GROUPS OF SPECIES RESPONDING TO SOIL AND LIGHT IN THE CERRADO

Glaucia Soares Tolentino[1], Cristina Máguas[2]*, Luiz Fernando Silva Magnago[1,3], Tillmann Buttschardt[4],

João Augusto Alves Meira-Neto[1,2]*

[1] Laboratory of Ecology and Evolution of Plants - LEEP, Universidade Federal de Viçosa, Campus UFV

s/n, Viçosa, State of Minas Gerais, Brazil, 36570-000.

[2] Centre for Ecology, Evolution and Environmental Changes – CE3C, Faculdade de Ciências da

Universidade de Lisboa, Edifício C2, Lisboa, Portugal, 1749-016.

[3] Centro de Formação em Ciências e Tecnologias Agroflorestais, Universidade Federal do Sul da Bahia,

Rodovia BR-415, Parque Verde, Itabuna, Bahia State, Brazil, 45604811

[4] Institute of Landscape Ecology - ILÖK, University of Münster, D-48149 Münster, Germany.

* cmhanson@fc.ul.pt, j.meira@ufv.br
JAAMN-ORCID 0000-0001-5953-3942

Abstract

Woody plants in the Cerrado have been understood to comprise two groups: calcicole species responding to soil Al-Ca gradient (CAL) and leguminous species responding to inorganic soil N gradient (LEG). However, the bulk of Cerrado woody flora was not inside these groups. Our main question was whether CAL and LEG are distinct from each other as well as from the bulk of Cerrado species that occur rather in savannas than in quasi-forests (SAV) while responding only to soil variables and light. We performed the study among five soil categories, and measured canopy openness. We evaluated the functional traits of the 34 most relevant species of the studied Cerrado. We measured specific leaf area, bark thickness, leaf nitrogen, leaf carbon, leaf C/N, maximum height, maximum, stem elongation, $\delta^{15}N$ and $\delta^{13}C$. We determined wood density by consulting a database. RLQ and Fouth-corner analyses confirmed CAL and LEG as consistent groups as well as found a third group, the SAV. CAL and LEG were found to dominate plots with low canopy openness; only CAL were negatively associated with aluminium saturation; only LEG were negatively associated with pH; only SAV were negatively associated with inorganic nitrogen and CEC. LEG presented the lowest leaf C/N and the highest leaf N meanwhile SAV presented the highest C/N, the lowest leaf N and the lowest stem elongation. Higher values of $\delta^{13}C$ in leaves suggest higher water use efficiency for CAL and LEG than for SAV.

Keywords: Aluminium, nitrogen, calcicoles, light, $\delta^{13}C$, water use efficiency.

Introduction

A biodiversity hotspot, the Cerrado is one among tropical savannas that occur on acidic soils as some Australian and African savannas (Materechera et al. 1998; Hutley et al. 2000). In a biodiversity hotspot as the Cerrado, disentangle plant responses to environmental factors is time consuming because the high plant diversity, the soil complexity and a myriad of other environmental variables usually blur the plant-soil patterns and challenge researchers that seek a general interpretation for vegetation responding to soil gradients (de Assis et al. 2011; Neri et al. 2012; Meira-Neto et al. 2017). Grouping species into functional groups with unique ecological attributes (Díaz and Cabido, 2001; Lavorel and Garnier, 2002) frames plant diversity into a few environmentally responsive groups, facilitates the understanding of diverse communities and allows increase complexity step by step. Grouping species of the Cerrado has demanded a long and continuous effort. As far as we know, the first grouping separated the typical woody species that give phytogeographical unity to the Cerrado from species that occur in the Cerrado but occur mainly in other vegetation types, noticeably Atlantic and Amazon forests (Rizzini 1963). Concerning the soil influence on Cerrado plants, plant functional groups have been understood to comprise woody plants responding to the Al-Ca gradient (i.e., pH gradient) and to the inorganic nitrogen gradient (Ratter *et al.,* 1977; Durigan and Ratter, 2006; Meira-Neto *et al.* 2017). For that, isolating soil from other explanatory environmental variables is important in order to avoid blurring the plant-soil patterns.

Light variation may be included in such studies of tropical savannas as an explanatory variable since it is influenced by soil. Cerrado has high irradiance and typical Cerrado species demand high levels of light (Ribeiro and Walter, 1998). Thus, these species are expected to be displaced in shaded environments by shade-tolerant species (Pinheiro *et al.,* 2016) more adapted to the Cerrado quasi-forests physiognomies (cerradões) with more soil resources. Soil influences Cerrado physiognomies (e.g., (Goodland 1971; Oliveira-Filho and Ratter 2002) and the physiognomic variation promotes a light gradient with species responses (Dalmagro et al. 2014); therefore, canopy cover (i.e., light regime proxy) should be considered as a main ecological factor (Goodland 1971; Goodland and Pollard 1973; Felfili and Fagg 2007; Simon et al. 2009; Dantas et al. 2013) influencing plant growth and soil humidity in Cerrado and in vegetation in general (Valiente-Banuet and Verdú 2007; Meira-Neto et al. 2018). Fire opens Cerrado's physiognomies decreasing coverage of woody species (e.g., (Coutinho 1990; Hoffmann 2002; Simon et al. 2009), influencing light regime. However, in the absence of fire in the Cerrado, soil properties are expected to be the main generators of physiognomic variations as well as the main drivers of light regime.

The ubiquitous Al-Ca gradient is a soil pH gradient in tropical terrestrial ecosystems that explains much of the physiognomic variation in the Cerrado, from the most oligotrophic and acidic soils (i.e., highest AL^{3+} content) to the relatively fertile mesotrophic soils (Ratter *et al.,* 1977, 2003). The cation exchange capacity may be taken as a synthesis of soil fertility and is related to Al-Ca gradient is positively related to pH and has been used to explain physiognomic variation (Neri *et al.,* 2013). The levels of exchangeable aluminium are also related to the Al-Ca gradient (negatively related to pH) and were found as a main source of stress for plants in tropical savannas on acidic soils (Furley and Ratter 1988; Motta et al. 2002; Sugihara et al. 2014). As a result, open physiognomies are associated with dystrophic soils with toxic levels of Al^{3+} (Goodland and Pollard, 1973; Ruggiero *et al.,* 2002; Neri *et al.,* 2012), thus Al-Ca gradient could determine biomass and abundances in Cerrado (Silva *et al.,* 2013), especially calcicole species. Calcicoles are recognized as functional groups of plants worldwide (Ström 1997; Wohlgemuth and Gigon 2003) and Cerrado is a well-studied tropical savanna concerning calcicoles. The first functional group related to the Cerrado soil was the group of calcicole species (CAL), which respond readily to the Al-Ca gradient (Ratter et al. 1977). These species occur throughout all Cerrado physiognomies, but are dominant in cerradões on mesotrophic soils (mesotrophic cerradões) (Ratter *et al.* 1977; Durigan and Ratter, 2006) and are commonly found as dominant species in tropical dry forests on limestone hills (Oliveira-Filho *et al.,* 2006; Meira-Neto *et al.,* 2017).

Another functional group, the nitrogen-fixing leguminous trees (LEG) occur throughout Cerrado physiognomies, but dominate the cerradões on acidic dystrophic soils (dystrophic cerradões) (Meira-Neto *et al.,* 2017). Therefore, dystrophic cerradões are quasi-forest physiognomies with high abundance of trees, with much higher biomass than open savannas, also occur on dystrophic and acidic soils with high levels of Al^{3+} and with high levels of inorganic nitrogen dominated by leguminous nitrogen-fixers (Goodland 1971; Oliveira-Filho and Ratter 2002; Meira-Neto et al. 2017).

These two groups, CAL and LEG, were found to respond in opposite ways to the Al-Ca gradient, with CAL biomass and abundances positively associated with pH and negatively with inorganic nitrogen at the uppermost soil layers, and LEG biomass and abundances negatively associated with pH and positively with inorganic nitrogen at the uppermost soil layers (Meira-Neto et al. 2017). However, this CAL-LEG model only considers the gradient between mesotrophic soils with higher pH and dystrophic soils with higher contents of inorganic nitrogen. Additionally, open savanna physiognomies dominated by the bulk of Cerrado's woody species were still unknown in this CAL-LEG model.

Climate, altitude, topography, water table and fire are important rulers of the Cerrado functioning and

physiognomic variation (Rossatto et al. 2012; Cianciaruso et al. 2012; Dantas et al. 2013; Franco et al.

2014). Without variation of climate, of altitude, of topography, of water table depth and of disturbances

(mainly fire), the woody species of Cerrado respond essentially to soil and light. In such a situation, the

growth of woody species should respond to light, to soil humidity, to N, to P, to K and to Mg soil

concentrations as well as to the soil Al-Ca gradient (Goodland and Pollard 1973; de Assis et al. 2011; Neri

et al. 2012). The CAL and the LEG are in different situations on the gradient of inorganic soil nitrogen as

nitrogen is a key factor for plant growth and development (Sprent 2007, 2009), especially in oligotrophic

soils (Bustamante et al. 2004). Therefore, the inorganic soil nitrogen can vary independently from the most

of soil nutrients along the Al-Ca gradient. Moreover, the other species that are not CAL or LEG comprise

a species-rich set of woody plants in the Cerrado and their responses to environmental factors of the Al-Ca

gradient working along with the inorganic-N gradient are still unkonown. This work aims to answer i)

whether without influence of other main environmental variables, CAL and LEG are distinct from each

other as well as from the set of other species considering their responses to soil factors and to light regime

in the analyses. Another question is ii) whether the other Cerrado species that are not CAL and LEG can be

encompassed as typical Cerrado savanna-species (SAV) *sensu* Rizzini (1963). A final question is iii)

whether these analyses reveal additional axes that explain the environmental gradient of the Cerrado (and

possibly of tropical savanna on acidic soils). In order to test the soil and light effects, avoiding other

environmental biases, we sampled a Cerrado with plots in five different soil types with minimum variation

in topography, in altitude, within the same climate, within the same fragment (i.e., the same landscape) and

without fire disturbance for more than 50 years. For that, we analysed and modelled soil factors and canopy

openness for all plots as well as we analysed and modelled leaf contents of nitrogen, of carbon, leaf $\delta 13C$,

leaf $\delta 15N$, maximum plant height, maximum stem diameter, bark thickness, wood density and specific leaf

area for all plots and for all sampled species of woody plants.

Material and methods

Study area

The study was carried out in the 200- ha Paraopeba Reserve in the state of Minas Gerais (19°20'S,

44°20'W), Brazil. The climate of the region is classified as Aw (tropical humid) by the Köppen system with

a rainy summer from October to April and a dry season from May to September. The mean annual

temperature and mean annual rainfall are 20.9°C and 1328 mm, respectively. The vegetation of the reserve

is the product of regeneration after clear-cutting in 1952. There are records of fires in 1960 and 1963, since

which the area as a whole has been protected from fire (Neri et al. 2012).

We carried out the study on a wide range of soils spread over a short distance, where differences

in vegetation are ascribed to soil attributes since variation in climate and topography was negligible (Neri

et al. 2012).

The soils are classified as i) dystrophic Haplic Cambisol, ii) Yellow Latosol, iii) Red-Yellow

Latosol, iv) dystrophic Red Latosol and v) mesotrophic Red Latosol (EMBRAPA 2006; Neri et al. 2012).

Along this gradient the dark-redder the soil the greater the biomass and density of woody plants. Colluvial

materials from weathered limestone or *in situ* alteration of such calcareous rocks influence both eutrophic

and dystrophic red soils. On the other hand, Cerrado savannas are related to either shallow or deep yellowish

soils (Cambisols/Latosols) developed from slate, a pellitic Al-rich nutrient-poor metamorphic rock. Since

there is a wide range of soil characteristics within a small area, this fragment of Cerrado is a suitable system

for increasing knowledge regarding plant functional groups with different strategies for resource use and

stress tolerance without climatic, topographical and dispersion limitation biases.

Vegetation, soil and light

The structure of the woody layer was evaluated by equally distributing 24 plots (20-m x 20-m)

along the aforementioned soil gradient. We sampled three plots of Inceptisol (Cambisol), three plots of

mesotrophic Red Latossol, three plots of dystrophic Red Latossol, six plots of Yellow Latosol and nine

plots for Red-Yellow Latossol (Yellow Latosol and Red-Yellow Latosol are intermediary soils with more

plots to better represent the intermediate portion of the gradients). All plots were randomly selected inside

soil types areas. The soil characterization is in previous publications (Neri et al. 2012, 2013). From July

2011 to September 2012 we recorded all individual woody plants with stem circumferences equal to or

greater than 10 cm at ground level. The classification of species into families followed APG IV (The

Angiosperm Phylogeny Group 2016), with the nomenclature of species and abbreviations being in

agreement with Brazilian Flora Checklist (www.floradobrasil.jbr.gov.br).

Soil samples were collected from all 20-m x 20-m plots, each one comprising 10 subsamples from

a depth of 0–10 cm. Soil samples taken for chemical analysis were air-dried and sieved. Since Cerrado soils

generally possess low nutrient status and fertility, which have been shown to influence its vegetation, we

used cation exchange capacity (CEC), inorganic N and pH as proxies for soil fertility. Furthermore, since

aluminium saturation determines the fraction of Al^{3+} in CEC, it was considered a main soil factor. The pH

values were obtained from aqueous solution while inorganic N was gathered from the sum of nitrate (NO_3^-

) and ammonium (NH_3) content. Colorimetric analyses were used for measuring NO^{3-} and NH_3. All analyses

were performed in the Soil Laboratory at the Universidade Federal de Viçosa, following methods for

Brazilian tropical soils (EMBRAPA, 1997).

Canopy openness was measured as a proxy for light availability by taking hemispherical

photographs (Beaudet and Messier 2002). The photos were taken using a Nikon Coolpix 5700 digital

camera, with a Nikon UR-E12 extender and a Nikon FC-E9 fisheye lens, supported at 1.5 m above the

ground by a tripod with the zenith centred and a north orientation. The photos were taken at dusk or during

perfectly overcast days (Breshears and Ludwig, 2010) during the middle and the end of the rainy season

(February 2012) when the canopy cover was maximum and light could act as a limiting factor for plants.

Each plot was divided into four 10-m x 10-m subplots and photos were taken at the center of each sobplot.

Photos were analyzed with Gap Light Analyzer (GLA) software (Frazer et al. 1999). Canopy openness

values were obtained for each plot by averaging canopy openness of four 10-m x 10-m subplots.

In order to choose the dominant species that totalize ~75% of abundance, we used data from a

previous survey on 3 hectares with 15 plots of 20-m x 20-m on each soil type, 75 plots in total. We found

14,671 individuals of 174 woody species of 51 families in the woody communities of the studied vegetation.

Of these, 10,866 individuals belonged to the 34 species that made up 74.06% of the total abundance of the

community. We used the 75 plots only to choose that 34 species with ~75% of abundance. All other analyses

used the 24 chosen plots. Thus, those 34 species were sampled and analysed in the 24 chosen plots

previously described.

174
Functional traits

Despite the high floristic richness of the Cerrado, the abundance of the woody community are

usually dominated by a few species. As 75% of dominance is the lower limit for highest level of dominance

for sampling vegetation methods as Braun-Blanquet and Domin-Krajina (Mueller-Dombois and Ellenberg

2003; Maarel 2009), we considered the species that collectively comprise ~ 75% of the local abundance as

rulers of the Cerrado functioning. Thus, we evaluated functional traits for 34 selected species, which

accounted for 74.06% of the cumulative relative abundance in the Cerrado of Paraopeba Reserve. All

individuals of selected species were assessed for measuring traits.

Trait-based analysis requires selection of critical traits to the community processes of interest

(Kraft et al. 2007). Six key traits (Table 1) related to competitive ability, resources use and tolerance were

used (Cianciaruso et al. 2012; Pérez-Harguindeguy et al. 2013). Wood density was determnined by the

Global Wood Density Database, subsection Tropical South America (Chave et al. 2009). Because data on

wood density was obtained from the online database, it had no variability. Other traits were measured by

widely accepted methods (Cornelissen et al. 2003; Pérez-Harguindeguy et al. 2013). The sampling was

plot-specific, which allowed us to assess environmental factors (Cianciaruso et al. 2009). Thus, we used

functional traits measured on individuals of a plot as a proxy of functional traits of that plot.

Carbon, nitrogen and stable isotopes analyses (δ^{13}C and δ^{15}N)

We used stable isotopes analyses in order to understand the conditions of functional groups and

set of species in each plot under different soil and light conditions. Three leaves healthy and fully developed

from all individuals sampled in each plot were taken during the rainy season (March of 2013). The leaves

were sampled at a similar distance from the ground and at the north side of the canopy. The leaves were

dried to constant weight (65°C) without petioles and midribs and ground to a powder using a ball mill

(Retsch, Haan, Germany) for measuring carbon, nitrogen, δ^{13}C and δ^{15}N. Nitrogen and carbon

concentration, and δ^{13}C and δ^{15}N were analysed using an elemental analyzer (HEKAtech, Weinberg,

Germany) with a continuous-flow stable isotope ratio mass spectrometer (ISOPRIME, GV, Manchester,

UK), and measured against an ammonium sulphate standard (IAEA.N2). C and N isotope ratios were

presented in δ notation:

$\delta^{13}C \text{ sample} = R_{sample} - R_{standard} / R_{standard}$

where $R_{standard}$ is the $^{13}C/^{12}C$ of Pee Dee Belemnite (PDB) and R_{sample} is the $^{13}C/^{12}C$ ratio of the sampled

leaves.

$\delta^{15}N \text{ sample} = R_{sample} - R_{standard} / R_{standard}$

where $R_{standard}$ is the $^{15}N/^{14}N$ ratio of atmospheric N2 and R_{sample} is the $^{15}N/^{14}N$ ratio of the sampled leaves.

The repeated measurement precision was 0.2 $^0/_{00}$.

Species groups and data analysis

Different groups of woody species were considered based on the dispersion of species along the

ordination axis of a multidimensional scaling – MDS using two functional traits as variables for species:

mean leaf C/N and mean aluminium saturation. Mean leaf C/N was calculated as arithmetic mean of leaf C/N of all individuals of each species. Mean aluminium saturation was calculated giving the aluminium saturation of soil of each plot to each individual occurring in that plot; then, was calculated the arithmetic mean of aluminium saturation among individuals of each species. The CAL was considered the calcicoles species occurring in the Cerrado with lowest aluminium saturation means. LEG are the leguminous woody species that occur in the Cerrado, most of them belonging to nitrogen-fixing genera (Sprent 2009) as well as other species with the lowest leaf C/N. SAV were the woody species with the highest leaf C/N. and are not nitrogen-fixing.

In order to investigate relationships between soil and light effects and abundance of the found species groups, we created generalized linear models (GLMs) applying abundance as a response variable of soil cation exchange capacity (CEC), of soil pH, of soil inorganic N, of soil aluminium saturation and of canopy openness.

We constructed a numerical matrix, with averaged values of SLA, ratio of maximum height to maximum diameter (Hmax/Dmax) as stem elongation, leaf C/N, bark thickness and woody density for the 34 species and species-weighted averaged values for CAL, LEG and SAV in plots.

Statistical analysis

RLQ analysis was carried out using the functions 'dudi.coa', 'dudi.hillsmith', 'dudi.pca' and 'rlq' of the ade4 package (Dray et al. 2018) for canonical ordination of species abundances, functional traits (SLA, Hmax/Dmax, %C and %N), plots and three soil variables (CEC, aluminium saturation and inorganic nitrogen, all square-rooted transformed). The Fourth-corner analysis was carried out using the 'fourthcorner' function of the Ade4 package performing 50 000 permutations with FDR adjustment of P values for multiple comparisons between the soil variables and the functional traits of the RLQ analysis (Peres-Neto et al. 2017; Braak et al. 2018). All analyses were performed within the R statistical environment (R Development Core Team, 2015). The canonical ordination was the basis on which the groups CAL, LEG and SAV were proposed.

ANOSIM test was performed for testing the 34 species divided into the three groups by means of the SLA, Hmax/Dmax, %C and %N for calculation of Euclidean Distance dissimilarity using the functions 'dist' and 'anosim' from the 'Vegan' package in R statistical environment (R Development Core Team 2015).

GLMs were carried out using the 'glm' function of the MASS package with Poisson error distribution for count data (i.e., abundance) to test the response of CAL, LEG and SAV abundances to soil pH, to soil

inorganic N, to soil aluminium saturation and to canopy openness. All explaining variables were scaled.

We used the dredge function of the MuMIn package (Bartón 2018) to select the models that explain our

data among all possible combinations of two predictive variables that are not correlated (r <0.6,

https://github.com/rojaff/dredge_mc) or one predictive variable (i.e., overfitting avoidance). The Akaike

Information Criterion (AIC) was used to select the best models (Symonds and Moussalli 2011), and all

models with ΔAIC less than 2 was considered equally parsimonious. From all selected models, we

calculated average parameters and unconditioned standard errors weighted by the likelihood ratio using the

'model.avg' function of the MuMIn package. The function performs significance tests for each predictor

estimated in this average model. The final model will be validated by plotting residual x adjusted values,

residual versus predictors and observing the distribution of residues. All analyses were performed within

the R statistical environment (R Development Core Team 2015).

For each one of the 34 species was calculated a mean value for each functional trait. Then were

calculated mean values for each functional group based on the values of each species. GLMs with gaussian

error distribution were preformed using the glm function of the MASS package to test differences between

means of groups for leaf C/N, leaf nitrogen content, specific leaf area, woody density, bark thickness and

Hmax/Dmax (stem elongation). The difference between groups for each functional trait was additional

tested using dunnTest function of the dunn.test package in cases that the differences between groups were

dubious by GLMs. All analyses were performed within the R statistical environment (R Development Core

Team 2015).

Results

The RLQ analysis showed that three groups of species were related manly to stem elongation

(H/DSH), specific leaf area (SLA), leaf nitrogen contents (%N) and leaf carbon contents (%C). The 34

woody species were grouped into three groups proposed based on the canonical ordination of RLQ:

Calcicole species (CAL) mainly associated to high H/DSH and high SLA, leguminous species (LEG)

mainly associated to high %N and high %C, and savanna species (SAV) mainly associated to low H/DSH,

low SLA, low %N and low %C (Figure 1, Appendix S1). The ANOSIM analysis testing the consistency of

the three proposed groups with 34 species responding to SLA, Hmax/Dmax, %C and %N was significant

(p=0.01796).

The fourth-corner analysis showed that the H/DSH and SLA are positively related to soil CEC and

negatively related to soil aluminium saturation (m). Leaf nitrogen contents (%N) and leaf carbon contents

(%C) are positively related to soil inorganic nitrogen (Figure 2).

The best GLM full models (i.e., two uncorrelated variables for each species group [r<0.6], delta

AIC $\leq$ 2, Appendix S2) for CAL species showed aluminium saturation, and canopy openness as explanatory

variables of abundance (Figure 3). LEG species, the best full models (i.e. two uncorrelated variables [r<0.6],

delta AIC $\leq$ 2, Appendix S2) showed pH, and canopy openness as explanatory variables negatively

associated with abundance (Figure 4). The best full models for SAV species (i.e. two uncorrelated variables

[r<0.6], delta AIC $\leq$ 2, Appendix S2) showed inorganic nitrogen, and CEC as explanatory variables of

abundance (Figure 5, Table 1). All selected models were negative relations between abundance of species

groups and environmental variables. CAL were severely limited by aluminium toxicity and excessive light

indicating that excessive light and high aluminium are a damaging combination. The CAL limitation by

aluminium saturation is clearly a stress limitation since aluminium is a known and ubiquitous stress in

plants (Kochian 1995; Jansen et al. 2002). The CAL limitation by canopy openness is likely light stress

caused by photon flux density higher that used by photosynthesis. This light stress would be caused by

excessive light or by photosynthesis limitation because of indirect stressors as aluminium (i.e., the other

variable of the global model) that limit root growth and nutrient uptake (Demmig-Adams and Adams 1992;

Akaya and Takenaka 2001). LEG were strongly limited by increasing pH and to increasing light, in a

deleterious combination of high pH and excessive light stresses. Possibly in the LEG case, interconnected

stresses are acting since the light stress is caused combined with pH increasing, which is possibly an effect

of photosynthesis limitation caused by a low phosphorus uptake in soils with higher pH (Riley and Barber

1971; Hocking 2001; Thomas et al. 2006). SAV species are not benefitted from the increasing resources of

soil inorganic nitrogen and soil CEC. Thus, the SAV limitation not caused by stresses, but the lack of ability

to use efficiently soil resources (i.e., inorganic nitrogen and CEC) to grow.

Among the 34 species (fourteen CAL, six LEG, fourteen SAV) CAL presented greater specific

leaf area (SLA) than SAV, LEG presented the highest leaf nitrogen content and SAV the lowest, SAV

presented the highest C/N and LEG the lowest (Figure 6). CAL presented the higher wood density than

SAV and CAL and LEG presented higher stem elongation (Hmax/Dmax) than SAV. Bark thickness was

not different between groups, but CAL presented low variance and SAV presented high variance (Figure

7).

The C/N did not differ among groups, but was negatively related to leaf δ^{13}C (Figure 8). Leaf δ^{15}N

neither differed significantly nor was related to any other functional trait (data and results not shown).

Discussion

CAL, LEG and SAV species can be used as different groups of species responding to

environmental variables and with different functional profiles. CAL, LEG and SAV abundances responded

differently to the explanatory variables of soil and to canopy openness of (i.e., light). CAL, LEG and SAV

differed from each other in leaf traits (i.e., nitrogen content, C/N and SLA) and in stem traits (wood density

and Hmax/Dmax) indicating distinctive functioning. Therefore, the CAL, LEG and SAV presented

distinctive abundance responses to soil/light variables as well as distinctive set of functional traits. The

proposed species grouping was significantly consistent with the traits SLA, Hmax/Dmax, %C and %N as

well as the soil variables.

CAL abundance was the only group negatively related to aluminium saturation. CAL was

negatively related to canopy openness as well. CAL presented greater stem elongation and greater SLA

compared to SAV, indicating that CAL species are associated with more fertile soils of higher productivity

than SAV, congruently to their high SLA (Reich et al. 1997; Westoby 1998). The deciduousness (i.e., short

leaf lifespan) of the most of CAL species (Oliveira-Filho et al. 2006) suggests also high productivity (Reich

et al., 1997) in plots dominated by CAL species. Therefore, functional traits and responses to environmental

variables that distinguish CAL species are related to competition ability for light, to long lifespan (i.e., high

Hmax/Dmax, low canopy openness and high wood density), to habitats with high productivity (i.e., high

SLA) (Westoby 1998; Moles et al. 2009; Pérez-Harguindeguy et al. 2013; Kunstler et al. 2016) as well as

to intolerance to soil stress.

The abundance of LEG was negatively related to pH, and to canopy openness. Their low leaf C/N

and highest leaf nitrogen content are congruent with the nitrogen-fixing function and with low canopy

openness (Bustamante et al. 2004, 2006). Functional traits and environmental variables that distinguish

LEG species are related to competition for light, to long lifespan (i.e., medium to high wood density and

low canopy openness), to high nitrogen status (i.e., low C/N and high leaf nitrogen), to soil nutritional

stress-tolerance (i.e., low pH).

The SAV abundance is negatively related with soil inorganic nitrogen and with CEC. The negative

relation of SAV abundance with soil resources are congruent with their low SLA, suggesting suitability to

habitats with low productivity (Dantas et al. 2013; Pérez-Harguindeguy et al. 2013). Functional traits

suggest SAV species as bad competitors for light with short lifespan (i.e., low stem elongation and low wood density), tolerant to soil-nutritional stress of habitats with low productivity (i.e., high C/N, low leaf nitrogen content, low SLA, low soil CEC and low inorganic soil N).

Within CAL species, there are six species that would be grouped separately forming another intermediate group or even be placed into another group. However, as the objective of the grouping is to decrease diversity in order to find the main soil and light influences in the Cerrado, we chose to use three groups. Therefore, our choice was to include *Alibertia edulis*, *Erythroxylum daphnitis*, *Lithraea molleoides*, *Myrsine umbellata*, *Pera glabrata* and *Terminalia argentea* inside CAL group.

From that first grouping effort that listed 'Cerrado typical species' and separated them from species that 'mainly occur in other vegetation types' (Rizzini 1963), the CAL (calcicoles) were in the species list that mainly occur in other vegetation types (Ratter et al. 1977; Oliveira-Filho et al. 2006; Durigan and Ratter 2006) and the LEG had species in both lists (Meira-Neto et al. 2017). The Cerrado typical species *sensu* Rizzini (1963) are the bulk of SAV, but some SAV were listed as species that 'mainly occur in other vegetation types' by the author. It is the case of *Callistene major*, *Curatella americana*, *Miconia albicans*, *Styrax camporum*, *Tapirira guianensis* and *Vochysia tucanorum*. Therefore, the Rizzini's groups may be a good hint, but they are not consistent functionally.

The negative relation between C/N and δ^{13}C among all groups show that the higher the N nutritional status, the more the ^{13}C proportion in leaves. A possible explanation of low δ^{13}C in some of these species may be that deep roots can access water during the dry season on deep soils in Cerrado (Rawitscher et al. 1943; Ferri 1944; Rachid 1947; Rossatto et al. 2012). Therefore, low ^{13}C proportion might be because the higher stomatal conductance and high CO_2 assimilation rates lower the δ^{13}C as Rubisco freely discriminates and prefers ^{12}C to ^{13}C (Kohn, 2010). Thus, the high nitrogen status associated with high δ^{13}C may enhance drought tolerance (Wright et al. 2001, 2003) and should be further investigated in LEG and CAL that presented lower C/N than SAV. The high $\delta13C$ in leaves suggest stomatal closure as well as higher water use efficiency (Goldstein et al. 1989; Scartazza et al. 1998). The δ^{13}C of the cerradões dominating groups (CAL+LEG) is higher than the δ^{13}C of SAV (p=0.0317, GLM, gaussian distribution, results not shown). Therefore, our results suggest that ecosystems dominated by CAL and LEG (cerradões) are on soils with higher nutritional status, with less canopy openness and rather tolerate drought stress more than open Cerrado plots dominated by SAV. Considering that δ^{13}C enrichment is associated to stomatal closure as a response to drought stress, ^{13}C enriched functional groups can tolerate longer periods of water

stress (Werner and Máguas 2010; Máguas et al. 2011) than SAV (i.e., ^{13}C depleted functional group).

Moreover, decreased leaf C/N of LEG and CAL may to improve plant growth and canopy coverage. Thus, higher δ^{13}C values suggest longer periods of biomass incorporation during droughts and different phenological developments (Werner and Máguas 2010). Therefore, the results show that as leaf C/N decreases, leaf δ^{13}C increases, drought tolerance increases and water use efficiency increases, similarly to found results of other studies (Goldstein et al. 1989; Scartazza et al. 1998; Coletta et al. 2009). As CAL and LEG are ^{13}C enriched and dominate cerradões, the relation between high nitrogen status and high water use efficiency must be further assessed in CAL and LEG.

Despite the reported evidence of nitrogen fixation by nodulated genera in the Cerrado (Sprent *et al.* 1996), our results of leaf δ^{15}N were not significant. However, the proportion of this stable isotope should be further studied in both soil and plants to determine whether the sources of N for the different functional groups are the same (Marshall et al. 2007; Craine et al. 2015), and whether there are ecological processes involved in nitrogen uptake by plants (e.g., mycorrhizal and nitrogen-fixing bacteria associations). In addition to δ^{15}N, the existence of variation in leaf N/P among groups of species should be determined (Bustamante *et al.*, 2004, 2006; Townsend *et al.*, 2007). *Copaifera* was the only leguminous genera that is not considered nitrogen fixing among LEG (Sprent 2009) and deserves attention in future studies concerning nitrogen fixing species.

Based on the GLMs results and fourth-corner analysis, we propose a model with three main gradients and two functional traits to explain the responses of CAL, LEG and SAV: canopy openness, soil aluminium saturation, pH, CEC, and soil inorganic nitrogen. The model presented in Figure 9 shows the main gradient for each functional group. CAL, associated with high SLA, dominate soils/plots with low aluminium saturation, and low canopy openness. LEG, associated to low C/N, dominate soils/plots with high pH and with low canopy openness. The SAV, associated to low stem elongation, dominate soils/plots with low inorganic nitrogen low CEC and with low inorganic nitrogen. That model was proposed based on the figures 1 to 8 and respective statistical tests. The model is hypothetical and should be tested in any tropical savannas on acidic soils.

The proposed model may generate hypotheses and questions for different situations throughout the Cerrado and other tropical savannas. The first question maight be how general is the model. The hypotheses derived from each side of the triangle would deepen the understanding of particular situations in the Cerrado, as demonstrated by the side represented between the mesotrophic and dystrophic cerradões gradient (CAL-LEG) (Meira-Neto et al. 2017) which would be interpreted as the merged red and green

gradients of the model. Thus, are the mesotrophic cerradões-savanna gradient (CAL-SAV) as well as

dystrophyc cerradões-savanna gradient (LEG-SAV) the resulting merged gradients of the other triangle

sides? What would be the responses of the groups where one of them is underrepresented or even missing?

Acknowledgements

The authors thank CNPq (proc. 446698/2014-8), FAPEMIG (PPM 0584-16), PIRSES (GA-2010-269206),

CAPES and PPGBot/UFV for scholarships, grants and financial support. The authors also thank the

Paraopeba Reserve staff for providing help and facilities. JAAMN has a productivity fellowship from CNPq

(307591/2016-6). The research complies with the current Brazilian law.

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Table 1. Plant traits used to analyze functional groups of a Cerrado community at Paraopeba Reserve,

Minas Gerais. (*) indicates a trait that was not directly used in the data analysis, but which is part

of a rate. SLA = specific leaf area, Hmax = maximum height, Dmax = maximum diameter, C/N =

carbon to nitrogen ratio of leaf.

Trait	Unit	Functional significance
1. SLA	$mm^2.mg^{-1}$	Correlated with aspects of physiology related to the efficiency of resource uptake and use
2. Hmax*	m	Reflects competitive vigour and ability to persist after disturbances
3. Dmax*	cm	
4. Hmax/Dmax	-	Stem elongation considering vertical/horizontal growth
5. C/N	-	Indicates nitrogen limitation, proxy of nutritional status
6. N%	-	Indicates leaf nitrogen content, proxy of nutritional status
6. C%	-	Indicates leaf carbon content, proxy of polysaccharides and photoassimilates
6. Bark thickness	mm	Indicates if a species is adapted to poor soils and to high disturbance regimes: the thicker the bark, the poorer the soil and the higher disturbance resistance
7. Wood density	$mg.mm^{-3}$	Key trait for plant growth

Figure 1- Canonical RLQ ordination of 34 woody species and environmental variables; red dots are calcicole species (CAL), green dots are leguminous species (LEG), purple dots are savanna species (SAV). SLA – specific leaf area, elongation – maximum height to maximum diameter ratio, % nitrogen – leaf nitrogen content (%), % carbon – leaf carbon content (%).

Figure 2. Results of the fourth-corner analysis. Adjusted significances of positive relations: between Cation

Exchange Capacity (CEC) and specific leaf area (SLA), P value adjusted = 0.044; between CEC and stem

elongation (Hmax/Dmax), P value adjusted = 0.044; between inorganic nitrogen and leaf nitrogen

percentage (%N), P value adjusted = 0.040; between inorganic nitrogen and leaf carbon percentage (%C),

P value adjusted = 0.044. Adjusted significances of negative relations: between aluminium saturation (m)

and specific leaf area (SLA), P value adjusted = 0.044; between aluminium saturation and Hmax/Dmax, P

value adjusted = 0.024.

Figure 3. The best GLM full models for Calcicole species (Ni=abundance) in relation to

soil and canopy openness in the Cerrado of Paraopeba Reserve. Model: glm (CAL ~ Al

saturation + Inorganic nitrogen + CEC + Canopy openness + pH, family=poison), delta

AIC < 2. Dots are 20-m x 20-m plots. Soil: Inc - Cambisol (Inceptisol); RLd – dystrophic

Red Latosol; RLm – mesotrophic Red Latosol; RYL – Red-Yellow Latosol; YL – Yellow

Latosol.

Figure 4. The best GLM full models for Leguminous species (Ni=abundance) in relation

to soil and canopy openness in the Cerrado of Paraopeba Reserve. Model: glm (CAL ~

Al saturation + Inorganic nitrogen + CEC + Canopy openness + pH, family=poison),

Delta AIC < 2. Dots are 20-m x 20-m plots. Soil: Inc - Cambisol (Inceptisol); RLd –

dystrophic Red Latosol; RLm – mesotrophic Red Latosol; RYL – Red-Yellow Latosol;

YL – Yellow Latosol.

Figure 5. The best GLM full models for Savanna species (Ni=abundance) in relation to

soil and canopy openness in the Cerrado of Paraopeba Reserve. Model: glm (CAL ~ Al

saturation + Inorganic nitrogen + CEC + Canopy openness + pH, family=poison), Delta

AIC < 2. Dots are 20-m x 20-m plots. Soil: Inc - Cambisol (Inceptisol); RLd – dystrophic

Red Latosol; RLm – mesotrophic Red Latosol; RYL – Red-Yellow Latosol; YL – Yellow

Latosol.

Figure 6. Box and whiskers plots of the GLMs for functional groups by functional traits.

Leaf nitrogen content (Leaf N%), Leaf carbon to nitrogen ratio (C/N) and Specific leaf

area (SLA) by groups. Different lowercases letters indicate significant differences

(p<0.005).

Figure 7. Box and whiskers plots of the GLMs for functional groups by functional traits.

Wood density, bark thickness and ratio of maximum height to maximum diameter (i.e.,

stem elongation, Hmax/Dmax) by groups. Different lowercases letters indicate significant

differences (p<0.005).

676
677 **Figure 8.** GLM of the best model for leaf C/N in response to functional traits (SLA,

678 Hmax/Dmax, Bark thickness, leaf δ^{13}C and leaf δ^{15}N) of the 34 Cerrado plant species of

679 the three functional groups CAL and LEG and SAV. The best model is between leaf C/N

680 and leaf δ13C (d13C) values (p=0.00148).

682
Figure 9. Model for the main gradients determining the distribution of the Calcicole

species (CAL); the Leguminous species (LEG), the Savanna species (SAV); Inorganic N

= inorganic nitrogen; C/N = leaf carbon-to-nitrogen ratio; pH = hydrogenionic potential;

CEC = cation exchange capacity. Arrows indicate the gradients where, at one extremity,

one group preferentially occurs, on the other extremity, two other groups preferentially

occur.