because the two parental chromosomes are more related, but this can

generally be corrected (see below).

$\widetilde{p}$ can be estimated from an external reference panel using a maximum likelihood estimator

(MLE). This would be:

$$log(L(h|c)) = \sum_{j=1}^{n} \sum_{i=1}^{4} c_{ij} log(P(i,j|h))$$

546   with:

$$P(i,j|h) = \sum_{a_1,a_2,b_1,b_2=0,1;a,b \to (i,j)} h_{(a_1,b_1)} * h_{(a_2,b_2)}$$

where $P(i, j|h)$ is the (unknown) diploid count distribution of the haplotypes of the test individual,

n is the number of SNP pairs, c is the vector of observed haplotypes in the diploid count panel, i

sums over all 4 haplotype possibilities, $h_{(a,b)}$ are the (also unknown) haplotype distributions of

the parents of the test individual, and $a, b \rightarrow (i, j)$ implies that $a_1 + a_2 = i$ and $b_1 + b_2 = j$, meaning

that one adds up all cases where the haplotype combination would lead to a particular diploid

count (e.g. in the notation, for example, 01,11 means the first parent contributes a haplotype

that has 0 alternative alleles at the first SNP and 1 alternative allele at the second SNP, and the

second parent contributes a haplotype where both SNPs have the alternative allele. The test

individual with these parents would then have a 12 diploid count, which means at the first SNP

the individual has 1 alternative allele and at the second SNP the individual has 2 alternative

alleles. Since our observed data are not phased, both 01,11 and 11,01 would lead to a 12

diploid count). This assumes independence of SNP pairs, which is not true, but because our

standard errors are based on jackknife resampling across chromosomes, this assumption does

not bias the error estimates.

The MLE would be computationally intractable to solve due to our lack of knowledge of which

parent contributed to each count, so we instead used a simple EM algorithm to obtain h. The

algorithm involved an expectation step of:

$$n_1 = \frac{C_{(i,j)} * \sum_{a,b \rightarrow (i,j)} h_{(a,b)} * h_{(a_2,b_2)}}{P(i, j|h)}$$

571 where n_1 is the expected number of times that the (a, b) configuration of the father's

572 chromosome contributed to a particular diploid count (this is the same value for the mother, n_2,

573 because they are assumed to be from the same haplotype distribution).

575 and a maximization step of:

$$D_{(a,b)} = \sum_{(i,j)} C_{(i,j)} * [n_1 + n_2]$$

$$\hat{h_{(a,b)}} = \frac{D_{(a,b)}}{\sum_{a,b} D_{(a,b)}}$$

where $D(a,b)$ is the sum of the probabilities of a particular haplotype configuration over all

diploid count configurations.

We initially set all $h(a,b)$ to be 0.25 and then iterated through the algorithm until convergence

(using a squared distance summed over all SNPs and a threshold of 0.001). We then used this

estimate of $\tilde{p}$ to get an estimate of q.

To estimate α, we used the equation:

$T = (1-2\alpha' + 2\alpha'^2)q + 2\alpha'(1-\alpha')p$

Here T is the distribution underlying the observed haplotypes of the test individual and α' is the

contamination (′ is used to indicate that this is an estimate of the real α). q is the haplotype

distribution for an uncontaminated sample. A fraction $(1 - \alpha')^2 + \alpha'^2$ of the distribution should

look like this, where $(1 - \alpha')^2$ is the probability that two uncontaminated sequences form the

SNP pair and α'^2 is the probability that two contaminated sequences form the SNP pair,

assuming the contaminating sequences are from a single individual, which would "re-form" a

SNP pair with LD (note: this also makes the simplifying assumption that the contaminant and

the test individual have the same background haplotype and SNP distribution). p is the

distribution of unrelated "haplotypes" that would form by chance from background allele

frequencies in the population. Contamination would form these unrelated haplotypes by

breaking up LD, so $2\alpha'(1 - \alpha')$ percent of the distribution should look like this (i.e. the probability

that the SNP pair is formed from a contaminated sequence and an uncontaminated sequence).

This equation can be used to solve for α' by maximizing the LOD (log of the odds) scores under

the null hypothesis that $\alpha' = 0$ and the alternative hypotheses of different α'. A LOD score is

assigned to each estimate of the contamination rate (α) between -0.1 to 0.5 (negative scores

are included to allow correction for inbreeding). The α' with the highest LOD score is the best

estimate of α, and is returned. When we have multiple sequences on the same SNP we assume

independence of the sequences, which provides additional power. The assumption of

independence does not bias the error estimation for the same reason as explained above for

independence of SNP pairs.

In practice, the α' that we obtain is not equal to the true α, because the reference panel does not

perfectly capture the SNP and haplotype frequencies of the test sample. We found that this

difference causes a linear shift in contamination estimate where the mismatch between the

sample individual and the reference panel leads to a positive shift while inbreeding leads to a

negative shift. These biases can be addressed in either of two ways.

First, for the "damage correction" approach, we performed an α' estimate only on sites from

sequences with evidence of damage characteristic of ancient samples. These sites do not have

present-day contamination and thus the α' calculated would be the linear shift, which can be

subtracted out from the estimate based on all sites. We separately analyzed the following pairs

of SNPs: UU (both SNPs at undamaged sequences), DU (one site damaged and the other

undamaged), and DD (both SNPs at damaged sequences). For the UU pairs, the value we

calculate would be α + k, where k is the linear shift. For DU pairs the value calculated would be

$\alpha/2$ + k, and for DD pairs the value calculated would be k. We added the likelihoods for these

pairs and maximized the likelihood to solve for α and k. After solving for α, we multiply by (1-

damage rate) to obtain the contamination level across all sequences, because α is the

contamination rate at undamaged sequences.

Second, for the "external correction" approach, we took samples of the sample population that

were high coverage and samples we believed had very low contamination (based on X

chromosome estimates with *ANGSD*) and measured α'. We assumed a true contamination of 0

for these samples and thus subtracted this α' from all other contamination estimates.

Data simulation:

637
To test the accuracy of the algorithm, we applied it to a variety of scenarios with both present-

day DNA as well as real aDNA samples that had simulated present-day DNA contamination. In

all our simulations with 1000 Genomes individuals, we removed the individual being used from

our haplotype panel before performing the analyses.

Simulated Contamination of Present-day Individuals:

We first simulated contamination of present-day individuals with other present-day individuals as

contaminants (this allowed us to be sure that there was no baseline contamination). In order to

best approximate the distribution of both the damaged and undamaged sequences that is

characteristic of aDNA data, we used sequence-depth information from an ancient individual as

a reference. At each SNP, the total number of simulated "damaged" and "undamaged"

sequences was determined based on the number of damaged and undamaged sequences at

the SNP in the reference ancient individual. The identity of each allele for the present-day

"base" sample was randomly chosen based on the genotype of the "base" present-day 1000

Genomes individual at each SNP, as described above for the contamination. The addition of

contaminant sequences to the dataset was performed using the method described above. In

order to reduce bias caused by the damage correction procedure, the damage restricted dataset

was generated only once for each simulation type (which included multiple simulations across

varying contamination rates) and combined with the undamaged dataset to produce the overall

dataset. This method was used to generate a simulated individual using present-day CEU

(NA06985) or ASW (NA19625) from the 1000 Genomes dataset as the "base" sample from the

sequence distributions of a 1.02x coverage ancient Iberian individual (I3756) (the "reference")

(14). The CEU (NA06984) individual was used as "contaminant" in each case.

In addition, we generated simulated data with contamination from multiple sources by adjusting

the present-day contamination simulation method to randomly sample from two or more

present-day source contaminant genomes with equal probability. In each case, a 1000

Genomes Project CEU individual (NA06985) was used as a "base" genome with the sequence

distribution of I3756 (the "reference"). In the case of 2 sources of contamination (Supplementary

Figure 5), two CEU individuals from the 1000 Genomes Project dataset (NA06984 and

NA06986) were used as contamination sources, and in the case of three contamination

sources, an additional CEU individual was used (NA06989). Data was generated for all

combinations of undamaged contamination rates, α, from 0-15%.

Simulated contamination of ancient individuals:

We performed two sets of simulations contaminating different ancient individuals. In both cases

we selected ancient male individuals with minimal contamination (as assessed by X

chromosome contamination levels from *ANGSD* (12)) to act as the "base" uncontaminated

genome. In the first simulation set, we tested *ContamLD*'s performance with different ancient

individuals and different present-day contaminant individuals from the 1000 Genomes dataset

(20) to assess the impact of contaminant ancestry and coverage of the ancient individual. In this

case we were only using *ContamLD* and thus we performed the simulated contamination on the

genotype level. In the second simulation set, we compared *ContamLD* to *ANGSD* and used a

~1200BP ancient West Eurasian individual (I10895) to contaminate the BAM files directly.

In the first simulation set, we used the fact that sequences with C-to-T damage are highly

unlikely to be the product of contamination except in the context of cross-contamination by

another ancient DNA sample. Thus, we exclusively added contamination to the "undamaged"

fraction of sequences. At each SNP site, we classified sequences present in the damage

restricted dataset as "damaged" and added to the simulated SNP data. We classified all other

sequences as "undamaged" and also added them to the simulated SNP data, but for each

"undamaged sequence" we added a contaminant sequence to the simulated SNP data with

probability $\alpha/(1-\alpha)$, where α is equal to the contamination rate (since the added sequences

contribute to the total number of sequences, we needed to add a higher proportion than the

contamination rate to obtain our desired contamination rate). The identity of the added

contaminant allele was randomly chosen based on the genotype of the chosen "contaminant"

present-day genome at the site (i.e. if the contaminant individual was homozygous at the site,

the allele it possesses would be added to the simulated individual, while if it were heterozygous

at the site, either the reference or alternative allele would be selected randomly and added to

the simulated individual). This method maintains the underlying distribution of "uncontaminated"

reference and alternative alleles at each SNP site, while adding additional "contaminant" alleles

to each site, producing an overall contamination rate of α in the undamaged sequences. For

each simulation, we generated two output files: (1) a file reporting the total number of

sequences carrying reference and alternative alleles at each SNP and (2) a damage restricted

file reporting the total number of damaged sequences carrying reference and alternative alleles

at each SNP. We used a 1.02x coverage ancient Iberian individual (I3756) (Supplementary

Online Table 1) with contamination from either the 1000 Genomes CEU individual NA06984, the

TSI individual NA20502, the CHB individual NA18525, or the YRI individual NA18486. We also

used 5 other ancient individuals, I1845 (an ancient Iberian sample of 0.46x coverage) (14),

I2743 (an ancient Hungarian of 0.27x coverage) (25), I5891 (a Neolithic Ukranian individual of

0.016x coverage) (30), DA362.SG (a Russian early Neolithic Shamanka East Asian individual of

1.10x coverage) (16), and I9028.SG (a South African individual of 1.21x coverage) (17). In each

case, we simulated individuals with 0-15% contamination.

For the second simulation set, we analyzed 65 ancient individuals of average coverage over

0.5X and baseline *ANGSD* estimates under 2% (Supplementary Online Table 2). In these

cases, we added artificial contamination with sequences from a ~1200BP ancient West

Eurasian individual (I10895) into the BAM files at the amounts: (0.000, 0.005, 0.010, 0.020,

0.025, 0.030, 0.040, 0.050, 0.060, 0.070, 0.080, 0.090, 0.100, 0.150). We removed two base

pairs from the end of each sequence of partial UDG treated samples and ten nucleotides for

non-UDG treated samples and pulled down the genotypes by randomly selecting a single

sequence at each site covered by at least one sequence in each individual to represent the

individual's genotype at that position ("pseudo-haploid" genotyping). To ensure that the damage

sequences were only from the non-contaminant individual (so that we could use the damage

restricted correction mode, option 1, of *ContamLD* without bias), we created the "damaged"

sequence set as a randomly chosen 5% of the sequences from the non-contaminant individual.

We then analyzed the data with *ContamLD* (damage restricted correction version, option 1) and

ANGSD using default settings (Method 1).

As a last simulation, we tested the case of an ancient individual contaminating another ancient

individual where some of the damaged sequences would also come from the contaminating

individual. In this simulation, we analyzed a 1.02x coverage ancient Iberian individual (I3756)

and contaminated the BAM with sequences from a ~1200BP ancient West Eurasian individual

(I10895) at the amounts: (0.000, 0.005, 0.010, 0.020, 0.025, 0.030, 0.040, 0.050, 0.060, 0.070,

0.080, 0.090, 0.100, 0.150, 0.200, 0.300). We then down-sampled the BAM, taking a random

5% of the sequences of these contaminated BAM files to act as the "damaged" sequences,

because this would naturally correct for any baseline contamination in the I3756 individual yet

would simulate additional contamination of I3756 by an ancient individual with the same

damage rate as I3756 (i.e. if there is 5% contamination, then also 5% of the damaged

sequences would be from the contaminant individual in this simulation). We then performed the

standard pull-down on both the full contaminated BAMs and the 5% down-sampled BAMs

(simulated to be "damaged" sequences), removing two base pairs from the end of each

sequence and doing a "pseudo-haploid" genotype pulldown. We ran *ContamLD* on the resulting

data with damage restricted correction, option 1.

Direct Analyses of Contamination Levels in Ancient Individuals:

As our last set of analyses, we directly measured contamination levels in ancient individuals

without simulated contamination. We used *ContamLD* to analyze shotgun sequenced

individuals pulled down onto the 1240K SNP set and the shotgun panel created using all

variants above 10% frequency in the 1000 Genomes dataset. The ancient shotgun sequenced

individuals were of 0.1-0.5x coverage from Allentoft *et al*., 2015 (26), Damgaard *et al.*, Nature

2018 (31), and Damgaard *et al*., Science 2018 (16). In addition, we analyzed 439 individuals

from a variety of ancestries with *ContamLD* (damage corrected version), *ANGSD* (12, 32) using

default settings (we report the results from Method 1), and *contamMix* (33) with the settings:

down-sampling to 50X for samples above that coverage, --trimBases X (2 bases for UDG-half

samples and 10 bases for UDG-minus samples), 8 threads, 4 chains, and 2 copies, taking the

first one that finishes. Supplementary Online Table 1 includes all information from these

individuals.

Declarations

Ethics Approval and Consent to Participate

761 Not applicable (all samples were from previously published studies).

Consent for publication

764 Not applicable.

Availability of Data/Materials and Requirements:

767 All data analyzed in this article are available in (2, 16, 17, 22-28, 31). The software is available

768 at: https://github.com/nathan-nakatsuka/ContamLD. It requires Python 3 and R (any version

769 should suffice). Scripts for data simulations are available upon request.

Competing interests:

The authors declare that they have no competing interests.

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Authors' Contributions:

N.N., E.H., N.P., and D.R. conceived the study. N.N., E.H., and S.M. performed analysis. N.N.,

E.H., and D.R., wrote the manuscript with the help of all co-authors.

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Supplementary Figures

A) **B)**

C)

Supplementary Figure 1. Distribution of LOD scores in simulated data. The distribution of LOD

scores is depicted for samples with **A)** 0%, **B)** 7%, and **C)** 15% simulated contamination. These data were

generated as part of tests using 1000 Genomes CEU individuals as the sample and contaminant DNA

and for the haplotype panel.

Supplementary Figure 2. Contamination estimates when the individual, contaminant, and haplotype panel are all from the same population (CEU) with no correction. The black dotted line is y=x, which would correspond to a perfect estimation of the contamination. Error bars are 1.96*standard error (95% confidence interval).

Supplementary Figure 3. Contamination estimates for Upper Paleolithic European individual after

damage restricted correction (option 1). Kostenki14 (2.81x coverage) was contaminated with CEU and

analyzed using a CEU panel with *ContamLD* using damage correction and *ANGSD* (12) (Method 1). The

black dotted line is y=x, which would correspond to a perfect estimation of the contamination. Error

shading is 1.96*standard error (95% confidence interval).

Ancient Iberian (1.02x coverage) contaminated with ancestries different than their own

Supplementary Figure 4. Contamination estimates with an ancient European as the sample and

ancestry matched contaminants and haplotype panels with damage restricted correction (option

1). An ancient Iberian of 1.02x coverage (I3756) is analyzed in 3 different situations: 1) contaminated with

TSI and analyzed with a TSI panel, 2) contaminated with CHB and analyzed with a CHB panel, and 3)

contaminated with YRI and analyzed with a YRI panel. The black dotted line is y=x, which would

correspond to a perfect estimation of the contamination.

A) **B)**

Supplementary Figure 5. Contamination estimates with CEU as the sample and multiple CEU

individuals as contaminants analyzed with CEU haplotype panels with damage restricted

correction (option 1). A CEU individual of 1.02x coverage (from the sequence distribution of the ancient

Iberian above) is contaminated with **A)** two CEU individuals or **B)** three CEU individuals. The black dotted

line is y=x, which would correspond to a perfect estimation of the contamination. Error bars are

1.96*standard error (95% confidence interval).

Supplementary Figure 6. Contamination estimate standard errors of shotgun sequenced ancient

individuals comparing the 1240K panel to the shotgun panel. Ancient shotgun sequenced individuals

of 0.1-0.5x coverage from Allentoft *et al.*, 2015 (26), Damgaard *et al.*, Nature 2018 (31), and Damgaard *et*

al., Science 2018 (16) were analyzed with *ContamLD* damage restricted correction (option 1) using the

1240K SNP set and a shotgun panel created using all variants above 10% frequency in the 1000

Genomes dataset. This test shows that analyses with the shotgun panel generally have smaller error

bars relative to those done with the 1240K panel, though it is unclear why there are two outliers with high

standard errors on the shotgun panel and low standard errors on the 1240K panel. All estimates are in

Supplementary Online Table 1.

Supplementary Figure 7. *ContamLD* **estimates with an ASW (African-American) individual and YRI**

panel using damage restricted correction (option 1). The black dotted line is y=x, which would

correspond to a perfect estimation of the contamination. Error bars are 1.96*standard error (95%

confidence interval).

Supplementary Figure 8. *ContamLD* **estimates with an ancient Iberian (I3756) individual**

contaminated with an ancient Iberian (I10895) including its damaged sequences analyzed with IBS

panel using damage restricted correction (option 1). The damaged sequences were simulated as a

5% down-sampling of each respective contaminated BAM file. IBS are 1000 Genomes Project present-

day Iberians from Spain. The black dotted line is y=x, which would correspond to a perfect estimation of

the contamination. Error bars are 1.96*standard error (95% confidence interval). Points in red are those

flagged with "Very_High_Contamination" by the software. See Supplementary Online Table 4 for all

values.