

# Structure of the human clamp loader bound to the sliding clamp: a further twist on AAA+ mechanism

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## SUMMARY

DNA replication requires the sliding clamp, a ring-shaped protein complex that encircles DNA, where it acts as an essential cofactor for DNA polymerases and other proteins. The sliding clamp needs to be actively opened and installed onto DNA by a clamp loader ATPase of the AAA+ family. The human clamp loader Replication Factor C (RFC) and sliding clamp PCNA are both essential and play critical roles in several diseases. Despite decades of study, no structure of human RFC has been resolved. Here, we report the structure of human RFC bound to PCNA by cryo-EM to an overall resolution of ~3.4 Å. The active sites of RFC are fully bound to ATP analogs, which is expected to induce opening of the sliding clamp. However, we observe PCNA in a closed conformation and the clamp loader ATPase modules form an overtwisted spiral that is incapable of binding DNA or hydrolyzing ATP. The autoinhibited conformation observed here has many similarities to a previous yeast RFC:PCNA crystal structure, suggesting that our results are broadly applicable across eukaryotes. We propose a ‘crab-claw’ mechanism in which PCNA opening is coupled to untwisting of RFC’s AAA+ spiral to allow subsequent DNA binding. The proposed change from an overtwisted to an active conformation reveals a novel regulatory mechanism for AAA+ ATPases. Finally, our structural analysis of disease mutations leads to a mechanistic explanation for the role of RFC in human health.

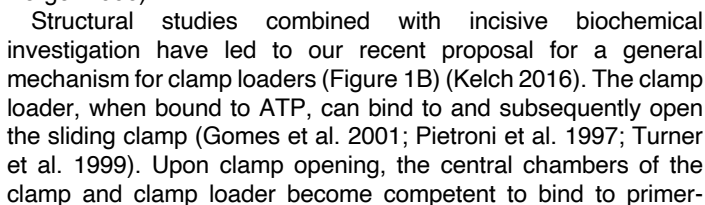
Keywords: sliding clamp, DNA replication, AAA+, ATPase, clamp loader

## INTRODUCTION

DNA replication in all cellular life requires sliding clamps, which are ring-shaped protein complexes that wrap around DNA to topologically link numerous factors to DNA. Sliding clamps are necessary for DNA synthesis by DNA replicases, because they increase polymerase processivity and speed by orders of magnitude (Fay et al. 1981; Huang et al. 1981; Langston and O’Donnell 2008; Maki and Kornberg 1988; Mondol et al. 2019; Stodola and Burgers 2016). Sliding clamps additionally bind and facilitate function of scores of other proteins involved in diverse DNA transactions, such as DNA repair, recombination, and chromatin structure (Moldovan et al. 2007). The sliding clamp of eukaryotes, Proliferating Cell Nuclear Antigen (PCNA), is a critical factor for human health. PCNA’s central role in controlling many cancer pathways makes it a common cancer marker (Wang 2014). Additionally, a recently described hypomorphic mutation in PCNA that disrupts partner binding causes the genetic disease PCNA-Associated DNA Repair Disorder (PARC) (Duffy et al. 2016; Baple et al. 2014).

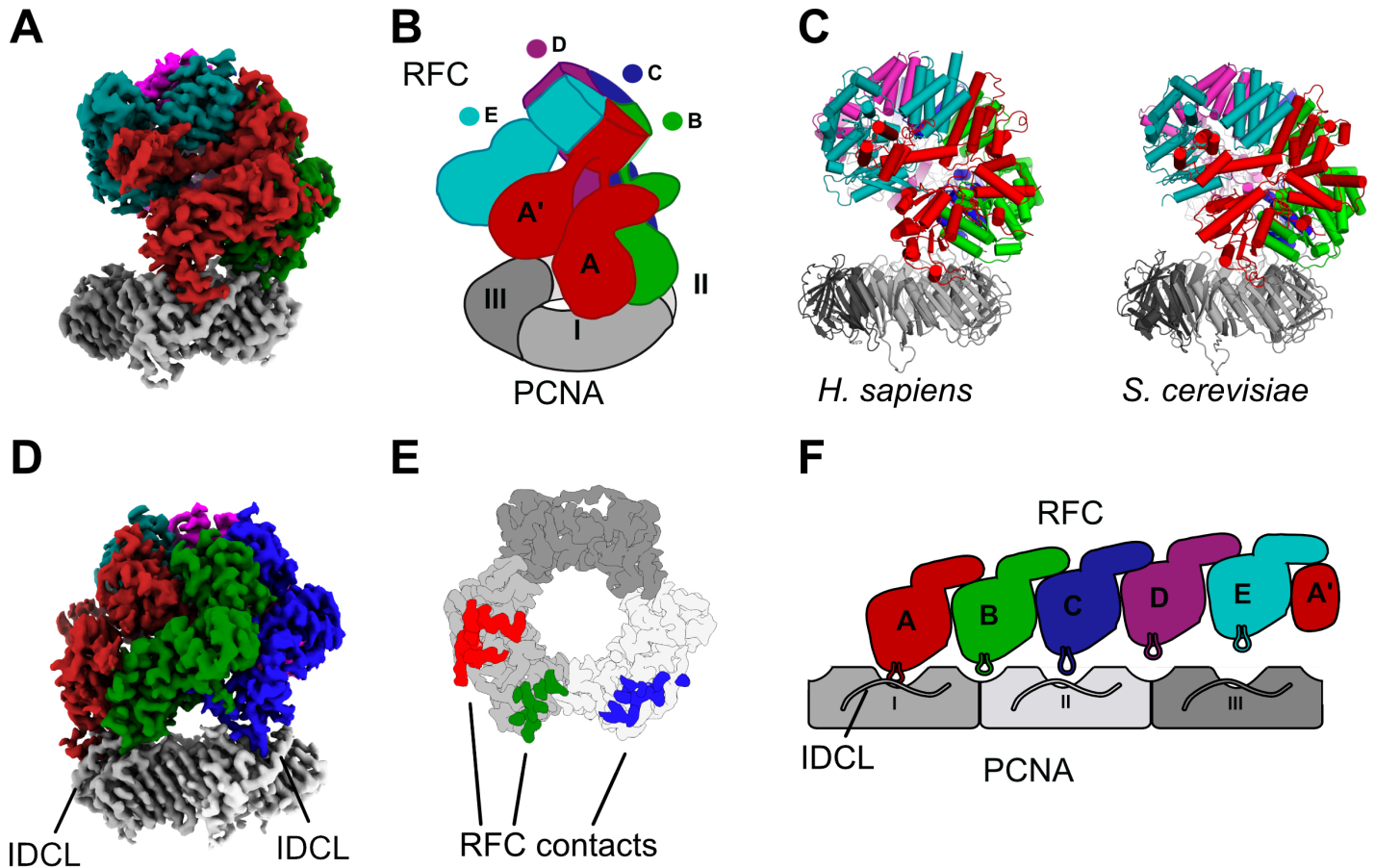
PCNA’s ring shape necessitates active loading onto DNA by another machine known as the sliding clamp loader. Clamp loaders are five-subunit ATPase machines that can open the sliding clamp, and close it around DNA. Similar to sliding clamps, clamp loaders are found in all life, although the composition varies across different kingdoms (Kelch et al. 2012). The primary clamp loader in eukaryotes consists of five independent proteins, RFC1 through RFC5. In humans, clamp loaders play a critical role in many diseases such as cancer (Bell et al. 2011; von Deimling et al. 1999; Li et al. 2018), Warsaw Breakage Syndrome (Abe et al. 2018), CANVAS disease (Cortese et al. 2019), and Hutchinson Gilford Progeria Syndrome (Tang et al. 2012).

Clamp loaders across all life appear to broadly share a similar mechanism of action. Clamp loaders are members of the AAA+ family of ATPases (ATPases Associated with various cellular Activities), a large protein family that uses the chemical energy of ATP to generate mechanical force (Erzberger and Berger 2006). Most AAA+ proteins form hexameric motors that use an undulating spiral staircase mechanism to processively translocate a substrate



Here we describe a cryo-EM reconstruction of human RFC (hRFC) bound to PCNA. The structure reveals that PCNA is closed, despite all active sites of hRFC being bound to ATP analogs. The spiral of AAA+ modules is constricted, which prevents opening of the clamp and blocks the DNA-binding region in the central chamber of the clamp loader. We propose that this represents an autoinhibited form of the clamp loader that occurs prior to clamp opening. Our work provides a framework for understanding the clamp loader's mechanism and function in human health.

We sought to obtain a structure of human RFC bound to PCNA by single particle reconstruction cryo-EM. We purified a hRFC construct with a truncation of the A subunit's N-terminal region (RFC1ΔN555, missing residues 1-555) that expresses in *E. coli* (Supplemental Figure 1 A-B; (Kadyrov et al. 2009)). Our purified preparation of hRFC exhibits the catalytic activity expected for a sliding clamp loader (Supplemental Figure 1C-D); the ATPase activity is highest in the presence of both the sliding clamp and primer-template DNA (Uhlmann et al. 1997; Hedglin et al. 2013). For the rest of the paper, we refer to this complex as hRFC.



**Figure 2. Architecture of hRFC bound to a closed PCNA ring.**

(A) The human hRFC:PCNA cryo-EM map is segmented and colored to show each subunit. (B) A cartoon presentation highlights that PCNA is closed. (C) Side view of the atomic model of hRFC:PCNA and the yeast RFC:PCNA crystal structure (PDB 1SXJ). (D) Back view of the hRFC:PCNA cryo-EM map showing the interaction sites of the B and C subunit with PCNA. (E) Top view on the interaction sites of the hRFC A, B and C subunits with PCNA. (F) Cartoon representation of the interaction sites of RFC and PCNA observed in this structure, with the PCNA and RFC AAA+ ATPase modules flattened onto the page. The A and C subunit contact the IDCL, whereas B is bound at the interface of PCNA subunits I and II. Subunits D and E do not contact PCNA.

In order to visualize how the clamp loader interacts with the sliding clamp, we formed a complex of hRFC with PCNA and the slowly hydrolyzing ATP analog ATPγS. Cross-linking was necessary to obtain a quality reconstruction. We briefly cross-linked the complex with bis(sulfosuccinimidyl)suberate (BS3) prior to freezing (Supplemental Figure 2A). BS3, which cross-links primary amines with a linker arm of ~11.4 Å, is used frequently to obtain high-resolution cryo-EM structures of labile complexes (Gerlach *et al.* 2018; Yoo *et al.* 2018). This treatment preserves the structure (Rozbeský *et al.* 2018) and mitigates issues with particle preferred orientation (Supplemental Figure 3B and Supplemental Figure 4A). Mass spectrometry reveals that most cross-links are intramolecular and ~55% of all crosslinks are in flexible loops that are not visible in the structure, with few cross-links connecting RFC to the clamp (Supplemental Figure 2B-C; Supplemental Table 1).

We determined the structure of hRFC:PCNA using single-particle cryo-EM. Representative images taken using a Titan Krios/K3 instrument are shown in Supplemental Figure 3A. We

determined the cryo-EM structure to 3.4 Å using 3D classification and contrast transfer function (CTF) refinement (Supplemental Figure 3D). We improved the resolution of PCNA using multibody refinement with two masks covering hRFC and PCNA separately. The resolution of the PCNA-containing mask improved slightly to ~3.3 Å using the gold-standard criterion (FSC = 0.143; Supplementary Figure 3D and Supplemental Figure 4B). The local resolution ranges from ~2.9-4.1 Å with the highest resolution around the inner chamber of hRFC subunits A, B, and C, and the lowest resolution in the peripheral loops of subunits D and E, the A' domain and parts of PCNA subunit III (Supplemental Figure 4D). The resulting reconstruction was of sufficient quality to build an atomic model of hRFC (Supplemental Figure 4C). The structure of the hRFC:PCNA complex was initially built into the map using the human PCNA structure (Gulbis *et al.* 1996) and a homology model generated using the yeast RFC structure as a template (Bowman, *et al.* 2004). The high quality of the map allowed us to unambiguously assign each of the five chains to the respective gene product: RFC1 is the A subunit, RFC2 is B, RFC5 is C, RFC4



is D, and RFC3 is E (Figure 1A). This assignment is confirmed by the cross-linking data (Supplemental Figure 2B-C; Supplemental Table 1). Most parts of the protein could be easily modeled into the map, and our final model refines to an overall model-to-map correlation coefficient of 0.85 with good stereochemistry (Supplemental Table 2).

### The hRFC:PCNA complex is closed

Our reconstruction reveals that hRFC is bound to a closed PCNA ring (Figure 2A-C). As expected, hRFC contains five subunits that form a spiral of AAA+ domains, topped by the disk of collar domains. The A subunit contains an extension that is termed the A' domain. PCNA is in a planar, undistorted conformation, and superimposes on the structure of isolated PCNA with no substantial changes to the global structure (Overall C $\alpha$  RMSD is 0.98 Å; Supplemental Figure 5A). The only significant changes in PCNA conformation occur where the ring is contacted by hRFC (Supplemental Figure 5A). The closed PCNA ring was unexpected because clamp loaders typically open the sliding clamp when bound to ATP or ATP analogs (Hingorani and O'Donnell 1998).

Only the A, B and C subunits of hRFC contact the sliding clamp; the D and E subunits are lifted off the PCNA surface (Figure 2D-F). The A and C subunits bind PCNA's inter-domain connecting loop (IDCL), the primary binding site for clamp loaders and other PCNA interaction partners (Moldovan *et al.* 2007). The interaction between the A subunit of hRFC (hRFC-A) and PCNA subunit I is the most extensive, burying ~2000 Å<sup>2</sup> of surface area, much of this through hydrophobic interactions mediated by hRFC-A. The A subunit interacts with PCNA using a classic PIP-box motif that is similar in sequence and structure to the p21 protein, a high-affinity PCNA partner (Gulbis *et al.* 1996) (Supplemental Figure 5A and Supplemental Figure 6). The PCNA subunit bound to hRFC-A adopts a conformation typical of high affinity binding (Supplemental Figure 5A). The interaction between hRFC-C and the IDCL of PCNA subunit II is less extensive (~1200 Å<sup>2</sup> of surface area buried) and does not induce the 'high-affinity' PCNA conformation (Supplemental Figure 5). Similar to hRFC-A, hRFC-C inserts an aromatic residue into the PIP-box binding cleft of PCNA to serve as the anchor (Supplemental Figure 5B). The RFC-B subunit binds in between PCNA subunits I and II (Figure 2D-F). The hRFC-B:PCNA interaction appears to be substantially weaker than the other two sites, with only ~900 Å<sup>2</sup> of buried surface area; this interface also lacks hydrophobic interactions and is primarily mediated by salt bridges. Therefore, the three contacts are not equal, with the hRFC-A interactions the strongest and the hRFC-B weakest.

### The AAA+ modules form an inactive, asymmetric spiral

Nucleotides are observed in all five subunits. As in other AAA+ machines, the ATP molecules bind at the interfaces between hRFC subunits. The map is most consistent with ATPγS bound in the A, B, C, and D subunits, while the E subunit contains ADP (Figure 3A). The density in the D subunit's active site is consistent with the nucleotide carrying a γ-phosphorothioate, but a mixture of ATPγS and ADP cannot be ruled out. The density in the nucleotide binding cleft of the E subunit is consistent with ADP, with no density at the γ-phosphate position. The E subunit is inactive due to loss of several catalytic residues, so the presence of ADP cannot be due to phosphorothioate hydrolysis in the E site, but

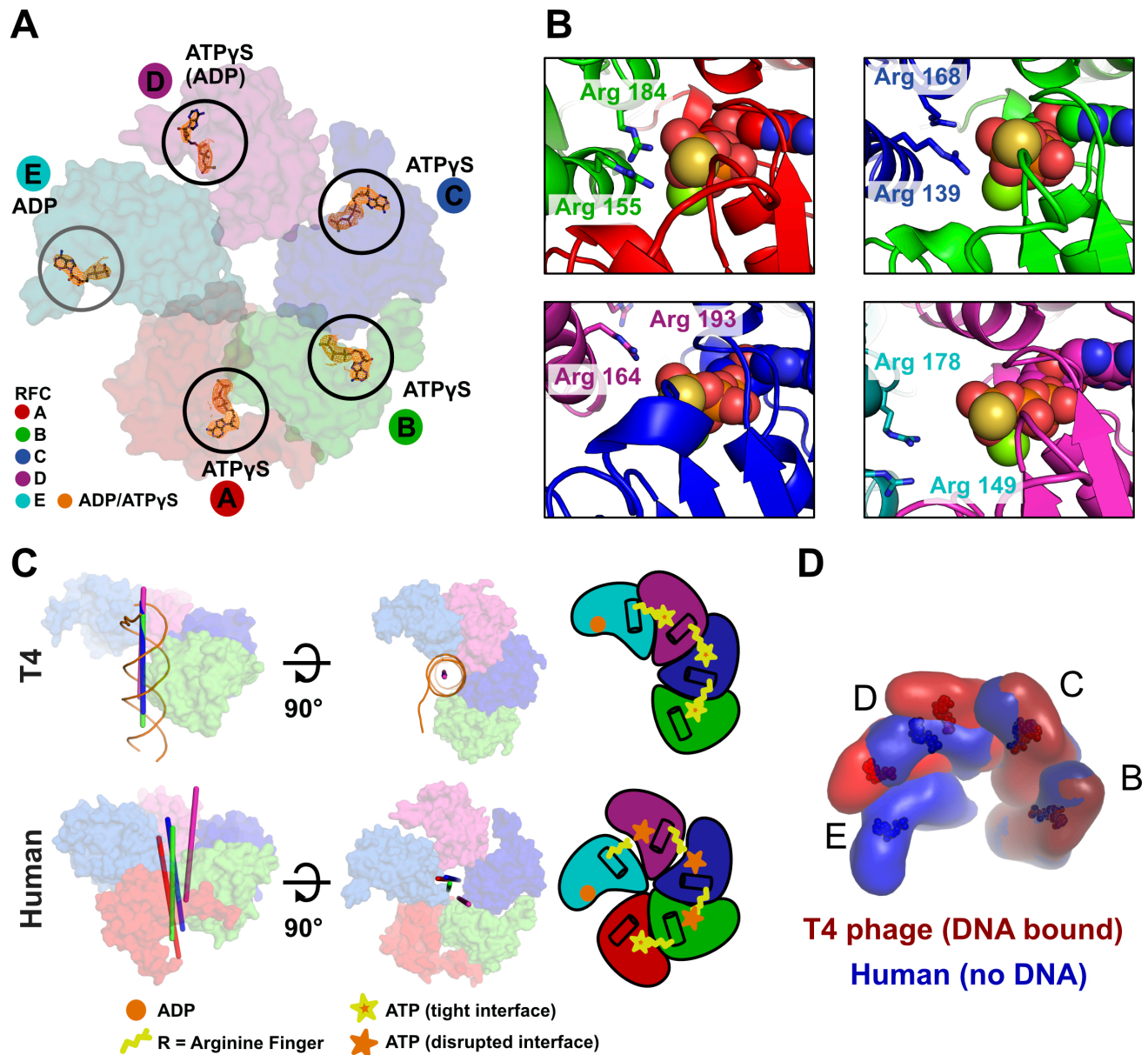
instead is likely from the ~10% contamination of ADP in typical preparations of ATPγS. The yeast and T4 phage clamp loaders also retain ADP in the inactive E subunit (Bowman *et al.* 2004; Kelch *et al.* 2011). Therefore, we hypothesize that ADP binding is a conserved function of the E subunit, and likely plays a structural role to stabilize this subunit. Related AAA+ machines such as the Origin Recognition Complex are thought to use nucleotide binding in a similar structural role (Bleichert 2019).

Not all the interfaces are positioned in an active conformation for ATP hydrolysis. The interfaces for the B, C, and D active sites are disrupted, such that the *trans*-acting arginine fingers are not in a productive conformation (Figure 3B). Clamp loaders have two conserved arginine residues that appear to act *in trans*, although most studies have focused on the classical arginine finger in the SRC motif (Johnson *et al.* 2006). The B-C interface is tighter than the C-D and D-E interfaces but is still too expanded for contact by the arginine fingers to γ-phosphorothioate (~4 Å and 11 Å for the SRC motif arginine). The C-D and D-E interfaces are more open because the D subunit is swung out, which disrupts two active sites at the C and D subunits. Both *trans*-acting arginine finger residues are very far from the γ-phosphorothioate groups of ATPγS (~7 Å and ~17 Å for Arg164 and Arg193 of hRFC-D; ~9 Å and ~8 Å for Arg149 and Arg178 of hRFC-E) (Figure 3B). The interface between the A and B subunits is tightest and similar to that found in active conformations found in other clamp loaders (Kelch *et al.* 2011; Simonetta *et al.* 2009) (Figure 3A-B). However, this active site is unnecessary for clamp loading in all loaders tested (Schmidt *et al.* 2001; Sakato *et al.* 2012; Seybert and Wigley 2004), so the functional relevance of this conformation is unclear. Therefore, all ATPase sites required for clamp loading activity are in an inactive conformation.

The hRFC AAA+ spiral is unsymmetric and overtwisted, sterically hindering DNA binding (Figure 4A). The axes of rotation relating adjacent AAA+ domains are not coincident with each other, indicating that the spiral in hRFC lacks helical symmetry (Figure 3C). The hRFC spiral observed here has a reduced helical radius, although the pitch is similar to that of the DNA bound spirals. In contrast, the structures of T4 and *E. coli* clamp loaders bound to DNA show a symmetric spiral of AAA+ domains around DNA (Figure 3C and Supplemental Figure 7) (Simonetta *et al.* 2009; Kelch *et al.* 2011). The D and E subunits of hRFC are particularly overtwisted such that they fill the DNA binding region (Figure 3D). The A, B and C subunits do not significantly clash with DNA modeled into the central chamber. However, the intramolecular interaction between the A' domain and the A subunit AAA+ module blocks the entrance to the central chamber of hRFC. The interactions between the two domains are rather weak with little buried surface area (~250 Å<sup>2</sup>) and poorly resolved interactions. Therefore, we find it unlikely that the A'-A interface is the main driving force for overtwisting the AAA+ spiral. The overtwisted state of the AAA+ spiral that we observe for hRFC has important ramifications for how hRFC opens PCNA and binds DNA (see Discussion).

### Conserved conformation between human and yeast RFC

The arrangement of the AAA+ domains is very similar to that observed in the crystal structure of yeast RFC bound to PCNA (Figure 2C and Supplemental Figure 7A-B) (Bowman *et al.* 2004). Both structures contain a closed PCNA ring contacted by only the



**Figure 3. Architecture of the AAA-ATP domains and nucleotide binding.**

**(A)** Top view on the AAA+ domains of hRFC. All active sites (A, B, C, & D subunits) contain ATPγS. ADP is bound in the catalytically dead E subunit. The nucleotide density at each site is shown in orange mesh. **(B)** Zoom views of all four active sites, with the *trans*-acting arginine fingers are shown as sticks, and ATPγS and Mg<sup>2+</sup> shown in spheres. The arginine fingers are distant in the B, C and D ATPase sites, rendering them inactive. **(C)** Diagrams show the side view, top view (middle) and a cartoon version of the Rossman fold domains of DNA-bound T4 phage clamp loader (PDB 3U60, top panel), and hRFC. The rotation axes that relate the A to B, the B to C, the C to D, and the D to E subunits are shown in red, green, blue, and magenta, respectively. The rotation axes of T4 in the presence of DNA are nearly coincident with each other and the central axis of DNA; in contrast, the axes of hRFC are severely skewed. **(D)** The AAA+ spiral of hRFC is overtweisted relative to the active, DNA-bound form. Each domain is shown as a smoothened surface.

A, B, and C RFC subunits, with similar buried surface between the two proteins (4600 vs. 5200 Å<sup>2</sup> buried surface area for human and yeast RFC:PCNA complexes respectively). The AAA+ spiral of hRFC and yeast RFC adopt a similar conformation, with only a small difference in conformations of the D subunit and a ~6 Å shift in the relative positions of the ATPγS in the active site

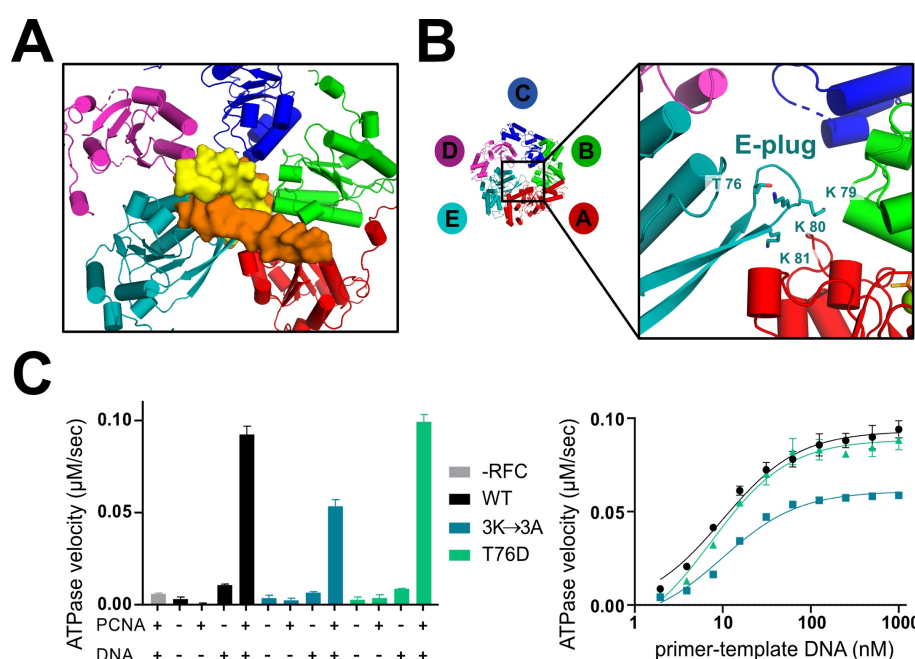
(Supplemental Figure 7B). The close similarity of the two structures indicates that this conformation is conserved in RFC across the ~1 billion years since the *S. cerevisiae* and *H. sapiens* lineages diverged (Douzery et al. 2004). This result is notable, because the overtweisted AAA+ spiral in the yeast RFC structure had been hypothesized to be a result of the arginine finger

mutations used for crystallization; these mutations were thought to disrupt the AAA+ interfaces, leading to a much tighter spiral than would occur in the wild-type protein (Kelch 2016; Sakato *et al.* 2012).

### The E-plug region fills the DNA binding chamber

We observe a  $\beta$ -hairpin region of the hRFC E subunit that was previously unmodeled in the yeast RFC crystal structure. This  $\beta$ -hairpin extends the  $\beta$ -sheet in the Rossman fold domain of the AAA+ module, forming a mixed parallel/antiparallel  $\beta$ -sheet that slots into the DNA binding region (Figure 4A-B). To our knowledge, this type of topology is not found in other AAA+ proteins. We call this  $\beta$ -hairpin the ‘E-plug’ because it completely blocks the DNA binding region. The presence of an E-plug is conserved in RFC-E subunits throughout eukaryotes (Supplemental Figure 8A). The E-plug has conserved lysine residues at the tip of the  $\beta$ -hairpin (lysine 79-81, Figure 4B, Supplemental Figure 8A), suggesting that the E-plug may interact with the DNA backbone. Moreover, there are two known phosphorylation sites within the E-plug: Thr75 and Thr76, both are near or at the tip of the hairpin. Phosphorylation of Thr76 is thought to be important for hRFC action because this phosphosite has a notably high ‘Functional Score’, a quantitative prediction of the importance of a phosphosite, based on aggregating nearly 60 different features (Ochoa *et al.* 2019). Therefore, the E-plug appears to be important for clamp loader function and may act as a site of clamp loader regulation.

We hypothesized that the E-plug binds DNA. Because the E-plug tip is conserved to retain positive charge, we hypothesized that these residues are important for binding DNA. Furthermore, we hypothesized that phosphorylation of Thr76 would inhibit DNA binding. To test these hypotheses, we constructed two hRFC variants: one in which the three lysines at the E-plug tip were simultaneously mutated to alanine (K79A, K80A, K81A or the 3K $\rightarrow$ 3A mutant), and the phosphomimetic mutant Thr76Asp (T76D). To determine if the mutations perturb clamp loader function, we measured the PCNA- and DNA-dependency on ATPase activity for each of these variant hRFC complexes. We find that hRFC-3K $\rightarrow$ 3A exhibits ~2-fold lower maximal ATPase activity than wild-type hRFC (Figure 4C). In contrast, the ATPase activity of hRFC-T76D is nearly the same as WT-hRFC. We then measured ATPase activity as a function of DNA concentration, which we use to estimate DNA binding affinity (Figure 4C and Supplemental Figure 8B). The DNA dependence of ATPase activity reveals that both variants bind DNA with equivalent affinity as WT-hRFC. These results suggest that the E-plug plays a role in ATPase activation, but is not critical for DNA binding affinity.



**Figure 4. The E-plug blocks the DNA binding chamber.**

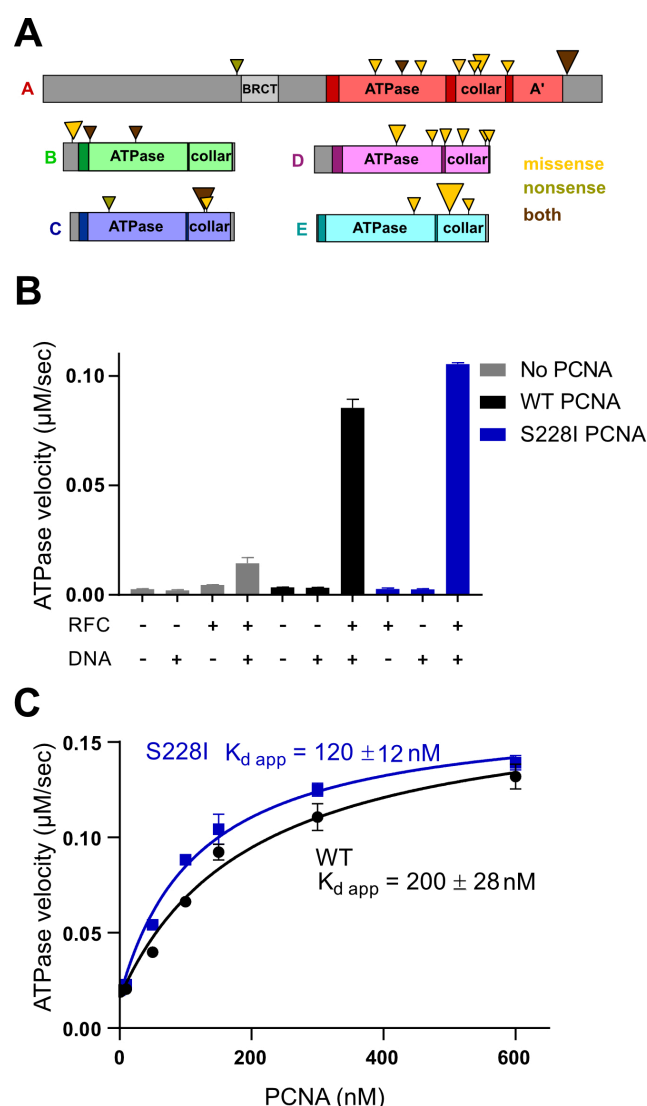
(A) The hRFC AAA+ spiral (top view) is incompatible with DNA binding, primarily through clashes with the D and E subunits. DNA is superposed from the structure of related T4 phage clamp loader structure bound to DNA (PDB 3U60). (B) The ‘E-plug’ is a  $\beta$ -hairpin that extends deep into the DNA binding chamber. The tip of the E-plug contains conserved basic residues. (C) Effect of ‘E-plug’ mutations on ATPase activity (left) and DNA binding (right). The 3K  $\rightarrow$  3A mutant displays reduced ATPase activity, but binds DNA with similar affinity than WT-hRFC.

### Investigating disease mutations in hRFC and PCNA

To investigate how RFC mutations commonly found in cancer could affect loading of PCNA, we mapped somatic cell cancer mutations onto the hRFC:PCNA structure. We used the Catalogue of Somatic Mutations in Cancer (COSMIC) database, focusing on the most common mutation sites (analyzed sites have missense and nonsense mutations from three or more patient isolates; Supplementary Table 3).

Cancer mutations are significantly more prevalent in the collar domain of the clamp loader than in other regions such as the AAA+ spiral or the sliding clamp ( $P_{\text{value}} = 0.006$ ) (Figure 5A and Supplemental Figure 9). Of the most common 24 mutations in RFC or PCNA, 42% are in the collar region, even though collar domains account for only ~18% of the total length of the combined clamp loader subunits. In contrast, the AAA+ spiral region (AAA+ modules plus the A’ domain) harbors 42% of the mutations, but it accounts for 58% of the total clamp loader length. We also note a slight preference for mutations to occur in the D subunit over other RFC subunits, although this trend is not statistically significant ( $P=0.1$ ). The D subunit (RFC4) has a cancer mutation hit rate twice the average of all other RFC subunits (0.017 vs. 0.008 mutations per residue, for the D subunit vs. all other subunits). Therefore, we find that the collar region and, to a lesser extent, the D subunit tend to be hotspots for cancer mutations. Additionally, we also note that PCNA and the N-terminal and C-terminal regions of the A-subunit have a particularly low cancer mutation hit rates. There are no mutation sites in PCNA with a hit-count of three or higher, and only a handful of residues with a hit-count of two.





**Figure 5. hRFC in disease.**

**(A)** Cancer mutations mapped onto primary sequence of human RFC complex. Each triangle's size is scaled by the number of hits appearing at that site. Triangles are also color-coded according to the type of mutations observed. Greyed regions are not visible in the cryo-EM structure. **(B)** hRFC ATPase activity dependence on WT-PCNA and S228I-PCNA. S228I-PCNA has a minor effect on the ATPase hydrolysis rate of hRFC. **(C)** Rate of hRFC ATP hydrolysis as a function of WT-PCNA and S228I-PCNA concentration. S228I-PCNA has a mild enhancement in hRFC affinity.

Our structure also sheds light on a rare genetic disease PCNA Associated DNA Repair Disorder (PARD), which is caused by a hypomorphic mutation in PCNA that converts serine 228 to isoleucine (Baple *et al.* 2014). We had previously shown that the S228I mutation deforms the IDCL of PCNA, which weakens the affinity of some partners (Duffy *et al.* 2016). The conformation of PCNA that we observe here appears to be disfavored by the disease mutation S228I (Supplemental Figure 5B). To test if the S228I mutation disrupts its interaction with hRFC, we measured hRFC ATPase activity as a function of PCNA concentration (Figure

5 B-C). The data are well fit to a simple hyperbolic equation, which gives an estimate of the affinity for PCNA (Figure 5C). We find that the S228I-PCNA mutation has no effect on maximal activity, ( $V_{\text{max,WT}} = 0.16 \pm 0.01 \mu\text{M/sec}$ ,  $V_{\text{max,S228I}} = 0.15 \pm 0.01 \mu\text{M/sec}$ ) and only a mild stimulatory effect on affinity (apparent  $K_d$  of  $200 \pm 28 \text{ nM}$  and  $120 \pm 12 \text{ nM}$  for WT and S228I, respectively). Our results suggest that the disease mutation causes no substantial defect on PCNA loading.

## DISCUSSION

### Implications for clamp loading

The conformation of the hRFC:PCNA complex that we have captured here is very similar to the crystal structure of a mutated form of the yeast RFC:PCNA complex (Bowman *et al.* 2004). This similarity is surprising, as it had been presumed that the 'overtwisted' state of the yeast clamp loader spiral was due to the mutation of the arginine finger residues used for crystallization (Kelch 2016; Sakato *et al.* 2012). The structural similarity observed here using a functional version of the hRFC complex suggests that this state is actually well-populated in solution. If so, what intermediate in clamp loading does it represent?

We propose that the conformation observed here represents the first encounter complex between RFC and PCNA. In both structures, all four of the ATPase sites are occupied by ATPyS, indicating that no ATP hydrolysis is necessary for this state to accumulate. Because ATP hydrolysis occurs at the end of clamp loading (Kelch *et al.* 2012), this indicates that our structure represents a state at the beginning of the clamp loading cycle, before clamp opening. The Hingorani group has proposed that yeast RFC first binds to PCNA without ring opening (Liu *et al.* 2017); we propose that the conformation captured here by cryo-EM is that first encounter complex (Figure 6).

However, it is surprising that we did not observe a significant number of particles with PCNA in the open form, as FRET experiments indicate that PCNA is rapidly opened after initial binding to RFC (Zhou and Hingorani 2012; Trakselis *et al.* 2001; Perumal *et al.* 2019). We envisage two explanations for this observation. First, it is possible that the buffer conditions used for cryo-EM bias the equilibrium to favor the closed conformation. Alternatively, the open PCNA complex may interact with the air-water interface due to the exposure of hydrophobic residues when the clamp is opened, which may result in aggregation or denaturation (D'Imprima *et al.* 2019; Noble *et al.* 2018). In favor of this latter hypothesis, our cross-linking experiments indicate a significant fraction of the complex is in the open state in solution. We observe strong cross-linking between Lys144 of the E subunit with Lys254 of PCNA, an interaction that can only occur once PCNA is opened (Kelch *et al.* 2011). Therefore, we hypothesize that the open PCNA complex is significantly populated in solution, but these open species are currently not suitable for high resolution structure determination. Further experiments will test this hypothesis.

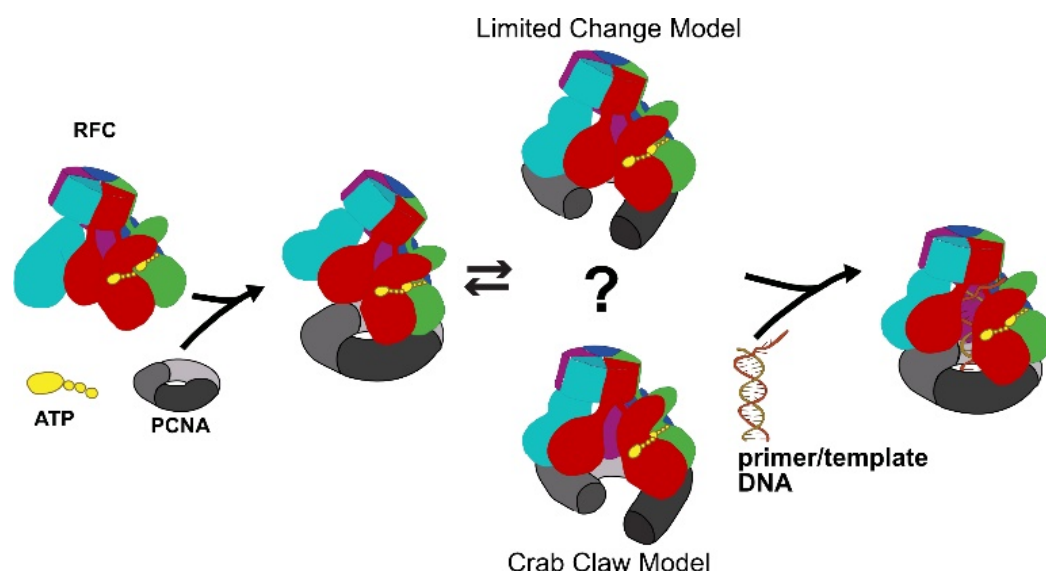
Our structure indicates that hRFC can adopt an autoinhibited conformation. The AAA+ spiral is distorted and overtwisted, which disrupts the interfacial contacts necessary for ATPase activity and blocks the inner DNA-binding chamber. Therefore, this state can neither bind DNA nor hydrolyze ATP. This autoinhibited conformation may limit wasteful hydrolysis of ATP by maintaining

this inactive conformation as the ground state. Only upon binding to both clamp and DNA will place the clamp loader subunits into an active ATPase conformation. Furthermore, the auto-inhibited state may allow for regulation of clamp loader activity through post-translational modification or binding of accessory factors.

Based on our structure, we hypothesize that the clamp loader undergoes a conformational change upon clamp opening that opens the inner chamber to allow DNA binding. Typically, the single-stranded region of template DNA extrudes from this gate between the A' domain and the AAA+ domain (Kelch *et al.* 2011) (Simonetta *et al.* 2009). This interface is closed in our structure, indicating that we still need to visualize a state competent to bind DNA. Because the DNA binding region must become accessible for DNA binding at some point after clamp opening, the clamp loader's conformational change is likely coupled to ring opening. This would suggest that the clamp loader opens PCNA in crab-claw fashion (Figure 6). However, the lack of a structure of RFC bound to open PCNA prevents a direct test of this hypothesis.

We identified the E-plug as a novel structural element of the RFC-E subunit that blocks the DNA binding chamber. Based on sequence analysis, the E-plug appears to be conserved in all known RFC-E subunits, yet we know of no analogous feature in other AAA+ proteins. The precise role of the E-plug is still mysterious. Although it appears to prevent DNA binding in the conformation observed here, the residues at the tip of the E-plug are conserved as positively charged, perhaps hinting at interactions with DNA (Supplemental Figure 8A and Figure 4B). However, our binding data for E-plug variants suggest that these residues are not important for DNA binding affinity (Figure 4C). However, the 3K→3A variant shows reduced DNA-dependent ATPase activity (Figure 4C and Supplemental Figure 8B), suggesting that the E-plug plays a role in sensing the presence of DNA for ATPase activation.

The overtwist in the AAA+ spiral is primarily driven by the D subunit. More than any other subunit, the hRFC-D subunit substantially deviates from an active symmetric conformation (Figure 3). This is recapitulated in the crystal structure of the yeast RFC arginine finger variant (Bowman *et al.* 2004), indicating that the D subunit's unusual positioning is conserved. The placement of the D subunit's AAA+ module disrupts two different interfaces: C-D and D-E. Therefore, we propose that the D subunit plays a key role in mediating autoinhibition. In support of this hypothesis, kinetic studies indicate that the C and D subunit's ATPase activity dictates clamp loader function (Sakato *et al.* 2012). The entire



**Figure 6. Model for PCNA opening.**

The collar domain is shown at the center of RFC, with the AAA+ ATPase modules extending outward and bound to PCNA (grey). In the limited change model, PCNA is opened without RFC undergoing a large conformational change. Alternatively, in the crab-claw model, RFC undergoes a large conformational change concurrent with clamp opening to open up the DNA binding chamber.

clamp loader can be kept in an auto-inhibited state by inactivating the ATPase sites that control clamp loader activity. Moreover, by isolating the D subunit, it becomes a simple trigger for activation of ATPase activity. Taken together, the D subunit's role is particularly 'pivotal' for RFC function: the D subunit is an essential component of the RFC machine, and it acts as a pivot point for AAA+ motion.

How does the autoinhibited state we see with RFC compare with those of other AAA+ machines? The revolution in cryo-EM has led to the recent proposal that many AAA+ machines are processive motors that function using an undulating spiral staircase mechanism (Puchades *et al.* 2017; Puchades *et al.* 2020; Gates *et al.* 2017). However, our work here and elsewhere indicates that clamp loaders appear to use a different mechanism (Kelch *et al.* 2011; Kelch 2016). The inactive state reported here and separately for the yeast clamp loader are very distinct from the active conformations observed when bound to DNA (Bowman *et al.* 2004; Simonetta *et al.* 2009; Kelch *et al.* 2011). Therefore, the helical radius of the AAA+ spiral must expand to accept DNA, indicating that the primary mechanism of clamp loader activation is controlled by the shape of the AAA+ spiral. Other AAA+ switches such as the Origin Recognition Complex show auto-inhibition mechanisms wherein the spiral is disrupted, although the disruption is not due to overtwisting (Bleichert 2019). In contrast, in the 'spiral staircase' view of processive AAA+ motors, the helical radius is fairly uniform during function (Puchades *et al.* 2020). The overtwist of the AAA+ spiral may be due to the fact that RFC is pentameric and not hexameric. The lack of the sixth subunit may afford the space for the helical radius to significantly change, particularly in the absence of DNA. This regulatory mechanism may not be readily available for hexameric AAA+ motors because of the steric block of the sixth subunit. Therefore, we propose that the pentameric clamp loaders function in a very distinct manner



from hexameric AAA+ machines, which opens the door for distinct modes of regulation.

### Implications for cancer and the rare diseases PARD and HGPS

We identified cancer mutation hotspot regions, with a strong preference for mutations occurring in the collar region (Figure 5A and Supplemental Figure 9). Why is the collar a hot spot for mutation? The collar is absolutely critical for clamp loader assembly, so it stands to reason that many of the cancer mutations disrupt proper oligomerization of hRFC. We propose that improperly assembled clamp loaders are particularly deleterious to the cell. An incomplete hRFC could possibly have dominant negative effects by inducing premature unloading, as has been seen *in vitro* for the bacterial clamp loader (Leu *et al.* 2000). Additionally, disruption of the oligomerization interfaces may alter the relative populations of hRFC and the three alternative clamp loaders, which are important guardians of genome integrity (Majka and Burgers 2004). We also identified a weak preference for cancer mutations to accumulate in the D subunit. The D subunit is also most often found to be amplified in various cancers (Li *et al.* 2018). As noted in the section above, the D subunit is particularly important for overtwisting the AAA+ spiral into the auto-inhibited conformation. Perhaps this specialized role of the D subunit makes it more likely to accumulate mutations that drive cancer.

Our work has also revealed insights into PARD, a rare disease caused by a hypomorphic mutation of PCNA. PARD patients are homozygous for the S228I variant of PCNA, which causes defects in DNA repair but not DNA replication (Baple *et al.* 2014; Wilson *et al.* 2017). PCNA loading by RFC is critical for both replication and repair (Moldovan *et al.* 2007), so we investigated if the S228I-PCNA variant perturbs the clamp loading reaction. We observe that the S228I mutation has a negligible effect on hRFC ATPase activity (Figure 5B), indicating that PCNA's role as an allosteric activator is unperturbed. We also do not observe the expected reduction in hRFC binding affinity, but instead a mild enhancement in binding (Figure 5C). Our results indicate that loading of S228I-PCNA is unlikely to be a driver of PARD disease.

Our results yield further insight into how S228I-PCNA interacts with its partners. S228I-PCNA has low affinity for many partners, although the molecular determinants for why certain partners such as hRFC maintain tight binding remains unknown (Duffy *et al.* 2016; Wilson *et al.* 2017; Baple *et al.* 2014). RFC-A binds PCNA using a PIP-box motif that most closely resembles that of the p21 protein (Supplemental Figure 6), a PCNA partner that also maintains tight binding to S228I-PCNA (Duffy *et al.* 2016). The classical PIP-box motif is QxxΨxxθθ, where Ψ is an aliphatic hydrophobic residue (L, M, I, V), θ is aromatic (most often Y or F), and x can be any amino acid. Of special note, both p21 and hRFC-A have a tyrosine residue at the second conserved aromatic position of the PIP-box (Tyr151 and Tyr703 in p21 and hRFC-A, respectively; Supplemental Figure 6), unlike the vast majority of partners that have phenylalanine at this position (Kroger and Bruning 2015). We hypothesize that the hydroxyl group of Tyr703-RFC1 reorients the IDCL of S228I-PCNA into the tight binding configuration, as Tyr151 does for p21 (Duffy *et al.* 2016). In support of this hypothesis, the presence of tyrosine at the second aromatic position of the PIP-box can increase affinity (Kroger and Bruning 2015).

Our structure also provides insight into Hutchinson-Gilford Progeria Syndrome (HGPS) because the hRFC construct that we used here is similar to the HGPS variant (Tang *et al.* 2012). Both the HGPS disease variant and the construct used in this study remove the N-terminal region of the A subunit RFC1, including the BRCT domain. The RFC A subunit (RFC1) is proteolytically truncated to a ~75 kDa C-terminal fragment in HGPS, removing approximately the first 500 residues, near the N-terminal end of a linker connecting the BRCT domain to the AAA+ module (Tang *et al.* 2012). The construct we used for structure determination is also truncated within this predicted linker region, at residue 555. The HGPS variant is thought to be a less effective clamp loader, because chromatin-bound PCNA levels drop as the levels of truncated clamp loader increase (Tang *et al.* 2012). Perhaps the N-terminal region assists in the clamp loading process. If so, this could explain why we failed to observe hRFC bound to an open PCNA; if this region is important for holding PCNA open, then the construct we used for structure determination may diminish the population of open hRFC:PCNA complexes. Although the RFC1 ΔN555 construct can load PCNA onto DNA (Uhlmann *et al.* 1997; Hedglin *et al.* 2013; Podust *et al.* 1998), it is unknown if the truncation affects clamp loading efficiency *in vivo*. The RFC1 N-terminal region has been hypothesized to assist in localizing RFC to DNA non-specifically (Podust *et al.* 1998), again suggesting that there could be a DNA binding defect in the HGPS variant. Future work will examine the potential linkage of the N-terminal region with clamp loader function and human disease.

## MATERIALS AND METHODS

### Protein expression and purification:

p36-p37-p38-p40-pET-Duet-1 was modified using site-directed mutagenesis to clone the E-plug mutants (Rfc3-3KtoA and Rfc3-T76D) (Liu and Naismith 2008). hRFC was overexpressed and purified following the protocol of (Kadyrov *et al.* 2009; Perumal *et al.* 2019) with minor modifications. p36-p37-p38-p40-pET-Duet-1 and pCDF-1b-RFC140 plasmids were co-transformed into commercial BL21(DE3) *E. coli* cells (Millipore). After preculture, transformants were grown in 6 liters of prewarmed terrific broth medium supplemented with 50 µg/mL streptomycin and 100 µg/mL ampicillin at 37 °C and induced with IPTG at an optical density of 0.8. Protein expression was continued at 18 °C for 15 hours.

Cells were harvested by centrifugation at 7,277 g for 20 min, resuspended in 200 mL of 20 mM Hepes-KOH, pH 7.4 with 200 mM NaCl and pelleted by centrifugation at 4,000 g for 20 min. The pellet was resuspended in 15 mL lysis buffer per one cell optical density. The lysis buffer contained 20 mM HEPES KOH, pH 7.4, 180 mM NaCl, 2 mM EDTA, 5% glycerol (w/v), 0.01% NP-40 (v/v), 2 mM DTT, and Roche protease inhibitor mix. Cells were lysed using a cell disruptor, pelleted and the supernatant was filtered.

After filtration, the supernatant was applied to a 25 mL HiTrap SP HP column (GE Healthcare). The column was washed with three column volumes of SP column buffer (25 mM HEPES KOH, pH 7.4, 1180 mM NaCl, 0.1 mM EDTA, 5% glycerol (w/v), 0.01% NP-40 (v/v), 2 mM DTT) and the column was developed with a 7 column volumes linear NaCl gradient (200–1,000 mM). Fractions containing all RFC subunits were diluted with 5% glycerol (w/v), 0.01% NP-40 (v/v), 50 mM KPO<sub>4</sub> buffer, pH 7.5 to a salt

concentration of 100 mM NaCl and loaded onto a 5 mL Bio-Scale TM Mini CHT Type II column (Bio-Rad) equilibrated with CHT column buffer (5% glycerol (w/v), 0.01% NP-40 (v/v), 50 mM KPO<sub>4</sub> buffer, pH 7.5, 100 mM NaCl). After a 2.5 column volume (CV) wash, the protein was eluted with a stepwise gradient of 140 mM (3 CV), 185 mM (2.5 CV) 230 mM (2.5 CV), 275 mM (2.5 CV), 350 mM (2.5 CV), and 500 mM (2.5 CV) KPO<sub>4</sub> buffer, pH 7.5. Peak fractions of hRFC were pooled, buffer exchanged and concentrated with an Amicon device to 15-20 mg/ml into a buffer containing 25 mM HEPES-KOH, pH 7.4, 15% glycerol (w/v), 0.01% NP-40, 300mM NaCl, 2 mM DTT for storage. The protein was further purified by gel filtration with a Superose 6 10/300 GL column (GE Healthcare). hPCNA was expressed and purified as described in (Duffy *et al.* 2016).

### ATPase enzymatic Assays

hRFC was incubated at room temperature with a master mix (3U/mL Pyruvate kinase, 3 U/mL Lactate dehydrogenase, 1 mM ATP 670  $\mu$ M Phosphoenol pyruvate, 170  $\mu$ M NADH, 50 mM Tris (pH 7.5), 500  $\mu$ M TCEP, 5 mM MgCl<sub>2</sub>, and 200 mM Potassium glutamate) with 1  $\mu$ M PCNA and varying concentrations of annealed oligonucleotides. The annealed DNA has a 10-base 5' overhanging end. The template strand sequence was 5'-TTTTTTTTTTTATGTACTCGTAGTGTCTGC-3' and the primer strand sequence 5'-GCAGACACTACGAGTACATA-3' with a recessed 3'-end. ATPase activity of hRFC was measured in a 96-well format with a Perkin-Elmer Victor3 1420 multichannel counter using an excitation filter centered at 355 nm, with a bandpass of 400 nm to detect NADH oxidized to NAD<sup>+</sup>.

Initial rates were obtained from a linear fit of the initial slopes. Rates were plotted as a function of primer-template DNA concentration and the data was fit using a hyperbolic equation:

$$v = V_{\max} \cdot [\text{DNA}] / (K_{d,\text{app}} + [\text{DNA}]) + z$$

where  $V_{\max}$  is the maximum enzyme velocity  $K_{d,\text{app}}$  is the substrate concentration needed to get a half-maximum enzyme velocity, and  $z$  is the velocity with no DNA (GraphPad Prism).

### Crosslinking and Mass Spectrometry

hRFC was crosslinked with the amine-reactive reagent Bis(sulfosuccinimidyl)suberate (BS3, Thermo Scientific Pierce). For crosslinking, hRFC and hPCNA were mixed in a ratio of 1/1.3 respectively and buffer exchanged using an Amicon Ultra-0.5 mL centrifugal concentrator (Millipore) into buffer containing 1 mM TCEP, 200 mM NaCl, 50 mM, Hepes-NaOH, pH 7.5, and 4 mM MgCl<sub>2</sub>. The protein was diluted to 3  $\mu$ M and after the addition of 1 mM ATPyS and a wait time of 3 min, 1 mM of BS3 was added for crosslinking. The sample was incubated for 15 min at room temperature. The crosslinking reaction was then neutralized with Tris-HCl and the sample was stored on ice until grid preparation. For mass spectrometry, the sample was snap frozen in liquid nitrogen and shipped on dry ice.

The purified complex was reduced, alkylated, and loaded onto an SDS-PAGE gel to enrich for the crosslinked complex by size. The gel >150kDa was excised, destained, and subjected to proteolytic digestion with trypsin. The resulting peptides were extracted and desalted as previously described (Peled *et al.* 2018) and an aliquot of the peptides was analyzed with LC-MS coupled to a ThermoFisher Scientific Q Exactive Mass Spectrometer operated in data dependent mode selecting only precursors of 3.

The data was searched against the UniProt human database, using Byonic and XlinkX within Proteome Discoverer 2.3.

### Electron Microscopy:

#### Negative-Staining EM

Purified hRFC was diluted to a concentration of 100 nM and applied on carbon-coated 400-mesh grids. Excess sample was blotted from the grid surface, the grids were washed twice with 50 mM Hepes pH 7.5 and finally stained with 1% uranyl acetate. Data were then collected on a 120kV Philips CM-120 microscope fitted with a Gatan Orius SC1000 detector.

#### Cryo-EM sample preparation

Quantifoil R 2/2 (first dataset) and quantifoil R 0.6/1 (second dataset, Electron Microscopy Sciences) grids were washed with ethyl acetate and glow discharged using a Pelco easiGlow (Pelco) for 60s at 25 mA (negative polarity) prior to sample application. 3  $\mu$ L of hRFC was applied to a grid at 10 °C and 95% humidity in a Vitrobot Mark IV (FEI). Samples were then blotted at a blotting force of 5 for 5s after a wait time of 2s and vitrified by plunging into liquid ethane.

#### Cryo-EM data collection

Grids of hRFC were imaged on a Titan Krios operated at 300 kV. Images were collected on a K3 Summit detector in super-resolution counting mode at a magnification of 81000  $\times$ , with a pixel size of 0.53 Å. The data was collected in two sessions using the multi-hole/multi-shot strategy with SerialEM (Mastronarde 2003) and beam-image shift. During the first session, 3695 micrographs were collected with a target defocus range of -1.2 to -2.3 and using image shift to record three images per hole over four holes with a total dose of 40.3 e-/Å<sup>2</sup> per micrograph. During the second session, 7840 micrographs were collected with a target defocus range of -1.2 to -2.6 and using image shift to record one image over four holes with a total dose of 45.0 e-/Å<sup>2</sup> per micrograph.

#### Data Processing

The Align Frames module in IMOD (Kremer *et al.* 1996) was used to align micrograph frames with 2x binning, resulting in a pixel size of 1.06 Å/pixel. For particle picking and 2D classification, dataset two was split into two batches. The micrographs from the first dataset and the two micrograph batches from the second dataset were first processed independently. Initial CTF estimation and particle picking was performed using the cisTEM suite (Rohou and Grigorieff 2015; Grant *et al.* 2018). Particles were picked with a characteristic radius of 50 Å and a maximum radius of 100 Å. Particles were then extracted with a largest dimension of 170 Å and a box size of 240 pixels and subjected to 2D classification into 50 classes. Particles from classes with well defined features were extracted for processing in Relion. To increase the number of particles and less well defined side views, the rest of the dataset was subjected to one more round of classification, and more particles that resembled hRFC:PCNA complexes were extracted. For all further processing steps, all particle stacks were combined yielding 2,933,726 coordinates for putative particles (Supplementary Figure 3). The coordinates and the combined micrographs were imported into Relion 3.0.2 (Zivanov *et al.* 2018)

and CTF parameters were re-estimated with Gctf1.06 (Zhang 2016). Particles were binned to a box size of 120 pixels and 2.12 Å/pixel for the first round of 3D classification. As reference for 3D classification, a refined 3D reconstruction of hRFC bound to PCNA from a preliminary dataset collected on a 200 kV Talos Arctica equipped with a K3 Summit detector was used and down-filtered to 50 Å (Supplemental Figure 3C).

The binned particles were classified into three classes. The 561,558 particles contributing to the best 3D class with well-defined structural features of hRFC and PCNA were extracted without binning. A final round of 3D classification with local angular search helped to obtain a more homogeneous particle stack. These particles were refined to a resolution of 3.5 Å, however some regions (in particular PCNA and the A' domain) were not well resolved and thus problematic for atomic modeling. We next performed CTF refinement and re-refined the particle stack, which yielded a structure with a reported resolution of 3.4 Å. In this reconstruction however, areas especially within PCNA appeared to suffer from flexibility and misalignment. Suspecting that this was due to motions of PCNA relative to hRFC, we next performed multi-body refinement (Nakane *et al.* 2018). The best result was obtained with a mask that included the three PCNA-bound and ATPase domains of subunits A-C as well as PCNA (Mask A). Mask B included the collar domain of subunits A-C, as well as complete subunits D and E. After multibody refinement, the density for PCNA and some loops in the A' domain improved. The reported resolutions for Mask A and Mask B are ~3.3 Å and ~3.4 Å, respectively, by FSC gold standard 0.143 criterion (and are ~3.7 Å and ~3.8 Å by the FSC 0.5 criterion). The reconstructions of the two Masks were individually sharpened with Relion postprocess and a composite map was generated with the “vop max” function in UCSF Chimera. The composite map was used for atomic model building and refinement. Local resolution estimation using the built-in Relion LocalRes function indicates that loops that locate to the periphery of the complex have lowest resolution and central regions are resolved to better than the FSC-reported resolutions.

### Model Building

A homology model of the hRFC:PCNA was generated with the structure of yeast RFC (PDB ID: 1SXJ) using Swiss Model (Waterhouse *et al.* 2018) (Sequence identity between yeast and human subunits: A subunit 24%, B subunit 47%, C subunit 47%, D subunit 53%, E subunit 42%). For PCNA, the human PCNA structure (Gulbis *et al.* 1996) was used for rigid body fitting. The different subunits were split into individual globular domains that were fit as rigid bodies into the density using UCSF Chimera (Pettersen *et al.* 2004). The fitted model was carefully adjusted in Coot, and subsequently refined in Phenix with simulated annealing using the real-space refinement tool with rotamer, Ramachandran and secondary structure restraints (Emsley and Cowtan 2004; Liebschner *et al.* 2019). The refined model was re-examined, re-adjusted in Coot and subjected to further rounds of refinement using the same settings as listed above, but without simulated annealing. UCSF Chimera and Pymol were used for figure generation (Pettersen *et al.* 2004; DeLano and Others 2002).

### Author Contributions and Notes

C.G., X.L., and B.A.K. designed experiments & analyzed data. C.G. optimized cryo-EM sample preparation, collected and

processed data for the preliminary Talos reconstruction. C.G. and X.L. performed further cryo-EM sample preparations, data collection, and data processing. C.G., X.L., J.M., J.L., M.H. expressed and purified constructs and performed biochemical experiments. C.G., X.L., N.P.S., and B.A.K. built and refined the atomic model. C.G., X.L., and B.A.K. wrote the paper. The authors declare no conflict of interest.

This article contains supporting information online.

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## **SUPPLEMENTARY**

**Supplemental Table 1: List of BS3 Crosslinks**

**Supplemental Table 2: Structure Determination and Refinement**

**Supplemental Table 3: Somatic cancer mutations found in hRFC**