A multimodal computational pipeline for 3D histology of the human brain

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Abstract

Ex vivo imaging enables analysis of the human brain at a level of detail that is not possible *in vivo* with MRI. In particular, histology can be used to study brain tissue at the microscopic level, using a wide array of different stains that highlight different microanatomical features. Complementing MRI with histology has important applications in *ex vivo* atlas building and in modeling the link between microstructure and macroscopic MR signal. However, histology requires sectioning tissue, hence distorting its 3D structure, particularly in larger human samples. Here, we present an open-source computational pipeline to produce 3D consistent histology reconstructions of the human brain. The pipeline relies on a volumetric MRI scan that serves as undistorted reference, and on an intermediate imaging modality (blockface photography) that bridges the gap between MRI and histology. We present results on 3D histology reconstruction of a whole human hemisphere.

Introduction 1

Motivation 1.1

One of the major challenges in the quest to understand the human brain as a complex system is characterizing its multiscale organization. From a macroscopic anatomical perspective, the brain is subdivided into distinct structures and presents well-defined landmarks, for instance its gyri and sulci. At a much finer scale, neurons are interconnected to form complex circuits. These circuits give rise to features at coarser scales, e.g., the laminar organization of the cortex [1]. Although these distinct levels of organization may seem separate, they are, in fact, deeply linked and mutually influential. One clear example is given by Alzheimer's disease, which can be described by the interactions between proteinopathy at the microscale and distributed, 10 network-level disruptions at the macroscale [2]. Understanding these multi-scale mechanisms 11 requires a multi-scale map of the brain. However, the current concept of brain mapping is closely 12 linked to the specific tool used to construct cartographic representations, and thus to the spatial 13 scale of the tool. As a result, the different organizational principles can only be observed in a 14 scale-specific fashion with dedicated tools [3]. 15

Multi-scale imaging of the human brain is therefore necessarily multimodal, as different 16 modalities are needed to study different scales. While a macroscopic anatomical picture of 17 the brain is easily acquired non invasively or *ex vivo* with magnetic resonance imaging (MRI), 18 finer characterization requires histological procedures and microscopy. Histology and MRI are 19 highly complementary modalities: histology produces excellent contrast at the microscopic scale 20 using dedicated stains that target different microanatomical or cytoarchitectural features, but it 21 is a 2D modality that also inevitably introduces distortions in the tissue during blocking and 22 sectioning. MRI does not yield microscopic resolution, but produces undistorted 3D volumes. 23 Therefore, the combination of these two modalities offers a solution to the problem of imaging 24 the human brain at high resolution in 3D. In fact, successful large-scale projects like BigBrain [4] 25 or the Allen Atlas [5] have shown important advancements in terms of creating new whole-brain 26 atlases with cellular-level resolution. Creating such atlases requires spatial alignment of images 27 ("registration") at the macroscopic (MRI) and microscopic (histology) scales. Such registration 28 produces 3D-consistent histological volumes, and is often called "3D histology reconstruction" [6]. 29

Despite remarkable efforts like BigBrain, 3D histology reconstruction still presents obstacles: 30 it requires manual intervention and tailored equipment, which leads to poor scalability and 31 limited applicability in other experimental and clinical studies. Specifically, three main issues 32 can be identified: 33

- 1. Cutting and sectioning of tissue for histology introduces distortions (stretching, tearing, folding, cracking, see [6]) that are specific to each section. Therefore, an external volumetric reference (typically an MRI scan) is required to produce an unbiased registration. Without such a reference, naïve pairwise registration leads to accumulation of errors ("z-shift") and 37 spurious straightening of curved structures (the so-called "banana effect", [7]).
- 2. Large differences in contrast and resolution between MRI and histology, combined with potential inhomogeneous staining and the aforementioned sectioning artifacts, make the alignment of these two modalities a difficult inter-modality registration problem.
- 3. The large size of the human brain, compared with most animal models, requires cutting 42 the tissue in blocks for whole-brain analyses, with the resulting need to reassemble the 43 blocks [6], which is a part-to-whole registration problem [8,9]. This problem can be solved 44 with whole brain microtomes, although such microtomes are only available in few selected 45 sites around the world. Moreover, they exacerbate the sectioning artifacts, due to the much 46 larger surface area of the sections. 47

Even when a reference MRI is available, 3D histology reconstruction is an ill-posed problem, 48 as errors in the – typically linear – registration between the MRI and the histological stack 49

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can also be explained by nonlinearly deforming the histological sections. To overcome this problem, a common strategy is to use an intermediate modality for registration purposes. Several works [10–13] have used photographs of the block during sectioning (so-called blockface photos). While these photos do not have nearly as much contrast or resolution as the histology, they have the advantage of being free from sectioning artifacts. Therefore, they can be corrected for illumination and perspective and then stacked into blockface volumes, which constitutes a useful stepping stone between histology and MRI.

Other potential ways of obtaining 3D consistent volumes at microscopic scale include optical coherence tomography (OCT, [14]), polarized light imaging (PLI, [15]) and cleared tissue microscopy [16]. Notably, large-scale microtomes are not a feasible solution with these techniques, as they are inherently limited to small tissue blocks. In fact, despite technological advances in terms of both increasingly larger samples [17–19] and novel microscopic acquisitions [20,21], complete multi-scale characterization of the human brain as a whole organ still requires the use of complementary tools able to cover all the biologically relevant scales. This inherent limitation makes the development of inter-modality workflows a necessity, especially as cutting-edge research starts to target the whole human body [22].

1.2 Related work

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There is a growing literature on the topic of combining histology with other modalities, encompassing different scopes and subdomains in medical imaging beyond neuroimaging. Here we will provide a brief survey of the approaches proposed so far for this multimodal problem, presenting first the ones focused on specific samples or small organs, and then addressing methods targeting whole organs.

Despite not being spatially comprehensive, approaches based on selected regions of interest (ROIs) have a high clinical relevance, mainly because of their potential applications in oncology. It is no surprise, then, that several studies have combined MRI with histopathology for cancer applications: examples include breast cancer [23, 24], pancreatic tumors [25] and gliomas [26]. These examples lay the foundation for future 3D histopathology, especially given the parallel effort in tridimensional reconstruction for confocal microscopy [27].

In human neuroimaging, combined MRI-histology also holds great potential because of the cross-scale nature of neurological diseases. Recent studies have proposed ROI-based approaches to better understand the microscopic substrate of pathologies such as amyotrophic lateral sclerosis [28], epilepsy [13] and Alzheimer's disease [29]. The main targets mostly include subcortical structures, including thalamus [30, 31], hippocampus [32, 33], nucleus accumbens [34], and pedunculopontine nucleus [35], among others [10]. In addition to the practical advantage of dealing with well-defined structures, the focus on the subcortex is due mainly to its implications in neurological diseases and psychiatric disorders.

Related approaches in terms of target size include methods focused on small organs. Several 86 studies have proposed combined MRI-histology approaches for the prostate [36, 37], lymphoid 87 structures [38], mammary glands [39], and kidneys [40]. Another comparable application is the 88 study of small animal brains, in particular rodents [41-43] and small monkeys [44,45]. A common 89 element to most of the pipelines mentioned so far is the histological section stacking procedure, 90 with registration of consecutive sections as the central step, usually using a combination of rigid 91 and non-linear transformations, and taking advantages of application-specific landmarks where 92 available. To further facilitate the registration process, an interesting approach recently proposed 93 by several works is based on the use of 3D printing to create personalized molds on the basis of 94 MRI data [37, 46–48], introducing shape constraints for the subsequent cutting procedure. 95

In contrast to the large body of existing work in 3D reconstruction of small samples, the literature on whole-brain approaches is rather limited. Apart from the major initiatives already mentioned above [4,5], to the best of our knowledge there are only two other studies that have targeted either the entire brain [49] or a major portion of the cerebrum [50]. These studies relied on the availability of whole brain microtomes, allowing to build on a section stacking procedure similar to sample-targeted approaches. As already mentioned, this is a significant limitation 101 for the scalability of tridimensional histology, and also strongly limits attempts to leverage on 102 new microscale technologies: most of the new advancements require small samples and therefore 103 cutting the brain in blocks. 104

1.3Contribution

As explained above, the number of potential approaches to probe microstructure in small 106 samples is increasing, but directly adopting such techniques in whole human brain histology-MRI 107 reconstruction is infeasible. Therefore, the ability to reconstruct a whole brain distribution of a 108 given microscopic biomarker from a set of smaller samples is crucial to build multimodal, multi-109 scale maps of the human brain. In this article, we present an open-source computational pipeline 110 to reconstruct human brain volumes from histological sections with ex vivo MRI, blockface 111 photographs and a standard microtome. To the best of our knowledge, this is the first approach 112 able to reconstruct whole-brain 3D histology from a block-based cutting protocol. Since a highly 113 specialized whole hemisphere microtome is not required, the proposed pipeline can be used by 114 any research site with access to a standard microtome and an MRI scanner. The pipeline relies 115 on a number of 2D and 3D image registration methods, some standard, and some developed 116 specifically for this pipeline, which have already been introduced at conferences [51, 52]. Here we 117 introduce the pipeline as a whole and present results on the reconstruction of a whole human 118 hemisphere. 119

$\mathbf{2}$ Materials and Methods

In this section, we describe the pipeline for 3D histology reconstruction, including the data 121 acquisition protocol and computational processing, as summarized in figure 1. 122

Data acquisition 2.1

2.1.1Specimen preparation and ex vivo MRI scanning

In this study, we use tissue donated for research to the Queen Square Brain Bank for Neurological 125 Disorders (QSBB). The brain donation program and protocols have received ethical approval for 126 research by the NRES Committee London - Central and tissue is stored for research under a 127 license issued by the Human Tissue Authority (No. 12198). According to the standard protocol 128 at QSBB, fresh brains are first hemisected. The right hemisphere is frozen, while the left one is 129 fixed in 10% neutral buffered formalin. 130

For *ex vivo* MRI scanning, it is important to immerse the brain in a fluid, in order to avoid 131 susceptibility artifacts at tissue-air interfaces around the edges of the brain. Using the fixative 132 as a medium for this purpose is problematic due to the high proton density of formalin, which 133 quickly saturates the MR signal, thus greatly reducing the dynamic range of the acquired images. 134 Instead, we use Fluorinert (perfluorocarbon), a proton-free fluid which matches the magnetic 135 susceptibility of brain tissue but has no MR signal, so it is invisible in MR images. Immersion in 136 Fluorinert yields excellent *ex vivo* contrast, and it is known not to affect subsequent histological 137 analysis of the tissue for a wide array of stains, for up to a week of immersion [53]. 138

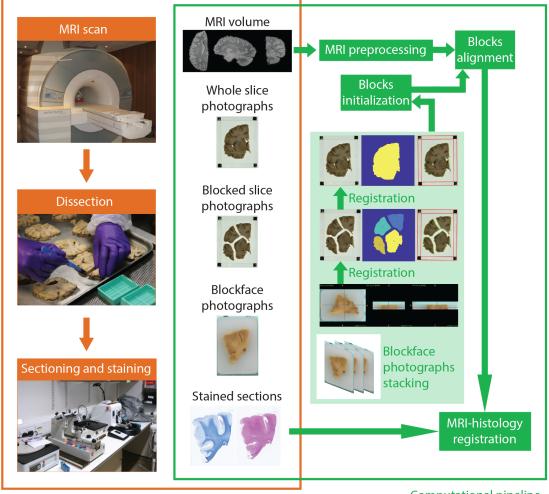
MRI data are acquired on a 3T Siemens MAGNETOM Prisma scanner. T1-weighted MR 139 imaging is a common choice in vivo due to its excellent contrast between gray and white matter. 140 However, death and fixation induce a cross linking of proteins that greatly shortens T1 relaxation 141 times of brain tissue, reducing T1 contrast ex vivo. Instead, we use a T2-weighted sequence 142 (optimised long echo train 3D fast spin echo, [54]) with parameters: TR=500 ms, TEeff=69 ms, 143 BW=558 Hz/Px, echo spacing=4.96 ms, echo train length=58, 10 averages, with 400 µm isotropic 144 resolution. The hemisphere is scanned in a container filled with Fluorinert, with the medial 145 surface facing up. We place a 3D printed hollow box between the specimen and the container 146

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lid in order to ensure full immersion in the fluid. Sample slices of the acquisition are shown in figure 2a.

Data acquisition

Computational pipeline

Figure 1. Workflow of data acquisition (orange) and computational processing (green. The *ex vivo* brain is scanned, dissected, sectioned and stained, providing data for the pipeline: the MRI volume, the whole and blocked slice photographs (dissection), the blockface photographs (sectioning), and the stained sections. The flowchart illustrates the main steps of the pipeline: stacking of blockface photographs; registration of blockface volumes to slice photographs; blocks initialization; block mosaic-preprocessed MRI alignment; and MRI-histology registration.

2.1.2 Specimen dissection and slice photography

After MRI scanning, the hemisphere is dissected following the procedure illustrated in figure 3. ¹⁵⁰ First, the brainstem is detached with a transection perpendicular to its axis below the mammillary ¹⁵¹ body (figure 3c-d), and the cerebellum is separated from the cerebrum. The three structures ¹⁵² are then dissected independently. The cerebrum is first cut into 10 mm-thick coronal slices, ¹⁵³ starting from the mammillary body and proceeding in both anterior and posterior directions ¹⁵⁴ (figure 3e-f). In a similar way, the cerebellum and the brainstem are sliced in sagittal and ¹⁵⁵

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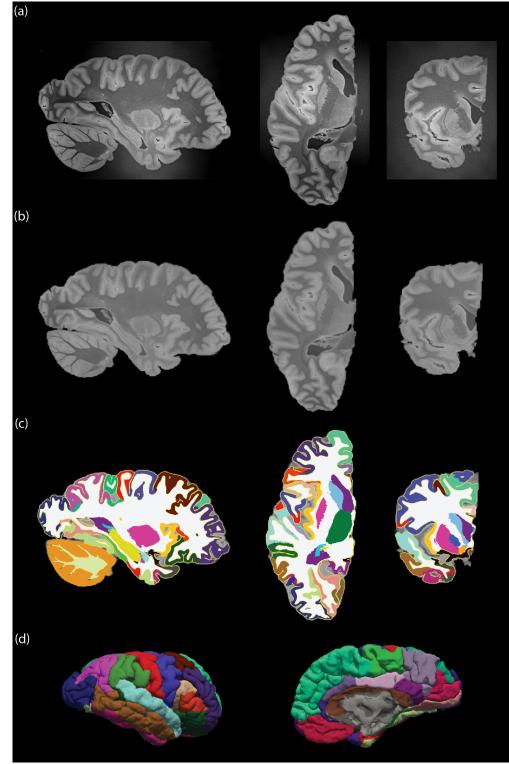


Figure 2. Overview of the main MRI preprocessing steps. (a) The raw *ex vivo* MRI data as acquired, showed in sagittal (left), axial (middle) and coronal (right) views; (b) brain extraction and bias field correction given by SAMSEG; (c) segmentation or subcortical structures given by SAMSEG, combined with cortical segmentation and parcellation provided by FreeSurfer, with the outline of the reconstructed surfaces in yellow; (d) 3D rendering of the cortical surface from lateral (left) and medical (right) views. The color coding of the segmentation and parcellation follows the FreeSurfer convention.

axial orientation, respectively, with the same thickness. All the slices are then photographed on both sides (posterior and anterior for the cerebrum, rostral and caudal for the brainstem, medial and lateral for the cerebellum) inside a rectangular frame of known dimensions (internal boundary: $120 \text{ mm} \times 90 \text{ mm}$), and thickness equal to the slice thickness (10 mm). The frame enables perspective correction and pixel size calibration in subsequent steps of the pipeline. We will refer to these images as "whole slice photographs", which will be useful to initialize the 3D histology reconstruction.

The cerebrum sections are further cut into blocks that fit into 74×52 mm cassettes, seeking to minimize the number of blocks while trying to preserve the integrity of subcortical structures (figure 3g). In our datasets, cutting the brainstem and the cerebellum in blocks was never necessary, since they always fit directly into the cassettes. Photographs of the blocked slices, where the blocks were slightly pulled apart to clearly expose their boundaries, were taken using the same frame as for the whole slices, both from the anterior and posterior side. We will refer to these images as "blocked slice photographs".

All slice photographs (whole and blocked) are taken with a Nikon D5100 camera mounted over the samples. Consistent image contrast across samples is ensured by manually setting: ISO=100; one-shot auto focus using a single point in the center of the image (which is aligned with the center of the slice); and f/20 aperture for large depth of field. The shutter speed was computed automatically by the camera to compensate for variations in lighting level. Examples of slice photographs are shown in figure 4a-b.

2.1.3 Tissue processing, sectioning and blockface photography

All blocks are processed for paraffin wax embedding, and subsequently sectioned with a sledge 177 microtome at 25 µm thickness. Before cutting each section, a photograph is taken with a camera 178 mounted above the microtome, set in a fixed position that is approximately perpendicular to 179 the slicing plane (figure 3i). We will refer to these images as "blockface photographs". Since 180 these photographs will need to be perspective corrected, pixel size calibrated, and co-registered 181 (since keeping the camera absolutely still is not possible), we printed and glued two checkerboard 182 patterns with maximally distinct colors [55] to the microtome, which facilitates subsequent 183 registration. The photographs are taken at 24MPx resolution with a Canon EOS 750D camera. 184 As for the slice photographs, we use manual settings to ensure consistency of image appearance 185 across sections: ISO=200; white balance = fluorescent light source; one-shot auto focus using a 186 single point in the center of the image (which is aligned with the center of the tissue block); and 187 crucially, a narrow aperture (f/13) for large depth of field, thus ensuring sharpness of objects not 188 exactly in focus. An example of blockface photograph is shown in figure 4c. 189

2.1.4 Staining and digitization

Tissue blocks are classified into two groups: "interesting" (those including subcortical structures 191 in the cerebrum, the medial blocks in the cerebellum and all the blocks in the brainstem) and 192 "uninteresting" (all other blocks). For interesting blocks, we mount on glass slides and stain two 193 consecutive sections every 10 (i.e., every 250 µm) with two routine histological stains: hematoxylin 194 and eosin (H&E) and Luxol Fast Blue (LFB). For uninteresting blocks, the frequency is one every 195 20 instead (i.e., every 500 μm). The sections are mounted on 75×50 mm glass slides. We also 196 mount 2 additional slides every 10 (interesting blocks) or 200 sections (uninteresting), unstained, 197 for potential future use. 198

Stained sections are digitized with a flatbed scanner (Epson Perfection V850) using its transparency mode at 6,400 DPI (i.e., $3.97 \,\mu m$ resolution). This resolution is sufficient for 3D histology reconstruction purposes. Selected sections are also digitized at microscopic resolution ($40 \times$) using an Olympus VS120 microscope / slide scanner, and linearly aligned to the corresponding images acquired with the flatbed scanner using NiftyReg [56].

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Figure 3. Overview of the dissection procedure. (a-b) Brain hemisphere from lateral (a) and medial (b) views; (c) incision; (d) subsequent brainstem transection below the mamillary body; (e) overview of how the anterior and posterior slices are cut; (f) cerebrum slices and remaining brainstem and cerebellum portions; (g) example of block cut planning; (h) example of blocked slice photograph; (i) example of blockface photograph, including the microtome setup.

2.2 Computational pipeline

After completing the acquisition of MRI and histological data, the following pipeline is used to compute the 3D reconstruction. 2005

2.2.1 Ex vivo MRI

The T2-weighted MRI scan is preprocessed using a Bayesian segmentation algorithm (SAMSEG, 208 [57]) that simultaneously registers, segments and bias field corrects the scan (figure 2b). To reflect 209 the presence of just the left hemisphere in the images, we modified the SAMSEG probabilistic 210 atlas by manually setting to 1 the probability of background (and to 0 for all other classes) for all 211 voxels in the right half of the atlas. Our modified SAMSEG produces a bias field corrected scan, 212 as well as segmentations for 22 brain structures: cerebral white matter, cerebellum white matter, 213 brainstem, ventral diencephalon, optic chiasm, cerebral cortex, cerebellum cortex, caudate, 214 hippocampus, amygdala, accumbens area, lateral ventricle, inferior lateral ventricle, 3^{rd} ventricle, 215 4^{th} ventricle, 5^{th} ventricle, cerebrospinal fluid, vessel, choroid plexus, thalamus, putamen, and 216 pallidum. 217

After SAMSEG, we used FreeSurfer [58] to extract and parcellate the cortical ribbon. Specifically, we used the SAMSEG cerebral white matter segmentation and followed these steps (figure 2c-d): (i) extraction of a triangular mesh from the cerebral white matter segmentation with marching cubes [59]; (ii) inflation of the mesh and mapping to spherical coordinates [60]; (iii) 210topology correction [61]; (iv) reconstruction of white matter and pial surfaces [62]; and (v) 222cortical parcellation [63]. 223

2.2.2 Blockface photographs

In an ideal scenario, the blockface photographs would be perfectly aligned without any need 225 for processing. However, the position of the arm holding the camera can suffer from small 226 perturbations due to vibrations in the furniture and walls, operation of the microtome, and other 227 external factors. Therefore, it is necessary to align the photographs before further processing. 228 For this purpose, we first create a global reference image ("microtome reference"), which is a 229 photograph of the microtome with an empty cassette. On this image, we manually mark the 230 four corners of the cassette, and delineate two masks: one over the checkerboard patterns, and 231 another over a band around the edges of the cassette. 232

We use the microtome reference to perspective correct and calibrate the pixel size of all other 233 blockface photographs, by propagating the location of the four corners of the cassette. For this 234 purpose, we first select the photograph half way through the block, which we will refer to as 235 "block reference". The microtome reference is registered to the block reference to propagate the 236 location of the cassette corners as follows: (i) we compute salient points and SURF features [64] 237 on both images, and discard those outside the checkerboard in the microtome reference; (ii)238 we match the salient points; (iii) we use random sample consensus (RANSAC, [65]) to fit an 239 homography (perspective) transform; and (iv) we refine the registration to accurately align the 240 cassettes, by repeating the procedure in steps (*i-iii*), but with two differences: we use the mask 241 for the cassette edges instead of the checkerboard mask, and we add an Euclidean distance term 242 to the matching, since the cassettes are already in coarse alignment. We use RANSAC to make 243 the registration robust against different positions of the microtome handle and appearance of 244 the tissue block. The final transform is used to propagate to the block reference the location of 245 the manually labeled cassette corners, as well as the mask for the checkerboard patterns. 246

Once we have estimated the checkerboard mask and cassette corners for the blockface reference, we register all photographs in the block to the reference using steps (i-iii) of the procedure described above. Finally, we compute an homography transform between the four cassette corners and coordinates (1, 1), (1, 740), (520, 1), (520, 740), and use it to resample the blockface photographs into 520×740 pixel images with known pixel size equal to $100 \,\mu\text{m}$, where the corners of the image coincide with the corners of the cassette. These images can be safely stacked into a

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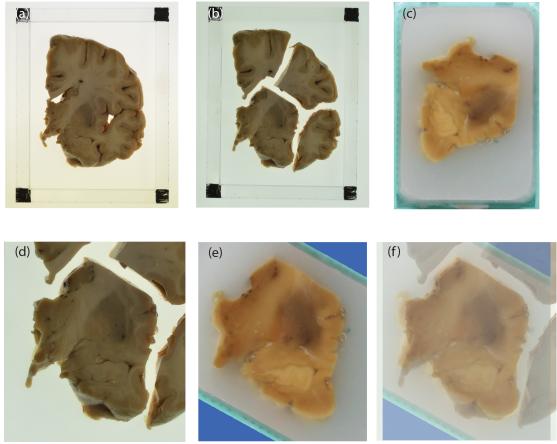


Figure 4. An example of blocked slice photograph to blockface photograph registration. (a) A sample whole slice photograph; (b) the related blocked slice photograph; (c) corresponding blockface photograph of the bottom left block; (d) block of interest in the blocked slice photograph; (e) aligned blockface photograph; (f) blocked slice photograph with blockface photograph overlaid in transparency.

single volume, with z resolution equal to 25 µm. We note that the in-plane pixel size is slightly overestimated due to the fact that the actual blockface is slightly closer to the camera than the cassette. Moreover, the z resolution is also corrupted by inaccuracies in the section thickness provided by the microtome. Nevertheless, these voxel size errors do not represent a problem in practice because tissue shrinks during processing, and both the pixel size and section thickness need to be corrected in subsequent steps of the computational pipeline anyway. 258

The blockface photograph module is completed by a supervised segmentation algorithm, which discriminates tissue versus background wax. We use a fully convolutional network (FCN, [66]) trained on manual segmentations made on 50 randomly selected (perspective corrected, pixel size calibrated) images from different blocks. The FCN was built by on top of the VGG16 network [67], with preinitialized weights for transfer learning. While the FCN operates in 2D, stacking the automated segmentations yields a 3D mask that is spatially smooth, due to the 3D smoothness of the underlying images.

2.2.3 Slice photographs

The slice photographs are crucial to initialize the registration of the tissue blocks. Processing of ²⁶⁷ both whole and blocked slice photographs begins by segmenting the corners of the frame, which ²⁶⁸

are painted in black, using a FCN similar to the one used for blockface photographs. This time 269 we used 20 manually labeled images for training, which is enough, given the simplicity of the 270 problem. The center of gravity of the four largest clusters are identified as the corners. Then, 271 four sets of parallel lines are fit to the gradient magnitude images, to identify the internal and 272 external boundaries of the frame. The internal corners are computed as the intersections of the 273 internal boundaries, and used to fit an homography to correct for perspective and calibrate the 274 pixel size to 100 µm (i.e., 1,200×900 pixels), in a similar way as for the blockface photographs. 275

Next, the blocked slice photographs (perspective and pixel size calibrated) are segmented ²⁷⁶ into foreground and background using a simple Gaussian mixture model (GMM) with two ²⁷⁷ components, optimized with the Expectation Maximization (EM) algorithm [68]. The resulting ²⁷⁸ mask is overlaid onto the corresponding image and displayed on a simple graphical user interface ²⁷⁹ (GUI), where a user assigns block numbers to the different connected components of the binary ²⁸⁰ mask, producing a multilabel segmentation of the different blocks. ²⁸¹

2.2.4 Digitized histological sections

Processing of digitized histological sections has two components: segmentation and intensity standardization. For segmentation, we used two FCNs, one for LFB and one for H&E, trained on 50 randomly selected sections each. Simple intensity standardization was carried out using only the pixels inside the masks, by matching their histogram to the average histogram of the foreground pixels of the training dataset.

2.2.5 Linear alignment of blocks

The advantage of using blockface photography as intermediate modality is that the registration to the volumetric reference (in our case, the MRI volume) is approximately linear. In our pipeline, we first use the slice and blockface photographs to initialize the registration between blockface volumes and the MRI. Then, we use a dedicated joint registration algorithm to optimize the alignment.

To initialize the registration, we start by calculating three different sets of 2D linear transforms. 294 First, we use SURF and RANSAC (see section "Blockface photographs") to rigidly register 295 whole slice photographs of the lateral / anterior / inferior face of each block to the medial / 296 posterior / superior face of the neighboring lateral / anterior / inferior block. These images 297 are nearly identical, so registration is easy and accurate. Second, we use SURF/RANSAC to 298 rigidly align each block in the blocked slice photographs (using the available multi-label masks) 299 to the corresponding whole slice photograph. Despite being a whole-to-part registration problem, 300 SURF/RANSAC produces accurate solutions, since the photographs are of the same objects 301 and acquired in the same illumination conditions. And third, we estimate a similarity transform 302 (i.e., translation, rotation and scaling) between each block in the blocked slice photographs 303 and the approximately corresponding image in the blockface photograph volume, using mutual 304 information as cost function [69–71]. The target blockface photograph is the first one where the 305 whole block is completely visible, which we estimate by finding the first section in which the 306 ratio between surface area and its maximum across the block is at least 2/3. The surface areas 307 are estimated with the masks produced by the FCN. An example of the alignment between a 308 blocked slice photograph and the related blockface one is showed in figure 4d-f. 309

Given these sets of 2D transforms, initializing the blocks in the cerebellum, cerebrum and 310 brainstem is straightforward. For the cerebrum, a reference slice is first chosen (the one 311 corresponding to the mammillary bodies, in our case). Then, for each block, one simply 312 concatenates its corresponding blocked-slice-to-whole-slice transform, along with all the whole-313 slice-to-whole-slice transforms between the slice at hand and the reference. Finally, a shift in 314 the anterior-posterior direction is computed by each block, which is simply equal to the slice 315 thickness (10 mm) multiplied by the (signed) number of slices between the slice at hand and the 316 reference. The cerebellum and brainstem are processed the same way, with two differences: the 317

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blocked-slice-to-whole-slice transform is not needed (since slices are not blocked), and the shifts occur in the medial-lateral (cerebellum) and inferior-superior directions (brainstem). Finally, the three sets of blocks (cerebrum, cerebellum and brainstem) are manually aligned to the preprocessed reference MRI using a rigid transform.

Once the transforms for each block have been initialized, they are optimized using a joint 322 hierarchical registration algorithm. The details of the methods can be found in [52], but we 323 summarize them here for completeness. Each blockface volume has an associated spatial transform 324 that has a set of 8 parameters, corresponding to 3D translation (3 parameters), 3D rotation 325 (3), in-plane scaling (1), and scaling along the thickness direction (1). The cost function of the 326 registration combines a data term and two regularizers. The former is simply the correlation of 327 edge maps. The first regularizer is a customized penalty term that encourages the sum of the 328 soft deformed masks to be equal to the binary mask of the MRI. This regularizer penalizes gaps 329 between blocks (the sum is zero, whereas the target is 1) as well as overlapping blocks (sum is 2, 330 target is 1), and also encourages the surface of the whole hemisphere to be the same for the MRI 331 and the mosaic of blockface volumes. The second regularizer penalizes deviations of the global 332 scaling of each block (i.e., the cubic root of the determinant of its linear transformation matrix) 333 from an empirical value, which represents the expected tissue shrinkage, and which we derive 334 from the blocked-slice-to-blockface registrations. 335

The optimization procedure is hierarchical, in order to exploit prior knowledge on the cutting 336 procedure. There are five levels of hierarchy. At the first level, blocks are grouped into three sets 337 (cerebrum, cerebellum, brainstem), each of which undergoes an independent rigid registration. 338 At the second level, the cerebrum blocks are grouped into corresponding slices and can only 339 rotate or translate simultaneously in the slice plane. At the third level, individual translation 340 and rotation are allowed, with the addition of a scaling factor that is common to all blocks. At 341 the fourth level, each block is allowed its own scaling factor. At the fifth and final level, each 342 block has its own transform. We use the L-BFGS [72] algorithm for numerical optimization of 343 the cost function. 344

2.2.6 Registration with histology

Once the blockface volumes have been linearly registered to the reference MRI volume, it is straightforward to resample the MRI onto the plane of any of the blockface photographs. Since the correspondence between blockface photographs and digitized stained sections is known and deterministic, the MRI can thus be resampled on the planes corresponding to these sections. Furthermore, the 2D resampled MRI slices can be masked with the automated segmentations of the blockface photographs provided by the FCN. This process yields a stack of resampled, segmented MR images that have direct correspondence to the images in the histology stack.

To 3D reconstruct the histology for each of the two stains (LFB and H&E), we used a 353 method that we presented in [51], and which we summarize here for completeness. The goal 354 is to register a stack of histological sections to a corresponding stack of resampled 2D MRI 355 slices. First, the histology stack is put into coarse alignment by linearly registering each section 356 to the corresponding MR image. For this purpose, we used the linear registration module in 357 NiftyReg [56], which relies on a block matching approach and mutual information. Then, we 358 compute a set of nonlinear registrations parameterized by stationary velocity fields (SVF, [73]), 359 as implemented in NiftyReg. Using SVFs has three advantages. First, the corresponding 360 deformations are guaranteed to be diffeomorphic and thus invertible; second, inversion is achieved 361 simply by changing the sign of the SVF; and third, composition of transforms can be approximated 362 by the sum of the corresponding SVFs. The set of registrations includes: (i) inter-modality 363 registrations between each histological section and the corresponding MRI slice, computed with 364 mutual information; (ii) intra-modality registrations, between each histological section and 365 its two nearest neighbors in the stack, computed with local normalized cross-correlation; and 366 (iii) intra-modality registrations, between each MRI slice and its two nearest neighbors in the 367 stack, also computed with local normalized cross-correlation. 368

While one could use the inter-modality registrations – i.e., subset (i) – directly to obtain a 3D 369 reconstruction, this approach is known to produce volumes that are jagged, due to inconsistencies 370 in the registrations of neighboring image pairs. At the opposite end of the spectrum, an 371 alternative approach is to use only the intra-modality registrations for histology, i.e., subset (ii), 372 but this method leads to accumulation of errors along the stack ("z-shift") and straightening of 373 curved structures ("banana effect", see [6]). Instead, our method [51] achieves the best of both 374 approaches by combining all three subsets. The method relies on a spanning tree of unknown, 375 "true" deformations connecting all the images in the two stacks. Then, all the registrations in the 376 three subsets can be seen as noisy measurements of compositions of transforms in the set of true 377 deformations. Within this model, Bayesian inference is used to compute the most likely set of 378 underlying true deformations that gave rise to the observed data. This approach produces 3D 379 reconstructions that are both smooth and robust against z-shift and banana effect. The intuition 380 behind it is that subset (i) aligns the two stacks; subset (ii) ensures the smoothness, and subset 381 *(iii)* enables us to undo the banana effect incurred by subset *(ii)*. 382

After running all the modules of the computational pipeline, correspondence between spatial locations in the MRI and digitized histological sections can be obtained by concatenating 3D (blockface volume to MRI) and 2D spatial transforms (histology to resampled MRI).

3 Results

A left human hemisphere was processed with this pipeline. In this section we present intermediate and final results, including 3D tissue blocks, alignment with MRI and 3D histology reconstruction.

3.1 Building blockface volumes

figure 5 displays results from the first fundamental step of our 3D histology pipeline: assembling blockface photographs into tridimensional volumes. The reconstructed views of a sample cerebral block (axial and sagittal, figure 5a) present a smooth and consistent profile, demonstrating how effective the co-registration strategy we adopted is. 390 391 392 393 393 394 395 395 395 396 397 397 397 397 397 397 398 399

figure 5b-c provides a first glimpse of how the blockface volumes are used as a stepping stone in the overall process of fusing MRI and histology. The figure shows the histological sections (LFB and H&E) corresponding to the blockface photograph in figure 5a. For easier visualization, we show the sections after nonrigid registration with the MRI, which indirectly aligns them with the blockface photograph as well. Therefore, the volumes are consistent with each other – but they also shows some differences because of inevitable artifacts that occur during sectioning. 394

3.2 Effective alignment of MRI reference and tissue blocks

Once all the blockface volumes have been assembled and initialized, a tridimensional mosaic representing the brain is obtained (figure 6a-b). Notably, the overall shape and the coarse subdivisions (cerebrum, cerebellum, brainstem) are a good first approximation, although misalignment in the gyrification patterns and in the antero-posterior organization are clearly noticeable. When compared with the actual MRI reference (whose whole brain mask is surface rendered in transparent green), there is a clear mismatch in their shapes. 401

Our joint registration procedure yields the refined mosaic shown in figure 6*c-d*: not only the blocks match the MRI reference well, but also yield a more consistent and smoother gyrification pattern. *Supplementary video 1* thoroughly illustrates the joint registration procedure, and gives a more detailed overview of both the initialized and the refined mosaics – highlighting how the consistency of brain structures improves as a result of the spatial alignment. 400

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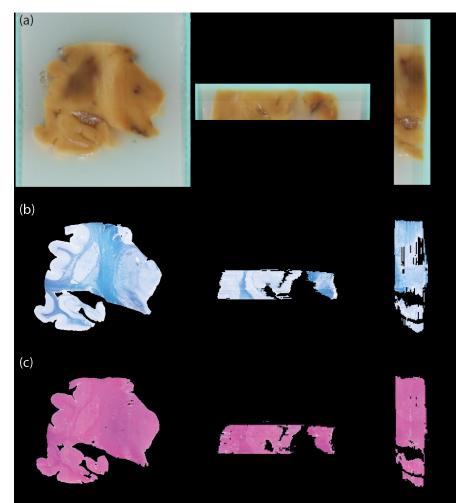


Figure 5. Blockface and histological volumes. Example of reconstructed blockface volumes (a) from three different views (coronal on the left, axial in the centre, and sagittal on the right). The same views are also showed for the correspondent histological volumes, stained with LFB (b) and H&E (c).

3.3 Navigating histological sections in 3D

Navigating through different scales of co-registered histology-MRI data, e.g., to localize and 413 examine a section of interest, is one of the most immediate applications of this pipeline. Given the 414 estimated transformations between blockface photographs and histological sections, it is possible 415 to use the refined, MRI-aligned blockface mosaic to jointly explore the histological sections 416 and the MRI in a common three-dimensional space. figure 7 shows the same block as figure 5, 417 this time overlaid on the MRI volume. The figure displays the alignment of the different brain 418 structures across MRI and histology, both in the sectioning (coronal) and reconstructed planes 419 (axial, sagittal). Moreover, it also highlights the smoothness of the reconstructed histology. 420

figure 8a shows a more detailed example of navigation and alignment, displaying an LFB 421 section on the corresponding resampled MRI plane. The red square delineates a 5×5 mm patch 422 magnifying the basal ganglia (figure 8b-d), specifically the boundaries between the putamen 423 and the two segments of the globus pallidus. The alignment is excellent, and the histology 424 reveals details that are effectively invisible in MRI (even at sub-millimeter resolution). Likewise, 425 the green square marks a 30×30 mm patch magnifying hippocampal head and the amygdala 426

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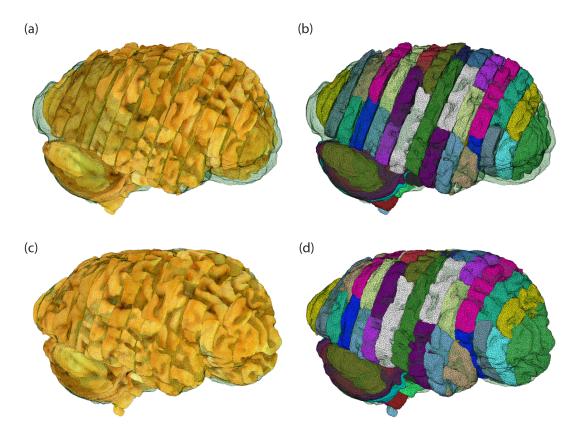


Figure 6. Initialization and refined blockface mosaics. A surface rendering of the whole brain mask derived from the MRI is overlaid in green. (a) Blockface volume for the whole hemisphere resulting from the initialization with the slice photographs; the color for rendering is taken from the blockface photographs. (b) Same volume as (a), where each block is rendered in a different color. (c,d) Same volumes as (a,b) after joint registration.

(figure 8e-g). Once again, the alignment between the boundaries across the two modalities is 427 qualitatively very high. 428

Navigation is further exemplified in *Supplementary video 2*, which combines the multimodal imaging data with the segmentations from SAMSEG and FreeSurfer. Starting from the brain surface, the perspective slowly focuses on the basal ganglia, highlighting the alignment between blockface photograph, MRI and histology across different scales – from centimeters to microns per pixel. Finally, it is also possible to reconstruct and entire histology volume by mosaicking all the sections in 3D. An example at 0.4 mm resolution (i.e., the voxel size of the MRI) is showed in figure 9.

4 Discussion

In this paper, we have described a scalable and reproducible method for MRI-informed 3D histology. This includes both a protocol for tissue cutting and processing, without any requirements apart from a standard microtome, and a computational pipeline that requires minimal manual intervention. We have also presented results for a single hemisphere of a human brain processed with the pipeline. As the reader may have observed, the proposed procedure consists of several steps, each with different design choices. In this section, we want to provide further context for such choices and discuss how they influenced our results. 439

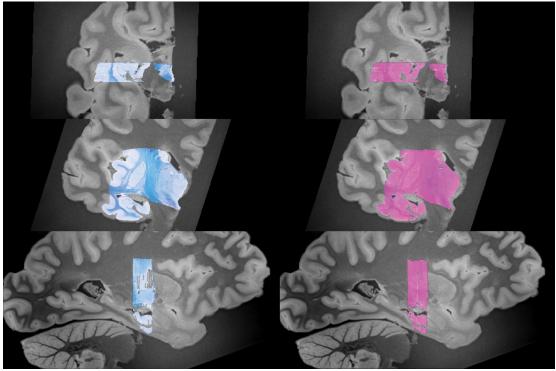


Figure 7. MRI-histology alignment. Histological sections from a sample block aligned with the MRI volume as a result of the refinement algorithm, showed from three different views (top: axial; middle: coronal; bottom: sagittal) and for the two stains (left: LFB; right: H&E).

4.1 MRI as a reference for histology

The transition towards 3D histology is becoming necessary for a more complete study of biological specimens at a microscopic level. Such specimens are tridimensional objects and therefore a 3D characterization is required. Unfortunately, histology requires by definition the loss of tridimensional shape for the target object, and without prior information on the original shape, it is not possible to reconstruct a 3D volume in a way that is coherent with the original object. 445

As outlined in the introduction, MRI is a powerful tool when imaging entire organs, especially 450 for large human organs such as the brain. There are virtually no comparable alternatives 451 when one considers also how different acquisition sequences can be used to obtain a diverse 452 collection of contrasts. Unfortunately, the wide spatial coverage comes at the expense of a coarser 453 resolution. Although the idea of combining MRI and histology to achieve the best of both worlds 454 is reasonable, the practical implementation is not straightforward. For instance, registration of a 455 single histological section leads to a difficult slice-to-volume problem [8], with the disadvantages 456 of high sensitivity to initialization conditions and challenging multi-modal registration issues [6]. 457 This is why our approach relies on a volume-based approach, by first assembling together 458 histological sections from the same block into volumes. The overall registration problem still 459 requires further steps as discussed in the following paragraphs. 460

4.2 Choice of intermediate modality

An important choice in designing a pipeline for tridimensional histology regards the intermediate modality, which will serve as a stepping stone between the stained sections and the MRI data. In this paper, we relied on blockface photographs. Since they keep structural information before the cutting procedure [6], they allow on one hand to assume a linear relationship with the MRI

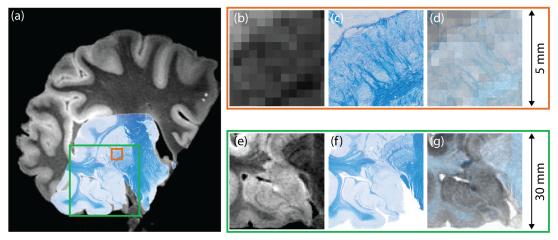


Figure 8. Registration between MRI and histological sections. (a) Sample MRI slice with registered LFB section overlaid; (b) magnified view of the 5×5 mm area marked in red; (c) Corresponding region on LFB section; (d) MRI and LFB overlapped in transparency; (e-g) magnification of the 30×30 mm area marked with the green square in (a).

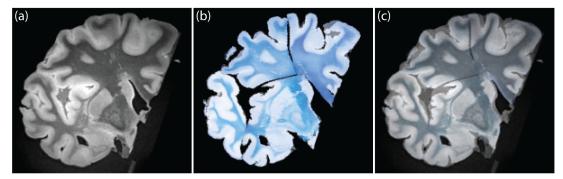


Figure 9. Example of whole brain reconstruction. (a) A sample coronal MRI slice; (b) corresponding slice in reconstructed LFB mosaic; (c) overlay of (a) and (b) in transparency.

reference, and on the other hand to deterministically map histological sections and blockface 466 photographs. However, there are other potential candidates for this role, most notably OCT, 467 PLI and clearing techniques. 468

OCT is an interferometry technique based on near-infrared light [14]. OCT volumes can be acquired during the sectioning procedure of a sample, with the important difference (compared with histology) that the data are acquired *before* sectioning. Therefore, geometric distortion is avoided and direct stacking of the 2D images yields a 3D consistent volume. While OCT produces images with excellent contrast at high resolution, it is much more costly to acquire than photographs, especially in terms of time [74, 75]: imaging a single 20 mm thick human tissue block at 5x5x50um takes several days of uninterrupted data acquisition.

Also based on optical imaging, PLI exploits the transmission of polarized light to give a quantitative estimate of fiber orientation and inclination angles for a given point in a tissue section [15]. This technique has great potential to study white matter at the mesoscopic and microscopic scales [76], but still requires sectioning.

Tissue clearing [16] is a powerful solution that avoids sectioning. Clearing methods can make opaque tissue transparent and, combined with fluorescent labeling tools, they offer a new way of probing microscopic structures [77,78]. As a consequence of the transparency, there is no need to slice the sample and it is sufficient to adjust the focus of the microscope on the plane of interest. The main drawbacks of this technique are the long time needed to process the tissue, the size 484 limits for clearable samples and the limited depth of antibody penetration in the cleared tissue. 485

It is evident then that the choice of an intermediate modality is a trade-off between result 486 quality and costs. Therefore, we chose to use blockface photographic imaging as it is cheap and 487 fast, and as a result ideal for larger scale studies. The poorer contrast of blockface photographs 488 compared to the alternatives is indeed a limit of the approach presented here, but in the context 489 of the whole pipeline, it serves its purpose well as intermediate modality. 490

Assumptions of registration-based pipelines 4.3

In our pipeline, we have made the assumption that the deformation between the MRI and the tissue blocks (imaged via photography) is linear. This is an approximation: even with fixed tissue, cutting into slices and blocks introduces small nonlinear deformations, particularly near 494 the cut boundaries. Moreover, tissue processing also introduces nonlinear distortions, even if 495 minimal. 496

Another potential error source for the registration is the imperfection of the automated 497 segmentation of the blockface photographs, particularly when the tissue is concave: since paraffin 498 is not opaque, the apparent surface of the tissue on the blockface is often overestimated. As 499 these automatically generated masks are crucial in the regularization of our linear registration, 500 their oversegmentation may have directly affected the quality of our linear alignment and thus 501 our results. This problem could be mitigated by integrating the linear and nonlinear registration 502 algorithms: given the nonlinear registration of the histological sections, we could take advantage 503 of the superior contrast of the stained sections and their more accurate automated segmentations, 504 in order to improve the linear registration. In a similar fashion, the newly improved linear 505 alignment could be used to refine the non-linear registration, and so on. Future work will explore 506 this direction. 507

Improving non-linear registration 4.4

The final step of this pipeline and the last transformation needed for MRI-histology alignment is 509 given by the registration between the resampled MRI slices and the related histological sections. 510 In this case, the non-linearities are considerable, and the cross-modality nature of the problem 511 requires the use of inter-modality metrics, such as mutual information. As it has been previously 512 shown [79], approaches based on mutual information often perform poorly in inter-modality 513 registration, and therefore represent a bottleneck when registering MRI images and stained 514 sections – even when they are already initialized with our joint linear registration method. 515 In order to improve the alignment, an important direction to explore is the use of synthesis, 516 i.e. estimating MRI contrast from the histology (or vice versa) to reduce the registration to 517 an easier intra-modality problem. Recent advances with architectures based on generative 518 adversarial networks have shown great potential for this specific problem [80, 81], even with 519 specific applications to medical imaging [82]. 520

4.5Future applications

In this paper we have presented, as a preliminary practical application of our pipeline, the chance 522 of exploring histological sections through the related MRI volume. This is only the tip of the ice 523 for the potential applications of our approach. In the overarching strategy of our current project, 524 the next step is to acquire a larger set of brains, manually segment the stained sections, and 525 exploit 3D histology to create a probabilistic atlas of the human brain at the subregion level.

As opposed to existing techniques, our pipeline will be able to build whole-brain datasets from stained sections, allowing us to build atlases that are much more detailed than current 528 templates. Moreover, since specific staining agents and immunohistochemistry techniques can 529 enhance different microscopic details, the approach described here opens the door to draw 530

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new multimodal maps of the human brain [83], in a scalable and reproducible way. With the advancement of microscopy-oriented techniques (e.g., [77,84–88]), we believe that closing the gap with macroscopic modalities is crucial.

Another potential application is the use of histology for development of MRI-based biomarkers and quantitative imaging ([89]): as *ex vivo* validation with histology becomes more common, the neuroimaging field will largely benefit from 3D histology.

Finally, aligned MRI and histology also have the potential to lead to ultra-large-scale microscopy: so far it is possible to create a large dataset with several images acquired using electron microscopy, by stitching them together to cover the entire histological section [90]. In this perspective, our approach could potentially lead to the ability to navigate a brain volume and then retrieve ultra-high-resolution details from a point of interest. This would be the new frontier of multi-scale imaging and would of course create new challenges, since the associated storage and processing requirements are highly demanding. 539

4.6 Conclusion

We have presented a pipeline to effectively obtain high-resolution 3D images of the human brain using histology and MRI. The related code and the acquired data are publicly available ¹, and we plan to use the presented methods to build a high-resolution computational atlas of the human brain based on 3D reconstructed histology. As increasingly more advanced macroscale and microscale techniques to study the brain become available, the open-source tools we have presented here will have a key role in bridging together the two ends of the scale. 550

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 $^{^1\}mathrm{We}$ will make our code repository public upon publication of this manuscript.

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