Common postzygotic mutational signature in multiple healthy adult tissues related to embryonic hypoxia

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Abstract

Postzygotic mutations are acquired in all of the normal tissues throughout an individual's lifetime and hold clues for identifying mutagenesis causing factors. The process and underlying mechanism of postzygotic mutations in normal tissues is still poorly understood. In this study, we investigated postzygotic mutation spectra in healthy individuals by optimized ultra-deep exome sequencing of time series samples from the same volunteer and samples from different individuals. In cells of blood, sperm, and muscle, we resolved three common types of mutational signature. Two of them are known to represent clock-like mutational processes, and their proportions in mutation profiles associated with polymorphisms of epigenetic regulation genes, suggesting the contribution of personal genetic backgrounds to underlying biological process. Notably, the third signature, characterized by C>T transitions at GpCpN sites, tends to be a feature of diverse normal tissues. Mutations of this type were likely to occur early in embryo development even before the tissue differentiation, as indicated by their relatively high allele frequencies, sharing variants between multiple tissues, and lacking of age-related accumulation. Almost all tumors shown in public datasets did not have this signature detected except for 19.6% of clear cell renal cell carcinoma samples, which featured by activation of the hypoxia-induced signaling pathway. Moreover, in vitro activation of HIF signaling pathway successfully introduced the corresponding mutation profile of this signature in a culture-expanded human embryonic stem cell line. Therefore, embryonic hypoxia may explain this novel signature across multiple normal tissues. Our study suggest hypoxic conditions in the early stage of embryo development may be a crucial factor for the C>T transitions at GpCpN sites and individual genetic background also related to shaping human postzygotic mutation profiles.

Introduction

After fertilization, most genomic mutations typically occur as a result of replication errors, DNA structure instability, as well as other endogenous and exogenous sources, resulting in the genotypic and phenotypic heterogeneity of all types of cells in the body [1-3]. In particular, mutations can be triggered by distinct environmental factors, producing characteristic patterns. The accumulation of somatic mutations is believed to chronicle the exposures, toxicity, regeneration and clonal structure of the progresses from

health to disease [4-6]. Thus, the roles of somatic mutations in pathogenesis have been widely explored [7,

8]. Moreover, in recent years, multiple cell clones with distinct genotypes, referred to as somatic

mosaicism by lineage expansion in healthy tissues, have drawn attention to the factors underlying certain

disorders [9, 10].

Tissue-specific processes or particular microenvironmental changes leave unique imprints in genomes [9,

11]. With the advent of next-generation sequencing (NGS), characteristics of multiple mutagenic processes

have been revealed for the first time in tumors of various origins [7, 11-13]. For instance, smoking results

mainly in C>A transitions in lung cancers, while ultraviolet (UV) radiation leaves a footprint involving

CC>TT dinucleotide substitutions in skin cancers [7, 14]. A recent investigation showed distinct mutational

spectra in cultured adult stem cells (ASCs) of liver in comparison with those originating from the colon

and small intestine [9]. Moreover, mutation spectra are influenced by the genetic background of

individuals. For example, breast cancer patients having *BRCA1* or *BRCA2* germline mutations showed a

specific mutational signature in tumor genomes compared with patients carrying *BRCA* wild types [11].

The confounding of different mutagenesis-related factors by the genetic background means that mutation

accumulation patterns differ among tissues and individuals.

Two mutational signatures (Signature 1 and Signature 5 in COSMIC) related to the deamination of

methylated cytosines have shown a feature of accumulation with age in a broad range of cell types.

However, the accumulation process does not seem to maintain a steady pace. Specifically, the mutation

rate per cell division varies during development, undergoing diverse biological changes prenatally, and in

childhood and adulthood [1]. *De novo* mutations in offspring increase with paternal age, and the

accumulation rate in gonads was estimated to be ~2 mutations per year [15]. More than twofold differences

in variation have been observed between families, possibly influenced by germline methylation [1]. Hence,

factors that influence the mutagenic processes may differ due to various developmental demands, such as

the activities of stem cells in tissue repair, exposure to environmental factors, and tissue-specific functions

[7, 9]. In addition, the changes in mutational profile in cultured cells also reflect the genetic drift that

occurs during clonal expansion of the cell population carrying multiple pre-existing mutations [16].

The large majority of knowledge on somatic mutation has been obtained from genomic analyses of cancer

or noncancer diseases, animal models, and cultured cells. However, despite the importance of analyzing

the generation and subsequent effects of somatic mutations in normal tissues, studies on their mutation

profiles are limited due to not only difficulties in obtaining appropriate tissues from healthy individuals,

but also the scarcity of cells carrying mutations [17-19]. Although great effort has been made on analyzing

somatic mutation profile on various tissue including skin, liver, esophagus, and colon, our knowledge of

the mutation spectrum and its dynamic nature in healthy individuals remains inadequate [6, 10, 20, 21].

To obtain the somatic mutation spectrum in healthy individuals, in this study we first conducted optimized

ultra-deep exome sequencing (~800×) of blood samples in five trio families. From deep sequencing for

time points samples of blood, muscle and sperm in one subject, followed by comparison with results of

another 50 samples, we identified a mutational signature characterized by C>T transition at GpCpN,

specific to normal tissues. Further association analysis suggested that certain SNPs residing in epigenetic

regulators may explain the individual-specific proportions of C>T at GpCpN in the population. An *in vitro*

experiment and somatic mutation data from cancer genome research further showed that hypoxia is a

trigger for mutagenesis.

Results

Postzygotic mutations in normal blood and sperm cells revealed by ultra-deep exome sequencing

We adopted ultra-deep exome sequencing (>800× coverage) to identify genomic mutations, with the

benefits of increased sensitivity and accuracy due to multiple steps of optimization (S1 Fig, Methods, S1

Appendix). First, we analyzed five specimens from the volunteer M0038 annually for 4 years, including 2

blood and 3 sperm samples (S1 Table). In both tissues, one *de novo* mutation was identified and its variant

allele fraction (VAF) reached 0.4 +/- 0.02 in all samples. Overall, 36 cross-tissue mutations, with VAF of

0.002 to 0.434, were shared by at least one blood and one sperm sample (Fig 1A, S2 Fig, and S2 Table).

They were likely to occur before tissue differentiation, considering the previous speculation that some cells

may contribute to multiple tissues at the early stages of embryo development [22]. For tissue-specific

mutations, four common postzygotic mutations were detected in all of the whole-blood samples, and 16

common mutations were seen in all three sperm samples (Fig 1A-B). Especially, VAFs for these mutations

were all consistent across the samples.

Fig. 1. Postzygotic mutation profiling. (A) Schematic diagram depicting mutation accumulation among the time-point

samples from individual M0038. Each tested sample carries a couple of hundred mutations as private events (numbers at the

bottom). One *de novo* mutation occurred before fertilization (top). Thirty-six mutations were shared by at least one sperm

sample and one blood sample. In contrast with the 16 shared common mutations found in sperm samples but not in blood,

and only four blood specific mutations were shared by both ages in blood. (B) Shared mutated genes in different samples of

M0038. Among the 57 mutations revealed in at least two samples besides the *de novo* mutation (*CEP95*), only one mutation

(*NF2*, 0.09) was identified to have an allele fraction greater than 0.05. The scaled color represents the allele fraction of

mutations. (C) Density plot of mutation fraction distribution. A significantly higher density was shown in the low-fraction

region of all samples (dashed pink area) and there were more mutations with low allele fractions than the mutations obtained

by muscle (light blue area with dotted line) and simulation (beige area with dashed line).

We further compared postzygotic mutation profiles in five trio families with this approach (including

M0038). Overall, 3,266 postzygotic mutations and 4 *de novo* mutations (Methods) in blood samples from

children in the five trios were detected with VAF ranging from 0.002 to 0.528 (S3 Fig, S3 Table), and the

validation rate was above 85% using multiple methods (Methods and S2 Appendix). Approximately 90%

of the variations in all individuals had allele fractions of less than 0.020, indicating that only a small subset

of cells carried the mutations. Based on the assumption that the mutation rate during cell divisions is stable,

in silico simulation [23, 24] showed a range of allele fractions from 0.050 to 0.200 (beige area in Fig 1C,

S4 Fig, and Methods), but our observations demonstrated a significant difference from the simulation (p <

2.2×10^{-16}, Kolmogorov–Smirnov test). This suggested the mutation rate may be unequal during cell

divisions, consistent with the findings in previous studies on embryonic development [1, 25]. Our sample

size limited the power to detect the relationship between amounts of postzygotic mutations and age, the

former of which varied from 124 to 813 (S1 Table). Besides, these mutations had low recurrence rates. On

average, only 2.7 (range 0–7) mutations were shared by two individuals (S2 Fig), and none was found in

more than two individuals (S3 Table).

Significant enrichment of GpCpN and NpCpG postzygotic mutations in normal tissues

We summarized the trinucleotide composition of all 96 substitution types in each individual according to

their position and two neighboring bases. As demonstrated in Fig 2A, C>T transitions were enriched in all

individuals, of which more than 90% were in GpCpN or NpCpG sites in both blood and sperm cells (S5

Fig). For these two trinucleotide contexts, only individual M0038 had more GpCpN than NpCpG

mutations in all types of samples, whereas the other individuals had more NpCpG mutations. This suggests

the existence of distinct mutational processes among individuals.

Fig. 2. Patterns of postzygotic mutations in healthy individuals. (A) Heat map of the rates of each mutation type.

Significant enrichment of C>T transitions, especially at NpCpG and GpCpN sites, was exhibited at each of the 96 mutated

trinucleotides in all individuals. Similar patterns were shown among various types of sample from individual M0038. C>A

transversions with no preferred context were also detected in normal cells. (B) Strand asymmetry of C>T transitions by

analysis of the replication direction. C>T transitions were more likely to occur in the left-replication regions and G>A were

enriched in the right-replication regions, suggesting mutational strand asymmetry due to replication. (C) The transcription

asymmetry of C>T transitions. C>T was also more likely to occur in regions with the sense strand as the encoded strand,

whereas G>A exhibited high enrichment in the opposite regions.

C>T transitions at NpCpG sites commonly originate from age-related spontaneous deamination of

methylated cytosine to thymine [2, 7]. Nevertheless, time-point samples for the individual M0038 did not

show the time-related feature of C>T transitions at NpCpG, with their proportions varying from 13% to 31%

(S6 Fig). Among other four individuals, their counts slightly increased with age but without any

significance (e.g., 94% in the youngest individual, 5-year-old M0074, and 95% in 22-year-old F0061; S6

Fig). Meanwhile, the proportions of C>T at GpCpN were consistent across all samples, with the highest

rate of 29% and the lowest of 23% (S6 Fig).

Moreover, these mutations also demonstrated reported mutational strand asymmetries caused by

replication and transcription (Methods). For the C>T or G>A transition at GpCpN and NpCpG, the C>T

transitions were likely to occur in the left-replication regions of the genome during DNA replication,

whereas more G>A transitions occurred in the right-replication regions (Fig 2B and S7 Fig). The genomic

regions that encoded genes on the reference strand exhibited a high density of C>T transitions, and the

regions that encoded genes on the complementary strand exhibited a high density of G>A transitions (Fig

2C and S8 Fig). Additionally, sperm and blood cells from M0038 exhibited no significant difference in the

patterns of postzygotic mutations in the 96 mutation contexts and the mutational strand asymmetries (Fig

2A and S6 Fig – S8 Fig). Both tissues had higher levels of C>T transition at GpCpN than at NpCpG,

indicating the same mutational processes. In brief, consistent VAF of mutations in samples of time series,

similar proportions of C>T at GpCpN across samples from different individuals, and evidence of

mutational strand asymmetries gave support to the reliability of mutation profiles we observed.

A mutational signature characterized by C>T at GpCpN commonly occurred in normal blood cells

To explore the whether these mutation patterns were also represented in other normal tissues, we collected

deep exome sequencing datasets (>200×) for one muscle sample from individual M0038 and normal blood

cell samples paired to tumor samples in three types of tumors, including esophageal squamous cell

carcinoma (ESCC), acute myelocytic leukemia (AML), and chordoma (Fig 3A). In addition, targeted

sequencing data for normal skin and single cell sequencing data for neurons were also analyzed (Fig 3A).

The most significant mutation feature observed in normal blood cells in these tumor studies was the

enrichment of C>T transitions at GpCpN sites, especially the GpCpC trinucleotide, consistent with above

profiles in healthy individuals. This kind of enrichment was also observed in the solid tissues, especially in

neuron and muscle. Besides, all paired tumor samples did not have this feature detected in their mutation

profiles. These strongly suggests that C>T at GpCpN sites commonly occurs in normal cells.

Fig. 3. C>T at GpCpN sites in normal and tumor cells. (A) Heat map of mutation proportions illustrates the enrichment of

C>T at GpCpN in all types of normal cell (black) in both healthy subjects (upper) and patients (lower). With the exception of

enrichment of C>T at GpCpC in a portion of CCRCC, no specific mutation preference was identified in the trinucleotide

contexts of various cancer cells (blue, note: data of AML sequencing are presented only for normal T lymphocytes, but not

leukemic cells). However, distinct enrichment of C>T at GpCpN was demonstrated in all normal cells from both healthy

individuals (upper 4) and paired blood samples in tumor patients (black in lower part). The mutation data presented from top

to bottom are derived from the following sources: six blood samples of healthy individuals in this study; three sperm samples

of subject M0038; one muscle sample of subject M0038 (>200×, VAF = 0.021±0.015); targeted sequencing (>500×, VAF =

0.042±0.048) of 74 genes in 234 skin samples from four individuals as reported by Martincorena et al. [5]; whole-genome

sequencing of 36 single neurons of three individuals as reported by Lodato et al. [26]; in-house exome sequencing of 23

ESCC tumors and paired blood samples (both >200×, VAF = 0.017±0.009 in paired blood samples, VAF = 0.175±0.136 in

tumors); in-house exome sequencing of two chordoma tumors and paired blood samples (both >250×, VAF = 0.017±0.005 in

paired blood samples, VAF = 0.295±0.200 in tumors); in-house exome sequencing (>200×, VAF = 0.019±0.008) of 11

samples of normal T lymphocytes that were paired with AML cells (data not shown); exome sequencing of 295 CCRCC

from TCGA; and those CCRCC with a high rate of C>T transition at GpCpC sites among the 295 samples. (B) A mutational

signature revealed by non-negative matrix factorization in all 56 normal cell samples. Signature A involves spontaneous

deamination of 5mC at NpCpG, Signature B features C>T and T>C transitions and Signature C features a mutational type

characterized as C>T at GpCpN sites. (C) Varying proportions of the three signatures in 56 normal samples. Signature C

contributed to at least 10% of the mutations in all samples and was the major mutational type in ~30 samples. (D) With the

proportion of Signature B as the quantitative value, 125 SNPs located in 54 genes correlated with the proportion of

signatures by whole-exome association analysis (permutation test, $p < 1\times10^{-10}$).

Next, we merged deep exome sequencing datasets of all 56 normal tissue samples (Fig 3A, Methods), and

resolved Three mutational signatures, A, B and C (Fig 3B). Signature A is known to be associated with the

spontaneous deamination of methylated cytosine to thymine at NpCpG [7, 11, 27], and Signature B is

known to be clock-like that the number of mutations in cancers and normal cells correlates with the age of

the individual. In addition to these two known signatures, we revealed a Signature C characterized by C>T

transitions at GpCpN trinucleotides, especially GpCpC sites. In particular, Signature C was found to be the

major contributor to somatic mutations detected in more than 30 normal samples (Fig 3C).

Epigenetic regulation may influence the proportion of mutational signatures in normal tissues

To identify genetic factors contributing to these mutational processes, we further performed whole-exome

association analysis with age as a covariate and the frequency of Signature A, B and C as the quantitative

value respectively, in our 40 unrelated normal samples (Methods). In total, 21 SNPs located in 18 protein

coding genes were shown to correlate with the proportions of Signature B ($p < 1\times10^{-10}$, permutation test;

Fig 3D and Table 1). Among these, 2 genes contained SET domain (enrichment P=0.031), which is an

important sequence feature of putative methyl transferase involved in histone methylation. The 2 genes are

PRDM9 (PR Domain 9), a zinc finger protein catalyzes the trimethylation of histone H3 lysine 4

(H3K4me3) and *KMT2C*, a histone methyltransferase involve in leukemogenesis and developmental

disorder [28-30]. This result indicates mutations in epigenetic regulators may influence the proportion of

signature B in normal tissue. Moreover, two SNPs in *NOTCH2* are associated with the proportion of

Signature B and C, respectively (Table 1). *NOTCH2* is a key member of notch signaling pathway, which is

important in metazoan development and tissue renewal. Its inter-cellular domain can act as a transcription

factor regulates cell proliferation through controlling the expression of cycling D1[31, 32] . Additionally,

there is no SNPs were significant associated with Signature A. These results demonstrate genetic

background may influence the mutational profile of each individual.

Table 1. Genes revealed by association analysis of signature frequency with whole-exome sequencing

CHR	POS[a]	BETA[b]	STAT[c]	P	Adjust P[d]	GENE
Signature B						
1	120,539,668	0.41	9.17	4.61×10^{-11}	6.55×10^{-8}	NOTCH2
5	23,527,777	0.64	9.21	4.13×10^{-11}	1.26×10^{-7}	PRDM9
7	151,945,204	0.55	9.39	2.51×10^{-11}	8.48×10^{-8}	KMT2C
7	151,962,309	0.43	9.60	1.40×10^{-11}	6.55×10^{-8}	KMT2C
9	33,386,243	-0.81	-10.36	1.75×10^{-12}	1.77×10^{-8}	AQP7
9	33,798,543	0.67	10.47	1.28×10^{-12}	1.77×10^{-8}	PRSS3
12	53,865,349	0.63	9.07	6.21×10^{-11}	1.40×10^{-7}	PCBP2
12	111,885,367	0.64	9.31	3.09×10^{-11}	9.90×10^{-8}	SH2B3
13	20,247,238	-0.67	-10.47	1.28×10^{-12}	1.77×10^{-8}	MPHOSPH8
13	25,671,429	1.11	9.09	5.79×10^{-11}	1.36×10^{-7}	PABPC3
16	33,410,688	0.55	9.39	2.51×10^{-11}	8.48×10^{-8}	-
17	21,319,682	-0.67	-10.93	3.87×10^{-13}	1.77×10^{-8}	KCNJ12
17	44,850,996	1.11	9.09	5.79×10^{-11}	1.36×10^{-7}	WNT3
17	45,214,558	-0.67	-10.47	1.28×10^{-12}	1.77×10^{-8}	CDC27
19	3,586,698	0.63	9.05	6.51×10^{-11}	1.41×10^{-7}	GIPC3
19	9,012,789	0.63	9.10	5.66×10^{-11}	1.36×10^{-7}	MUC16
19	17,734,390	1.11	9.09	5.79×10^{-11}	1.36×10^{-7}	UNC13A
20	26,094,525	1.11	9.09	5.79×10^{-11}	1.36×10^{-7}	NCOR1P1
20	29,625,935	0.55	9.39	2.51×10^{-11}	8.48×10^{-8}	FRG1BP
21	11,058,227	0.55	9.39	2.51×10^{-11}	8.48×10^{-8}	BAGE5
21	11,058,229	0.55	9.39	2.51×10^{-11}	8.48×10^{-8}	BAGE5
Signature C						
1	120,539,687	-0.42	-9.04	6.59×10^{-11}	2.07×10^{-6}	NOTCH2

a. Human reference genome build GRCh37.

b. Regression coefficient.

c. Coefficient *t*-statistic.

d. Adjusted p-value was calculated by Benjamini & Hochberg (1995) step-up FDR control.

Signature C was a development associated mutational type

Among all three mutational types identified above, Signature A and B have been reported to associate with

age related process. However, such an age-related accumulation was not seen in our time point samples,

i.e., sperm and blood, perhaps because of their liquid feature. Sequencing of liquid samples are likely to

capture mutants in a large cell population with relatively high VAFs which may reflect their early

occurrence during embryo development. In contrast, a solid tissue is maintained or regenerated by limited

stem cells in a local region. Therefore, the late stage mutations mingled with early ones may be

distinguished in sequencing data by VAF. As expected, mutations in muscle biopsy samples had

significantly higher VAFs than those in blood and sperm samples (Fig 1C, $P < 2.2 \times 10^{-16}$). We then divided

muscle mutations into high VAF group, which was more likely generated during embryo development, and

low VAF group with the cutoff of VAF = 0.025. In high VAF group, a significantly high proportion of C>T

at GpCpC sites, the Signature C, was observed (0.185 vs 0.06, S9 Fig), suggesting the association of this

mutation type with the development.

Hypoxia contributed to the occurrence of Signature C

We extracted sequencing datasets from The Cancer Genome Atlas (TCGA, Methods), including 3,827

samples from 22 types of tumor (S10 Fig) [2, 11, 33]. For the somatic mutation profiles, only a small

proportion of TCGA tumor samples (4%, 153/3,827) exhibited high numbers of C>T transitions in the

GpCpC context, of which 58 were clear cell renal cell carcinoma (CCRCC, S4 Table). Notably, they were

distinguished from the rest of the 273 CCRCC samples in cluster analysis (Fig 4A) and their similarity to

various types of normal tissue indicated that the same mutational processes occurred in both CCRCC and

normal cells.

By comparing the expression profiles of high- (58 samples) and low-GpCpC groups (the remaining 237

samples) of CCRCC, we resolved 145 differentially expressed genes (p < 0.05, chi-squared test; Fig 4B,

Methods). The most significant change in the high-GpCpC group was the increased transcription of

PPP1R12A (protein phosphatase 1 regulatory subunit 12A), which activates hypoxia-inducible factor

(HIF)-1α [34] (adjust-p = 8.82×10^{-5}, Benjamini-Hochberg method, Fig 4C). Moreover, the Hippo pathway

was significantly enriched (p = 3.21×10^{-5}), which is associated with the transcriptional response to

hypoxia [35-37]. Additionally, a slightly higher mutation rate of VHL (0.5 vs 0.43), whose product is

involved in the ubiquitination and degradation of HIF proteins [38], was also observed in the high-GpCpC

group (S5 Table). Taken together, these results suggest that increased activity of the HIF signaling pathway

may contribute to the high proportion of C>T transitions at GpCpC in these CCRCC samples.

Fig. 4. High GpCpC mutations in parts of CCRCC. (A) Correlation matrix of normal (black) and tumor (blue) cells.

Among all tumors, a group of 58 CCRCC samples with high C>T transition at GpCpC were similar to normal cells regarding

the mutational patterns. (B) Differentially expressed genes of CCRCC with high (left) and low GpCpC (right) after

comparison with their adjacent normal tissues in TCGA. A total of 145 up- (red) and downregulated genes (yellow) were

identified between the two groups. (C) Among the significantly differentially expressed genes (p < 0.01) in the high-GpCpC

group, the top one *PPP1R12A* (p = 8.82×10^{-5}) activates *HIF1A* by inhibiting HIF1AN-dependent suppression [34].

To test the roles of the HIF signaling pathway, we treated the human embryonic stem cell (hESC) line

WA07 (WiCell Research Institute) with ML228, a direct activator of the HIF signaling pathway, through

stabilizing and activating the nuclear translocation of HIF-1α [39] (Methods, Fig 5A). In the first stage,

WA07 cells were divided into two groups with ~1,000 cells in each. One group was treated with ML228

(0.125 nmol/ml) for 15 days, and the other was treated with mock as a control. For the second stage, 10

cells were randomly picked up from each group and expanded to ~1,000 cells with or without ML228,

before harvesting for exome sequencing with barcoding in library construction (Methods). As expected, a

significantly high proportion of C>T transitions at GpCpN was observed in ML228-treated cells in

comparison with the level in the control (0.17 vs. 0.07, p = 0.0091, chi-squared test; Fig 5B–C). According

to their proportions, we divided all detected mutants into high- (VAF>0.05, mainly originating in the first

stage) and low-allele-fraction mutations (VAF≤0.05, mainly generated from the expansion process in the

second stage). For both types of mutation, higher accumulation of C>T transitions at GpCpN was observed

in treated cells than in the control (0.12 vs. 0.06 in the high allele fraction and 0.20 vs. 0.08 in the low

allele fraction; S11 Fig). These results demonstrate that activation of the HIF signaling pathway can lead to

C>T transitions at GpCpN.

Fig. 5. Activation of the HIF pathway by ML228 led to a high proportion of C>T transitions in the GpCpN context in

hESC cells. (A) Two-stage treatment of WA07 cells with ML228 followed by exome sequencing with molecular barcoding.

(B) A higher proportion of C>T transitions in the GpCpN context in ML228-treated cells. Note that the C>T transitions

constituted the most significant difference in the GpCpC context of the ML228 group (0.07 vs. 0.01). (C) The fluctuation of

96 mutation types upon two-stage ML228 treatment. Among the 40 increased (red) and 26 decreased (blue) mutation types,

C>T in the CpGpN context contributed to 14% of the total fluctuations, and half of this contribution was caused by the

accumulation of C>T at GpGpC.

Discussion

In this study, based on postzygotic mutation profiles in healthy individuals from five trio families, we

discovered a signature characterized by C>T transitions in GpCpN trinucleotides as a major mutation type

shared by blood and sperm cells. A solid evidence for this mutational pattern as a hallmark trait of normal

tissues came from our observations in public or collaborative datasets eligible for analysis that such a

signature was observed in all normal tissues but only very limited cancers. Furthermore, a portion of

CCRCC with higher expression of HIF related pathways were featured by this mutation type, which lead to

our speculation that the hypoxia status may trigger such mutations in healthy people. To prove this

proposal, we designed an in vitro experiment using human embryo stem cell and we indeed observed

accumulation of C>T transitions in GpCpN trinucleotides upon hypoxia induction.

Patterns of low VAF mutations in cell populations may be confounded by sequencing errors, and most

sequencing artifacts are due to DNA damage during extraction and acoustic shearing [40, 41]. We used

several strategies to assure the mutation authenticity. First, we largely reduced DNA damage before

sequencing by introduction of repair mix ($p < 0.05$, S12 Fig, see Methods). We also used an optimized

variant calling method to mask sequencing noise which allowed us to produce high-confidence calls of

postzygotic mutations with VAF around 0.005 (See postzygotic mutation detection in Methods). Another

challenge to identify postzygotic mutations is that under certain situations, it is difficult to distinguish

inherited variants from postzygotic mutations due to inaccurate allele fractions in NGS sequencing. The

theoretical values for allele fraction of inherited heterozygous variations should be 0.5, however measured

values usually range from 0.2 to 0.6 due to unequal sequencing coverage of both alleles [42]. Actually, in

our trio families, inherited mutations may have allele fractions even around 0.1 to 0.3 in the sequencing

data from siblings (S13 Fig). Therefore, in addition to the filtering algorithms [43], we applied trio-based

sequencing to preclude inherited mutations, and in this way common sequencing errors in multiple

individuals can also be removed at the mean time. Validation of called variants with multiple methods

ensured the confidence of our observation (S3 Table). Most importantly, in human embryo stem cells, we

successfully generated our newly identified mutational signature by introduction of mutagenesis (Fig 5),

which greatly supported the reliability of our findings.

To trace the mutagenesis process responsible for the signature, tumor samples in TCGA database provide

us an interesting clue that this signature specific to normal tissues can be only found in a portion of

CCRCC, known to be associated with activation of the HIF pathway [44]. Moreover, in recurrent

glioblastoma, featured by extremely hypoxic conditions in tumor microenvironment [45], a recent study

showed that C>T at GpCpC and GpCpT were enriched in their mutation spectrums (S2 Appendix and S14

Fig) [46]. Meanwhile, paired transcriptome analysis for the high-GpCpC group of CCRCC demonstrated

that their HIF pathway was more active than that in the low-GpCpC group (Fig 4). The induction of

GpCpC mutation by HIF signaling pathway was further validated in oligoclonal culture of hESCs. By

directly activation of HIF1-α in oligoclonal hESCs using ML228, a previous well established assay [39],

and a specially designed two-step cell culture experiments (Fig 5), we successfully observed the significant

accumulation of C>T at GpCpN in mutational profiles.

In particular, we observed the same signatures for mutations in the blood and sperm tissues, indicating

their common mutagenesis process. In fact, such C>T at GpCpC sites could also be seen in mutation

profile of normal blood samples from a newborn baby study [47] . Notably, our samples carried high

proportion of mutations in the range of 0.01~0.05. This range of mutation fractions infer their occurrence

within 20 cell divisions after fertilization. In view of HIF pathway being crucial in oxygen-sensing to

mediate tissue adaptation to hypoxia, and hypoxic condition as a critical feature during embryonic

development [48], we believe that the C>T transitions at GpCpN sites may occur during the embryonic

development under the hypoxia status [49, 50]. In addition to our experimental validation with human stem

cells, GpCpN mutations can also be observed in normal neurons, in which the accumulation of C>T at

GpCpN mutations were significantly higher than those caused by deamination of methylated cytosines in

NpCpG sites (Fig 3A, S2 Appendix, and S15 Fig, p=0.047, t-test) [26]. Since neuron cell division stops

after the neuroepithelial cells have differentiated into proper neurons; most mutations should occur during

cortical neurogenesis, which is complete around week 15 post-conception [51].

It is the two unique features in our sequencing strategy, the utilization of liquid samples sperm and blood,

and the bulk sequencing without further separation or cloning, that allowed us to be able to capture those

of relative common mutations in each tissue that occurred in the early stage of a cell lineage. In contrast,

previous studies on postzygotic mutations mainly focusing on cancer somatic mutations, organoid

mutations, or *de novo* mutations [1, 5, 52], most of which are private genomic changes in certain cell

lineages across all the life span. In these mutation spectra, therefore, early events only account for a small

proportion and possibly overwhelmed by all other mutations. This also explains why we observed the same

signatures for mutations in the blood and sperm tissues, indicating their common mutagenesis process most

likely during embryonic development.

Finally, integrating previously reported *de novo* mutations (S16 Fig), somatic mutations in CCRCC, and

our results, we illustrate a mutational signature and corresponding active mutational processes during

embryonic and post-parturition development, as well as in tumor development (Fig 6). Across the

individuals' lifespan, C>T transitions at NpCpG trinucleotides due to spontaneous deamination constantly

occur after fertilization. In embryo development, the hypoxic environment triggers the occurrence and

accumulation of C>T at GpCpN sites. After birth, T>C transition was generated in normal cells based on

de novo mutations. In CCRCC, all types of mutation process were present. All of the aforementioned

mutational processes can be observed in all tissue types. In future investigations, samples of multiple

normal tissues from one individual should help to validate the molecular mechanism of hypoxia condition

in mutation accumulation during embryonic development.

Fig. 6. Proposed mutational processes (bottom) over the lifespan (top left) and in cancer of CCRCC (top right). After

fertilization, the spontaneous deamination of methylated cytosine at NpCpG is the most common mutation type associated

with age. In the early stage of embryonic development characterized by hypoxia, C>T transitions commonly occur at

GpCpN sites. The enrichments of T>C transitions with unknown etiology are other mutational processes that occur during

development. Regarding CCRCC development, the hypoxia-induced mutation process causes C>T transitions at GpCpN

sites. Other mutational patterns in CCRCC include errors in mismatch repair and T>A transversions via unknown

mechanisms. The dashed lines represent a lack of supporting evidence in a given stage.

Materials and Methods

376   More detailed information is provided in S1 Appendix.

Samples and whole exome sequencing

Individuals F0061, M0070, M0072, M0074, and their parents were enrolled at Wenzhou Medical

University and samples from M0038 and his parents were collected at Beijing Institute of Genomics,

Chinese Academy of Sciences (CAS). The five samples were 5-33 years of age and included four males

and one female (S1 Table). All the details of whole exome sequencing analysis are summarized in S1

Appendix. This study was approved by the ethics committees of both Beijing Institute of Genomics, CAS

(NO. 2016H006) and the Eye Hospital of Wenzhou Medical University (NO. KYK [2015] 2), and it was

conducted in accordance with the principles of the Declaration of Helsinki principles. All the participants

were healthy and provided written informed consent.

Postzygotic mutation detection

Based on the error estimation model (detailed information is provided in S1 Appendix), postzygotic

mutations in normal cells were detected by following several steps. Sequencing reads were aligned to the

human reference genome build GRCh37 using the BWA algorithm [53] after the removal of adapter

segments and the exclusion of reads with low Q-scores (S1 Appendix). Uniquely mapped reads with less

than 3 mismatched bases were then processed using the error estimation model for all target regions, and

variants with $P^m > P^\varepsilon$ were selected out. Then, variants with more than 1% of reads supporting an

alternative allele in either of the parents were removed to filter the inherited variants. In addition, due to

the potential for misalignment, we only kept the variants included in the strict mask regions of the 1000

Genomes Project phase 1 [54].

Mutational signature analysis

Mutational signatures were analyzed based on the guidelines of the Wellcome Trust Sanger Institute [11,

27]. The percentages of the 96 possible mutated trinucleotides in each sample, which were identified

according to the six classes of base substitutions and 16 sequence contexts immediately 5' and 3' to the

mutated base, were firstly calculated. The contexts of all mutations were extracted from the human

reference genome build GRCh37. The mutational signatures in the selected samples were then estimated

using the nonnegative matrix factorization (NMF) learning strategy. An appropriate number of mutational

signatures was identified by calculating the reproducibility value and reconstruction error for all samples.

Each mutational signature was finally displayed with the proportions of the 96 trinucleotides, and its

contribution to each sample was estimated.

Cell culture and molecular barcoded whole exome sequencing

The WA07 (WiCell Research Institute) cells were divided into two groups with ~1,000 cells each and

maintained in the human pluripotent stem cell chemical-defined medium (hPSC-CDM^{TM}, Baishou

Biotechnology Co. LTD) according to the protocol. One group was treated with ML228 at 0.125 nmol/ml

and the other group was treated with mock as the control. Both two groups were cultured for 15 day and

cells received fresh medium with/without ML228 every other day. Then ~10 cells were randomly selected

from each group and cultured in the aforementioned medium with/without ML228 (0.125 nmol/ml),

respectively. Cells received fresh medium with/without ML228 every five days. Molecular barcoded whole

exome sequencing was performed on each group of cells after expanded to ~1,000 cells. Genomic DNA of

cultured expanded WA07 cells were extracted with a QIAamp DNA Mini Kit (Qiagen), per the

manufacturer's protocols. Partition barcoded libraries were then prepared based on the Chromium Exome

Solution (10X Genomics) and the exome target regions was enriched by SureSelect Human All Exon V5

Kit (Agilent) according to the protocols. The target-enriched libraries with molecular barcoding were

subsequently sequenced on a HiSeq 4000 (Illumina) with 150-bp paired-end reads.

Mutation detection in hESCs

The exome sequencing data with molecular barcodes of WA07 cells was analyzed with the Long Ranger

(10X Genomics). Then, the mutations which contained multiple molecular barcodes in the mismatched

reads were kept. And to reduce the false positive rate, we removed the mutations which contained both two

allele types in one molecular barcode in the site.

Availability of data and materials

All sequencing data generated during the current study are available in the Genome Sequence Archive

(http://gsa.big.ac.cn) with the accession number of CRA000071. Sources of the public tumor data used in

this study are provided in the S1 Appendix. The unpublished data for ESCC, chordoma and AML samples

is available upon request.

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Supporting Information

S1 Appendix. Supplementary Methods. The details of the methods in this study.

S2 Appendix. Supplementary Notes. The minor analysis and results in this study.

S1 Fig. Pipeline for postzygotic mutation detection.

S2 Fig. Shared mutations among individuals and in time point samples of M0038.

S3 Fig. The distribution of variant allele fraction in each individual.

S4 Fig. QQ plot of the mutation fractions between simulation and observed in healthy individuals.

S5 Fig. Mutation type distribution in each individual.

S6 Fig. Mutation numbers of NpCpG and GpCpN among individuals of different ages.

S7 Fig. Replication asymmetry of each mutation type.

S8 Fig. Transcription asymmetry of each mutation type.

S9 Fig. Somatic mutation patterns in muscle.

S10 Fig. Somatic mutation patterns in TCGA samples.

S11 Fig. WA07 cells cultured with ML228 showed high fraction of C>T transitions at GpCpN context.

S12 Fig. The amounts of detected somatic mutations before and after repair mix treated.

S13 Fig. The distribution of inherited variant fraction.

S14 Fig. The mutational spectrum of recurrent glioblastoma.

S15 Fig. The mutational spectrum of normal neurons.

S16 Fig. The mutational spectrum of de novo mutations.

S17 Fig. The distribution of priori trinucleotide-specific sequencing error rate.

S18 Fig. The evaluation of mutagenic DNA damage induced sequencing error.

S19 Fig. Sequencing validation by duplicate reads.

S20 Fig. Possible bias among sequencing methods.

S21 Fig. The signature of hypermutated glioblastoma samples.

S22 Fig. The mutational signature of recurrent glioblastoma excluding hypermutated samples.

S23 Fig. No significant difference on DNA methylation was observed in CCRCC with high or low GpCpC mutations.

S24 Fig. The VHL mutation hotspots in high or low GpCpC group in CCRCC.

S1 Table. Sequenced healthy individuals.

S2 Table. Shared mutations in time point samples of M0038.

S3 Table. Validation list of mutations in the study.

S4 Table. Shared mutations among individuals.

S5 Table. Proportion of samples with C>T at GpCpC site as the major type in various cancers.

S6 Table. Mutations of VHL in CCRCC samples.

S7 Table. Features of somatic mutations compared with inherited mutations.

S8 Table. Somatic and inherited mutations of M0038.

S9 Table. Somatic and inherited mutations of F0061.

S10 Table. Somatic and inherited mutations of M0070.

S11 Table. Somatic and inherited mutations of M0072.

S12 Table. Somatic and inherited mutations of M0074.

S13 Table. Population frequency of mutations found in dbSNP (healthy individuals).

S14 Table. Population frequency of mutations found in dbSNP (TCGA).

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A

ML228 (0.125 nmol/ml) → ML228 (0.125 nmol/ml)

~ 1, 000 cells → 10 cells → ~ 1, 000 cells → Whole exome sequencing

WA07

Cultured 15 days with treatment   Randomly selected ~10 cells   Cultured 10 days with treatment

Mock control → Mock control

~ 1, 000 cells → 10 cells → ~ 1, 000 cells → Whole exome sequencing

B

C>A   C>G   C>T   T>A   T>C   T>G

5' base: T G C A

WA07 (Control)

WA07 (ML228)

3' base: A C G T   A C G T   A C G T   A C G T   A C G T   A C G T

% of all mutations

6
4
2
0

C

C>A   C>G   C>T   T>A   T>C   T>G

5' base: T G C A

3' base: A C G T   A C G T   A C G T   A C G T   A C G T   A C G T

% of change

8
4
0
−4
−8