

1 **Modality-specific dysfunctional neural processing of social-abstract and non-social-**
2 **concrete information in schizophrenia**

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23 **Abstract**

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25 Schizophrenia is characterized by marked communication dysfunctions encompassing
26 potential impairments in the processing of social-abstract and non-social-concrete
27 information, especially in everyday situations where multiple modalities are present in the
28 form of speech and gesture. To date, the neurobiological basis of these deficits remains
29 elusive. In a functional magnetic resonance imaging (fMRI) study, 17 patients with
30 schizophrenia or schizoaffective disorder, and 18 matched controls watched videos of an
31 actor speaking, gesturing (unimodal), and both speaking and gesturing (bimodal) about
32 social or non-social events in a naturalistic way. Participants were asked to judge whether
33 each video contains person-related (social) or object-related (non-social) information. When
34 processing social-abstract content, patients showed reduced activation in the medial
35 prefrontal cortex (mPFC) only in the gesture but not in the speech condition. For non-social-
36 concrete content, remarkably, reduced neural activation for patients in the left postcentral
37 gyrus and the right insula was observed only in the speech condition. Moreover, in the
38 bimodal conditions, patients displayed improved task performance and comparable
39 activation to controls in both social and non-social content. To conclude, patients with
40 schizophrenia displayed modality-specific aberrant neural processing of social and non-
41 social information, which is not present for the bimodal conditions. This finding provides
42 novel insights into dysfunctional multimodal communication in schizophrenia, and may have
43 potential therapeutic implications.

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46 **Key words:** social, multimodal processing, mPFC, gesture, speech, schizophrenia

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50 **1. Introduction**

51 In everyday social communication, patients with schizophrenia encounter a diverse
52 spectrum of inputs from multiple modalities (Holler & Levinson, 2019). These include non-
53 linguistic stimuli from others' facial expressions (Gur et al., 2002; Vuilleumier et al., 2001),
54 body movements, e.g., postures and gestures (He et al., 2020; Nagels et al., 2015b;
55 Straube et al., 2013a), and linguistic stimuli in the form of auditory speech and written texts
56 (Brown & Kuperberg, 2015). Importantly, the multimodal inputs comprise both social-
57 abstract and non-social-concrete information, and patients' social functioning heavily
58 depends on the processing of these stimuli, which serves as the basis to further mentalize
59 social intentions and to perform appropriate social interaction (Amodio & Frith, 2006). To
60 date, however, it remains elusive if the processing of these social/non-social information in
61 schizophrenia is impaired in schizophrenia in a multimodal context.

62 Social cognition is known to be impaired in schizophrenia (Green et al., 2015).
63 Regarding the perception of social stimuli, in particular, prior research on patients' social
64 perception dysfunction has focused on emotional perception of faces and voices (Anticevic
65 et al., 2012; Witteman et al., 2012), whereas limited studies have investigated the
66 perception of social-abstract information delivered via linguistic and gesture stimuli. In
67 healthy participants, a seminal fMRI (functional magnetic resonance imaging) study has
68 identified distinct brain regions for processing socially and non-socially relevant linguistic
69 information (Mitchell et al., 2002): When participants were asked to judge whether visual
70 word pairs are person- or object-related, person-related social stimuli activated the medial
71 prefrontal cortex (mPFC), a crucial region forming the mentalizing network (Amodio & Frith,

72 2006; Frith & Frith, 2006; Saxe & Powell, 2006; Van Overwalle, 2009). On the other hand,
73 when processing linguistic stimuli about non-social-concrete content (e.g., words and
74 sentences about objects), healthy participants activate regions including a left-lateralized
75 network including the left the bilateral insula and the left parietal lobe (Binder et al., 2005;
76 Chao et al., 1999).

77 Notably, in everyday life, both types of information are also commonly conveyed via
78 non-linguistic channels such as manual gestures. For instance, even without speech,
79 individuals can use a “be silent” emblematic gesture to deliver social information, and a
80 “hammering” pantomime to describe a concrete object or action. Moreover, it is common to
81 use both gesture and speech together: To ask someone else to stop, one can use a “stop”
82 gesture (e.g., a front-facing, raised hand) together with its verbal counterpart. Emerging
83 basic research has investigated if the processing of social-abstract and non-social-concrete
84 information is modality-independent: for healthy populations, social-abstract information
85 delivered by both auditory speech and manual gesture commonly activates the mPFC and
86 the (IFG) and middle temporal gyri (Straube et al., 2010; Straube et al., 2013b)—regions
87 that are typically activated for social cognition and abstract semantics (Binder et al., 2009;
88 Van Overwalle, 2009). For processing non-social action and object information, literature
89 also suggests that humans recruit modality-independent regions, such as the lateral
90 occipitotemporal cortex (LOTc) and the pre/postcentral gyri (Barsalou, 2008; Pulvermüller
91 et al., 2005; Wurm & Caramazza, 2019).

92 The multimodal characteristics of social/non-social information processing, as well as
93 its supramodal neural basis, may have profound implications in schizophrenia research.

94 Schizophrenia is characterized by well-known deficits in the comprehension and production
95 of speech (Bleuler, 1950; Kircher et al., 2002; Kuperberg et al., 2008), as well as
96 impairments in the perception and production of gesture (Matthews et al., 2013; Millman et
97 al., 2014; Mittal et al., 2006; Nagels et al., 2018; Straube et al., 2014; Straube et al., 2013a;
98 Thakkar et al., 2014; Walther et al., 2015; Walther et al., 2013). Both language and gesture
99 dysfunctions in schizophrenia are indicative of negative and positive symptoms of
100 schizophrenia (Park et al., 2008; Walther et al., 2019), and are functionally highly relevant
101 (Friedman et al., 2012; Walther et al., 2016). Yet, regarding the processing of social/non-
102 social information, it remains unknown if both processes are impaired in schizophrenia
103 irrespective of modality, or if the impairments are modality-specific. For the processing of
104 social-abstract information, both linguistic (visual and auditory) and gesture (social
105 emblems) have not been investigated in schizophrenia so far. However, studies employing
106 non-semantic hand actions have shown that patients might be impaired for gesture
107 perception in general (Thakkar et al., 2014; Walther et al., 2015). For the processing of
108 concrete and non-social information, a previous study shows that patients are impaired in
109 visually presented sentences (Kuperberg et al., 2008). Moreover, given potential
110 dysfunctional processing of social or non-social information in schizophrenia, it remains
111 unclear if these deficits could be potentially compensated by multimodal inputs containing
112 both speech and gesture: Emerging literature suggests mutual facilitation between speech
113 and gesture, at least for healthy populations (Cuevas et al., 2019; Drijvers et al., 2018; He
114 et al., 2018b; Kraemer & Swerts, 2007; Wang & Chu, 2013).

115 To address these remaining research questions, we conducted the current study,

116 presenting to patients with schizophrenia and matched controls with videos of an actor
117 communicating in a spontaneous and naturalistic manner. In these videos, the actor
118 performs either social-abstract (person-related) or non-social (object-related) content in
119 different modalities, where social and non-social information is perceivable in gesture- and
120 speech-only modalities. Similar to approaches from previous research (Mitchell et al., 2002;
121 Straube et al., 2013b), we directly compared social vs. non-social videos to identify neural
122 perception of social and non-social information in both auditory-speech and visual-gesture
123 modalities. Additionally, we showed to participants videos with bimodal inputs (actor both
124 speaking and gesturing). Based on previous research, we hypothesized activation of the
125 mPFC and a left frontal-temporal network (e.g., inferior frontal gyrus, middle temporal
126 gyrus) for the processing of social-abstract information (Mitchell et al., 2002). For non-
127 social-concrete information processing, we hypothesized left-lateralized regions including
128 the lateral occipitotemporal cortex (LOTc), the superior temporal gyrus/sulcus (STG/STS),
129 as well as pre/postcentral gyri forming the putative mirror neuron system (Järveläinen et al.,
130 2004; Johnson-Frey, 2004; Johnson-Frey et al., 2003; Lingnau & Downing, 2015). We
131 focused directly on group differences between a group of patients suffering from
132 schizophrenia or schizoaffective disorder, and their age- and education-matched controls:
133 for social content, as patients are well-known for their social cognition impairments, we
134 expected patients to show reduced activation in the mPFC, irrespective of encoding
135 modality (Frith, 2004); For non-social content, despite mixed findings from previous
136 neuroimaging research on hand action observation on schizophrenia (Horan et al., 2014;
137 Thakkar et al., 2014), following previous report on dysfunctional processing of non-social

138 linguistic stimuli in schizophrenia (Kuperberg et al., 2008), we hypothesized neural
139 modulation of the object-related regions for patients with schizophrenia for both gesture and
140 speech modalities. Additionally, based on prior basic research on mutual facilitation
141 between gesture and speech (Cuevas et al., 2019; see Holler & Levinson, 2019 for review),
142 we hypothesized that the bimodal input could compensate for potential unimodal
143 processing deficits, leading to improved performance in the patient group.
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146 **2. Methods**

147 *2.1 Participants*

148 We summarized participants' demographic and clinical characteristics in **Table 1**.
149 Healthy controls were recruited matching age and education to patients (Sassenhagen &
150 Alday, 2016). Seventeen patients were recruited at the Department of Psychiatry and
151 Psychotherapy at the Philipps University of Marburg, and were diagnosed according to ICD-
152 10 with schizophrenia (F20.0, n=13, and F20.3, n=1) or schizoaffective disorder (F25.0,
153 n=2, and F25.3, n=1). Participants in both groups are native speakers of German, and have
154 no knowledge of Russian language. All except one of the patients received antipsychotic
155 treatment; six were additionally treated with antidepressive medication. Positive and
156 negative symptoms were assessed with the Scale for the Assessment of Positive
157 Symptoms (SAPS) (Andreasen, 1984), and the Scale for the Assessment of Negative
158 Symptoms (SANS) (Andreasen, 1981). Eighteen age- and education-matched healthy
159 participants with no history of any mental disorders were recruited from the same area.
160 Exclusion criteria for both groups were brain injury and neurological or other medical
161 diseases affected by brain physiology. In both groups, we conducted neuropsychological
162 tests to assess working memory function, digit span, trail making (TMT), verbal IQ (MWT-B)
163 (Lehrl, 1999), and metaphoric language processing (concretism, evaluated with the Proverb
164 Interpretation Task) (Barth & Küfferle, 2001). These measures are reported in **Table 1**. We
165 report, additionally, scores from the subscales of SAPS and SANS (Andreasen, 1981,
166 1984), word fluency test, as well as gesture production and perception (BAG, Brief
167 Assessment of Gesture (Nagels et al., 2015a)) in the supplement (**Table S1**). All

168 participants had normal or corrected-to-normal vision and hearing. Except for one control
169 and one patient, all other participants are right-handed (Oldfield, 1971). All participants gave
170 written informed consent prior to participation in the experiment and were compensated
171 monetarily. The study was approved by the ethics committee of the School of Medicine,
172 Philipps University Marburg.

173

Table 1. Demographic, medication, symptom, and neuropsychological measures.

	Patients (n=17)	Controls (n=18)
Age (years)	33.12 (12.35)	31.94 (10.21)
Gender male/female	13/4	13/5
Education (years)	11.82 (1.77)	12.72 (1.36)
TMT A (seconds)	31.49 (10.73)	26.17 (9.89)
TMT B (seconds)	68.56 (37.8)	52.93 (19.58)
Digit Span forward	7.94 (1.75)	8.05 (2.43)
Digit Span backward	6.35 (1.93)	6.61 (2.50)
Verbal IQ	28.8 (5.25)	28.5 (3.79)
*Concretism	1.38 (0.45)	1.14 (0.19)
SAPS (global)	15 (6.89)	
SANS (global)	9 (6.02)	
CPZ Equivalent	562.52 (372.63)	

Values are presented as mean (SD). TMT: trail making test; CPZ: chlorpromazine. We reported only significant difference between controls and patients ($p < 0.05$, two-tailed t-test) with asterisks.

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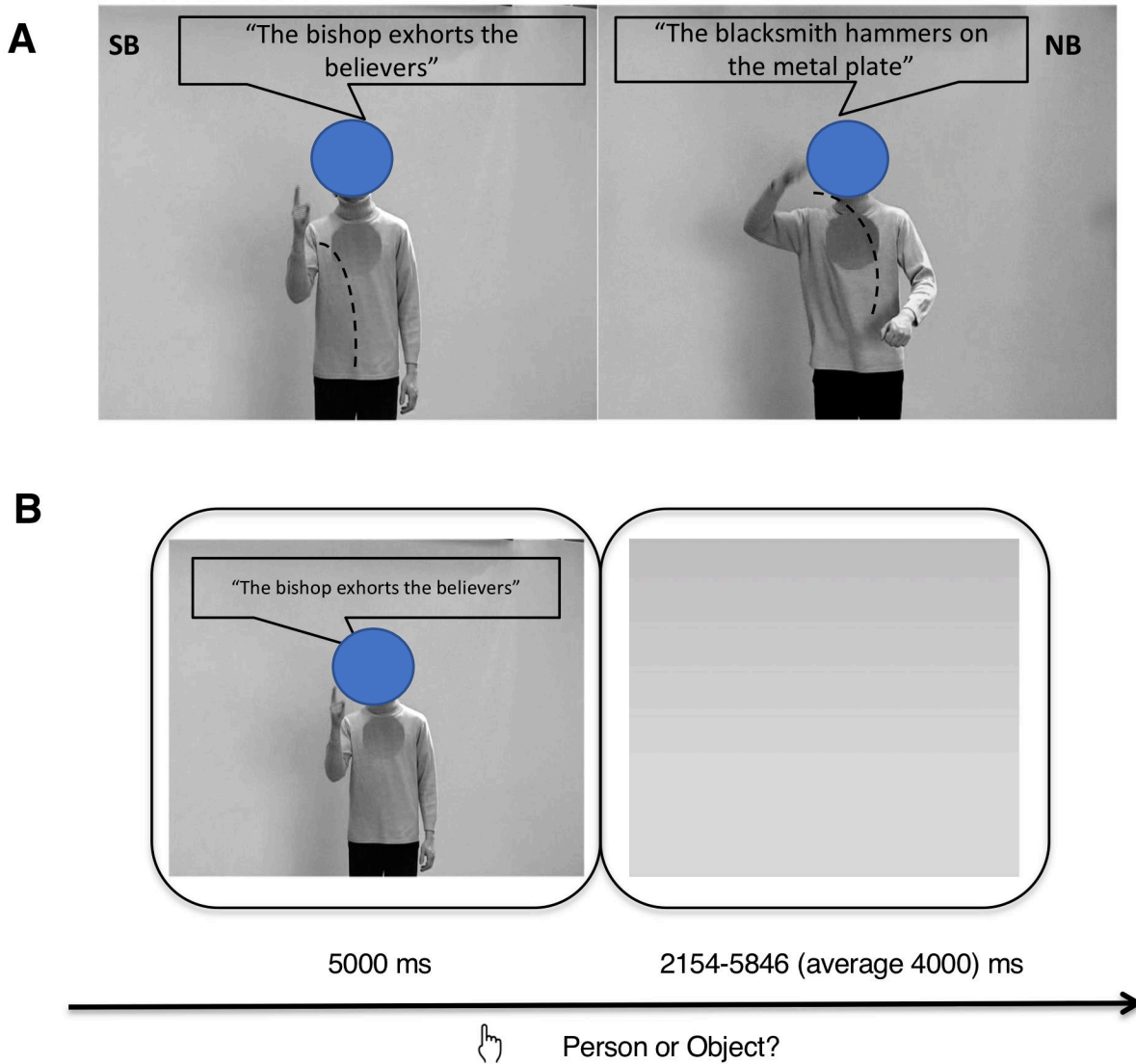
175 *2.2 Materials and procedure*

176 We employed a content judgement paradigm from previous studies from our research
177 group to investigate modality-specific processing of social/non-social information (He et al.,
178 2015; Straube et al., 2013b; Straube et al., 2018). Of note, the same fMRI dataset has been
179 published with an unrelated research question (Wroblewski et al., 2020). We showed to
180 participants five-second videos of an actor spontaneously communicating both social-
181 abstract (S) and non-social-concrete (N) events in the following modalities: 1)

182 incomprehensible Russian sentences with gestures. This is considered as a gesture-only
183 (G) condition because social feature is only available to participants in the gesture form. 2)
184 comprehensible German sentences (S) without any gestures. Additionally, we also showed
185 to participants 3) German sentences with accompanying gestures as a bimodal input
186 condition (B). A filler condition is also included with videos of incomprehensible Russian
187 sentences with meaningless gestures. An example of both a social (S) and non-social (N)
188 bimodal videos is illustrated in **Figure 1A**. For a complete list of all videos, please refer to
189 Appendix in He et al. (2015).

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192

193 **Figure 1.** Panel A: Picture illustration for social-abstract (S) and non-social-concrete (N) videos in the bimodal
194 condition (B). The same stimuli were also presented in two additional modalities: gestures with foreign
195 Russian sentences (G) and German sentences without any gestures (S). For illustrative purposes, the spoken
196 German sentences were translated into English, and all spoken sentences were written into speech bubbles.
197 Panel B: Illustration of a sample trial. Participants performed a content judgment task for each video,
198 indicating via button press whether a stimulus was either person- or object-related. Note that the face of the
199 actor is only covered according to the privacy regulation from bioRxiv.

200

201

202 2.3 Procedure

203 Altogether, 312 experimental video slips (26 videos per condition × 6 conditions × 2
204 sets) were included in the study. For each participant, an experimental session comprised
205 182 videos from one set of videos (156 critical videos and 26 filler videos), and consisted of
206 two 14-minute runs. Each run contained 91 trials with a matched number of items from
207 each condition. The stimuli were presented in an event-related design in pseudo-
208 randomized order. Within each trial, each video-clip was followed by a gray background
209 with a variable duration of 2154-5846ms (jitter average: 4000ms), as illustrated in **Figure**
210 **1B**. Participants performed a content judgement task for each video (Mitchell et al., 2002;
211 Straube et al., 2013b), indicating via button press (with their left hand) whether a stimulus
212 was either person- or object-related. Participants were instructed to respond to the task as
213 soon as they had decided on an answer.

214

215 2.4 fMRI acquisition and preprocessing

216 All images were acquired using a 3T MRI scanner (Siemens MRT Trio series). The
217 functional images were obtained using a T2*-weighted echo-planar image sequence (TR =
218 2s, TE = 30ms, flip angle = 90°, slice thickness = 4mm, interslice gap= 0.36mm, field of
219 view= 230mm, matrix = 64 × 64, voxel size = 3.6 x 3.6 x 4.0mm, 30 axial slices orientated
220 parallel to the AC-PC line, ascending order). Two runs of 425 volumes each were acquired
221 during the experiment. Additionally, simultaneous EEG data from the participants were also
222 collected for other analyses not relevant for the current study, and are therefore not further
223 discussed here. MR images were preprocessed using the SPM12 software package

224 (Statistical Parametric Mapping, Wellcome Trust Center for Neuroimaging, London, UK)
225 based on Matlab R2017a (version 9.2.0; MathWorks): after discarding the first five volumes
226 to minimize T1-saturation effects, all images were spatially and temporally realigned, and
227 normalized into the MNI space using the MNI template (resulting voxel size $2 \times 2 \times 2$ mm),
228 smoothed (8mm isotropic Gaussian filter), and high-pass filtered (cut-off period 128s).

229

230 *2.5 fMRI data analysis*

231 We performed statistical whole-brain analysis in a two-level, mixed-effects procedure.
232 On the first level, single-participant BOLD responses were modeled by a design matrix
233 comprising the onset time points of each event (critical word of each sentence as used in
234 the previous event-related fMRI and EEG studies, e.g., (He et al., 2015; He et al., 2018a;
235 He et al., 2018c; Straube et al., 2013b; Straube et al., 2018)), with a duration of 5s for all
236 experimental conditions. The micro-time onset was set to the average time bin (8 of 16) to
237 align the onset vector to the slice in the middle of the brain. For all conditions, the duration
238 of speech and gesture was used as parameters of no interests on a single trial level. Six
239 movement regressors (three rotations and three translations) were entered in the single
240 participant's model to account for movement-induced effects on fMRI results. HRF was
241 defined as the canonical HRF. Contrasts images against implicit baseline for all
242 experimental conditions were used as summary measures and were included in the
243 between-group analysis. We applied a flexible factorial analysis of variance using condition
244 as main effect. We applied a Monte-Carlo simulation to determine the cluster extent
245 threshold to correct for multiple comparisons, (Slotnick, 2017; Slotnick et al., 2003), which

246 has been used in comparable studies in our laboratory on social perception of multimodal
247 stimuli (Nagels et al., 2015b). For all statistical comparisons, the whole-brain activation was
248 simulated assuming a voxel type-I error activation of $p < .05$, this revealed a cluster extent of
249 2268 contiguous resampled voxels as sufficient to correct for multiple comparisons at
250 $p < .0167$ (Bonferroni-corrected for three independent tests for interaction within three
251 independent modalities, with $0.05/3$). We also reported in activation tables uncorrected
252 cluster p-values and marked significance for cluster-level FWE correction. The reported
253 voxel coordinates of activation peaks are located in MNI space. For the anatomical
254 localization, functional data were referenced to the AAL toolbox (Tzourio-Mazoyer et al.,
255 2002).

256 Firstly, we tested three-way interaction of group x modality x content. We then
257 conducted, within each modality, interaction analyses to investigate group differences in the
258 processing of social or non-social conditions, and masked the respective results based the
259 contrast image from the first analysis, to reveal modality-specific group interaction. In
260 addition, within each modality, for each group, we conducted pair-wise comparison between
261 social and non-social conditions ($S > N$ and $N > S$), for illustrating modality- and group-
262 specific brain activations for either social or non-social information processing. In the end,
263 following our hypotheses on bimodal enhancement for patients, within patients, we tested
264 the interaction between modalities on social vs. non-social content processing, so as to
265 reveal how bimodal stimuli might compensate potential neural processing deficits for
266 patients with schizophrenia. Results of this analysis is reported in **Supplement S2**.

267 Based on the literature showing a potential relationship between symptom severity

268 (especially negative symptoms) and social/non-social cognition (Mehta et al., 2014; Sergi et
269 al., 2007), as well as gesture processing (Walther et al., 2019; Walther et al., 2013), for
270 patients with schizophrenia, we conducted exploratory correlation analysis, probing for the
271 potential relationship between clinical measures and brain activation in areas that are
272 relevant to social/non-social information processing. To this end, spearman correlation
273 analyses (uncorrected) were conducted between 1) parameter estimates from clusters
274 showing significant group difference for either social or non-social conditions, 2) behavioral
275 measures (reaction times and accuracy) for each experimental condition, and 3) scores
276 from sum/general and subscales of SAPS and SANS.

277

278 **3. Results**

279 *3.1 Behavioral results*

280 Healthy controls and patients with schizophrenia were instructed to indicate via button
281 press whether the actor in the video described a person-related content or an object-related
282 content. Correct responses (percentage correct) and their reaction times were analyzed
283 each with mixed ANOVA [within factors: CONTENT (social vs. non-social) and MODALITY
284 (bimodal vs. gesture vs. speech); between factor: GROUP (control vs. patient)]. Descriptive
285 statistics for each experimental condition for both groups were provided in **Table 2**.

286 For accuracy, we observed three main effects despite no interaction with GROUP:
287 Patients were significantly less accurate than healthy controls ($F_{(1,33)} = 5.18, p = .03$). Non-
288 social videos were judged more accurately than social videos ($F_{(1,33)} = 19.75, p = .0001$).
289 Additionally, accuracy between the three modalities was different ($F_{(2,66)} = 14.53, p = .00001$).

290 Post-hoc pairwise t-test showed that the accuracy for the bimodal conditions were highest
291 (vs. gesture, $t = 5.81$, $p = .000001$; vs. speech, $t = 2.77$, $p = .007$). The accuracy for the
292 speech conditions was also higher than the gesture conditions ($t = 2.14$, $p = .035$).

293 For reaction time, there were also three main effects and no interaction with GROUP:
294 patients were generally slower than healthy controls ($F_{(1,33)} = 19.64$, $p = .00001$). Reaction
295 times for non-social content were faster than social content ($F_{(1,33)} = 8.63$, $p = .005$).
296 Additionally, reaction times for the three modalities were different ($F_{(2,66)} = 10.14$, $p = .0001$).
297 Post-hoc pairwise t-test showed that the reaction time for gesture modality was significantly
298 slower than the other modalities ($|t|_{\min} = 4.03$, $p_{\max} = .0001$), and there was no significant
299 difference between the bimodal and the speech modalities ($t = 0.21$, $p = .82$).

300 The results from the behavioral task showed that patients with schizophrenia were
301 generally slower and less accurate in the content judgement task. Additionally, the
302 responses for non-social videos were faster and more accurate. With regard to modality,
303 responses in the bimodal conditions were the most accurate and the fastest.

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Table 2: Accuracy and reaction times (RT) for the behavioral task

Accuracy (%)				
Conditions	Controls (n =18)		Patients (n = 17)	
	Mean	SD	Mean	SD
Social Bimodal (SB)	81.84	10.78	71.79	19.99
Social Gesture (SG)	70.29	16.36	63.34	18.45
Social Speech (SS)	79.91	17.17	71.71	20.57
Non-social Bimodal (NB)	93.95	6.19	91.18	12.96
Non-social Gesture (NG)	89.1	10.49	82.8	11.62
Non-social Speech (NS)	88.46	9.69	80.31	22.95

Reaction times (ms)				
Conditions	Controls (n =18)		Patients (n = 17)	
	Mean	SD	Mean	SD
Social Bimodal (SB)	3270.26	262.57	4196.31	831.15
Social Gesture (SG)	3154.67	281.27	4042.41	897.51
Social Speech (SS)	3455.9	279.19	4238.1	785.66
Non-social Bimodal (NB)	2982.74	262.79	4092.35	907.69
Non-social Gesture (NG)	3185.07	347.14	4122.33	924.68
Non-social Speech (NS)	3244.25	325.07	4177.06	921.39

SD, standard deviation; Reaction times were measured in reference to each video onset (full video length: 5s).

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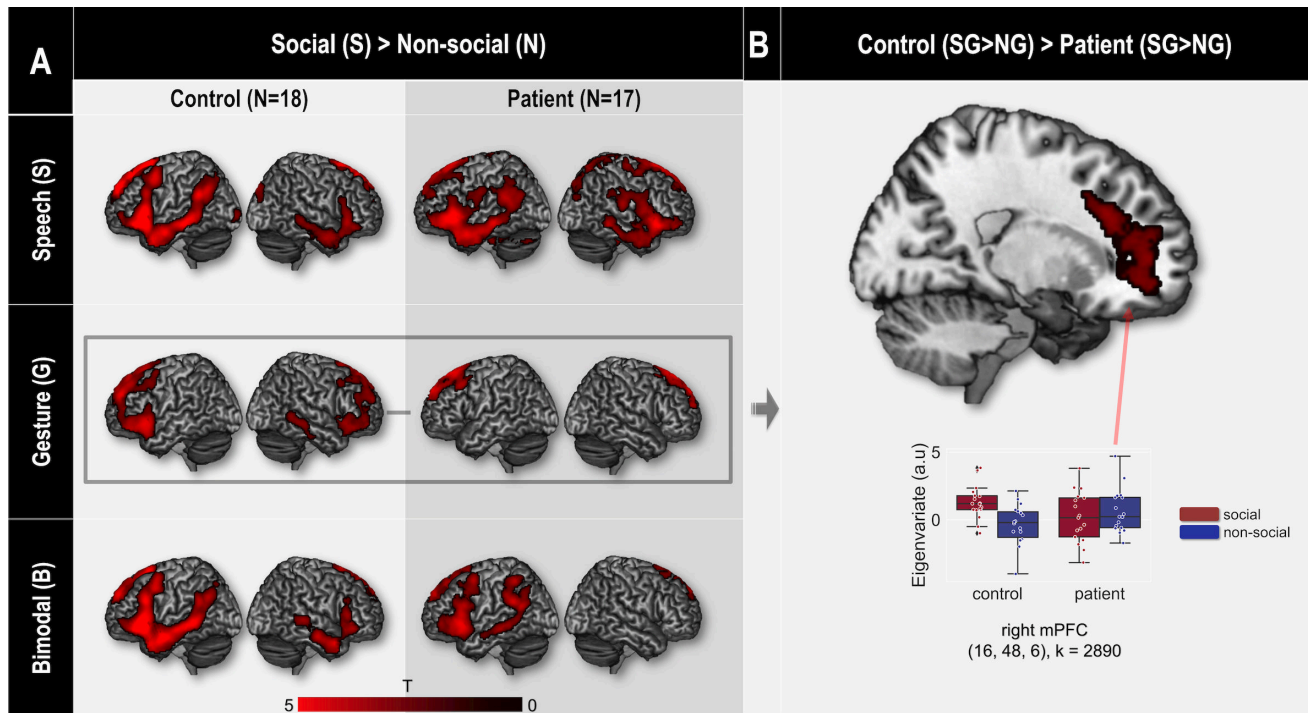
308 3.2 *fMRI results*

309 3.2.1 Social-abstract (S) > Non-social-concrete (N)

310 We report whole-brain fMRI results for S > N comparisons in **Figure 2** and **Table 2**. For the
311 speech conditions (SS > NS), healthy controls activated an extensive fronto-temporal-
312 parietal network including the bilateral inferior frontal gyrus (IFG) and the temporal lobe, the
313 dorsolateral prefrontal cortex (dlPFC) and mPFC, and the left supramarginal gyrus; patients
314 revealed similar regions for this comparison, and we observed no group difference for
315 social > non-social speech. For the gesture conditions (SG > NG), controls activated the
316 bilateral PFC and IFG; patients activated the bilateral prefrontal cortex. Group interaction
317 (Control (SG > NG) > Patient (SG > NG)) suggests that patients showed reduced activation
318 in the mPFC and the anterior cingulate cortex for the social gesture condition when
319 compared to controls (**Figure 2B**). In the bimodal condition (SB > NB), both controls and
320 patients activated regions similar to that of the speech condition. For patients, we
321 additionally reported modality*content interaction in the **Supplement S2**, which shows that
322 patients' aberrant processing of social gestures is improved in the bimodal modality.

323

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325

326 **Figure 2.** Activation maps for social-abstract > non-social-concrete videos (S > N). Panel A: S > N contrasts
 327 within each modality (S: Speech, G: Gesture, B: bimodal) for controls and patients. Panel B: interaction
 328 analysis (Control > Patient) in the gesture modality (SG > NG) together with box- and swarm-plots of
 329 eigenvariates for selected clusters. All results are correct for multiple comparison with Monte-Carlo simulation
 330 with $k > 2268$ voxels.

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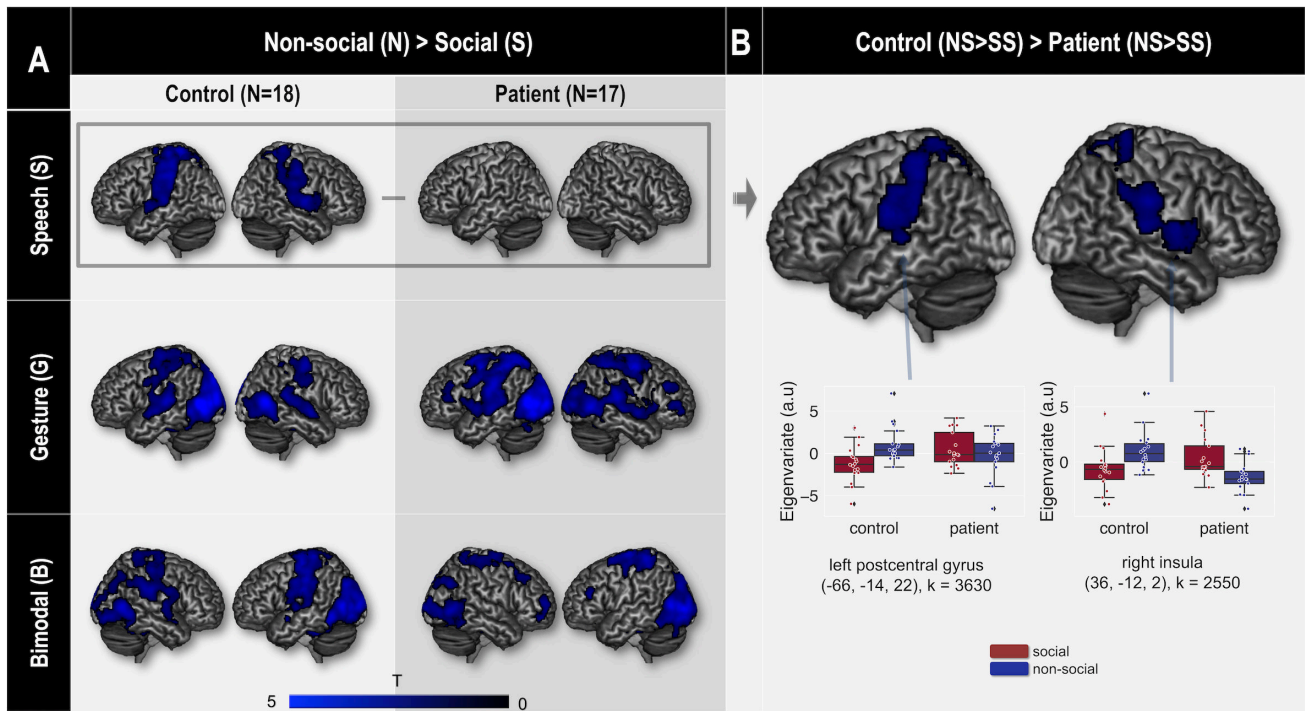
Table 2: Peak MNI coordinates of within- and between-group significant brain comparisons for social > non-social conditions. Cluster-level uncorrected p-value is provided for each cluster, asterisk indicates significance ($p < 0.05$) with cluster-level FWE correction.

Contrasts	Anatomical Region (Peak)	Hem.	k	Peak MNI coordinates			t	p (unc.)
				x	y	z		
Control								
SS>NS	Inferior frontal gyrus pars orbitalis	L	15202	-48	22	-6	6.74	<.001*
	Middle temporal gyrus	R	2816	48	-22	-14	4.05	.008
	Precuneus	L	4169	-4	-48	42	3.18	.002
SG>NG	Superior frontal gyrus	L	16869	-22	52	32	4.68	<.001*
SB>NB	Inferior frontal gyrus pars orbitalis	L	9637	-46	26	-4	7.05	<.001*
	Supplementary motor area	L	4916	-8	16	66	5.3	.001*
	Middle temporal pole	R	3023	50	10	-28	4.64	.006
Patient								
SS>NS	Inferior frontal gyrus pars orbitalis	L	33204	-50	20	-4	5.77	<.001*
	Supplementary motor area	L	7862	-2	24	68	4.39	<.001*
SG>NG	Superior frontal gyrus	L	2836	-16	32	62	3.86	.008
SB>NB	Inferior frontal gyrus pars triangularis	L	4577	-50	24	-2	4.83	.001
	Supramarginal gyrus	L	3094	-58	-46	26	4.33	.006
	Medial superior frontal gyrus	L	3155	-4	28	44	4.03	.005
Interaction: Control>Patient								
SS>NS	N. S.							
SG>NG	Anterior cingulate cortex	R	2890	16	30	24	3.04	.007
	Medial superior frontal gyrus	R		16	48	6	2.95	
SB>NB	N. S.							

333 3.2.2. Non-social-concrete (N) > Social-abstract (S)

334 We report whole-brain fMRI results for N > S comparisons in **Figure 3** and **Table 3**. For the
335 speech conditions (NS > SS), healthy controls activated the left pre/postcentral gyrus,
336 supramarginal gyrus, and the left insula, whereas patients did not reveal any significant
337 activations for this comparison. The group interaction (Control (NS > SS) > Patient (NS >
338 SS)) suggests that, when compared to controls, patients showed reduced activation in the
339 left postcentral gyrus and the right insula for the processing of non-social content in the
340 speech-only modality (**see Figure 3B**). For gesture conditions (NG > SG), controls showed
341 increased activation for the non-social content in the bilateral posterior temporal gyrus,
342 supramarginal gyrus, and occipital cortices, as well as the left pre/postcentral gyrus and the
343 left insula. Patients also activated the bilateral posterior temporal gyrus and occipital lobe,
344 as well as the left pre/postcentral gyrus. The group interaction revealed no significant
345 clusters. For bimodal conditions (NB > SB), both controls and patients activated regions
346 that are comparable to that of the gesture conditions. Additionally, for patients, bimodal
347 input seems to enhance their aberrant processing of non-social speech, as reported in
348 **Supplement S2**.

349



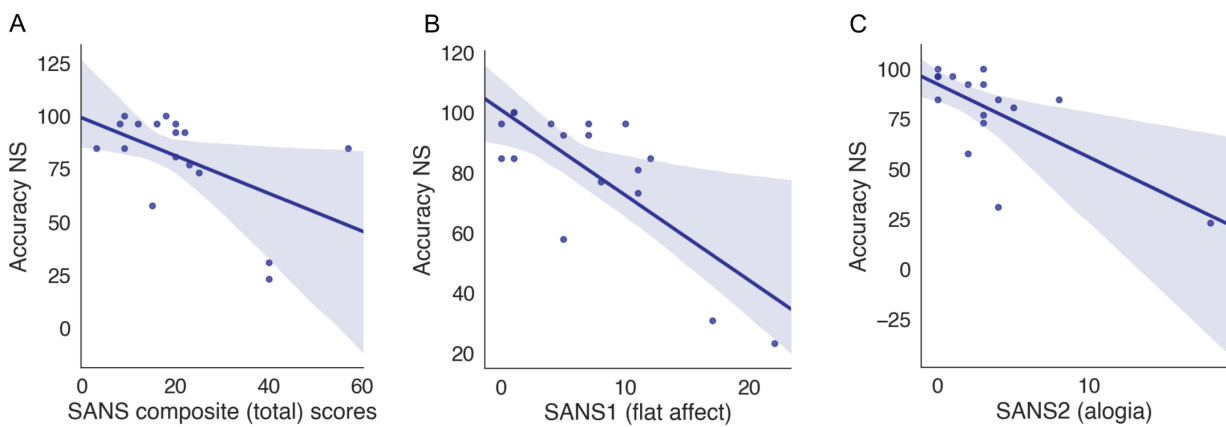
350
 351 **Figure 3.** Activation maps for non-social-concrete > social-abstract videos (N > S). Panel A: N > S contrasts
 352 within each modality (S: Speech, G: Gesture, B: Bimodal) for controls and patients. Panel B: interaction
 353 analysis (Control > Patient) in the speech modality (NS > SS) together with box- and swarm-plots of
 354 eigenvariates for selected clusters. All results are correct for multiple comparison with Monte-Carlo simulation
 355 with $k > 2268$ voxels.
 356

Table 3: Peak MNI coordinates of within- and between-group significant brain comparisons for non-social > social conditions. Cluster-level uncorrected p value is provided for each cluster, asterisk indicates significance ($p < 0.05$) with cluster-level FWE correction.

Contrasts	Anatomical Region (Peak)	Hem.	k	Peak MNI coordinates			t	p (unc.)
				x	y	z		
Control								
NS>SS	Middle cingulate cortex	L	17667	-48	-28	42	4.18	<.001*
NG>SG	Middle Occipital gyrus	L	18822	-46	-72	4	6.48	<.001*
	Middle temporal gyrus	R	2620	50	-64	8	5.01	.01
NB>SB	Middle temporal gyrus	L	15424	-50	-72	6	4.55	<.001*
	Supplementary motor area	R	8910	6	-12	56	3.7	<.001*
Patient								
NS>SS	N. S.							
NG>SG	Middle temporal gyrus	L	49718	-48	-70	6	6.9	<.001*
NB>SB	Middle occipital gyrus	L	22719	-30	-88	2	4.86	<.001*
	Olfactory cortex	L	3347	-8	26	-4	4.2	.004
Interaction: Control>Patient								
NS>SS	Insula	R	2550	36	-12	2	3.05	.011
	Postcentral gyrus	L	3630	-66	-14	22	2.92	.003
NG>SG	N. S.							
NB>SB	N. S.							

358 3.3 Exploratory correlation analyses

359 In patients, for the NS condition, we found that the accuracy for the NS condition correlate
360 negatively with the SANS composite scores of the patients ($r = -0.52$, $p = 0.03$, power =
361 0.63; **Figure 4A**). Additionally, SANS 1 (flat affect) and SANS 2 (alogia) scores correlate
362 negatively with the accuracy for the NS condition (SANS 1: $r = -0.62$, $p = 0.008$, power =
363 0.79, **Figure 4B**; SANS 2: $r = -0.63$, $p = 0.006$, power = 0.82, **Figure 4C**).



364

365 **Figure 4.** Significant negative correlations between patients' accuracy for the NS condition and A) patients'
366 SANS composite scores, B) their SANS 1 (flat affect) scores, and C) their SANS 2 (alogia) scores.

367

368 **4. Discussion**

369 With an fMRI study on the perception of social-abstract and non-social-concrete stimuli in
370 auditory-speech, visuo-gestural, and bimodal modalities, we observed modality-specific
371 neural modulation in schizophrenia: In comparison to controls, reduced neural activity in
372 patients was observed only for social gesture and non-social speech. Moreover, in the
373 bimodal condition, neural activation for both social and non-social contents was comparable
374 in patients and controls.

375

376 *4.1 Social information processing in schizophrenia*

377 In the current study, patients showed dissociable neural modulation during the
378 processing of social content in speech and gesture modalities. In the speech modality, both
379 controls and patients activated a left-lateralized set of brain regions, including the dIPFC,
380 mPFC, the IFG, the temporal lobe, and the angular/supramarginal gyrus, without any group
381 difference. This finding replicates results from our previous study showing supramodal
382 social-abstract processing of healthy individuals (Straube et al., 2013b), and is consistent
383 with earlier studies in basic research on the role of the mPFC in both perceiving social-
384 related stimuli and mentalizing social intentions (Mitchell et al., 2005; Mitchell et al., 2002;
385 Van Overwalle, 2009). The observed left IFG and temporal lobe activation is also in line
386 with the literature on the neural substrates of abstract vs. concrete semantics (Binder et al.,
387 2009; Binder et al., 2005), as the videos in the social-abstract condition, irrespective of
388 modality, are more abstract than the non-social, object-related condition. The fact that we
389 did not find any group differences in social-abstract speech processing suggests that

390 patients with schizophrenia exhibit intact neural processing of social content presented in
391 this modality. This finding complies with a previous language study in schizophrenia, in
392 which patients also activated a comparable left fronto-temporal network to controls when
393 they processed abstract vs. concrete visual sentences (Kuperberg et al., 2008). Together,
394 although schizophrenia is well-known for its social cognition deficits (Green et al., 2015), as
395 well as impairments in the perception of affective face or pitch (Edwards et al., 2001;
396 Leitman et al., 2005; Morris et al., 2009), patient's processing of social speech may remain
397 intact. In the gesture modality, however, although patients activated the mPFC for the social
398 vs. non-social stimuli, this activation was reduced when compared to controls. Notably,
399 such modality-specific neural modulation is, for the first time, reported for social information
400 processing in schizophrenia. Notably, in addition to the mPFC modulation, in schizophrenia,
401 we did not observe the activation for social gestures in the left IFG. The left IFG has been
402 linked to motor planning of gesture (Walther et al., 2013); and, together with the DLPFC, left
403 IFG activation has been shown to be modulated in schizophrenia for social gesture
404 planning (Stegmayer et al., 2018). Thus, our results, from a perception perspective,
405 corroborates these extant studies, showing that both the PFC and the left IFG functional
406 modulation may give rise to gesture-specific social perception deficits.

407

408 *4.2 Non-social information processing in schizophrenia*

409 For the processing of non-social (object-related) information, again, the neural
410 modulation in patients showed an apparent dissociation. In the gesture modality, both
411 controls and patients activated the bilateral occipital-parietal cortices, STG, LOTC, insula,

412 and the pre/postcentral gyrus. In the speech modality, although these regions were similarly
413 activated in controls, their brain activation was significantly reduced for patients. The group
414 comparison suggests reduced activation in schizophrenia patients in the left insula and the
415 left postcentral gyrus for non-social speech. Of note, the observed regions for non-social
416 and object-related information processing overlap with regions considered as part of the
417 putative mirror neuron network, which is not only important for action observation and
418 imitation, but also for the understanding of object- and motor-related features in verbal form
419 (Buccino et al., 2001; Di Pellegrino et al., 1992; Iacoboni et al., 1999; Rizzolatti &
420 Craighero, 2004). This process would require mental simulation of sensorimotor experience
421 (Barsalou, 2008; Pulvermüller, 2005; Pulvermüller et al., 2005). Additionally, the LOTC is
422 also crucially involved in the perception of biological motion, object, as well as tool-use (Bi
423 et al., 2016; Higuchi et al., 2007; Lingnau & Downing, 2015). Moreover, these regions are
424 also reported to support the processing of concrete linguistic information (Binder et al.,
425 2009; Binder et al., 2005). Our data from the control group suggest that these regions
426 support the processing of non-social-concrete features, irrespective of encoding modality.
427 This finding is in line with the embodiment view of action and language processing
428 (Barsalou, 2008; De Stefani & De Marco, 2019). With regard to the patients, we observed
429 normal neural processing of non-social content in the gesture modality, supporting a
430 previous study (Horan et al., 2014), which reported intact mirror neuron activity in
431 schizophrenia (but see (Thakkar et al., 2014)). However, as we also observed reduced
432 bilateral postcentral gyrus and right insula activity for patients for non-social speech, in turn,
433 this would imply that motor simulation, as required for processing object-related features

434 from auditory speech, might still be impaired in schizophrenia (Kuperberg et al., 2008;
435 Thakkar et al., 2014). This impairment concurs with the reported deficits of schizophrenia in
436 action imitation (Matthews et al., 2013; Mehta et al., 2014; Park et al., 2008; Thakkar et al.,
437 2014; Walther et al., 2015; Walther et al., 2013), where certain degrees of motor simulation
438 is required. However, adding to prior research on schizophrenia's motor impairments, our
439 results provide a more nuanced version: Motor-related semantics as delivered by
440 pantomime does not necessarily lead to reduced neural activation in schizophrenia; rather,
441 modulated neural activity is only observed for speech contents simulating these concrete
442 motor-actions. This might be suggesting that patients with schizophrenia are impaired in a
443 mirror-mechanism simulating concrete-motor events with speech.

444 In the NS condition, we also observed negative correlation between patients' SANS
445 composite and subscores and their task accuracy. This evidence converges with previous
446 research, corroborating the potential role of the mirror neuron system during embodiment of
447 non-social information (e.g., action imitation and observation), as well as its relation to the
448 development and persistence of negative symptoms (Buccino & Amore, 2008; Mehta et al.,
449 2014). Our correlational finding, although being exploratory (see 4.4), links the mirror
450 neuron system to the reported studies showing correlation between compromised gesture
451 performance and more severe negative symptoms (Park et al., 2008; Walther et al., 2019),
452 tentatively suggests that the theoretical link between gesture and negative symptom may
453 partially derive from motor-simulation. Notably, in the current study, for social processing,
454 we did not observe any correlations between brain activations / behavior and symptom
455 measures, especially positive symptoms such as hallucinations, and positive formal thought

456 disorders, as in Straube et al. (2014). Clearly, the exact relationship between social/non-
457 social information processing and major symptoms of schizophrenia needs to be addressed
458 by further research with larger samples.

459

460 *4.3 Enhancing modality-specific information processing deficits with bimodal input*

461 The novelty of our findings lies in the dissociable modality-specificity concerning
462 dysfunctional neural processing of social and non-social features. Social-abstract and non-
463 social-concrete features are functionally and neurally dissociable at the representational
464 level (Binder et al., 2009; Mitchell et al., 2005; Mitchell et al., 2002). Besides, they might be
465 differentially processed through either linguistic (speech) or non-linguistic (gesture)
466 channels. It has been proposed that social-abstract concepts may be *preferentially*
467 represented in speech, and that non-social concrete concepts are *preferentially* delivered in
468 hand action and gesture (Paivio, 2010; Perlovsky & Ilin, 2013). Despite this theoretical
469 proposal, however, during comprehension, healthy participants are able to process both
470 types of information in a supramodal manner (e.g., semantic processing with unitary core
471 systems, irrespective of encoding modality, as in (Pulvermüller et al., 2005; Straube et al.,
472 2013b)). For patients with schizophrenia, as they exhibit similar neural activations when
473 processing social speech and non-social gestures to controls, this might be an indication
474 that they are at least intact in processing these contents through a *preferred* modality at
475 representational level. But, they might show activation reduction in relevant regions when
476 these features are conveyed in a *non-preferred* modality, as the processing of these
477 features would require some form of mental simulation: In the case non-social information,

478 patients are impaired in the simulation of motor-related experience from action to language
479 (Pulvermüller et al., 2005); In contrast, when patients are presented with social information,
480 they might be impaired when simulating social features encoded by hand gestures (but not
481 with speech), as shown in their reduced mPFC activation. This observed modality-specific
482 processing deficit might also suggest that patients, unlike controls, are not capable of
483 processing social/non-social information in a supramodal manner like healthy participants,
484 as reported in previous studies (Straube et al., 2012; Straube et al., 2013b). More
485 importantly, extending previous studies on aberrant processing of social/non-social content
486 in schizophrenia, our results indicate that this neural deficit is not universally present for
487 either a specific modality or content, but rather appears only in specific combinations of
488 these two factors.

489 Despite reduced neural processing of both social and non-social content in gesture and
490 speech modalities, patients displayed intact neural processing of these features, as well as
491 improved task accuracy in the bimodal conditions. This enhancement effect concurs with a
492 line of proposals (Holler & Levinson, 2019), who argue for a bi-directional facilitative relation
493 between speech and gesture (for empirical evidence, see (Cuevas et al., 2019; Drijvers et
494 al., 2018; He et al., 2018b; Kraemer & Swerts, 2007; Wang & Chu, 2013)). More
495 importantly, our finding extends previous basic research, suggesting the translational
496 implication of this mechanism. In schizophrenia research, the past decade has witnessed
497 substantial progress in the development of social cognitive training in schizophrenia (Kurtz
498 et al., 2016; Kurtz & Richardson, 2011), with recent innovation regarding the incorporation
499 of social stimuli from a broader range of modalities (Nahum et al., 2014). Our findings

500 extend these approaches, proposing potential therapeutic implications of deploying
501 naturalistic and multimodal stimuli during social cognitive training (Riedl et al., 2020), as
502 they might be able to normalize processing of both social and non-social information, at
503 least at a neural level. Future research is expected to further explore whether the neural
504 enhancements can be linked to functional outcome after social cognitive training in a
505 multimodal setting.

506

507 *4.4 Limitations*

508 Despite new insights, our study is limited in several aspects. Firstly, the sample size of this
509 study (n = 17 and 18 for each group) falls within the required minimum of this type of
510 studies (Friston, 2013). Hence, due to sample size, the patient group was limited to
511 medicated chronic patients, and we were thus unable to test if the neural pattern is
512 comparable to first-episode schizophrenia (Kindler et al., 2019), and were unable to
513 attribute the findings to schizophrenia without separating out the effects of medication.
514 Secondly, due to the limited sample size and the exploratory nature, the findings resulted
515 from the correlation analyses needs to be treated with caution. Lastly, we did not observe
516 any reliable relationship between BOLD signal and behavioral results: More careful, and
517 neurobiologically plausible behavioral tasks on the social/non-social information processing
518 are necessary for future experiments, so that their processing deficits in schizophrenia can
519 be better examined by clinical neuroscientists and practitioners.

520

521 *4.5 Conclusion*

522 Here, in an fMRI study, we for the first time showed modality-specific neural modulation in
523 schizophrenia when patients process social-abstract and non-social-concrete content in
524 speech and gesture. Moreover, these deficits could be compensated when both speech and
525 gesture were presented together. Our findings provide novel insights on dysfunctional
526 multimodal communication in schizophrenia, and suggest potential therapeutic implications
527 of employing multimodal social cognitive intervention in schizophrenia.

528

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536

537 **6. Conflict of interests**

538 All authors declare no financial conflict of interests.

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