Multivariate Analyses of Codon Usage in 2019 Novel Coronavirus on the

Genomic Landscape of Betacoronavirus

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Abstract

The 2019 novel coronavirus (SARS-CoV-2) is raising global concern as it continues to

spread nationally and internationally since its first report in December 2019 in China. The

SARS-CoV-2 was identified to be in the *Betacoronavirus* genera which also includes the

severe acute respiratory syndrome related coronavirus (SARSr-CoV) and the Middle East

respiratory syndrome related coronavirus (MERSr-CoV). Codon usage of the viral genes

might be subjected to selection pressure from different hosts or environments. Previous

studies on codon usage of influenza A viruses could help to identify viral host origins and

evolutionary trends, however similar studies on coronaviruses are lacking. In this study,

global correspondence analysis (CA), within-group correspondence analysis (WCA) and

between-group correspondence analysis (BCA) were performed among different genes in

coronavirus viral sequences. The amino acid usage pattern of SARS-CoV-2 was generally

found similar to bat and human SARSr-CoVs. However, we found greater synonymous

codon usage distance between SARS-CoV-2 and its phylogenetic relatives on spike and

membrane genes, suggesting these two genes of SARS-CoV-2 are subjected to different

evolutionary pressures.

Keywords: SARS-CoV-2; coronavirus; codon usage analysis; WCA.

Introduction

A novel coronavirus outbreak took place in Wuhan, Hubei province, China in December 2019[1]. This novel coronavirus (SARS-CoV-2) causes pneumonia in patients[2] and it has rapidly spread to other provinces in China and overseas countries [3]. This novel coronavirus outbreak had raised global concern but current knowledge on the origin and transmission route of the pathogen is still limited. The SARS-CoV-2 belongs to *Betacoronavirus*, which also includes two highly virulent human coronaviruses, SARS-CoV and MERS-CoV. Apart from human, many animals, such as bat, rat, camel, swine and hedgehog, can be infected by coronaviruses. Further sequence analyses of this novel and other betacoronaviruses might provide additional information to better understand the evolution of SARS-CoV-2.

Preferential codon usage is commonly seen in different organisms, and it has been evident that the uneven codon usage is not neutral but related to gene expression or other selection pressures [4–6]. There are two levels of codon usage biases, one is at amino acid level and the other is at synonymous codon level. The amino acid composition among proteins can be an important factor to control certain sequence traits. For example integral membrane proteins that are enriched in hydrophobic amino acids can create significant codon usages biases[7]. Amino acid composition sometime can also introduce confounding effects when one only focuses on studying the variations of synonymous codon usage. The use of global correspondence analysis (CA) and its derivatives within-group correspondence analysis (WCA) to analysis codon usages can overcome the above problem. In fact, WCA becomes "model of choice" for analyzing synonymous codon usage in recent years, as it is more robust than other traditional methods (e.g. CA with relative codon frequency or CA with RSCU values)[7,8]. With the nature history of the SARS-CoV-2 remains largely unknown, an in-depth codon usage analysis of this newly emerging virus might reveal novel insights.

In this study, we analyzed 3,072 betacoronavirus genomes. We found SARS-CoV-2 and bat SARSr-CoV have similar amino acid usage. However, our analyses suggested that the spike and member genes of SARS-CoV-2 have rather distinct synonymous codon usage patterns.

Methods

Sequence data

To construct a reference sequence dataset, available full-length complete genome sequences of coronavirus were collected through Virus Pathogen Resource database (https://www.viprbrc.org/brc/home.spg?decorator=corona, accessed 13 Jul 2019, ticket

958868915368). The sequences were filtered by the following steps: (1) Remove sequences

without protein annotation; (2) Keep only sequences with complete set of desired replicase

and structural proteins (sequences coding for orf1ab, spike, membrane and nucleocapsid); (3)

Filter out sequences with unusual length (>130% or <70% of the median length for each

group of gene sequences). (4) Limit the virus genus within *Betacoronavirus*. (5) Concatenate

orf1a and orf1b sequences to form orf1ab if necessary.

The final dataset comprised 768 individual strains (3072 individual gene sequences) that

contain complete sets of coding regions for orf1ab, spike, membrane and nucleocapsid genes

(see Supplementary Figure 1). The sequences for envelope gene was not included in the

analysis because of its short length and potential bias in codon usage. Corresponding

metadata for the sequences were extracted by the sequence name field. 24 complete genome

sequences of the newly identified SARS-CoV-2 and its phylogenetically close relatives were

retrieved from Genbank and GISAID (accessed 22 Jan 2020). Five genomes in this study

were used as special references (BetaCoV/bat/Yunnan/RaTG13/2013|EPI_ISL_402131;

MG772934.1_Bat_SARS-like_coronavirus_isolate_bat-SL-CoVZXC21;

MG772933.1_Bat_SARS-like_coronavirus_isolate_bat-SL-CoVZC45;

KY352407.1_Severe_acute_respiratory_syndrome-related_coronavirus_strain_BtKY72 and

GU190215.1_Bat_coronavirus_BM48-31/BGR/2008), as they were previous reported to have

close phylogenetic relationship with SARS-CoV-2[9,10]. Detailed accession ID for the above

data were provided in the Supplementary Table S1.

The codon count for every gene sequence as input for the correspondence analysis was

calculated by the SynMut[11] package. The implementation of the different correspondence

analyses in this study was performed by functions in the package ade4[12]. Three stop codons

(TAA, TAG and TGA) were excluded in the correspondence analysis.

Global correspondence analysis (CA) on codon usage

Correspondence analysis (CA) is a dimension reduction method which is well suited for

amino acid and codon usage analysis. The concept in correspondence analysis is similar to

Pearson's χ^2 test (i.e., the expected counts were calculated under the hypothesis of

independence, basing on the observed contingency table). With the deduced expected count

table, the Euclidean distance or the χ^2 distance can be used to evaluate the difference between

two observations. The χ^2 distance that we are using in the global correspondence analysis

have applied the row profile (adjusted for the size effect among difference genes) and the

column profile (adjusted for the size effect among difference codons), therefore the raw

codon count rather than the Relative Synonymous Codon Usage (RSCU) values would be

more informative and suitable input in our model. The calculation of the χ^2 distance was

included in the Supplementary Method.

All the correspondence analyses in this study were performed individually for each gene, to

achieve better resolution on gene specific codon usage pattern.

Within-group correspondence analysis and between-group correspondence analysis

In addition to ordinary correspondence analysis, the within-block correspondence analysis[13]

(WCA) dissociates the effects of different codon compositions in different amino acids.

WCA has been recognized as the most accurate and effective CA method for studying the

synonymous codon usage in various genomic profile[8]. WCA focuses on the within-amino

acid variability, and technically it excludes the variation of amino acid usage differences.

WCA was implemented basing on the existed global CA, with additional information for

factoring.

Between-group correspondence analysis (BCA) is complementary to WCA, where BCA

focuses on the between-group variability. BCA can be interpreted as the CA on amino acid

usage. We used BCA in this study to investigate the amino acid usage pattern in different

coronaviruses.

Grand Average of Hydropathy (GRAVY) score

Gravy score provides an easy way to estimate the hydropathy character of a protein[14]. It was

used in this study as a proxy to identify proteins that are likely to be membrane-bound

proteins. The GRAVY score was calculated in a linear form on codon frequencies as:

$$s = \sum_{i=1}^{64} \alpha_i f_i$$

Where α_i is the coefficient for individual amino acid (provided by data *EXP* in *Seqinr*

package[15]) encoded by codon i, f_i correspond to the relative frequency of codon i.

Results

General genomic features in *Betacoronavirus*

A total of 3,072 individual gene sequences passed the filtering criteria and were included in

this study. Viral sequences from 3 different species (*Middle East respiratory syndrome*

related coronavirus (*MERSr-CoV*), *Betacoronavirus 1*, *SARS related coronavirus* (*SARSr-*

Cov)) were the three most dominant species (see Supplementary Figure S1) in the dataset.

Four conserved protein sequence encoding regions of *Betacoronavirus* were analysed

separately. The median lengths of the studied sequence regions were 21237 nt for orf1ab

gene, 4062 nt for spike gene, 660 nt for membrane gene and 1242 nt for nucleocapsid gene.

Spike gene has the least average and median $G + C$ contents among these four genes (median:

37.45%, 37.31%, 42.60% and 47.22% for orf1ab, spike, membrane and nucleocapsid

respectively). The $G + C$ contents of the orf1ab and spike genes were distributed in bi-modal

patterns, and the $G + C$ contents of SARS-CoV-2 were located at the lesser half of the data of

these two genes. The $G + C$ contents for membrane and nucleocapsid genes of studied viral

sequences were distributed in unimodal pattern (see Supplementary Figure S2).

The overall amino acid and codon usage of the dataset were plotted in an ascending order

(Figure 1). We observed that leucine and valine were two most frequently used amino acids

in the four studied genes, while tryptophan, histidine and methionine were the three least used

ones. We also found that codons ending with cytosine or guanine were generally less frequent

than the codons ending with adenine or thymine. This pattern of uneven usage in

synonymous codons were in accordance with the $G + C$ content distribution results (codons

ending with guanine or cytosine were less frequently observed).

Figure 1. Codon usage in *Betacoronavirus* (Cleveland's dot plot). Points in green showed the count of
codons in a sample SARS-CoV-2 genome (MN908947).

We found a substantial bias in amino acid usage among these four genes, and this bias was

well explained by the hydropathy of the encoded proteins (results from global

correspondence analysis on all the four genes, collectively, data not shown). The GRAVY

scores for every sequence were calculated to represent the degree of hydropathy. We

discovered that the nucleocapsid protein sequences had significantly lower GRAVY scores as

compared to those from other genes, while the membrane protein sequences had highest

GRAVY scores (see Supplementary Figure S3).

Correspondence analysis

We first conducted a multivariate analysis of codon usage on our dataset by using global

correspondence analysis. We also conducted WCA and BCA to study these sequences at

synonymous codon usage and amino acid usage levels, respectively. Given that there were

different amino acid usage biases among different genes (Supplementary Figure S3), we

performed correspondence analyses of these individual genes separately.

Of all the four correspondence analyses for the four genes, the extracted first factors all

explained more than 50% of the total variances (see Supplementary Figure S4). The first two

factors in orf1ab global CA represented 67.9% and 16.6% of total inertia. Similarly, the first

two factors of the spike, membrane and nucleocapsid global CA represented 51.2% and

18.4%, 52.7% and 20.2%, 54.9% and 14.1%, respectively, of total inertia. With only these

two factors, we could extract more than ~70% of the variability of the overall codon usage

for each studied gene. These levels of representations were higher than or similar to those

deduced from other codon usage analyses[8,16,17].

The overall codon usage of SARS-CoV-2 in orf1ab, spike and membrane genes are similar to

those of bat SARSr-CoVs

Based on the above CA analysis, the data points were coloured by different features of the

sequences (e.g. viral host or viral species). There was no neighbouring human virus around

SARS-CoV-2 in the CA results of orf1ab, spike and membrane (Figure 2), suggesting that the

overall codon usage of SARS-CoV-2 in the orf1ab, spike or membrane gene was significantly

different from those of human betacoronaviruses. By contrast, the nucleocapsid genes of

SARS coronavirus and SARS-CoV-2 are found to be relatively similar (Supplementary

Figure S5A). Except for the nucleocapsid gene, virus sequences adjacent to the SARS-CoV-2

were all from bat coronaviruses (coloured in purple in Figure 2).

There are five viral sequences of human origin in the dataset (SARS-CoV-2, Betacoronavirus

1, human coronavirus HKU 1, MERS-CoV and SARS-CoV). These five groups of viral

sequences were well separated from each other in terms of codon usage, except the

nucleocapsid gene sequences of SARS-CoV-2 and SARS-CoV as mentioned above. There

was no overlap between SARS-CoV-2 and human SARS-CoV in orf1ab, spike and

membrane, yet SARS-CoV processed more similar codon usage to SARS-CoV-2 compared

to other three types of human coronaviruses (yellow point always the most nearby ones to

SARS-CoV-2 in Supplementary Figure S5A).

Comparing to human coronavirus sequences, the bat coronavirus sequences have more

scattered codon usage, even within the same viral species (Supplementary S5B). Some viral

species in bat formed their own clusters in all four genes, for example, SARSr-CoV. SARSr-

CoV is a type of coronavirus that can be found in both human and bat. We observed that the

data points of human SARSr-CoV are clustered with those of bat SARSr-CoV in all the four

genes (by comparing the yellow points in Supplementary Figure S5A and S5B). The codon

usage of SARS-CoV-2 in orf1ab, spike and membrane were deviated from the SRAS-CoV

cluster and these data points located in between of SARSr-CoV and other coronavirus species

(e.g. MERSr-CoV and bat coronavirus HKU9 etc.)

We also observed that the codon usage pattern in spike gene was more complex than in other

genes. For example, the data points adjacent to the spike gene of SARS-CoV-2 were

coronaviruses from bat, human and rodent host (Figure 2). The codon usage of rodent

coronaviruses was generally distinct from human or bat coronaviruses in orf1ab, membrane

and nucleocapsid gene sequences. Interestingly, the spike gene sequences of murine

coronaviruses located between SARSr-CoV and other coronaviruses, just like SARS-CoV-2

did (Figure 2 and Supplementary Figure S6B). The codon usage from camel, swine and other

coronaviruses were well clustered and relatively distant to SARS-CoV-2 (see Supplementary

Figure S6A, S5C, S5D).

Figure 2. Factorial map of the first and second factors for global CA by different genes,
coloured by different viral host. The SARS-CoV-2 and related reference data points were
labelled.

The codon usage at synonymous level suggested novel patterns of SARS-CoV-2 in spike and
membrane genes

WCA and BCA were used to further differentiate the codon usages of these

betacoronaviruses at synonymous codon usage and amino acid usage levels, respectively.

After applying the row-block structure to the original global CA model, we found that most

of the variability in codon usage can be explained at synonymous codon usage level (90.35%

for orf1ab gene, 85.27% for spike gene, 83.75% for member gene and 84.08% for

nucleocapsid gene) (Table 1).

Table 1. Variability explained by the synonymous codon usage level and the amino acid level.

	Orf1ab	Spike	Membrane	Nucleocapsid
WCA (synonymous codon level)	90.35%	85.27%	83.75%	84.08%
BCA (amino acid level)	9.65%	14.73%	16.25%	15.92%

The results from the BCA suggested that the amino acid usage of SARS-CoV-2 is closely

related to bat and human SARSr-CoV in all four genes (Figure 3B and Figure 4B).

Specifically, we discovered that the SARS-CoV-2 had most similar amino acid usage pattern

to bat RaTG13 virus, followed by bat CovVZC45 and bat CoVZXC21. The sequences of

BtKY72 and BM48-31 were from a more phylogenetically distant clade, and, accordingly,

they had relatively distinct amino acid usage to SARS-CoV-2 as expected in all four studied

genes. This result agreed with the result in the full-genome phylogenetic analysis

(Supplementary Figure S7).

The difference of synonymous codon usage between SARS-CoV-2 and RaTG13 was

marginal in orf1ab and nucleocapsid sequences. Interestingly, there were noticeable

differences in the spike and membrane gene analyses. Our results suggested the synonymous

codon usage patterns in the spike and membrane gene of SARS-CoV-2 are different from

those of its genetically related viruses (i.e. RaTG13 and other reference relatives). For

example, the synonymous codon usage pattern of SARS-CoV-2 was closer to a cluster of

rodent murine coronaviruses at the first two factorial levels (Figure 3A and Figure 4A).

Further analysis on spike gene, however, suggested that the codon usage of SARS-CoV-2 and

rodent murine coronaviruses were distinct at the third factorial level (Supplementary Figure

S8A). The result showed that although RaTG13 was not the most adjacent point to SARS-

CoV-2 at the first and second dimension, it surpassed murine coronaviruses at the third

dimension. Our result suggested a complex genomic background in the spike gene of SARS-

CoV-2, which made its synonymous codon usage harder to differentiate from other genomic

sequences in our WCA analysis. Despite the proximity between RaTG13 and SARS-CoV-2

at three-dimensional level, they still formed into two separated clusters (Supplementary

Figure S8A). It was evident that the synonymous codon usage pattern of SARS-CoV-2 was

distinct to other bat origin coronaviruses. The difference in synonymous codon usage was

largely explained by the first factor (more than 50%), and our analysis on codon usages

suggested that the first factor maybe highly related to the preferential usage of codons ending

with cytosine (Supplementary Figure S9). We also had similar observation for the membrane

gene. The synonymous codon usage of SARS-CoV-2 were closely related to two bat MERSr-

CoV (Figure 3A and Figure 4A) at two-dimensional level, whereas our further three-

dimensional analysis revealed that the synonymous codon usage of SARS-CoV-2 in

membrane was most similar to CoVZXC21.

Overall, our WCA results supported a more complex synonymous codon usage background

on spike and membrane genes, yet we identified unique codon usage patterns of SARS-CoV-

2 on these two genes.

Figure 3. Factorial map of the first and second factors for WCA and BCA by different genes,

coloured by different viral host. The SARS-CoV-2 and related reference data points were

labelled.

Figure 4. Factorial map of the first and second factors for WCA and BCA by different genes,

coloured by different viral species. The SARS-CoV-2 and related reference data point were

labelled.

Discussion

Codon usage can be affected by many sequence features, including nucleotide composition,

dinucleotide composition, amino acid preference, host adaption, etc[8,18,19]. The codon usages

of viral sequences can vary between genes and host origins[20-22]. The bias in codon usage is a

unique and distinctive characteristic that can reflect the "signature" of a genomic sequence.

Codon usage analyses are often complementary to ordinary sequence alignment-based

analyses which focus on the genetic distance at nucleotide level, whereas codon usage

analyses enable capturing signals at different sequence parameters. Therefore, codon usage

bias can be another good proxy for identifying unique traits (e.g. virus origin, host origin, or

some functions of proteins) of a genome. The goal of this study was to investigate the codon

usage bias of betacoronaviruses. By studying the codon usages of these viruses in a

systematic manner, we identified viral sequences carrying traits similar to those of SARS-

CoV-2, which provided useful information for studying the host origin and evolutionary

history of SARS-CoV-2.

The codon usages were very different among genes in betacoronaviruses. The G+C content,

especially the GC3 content is known to be influential to the codon usage of some bacteria and

viruses [7,23,24]. Such phenomenon was also significant in our analyses of orf1ab and spike

sequences, but this was not observed in our analysis of membrane or nucleocapsid sequences

(see Supplementary Figure S8). In fact, the G + C contents were less suppressed in membrane

and nucleocapsid (Supplementary Figure S2). This can be partly explained by the fact that

membrane and nucleocapsid are two genes with shorter length which may limit the

flexibilities for mutation or codon usage adaptation. In addition to global CA analysis, the

application of WCA and BCA could eliminate the effects caused by amino acid compositions

and synonymous codon usage, respectively. These alternative analytical tools were important

to our study. It is because the amino acid sequences are expected to be more conserved such

that they can preserve biological functions of the translated genes. By contrast, mutations at

synonymous level tend to be more frequent, as most of these codon alternatives would not

affect the biological function of a protein.

Of all the existing genomes in the dataset, RaTG13 best matched the overall codon usage

pattern of the SARS-CoV-2. Although the SARS-CoV-2 had similar amino acid usage to

human and bat SARSr-CoVs, the synonymous codon usages between them were relatively

different, which indicated similar protein characteristics but maybe different evolutionary

histories. The codon usages of bat coronaviruses were more scattered than coronaviruses of

other hosts, this result agrees with the fact that bat is a major host reservoir of coronavirus[25],

thus it harbours coronaviruses with more complex genomic backgrounds.

SARS-CoV-2 was first identified in human, but its codon usage was very different from other

four types of *Betacoronavirus* that infecting human (Supplementary Figure S5A). In fact, the

codon usage at both the amino acid level and synonymous level denoted that the orf1ab gene

in SARS-CoV-2 had closest relationship to bat-origin SARSr-CoV, especially RaTG13. The

CoVZX45 and CoVZXC21 had similar amino acid usage but relatively different synonymous

codon usage to SARS-CoV-2 (Figure 3). This result in orf1ab was in accordance with the

full-genome phylogenetic analysis (Supplementary Figure S7), showing a close relationship

between SARS-CoV-2 and RaTG13 by the overall backbone of the genome.

The S protein are responsible for receptor binding which is important for viral entry. The

genetic variability is extreme in spike gene[26], and this highly mutable gene may possess

valuable information in recent evolution history. In our results, the synonymous codon usage

of SARS-CoV-2 in spike gene was distinct from those of RaTG13 and other phylogenetic

relatives (Figure 3A), which was not observed in orf1ab or nucleocapsid gene. Although the

codon usage in spike of SARS-CoV-2 and RaTG13 were similar at amino acid level, the

difference at synonymous codon usage level indicated that they are unlikely to share a recent

common ancestor. It is more likely that SARS-CoV-2 and RaTG13 might have undergone

different evolution pathways for a certain period of time. The amino acid usage of SARS-

CoV-2 in membrane was clustered with bat SARSr-CoV, however the synonymous codon

usage of SARS-CoV-2 was still distinct to these bat coronaviruses. Our result supported

different evolutionary background or currently unknown host adaption history in SARS-CoV-

2. The codon usage of SARS-CoV-2 in nucleocapsid gene was similar to bat SARSr-CoV

both at amino acid level and synonymous level, suggesting that there was no highly

significant mutation happened in this gene.

Codon usage can be shaped by many different selection forces, including the influence from

host factors. Some researches hypothesised that the codon usage in SARS-CoV-2 maybe

directly correlate to the codon usage of its host[27]. However our recent study on influenza A

viruses implied that these may not be the most influential factors shaping the codon usage of

a viral genome[18]. Our analysis took advantage of the existing genomes of *Betacoronavirus* to

study the complex host effect on codon usage, which warrants more accurate but relatively

conserved estimation.

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