| 1 | Title: Climate e | xplains geog | graphic and t | temporal | variation i | n mosquito-born | e disease |
|---|------------------|--------------|---------------|----------|-------------|-----------------|-----------|
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- 2 dynamics on two continents
- 3

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|---------|---------------|--------------|------------------------|---------|-------------------------|---------|----------------|----------------|
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- 46

47 Abstract:

48 Climate drives population dynamics, but when the underlying mechanisms are 49 unresolved, studies can lead to seemingly contradictory effects of climate on natural 50 populations. Climate-sensitive vector-borne diseases such as dengue, chikungunya, and 51 Zika are one example where climate appears to have opposing effects in different 52 contexts. In this study, we use a mathematical model to directly connect climate-driven 53 mosquito physiology measured in laboratory studies to observed vector and disease 54 dynamics in the field across ecologically and culturally distinct settings in Ecuador and 55 Kenya. We show that temperature, rainfall, and humidity predict Aedes aepgyti 56 abundances and laboratory-confirmed arboviral incidence across ecologically distinct 57 settings. Further, this trait-based approach resolves seemingly contradictory results from 58 prior field studies and highlights climate conditions where mechanisms remain 59 unresolved. Using this mechanistic model, we tested several intervention strategies and 60 found that reducing immature mosquito habitat or contact rate between mosquitoes and 61 humans are more effective interventions than killing adult mosquitoes. These results can 62 help guide intervention efforts and improve climate change predictions for vector-borne 63 diseases.

64

65 Introduction:

66 Climate is a major driver of species interactions and population dynamics, but the 67 mechanisms underlying these relationships are often poorly understood and rarely tested 68 in the field [1]. One of the primary ways that climate impacts populations is through its 69 effects on species' vital rates [2]. However, these mechanistic effects can lead to 70 seemingly contradictory results in the field because multiple climate variables may act 71 synergistically, with each climate variable potentially affecting multiple vital rates, and 72 their impacts may be nonlinear, changing direction and relative importance across a 73 gradient of conditions. Vector-borne diseases provide an interesting case study to test 74 whether climate sensitive traits measured in controlled, laboratory settings can reconcile 75 seemingly contradictory results from field studies. For example, mosquito-borne 76 arboviral diseases such as dengue, chikungunya, and Zika are clearly climate-sensitive: a 77 body of field research has consistently identified temperature, rainfall, and humidity as 78 important predictors of disease, but sometimes with opposite conclusions about the 79 magnitude and direction of effects of climate on mosquito and disease dynamics [3–8]. 80 For example, dengue incidence correlated with temperature positively in Mexico [9] but 81 negatively in Thailand [10]. We hypothesize that such opposing effects could be 82 simultaneously correct if disease dynamics are context-dependent or nonlinear, and each 83 model describes true disease dynamics but only within a small subset of conditions (e.g., 84 specific locations or seasons).

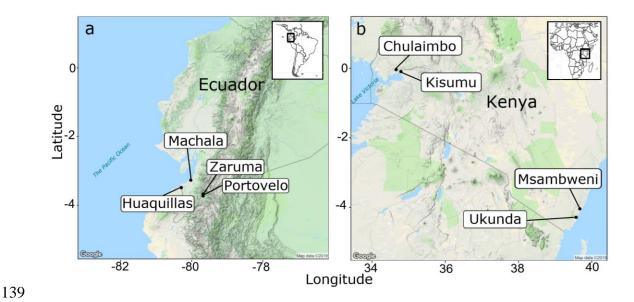
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Understanding the mechanisms that drive disease dynamics is particularly important for arboviruses like dengue, chikungunya, and Zika because they are a major public health burden, vector control is the main method for breaking transmission cycles, and the burden and distribution of these diseases are projected to shift geographically in the future [11–13]. Half of the world's population is currently at risk of contracting dengue [14]. With no widely available vaccine, vector control remains the primary method for preventing arboviral disease transmission. Existing vector control methods focus on

| 93 | reducing immature habitat, reducing adult populations, or employing personal protection |
|-----|--|
| 94 | to reduce contact between infected mosquitoes and people [15]. Like other vector-borne |
| 95 | diseases with complex transmission dynamics, model simulations can help guide |
| 96 | effective intervention efforts [16,17]. Further, mechanistic models are better suited to |
| 97 | predict how climate change will impact future disease burden and distribution, as |
| 98 | projected climate conditions are outside the current arboviral climate niche space. |
| 99 | |
| 100 | Dengue, chikungunya, and Zika are climate-sensitive diseases because of the ecology of |
| 101 | Aedes aegypti, the primary disease vector. Ae. aegypti are anthropophilic, globally |
| 102 | distributed mosquitoes that breed in artificial containers with standing water [18,19]. All |
| 103 | mosquito and parasite traits that are important for transmission and linked to metabolism, |
| 104 | such as reproduction, development, survival, biting rate, and extrinsic incubation period, |
| 105 | are temperature dependent with a thermal optima [20-22]. Humidity is positively |
| 106 | associated with mosquito survival because the high surface area to volume ratio of |
| 107 | mosquitoes exposes them to desiccation [23,24]. Standing water from rainfall provides |
| 108 | essential larval and pupal habitat for mosquitoes, but the relationship is complex because |
| 109 | heavy rainfall can flush away breeding habitats [25-27] and water storage practices |
| 110 | during droughts can increase water availability, mosquito abundance, and contact |
| 111 | between mosquitoes and people [28–30]. |
| 112 | |
| 113 | In this study, our goal was to test the extent to which climate-driven mosquito traits drive |
| 114 | disease dynamics across two geographically distinct regions and to characterize the |

115 effectiveness of different intervention strategies in those regions. Specifically, we asked:

116 1) how accurately do mechanistic model predictions reproduce observed mosquito and 117 disease dynamics in the field, 2) are there conditions where the model systematically fails 118 to reproduce observed disease dynamics, and 3) what is the relative effectiveness of 119 different intervention strategies given different levels of intervention effort? To answer 120 these questions, we adapted a mechanistic model for arboviral transmission as a function 121 of climate and independently validated the models with data collected on Ae. aegypti 122 abundances and laboratory-confirmed dengue, chikungunya, and Zika cases from two 123 equatorial countries with distinct socioeconomic, geographic, cultural, and disease 124 transmission settings: Ecuador and Kenya (Fig. 1, Table S1). The study sites within each 125 country were distributed across a temperature gradient with similar ranges of humidity 126 and rainfall. Previous studies have found that *Ae. aegypti* and dengue were positively 127 associated with warm and wet conditions in Ecuador and Kenya [31–34], although other 128 Ae. aegpyti-vectored arboviruses in Kenya such as chikungunya have been associated 129 with warm and dry conditions [35]. In addition to similar climate conditions, both 130 countries have hyperendemic transmission of all four dengue serotypes and have recently 131 experienced outbreaks of chikungunya; yet, arboviral transmission dynamics differ in 132 each country. In Ecuador, dengue is a re-emerging disease with large seasonal epidemics 133 that frequently result in severe dengue [31]; by contrast, in Kenya, dengue has low levels 134 of year-round transmission [36] and intermittent self-limiting outbreaks that are often 135 undetected [37]. Further, compared with South America, sub-Saharan Africa lacks severe 136 dengue, perhaps because African strains of *Ae. aegpyti* have lower susceptibility to all 137 four dengue serotypes [38], and/or because people of African ancestry are less 138 susceptible to severe dengue [39].



140 Figure 1: Study sites with distinct socioeconomic, geographic, cultural, and disease

141 **transmission settings.** Study sites within a) Ecuador in South America and b) Kenya in

143

142

144 **Results:**

145 **Relationship between model predictions and observed disease dynamics**

East Africa. See Table S1 for additional site characteristics.

146 The dynamic susceptible, exposed, infectious – susceptible, exposed, infectious, removed

- 147 (SEI-SEIR) compartmental model (Fig. 2) parameterized with temperature-, rainfall-, and
- 148 humidity-dependent mosquito life history traits was strongly associated with mosquito
- 149 abundances and disease dynamics across sites and through time. Model-predicted
- 150 mosquito abundances and field-collected observations of mosquito abundances
- 151 corresponded with each other in 65% of the surveys (sample size N = 277 site-months)
- 152 (Table 1), based on whether the z-scores of predictions and observations were within one
- 153 standard deviation of each other. Based on surveys conducted across all vector life stages
- 154 in Kenya (only adult mosquitoes were collected in the Ecuador surveys), the SEI-SEIR

- model had similar correspondence with the abundance of adult mosquitoes (60%, N =
- 156 217) to pupae (60%, N = 217), late instars (57%, N = 217), early instars (56%, N = 217),
- and eggs (50%, N = 216), likely because the dynamics were consistent across life stages.
- 158 Model-predicted disease cases corresponded with laboratory-confirmed arboviral
- 159 incidence in 83% of the surveys (N = 388 site-months) (Table 1). We used z-scores for
- 160 comparison because the model predictions represent total population estimates whereas
- 161 observations come from sub-samples of the mosquito and human population.
- 162

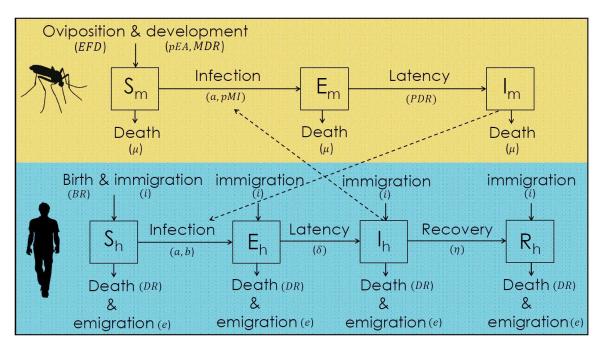




Figure 2: SEI-SEIR epidemiological model framework. The mosquito population (top
panel, orange) is split among susceptible (S_m), exposed (E_m), and infectious (I_m)
compartments (squares) and the human population (bottom panel, blue) is split among
susceptible (S_h), exposed (E_h), infectious (I_h), and recovered (R_h) compartments. Solid
arrows indicate the direction individuals can move between classes and dashed arrows
indicate the direction of transmission. Transitions among compartments are labeled by

170 the appropriate processes and corresponding rate parameters (see Methods for more

171 detail).

172

| 173 | Table 1: Model according | curately predicte | ed mosquito a | abundances an | d arboviral | cases for |
|-----|--------------------------|-------------------|---------------|---------------|-------------|-----------|
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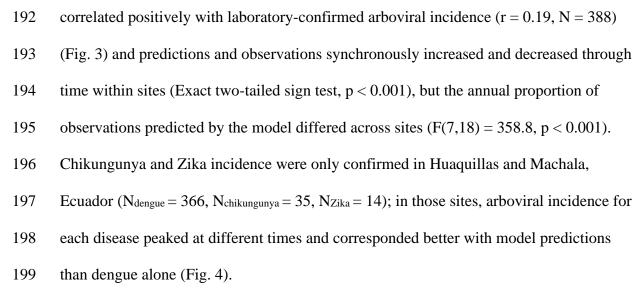
- 174 majority of surveys. Rows correspond to comparisons between model predictions and
- 175 field observations for mosquito abundances or arboviral cases. Correspondence indicates
- 176 that z-scores of model predictions and field observations fell within one standard
- 177 deviation of each other. Overprediction indicates that the z-score of the model predictions
- 178 were more than one standard deviation above the z-score of the observations, and vice
- 179 versa for underprediction. The percent of total surveys are presented in parentheses
- 180 beside the total number of surveys (N) in each category. Table S2 shows the same data
- 181 separated by site.

| Comparison | Correspondence | Overprediction | Underprediction | Ν |
|-----------------|----------------|----------------|-----------------|-----|
| Mosquitoes | 179 (65%) | 52 (19%) | 46 (16%) | 277 |
| Arboviral cases | 322 (83%) | 30 (8%) | 36 (9%) | 388 |

182

183

184 We explored three additional aspects of model fit and found that the model predicted the 185 magnitude of observations moderately well and detected trends through time and 186 differences across sites. Model-predicted mosquito abundances were positively correlated with field-collected observations of mosquito abundances (Pearson's correlation 187 188 coefficient r = 0.35, sample size N = 277) (Fig. 3) and predictions and observations 189 synchronously increased and decreased through time within sites (Exact two-tailed sign 190 test, p < 0.05), but the annual proportion of observations predicted by the model differed 191 across sites (F(7,24) = 10.75, p < 0.001). Similarly, model-predicted disease cases



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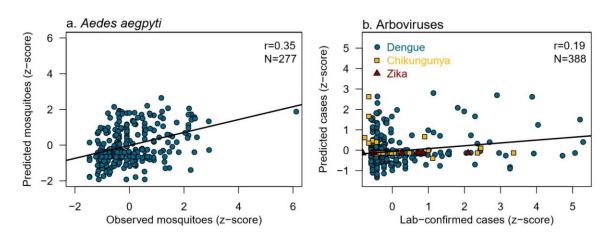
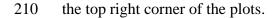
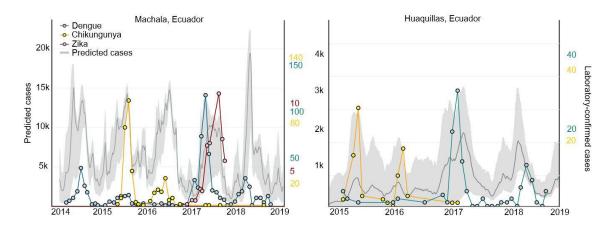


Figure 3: The SEI-SEIR model positively correlated with field observations of
mosquito abundances and arboviral cases across all sites. a) Scatterplot of the z-score
of the total modeled mosquito population versus the z-score of the mean number of adult *Ae. aegypti* trapped per house, across sites and months between 2014 and 2018. b)
Scatterplot of the z-score of predicted disease cases in the human population versus the zscore of laboratory-confirmed dengue, chikungunya, and Zika cases, across sites and
months between 2014 and 2018. The solid black lines are regression lines. Pearson's

209 correlation coefficient, r, based on the raw data, and the sample size, N, are presented in



211



212

213 Figure 4: Model-predicted disease cases reproduced general patterns of arboviral 214 transmission. Solid grey line and shaded grey region shows median and 95% confidence 215 interval for model-predicted disease cases, blue dots and lines show laboratory-confirmed 216 dengue cases, yellow dots and lines show laboratory-confirmed chikungunya cases, and 217 red dots and lines show laboratory-confirmed Zika cases (note that the y-axes scales 218 differ for the three diseases). The 95% confidence intervals are based on 50 model 219 simulations using different c, T_0 , and T_{max} estimates (see Methods) for temperature-220 dependent traits from the posterior distributions found in [20].

We tested three hypothesized functional relationships between rainfall and mosquito carrying capacity in the SEI-SEIR model (Fig. S1) and found that the rainfall function that correlated most strongly with field observations differed by response variable

- 225 (mosquito abundance and arboviral incidence) and site (Table 2). We used correlation to
- 226 determine the best rainfall function because correlation is the most sensitive metric for

227 magnitude and the rainfall function in the model affects the magnitude of mosquitoes via 228 carrying capacity. The model with the left-skewed unimodal (Brière) rainfall function 229 (Fig. S1a), which indicates that mosquito abundances increase with increasing rainfall 230 until some threshold where flushing occurs, described observed mosquito and disease 231 dynamics most often (Table 2).

| 233 | Table 2: Rainfall differentially affects mosquito and disease dynamics across sites. |
|-----|---|
| 234 | Each row corresponds to a study site and indicates the rainfall function that correlated |
| 235 | most strongly with field observations of Ae. aegpyti abundances and arboviral cases, and |
| 236 | the associated Pearson's correlation coefficient. The left-skewed unimodal Brière rainfall |
| 237 | function (Fig. S1a) indicates that mosquito abundances increase with increasing rainfall |
| 238 | until some threshold where flushing occurs. The symmetric unimodal quadratic rainfall |
| 239 | function (Fig. S1b) indicates that mosquito abundances peak with intermediate amounts |
| 240 | of rainfall and are reduced with low and high rainfall values. The exponentially |
| 241 | decreasing inverse rain function (Fig. S1c) indicates that mosquito abundances peak |
| 242 | when there is no or low rainfall, likely as a result of water storage practices. All measures |
| 243 | of model fit (i.e., correspondence, correlation, sign tests, and ANOVAs) were based on |
| 244 | models where the different rainfall functions listed in this table were used. |

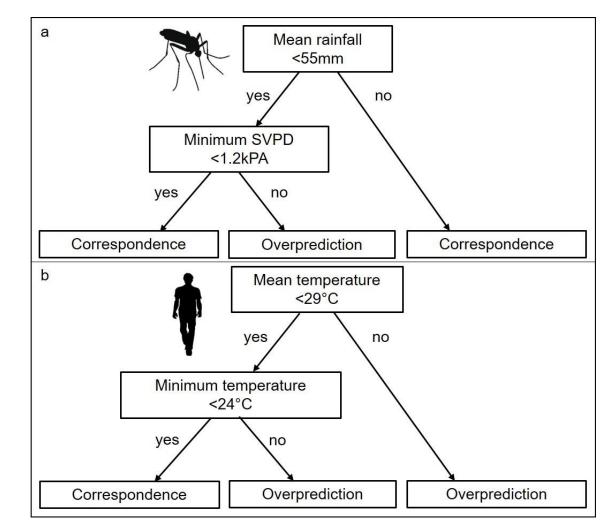
| | | Aedes a | egpyti | Arbov | iruses |
|---------|------------|---------------|-------------|---------------|-------------|
| Country | Site | Rain function | Correlation | Rain function | Correlation |
| Ecuador | Huaquillas | Brière | 0.36 | Inverse | 0.63 |
| Ecuador | Machala | Quadratic | 0.63 | Brière | 0.12 |
| Ecuador | Portovelo | Brière | 0.66 | Brière | 0.07 |
| Ecuador | Zaruma | Brière | 0.41 | Brière | 0.30 |
| Kenya | Chulaimbo | Brière | 0.21 | Quadratic | 0.02 |
| Kenya | Kisumu | Brière | 0.50 | Brière | 0.25 |
| Kenya | Msambweni | Inverse | 0.28 | Quadratic | 0.28 |
| Kenya | Ukunda | Brière | 0.31 | Inverse | 0.07 |

245

246 Identifying conditions that systematically lead to divergence between predictions and

247 *observations*

248 To determine if climate-based, geographical, and urbanization factors could explain 249 conditions where the models consistently over- or underpredicted mosquito abundances 250 and arboviral cases (Table 1), we used classification and regression tree (CART) models. 251 We found that the model systematically overpredicted mosquito abundances when there 252 was low to moderate rainfall (<55 mm) and moderate to high humidity (>1.2 kPA) (Fig. 253 5a). The model systematically overpredicted arboviral cases when there was high mean 254 temperature (>29°C) or high minimum temperature (>24°C) (Fig. 5b). We did not find 255 evidence of any conditions that systematically led to underpredicting mosquito 256 abundances or arboviral cases, likely because there were many predicted and observed 257 zeros. The 29°C breakpoint that we identified for arboviral cases aligns with the point at 258 which the model predicts that the relative basic reproductive number (R_0) declines (Fig. 259 6). However, the CART results suggest that temperature-dependent mosquito traits may 260 be more constrained at high temperatures than previously estimated from laboratory 261 studies, potentially because of daily temperature variation. Previous field studies 262 estimating the effects of temperature on dengue transmission further support this finding 263 where, in general, locations with mean temperature below 29°C show a positive 264 relationship with dengue incidence whereas locations with mean temperatures above 265 29°C show negative relationships (Fig. 6). 266



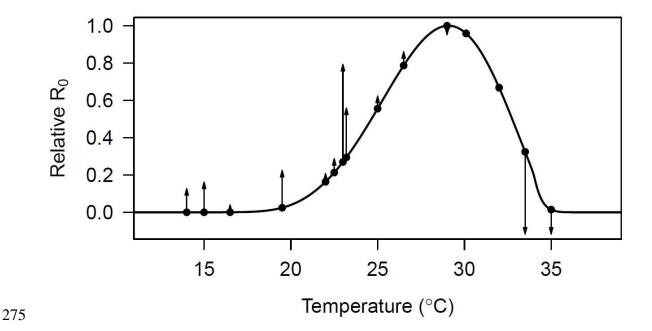
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269 Figure 5: High rainfall, humidity, and temperature were associated with the model

270 systematically overpredicting mosquito abundances and arboviral cases.

271 Classification and regression trees for a) mosquito abundances and b) arboviral cases.

- 272 Climate conditions represent values within the month prior to a survey. The Saturation
- 273 Vapor Pressure Deficit (SVPD) is a measure of humidity (see Methods).



276 Figure 6: Independently predicted relative R₀ from an empirically derived model 277 explains differences in the magnitude and direction of the effects of temperature on 278 dengue transmission across varied settings from previous field studies. The black line 279 shows the relative basic reproductive number (R_0 , normalized to a 0-1 scale) plotted 280 against temperature based on all temperature-dependent traits from [20] used in the SEI-281 SEIR model. Points indicate mean temperature values from previous field-based 282 statistical analyses that related dengue cases with minimum, maximum, or mean ambient 283 temperature; arrows correspond to the direction (up = positive, down = negative) and 284 relative effect size of the temperature-dengue relationship based on coefficient values 285 from studies in Bangladesh, China, Colombia, Guadeloupe, Mexico, Taiwan, Thailand, 286 and Vietnam [9,10,40–49]. See Methods and Table S3 for more detail. As expected, the 287 largest observed positive effects of temperature occurred in the rapidly increasing portion 288 of R_0 curve (~22-25°C) and the largest observed negative effects occurred well above the 289 predicted optimum, near the upper thermal limit (~33-35°C).

290 *Evaluating the effectiveness of different intervention scenarios*

| 291 | We simulated three intervention strategies at three intensity levels and found that |
|-----|---|
| 292 | reducing immature mosquito habitat or contact rate between mosquitoes and humans are |
| 293 | far more effective intervention strategies than reducing adult mosquito abundance (Fig. |
| 294 | 7). Even with high intensity intervention efforts that reduce mosquito abundance by 90% |
| 295 | (e.g., spraying large amounts of insecticide), the model indicates that we would expect |
| 296 | only 11% fewer human disease cases (Fig. 7; approximately 12 disease cases per 100,000 |
| 297 | population). By contrast, a 10% reduction in immature mosquito habitat (e.g., removing |
| 298 | containers from the environment that create pools of standing water from rain) or contact |
| 299 | rate (e.g., using window screens, mosquito repellent, or wearing protective clothing) |
| 300 | would decrease disease cases by approximately 16% and 19%, respectively (Fig. 7; |
| 301 | approximately 110 and 187 disease cases per 100,000 population, respectively). Higher |
| 302 | intensity efforts that reduce immature mosquito habitat or contact rate by 50% or 90% |
| 303 | provides even greater protection, resulting in predicted decreases in disease cases by as |
| 304 | much as 96% (Fig. 7; approximately 2,087 disease cases per 100,000 population). |

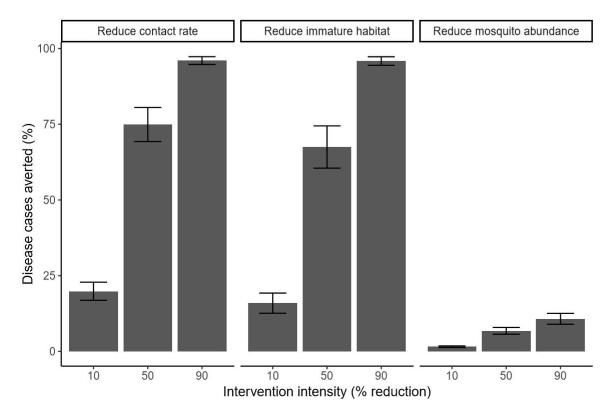




Figure 7: Reducing contact rate or immature mosquito habitat leads to the largest

307 reductions in disease cases. Barplots show the predicted decrease in arboviral cases 308 across three intervention strategies (reduce contact rate, reduce immature mosquito 309 habitat, reduce mosquito abundance) and three intervention intensities (10%, 50%, and 310 90% reductions). Bars indicate the mean across study sites of the difference between the 311 number of predicted disease cases in a population (e.g., number of cases at one site over a 312 one-year time period) and the number of predicted disease cases in the same population 313 and time period given a specific intervention strategy at a specific intensity level). The 314 error bars indicate the standard error of the mean across all eight study sites.

315

316 **Discussion:**

317 Directly observing the influence of climate on species interactions and population

318 dynamics is often challenging because of interacting and nonlinear relationships; here, we

319 directly and quantitatively connect laboratory-based climate relationships to observed 320 mosquito and disease dynamics in the field, supporting the mechanistic role of climate in 321 these disease systems. The trait-based modeling approach helps to reconcile some long-322 standing inconsistencies in the literature on the effects of climate on arboviral 323 transmission dynamics. Temperature, rainfall, and humidity are commonly correlated 324 with arboviral transmission, but with apparently inconsistent conclusions about which 325 climate variables best predict disease, in what direction, and at what time lags [3–8]. For 326 example, some studies indicate that mean temperature best predicts disease [50–54], 327 while others indicate that minimum temperature [32,45,55,56] or maximum temperature 328 [7,57–59] are better predictors. Rainfall metrics associated with arboviruses vary widely 329 as well, from cumulative rainfall [6,42,53,59] to number of rainy days [60,61] to rainfall 330 rates and thresholds [27,55], and these relationships are difficult to measure in the lab 331 (but see [25]). Further, time lags between climate conditions and dengue incidence are 332 variable rather than static: for example, as temperature and daily rainfall increase, the 333 time lags associated with arboviral incidence decrease [55]. A trait-based model allows 334 these varying time lags to emerge from the nonlinear dynamics of transmission, rather 335 than assuming static time lags. Our results highlight that we should not expect the same 336 climate conditions and lags to be important in all settings, but that their combined, 337 nonlinear effects can predict disease dynamics across different ecological and socio-338 economic settings. 339

340 Understanding the mechanisms that drive disease dynamics can help address two

341 critically important research priorities: assessing intervention strategies and projecting

342 impacts from climate change on disease dynamics. While phenomenological models 343 often replicate arboviral disease dynamics remarkably well [62], mechanistic models that 344 capture mosquito population dynamics and interactions between mosquitoes and humans 345 will provide more accurate predictions for the effects of different interventions or 346 projected changes in climate. In this study, we assessed intervention efforts and found 347 that efforts to reduce immature mosquito habitat or contact rate between mosquitoes and 348 people should be much more effective than approaches targeted to removing adult 349 mosquitoes. Further, the intervention simulations suggest that even low and moderate 350 intervention intensity (10% and 50% reductions) will result in a large percentage of 351 disease cases averted. These results are promising for supporting integrated disease 352 control efforts for dengue, chikungunya, and Zika. To help policymakers in Kenya 353 interpret how these results can guide local intervention efforts, we created a shiny app 354 based on the SEI-SEIR model (https://jms5151.shinyapps.io/shiny/). 355

356 Comparisons between the model predictions and field observations highlighted several 357 knowledge gaps about climate-disease relationships. While the model generally 358 reproduced patterns of field observations of mosquitoes and disease cases (based on 359 correspondence between z-scores) and observations increased and decreased in unison 360 with the model predictions (based on sign tests), the relative magnitudes only aligned 361 moderately well (based on Pearson's correlations) and there was significant variation 362 across sites (based on Table 1 and ANOVA results) indicating that climate may be a 363 more powerful predictor for differences across a spatial climate gradient (i.e., across 364 sites) than through time within a site, which supports previous findings [63]. Further, we

| 365 | found evidence that rainfall influences transmission dynamics via its effects on mosquito |
|-----|---|
| 366 | carrying capacity. However, incorporating this effect in a dynamic model requires some |
| 367 | knowledge of how humans differentially influence immature mosquito habitat |
| 368 | availability across regions. We show support for three hypothesized relationships |
| 369 | between rainfall and mosquito carrying capacity in the field, indicating that the |
| 370 | relationship between rainfall and immature habitat is highly heterogenous, which has |
| 371 | been found in previous research in Ecuador [28] and Kenya [64]. By examining |
| 372 | conditions where the SEI-SEIR model systematically under- and overpredicted mosquito |
| 373 | abundances and arboviral cases, we identified additional specific climate conditions that |
| 374 | warrant further empirical experimentation. In particular, a variety of traits important for |
| 375 | transmission are not well understood towards the physiologically relevant limits of |
| 376 | temperature [65,66] and humidity [67]. |
| 377 | |

378 Future research can build on this study to better predict the location, magnitude, and 379 timing of arboviral outbreaks and to assess additional intervention strategies. This study 380 builds on previous mechanistic and semi-mechanistic models [50,61,68–71] by 381 combining a suite of temperature, rainfall, and humidity dependent trait functions into 382 one epidemiological model. However, there were several factors that we did not include 383 in this study, such as existing vector control programs, gradients in land use and land 384 cover, infrastructure, and preexisting immunity in the population (Table S1). For 385 instance, in Ecuador, factors such as distance to abandoned properties, interruptions in 386 access to piped water, shaded patios, and use of vector control are also known to 387 influence arbovirus transmission [72], whereas in the study sites in Kenya, factors

| 388 | associated with arboviral transmission are less well studied and there are currently no |
|-----|---|
| 389 | vector control or local arboviral surveillance programs employed. Future studies could |
| 390 | further improve the model by incorporating human immune dynamics associated with |
| 391 | interactions among different dengue serotypes [73] or cross-reactivity among viral |
| 392 | antibodies [74], differential susceptibility across human age classes [75], and |
| 393 | heterogeneity in contact rates between mosquitoes and people based on human behavior |
| 394 | and movement [50,76]. This study suggests that climate is a key determinant of disease |
| 395 | dynamics via its nonlinear effects on mosquito and pathogen traits, and that those |
| 396 | relationships can be used to predict the timing and locations of disease outbreaks and to |
| 397 | assess intervention strategies. Such mechanistic, climate-driven models will become |
| 398 | increasingly important to support public health efforts in the face of novel climate |
| 399 | regimes emerging due to climate change. |
| | |

400

401 Materials and Methods:

402 Climate data

403 We collected *in situ* measurements of daily mean temperature, relative humidity, and

404 rainfall at each study site and interpolated missing data where necessary, as described

405 below. We used temperature and humidity measurements from HOBO loggers and

406 rainfall measurements from rain gauges for sites in Kenya. We used temperature,

407 humidity, and rainfall measurements from automatic weather stations operated by the

408 National Institute of Meteorology and Hydrology in Ecuador. For Kenya, we interpolated

409 missing temperature data from NOAA Global Surface Summary of the Day (Table S4,

410 Fig. S2) and interpolated missing rainfall data from NOAA Climate Prediction Center

| 411 | Africa Rainfall Climatology dataset (Table S4, Fig. S3). For Ecuador, we interpolated |
|-----|---|
| 412 | missing temperature (Table S4, Fig. S2) and rainfall (Table S4, Fig. S3) data using the |
| 413 | nearest study site where possible and otherwise based on long term mean values for the |
| 414 | corresponding Julian day. To interpolate missing data, we linearly regressed all |
| 415 | measurements taken on the same day in two datasets and then used the linear model to |
| 416 | interpolate temperature for the site with missing data based on the climate measurement |
| 417 | from the secondary source for the date when the data was missing (Fig. S2-3). For |
| 418 | rainfall, we first calculated a moving window of 14-day accumulated rainfall (following |
| 419 | [77]) for each day before interpolation. For both Kenya and Ecuador, we interpolated |
| 420 | missing relative humidity data based on long term mean values for the corresponding |
| 421 | Julian day (Table S4). We then calculated the saturation vapor pressure deficit (SVPD) |
| 422 | from temperature and humidity to use in the humidity function because previous research |
| 423 | suggests SVPD is a more informative measure of the effect of humidity on mosquito |
| 424 | survival compared with relative humidity [67]. To calculate SVPD, we first calculated the |
| 425 | saturation vapor pressure as: |
| | $SVP = 610.7 * 10^{7.5 * T/(273.3 + T)}$ (1) |

426 where (*T*) is temperature in degrees Celsius. We then calculated SVPD (in kilopascals) as

$$SVPD = 1 - \frac{RH}{100} * SVP \tag{2}$$

429

430

432 Vector surveys

| 433 | We collected, counted, sexed, and classified mosquitoes by species, and aggregated the |
|-----|---|
| 434 | data to mean number of Aedes aegypti per house, month, and year to account for |
| 435 | differences in survey effort across months and sites. We collected adult mosquitoes using |
| 436 | Prokopack aspirators [78]. In Ecuador, we collected mosquitoes from approximately 27 |
| 437 | houses per site (range = 3-57 houses across four sites) every one-to-two weeks during |
| 438 | three, four-month sampling periods between July 2016 and August 2018 ($N = 147$ |
| 439 | sampling weeks across four sites) to capture different parts of the transmission season. |
| 440 | We aggregated the Ecuador vector data to monthly values ($N = 60$ site-month |
| 441 | observations) to correspond with the temporal resolution of surveys in Kenya. In Kenya, |
| 442 | we collected mosquitoes from approximately 20 houses per site (range = 1-47 houses |
| 443 | across four sites) every month between January 2014 and October 2018 ($N = 217$ site- |
| 444 | month observations). In Kenya, we also collected pupae, late instars, and early instars |
| 445 | from containers with standing water around the home and collected eggs by setting |
| 446 | ovitraps for an average of four days in and around each house monthly. We brought |
| 447 | pupae, late and early instars, and eggs to the insectary and reared them to adulthood to |
| 448 | classify individuals by sex and species. |
| 449 | |

450 Arboviral surveys

For Ecuador, we analyzed laboratory-confirmed dengue, chikungunya, and Zika cases
provided by the Ministry of Health (MoH) of Ecuador. The MoH collects serum samples
from a subset of people with suspected arbovirus infections, and samples are tested at the
National Public Health Research Institute by molecular diagnostics (RT-PCR) or

455 antibody tests (IgM ELISA for dengue), depending on the number of days of illness.

456 Results are sent to the MoH Epidemiological Surveillance and Control National

457 Directorate (SIVE Alerta system). Laboratory-confirmed dengue cases were available for

458 all four sites from 2014 to 2018. Laboratory-confirmed chikungunya cases were available

459 for Machala and Huaquillas from 2015 to 2018. Laboratory-confirmed Zika cases were

460 available for Machala from 2016 to 2018.

461

462 For Kenya, we used laboratory-confirmed dengue cases aggregated by site and month 463 between 2014 and 2018 collected in a passive surveillance study on childhood febrile 464 illness in Kenya (NIH R01AI102918, PI: ADL). The study population consisted of 7653 465 children less than 18 years of age with undifferentiated febrile illness. Children with fever 466 enrolled in the study when attending outpatient care in one of the four study sites (Mbaka 467 Oromo Health Centre in Chulaimbo, Obama Children's Hospital in Kisumu, Msambweni 468 District Hospital in Msambweni, and Ukunda/Diani Health Center in Ukunda). Local 469 health officers collected comprehensive clinical and demographic data and phlebotomy at 470 the initial visit. We tested each child's blood for dengue viremia by molecular diagnostics 471 (conventional PCR [79] or targeted multiplexed real-time PCR when available [80]), or 472 serologic conversion at a follow up visit (IgG ELISA [81]).

473

474 SEI-SEIR model

475 We adapted an SEI-SEIR model parameterized for dengue transmission in Ae. aegypti

476 mosquitoes [82] (Fig. 2) to simulate mosquito abundance and arboviral cases through

477 time based on daily weather conditions in eight study locations. The model (equations 3-

9), created independently from the observed data described above, allows mosquito life
history traits and viral development rate to vary with temperature (*t*) following [82],
mosquito carrying capacity to vary with accumulated 14-day rainfall (*r*) following [77],
and mosquito mortality to vary with humidity (i.e., saturation vapor pressure deficit) (*H*)

$$\frac{dS_m}{dt} = \varphi(T,H) * \frac{1}{\mu(T,H)} * N_m * \left(1 - \frac{N_m}{K(T,R,H)}\right) - \left(a(T) * pMI(T) * \frac{I_h}{N_h} + \mu(T,H)\right) * S_m$$
(3)

$$\frac{dE_m}{dt} = a(T) * pMI(T) * \frac{I_h}{N_h} * S_m - \left(PDR(T) + \mu(T, H)\right) * E_m$$
(4)

$$\frac{dI_m}{dt} = PDR(T) * E_m - \mu(T, H) * I_m$$
(5)

$$\frac{dS_h}{dt} = -a(T) * b(T) * \frac{I_m}{N_h} * S_h + BR * S_h - DR * S_h + ie * N_h - ie * S_h$$
(6)

$$\frac{dE_h}{dt} = a(T) * b(T) * \frac{I_m}{N_h} * S_h - \delta * E_h - DR * E_h - ie * E_h$$
(7)

$$\frac{dI_h}{dt} = \delta * E_h - \eta * I_h - DR * I_h - ie * I_h \tag{8}$$

$$\frac{dR_h}{dt} = \eta * I_h - DR * R_h - ie * R_h \tag{9}$$

483 where

$$\varphi(T,H) = EFD(T) * pEA(T) * MDR(T)$$
(10)

484

485 The mosquito population
$$(N_m)$$
 was separated into susceptible (S_m) , exposed (E_m) , and

486 infectious (I_m) compartments and the human population (N_h) was separated into

487 susceptible (S_h) , exposed (E_h) , infectious (I_h) , and recovered (R_h) compartments (Fig. 2).

489 (δ), human infectivity period (η), birth rate (*BR*), death rate (*DR*), and

- 490 immigration/emigration rate (ie). The temperature-dependent SEI-SEIR model was
- 491 developed by Huber et al. [82] and allows mosquito life history traits and viral
- 492 development rates to vary according to thermal response curves fit from data derived in

493 laboratory experiments conducted at constant temperatures (Table 4). The temperature-

494 dependent traits include eggs laid per female per day (*EFD*), the probability of egg-to-adult

495 survival (*pEA*), mosquito development rate (*MDR*), mosquito mortality rate (lifespan⁻¹; μ),

biting rate (a), probability of mosquito infection per bite on an infectious host (*pMI*),

497 parasite development rate (PDR), and probability of mosquito infectiousness given an

498 infectious bite (b). We modified the mosquito mortality rate equation to vary as a function

499 of temperature and humidity by fitting a spline model based on a pooled survival analysis

500 of Ae. aegypti [67] (Fig. S7):

$$\mu(T,H) = \frac{1}{c * (T - T_0) * (T - T_m)} + (1 - (0.01 + 2.01 * H)) * y \qquad H < 1$$
(11)

$$\mu(T,H) = \frac{1}{c * (T - T_0) * (T - T_m)} + (1 - (1.22 + 0.27 * H)) * y \qquad H \ge 1$$
(12)

where the rate constant (*c*), minimum temperature (T_0), and maximum temperature (T_m) equal -1.24, 16.63, and 31.85 respectively (Table 4), humidity (*H*) is the saturation vapor pressure deficit, and *y* is a scaling factor that we set to 0.005 and 0.01, respectively, to restrict mosquito mortality rates within the range of mortality rates estimated by other studies [20,67]. The linear humidity function has a steeper slope at lower humidity values (equation 11) compared with higher humidity values (equation 12) based on previous research [67] (Fig. S7).

508

509 We modeled mosquito carrying capacity, *K*, as a modified Arrhenius equation following 510 [82,83]:

$$K(T,H,R) = \frac{EFD(T_0) * pEA(T_0) * MDR(T_0) * \mu(T_0,H_0)^{-1} - \mu(T_0,H_0)}{EFD(T_0) * pEA(T_0) * MDR(T_0) * \mu(T_0,H_0)^{-1}} * N_m$$

$$(12)$$

$$* e^{\frac{-E_A * (T-T_0)^2}{\kappa_B * (T+273) * (T_0+273)}} * f(R)$$

with T_0 and H_0 set to the temperature and humidity where carrying capacity is greatest (29°C and 6 kPA) and the Boltzmann constant, (K_B), is 8.617 x 10⁻⁵ eV/K. We set the activation energy, E_A , as 0.05 based on [82]. Since there were no experimental data from which to derive the functional response of mosquito carrying capacity across a gradient of rainfall values, we tested several functional relationships based on hypothesized biological relationships between freshwater availability and immature mosquito breeding habitat, modeling the effect of rainfall on carrying capacity, f(R), as either:

$$f(R_{\text{Brière}}) = c * R * (R - R_{min}) * \sqrt{(R_{max} - R) * y}$$
 (12)

$$f(R_{Quadratic}) = c * (R - R_{min}) * (R - R_{max}) * y$$
⁽¹³⁾

$$f(R_{\rm Inverse}) = \frac{1}{R} \tag{14}$$

518 where minimum rainfall (R_{min}) equaled 1 mm and maximum rainfall (R_{max}) equaled 123 519 mm based on the high probability of flushing [27]. The quadratic function is similar to 520 the rainfall function found in [27] and the inverse function is based on the rainfall function used in [77]. We used rate constants (c) of $7.86e^{-5}$ and $-5.99e^{-3}$ for the Brière and 521 522 quadratic functions respectively, based on rate constants for other parameters with similar 523 functional forms (Table 4). We scaled the Brière and quadratic functions by y (0. 268 and 524 0.045, respectively) so that the maximum carrying capacity was approximately equal 525 across all three functions.

526

527

529 **Table 3: Values of temperature-invariant parameters used in the model.** We derived

- 530 daily birth and death rates in the model by dividing the per capita birth and death rates by
- 531 360 days. The World Bank Open Data can be found at <u>https://data.worldbank.org/</u>.

| | Parameter | Definition | Value | Source |
|-----|---------------|-------------------------------------|------------------------------------|-----------------------------|
| | δ^{-1} | Intrinsic incubation period (days) | 5.9 | [82] |
| | η^{-1} | Human infectivity period (days) | 5.0 | [82] |
| | BR | Annual birth rate (per 1000 people) | 31.782 (Ecuador) 20.175 (Kenya) | The World Bank Open Data |
| | DR | Annual death rate (per 1000 people) | 5.284 (Ecuador) 5.121 (Kenya) | The World Bank Open Data |
| 500 | ie | Immigration/emigration rate | 0.01 | Expert opinion |
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545 Table 4: Fitted thermal responses for Ae. aegypti life history traits. Traits were fit to a

546 Brière
$$[cT(T - T_0)(T_m - T)^{\frac{1}{2}}]$$
 or a quadratic $[c(T - T_m)(T - T_0)]$ function where T

- 547 represents temperature. T_0 and T_m are the critical thermal minimum and maximum,
- 548 respectively, and c is the rate constant. Thermal responses were fit by [20] and also used
- 549 in [82]. Parasite development rate was measured as the virus extrinsic incubation rate.

| Trait | Definition | Function | с | T ₀ | T _m |
|-------|---|-----------|-------------------------|----------------|----------------|
| a | Biting rate (day ⁻¹) | Brière | 2.71x10 ⁻⁰⁴ | 14.67 | 41.00 |
| EFD | Eggs laid per female per day | Brière | 2.08x10 ⁻⁰² | 14.06 | 32.03 |
| pEA | Probability of mosquito egg-to-adult survival | Quadratic | -3.36x10 ⁻⁰³ | 7.68 | 38.31 |
| MDR | Mosquito egg-to-adult development rate (day ⁻¹) | Brière | 1.49x10 ⁻⁰⁴ | 15.12 | 37.67 |
| Lf | Adult mosquito lifespan (days) | Quadratic | -1.24 | 16.63 | 31.85 |
| b | Probability of mosquito infectiousness | Brière | 9.86x10 ⁻⁰⁴ | 12.05 | 32.79 |
| рMI | Probability of mosquito infection | Brière | 5.23x10 ⁻⁰⁴ | 1.51 | 34.74 |
| PDR | Parasite development rate (day ⁻¹) | Brière | 1.04x10 ⁻⁰⁴ | 11.50 | 38.97 |

550

551 To initiate the model, we used site-specific values for human population size and

randomly selected one set of values for all sites for the proportion of mosquitoes and

553 humans in each compartment. For Ecuador, we used population estimates from official

554 population projections produced by Proyección de la Población Ecuatoriana, por años

calendario, según cantones 2010-2020

557 of 57,366, 279,887, 13,673, and 25,615 for Huaquillas, Machala, Portovelo, and Zaruma,

respectively, based on 2017 projections. For Kenya, we estimated the population sizes

^{556 (}https://www.ecuadorencifras.gob.ec/proyecciones-poblacionales/) with population sizes

| 559 | served by each outpatient care facility by creating a polygon around all the geolocations |
|-----|--|
| 560 | of study participants' homes enrolled at each outpatient care facility and summed |
| 561 | population count data from NASA's Socioeconomic Data and Applications Center |
| 562 | Gridded Population of the World v4 (<u>https://doi.org/10.7927/H4JW8BX5</u>) within each |
| 563 | polygon using ArcGIS v 10.4.1. We estimated population sizes of 7,304, 547,557, |
| 564 | 240,698, and 154,048 for Chulaimbo, Kisumu, Msambweni, and Ukunda respectively. |
| 565 | We used the following values as the initial proportion of mosquitoes and humans in each |
| 566 | model compartment: $S_m = 0.22$, $E_m = 0.29$, $I_m = 0.49$, $S_h = 0.58$, $E_h = 0.22$, $I_h = 0.00$, and |
| 567 | $R_h = 0.20$. We determined that the model was invariant to initial proportion values after a |
| 568 | short burn-in period (90 days) based on a sensitivity analysis (Fig. S8). |
| 569 | |
| 570 | We ran all model simulations using the deSolve package in R statistical software v 3.5.3. |
| 571 | Model codes is available at <u>https://github.com/jms5151/SEI-SEIR_Arboviruses</u> . |
| 572 | |
| 573 | Model validation |
| 574 | To validate the SEI-SEIR model, we quantified the relationships between predicted and |
| 575 | observed mosquitoes and laboratory-confirmed disease cases by comparing z-score |
| 576 | values, Pearson's correlations, sign tests, and Analysis of Variance (ANOVAs). To |
| 577 | determine whether there was overall correspondence between model predictions and |

578 field-collected observations of *Aedes aegypti* abundances (N = 277 site-months) and

579 laboratory-confirmed arboviral incidence (N = 388 site-months), we categorized

580 observations of mosquito abundance or disease cases as corresponding to the model

581 predictions if the observation fell within one standard deviation above or below the

582 prediction (using z-scores of observations and predictions), overpredicted if the 583 observations were below one standard deviation below the prediction, and underpredicted 584 if the observations were above one standard deviation above the prediction. To assess the 585 correlation of individual survey points through time within sites, we calculated Pearson's 586 correlation coefficient, r, between model predictions of observations using the cor 587 function in base R, excluding missing data. To determine whether the model predicted 588 directional trends in the dynamics, we determined whether model predictions and 589 observations increased and decreased in unison by first calculating the number of time 590 points between surveys where predictions and observations of mosquito abundances or 591 disease cases synchronously increased, decreased, or stayed constant between surveys 592 and then used the number of time points in agreement and the total number of time points 593 in a two-tailed exact sign test using the binom.test function in R. To test whether climate 594 effects were more important for determining differences across sites or whether climate 595 was differentially predictive in some sites over others, we calculated the yearly 596 percentage of mosquito and disease case observations predicted by the model and used 597 those site-year values in a one-way ANOVA using the aov function in R. 598

599 CART model

600 To investigate conditions where the model systematically over- or underpredicted

601 mosquito abundances and arboviral cases, we used classification and regression tree

602 (CART) models. For each CART model, we used the three correspondence categories

603 (corresponded, overpredicted, underpredicted) as the response variable and a suite of

604 predictor variables. The predictor variables included site (proxy for socioeconomic status

| 605 | and potential prior exposure to disease), country (proxy for genetic, cultural, healthcare, |
|-----|--|
| 606 | and infrastructure differences), urban/rural, inland/coastal, and climate conditions in the |
| 607 | month prior to each survey, a time interval commonly associated with arboviral |
| 608 | transmission [6,42,53]. The climate conditions we investigated in the month prior to each |
| 609 | survey were minimum, maximum, mean, and variance of daily temperature and humidity |
| 610 | and 14-day cumulative rainfall. We conducted the CART analysis using the rpart package |
| 611 | in R. |
| 612 | |
| 613 | Comparison of R ₀ with prior studies |
| 614 | We collected effect sizes of temperature on dengue incidence from 12 peer-reviewed |
| 615 | studies from the literature (Table S3). We selected studies with mean temperatures across |
| 616 | the predicted temperature range where arboviral transmission can occur. We scaled the |
| 617 | coefficient values to visualize the relative effect of temperature across studies given that |
| 618 | the original analyses were conducted with different temperature metrics and across |
| 619 | different temperature ranges. We provide additional information and sources in Table S3. |
| 620 | |
| 621 | Intervention simulations |
| 622 | We simulated different intervention strategies by adapting the SEI-SEIR model and |
| 623 | simulating disease cases over a one-year time period. We simulated three intervention |
| 624 | strategies (reducing contact rate between mosquitoes and humans, reducing immature |
| 625 | mosquito habitat, and reducing mosquito abundance) at three intensity levels (10%, 50%, |
| 626 | and 90% reduction). Each of these simulation strategies preserves the temperature-, |

627 rainfall-, and humidity-dependence of each parameter but modifies the magnitude of one

| 628 | or more parameters. To simulate a reduction in contact rate, we multiplied the mosquito |
|-------------|--|
| 629 | biting rate, a , by 0.10, 0.50, or 0.90. To simulate a reduction in immature mosquito |
| 630 | habitat, we multiplied the carrying capacity function equation, <i>K</i> (equation 12), by 0.10, |
| 631 | 0.50, or 0.90. To simulate a reduction in mosquito abundance, we reduced the proportion |
| 632 | of mosquitoes in the susceptible, exposed, and infectious compartments by 0.10, 0.50, or |
| 633 | 0.90. In contrast to the first two interventions that are considered relatively "static" (e.g., |
| 634 | adding screens to windows will consistently reduce contact rate), the third intervention |
| 635 | represents an activity that is labor intensive and is applied at a single time point (e.g., |
| 636 | spraying insecticide). Therefore, for the third intervention, we ran simulations where the |
| 637 | intervention occurred once a year and we varied the timing of the intervention by month |
| 638 | (e.g., 12 simulations per intensity level). |
| 639 | |
| C 10 | |

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| 653 | ENGS | S and MMS conducted laboratory analyses. ARK, SJR, and RS processed data. All |
| 654 | author | rs revised and approved of the manuscript. |
| 655 | | |
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