Type of Article: Research Paper

Title: Tasting the differences: microbiota analysis of different insect-based novel food

Running title: Microbiota signature in insect-based food

Author names and affiliations:

Jessica Frigerio^{1¶}, Giulia Agostinetto^{2¶}, Andrea Galimberti², Fabrizio De Mattia¹, Massimo

1

Labra², Antonia Bruno²*

¹FEM2-Ambiente, Piazza della Scienza 2, I-20126 Milano, Italy;

²Zooplantlab, Department of Biotechnology and Biosciences, University of Milano-Bicocca,

Piazza della Scienza 2, I-20126 Milano, Italy;

* Corresponding author

email: antonia.bruno@unimib.it

¶These authors contributed equally to this work

Abstract

Traceability, quality and safety of edible insects are important both for the producers and the

consumers. Today, alongside the burst of edible insects in western countries, we are facing a

gap of knowledge of insect microbiota associated with the microbial ecosystems of insect-

based products. Recent studies suggest that the insect microbiota can vary between insect

species and that can be shaped by additional factors, such as rearing conditions. Also, the

production processes of raw materials (i.e. insect flour) into final food products can affect the

insect microbiota too. This has consequences for the evaluation of food safety and food

traceability. In this context, High-Throughput DNA Sequencing (HTS) techniques can give

insight into the carryover of insect microbiota into final food products. In this study, we

investigated the microbiota composition of insect-based commercial food products, applying

HTS techniques coupled with bioinformatic analysis. The aim of this work was to analyse the

microbiota variability of different categories of insect-based products made of A. domesticus

(house cricket), T. molitor (mealworm beetle), and A. diaperinus (lesser mealworm or litter

beetle), including commercial raw materials and processed food items, purchased via e-

commerce from different companies. Our data revealed that samples cluster per insect

species based on microbiota profile and preliminary results suggested that a small number of

prevalent bacteria formed a "core microbiota" characterizing the products depending on the

insect, suggesting that a resident microbiota is conserved. This microbial signature can be

recognized despite the different food processing levels, rearing conditions selling companies.

We showed that differences exist when comparing raw vs processed food made of the same

insect, or similar products produced by different companies as well, laying the groundwork

for further analyses. These results support the application of HTS analysis for studying the

composition of processed insect food in a wider perspective, for food traceability and food

quality control.

Keywords: DNA metabarcoding; Microbiota; High Throughput Sequencing; Insect; Processed

food; DNA barcoding

1. Introduction

Entomophagy is an emerging and fashionable diet issue in western countries. Insects are an

important source of energy for human diets, thanks to their richness in essential nutrients

(Rumpold & Schlüter, 2015). They have a protein content average value ranging from 30% to

65% of the total dry matter, but they are also rich in micronutrients such as iron, zinc and

calcium (Dobermann, Swift & Field, 2017). Moreover, preliminary studies of Oonincx & de Boer (Oonincx & de Boer, 2012) stated that, compared to other livestock animals, insect farming has a lower environmental footprint.

Safety, traceability and quality of edible insects are of great interest both for the producers and the consumers, heavily affecting the acceptance of edible insects in the human diet (House, 2016). New tools for quality and safety controls on these food items could also benefit institutions like food agencies, customs and health departments in the evaluation of new product development based on processed insects. Geographically, there are three legal categories. Concerning the Anglo-Saxon countries such as the UK, USA, Canada, New Zealand and Australia, edible insects do not represent a novel food and the local food agencies have authorized their import and sales. In the European Union, the regulation (Regulation EU 2015/2283) has classified edible insects as novel foods, which follow specific rules and require specific authorizations before allowing them to be distributed (Klunder HC, Wolkers-Rooijackers, Korpela, Nout, 2016; Van Huis, 2012; Shutler et al., 2017). Finally, in the remaining areas such as Asian countries, insects are considered traditional food, therefore they are commonly commercialized and consumed. This system of multiple rules could lead to difficulties in the trading of these products. In addition, food safety authorities and the scientific community are discussing whether edible insects can be a reliable solution or a problem to the food security (Belluco et al., 2015, Di Mattia, Battista, Sacchetti, Serafini, 2019).

The potential safety risks of edible insects are chemical hazards including pesticides, heavy metals, allergens, toxins (mycotoxin and bacterial toxins). There is a risk that harmful insect microbes are transmitted through the consumption of insect products (van der Spiegel, Noordam, van der Fels-Klerx, 2013). Most of the insect microbiota are associated with gut

(e.g., the intrinsic insect symbionts in the intestinal tract and in the proximity of other anatomical compartments) or related to extrinsic sources, such as environment and rearing conditions (substrates and feed), handling, processing and preservation (ANSES, 2014). Especially, as stressed recently by the European Food Safety Authority (EFSA, 2015), sporeforming bacteria in processed edible insects (including freeze-dried, boiled and dried varieties) can be considered a dangerous source of biological contamination as well. Today, there is little information available about insect microbiota associated with insectbased products which potentially harbors organisms harmful to human health. Garofalo and colleagues (Garofalo et al., 2017) explored the microbiota of marketed processed edible insects using culture-based methods and pyrosequencing. They described, among others, the microbiota of whole dried small crickets (Acheta domesticus) and whole dried mealworm larvae (Tenebrio molitor), revealing a great bacterial diversity and variability among individual insect species: some of the identified microbes may act as opportunistic pathogens in humans, such as Listeria spp., Staphylococcus spp., Clostridium spp. and Bacillus spp., while others represent food spoilage bacteria, as well as Spiroplasma spp. in mealworm larvae. The insect diet and social behavior have a great impact on the composition of the gut microbial community (Tinker & Ottesen, 2013), therefore different insect farm conditions result in different microbiological ecosystems. Although some authors such as Stoops and co-workers (Stoops et al., 2017) suggested that the microbial taxonomic composition varies mainly with insect species, the additional factors such as the growing substrates or contact with soil may play an important role in the composition of the insect gut microbiota (Klunder HC, Wolkers-Rooijackers, Korpela, Nout, 2016; EFSA, 2015; Li et al., 2016). Considering the insect production system, industrial practices, such as post-harvest starvation and rinsing, can affect the microbial quality of the final insect products too (Wynants et al., 2018). Since all food

products, including those insect-based, undergo processing, the risk for human safety should

be measured throughout the various stages, from raw materials (i.e. insect flour) to final food

products. High-Throughput DNA Sequencing (HTS) offers a standardized and sensitive method

to evaluate the microbial community changes by analysing a wide range of food products (De

Filippis, Parente & Ercolini, 2019). The search for a microbial signature represents both an

opportunity to verify food safety and food traceability strategy, indeed the microbial variation

gives insight about rearing and processing products. The microbial variability allows to obtain

more information besides the identification of the insect species identification, like the

hygienic and sanitary conditions concerning the rearing systems. Moreover, the insect

microbiota can be used to identify the geographical origin of a food product and used as a

tracing signature, as previously demonstrated by Mezzasalma and colleagues (Mezzasalma et

al., 2017).

In this study, we evaluated the microbiota composition of insect-based commercial food

products, applying HTS with complementary bioinformatics analysis. The aim of this study

was to analyse the microbiota variability of different categories of insect-based products

(including commercial raw materials and processed food items), purchased via e-commerce

from different companies. We sought to define with a preliminary study if HTS-based tools

could be useful to get insight into the impact of the food processing steps on the transfer of

5

the insect microbiota into the final product.

2. Materials and methods

2.1 Insect food products

A total of 12 commercial insect-based products were purchased via e-commerce from six different companies (Table 1). Referring to the label information, these products contained only one insect species each, belonging to the orders Orthoptera (*Acheta domesticus*), and Coleoptera (*Alphitobius diaperinus* and *Tenebrio molitor*) (S1 Table).

Among these, four products were pure insect flours belonging to the species *Alphitobius diaperinus* (n=1) and *Tenebrio molitor* (n=3), and they have been categorized as insect raw material. In the case of *T. molitor*, we collected three different batches of the same product to test if any variability among batches exists, considering microbiota composition (samples R_001; R_002 and R_003). The remaining eight samples represented processed food products: pasta (n=3), crackers (n=2) and protein bars (n=3).

[Table 1]

2.2 DNA extraction

High-quality genomic DNA was obtained starting from 250 mg of each sample of table 1 using DNeasy PowerSoil Kit (QIAGEN, Hilden, Germany), according to manufacturer's instructions. Three replicates of DNA extraction were generated for each sample plus a negative control. Purified DNA was checked for concentration and purity by using a Qubit 2.0 Fluorometer and Qubit dsDNA HS Assay Kit (Invitrogen, Carlsbad, California, United States).

2.3 DNA barcoding characterization of insect samples

The 658 bp mtDNA COI region was used to validate the animal species declared on the label in the sampled insect-based products. This region was amplified and sequenced for all 12 samples according to the primer pairs presented by Folmer and colleagues (Folmer et al., 1994) and the protocol described in Bellati et al. (Bellati et al., 2014). Each sequence was defined the nearest matches with the BLAST algorithm using the following cut-off values/maximum identity >99% and query coverage of 100%.

2.4 HTS library preparation and sequencing

To characterize the bacterial composition of the investigated insect-based products, 16S rRNA genes (V3 and V4 hypervariable regions) of the obtained gDNA extracts were sequenced using a High-Throughput Sequencing approach. Amplicons were generated following the protocol described by Caporaso et al. (Caporaso et al., 2012) with Illumina adapters (S2 Table), with minor modifications as described in Frigerio et al. (Frigerio et al., 2020): we used puReTag Ready-To-Go PCR beads (GE Healthcare Life Sciences, Italy) according to manufacturer's instructions in a 25 µL reaction, containing 1 µL 10 mM of each primer and up to 50 ng of gDNA. The amplification profile consisted of an initial denaturation step for 5 min at 95 °C, followed by 25 cycles of denaturation (30 s at 95 °C), annealing (30 s at 55 °C), and elongation (30 s at 72 °C), and finally elongation at 72 °C for 5 min. Amplicon DNA was checked for concentration by using a Qubit 2.0 Fluorometer and Qubit dsDNA HS Assay Kit (Invitrogen, Carlsbad, California, United States) and amplicon length was measured by comparison against QX DNA Size Marker using the Qiaxcel Automatic electrophoresis system (QIAGEN, Hilden, Germany). Samples were sequenced by the Center for Translational Genomics and BioInformatics (Milan, Italy). The sequencing was performed on the MiSeq sequencing

platform (Illumina, San Diego, CA, USA) with a paired-end approach (MiSeq Reagent Kit v3, 2 x 300 bp).

2.5 Bioinformatics analysis

Illumina reads were analysed with QIIME2, Quantitative Insights Into Microbial Ecology 2 program (ver. 2019.4; https://qiime2.org/) (Boylen et al., 2018). Sequences were demultiplexed with native plugin and DADA2 (Divisive Amplicon Denoising Algorithm 2) (Callahan et al., 2016) was applied to obtain ASVs sequences (or features) (Callahan et al., 2017), trimming primers and performing a quality filter with an expected error of 2.0. Chimeric sequences were removed using the consensus method. Features with at least 10 representatives associated and detected in at least two samples were kept. The taxonomic assignment of representative sequences was carried out using the feature-classifier (https://github.com/qiime2/q2-feature-classifier) plugin implemented in QIIME2, using classify-consensus-vsearch method against the SILVA SSU non-redundant database (132 release), adopting a consensus confidence threshold of 0.8. Taxa bar plots were generated with the QIIME2 dedicated plugin taxa (https://github.com/qiime2/q2-taxa). As ASVs assigned to Cyanobacteria phylum (class Chloroplast) were considered potential plant contaminants, they were removed from the downstream analysis. Reads of mitochondrial or eukaryotic origin were also excluded. Overlap among technical replicates was calculated considering taxa at family level weighted for abundances (Wen et al., 2017). Alpha diversity was carried out considering presence/absence of ASVs and Shannon index. Statistical differences among samples belonging to the same insect species were calculated using alphagroup-significance plugin by QIIME2, performing also a pairwise contrast (Kruskal and Wallis, 1952). Beta diversity, instead, was carried out considering qualitative (Jaccard and

unweighted UniFrac) and quantitative (Bray-Curtis and weighted UniFrac) distance metrics (Lozupone et al., 2011), using QIIME2 core-metrics plugin (https://github.com/qiime2/q2diversity). Statistical differences were calculated by permutation-based ANOVA (PerMANOVA) functions of beta-group-significance plugin (Anderson, 2001), with 999 permutations, considering insect species and sample type categories. A PerMANOVA Pairwise contrast was performed with beta-group-significance plugin. Principal coordinates plots (PCoA) method was used to explore the structure of microbial communities. The phylogenetic tree necessary to calculate UniFrac distances was built on the alignment of ASVs representative sequences using align-to-tree-mafft-fasttree method by phylogeny plugin (https://github.com/qiime2/q2-phylogeny). Heatmap visualization was used to explore the abundance of bacteria families among samples and was generated by QIIME2. Core microbiota among insect samples was calculated considering the ceiling of the mean of species frequencies among samples and keeping a core threshold of 0.7 (minimum fraction of samples that a species must be observed in), performed with core-features plugin (https://github.com/qiime2/q2-feature-table). A Venn diagram was created starting from core microbiota results setting the threshold = 1, by calculating the number of shared and unique taxa per insect collapsed at the genus level.

3. Results

3.1 Sequencing output

All the replicates of the 12 collected samples showed good DNA quality (i.e., A260/A230 and A260/A280 absorbance ratios within the range 1.6 - 2.2) and good yield (20-40 ng/µl). The

DNA barcoding (mt COI) sequencing results indicated that all the tested samples were

composed of insects. Moreover, the BLAST analysis against reference insect DNA barcoding

sequences confirmed that all samples corresponded with the declared insect species (i.e.,

maximum identity > 99% with the declared species).

HTS analysis produced about 8,573,268 raw reads from the analysed samples, with an average

of 231,709 reads per sample (DS = 128,393). After quality filtering, merging reads, chimera

and contaminants removal, we obtained a total of 605 ASVs (Amplicon Sequence Variants)

[26]. Negative controls (deriving from DNA extraction and amplification step) for library

sequencing were not included in the analysis since they encompassed a very low number of

DNA reads.

3.2 Microbial diversity analysis

From overlap calculations for technical replicates, family overlap resulted in a mean of 96%,

with a standard deviation of 0.06.

Both considering ASVs and Shannon metric, differences among samples derived from

different insects were observed (Table S3).

Samples belonging to raw material (flour) and food products (crackers, pasta and protein

bars) showed a significant difference, considering both qualitative (Jaccard and Unweighted

UniFrac) and quantitative metrics (Bray-Curtis and Weighted UniFrac) (p-value<0.01). Overall,

we observed a significant difference among samples belonging to different insects (p-

10

value<0.01; q-value<0.01).

3.3 Taxonomic composition analysis

A total of 9 bacterial phyla, 14 classes, 34 orders, and 67 families were identified (Fig 1, S5 Table).

[Figure 1]

Taxonomic analysis revealed that most of the sequences in all the samples were associated with the phyla Proteobacteria (47%) and Tenericutes (26%), followed by Firmicutes (23%). 13% resulted in Unassigned taxa. Looking inside the taxonomic rank of class, the most abundant were Gammaproteobacteria, with 47% of sequences, Mollicutes (26%), and Bacilli (22%). Enterobacteriales was the most abundant order, encompassing 45% of the sequences, distributed across all the samples, followed by Entomoplasmatales (26%), Lactobacillales (12%), Bacillales (10%), and Bacteroidales (2.6%). On the whole, the remaining 29 orders covered 4.4% of sequences. The Enterobacteriaceae family accounted for 45% of sequences, whereas Spiroplasmataceae represented 26% of sequences.

Considering taxa distribution per insect (Fig 2), we can notice differences in microbial composition, spanning from the phylum level to a deeper taxonomic resolution. Considering taxonomy per insect species, at the taxonomic level of order, we found that *A. domesticus*-based samples were dominated by Bacillales (54%), followed by Bacteroidales (21.2%), and Lactobacillales (8.9%), representing 84.1% of 28 orders. On the other hand, food products made with *A. diaperinus* had most of the sequences assigned to Enterobacteriales (89.6%), with the remaining 7% and 2.1% assigned to Lactobacillales and Bacillales, respectively, and 1.3% of sequences distributed in 11 orders. *T. molitor*-based food products showed 45.4% of

sequences corresponding to Entomoplasmatales order, 29.5% to Enterobacteriales, 14.5% to

Lactobacillales, and the remaining 10.6% to 21 different orders.

[Figure 2]

Focusing on specific features, we observed that the most abundant feature was assigned to

an uncultured Spiroplasma (25%), reported exclusively in *T. molitor* samples. The fifth most

abundant feature (3%), assigned to the genus Kurthia (Planococcaceae; Bacillales; Bacilli;

Firmicutes) was detected only in A. domesticus protein bars produced by the British company

5, but not in samples belonging to the British company 4. Moreover, all and only the food

products deriving from British company 5 are characterized by the presence of a specific

feature assigned to Exiguobacterium (Family XII; Bacillales; Bacilli; Firmicutes). Considering

features shared between protein bars belonging to British company 5 and French company 2,

the most abundants were assigned to Enterobacteriaceae family (20,4%) (Enterobacteriales;

Gammaproteobacteria; Proteobacteria), followed by Tannerellaceae (14,3%) (Bacteroidales;

Bacteroidia; Bacteroidetes) and Lachnospiraceae (14,3%) (Clostridiales; Clostridia;

Firmicutes).

A feature assigned to an uncultured Parabacteroides (Tannerellaceae; Bacteroidales;

Bacteroidia; Bacteroidetes) is unique for A. domesticus samples, whereas features assigned

to Enterobacter (Enterobacteriaceae; Enterobacteriales; Gammaproteobacteria;

Proteobacteria), a different microorganisms belonging to Enterobacteriaceae, and

Enterococcus (Enterococcaceae; Lactobacillales; Bacilli; Firmicutes) were found only in A.

12

diaperinus food products.

To better visualize the microbial variation among different food products, and which family mostly contribute to distinguish food products, a heatmap based on relative abundances was generated (Fig 3).

[Figure 3]

Analysing the sample cluster dendrogram, two main clusters separate samples based on insect order, composed by *A. domesticus* (Orthoptera) food products and *T. molitor* plus *A. diaperinus* (both Coleoptera) food products. Subclusters differentiated raw food products (flour) from processed food products (pasta, crackers and protein bars): flour made by the two insects of the Coleoptera order (i.e., *T. molitor* and *A. diaperinus*) formed a distinct cluster that separated pasta and crackers samples based on the same insects. Moreover, same food products constituted by different insects can be distinguished by family abundances in the heatmap: *A. diaperinus* pasta clusterized separately from *T. molitor* pasta. Conversely, protein bars composed by the same insect (*A. domesticus*), but produced by different companies, are scattered in two different clusters, as also shown by microbial diversity analysis represented in the PCoA plot (Table S4).

3.4 Core microbiota

Core microbiota preliminary analyses revealed the taxa shared by at least 70% and the 100% of samples of the category representing the insect used in the food products. Venn diagram, calculated from core microbiota results of the most conserved taxa (100% of samples per insect), highlighted the presence of unique and shared taxa considering insect species used in the food products analysed (Fig 4).

[Figure 4]

In the case of *T. molitor*-based food products, we observed a core microbiota constituted by 21 taxa shared among > 70% of the samples and 10 taxa shared by all the samples. The 10

most conserved taxa (100% of samples) belonged to uncultured Spiroplasma sp., a taxon from

Enterobacteriaceae family, Enterococcus, Staphylococcus, Enterobacter, uncultured

Lactococcus, Pseudomonas, Bacillus, Serratia and Pantotea (S6 Table).

On the other hand, A. diaperinus-based food products showed 14 shared taxa, both

subsampling the 70% of samples or considering all the samples, indicating a highly conserved

core microbiota. In contrast to *T. molitor*-based products, we reported the presence not only

of Enterococcus, Staphylococcus, Enterobacter, Lactococcus, but also of Enterococcus faecalis,

Listeria, Brevibacterium, Corynebacterium, Brachybacterium, Acinetobacter, and Bacillus

pumilus. We reported as well the absence of Spiroplasma, Pseudomonas, Serratia and

Pantotea.

Considering A. domesticus-based food products, all the samples shared 29 taxa, and 44 taxa

are shared by 70% of samples. Among these, all the samples reported the presence of bacteria

belonging to the family Lachnospiraceae and to the genus Parabateroides (Family:

Tannerellaceae).

Venn diagram analysis showed that, if four genera are shared among all the samples (a genus

belonging to Enterobacteriaceae family, Lactococcus, Enterobacter, Enterococcus), 28 genera

were unique considering the insect species. In particular, twenty genera were exclusively

detected in all the samples of A. domesticus-based food products, and, among them, the three

most abundant were Parabacteroides, Bacteroides, and a genus belonging to

Lachnospiraceae family (see S6 Table for the complete list), thus confirming the explorative

analyses described in the previous section. Brevibacterium, Acinetobacter, Brachybacterium,

Listeria, and Corynebacterium were the genera unique for A. diaperinus-based food products,

whereas T. molitor-based food products showed as unique genera Spiroplasma, Pantoea, and

14

Serratia.

4. Discussion

In this study, we characterized through the application of HTS techniques the microbial composition of insect-based food products made of *A. domesticus*, *T. molitor*, and *A. diaperinus*, purchased via e-commerce. We selected both raw and processed food products, considering the availability on the market, from different selling companies.

Our preliminary data revealed that a small number of prevalent bacteria formed a "core microbiota" for each insect, which can potentially be used as biomarkers to identify insect ingredient origin in food products.

A recent study based on more than 20 samples per rearing condition analysed (plus 5 controls) (Cambon et al., 2018) showed that, although the relative abundances of some of the members of the microbiota are affected by rearing changes, a resident microbiota in T. molitor gut exists, thus supporting our hypothesis tested with core microbiota analysis. In particular, this study identified a resident T. molitor microbiota consisting of Pseudomonas, Serratia and genera belonging to the Enterobacteriaceae family. Noteworthy, this evidence is in accordance with the data we obtained in our study, as a further confirmation of our results. If there was a significant insect component, the core microbiota would reflect the physiology of the organisms, the diet and rearing conditions. By contrast, if the level of food processing affected the microbiota, the organism could be difficult to identify searching for a microbial signature. Nevertheless, we identified shared features constituting the core microbiota of specific insects. In addition to that, despite the processing level, we found exclusive taxa in all the samples of specific insects. Noteworthy, our results showed that in A. domesticus processed food (i.e. protein bars and crackers) microbiota is composed by a robust core of microorganisms that is conserved and is similar in composition to what was reported in other studies on raw food (i.e. fresh crickets): Vandeweyer and colleagues (Vandeweyer, Crauwels,

Lievens, Van Campenhout, 2017) showed that A. domesticus is abundantly colonised by

(Para)bacteroides species (Johnson, Moore, Moore, 2009), confirming the first two hits we

obtained through core microbiota analysis.

Interestingly, in this study A. domesticus core microbiota harbured bacteria belonging to

Lachnospiraceae family too. This evidence may prove beneficial when edible insects will be

introduced in the western diet and it is worth further studies: Lachnospiraceae are found,

among others, in our digestive tract and are involved in fiber digestion. The exposure to

antibiotics (such as β-lactam antibiotics and fluoroquinolones) eliminates Lachnospiraceae

from gut microbiota. This lead to the gut becoming a prime target for opportunistic infections

such as the one caused by Clostridium difficile, but restoring Lachnospiraceae into the

intestines of infected patients has been shown to help cure C. difficile infections (Lagier et al.,

2012; Segata et al., 2012; Song et al., 2013; Seekatz, 2018). It is conceivable that in processed

food we found only DNA and not viable cells and more investigations are needed, also

focusing on prebiotic effects. In a recent study, the impact of an insect-based diet (cricket) on

the human gut microbiota revealed increased levels of Bifidobacterium animalis. This could

be due to cricket chitin which may function as a prebiotic (Stull et al., 2018). T. molitor flour

in in vitro fecal models promoted the growth of Bacteroidaceae and Prevotellaceae, but not

of Clostridium histolyticum group or Desulfovibrionales and Desulfuromonales (Carvallo et al.,

2019).

On the other hand, exclusively all the samples based on Tenebrio molitor source are

dominated by Spiroplasmataceae family (Phylum: Tenericutes; Class: Mollicutes), in particular

bacteria belonging to Spiroplasma genus. Spiroplasma are a group of small bacteria without

cell walls and share simple metabolism, parasitic lifestyle, and small genome (about 1 Mb).

Spiroplasma are found in the gut or hemolymph of insects where they can act as

endosymbionts, impacting on host reproduction or host defence system. These findings are

consistent with studies on fresh mealworm larvae (Vandeweyer, Crauwels, Lievens, Van

Campenhout, 2017) deriving from different companies. They reported differences in the

bacterial community composition that were higher in mealworms than in crickets, considering

different rearing companies and production cycles.

To better disentangle these dynamics, Osimani and colleagues (Osimani et al., 2018) tested in

laboratory conditions the microbiota changes of Tenebrio molitor rearing, "from feed to

frass": if wheatmeal showed low microbial contamination, both larvae and frass were

characterized by Enterobacter spp., Erwinia spp., Enterococcus spp. and Lactococcus spp. as

dominant genera. Entomoplasmatales (including Spiroplasma spp.) constituted a major

fraction of the microbiota of larvae depending on the batch analysed and therefore

suggesting that other unaccounted variables have a role in this.

A. diaperinus samples are dominated by Enterobacter, both flour and pasta, produced by

different companies. These findings are in agreement with previous studies on fresh larvae

(Wynants et al., 2017) and minced meat-like products (Stoops et al., 2016). A. diaperinus-

based pasta clustered separately from flour samples made of the same insect, but in the same

main cluster including food products belonging to Coleoptera. A similar behaviour can be seen

in the case of *T. molitor* pasta and flour samples.

With regard to food safety, it is worth mentioning the presence, considering the 20 most

abundant bacteria classified at the genus level, of sequences assigned to Bacillus in most of

the samples (80%). The capacity to form endospore, resistant to heat and desiccation, deserve

17

attention even if there is no confirmation of viability assay.

With the increasing availability of insect-based processed food products in the market, including a higher number of samples in the analyses will help in disentangling the microbial dynamics behind food processing, and allowing the food products traceability at a finer scale. Overall, our results showed that insect-based food products cluster based on their microbial signature. Even in the case of processed food in which there is more than one constituent (i.e., plant ingredients, see Table 1) that could interfere with its microbial contribution in the clustering process, we identified a shared pattern highlighted by core microbiota analysis and unique taxa that can be used as biomarkers. We also showed that differences exist in comparing raw vs processed food considering both qualitative and quantitative metrics. Recent studies (Bruno et al., 2019) reported the possibility to track the composition of plant processed food despite critical issues mostly deriving from the starting composition (i.e., variable complexity in taxa composition) of the sample itself and the different processing level (i.e., high or low DNA degradation). Other studies (Garofalo et al., 2017), investigating the microbial composition of commercial food products based on insects, never explored if any variability can be correlated with highly processed food such as pasta, crackers or protein bars. Our data clearly showed that processed food can be analysed searching for a microbial signature and that raw food products (i.e., flours) had a significant different microbiota compared to the processed ones (i.e., pasta, crackers and protein bars), even if maintaining unchanged a core of bacteria. Highly processed food products represent one of the challenges of food traceability because of DNA degradation during food processing and, therefore, the limits in applying the common DNA barcoding techniques. Thus, DNA metabarcoding, based on HTS techniques combined

with powerful tools for data analysis, can provide new perspectives for unveiling the

composition of processed food, to retrace food origin and food quality control (Bruno et al., 2019; Parente et al., 2019; De Filippis, Parente & Ercolini, 2019).

The identification of a microbial signature for traceability purposes was suggested also by forensic scientists as natural consequence of the application of HTS technologies in a wider perspective (Bishop, 2019): with the globalisation of trade, food traceability is a hot topic and identifying a microbial signature in these products can provide a deeper insight into the "food ecosystem" (Galimberti et al., 2015; Bokulich, Lewis, Boundy-Mills, Mills, 2016; Galimberti et al., 2019; Parente et al., 2019).

5. Conclusions and future perspectives

The application of high-throughput molecular techniques coupled with bioinformatic analyses allowed us to detect and identify the diversity of microbial community in raw and processed novel food products available on e-commerce. We are now facing a striking imbalance between available technologies and knowledge gaps on "food ecosystem": especially in the case of insect flour and insect based products, we should consider the whole food production chain, taking into consideration that the microbial communities inhabiting surfaces, interacting with foods and being part of food themselves are influenced all along the supply chain, from rearing, in the case of insects, to the final processed product. HTS approach is a valuable tool to protect food quality and safety as routine monitoring analysis, from the identification of insect microbiota along the food production processing chain and characterization of the raw ingredients to the final processed food products. This study shows the value of the application of HTS analysis for unveiling the composition of carried over insect microbiota in processed food containing insect ingredients. This tool can be applied in a wider range of food products to improve food source traceability and food quality control. Further

studies are needed to improve our knowledge on the influence of rearing conditions and

processing on the edible insect associated with the microbiota.

Acknowledgements

The authors are grateful to Valerio Mezzasalma for assistance during experimental

procedures and manuscript preparation and to Filippo Bargero for English revision. Thanks

also to Simone Bosaglia and FlatIcon community for graphic contribution.

Funding

This work was supported by Regione Lombardia in the framework of the Program 'Accordi per

la ricerca e l'innovazione' under the project 'Food Social Sensor Network Food NET', grant

number: E47F17000020009. The funder had no role in study design, data collection and

analysis, decision to publish, or preparation of the manuscript. FEM2-Ambiente s.r.l. provided

support in the form of a salary for authors Fabrizio De Mattia and Jessica Frigerio, but did not

play a role in study design, data collection and analysis, decision to publish, or preparation of

the manuscript and only provided financial support in the form of research materials.

Competing interests

FEM2-Ambiente s.r.l., provided support in the form of a salary for authors J.F. and F.D.M. The

20

company only provided financial support in the form of research materials.

Appendix A. Supplementary data

S1 Table. Insects used in the processed food analysed in this study.

S2 Table. List of primer pairs used for DNA barcoding and metabarcoding analyses.

S3 Table. Results of alpha microbial diversity.

S4 Table. Results of beta microbial diversity.

S5 Table. Microbial relative abundances (rank: Family)

S6 Table. Results of core microbiota analysis.

References

Anderson, M. J. (2001). A new method for non-parametric multivariate analysis of variance.

Austral Ecology, 26(1), 32-46. https://doi.org/10.1111/j.1442-9993.2001.01070.pp.x

ANSES OPINION of the French Agency for Food, Environmental and Occupational Health &

Safety on "the Use of Insects as Food and Feed and the Review of Scientific Knowledge on the

Health Risks Related to the Consumption of Insects. 2014; Opinion Request No 2014-SA-0153.

Bellati, A., Tiberti, R., Cocca, W., Galimberti, A., Casiraghi, M., Bogliani, G., & Galeotti, P.

(2014). A dark shell hiding great variability: A molecular insight into the evolution and

conservation of melanic Daphnia populations in the Alps. Zoological Journal of the Linnean

Society, 171(4), 697–715. https://doi.org/10.1111/zoj.12151

Belluco, S., Losasso, C., Ricci, A., Maggioletti, M., Alonzi, C., & Paoletti, M. G. (2015). Edible

insects: A food security solution or a food safety concern? Animal Frontiers, 5(2), 25–30.

https://doi.org/10.2527/af.2015-0016

Bishop, A. H. (2019). The signatures of microorganisms and of human and environmental

biomes can now be used to provide evidence in legal cases. FEMS Microbiology Letters,

21

366(3). https://doi.org/10.1093/femsle/fnz021

perspective on microbial landscapes within food production. Current Opinion in Biotechnology, Vol. 37, pp. 182–189. https://doi.org/10.1016/j.copbio.2015.12.008

Bolyen, E., Rideout, J. R., Dillon, M. R., Bokulich, N. A., Abnet, C. C., Al-Ghalith, G. A., ...

Bokulich, N. A., Lewis, Z. T., Boundy-Mills, K., & Mills, D. A. (2016, February 1). A new

Caporaso, J. G. (2019, August 1). Reproducible, interactive, scalable and extensible

microbiome data science using QIIME 2. Nature Biotechnology, Vol. 37, pp. 852–857.

https://doi.org/10.1038/s41587-019-0209-9

Bruno, A., Sandionigi, A., Agostinetto, G., Bernabovi, L., Frigerio, J., Casiraghi, M., & Labra, M. (2019). Food tracking perspective: Dna metabarcoding to identify plant composition in complex and processed food products. Genes, 10(3). https://doi.org/10.3390/genes10030248

Callahan, B. J., McMurdie, P. J., & Holmes, S. P. (2017). Exact sequence variants should replace operational taxonomic units in marker-gene data analysis. ISME Journal, 11(12), 2639–2643. https://doi.org/10.1038/ismej.2017.119

Callahan, B. J., McMurdie, P. J., Rosen, M. J., Han, A. W., Johnson, A. J. A., & Holmes, S. P. (2016). DADA2: High-resolution sample inference from Illumina amplicon data. Nature Methods, 13(7), 581–583. https://doi.org/10.1038/nmeth.3869

Cambon, M., Ogier, J.-C., Lanois, A., Ferdy, J.-B., & Gaudriault, S. (2018). Changes in rearing conditions rapidly modify gut microbiota structure in Tenebrio molitor larvae. BioRxiv, 423178. https://doi.org/10.1101/423178

Caporaso, J. G., Lauber, C. L., Walters, W. A., Berg-Lyons, D., Huntley, J., Fierer, N., ... Knight, R. (2012). Ultra-high-throughput microbial community analysis on the Illumina HiSeq and MiSeq platforms. ISME Journal, 6(8), 1621–1624. https://doi.org/10.1038/ismej.2012.8

de Carvalho, N. M., Walton, G. E., Poveda, C. G., Silva, S. N., Amorim, M., Madureira, A. R., ... Jauregi, P. (2019). Study of in vitro digestion of Tenebrio molitor flour for evaluation of its impact on the human gut microbiota. Journal of Functional Foods, 59, 101–109. https://doi.org/10.1016/j.jff.2019.05.024

De Filippis, F., Parente, E., & Ercolini, D. (2018). Recent Past, Present, and Future of the Food Microbiome. Annual Review of Food Science and Technology, 9(1), 589–608. https://doi.org/10.1146/annurev-food-030117-012312

Di Mattia, C., Battista, N., Sacchetti, G., & Serafini, M. (2019). Antioxidant Activities in vitro of Water and Liposoluble Extracts Obtained by Different Species of Edible Insects and Invertebrates. Frontiers in Nutrition, 6. https://doi.org/10.3389/fnut.2019.00106 Dobermann, D., Swift, J. A., & Field, L. M. (2017). Opportunities and hurdles of edible insects for food and feed. Nutrition Bulletin, 42(4), 293-308. https://doi.org/10.1111/nbu.12291 Folmer, O., Black, M., Hoeh, W., Lutz, R., & Vrijenhoek, R. (1994). DNA primers for amplification of mitochondrial cytochrome c oxidase subunit I from diverse metazoan invertebrates. Molecular Marine Biology and Biotechnology, 3(5), 294-299. https://doi.org/10.1071/ZO9660275

Frigerio, J., Agostinetto, G., Sandionigi, A., Mezzasalma, V., Berterame, N. M., Casiraghi, M., ... Galimberti, A. (2020). The hidden 'plant side' of insect novel foods: A DNA-based assessment. Food Research International, 128. https://doi.org/10.1016/j.foodres.2019.108751

Galimberti, A., Bruno, A., Mezzasalma, V., De Mattia, F., Bruni, I., & Labra, M. (2015, March 1). Emerging DNA-based technologies to characterize food ecosystems. Food Research International, Vol. 69, pp. 424–433. https://doi.org/10.1016/j.foodres.2015.01.017

Galimberti, A., Casiraghi, M., Bruni, I., Guzzetti, L., Cortis, P., Berterame, N. M., & Labra, M. (2019, August 1). From DNA barcoding to personalized nutrition: the evolution of food

traceability. Current Opinion in Food Science, Vol. 28, pp. 41–48. https://doi.org/10.1016/j.cofs.2019.07.008

Garofalo, C., Osimani, A., Milanović, V., Taccari, M., Cardinali, F., Aquilanti, L., ... Clementi, F. (2017). The microbiota of marketed processed edible insects as revealed by high-throughput sequencing. Food Microbiology, 62, 15–22. https://doi.org/10.1016/j.fm.2016.09.012

House, J. (2016). Consumer acceptance of insect-based foods in the Netherlands: Academic and commercial implications. Appetite, 107, 47–58. https://doi.org/10.1016/j.appet.2016.07.023

Johnson, J. L., Moore, W. E. C., & Moore, L. V. H. (1986). Bacteroides caccae sp. nov., Bacteroides merdae sp. nov., and Bacteroides stercoris sp. nov. isolated from human feces. International Journal of Systematic Bacteriology, 36(4), 499–501. https://doi.org/10.1099/00207713-36-4-499

Klunder, H. C., Wolkers-Rooijackers, J., Korpela, J. M., & Nout, M. J. R. (2012). Microbiological aspects of processing and storage of edible insects. Food Control, 26(2), 628–631. https://doi.org/10.1016/j.foodcont.2012.02.013

Lagier, J. C., Million, M., Hugon, P., Armougom, F., & Raoult, D. (2012). Human gut microbiota: repertoire and variations. Frontiers in Cellular and Infection Microbiology, Vol. 2, p. 136. https://doi.org/10.3389/fcimb.2012.00136

Li, L., Xie, B., Dong, C., Wang, M., & Liu, H. (2016). Can closed artificial ecosystem have an impact on insect microbial community? A case study of yellow mealworm (Tenebrio molitor L.). Ecological Engineering, 86, 183–189. https://doi.org/10.1016/j.ecoleng.2015.09.015

Lozupone, C., Lladser, M. E., Knights, D., Stombaugh, J., & Knight, R. (2011, February). UniFrac: An effective distance metric for microbial community comparison. ISME Journal, Vol. 5, pp. 169–172. https://doi.org/10.1038/ismej.2010.133

Mezzasalma, V., Sandionigi, A., Bruni, I., Bruno, A., Lovicu, G., Casiraghi, M., & Labra, M. (2017). Grape microbiome as a reliable and persistent signature of field origin and environmental conditions in Cannonau wine production. PLoS ONE, 12(9). https://doi.org/10.1371/journal.pone.0184615

Oonincx, D. G. A. B., & de Boer, I. J. M. (2012). Environmental Impact of the Production of Mealworms as a Protein Source for Humans – A Life Cycle Assessment. PLoS ONE, 7(12), e51145. https://doi.org/10.1371/journal.pone.0051145

Osimani, A., Milanović, V., Cardinali, F., Garofalo, C., Clementi, F., Pasquini, M., ... Aquilanti, L. (2018). The bacterial biota of laboratory-reared edible mealworms (Tenebrio molitor L.): From feed to frass. International Journal of Food Microbiology, 272, 49–60. https://doi.org/10.1016/j.ijfoodmicro.2018.03.001

Parente, E., De Filippis, F., Ercolini, D., Ricciardi, A., & Zotta, T. (2019). Advancing integration of data on food microbiome studies: FoodMicrobionet 3.1, a major upgrade of the FoodMicrobionet database. International Journal of Food Microbiology, 305, 108249. https://doi.org/10.1016/j.ijfoodmicro.2019.108249

REGULATION (EU) 2015/ 2283 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL - of 25 November 2015 - on novel foods, amending Regulation (EU) No 1169/ 2011 of the European Parliament and of the Council and repealing Regulation (EC) No 258/ 97 of the European Parliament and of the Council and Commission Regulation (EC) No 1852/ 2001. Available from: https://eur-lex.europa.eu/legal-content/EN/TXT/PDF/?uri=CELEX:32015R2283&from=IT.

Risk profile related to production and consumption of insects as food and feed. (2015). EFSA Journal, 13(10), 4257. https://doi.org/10.2903/j.efsa.2015.4257

Rumpold, B. A., & Schlüter, O. K. (2013, May). Nutritional composition and safety aspects of edible insects. Molecular Nutrition and Food Research, Vol. 57, pp. 802–823. https://doi.org/10.1002/mnfr.201200735

Schlüter, O., Rumpold, B., Holzhauser, T., Roth, A., Vogel, R. F., Quasigroch, W., ... Engel, K. H. (2017, June 1). Safety aspects of the production of foods and food ingredients from insects. Molecular Nutrition and Food Research, Vol. 61. https://doi.org/10.1002/mnfr.201600520 Seekatz, A. M., Theriot, C. M., Rao, K., Chang, Y. M., Freeman, A. E., Kao, J. Y., & Young, V. B. (2018). Restoration of short chain fatty acid and bile acid metabolism following fecal microbiota transplantation in patients with recurrent Clostridium difficile infection. Anaerobe, 53, 64–73. https://doi.org/10.1016/j.anaerobe.2018.04.001

Segata, N., Haake, S., Mannon, P., Lemon, K. P., Waldron, L., Gevers, D., ... Izard, J. (2012). Composition of the adult digestive tract bacterial microbiome based on seven mouth surfaces, tonsils, throat and stool samples. Genome Biology, 13(6), R42. https://doi.org/10.1186/gb-2012-13-6-r42

Song, Y., Garg, S., Girotra, M., Maddox, C., Von Rosenvinge, E. C., Dutta, A., ... Fricke, W. F. (2013). Microbiota dynamics in patients treated with fecal microbiota transplantation for recurrent Clostridium difficile infection. PLoS ONE, 8(11). https://doi.org/10.1371/journal.pone.0081330

Stoops, J., Crauwels, S., Waud, M., Claes, J., Lievens, B., & Van Campenhout, L. (2016). Microbial community assessment of mealworm larvae (Tenebrio molitor) and grasshoppers (Locusta migratoria migratorioides) sold for human consumption. Food Microbiology, 53, 122–127. https://doi.org/10.1016/j.fm.2015.09.010

Stoops, J., Vandeweyer, D., Crauwels, S., Verreth, C., Boeckx, H., Van Der Borght, M., ... Van Campenhout, L. (2017). Minced meat-like products from mealworm larvae (Tenebrio molitor

and Alphitobius diaperinus): microbial dynamics during production and storage. Innovative Food Science and Emerging Technologies, 41, 1–9. https://doi.org/10.1016/j.ifset.2017.02.001

Stull, V. J., Finer, E., Bergmans, R. S., Febvre, H. P., Longhurst, C., Manter, D. K., ... Weir, T. L. (2018). Impact of Edible Cricket Consumption on Gut Microbiota in Healthy Adults, a Double-blind, Randomized Crossover Trial. Scientific Reports, 8(1). https://doi.org/10.1038/s41598-018-29032-2

Tinker, K. A., & Ottesen, E. A. (2018). The hindgut microbiota of praying mantids is highly variable and includes both prey-associated and host-specific microbes. PLoS ONE, 13(12). https://doi.org/10.1371/journal.pone.0208917

van der Spiegel, M., Noordam, M. Y., & van der Fels-Klerx, H. J. (2013). Safety of Novel Protein Sources (Insects, Microalgae, Seaweed, Duckweed, and Rapeseed) and Legislative Aspects for Their Application in Food and Feed Production. Comprehensive Reviews in Food Science and Food Safety, 12(6), 662–678. https://doi.org/10.1111/1541-4337.12032

Van Huis, A. (2013). Potential of Insects as Food and Feed in Assuring Food Security. Annual Review of Entomology, 58(1), 563–583. https://doi.org/10.1146/annurev-ento-120811-153704

Vandeweyer, D., Crauwels, S., Lievens, B., & Van Campenhout, L. (2017). Metagenetic analysis of the bacterial communities of edible insects from diverse production cycles at industrial rearing companies. International Journal of Food Microbiology, 261, 11–18. https://doi.org/10.1016/j.ijfoodmicro.2017.08.018

Wen, C., Wu, L., Qin, Y., Van Nostrand, J. D., Ning, D., Sun, B., ... Zhou, J. (2017). Evaluation of the reproducibility of amplicon sequencing with Illumina MiSeq platform. PLOS ONE, 12(4), e0176716. https://doi.org/10.1371/journal.pone.0176716

Wynants, E., Crauwels, S., Lievens, B., Luca, S., Claes, J., Borremans, A., ... Van Campenhout, L. (2017). Effect of post-harvest starvation and rinsing on the microbial numbers and the bacterial community composition of mealworm larvae (Tenebrio molitor). Innovative Food Science and Emerging Technologies, 42, 8–15. https://doi.org/10.1016/j.ifset.2017.06.004 Wynants, E., Crauwels, S., Verreth, C., Gianotten, N., Lievens, B., Claes, J., & Van Campenhout, L. (2018). Microbial dynamics during production of lesser mealworms (Alphitobius diaperinus) for human consumption at industrial scale. Food Microbiology, 70, 181–191. https://doi.org/10.1016/j.fm.2017.09.012

Tables

Table 1. List of analysed insect-based products.

Sample type	Code	Label declared insect	Label declared ingredients	Company origin	Company name
Flour	R_001	T. molitor (100%)*		Netherlands	Company 1
	R_002	T. molitor (100%)*		Netherlands	Company 1
	R_003	T. molitor (100%)*		Netherlands	Company 1
	R_004	A. diaperinus (100%)		Netherlands	Company 1
Pasta	FP_005	A. diaperinus (14%)	Triticum durum, Ocimum basilicum (1.5%); organic powdered egg whites	France	Company 2
	FP_006	A. diaperinus (14%)	Triticum durum; organic powdered egg whites	France	Company 2
	FP_007	T. molitor (10%)	Oryza sativa (43%); Cicer arietinum (43%); organic powdered egg whites (4%)	France	Company 3
Cracker	FP_008	A. domesticus (14%)	Triticum aestivum; Sesamum indicum (6%); Olea europaea	Great Britain	Company 4
	FP_009	T. molitor (10%)	Triticum aestivum; Cocos nucifera; Avena sativa; Sesamum indicum (12%); Porphyra sp. (1.2%	France	Company 3
Protein bar	FP_010	A. domesticus (5.2%)	Phoenix dactylifera; Prunus dulcis; Musa spp. (11%); Theobroma cacao (9%); Vaccinium macrocarpon (8%); Anacardium occidentale; Cannabis sativa	France	Company 2
	FP_011	A. domesticus (5.5%)	Phoenix dactylifera; Prunus dulcis; Prunus armeniaca (22%); Pisum sativum;	France	Company 2

Helianthus annuus; Lycium barbarum (4.5%); Salvia hispanica (3.5%)

FP_012 A. domesticus Arachis hypogaea (34%); Cannabis Great Britain Company 5
(20%) sativa; Theobroma cacao; Agave
sp; Beta
vulgaris; Cinnamomum sp. (1%)

For each sample, the information found on the label about the category, the species of insects, the percentage of insects present in the food product, the other ingredients declared on the label and the company origin are reported. R (Raw food products); FP (Processed Food product). *Different batches of the same product of *T. molitor* flour.

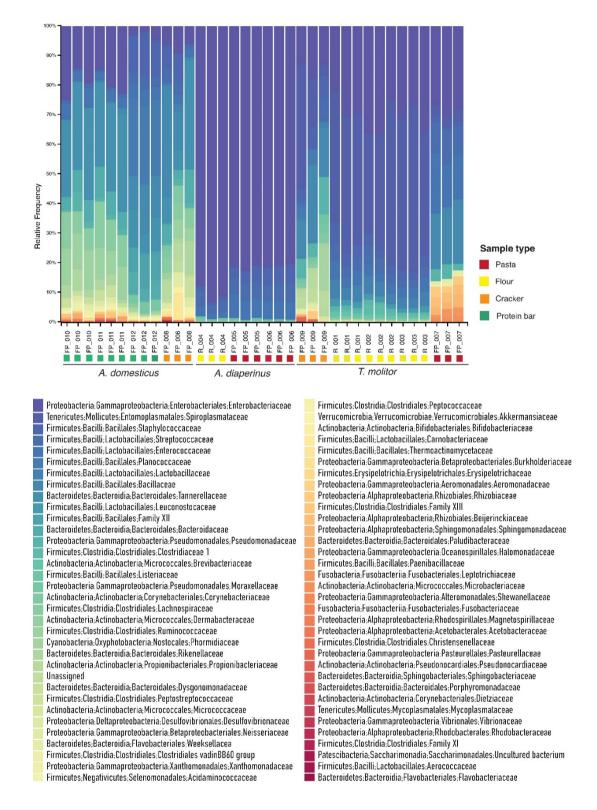


Fig 1. Relative abundance of bacteria families recovered in the insect-based products through 16S metabarcoding sequencing. Bacteria families are reported in gradient colours indicating relative abundances. For each sample, the sample type is reported (pasta: red square; flour: yellow square; cracker: orange square; protein bar: green square).

Fig 2. Donuts charts of *A. domesticus*, *A. diaperinus*, and *T. molitor* microbial composition. Phyla in the inner circle and Orders in the outer circle are reported. Abundances are expressed as log frequency, in order to better show underrepresented taxa.

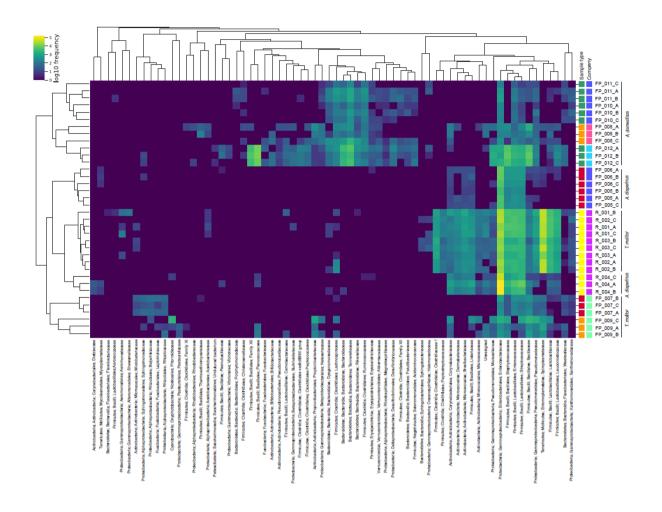


Fig 3. Heat map diagram showing the relative abundance of families for each sample.

Colour shading in the heat map indicates the abundance, expressed as log10 frequency, of each family in the sample. Samples type category are flour (yellow), pasta (red), cracker (orange) and protein bar (green). Companies are represented in fuchsia (Company 1), blue (Company 2), aquamarine (Company 3), pink (Company 4) and light blue (Company 5).

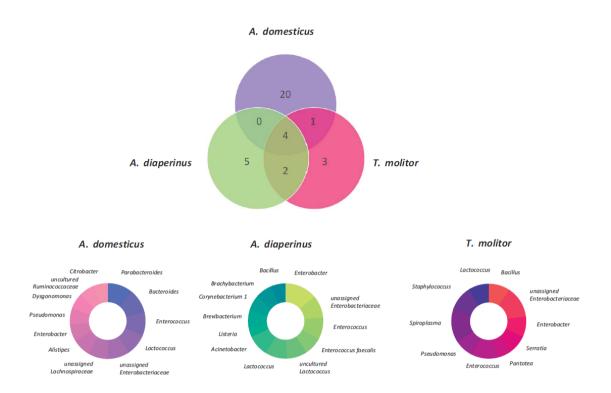


Fig 4. Venn diagram and donuts charts of *A. domesticus*, *A. diaperinus*, and *T. molitor* core microbial composition. Venn diagram in the upper part of the figure shows shared and unique taxa per insect. Taxa identified through core microbiota analysis are reported in the lower part of the figure. We considered the taxa found in 100% of the samples. In the case of *A. domesticus* and *A. diaperinus* the first twelve hits are reported, according to the frequency values listed in S4 Table.