1 Spatial proximity of homologous centromere DNA sequences facilitated 2 karyotype diversity and seeding of evolutionary new centromeres 3 Krishnendu Guin¹, Yao Chen², Radha Mishra¹, Siti Rawaidah B. M. Muzaki², Bhagya 4 5 C. Thimmappa¹, Caoimhe O'Brien³, Geraldine Butler³, Amartya Sanyal^{2*}, Kaustuv 6 Sanyal^{1*} 7 8 ¹ Molecular Mycology Laboratory, Molecular Biology and Genetics Unit, Jawaharlal 9 Nehru Centre for Advanced Scientific Research, Bangalore-560064, India; ² School of Biological Sciences, Nanyang Technological University, 60 Nanyang Drive, 10 Singapore 637551; ³ The Butler Laboratory, School Of Biomolecular & Biomed 11 Science, Conway Institute of Biomolecular and Biomedical Research, University of 12 13 Dublin, Belfield, Dublin 4, Ireland. 14 15 16 *corresponding author 17 18 Kaustuv Sanyal, Ph.D. 19 Molecular Biology & Genetics Unit 20 Jawaharlal Nehru Centre for Advanced Scientific Research 21 Jakkur, Bangalore - 560064 22 India 23 Email: sanyal@jncasr.ac.in 24 Telephone : +91 80 2208 2878 25 Fax: +91 80 2208 2766 26 27 Amartya Sanyal, Ph.D. 28 Nanyang Assistant Professor 29 School of Biological Sciences 30 Nanyang Technological University 60 Nanyang Drive, SBS-05n-22 31 32 Singapore 637551 33 Email: asanyal@ntu.edu.sg 34 Telephone: (+65) 6513-8270 35 36 Present address: 37 Bhaqya C. Thimmappa, Department of Biochemistry, Robert-Cedergren Centre of 38 Bioinformatics and Genomics, University of Montreal, Montreal, Canada. 39 Radha Mishra, Department of Cellular & Molecular Medicine, University of Ottawa, 40 ON, Canada, K1H 8M5 41 42 Classification: 43 **Biological Science, Genetics** 44 45 Keywords: Genome assembly, 3D-genome, 3C-seq, CUG-Ser1 clade, Evolutionarily new 46 47 centromere, Chromosome segregation 48

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50 Abstract

51 Aneuploidy is associated with drug resistance in fungal pathogens. In tropical 52 countries, Candida tropicalis is the most frequently isolated Candida species from 53 patients. To facilitate the study of genomic rearrangements in C. tropicalis, we 54 assembled its genome in seven gapless chromosomes by combining next-55 generation sequencing (NGS) technologies with chromosome conformation capture 56 sequencing (3C-seq). Our 3C-seq data revealed interchromosomal centromeric and 57 telomeric interactions in *C. tropicalis*, similar to a closely related fungal pathogen Candida albicans. By performing a genome-wide synteny analysis between C. 58 59 tropicalis and C. albicans, we identified 39 interchromosomal synteny breakpoints 60 (ICSBs), which are relics of ancient translocations. Majority of ICSBs are mapped 61 within 100 kb of homogenized inverted repeat-associated (HIR) centromeres (17/39) or telomere-proximal regions (7/39) in C. tropicalis. Further, we developed a genome 62 63 assembly of Candida sojae and used the available genome assembly of Candida 64 viswanathii, two closely related species of C. tropicalis, to identify the putative 65 centromeres. In both species, we identified the putative centromeres as HIRassociated loci, syntenic to the centromeres of C. tropicalis. Strikingly, a centromere-66 67 specific motif is conserved in these three species. Presence of similar HIR-68 associated putative centromeres in early-diverging Candida parapsilosis indicated 69 that the ancestral CUG-Ser1 clade species possessed HIR-associated centromeres. 70 We propose that homology and spatial proximity-aided translocations among the 71 ancestral centromeres and loss of HIR-associated centromere DNA sequences led 72 to the emergence of evolutionary new centromeres (ENCs) on unique DNA 73 sequences. These events might have facilitated karyotype evolution and centromere-74 type transition in closely-related CUG-Ser1 clade species. 75

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77 Significance Statement

78 We assembled the genome of *Candida tropicalis*, a frequently isolated fungal

- 79 pathogen from patients in tropical countries, in seven complete chromosomes.
- 80 Comparative analysis of the CUG-Ser1 clade members suggests chromosomal
- 81 rearrangements are mediated by homogenized inverted repeat (HIR)-associated
- 82 centromeres present in close proximity in the nucleus as revealed by chromosome
- 83 conformation capture. These translocation events facilitated loss of ancestral HIR-

associated centromeres and seeding of evolutionary new centromeres on unique
DNA sequences. Such karyotypic rearrangements can be a major source of genetic
variability in the otherwise majorly clonally propagated human fungal pathogens of
the CUG-Ser1 clade. The improved genome assembly will facilitate studies related to
aneuploidy-induced drug resistance in *C. tropicalis*.

89

90 Introduction

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92 The efficient maintenance of the genetic material and its propagation to subsequent 93 generations determine the fitness of an organism. Genomic rearrangements are 94 often associated with the development of multiple diseases including cancer. Multiple 95 classes of clustered genomic rearrangements, collectively referred to as 96 chromothripsis, are associated with cancer (1). Similarly, structural rearrangements 97 in the genome are often observed during speciation (2). Such structural changes 98 begin with the formation of at least one DNA double-stranded break (DSB), which is 99 generally repaired by homologous recombination (HR) or non-homologous end 100 joining (NHEJ) in vivo. Studies using engineered in vivo model systems showed that 101 the success of the DSB repair through the HR pathway depends upon efficient 102 identification of the template donor. This process of 'homology search' is facilitated 103 by the physical proximity and the extent of DNA sequence homology (3-5). Multi-104 invasion-induced rearrangements (MIR) involving more than one template donor has recently been shown to be influenced by physical proximity and homology (6). 105 106 Therefore, the outcome of the genomic rearrangements is largely dependent on the 107 nature of the spatial genome organization. In yeasts, apicomplexans, and certain 108 plants, centromeres cluster inside the nucleus (7), which may facilitate translocations 109 between two chromosomes through their pericentromeric loci. 110

111 The centromere, one of the guardians of the genome stability, assembles a 112 large DNA-protein complex to form the kinetochore, which ensures fidelity of 113 chromosome segregation by correctly attaching every chromosome to the spindle 114 machinery. Paradoxically, this conserved process of centromere function is carried 115 out by highly diverged species-specific centromere DNA sequences. For example, 116 the length of the functional centromere DNA is ~125 bp in budding yeast *S*. 117 *cerevisiae* (8), but it can be as long as a few megabases in humans (9). The only 118 factor that remains common to most fungal centromeres is the presence of histone H3 variant CENP-A^{Cse4} except for in *Mucor circinelloides* (10). Most of the 119 120 kinetochore proteins evolved from pre-eukaryotic lineages and remained conserved 121 within closely-related species complex or expanded through gene duplication (11-122 13). It remains a long-standing paradox that the underlying centromere DNA 123 sequences keep evolving so fast while the kinetochore structure remains relatively 124 well conserved (14). Therefore, an understanding of the evolutionary processes 125 driving species-specific changes in centromere DNA sequences is essential for a better understanding of the centromere biology. 126

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128 The first centromere was discovered in S. cerevisiae, which carries conserved 129 genetic elements capable of activating *de novo* centromere function when cloned into a yeast replicative plasmid (8). DNA sequence-dependent regulation of 130 131 centromere function is also identified in Schizosaccharomyces pombe, where the 132 centromeres inverted repeat-associated structures of 40-100 kb (15). Other closely 133 related Saccharomyces and Schizosaccharomyces species were also identified to 134 harbor a DNA sequence-dependent regulation of centromere function (16-18). 135 Although the DNA sequence-dependent mechanism for centromere function is 136 present in certain organisms, the advantage of having such regulation is not well 137 understood. In fact, the majority of the species with known centromeres are thought to be regulated in a non-DNA sequence-dependent mechanism (14). The first 138 epigenetically-regulated fungal centromere carrying 3-5 kb long CENP-A^{Cse4}-bound 139 140 unique DNA sequences were identified in C. albicans (19), a CUG-Ser1 clade 141 species in the fungal phylum of Ascomycota. Subsequently, unique centromeres 142 were identified in closely related Candida dubliniensis (20) and Candida lusitaniae 143 (21). Strikingly, all seven centromeres of another CUG-Ser1 clade species C. 144 tropicalis, carry 3-4 kb long inverted repeats (IR) flanking ~3 kb long CENP-A^{Cse4} rich 145 central core (CC) and their DNA sequences are highly identical to each other. 146 Intriguingly, the centromere DNA of C. tropicalis can facilitate de novo recruitment of CENP-A^{Cse4} (22). In contrast, the centromeres of C. albicans lack such a DNA 147 sequence-dependent mechanism facilitating *de novo* CENP-A^{Cse4} recruitment (23). 148 149 Such a rapid transition in the structural and functional properties of the centromeres 150 within two closely related species offers a unique opportunity to study the process of 151 centromere-type transition.

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153 Our previous analysis suggested that centromeres of *C. tropicalis* are located 154 near inter chromosomal synteny breakpoints (ICSBs), which are relics of ancient 155 translocations in the common ancestor of C. tropicalis and C. albicans (22). 156 Additionally, the subcellular localization of the kinetochore proteins as a single 157 punctum per nucleus indicated the clustering of centromeres in C. tropicalis (22). 158 However, due to the nature of the then-available fragmented genome assembly, the 159 genome-wide distribution of the ICSBs and the spatial organization of the genome in C. tropicalis remained unknown. Therefore, the influence of the spatial proximity on 160 161 the outcome of the translocations near the centromeres guiding the karyotype 162 evolution in the CUG-Ser1 clade remains as a hypothesis to be tested.

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In this study, we constructed a chromosome-level gapless genome assembly 164 165 of the *C. tropicalis* type strain MYA-3404 by combining information from previously 166 available contigs, NGS reads and high-throughput 3C-seg data. Using this assembly 167 and 3C-seq data, we studied the spatial genome organization in *C. tropicalis*. Next, 168 we mapped the ICSBs in C. tropicalis genome with reference to C. albicans 169 (ASM18296v3) to ask if the frequency of translocations correlates with the spatial 170 genome organization. In addition, we performed Oxford Nanopore and Illumina 171 sequencing and assembled the genome of Candida sojae, a sister species of C. 172 tropicalis in the CUG-Ser1 clade (24). Finally, we used our genome assembly of C. sojae and publicly available genome assembly of C. viswanathii (ASM332773v1) and 173 174 identified the putative centromeres of these two species as HIR-associated loci 175 syntenic to the centromeres of C. tropicalis. Based on our results, we propose a 176 model suggesting homology and proximity guided centromere proximal 177 translocations facilitated karyotype evolution and possibly aided in rapid transition 178 from HIR-associated to unique centromere types in the members of CUG-Ser1 179 clade. 180

181 Results

182 A chromosome-level gapless assembly of *C. tropicalis* genome in seven

183 chromosomes

C. tropicalis has seven pairs of chromosomes (22, 25), but the current publicly
 available genome assembly (ASM633v3) has 23 nuclear contigs. To completely

186 assemble the nuclear genome of C. tropicalis in seven chromosomes, we combined short-read Illumina sequencing, and long-read single molecule real-time sequencing 187 (SMRT-seq) approaches together with high-throughput 3C-seq (simplified Hi-C) 188 189 experiment (Figure 1A, S1A-D). We started from the publicly available genome 190 assembly of C. tropicalis strain MYA-3404 in 23 nuclear contigs (ASM633v3, 191 Assembly A) (25) and used Illumina sequence reads to scaffold them into 16 contigs 192 to get Assembly B (Figure 1A). Next, we used the SMRT-seq long reads to join 193 these contigs, which resulted in an assembly of 12 contigs (Assembly C, Table S1). 194 Based on the contour clamped homogenized electric field (CHEF)-gel karyotyping 195 (Figure 1B) and 3C-seq data (Figure S1E-G), we joined two contigs and rectified a 196 misjoin in Assembly C to produce an assembly of seven chromosomes and five short 197 orphan haplotigs (OHs). Based on our analysis of the *de novo* contigs (Figure S1H, 198 Methods), sequence coverage data (Figure S2A-B), and Southern blot analysis of 199 the engineered aneuploid strains, we demonstrate that the small orphan contigs fall 200 in heterozygous regions of the genome (Figure S2C-G, Methods). Next, we used the 201 de novo contigs to fill pre-existing 104 N-gaps and scaffold 14 sub-telomeres (Figure 202 **S3A-C, Table S2**). Finally, we used the 3C-seq reads to polish the complete genome 203 assembly of *C. tropicalis* constituting of 14,609,527 bp in seven telomere-to-telomere 204 long gapless chromosomes (**Figure 1B**). We call this new assembly as

205 Assembly2020.

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We then named the chromosomes in the order of their length from 207 208 chromosome 1 (Chr1) through chromosome 6 (Chr6), and the chromosome 209 containing rDNA locus is named as chromosome R (ChrR) (Figure 1C). Accordingly, 210 the centromere on each chromosome is named after the respective chromosome 211 number. Additionally, we assembled the genome sequence of each chromosome in 212 a way to consistently maintain the short arm of chromosomes at the 5' end. The statistics of the intermediate and final genome assemblies are summarized in **Table** 213 214 **S3**. In Assembly2020, 1278 out of 1315, Ascomycota-specific BUSCO gene sets 215 could be identified compared to 1255 identified using Assembly A (Table S4, 216 Methods). Inclusion of 23 additional BUSCO gene sets as compared to the 217 Assembly A suggests improved contiguity and completeness of Assembly2020. 218

219 Previously, using centromere-proximal probes, we could distinctly identify five 220 chromosomes (Chr1, Chr2, Chr3, Chr5, Chr6) in chromoblot analysis (22). However, 221 the length of Chr4 as well as ChrR remaind unknown. To validate the correct 222 assembly of these two chromosomes (Chr4 and ChrR), we performed chromoblot 223 analysis. We observed that the Chr4 homologs differ in size (Figure S4A). Analysis 224 of the sequence coverage across Chr4 identified an internal duplication of ~235 kb 225 region, which explains the size difference between the homologs Chr4A and Chr4B 226 (Figure 1C, S4B). We named this duplicated locus as DUP4. Subsequently, we 227 scanned the entire genome for the presence of copy number variations (CNVs), 228 which led to the identification of two additional large duplication events: one each on 229 Chr5 (DUP5, ~23 kb) and ChrR (DUPR, ~80 kb) (Figure 1C, S4B). Additionally, we 230 detected a balanced heterozygous translocation between Chr1 and Chr4 (Figure 231 **S4C**) through analyses of 3C-seq data and the *de novo* contigs (Figure S4D). This 232 translocation was validated using chromoblot analysis (Figure S4E), Illumina and 233 SMRT-seq read mapping (Figure S4F). A chromoblot analysis for ChrR revealed 234 that the actual length of ChrR is ~2.8 Mb, while the assembled length is 2.1 Mb 235 (Figure 1C, S4G). Considering the length of rDNA locus is ~700 kb in C. albicans 236 (26), we reason that the difference between the assembled length and actual length 237 (derived from the chromoblot analysis) of ChrR in C. tropicalis can be attributed due 238 to the presence of the repetitive rDNA of ~700 kb, which is not completely 239 assembled in Assembly2020.

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241 Next, we performed phasing of the diploid genome of *C. tropicalis* using our 242 SMRT-seq, and 3C-seq data to identify the homolog-specific variations (Methods). 243 This analysis produced 16 nuclear contigs, which were colinear with the 244 chromosomes of Assembly2020, except for the previously validated heterozygous 245 translocation between Chr1 and Chr4 (Figure S4H). In order to characterize the 246 sequence variations in the diploid genome of *C. tropicalis*, we identified the single 247 nucleotide polymorphisms (SNPs) and insertions-deletions (indels) (Methods). 248 Intriguingly, we detected a long chromosomal region depleted of SNPs and indels on 249 the left arm of ChrR (Figure 1D). We refer to this region with loss of heterozygosity 250 on ChrR as LOH^R. Strikingly, we found parts of the syntenic regions of LOH^R to be 251 SNP and indel depleted in the Candida sojae strain NCYC-2607, a closely related 252 species of *C. tropicalis*, as well as in *C. albicans* reference strain SC5314 (Figure

S5). We also identified the genome-wide distribution of transposons and simple repeats but could not detect preferential enrichment of these sequence elements at any specific genomic location in *C. tropicalis* (Figure 1D). Together, we identified multiple long CNVs, long-track LOH, and heterozygous translocation events in the diploid genome of *C. tropicalis*. Possible implications of these events in virulence and drug resistance of this successful human fungal pathogen need to be explored.

260 Conserved principle of spatial genome organization in *C. tropicalis* and *C.*261 *albicans*

262 Indirect immunofluorescence imaging of C. tropicalis strain expressing 263 protein-A tagged Cse4 suggests the clustering of the centromere-kinetochore 264 complex, which is localized at the periphery of the DAPI-stained nuclear DNA mass 265 as a single punctum (Figure 2A-B). We re-aligned 3C-seq data to the 266 Assembly 2020 to generate the genome-wide chromatin contact map of *C. tropicalis*. 267 The resultant heatmap shows high signal intensity along the diagonal indicating that 268 the intra-chromosomal interactions are generally stronger than interchromosomal 269 interactions (Figure 2C). However, the most striking feature of the heatmap is the 270 presence of conspicuous puncta in the interchromosomal areas, which signify strong 271 spatial proximity between centromeres (Figure 2C-D). Aggregate signal analysis further reiterates the enrichment of centromere-centromere interactions (Figure 2E). 272 273 All these observations suggest the clustering of centromeres and conservation of the 274 Rabl configuration in C. tropicalis, a well-known feature of a higher-order genome 275 organization in yeasts (27-29). Strikingly, we also noted enrichment of interactions 276 between telomeres of different chromosomes (Figure 2E). These interchromosomal 277 telomeric interactions were significantly greater than the average interchromosomal interaction (Mann-Whitney U test *P* value = 6.547×10^{-7}) (**Figure S6A**). We also 278 279 observed enhanced *cis* interaction between the two telomeres of an individual 280 chromosome compared to average intra-chromosomal long-range (≥100 kb) 281 interaction (Mann-Whitney U test *P* value = 1.091×10^{-9}) (Figure S6B). 282

283 Previously, the genomic contacts in *C. albicans* were analyzed by Hi-C, which 284 showed physical interaction among the centromeres (27, 30, 31). Together, our 285 analysis reveals a conserved pattern of centromere clustering in two closely related 286 fungal species with completely different centromere DNA sequences and structural

- features. This observation suggests a DNA sequence-independent mechanism for
- centromere clustering in yeasts. Moreover, our analysis demonstrates the conserved
- principles of chromosomal organization in two human pathogenic ascomycetes, *C*.
- 290 *albicans*, and *C. tropicalis*, despite substantial karyotypic changes during the
- speciation.
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293 Centromere and telomere proximal loci are hotspots for complex

294 translocations

295 Using the chromosome-level Assembly2020 of C. tropicalis and publicly 296 available chromosome-level assembly of the C. albicans reference genome of 297 SC5314 strain (ASM18296v3), we performed a detailed genome-wide synteny 298 analysis following four different approaches. We used two published analysis tools, Symap (32) and Satsuma synteny (33), and a custom approach to identify the ICSBs 299 300 based on the synteny of the conserved orthologs (Figure 3A). Next, we compared 301 and validated the results obtained from our custom approach of analysis with 302 another published tool Synchro (Figure S7A-B) (34). All four methods of analysis 303 detected that six out of seven centromeres (except CEN6) of C. tropicalis are located 304 proximal to multiple ICSBs, which are rare at the chromosomal arms (Figure 3A). 305 The ORF-level synteny analysis detected four out of seven centromeres (CEN2, 306 CEN3, CEN5, CENR) in C. tropicalis to be precisely located at the ICSBs, while 307 multiple ICSBs are located within ~100 kb of other two centromeres (Figure 3B). 308 However, no ICSB could be identified on Chr6. Additionally, we found a convergence 309 of orthoblocks from as many as four different chromosomes within 100 kb of 310 centromeres (Figure 3B).

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312 To correlate the frequency of translocations with the spatial genome 313 organization, we quantified ICSB density (the number of ICSBs per 100 kb of the 314 genome) at different zones across the chromosome for all chromosomes except for 315 Chr6 (Figure 3C). Since no ICSBs were mapped on Chr6, it was excluded from the 316 analysis. This analysis revealed that the ICSB density is the highest at the 317 centromere proximal zones for all six chromosomes, but dropped sharply at the 318 chromosomal arms. However, the ICSB density near the telomere proximal zone for 319 Chr2, Chr4, and ChrR showed an increase over the chromosomal arms, albeit at a

lower magnitude than the centromeres. We also compared the length of the
orthoblocks across three different genomic zones- the centromere proximal (within
300 kb from the centromere on both sides), centromere distal (beyond 300 kb from
the centromere to 200 kb from the telomeres), and telomere proximal (within 200 kb
from the telomeres) zones. This analysis revealed that the length of the orthoblocks
located proximal to centromeres and telomeres are significantly smaller compared to
the orthoblocks located at the centromere distal zone (Figure 3D).

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328 Does this mean there were inter-centromeric translocations in the common 329 ancestor of C. albicans and C. tropicalis? If such inter-centromeric translocations 330 occurred, then the ORFs present near different centromeres in C. tropicalis should 331 converge together on the C. albicans genome. Indeed, we found 10 loci where such 332 convergence is observed (Figure S7C). Intriguingly, four such loci are proximal to 333 the centromeres (CEN3, CEN4, CEN7, and CENR) in C. albicans (Figure 3E-F, 334 **S7D-E**). This observation supports the possibility of inter-centromeric translocation 335 events in the common ancestor of C. albicans and C. tropicalis. Additionally, the 336 other four centromeres are located proximal to ORFs, homologs of which are also 337 proximal to the centromeres in *C. tropicalis* (Figure S7C). Together, these 338 observations posit that the ancestral HIR-associated centromeres are lost in C. 339 albicans and evolutionary new centromeres (ENCs) formed proximal to the ancestral centromere loci on unique and different DNA sequences (19). 340

341

Rapid transition in the centromere type within the members of the CUG-Ser1clade

344 Based on the identification of multiple translocation events concentrated near the centromeric regions of the C. tropicalis genome, we hypothesize that complex 345 translocations between HIR-associated centromeres in the common ancestor of C. 346 347 albicans and C. tropicalis led to the loss of HIR and evolution of unique centromere 348 types observed in C. albicans and C. dubliniensis. However, the genomic 349 rearrangements are rare events, even at the evolutionary time scale. Therefore, if 350 the HIR-associated centromeres are the ancestral state, from which the unique 351 centromeres would have derived during a rare chromothripsis-like event, then the 352 other closely related species should have retained HIR-associated centromeres. To 353 gain further insights into the centromere type of the common ancestor of C. albicans

and C. tropicalis, we scanned for the presence of HIR-like structures in the genomes 354 355 of *C. parapsilosis*, an early-diverging members of the CUG-Ser1 clade. Indeed, we identified eight HIR-associated structures (Figure S8A), present once in each of the 356 357 eight chromosomes of *C. parapsilosis*. Identification of the HIR-associated structures 358 present at the intergenic and transcription poor regions, once each on all eight 359 chromosomes, suggests that these loci are the putative centromeres of C. 360 parapsilosis. This observation indicates that the common ancestor of C. albicans and 361 C. tropicalis possibly carried HIR-associated centromeres. Next, we performed a genome-wide synteny analysis between C. orthopsilosis and C. parapsilosis and 362 363 found evidence of translocations at seven out of eight HIR-associated loci, five of 364 which are ICSB associated (Figure S8B). This result indicates the involvement of 365 HIR-associated structures in translocation events, similar to those translocation 366 events involving C. tropicalis centromeres.

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Such structure-defined HIR-associated centromeres have only been identified 368 369 in C. tropicalis in the CUG-Ser1 clade species (22). Although IRs are present in 370 CEN4, CEN5, and CENR of C. albicans, these sequences are not homogenized like 371 the HIR-associated centromeres in C. tropicalis (Figure 4A). In order to study the 372 presence or absence of HIRs in C. sojae, a sister species of C. tropicalis (24), we 373 assembled its genome into 42 contigs, including seven chromosome-length contigs 374 (Methods). Using this assembly, we identified seven putative centromeres in C. 375 sojae as intergenic and HIR-associated loci syntenic to the centromeres in C. 376 tropicalis (Figure S9A-B, D). Each of these seven centromeres in C. sojae consists 377 of a ~2 kb long central core (CC) region flanked by 3-12 kb long inverted repeats 378 (Table S5). Using a similar approach, we identified six HIR-associated centromeres 379 in the publicly available genome assembly (ASM332773v1) of Candida viswanathii. 380 another species closely related to C. tropicalis (Figure S9C, E, Table S6) (35). A 381 dot-plot analysis found extensive homology shared across the IRs but not among the 382 CC elements (Figure 4A) of the HIR-associated centromeres present in C. tropicalis 383 and the putative centromeres of *C. sojae* and *C. viswanathii* (**Table S7**). Moreover, 384 We detected extensive structural conservation in CEN DNA-elements, especially 385 among IRs within an individual species (Figure S10A). This structural feature of IRs 386 is also significantly conserved across the three species, C. tropicalis, C. sojae, and 387 C. viswanathii, with HIR-associated centromeres (Figure S10B).

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Cloning of a full-length centromere of *C. tropicalis* in a replicative plasmid 389 390 facilitates *de novo* CENP-A deposition but fails when the IRs are replaced with 391 CaCEN5 IRs (22). This result indicated the presence of a genetic element 392 specifically on the IRs of C. tropicalis but absent in CaCEN5 IR. To identify the 393 putative genetic element, we analyzed the CEN DNA sequences of all three HIR-394 associated centromeres and the unique centromeres of C. albicans for the presence 395 of conserved motifs. This analysis identified a highly conserved 12 bp motif (dubbed 396 as IR-motif) (Figure 4B) clustered specifically at the centromeres but not anywhere 397 else in the entire genome of C. tropicalis, C. sojae and C. viswanathii (Figure 4C-D, 398 **S10C**). On the contrary, the IR-motif density at the centromeres in *C. albicans* 399 remains approximately an order of magnitude lower than that of *C. tropicalis* (Figure 400 **4C**). This observation indicates a potential function of the IR-motif in the regulation of 401 de novo CENP-A loading in C. tropicalis. Moreover, the CEN-enriched motif was 402 found to be specifically concentrated on the IRs but not at the mid-core region in 403 HIR-associated centromeres present in *C. tropicalis* (Figure 4E) and at the putative 404 centromeres in C. soaje and C. viswanathii (S10D). Additionally, we detected that 405 the direction of the IR-motif is diverging away from the central core of the 406 centromeres in *C. tropicalis* (Figure S10E), and this pattern remained conserved in the other two species as well (Figure S10F). The conserved structure and 407 organization of the IR-motif sequences in the HIR-associated centromeres of three 408 409 Candida species suggest an inter-species conserved function of the IR DNA 410 sequence among these three species, although the clusters of IR-motifs are located 411 at a variable distance from the CC in these three species (Figure S10G). The 412 importance of this 12-bp conserved motif on the centromere function is yet to be 413 determined.

414

415 **Discussion**

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In this study, we improved the current genome assembly of the human fungal
pathogen *C. tropicalis* by employing SMRT-seq, 3C-seq, and CHEF-chromoblot
experiments, and present Assembly2020, the first chromosome-level gapless
genome assembly of this organism. We identified three long duplication events in its
genome, phased the diploid genome of *C. tropicalis* and mapped the SNPs and

422 indels. We constructed genome-wide contact maps and identified centromere-423 centromere as well as telomere-telomere spatial interactions. A comparative genome 424 analysis between C. albicans and C. tropicalis revealed that six out of seven 425 centromeres of C. tropicalis are mapped precisely at or proximal to 426 interchromosomal synteny breakpoints. Strikingly, ORFs proximal to the centromeres 427 of C. tropicalis are converged into specific regions on the C. albicans genome in 428 some occasions, suggesting possibilities of inter-centromeric translocations in their 429 common ancestor. Moreover, the presence of homogenized inverted repeat associated putative centromeres in C. sojae and C. viswanathii, like in C. tropicalis, 430 431 suggest that such a centromere structure is plausibly the ancestral form in the CUG-432 Ser1 clade species complex but lost in C. albicans and C. dubliniensis. We propose 433 that loss of such a centromere structure possibly happened during translocation 434 events involving centromeres in the common ancestor might have given rise to 435 evolutionary new centromeres on unique DNA sequences and facilitated speciation. 436

The availability of the chromosome-level genome assembly, and improved annotations of genomic variants and genes absent in the publicly available fragmented genome assembly of *C. tropicalis* should greatly facilitate genome-wide association studies to understand the pathobiology of the organism including the cause of antifungal drug resistance. In addition, this study sheds lights on how primordial mechanisms of *de novo* centromere establishment present in an ancestral species become dispensable in the derived lineages.

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445 C. tropicalis is a human pathogenic ascomycete, closely related to the well-446 studied model fungal pathogen C. albicans (36). These two species diverged from 447 their common ancestor ~39 million years ago (37) and evolved into two distinct karyotypes (22), having different phenotypic traits (38), and ecological niches (39). 448 449 While *C. albicans* remains the primary cause of candidiasis worldwide, systemic 450 ICU-acquired candidiasis is primarily (30.5-41.6%) caused by *C. tropicalis* in tropical 451 countries including India (40), Pakistan (41), and Brazil (42). Moreover, the 452 occurrence of drug resistance, particularly multidrug resistance, in C. tropicalis is on 453 the rise (40, 43, 44). Therefore, relatively less-studied C. tropicalis is emerging as a 454 major threat for nosocomial candidemia with 29-72% broad spectrum mortality rate 455 (45). Fluconazole resistance in *C. albicans* can be gained due to segmental

aneuploidy of chromosome 5 containing long IRs at the centromere, by the formation
of isochromosomes (46), which is also identified in chromosome 4 with IRs at its
centromere (47). All seven centromeres in *C. tropicalis* are associated with long IRs,
hence it is possible that each of them can form isochromosomes. Now, with the
availability of the chromosome-level assembly of the *C. tropicalis* genome, it should
be possible to initiate genome-wide association studies to understand the genomic
causes of pathogenicity and the rapid emergence of drug resistance in *C. tropicalis*.

Since the mechanism of homology search during HR is positively influenced 464 465 by spatial proximity and the extent of DNA sequence homology (4, 48), at least in the 466 engineered model systems, it is expected that spatially clustered homologous DNA 467 sequences experience more translocations than other loci. Although these factors 468 were not shown to be involved in karyotypic rearrangements during speciation, a 469 retrospective survey in light of spatial proximity and homology now offers a better 470 explanation. For example, bipolar to tetrapolar transition of the mating type locus in 471 the *Cryptococcus* species complex was associated with inter-centromeric 472 recombination following pericentric inversion (49). Similar inter-centromeric 473 recombination has been reported in the common ancestor of two fission yeast 474 species, Schizosaccharomyces cryophilus and Schizosaccharomyces octosporus 475 (18). These examples raise an intriguing notion that centromeres serve as sites of 476 recombination, which may lead to centromere loss and/or emergence of evolutionary 477 new centromeres. This notion is supported by the fact that DNA breaks at the 478 centromere following fusion of the acentric fragments to other chromosomes led to 479 chromosome number reduction in Ashbya species (16) and Malassezia species (50). 480 Genomic instability at the centromere can also lead to fluconazole resistance, as in 481 the case of isochromosome formation on Chr5 of C. albicans (46). Additionally, 482 breaks at the centromeres are reported to be associated with cancers (51).

483

What would be the consequence of spatial proximity of chromosomal regions with high DNA sequence homology, observed in fungal systems, in other domains of life? Chromoplexy, a type of chromothripsis, where a series of translocations occur among multiple chromosomes, is associated with cancers (1). Although fine mapping of translocation events at the repetitive regions in human cancer cells becomes difficult, the growing evidence that such events are associated with the formation of 490 micronuclei (52) supports the idea that spatial genome organization may influence
491 such events (53). With the availability of Hi-C and other techniques to probe genomic
492 contacts in high-resolution, it may now be possible to test whether chromoplexies
493 occur due to close physical proximity of homologous DNA sequences.

494

495 The identification of HIR-associated putative centromeres in *C. parapsilosis*, 496 C. sojae, and C. viswanathii supports the idea that the unique centromeres might 497 have evolved from an ancestral HIR-associated centromere (54) (Figure 5A). Such 498 a rapid transition in the structural and functional properties of centromeres is 499 unprecedented. While HIR-associated centromeres of C. tropicalis, C. sojae, and C. 500 viswanathii form on different DNA sequences, a well-conserved IR-motif was 501 identified in this study that is present in multiple copies on the centromeric IR sequences across these three species. Some centromeres in C. albicans carry 502 503 chromosome-specific IRs, which lack IR-motifs. In addition, CaCEN5 IRs could not 504 functionally complement the centromere function in C. tropicalis for the de novo CENP-A^{Cse4} recruitment. This indicates a possible role of the conserved IR-motifs on 505 506 species-specifc centromere function (22). Therefore, the loss of HIR-associated 507 centromere in *C. albicans* that are only epigenetically propagated (23) clearly shows 508 how ability of *de novo* establishment of kinetochore assembly in an ancestral lineage 509 can be lost in an derived lineage. However, the details of the mechanism through which IR-motifs may regulate centromere identity remains to be explored. 510

511

512 Loss of HIR-associated centromeres during inter-centromeric translocations 513 or MIR must have been catastrophic for the cell, and the survivor needed to activate 514 another centromere at an alternative locus. How is such a location determined? 515 Artificial removal of a native centromere in C. albicans leads to the activation of a 516 neocentromere (55, 56), which then becomes part of the centromere cluster (27). 517 This evidence supports the existence of a spatial determinant, known as the CENP-518 A cloud or CENP-A-rich zone (55, 57), influencing preferential formation of 519 neocentromere at loci proximal to the native centromere (55, 58). We found that the 520 unique and different centromeres of C. albicans are located proximal to the ORFs, 521 which are also proximal to the centromeres in *C. tropicalis*. This observation 522 indicates that the formation of the new centromeres in C. albicans may have been 523 influenced by spatial proximity to the ancestral CEN cluster. However, the new

524 centromeres of *C. albicans* are formed on loci with completely unique and different

- 525 DNA sequences. Because of these reasons, it may be logical to consider the
- 526 centromeres of *C. albicans* as ENCs (Figure 5B). Intriguingly, even after the
- 527 catastrophic chromosomal rearrangements, the ENCs in *C. albicans* remain
- 528 clustered similar to *C. tropicalis* (**Figure 5C**). This observation identifies spatial
- 529 clustering of centromeres as a matter of cardinal importance for the fungal genome
- 530 organization.
- 531

532 Materials and Methods

- 533 The strains, primers, and plasmids used in this study are listed in SI Appendix,
- 534 Tables S8, S9, and S10, respectively. Details of all of the experimental procedures
- 535 and sequence analysis are given in SI Materials and Methods. All sequencing data
- 536 used in the study and the genome assembly of *C. tropicalis* and *C. sojae* have been
- 537 submitted to NCBI under the BioProject accession number PRJNA596050.
- 538

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557 Author contributions

558	Author contributions: K.S., and A.S. designed research; K.G. and Y.C. performed		
559	research; K.G., Y.C., R.M., S.R.B.M.M., C.B., and G.B. contributed new		
560	reagents/analytic tools; K.G., Y.C., B.C.T., C.B., and G.B. analyzed data; and K.G.,		
561	K.S., A	A.S., and Y.C. wrote the paper.	
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- 709 710

711 Figure Legends

712

Figure 1. Construction of the gapless assembly of *C. tropicalis* type strain MYA-3404 in seven chromosomes.

715 A. Schematic showing the stepwise construction of the gapless chromosome-level 716 assembly (Assembly2020) of C. tropicalis (also see Figure S1 and S2). B. An 717 ideogram of seven chromosomes of C. tropicalis as deduced from Assembly2020 718 and drawn to scale. The genomic location of the three loci showing copy number 719 variations (CNVs): DUP4, DUP5 and DUPR located on Chr4, Chr5 and ChrR respectively are marked and shown as using black mesh. The CNVs for which the 720 721 correct homolog-wise distribution of the duplicated copy is unknown are marked with 722 asterisks. Homolog-specific differences for Chr1 and Chr4, occurred due to an 723 exchange of chromosomal parts in a balanced heterozygous translocation between 724 Chr1B and Chr4B, is highlighted with black borders (also see Figure S4C). C. An ethidium bromide (EtBr)-stained CHEF gel picture where the chromosomes of the C. 725 726 tropicalis strain MYA-3404 and C. albicans strain SC5314 were separated 727 (Methods). The known sizes of *C. albicans* chromosomes are presented for size estimation and validation of the chromosomes of C. tropicalis in the newly 728 729 constructed Assembly2020. D. A circos plot showing genome-wide distribution of 730 various sequence features. Very high sequence coverage at rDNA locus is clipped 731 for clearer representation and marked with an asterisk.

732

Figure 2. Spatial genome organization reveals centromeric and telomeric *trans*contacts in *C. tropicalis.*

735 A. A representative field image of indirect immuno-fluorescence microscopy of Protein-A tagged CENP-A^{Cse4} (red) and DAPI-stained nuclear mass (blue). The 736 737 images were acquired using a DeltaVision imaging system (GE) and processed 738 using FIJI software. Scale, 2 µm. B. A 3D reconstruction of colocalization of DAPI stained genome (blue) and CENP-A^{Cse4} (red) using Imaris software (Oxford 739 740 Instruments). Scale, 2 µm. C. A genome-wide contact probability heatmap (bin size = 741 10 kb) generated using 3C-seq data. Chromosome labels and their corresponding 742 ideograms are shown on the heatmap. Color-bar represents the contact probability in 743 log2 scale. D. Zoomed-in heatmap of chr4 and chr5 from panel C (blue box). E. 744 Average signal strength of aggregate interactions (bin size = 2 kb) between 745 centromeres (left) or telomeres (right) of different chromosomes. Left, genomic loci 746 containing mid-points of centromeres are aligned at the center (red bar); right, 747 genomic loci from 5' or 3'-ends of chromosomes are aligned at the top right corner 748 (arrow).

749

750 Figure 3. Genome-wide mapping of interchromosomal synteny breakpoints in

751 *C. tropicalis* identifies a spatial cue for karyotype evolution.

752 A. A scaled representation of the color coded orthoblocks (relative to C. albicans chromosomes) and interchromosomal synteny breakpoints (ICSBs) (white lines) on 753 C. tropicalis (Methods). Orthoblocks are defined as stretches of the target genome 754 755 (C. tropicalis) carrying more than two syntenic ORFs from the same chromosome of 756 the reference genome (*C. albicans*). The centromeres are represented with red 757 arrowheads. B. Zoomed view of the centromere-specific ICSBs on CEN2, CEN3, 758 CEN5 and CENR showing the color-coded (relative to C. albicans chromosomes) 759 ORFs flanking each centromere. C. tropicalis-specific unique ORFs proximal to 760 CEN3 and CEN5 are shown in red. C. A smooth-line connected scatter-pot of the 761 chromosome-wise ICSB density, calculated as number of ICSBs per 100 kb of the C. 762 *tropicalis* genome (*y*-axis) as a function of the linear distance from the centromere in 763 nine bins, which are a) within 100 kb of centromere (bin I), b) 100-200 kb (bin II), c) 764 200-300 kb (bin III), d) 300-400 kb (bin IV), e) 400-500 kb (bin V), f) 500-600 kb (bin 765 VI), g) 600-700 kb (bin VII), h) >700 kb to telomere proximal 200 kb (bin VIII), and i) 766 200 kb from the telomeres (bin IX). Chr6 was excluded from the analysis, as it does

not have any ICSBs. E. A violin plot comparing the distribution of the orthoblock

768 lengths (y-axis) at three different genomic zones, which are a) the centromere 769 proximal zone (CP, within 300 kb from the centromere on both sides), b) the 770 centromere distal zone (CD, beyond 300 kb from the centromere to telomere 771 proximal 200 kb), and c) telomere-proximal zone (TP: within 200 kb from the 772 telomeres). Orthoblocks, which span over more than one zone, were assigned to the 773 zone with maximum overlap. The centromere-distal dataset was compared with the 774 other two groups using the Mann Whitney test and the respective P values are 775 presented. E - F. Circos representation showing the convergence of centromere 776 proximal ORFs of C. tropicalis chromosomes near the centromeres on C. albicans 777 Chr4 or ChrR. Chromosomes of *C. tropicalis* and *C. albicans* are marked with black

- and purple filled circles at the beginning of each chromosome, respectively.
- 779

767

780 Figure 4. Genome-wide analysis of centromere DNA sequences across the

781 CUG-Ser1 clade reveals divergence of unique centromeres from an ancestral
 782 homogenized inverted repeat-associated centromere type.

- 783 A. A dot-plot matrix representing the sequence and structural homology among 784 species of the CUG-Ser1 clade was generated using Gepard (Methods). B. A logo 785 plot showing the 12 bp long inter-species conserved motif (IR-motif), identified using 786 MEME-suit (Methods). C. The density of the IR-motif on centromere DNA and across 787 the entire genome of each species was calculated as the number of motifs per kb of 788 DNA (Methods). Note that C. albicans and C. dubliniensis centromeres that form on 789 unique and different DNA sequence do not contain the IR-motif. D. IGV track images 790 showing the IR-motif density across seven chromosomes of C. tropicalis. Location of 791 the centromere on each chromosome is marked with a red arrowhead. E. IGV track 792 images showing IR-motif distribution across seven HIR-associated centromeres of C. 793 tropicalis.
- 794

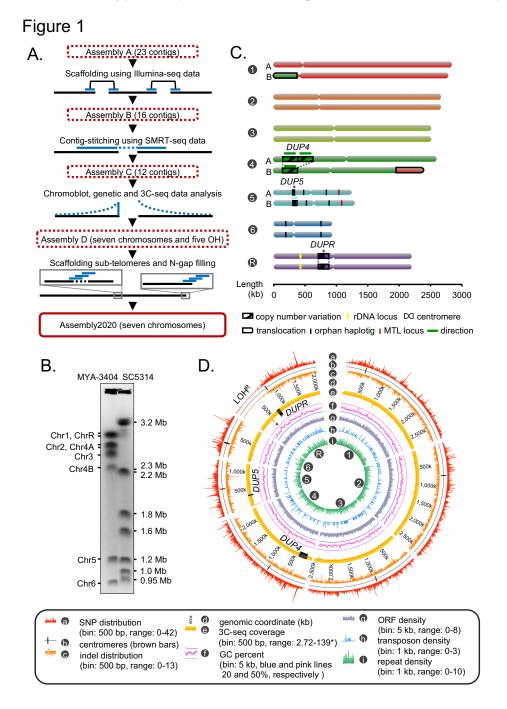
795 Figure 5. Conservation of the spatial genome organization after inter-

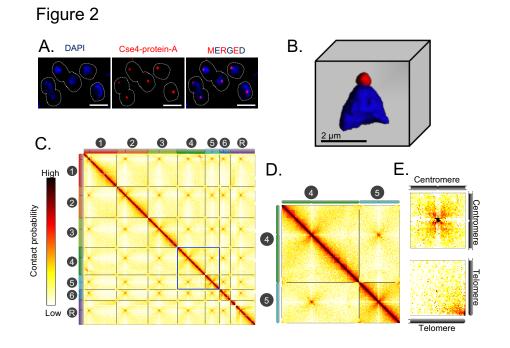
centromeric translocation facilitated the centromere-type transition in the

- 797 CUG-Ser1 clade.
- A. A maximum likelihood based phylogenetic tree of closely related CUG-Ser1
- species analyzed in this study. The centromere structure of each species is shown
- and drawn to scale. B. A model showing possible events during the loss of

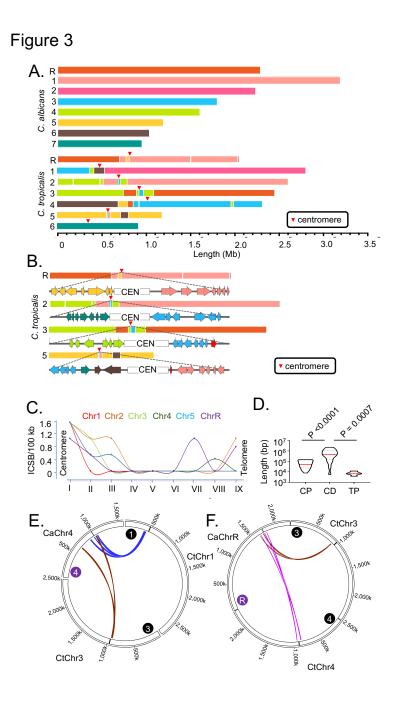
- 801 homogenized repeat associated-associated centromeres and emergence of the
- 802 unique centromere type through inter-centromeric translocations in the common
- 803 ancestor of *C. tropicalis* and *C. albicans*. The model is drawn to show translocation
- 804 between CtChr3 and CtChr4, as representative chromosomes, which can be
- 805 mapped proximal to the centromere on CaChrR (as shown in Figure 3F). C. A
- 806 cartoon representing the conservation of spatial genomic organization during inter-
- 807 centromeric translocation that mediated centromere-type transition.

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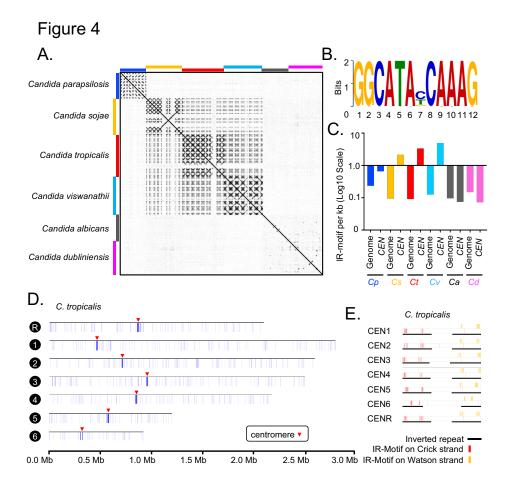




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bioRxiv preprint doi: https://doi.org/10.1101/2020.02.07.938175; this version posted February 7, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission.



bioRxiv preprint doi: https://doi.org/10.1101/2020.02.07.938175; this version posted February 7, 2020. The copyright holder for this preprint (which was not certified by peer review) is the author/funder. All rights reserved. No reuse allowed without permission. Figure 5

