Title Page

Title: Inhibitory parvalbumin basket cell activity is selectively reduced during hippocampal sharp wave ripples in a mouse model of familial Alzheimer's disease

Abbrev Title: PVBC activity reduced during SWRs in model of AD

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1 Abstract

2 Memory disruption in mild cognitive impairment (MCI) and Alzheimer's disease (AD) is poorly 3 understood, particularly at early stages preceding neurodegeneration. In mouse models of AD, there are 4 disruptions to sharp wave ripples (SWRs), hippocampal population events with a critical role in memory 5 consolidation. However, the micro-circuitry underlying these disruptions is under-explored. We tested if 6 a selective reduction in parvalbumin-expressing (PV) inhibitory interneuron activity underlies hyperactivity 7 and SWR disruption. We employed the 5xFAD model of familial AD crossed with mouse lines labeling 8 excitatory pyramidal cells (PCs) and inhibitory PV cells. We observed a 33% increase in frequency, 58% 9 increase in amplitude, and 8% decrease in duration of SWRs in ex vivo slices from male and female 3-10 month 5xFAD mice versus littermate controls. 5xFAD mice of the same age were impaired in a 11 hippocampal-dependent memory task. Concurrent with SWR recordings, we performed calcium imaging, 12 cell-attached, and whole-cell recordings of PC and PV cells within the CA1 region. PCs in 5xFAD mice 13 participated in enlarged ensembles, with superficial PCs having a higher probability of spiking during 14 SWRs. Both deep and superficial PCs displayed an increased synaptic E/I ratio, suggesting a 15 disinhibitory mechanism. In contrast, we observed a 46% spike rate reduction during SWRs in PV basket 16 cells (PVBCs), while PV bistratified and axo-axonic cells were unimpaired. Excitatory synaptic drive to 17 PVBCs was selectively reduced by 50%, resulting in decreased E/I ratio. Considering prior studies of 18 intrinsic PV cell dysfunction in AD, these findings suggest alterations to the PC-PVBC micro-circuit also 19 contribute to impairment.

20 Significance Statement

21 We demonstrate that a specific sub-type of inhibitory neuron, parvalbumin-expressing basket cells, 22 have selectively reduced activity in a model of Alzheimer's disease during activity critical for the 23 consolidation of memory. These results identify a potential cellular target for therapeutic intervention to 24 restore aberrant network activity in early amyloid pathology. While parvalbumin cells have previously been identified as a potential therapeutic target, this study for the first time recognizes that other 25 26 parvalbumin neuronal sub-types, including bistratified and axo-axonic cells, are spared. These 27 experiments are the first to record synaptic and spiking activity during sharp wave ripple events in early 28 amyloid pathology and reveal that a selective decrease in excitatory synaptic drive to parvalbumin basket 29 cells likely underlies reduced function.

30 Introduction

31 Alzheimer's disease (AD) is the leading cause of dementia, and a growing public health crisis as 32 worldwide life expectancy increases (Mattson, 2004). AD is characterized by learning and memory 33 deficits, the pathological accumulation of amyloid beta (A β) plagues and neurofibrillary tangles, and 34 synaptic and neuronal degeneration (Serrano-Pozo et al., 2011). The cause of memory disruption in the 35 disease is poorly understood, particularly at early ages prior to widespread neurodegeneration. The 36 hippocampus, a region particularly important for the encoding and consolidation of spatial memory, is 37 one of the first regions impaired in AD (Braak and Braak, 1991). Hyperactivity within the hippocampus is 38 observed in mouse models of AD (Palop et al., 2007; Busche et al., 2008, 2012; Palop and Mucke, 2010), 39 as well as in clinical populations, where seizures are an increasingly recognized co-morbidity of AD 40 (Hauser et al., 1986; Amatniek et al., 2006; Palop and Mucke, 2009). While it is well appreciated that Aβ 41 impairs the synaptic function of excitatory pyramidal cells (PCs) in later disease progression (Kamenetz 42 et al., 2003; Shankar et al., 2008; Pozueta et al., 2013), there is growing evidence of early deficits to 43 inhibitory GABAergic cells (Li et al., 2016), potentially explaining this shift to hyperactivity through 44 disinhibition. In particular, several functional impairments are observed in inhibitory parvalbumin-45 expressing (PV) fast-spiking interneurons (Verret et al., 2012; Mahar et al., 2016; Yang et al., 2016; Hijazi 46 et al., 2019). However, there are at least three distinct PV cell sub-types within the CA1 region of 47 hippocampus with varying anatomical connections and function (Varga et al., 2014), and the separate 48 impact of AD pathology on these sub-types is unknown.

49 PV cells play a critical role in hippocampal sharp wave ripples (SWRs) (Ellender et al., 2010; 50 Schlingloff et al., 2014; Ognjanovski et al., 2017), spontaneous neuronal population events characterized 51 by a low frequency sharp wave (1-30 Hz) and a high frequency ripple (120-250 Hz) (Buzsáki, 1986, 2015; 52 Colgin, 2016). SWRs principally originate in the CA3 region and propagate to CA1 along the Schaffer 53 collaterals, occurring in all mammalian species studied to date (Buzsáki et al., 2013). Even following 54 decortication in brain slices, SWRs spontaneously arise in hippocampus (Buzsáki et al., 1983). SWRs 55 have been extensively studied in large part due to their proposed role in memory consolidation (Wilson 56 and McNaughton, 1994; Kudrimoti et al., 1999; O'Neill et al., 2008; Karlsson and Frank, 2009).

57 Sequences of place cells activated during spatial learning replay in temporally compressed neuronal 58 ensembles within SWRs during rest (Nádasdy et al., 1999; Lee and Wilson, 2002). Online interruption of SWRs through both electrical (Girardeau et al., 2009; Ego-Stengel and Wilson, 2010) and optogenetic 59 60 stimulation (Ven et al., 2016; Roux et al., 2017) leads to learning and memory deficits, demonstrating 61 their critical role in memory consolidation. Notably, in several mouse models of AD, SWRs are disrupted 62 (Gillespie et al., 2016; laccarino et al., 2016; Nicole et al., 2016; Xiao et al., 2017; Hollnagel et al., 2019; 63 Jones et al., 2019; Jura et al., 2019). However, the micro-circuitry underlying these disruptions has yet 64 to be explored in detail. In this study we employed the 5xFAD mouse model of familial Alzheimer's 65 disease crossed with mouse lines that selectively fluoresce in excitatory pyramidal cells (PCs) and 66 inhibitory PV cells. We performed patch clamp recordings of deep and superficial PCs and three distinct 67 PV cell sub-types to record the spiking activity and synaptic input during SWR events in ex vivo slices. 68 Our findings support the hypothesis that a preferential reduction in synaptic input and activity of PV basket 69 cells underlies downstream network alterations and suggest that long-term alterations to PC-PVBC 70 micro-circuitry contribute to dysfunction in early amyloid pathology.

71 Materials and Methods

72 Experimental Animals

73 To record the activity of excitatory PCs and inhibitory PV cells in amyloid pathology, we employed 74 a combined breeding strategy of transgenic and targeted knockin mice. Transgenic 5xFAD mice 75 (RRID:MMRRC 034840-JAX) (Oakley et al., 2006) were back-crossed for over five generations to the 76 C57BL/6J (RRID:IMSR JAX:000664) background, which was common to all other strains used. To target 77 the calcium activity of PCs under confocal microscopy, transgenic homozygous Thy1-GCaMP6f-GP5.5 78 (RRID:IMSR JAX:024276) (Dana et al., 2014) were crossed with hemizygous 5xFAD mice to yield litters 79 with both 5xFAD/+;Thy1-GCaMP6f/+ and Thy1-GCaMP6f/+ littermate controls. PV cells were identified 80 by crossing double homozygous knockin PV^{Cre}/PV^{Cre};tdTom/tdTom (RRID:IMSR JAX:008069, 81 RRID:IMSR JAX:007914) (Hippenmeyer et al., 2005; Madisen et al., 2010) with hemizygous 5xFAD mice to yield litters with both 5xFAD/+;PV^{Cre}/+;tdTom/+ and PV^{Cre}/+;tdTom/+ littermate controls. In a subset of 82 experiments the reporter lines were crossed, yielding quadruple transgenic cohorts of 5xFAD/+;Thy1-83 GCaMP6f/+;PV^{Cre}/+;tdTom/+ and Thy1-GCaMP6f/+;PV^{Cre}/+;tdTom/+ littermate controls. The initial 84 85 intention was to use a consistent cohort of quadruple transgenic mice for all experiments, yet the breeding 86 strategy proved inhibitive for the number of experiments, thus, patch clamp data were pooled across 87 reporter genotype (Table 1). 5xFAD genotype was assessed at age P7 by tail biopsy via automated 88 genotyping services (Transnetyx, Cordoba, TN, USA). For all experiments, experimenters were blind to 89 5xFAD genotype until after data collection and analysis were fully complete. Mice were weaned at P21 90 and group housed in cages with 3-5 mice separated by sex. As a model of early amyloid pathology prior 91 to neuronal or synaptic loss (Oakley et al., 2006), two experimental cohorts were chosen (each including 92 both males and females) at 1 month (mo) and 3 mo of age. Mice were kept on a standard 12 hr light/dark 93 cycle, food and water were provided ad libitum, with all experimental procedures performed in 94 accordance with the guidelines of the Georgetown University Animal Care and Use Committee.

95 Amyloid Staining

Mice were anesthetized with isoflurane, transcardially perfused in iced (0° C) PBS and fixed for 48
hrs in PFA at 4° C. Brains were then given four 15 min PBS washes, and sliced horizontally at 100 µm

98 thickness with a Vibratome Series 1000. In free floating slices, antigen retrieval was performed for 20 min 99 in a steamer with citrate buffer containing 10 mM $Na_3C_6H_5O_7$, 0.05% Tween20, and pH adjusted to 6.0 100 with HCI. Slices were cooled to room temperature in PBS, then permeabilized for 30 min with 0.5% Triton-101 X in PBS, given two more PBS rinses, blocked for 2 hrs with 10% NGS and 5% BSA in PBS, and 102 incubated overnight at 4° C with the primary monoclonal mouse antibody MOAB-2 against beta amyloid 103 (1:500; Abcam Cat# ab126649) (Youmans et al., 2012), 0.1% Tween20, 1% NGS, 1% BSA in PBS. The 104 following day slices were given four 15 min PBS washes and incubated in secondary containing Alexa 105 Fluor[®] 647 conjugated goat anti-mouse IgG (1:500; Jackson Immunoresearch Cat# 115-605-003, 106 RRID:AB 2338902), Thioflavin-S (1:2000; Sigma-Aldrich Cat# T1892), 1% NGS in PBS for 2 hrs at room 107 temperature. Slices were given three more 15 min PBS washes, rinsed in ddH₂0, and mounted on slides 108 with Vectashield® antifade mounting medium with DAPI (Vector Laboratories Cat# H-1200, 109 RRID:AB 2336790).

110 Behavioral Testing

111 Hippocampal-dependent learning and memory deficits were assessed by the Barnes maze 112 (Barnes, 1979), with some modifications. The Barnes maze was conducted on a white plastic apparatus 113 (San Diego Instruments) 0.914 m in diameter, with overhead bright illumination (286 lx) serving as the 114 aversive stimulus. The target hole was randomly selected, with four distal visual clues present for 115 visuospatial learning. The training phase consisted of four 180 s trials per day for 4 consecutive days. 116 The probe trial, in which the target hole was inaccessible, was conducted on the fifth consecutive day, 117 consisting of one 90 s trial. Mice were tracked using the ANY-maze tracking system, which was used for 118 distance and speed measurements. The primary measures of latency and number of entries to target 119 hole were hand-scored for increased reliability. Anxiety-like behavior was tested on the elevated plus 120 maze (Pellow et al., 1985). The mice could explore the maze for 5 min, in which time the number of 121 entries and fraction of time in the open arms were assessed by the ANY-maze tracking system. All tests 122 were conducted in the light cycle, at consistent times of the day for each mouse, in an enclosed behavior 123 room with 50 dB ambient sound and 23 lx ambient illumination. Males and females were run on the same

day, but in separate groups. Cohorts were not balanced by both sex and genotype, but as much as
 possible testing order was prepared so that control and 5xFAD mice were alternated.

126 Acute Slice Preparation

127 Brain slices were prepared using NMDG and HEPES-buffered artificial cerebrospinal fluid (aCSF) 128 following a protective recovery protocol (Ting et al., 2014, 2018). Briefly, mice were anesthetized with 129 isoflurane, transcardially perfused, dissected, and sliced in iced (0° C) NMDG-aCSF containing in mM: 130 92 NMDG, 2.5 KCl, 1.25 NaH₂PO₄, 30 NaHCO₃, 20 HEPES, 25 Glucose, 10 Sucrose, 5 Ascorbic Acid, 2 Thiourea, 3 Sodium Pyruvate, 5 N-acetyl-L-cysteine, 10 MgSO₄, 0.5 CaCl₂, pH to 7.3-7.4 with HCl (300-131 132 310 Osm). All common reagents were obtained from Thermo Fisher Scientific. The brains were sliced 133 horizontally at 500 µm thickness with a Vibratome Series 3000 to preserve hippocampal micro-circuitry 134 and spontaneous SWRs. 3-4 slices were typically obtained per brain, which were bisected so that 6-8 135 hemislices in total were studied per animal. Slices spanned the dorsal-ventral axis, though were primarily 136 medial, as only horizontal slices with intact DG, CA3, and CA1 were retained, ranging from bregma 2-4 137 mm. The slices were transferred together to heated (33° C) NMDG-aCSF, in which Na⁺ was gradually 138 introduced along an increasing concentration gradient every 5 min before transferring to room 139 temperature HEPES-aCSF containing in mM: 92 NaCl, 2.5 KCl, 1.25 NaH₂PO₄, 30 NaHCO₃, 20 HEPES, 140 25 Glucose, 5 Ascorbic Acid, 2 Thiourea, 3 Sodium Pyruvate, 5 N-acetyl-L-cysteine, 2 MgSO₄, 2 CaCl₂, 141 pH to 7.3-7.4 with NaOH (300-310 Osm). Slices recovered for 4 hours in a custom-built 150 mL incubation 142 chamber with circulating oxygenated HEPES-aCSF.

143 Slice Electrophysiology

Slices were transferred to a Siskiyou PC-H perfusion chamber with a custom-built suspended Lycra thread grid to allow perfusion below and above slice, modeled after (Hájos et al., 2009). Submerged slices were anchored with Warner Instruments slice anchors so that they were sandwiched between two grids, and perfused at a rate of 5 mL/min with heated (30° C) oxygenated aCSF containing in mM: 124 NaCl, 3.5 KCl, 1.2 NaH₂PO₄, 26 NaHCO₃, 10 Glucose, 1 MgCl₂, 2 CaCl₂, pH 7.3-7.4 (300-310 Osm). Recordings were conducted with a Multiclamp 700B amplifier (Molecular Devices), digitized at 20 kHz and low-pass Bessel-filtered at 2 kHz with a personal computer running Clampex 11 and a DigiData 1440

151 (Molecular Devices). Two concurrent channels were captured: the local field potential (LFP) was recorded 152 with 0.5-1 M Ω borosilicate pipettes filled with aCSF, paired with 3-5 M Ω borosilicate pipettes for cellular 153 recordings. Cell-attached recordings were performed with aCSF + 5 µM Alexa Fluor[®] 488 nm (Molecular 154 Probes Cat# A-10440) or 594 nm (Molecular Probes Cat# A-10442) for pipette localization under confocal 155 microscopy. The selected concentration of Alexa dye was below reported alterations to synaptic 156 transmission (Maroteaux and Liu, 2016). Cell-attached recordings were followed with whole-cell 157 recordings of the same cell with a new pipette filled with a Cesium internal, containing in mM: 120 158 CsMeSO₃, 5 NaCl, 10 TEA•Cl, 10 HEPES, 1.1 EGTA, 4 QX314, 4 ATP•Na, 0.3 GTP•Na, pH to 7.2 with 159 CsOH (285 Osm). For pipette localization and post hoc morphological reconstruction, 5 µM Alexa (either 160 488 or 594 nm) and 0.5% wt/vl biocytin were added to the internal solution on the day of experiment.

161 The LFP electrode was placed in CA1 on the border of stratum pyramidale (str. pyr.) and oriens, a 162 location where both high amplitude sharp waves (SWs) and ripples are simultaneously detectable. 163 Consistent placement of the electrode was attempted in all slices at a depth of ~20 µm. Recordings began 164 10 min after LFP electrode placement to allow slice to recover. If visually detectable SWRs were not 165 observed, the slice was logged as non-SWR producing (Table 1) and discarded. A fluorescent cell was 166 targeted for a loose (20-40 MΩ seal resistance) cell-attached recording of 3-5 min duration. For Thy1-167 GCaMP6f slices, Ca²⁺ ensemble activity was recorded concurrently with a laser scanning confocal 168 microscope system (Thor Imaging Systems Division) equipped with 488/561/642 nm lasers and 169 green/red/far-red filters and dichroics mounted on an upright Eclipse FN1 microscope (Nikon 170 Instruments). One thousand 512 x 512 pixel frames were captured at a sample rate of 7.5 Hz. A 40x 171 water immersion objective was used, covering an imaging field of 350 x 350 µm, as a balance between 172 maximizing the imaging field while providing sufficient magnification for patch clamp electrophysiology.

Following the cell-attached recording, the same cell was targeted with a new Cesium internal electrode. Upon reaching 1 G Ω seal resistance, the membrane was broken by voltage pulse and quick negative pressure. Access resistance was monitored periodically and recordings with a change >20% were discarded. Putative excitatory post-synaptic currents (EPSCs) were measured in voltage-clamp at a holding voltage of -70 mV, and putative inhibitory post-synaptic currents (IPSCs) in the same cell at 0

178 mV. Glutamatergic and GABAergic events were not pharmacologically isolated, as the primary goal was 179 to correlate synaptic activity with spontaneous SWRs, which would be affected by drug administration. 180 The reversal potentials of putative EPSCs and IPSCs matched closely with the expected glutamate and 181 GABA reversal potentials of 0 and -70 mV. This was determined by holding the cell from +20 to -100 mV 182 in 10 mV voltage steps and monitoring the current polarity inversion. Each voltage-clamp recording 183 ranged from 1-3 min. Following PV cell voltage-clamp recordings, the cell was then switched to current-184 clamp, with current injection to offset the leak current and maintain a membrane potential of -70 mV. 35 185 hyperpolarizing/depolarizing steps of 5 pA increments were delivered to fill the cell with biocytin. A total 186 duration of at least 15 min of whole-cell configuration was maintained, after which an outside-out patch 187 was formed by slowly withdrawing the pipette. Recordings were attempted at -70 and 0 mV for all PCs. 188 while only a subset of PV cells were clamped at 0 mV. The PV cell protocol was initially designed to 189 minimize cell disruption and ensure proper biocytin-filling; however, the recording protocol was revised 190 during the experiment to also record inhibitory input.

191 Post Hoc Staining and Microscopy

192 Slices with biocytin-filled PV cells were returned to the HEPES-aCSF incubation chamber for the 193 remainder of the day, then fixed overnight in 4% PFA, 4% glucose in PBS at 4° C. Slices then received 194 four 15 min PBS washes, 2 hours of permeabilization with 0.5% Triton-X in PBS, 2 PBS rinses, 3 hours 195 in Fluorescein-Avidin (1:500; Vector Laboratories Cat# A-2001, RRID:AB 2336455) in PBS, and four 196 additional 15 min PBS washes. Free floating slices were imaged with a laser scanning confocal 197 microscope system (Thor Imaging Systems Division). Under 20x magnification, z-stacks were obtained 198 covering the span of visible cellular processes (40-80 µm in 1 µm steps), for both green (biocytin) and 199 red (PV^{Cre}-tdTom) channels. In a subset of 14 slices (n_{slice} = 5 Control (CT), 9 5xFAD from n_{mice} = 4 CT, 200 5 5xFAD), an additional round of immuno-staining was performed for Ankyrin G, which labels the axon 201 initial segment of pyramidal cells. A separate subset of Thy1-GCaMP6f slices (n_{slice} = 2 CT, 2 5xFAD 202 each from a separate animal) were stained for Calbindin, with differential expression between superficial 203 and deep PCs. Antigen retrieval was performed on the 500 µm slices for 20 min in a steamer with citrate 204 buffer. Slices were cooled to room temperature for 20 min in PBS, then blocked overnight with 10% NGS

205 at 4° C. The following day the slices were incubated with a primary monoclonal mouse antibody against 206 Ankyrin G (1:100; Thermo Fisher Scientific Cat# 33-8800, RRID:AB 2533145) or Calbindin D-28k 207 (1:1000; Swant Cat# 300, RRID:AB 10000347), 0.1% Tween20, 1% NGS in PBS. After 48 hrs of primary 208 incubation at 4° C, slices were given four 15 min PBS washes and incubated in secondary containing 209 Alexa Fluor[®] 647 conjugated goat anti-mouse IgG (1:500; Jackson Immunoresearch Cat# 115-605-003, 210 RRID:AB 2338902) and 1% NGS in PBS for 3 hrs at room temperature. Slices were given four more 15 211 min PBS washes, rinsed in ddH₂0, and mounted on slides between silicone isolators with Vectashield® mounting medium. 212

213 **Pre-processing of Electrophysiology Data and Event Detection**

214 Pre-processing of files was conducted in Clampfit 11 (pClamp, Molecular Devices). Files for 215 calcium imaging experiments were trimmed around the confocal laser trigger signal for alignment of SWR 216 and calcium transients. Spikes were detected in cell-attached recordings with a 3 ms or 1.75 ms template 217 search for PCs or PV cells, respectively. A threshold of 6-8 was used (Clements and Bekkers, 1997) and 218 false negatives minimized (confirmed by manual inspection). False positives were removed by plotting 219 peak vs anti-peak amplitude to segregate noise from true spikes. Bursts were detected with the built-in 220 Burst Analysis, defined as three or more successive spikes, each within 60 or 40 ms (intra-burst interval) 221 for PCs or PV cells, respectively. Spike start, peak, and end times, burst start and end times, number of 222 events in burst, and intra-burst interval were exported from Clampfit for coincidence detection in 223 MATLAB.

224 Whole-cell recordings of post-synaptic current (PSC) signals were low-pass filtered below 1000 Hz 225 with a zero-phase Gaussian FIR filter. PSCs were detected with template searches. Multiple template 226 categories (3-4) of varying duration (ranging from 3-20 ms) were used to improve detection for 227 overlapping PSCs often seen around SWRs. Shorter duration templates used increasingly higher 228 thresholds, between 5-8, to minimize false negatives. Parameters were kept constant for each cell type. 229 PSC results including start, peak, and end times, amplitude, rise tau and decay tau were exported from 230 Clampfit and processed in Microsoft Excel to remove duplicate events with identical peak times. Limits 231 were set on rise and decay tau to remove false positives due to noise. Accepted EPSCs fell within a

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range of 0.05-5 ms rise tau and 1-50 ms decay tau, while IPSCs fell within a range of 0.1-10 ms rise tau

and 3-100 ms decay tau.

234 Local Field Analysis and Sharp Wave Ripple Detection

235 All analysis for coincidence detection between the LFP and cellular events was conducted with 236 custom-built MATLAB functions. Raw traces were imported using the abfload protocol 237 (https://github.com/fcollman/abfload). All applied filters were finite impulse response (FIR) Gaussian filter 238 with constant and corrected phase delays. A wide band-pass (1-1000 Hz) was first applied to remove 239 both low frequency DC drift and high frequency instrument noise. The detection of SWR events was 240 based upon prior approaches (Siapas and Wilson, 1998; Csicsvari et al., 1999; Eschenko et al., 2008), 241 with refinements to minimize false positives and permit additional analyses. The LFP was filtered in both 242 the sharp wave (SW: 1-30 Hz) and ripple (120-220 Hz) ranges, and the root mean square (RMS) was 243 computed every 5 ms in a 10 ms sliding window. The threshold for peak detection was set to 4 standard 244 deviation (SD) above the baseline (lower 0.95 guantile) RMS mean. Event start and end times were set 245 at 2 SD crossings. SWR events were defined as the intersection of concurrent SW and ripple events. The duration of SWR events was determined from the union of concurrent SW and ripple events. The 246 247 peak of the SWR event was defined as the peak of the SW-RMS signal, and the amplitude as the 248 difference between the peak and baseline values of the SW signal. The power of the SW and ripple were 249 determined by the bandpower MATLAB function of the relevant filtered signal, which computes an 250 approximation of the integral of the power spectral density between the start and end times of the SWR 251 event. Additional filters were applied in the slow gamma (20-50 Hz) and fast/pathological ripple (250-500 252 Hz) ranges, and the power computed on a SWR-event basis, as the power of these SWR-nested 253 oscillations has been implicated in memory performance (Carr et al., 2012) and epileptogenesis (Foffani 254 et al., 2007), respectively,

To visualize the spectral components of the LFP as a function of time, spectral analysis was performed for the duration of the recording as well as per SWR event via a Short-Time Fourier Transform (STFT) between 1-500 Hz. To better observe deviations from baseline power, the Z-score for each 1 Hz frequency band was calculated. A Fast-Fourier Transform (FFT) was also computed for a 200 ms window

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centered around each SWR peak and averaged across all events as an additional visualization of spectral power. The determination of the phase of slow gamma and ripple oscillations during SWRs was based on the analysis of (Varga et al., 2012). Within a 200 ms window centered around the SWR peak, the extrema of the filtered signal of interest were identified, and a piece-wise linear function fit with values from 0° to 180° between a maximum and minimum, 180° to 360° between a minimum and maximum, and then resetting to 0°. The number of cycles within the duration of the SWR event was recorded, from which the peak frequency was calculated as $n_{cycle}/SWR_{duration}$.

266 **Pre-processing of Ca²⁺ Imaging Data**

267 Raw time series were converted to the change in fluorescence normalized to baseline ($\Delta F/F$) with 268 custom-built ImageJ (FIJI) macros, in which batches of raw TIF images were imported with the Bio-269 Formats plugin (Open Microscopy Environment) and saved as TIF stacks. The TIF stacks were corrected 270 for photo-bleaching via two iterations of the built-in Correct-Bleach plugin. Photo-bleaching was modeled 271 as a sum of two exponentials, a fast 5 s decay and a slower decay over the duration of the recording 272 (133 s). To assist in region-of-interest (ROI) placement, a semi-automated algorithm was applied to highlight regions of highest fluorescence change. For each TIF stack, the squared coefficient of variation 273 274 (SCV) image was calculated, defined as the variance divided by the average squared for each pixel, or 275 equivalently:

276
$$Img_{SCV} = \frac{1}{n} \sum_{i=1}^{n} \left(\frac{Img_i - \overline{Img}}{\overline{Img}} \right)$$

277 Circular ROIs were manually drawn over all identified cells in the SCV image and confirmed with 278 the time series to encompass active cells. Notably, in this way only cells with variable fluorescence were 279 identified, and static highly fluorescent Ca²⁺-loaded cells were excluded. The $\Delta F/F$ for each cell was 280 calculated by first subtracting the background fluorescence F_b , defined as the lowest intensity pixel across 281 the entire time series. F_0 for each ROI was defined as the average of the ten images with the lowest 282 intensity. The $\Delta F/F$ was thus calculated as:

$$\Delta F_i / F_0 = \frac{F_i - F_0}{F_0 - F_b}$$

These values were exported from ImageJ, along with a separately calculated timing file for each image, computed from a threshold search in Clampfit of the confocal laser trigger channel.

286 Calcium Transient Detection and SWR Coincidence Analysis

287 Automatic detection of calcium transients was performed by first correcting for slow changes in 288 fluorescence, either from remaining photo-bleaching or gradual drift of the imaging plane. A smoothed 289 moving average, calculated with robust locally-weighted regression (Cleveland, 1979) with a window 25% 290 of the file duration (33 s), was subtracted from each $\Delta F/F$ trace. The baseline-corrected $\Delta F/F$ traces were interpolated from the raw sampling rate of 7.5 Hz to 2 kHz, and the LFP downsampled from 20 kHz to 2 291 292 kHz for coincidence detection. Automatic threshold detection for each cell was set at 4 SD above 293 baseline, with the start and end times for each event set at 2 SD. The baseline of each cell was 294 determined by an iterative algorithm of gaussian fitting to the histogram of all data points, which for most 295 cells was a skewed one-tailed distribution with a large baseline peak at zero and a long positive tail 296 representing transient events. For uncommon cells without a skewed distribution (kurtosis < 0), a single 297 gaussian fit was applied to the entire histogram, providing an estimate of the baseline mean and SD. 298 However, for most cells, two iterations were employed, with the first a double-gaussian fit to the entire 299 histogram. The higher amplitude gaussian SD was then used to constrain the upper limit on a second 300 iteration single-gaussian refinement fit of only the baseline. Special logic was necessary in rare situations. 301 For excessively skewed distributions (high $\Delta F/F$, kurtosis > 5) the double-gaussian fit was constrained to 302 the lower 0.95 quantile of data. For extremely active cells, because of the slow decay kinetics of GCaMP, 303 the signal peak rivaled or exceeded the baseline peak in amplitude. For these cells, the more negative 304 rather than the higher amplitude peak was used as an estimate of the baseline. In the situation where 305 two peaks were not clearly differentiated (peak separation < 0.1 $\Delta F/F$), the gaussian means and SDs 306 were averaged together to arrive at an estimate for constraining the second iteration.

307 Once the baseline mean, SD, and thresholds were determined for each cell, events were detected 308 on a cell-by-cell basis, and characteristics calculated including start, peak, and end times, IEI, duration, 309 amplitude, and frequency. The interpolated calcium traces were trimmed and aligned with the down-310 sampled LFP trace. Each calcium transient was classified as SWR-coincident if there was any overlap

311 between the start and end of the calcium transient and the start and end of the SWR, otherwise it was 312 classified as spontaneous. Cellular participation during SWRs was assessed by constructing a simplified 313 event matrix with SWRs in one dimension, and cells in the other, with a zero or one if the cell reached 314 threshold during the SWR. For fields with five or more active cells, the ensemble diversity was assessed 315 by calculating the pairwise Jaccard Similarity index between SWR events, ranging from a value of zero 316 if two SWR events had no cells in common, to a value of one if all cellular participants were identical, 317 modeled after the analysis of (Miyawaki et al., 2014). The cell-cell pairwise index was also calculated, 318 ranging from zero if two cells never participated in the same SWR events, to one if they participated in 319 precisely the same SWRs. The cumulative distribution functions were determined for each recording by 320 considering all off-diagonal values in one half of the symmetric similarity matrices.

321 Spike and SWR Coincidence Analysis

Each spike/burst was classified as SWR-coincident if there was any overlap between the start and end of the spike/burst and the start and end of the SWR, otherwise it was classified as spontaneous. To examine spike rate in more detail around SWR events, the peri-SWR spike probability was calculated by sorting all spikes that occurred within a 200 ms window centered around each SWR peak into 2 ms bins and normalizing to all SWR events. Spike-phase coupling was determined by identifying the previously calculated gamma and ripple phases at the spike peak time. Spike phase times were only considered for further analysis if trough-peak amplitude exceeded 4 SD of the gamma or ripple signal.

329 **Post-Synaptic Current and SWR Coincidence Analysis**

330 Each EPSC/IPSC was classified as SWR-coincident (swrEPSC/swrIPSC) if there was any overlap 331 between the start and end of the event and a 100 ms window centered around each SWR peak, otherwise 332 it was classified as spontaneous (sEPSC/sIPSCs). The more conservative window to classify 333 spontaneous events (compared to the calcium and spike analysis) better captured the buildup of 334 EPSCs/IPSCs preceding SWRs (Schlingloff et al., 2014). As events overlap and complicate detection 335 during SWRs, for guantification the current was integrated in a 100 ms window centered at the SWR 336 peak to determine the total charge (swrEPSQ/swrIPSQ). To examine the distribution of sEPSCs/sIPSCs, 337 the cumulative distribution function of all events was computed for each cell and averaged across all cells

in a group. For a view of the temporal progression of synaptic input during SWRs, the charge was also
 calculated by integrating a sliding 100 ms window every 2 ms in a 200 ms window centered around the
 SWR peak.

341 Experimental Design and Statistical Analyses

342 Most experiments in this study have been presented in a case-control experimental design, in which 343 data from 5xFAD mice are compared to littermate controls. With behavioral learning on the Barnes Maze 344 we have employed a longitudinal repeated measures (RM) design. In analyzing the impact of factors on 345 LFP activity in a large sample of ex vivo slices, we have taken a factorial design. All data were tested for 346 normality and lognormality via Shapiro-Wilk tests. If all groups were normally distributed, they were 347 analyzed with parametric tests (unpaired t-test, n-way ANOVA, n-way RM ANOVA) and have been 348 displayed on bar plots with error bars representing the mean ± SEM. If any group was not normally 349 distributed, the data have been presented on box-whisker or violin plots, with lines indicating median and 350 guartiles, and full error bars representing range. If all groups were lognormally distributed, the data were 351 log transformed, the results of which were analyzed with parametric tests, with the log-means compared. 352 For clarity, the original non-transformed data have been displayed in plots. If any group was neither 353 normal nor lognormal, non-parametric statistical tests of rank were employed. Circular data (phase 354 angles) were analyzed with a similar approach. Data were tested for non-uniformity via a Rayleigh test 355 to determine if they could be sampled from a von Mises (circular normal) distribution. If the Rayleigh test 356 reached significance for all groups, means were compared with the Watson-Williams test, otherwise a 357 circular median test was performed.

The particular statistical tests used are listed in the Results. Any values cited in the text are mean \pm SEM. All *post hoc* multiple comparisons used the Šidák correction (ŠC). Within each plot all individual data points are presented. No data were excluded based on their values, but only for experimental reasons (e.g. no SWRs present, excessive slice movement, unstable patch clamp recording). The *n* is indicated in the text and figure legends, and differs between experiment, either n_{mice} , n_{slice} , or n_{cell} . Raw pvalues are displayed in plots; if less than a significance level of 0.05 they are bold. Data originating from male or female mice are presented as closed or open circles, respectively. Sex differences were

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examined for some endpoints, but in general the experiments were insufficiently powered to determine
sex differences. A power analysis of the principal experiments was performed based on preliminary data,
guiding the number of animals/cells chosen.

Graphpad Prism 8 was used for all 1 and 2-sample statistical tests. Microsoft Excel and MATLAB 2019 were used for some simple calculations of mean, SD, SEM, ratio, and error propagation. n-way ANOVAs were performed in the Statistics and Machine Learning Toolbox of MATLAB 2019. Nonparametric factorial data were aligned and ranked with ARTool (Wobbrock et al., 2011), before running ANOVAs. Circular statistics were run in the Circular Statistics Toolbox for MATLAB (Berens, 2009).

373 Code Accessibility

All code is open-source and available in public repositories, including versions under active development (Github) as well as archival copies used for this manuscript (Zenodo). MATLAB functions are available at <u>https://github.com/acaccavano/SWR-Analysis</u> (archival copy: DOI: 10.5281/zenodo. 3625236). ImageJ (FIJI) macros are available at <u>https://github.com/acaccavano/deltaFoF</u> (archival copy: DOI: 10.5281/zenodo.3625130).

379 Results

380 Three month 5xFAD mice deposit amyloid and display minor impairment in spatial memory

381 The 5xFAD model of familial Alzheimer's disease is an aggressive though useful model of amyloid 382 pathology, as it exhibits heavy amyloid accumulation in hippocampus and associated cortices, and is 383 accompanied with memory impairment (Oakley et al., 2006). 5xFAD mice are unimpaired in performance 384 on the T-maze at 2 months (mo) but become impaired by 4-5 mo when amyloid burden is greater (Oakley 385 et al., 2006). Interestingly, at 3 mo there is no evidence for neuronal or synaptic degeneration, while 386 several synaptic markers begin to decline at 4 mo and are significantly reduced from controls by 9 mo. 387 We observed intracellular amyloid accumulation in the subiculum and CA1 region of hippocampus in 1 388 mo 5xFAD mice without the presence of extracellular plaques (n_{mice} = 2 Control (CT), 2 5xFAD), while in 389 3 mo 5xFAD mice we observed multiple extracellular plagues in subiculum, with sparse plagues in CA1 390 (n_{mice} = 2 CT, 2 5xFAD, Fig. 1A). Prior work indicates that amyloid burden continues to increase 391 throughout the lifespan of 5xFAD mice (Oakley et al., 2006; Youmans et al., 2012).

392 In separate 1 mo (n_{mice} = 10 CT, 10 5xFAD) and 3 mo cohorts (n_{mice} = 15 CT, 12 5xFAD, Table 1), 393 we examined the performance of 5xFAD mice and littermate controls on the Barnes Maze. Both age 394 cohorts learned the task, as seen by decreased latency to find escape hole over progressive days of training, and a significant effect of training day (1 mo: $F_{(3.54)} = 23.9$, p = 5.7 × 10⁻¹⁰; 3 mo: $F_{(3.75)} = 31.6$, p 395 = 2.7 × 10⁻¹³; 2-way Repeated Measures (RM) ANOVA, align-rank transformed (ART); Fig. 1B). There 396 397 were no differences observed in training between genotype for either the 1 mo cohort ($F_{(1,18)} = 0.096$, p 398 = 0.760) or the 3 mo cohort ($F_{(1,25)}$ = 1.086, p = 0.307), nor were there interactions of genotype × training 399 day (1 mo: $F_{(3.54)} = 0.496$, p = 0.687; 3 mo: $F_{(3.75)} = 0.983$, p = 0.405). On the probe day, 1 mo 5xFAD 400 mice had similar latencies to controls (U = 46, p = 0.781; Mann-Whitney; Fig. 1C), while 3 mo 5xFAD 401 mice had a longer latency to find the escape hole from 18.4 ± 7.6 s to 37.4 ± 9.8 s (U = 48, p = 0.040; 402 Mann-Whitney; Fig. 1C-D). The number of entries to the area of the escape hole, another commonly 403 reported endpoint, was not significantly different for either cohort (1 mo: $t_{(18)} = 0.735$, p = 0.472; 3 mo: $t_{(25)}$ 404 = 0.691, p = 0.496; unpaired t-tests). 5xFAD mice displayed no obvious motor impairments, as the total 405 distance traveled did not differ between genotype at either age (1 mo: 3.98 ± 0.91 m (CT), 3.77 ± 0.49 m

406 (5xFAD), $t_{(18)} = 0.203$, p = 0.842; 3 mo: 3.98 ± 0.49 m (CT), 3.40 ± 0.49 m (5xFAD), $t_{(25)} = 0.816$, p = 407 0.422; unpaired t-tests), neither did the mean speed (1 mo: 4.4 ± 1.0 cm/s (CT), 4.2 ± 0.5 cm/s (5xFAD), 408 $t_{(18)} = 0.186$, p = 0.856; 3 mo: 4.4 ± 0.6 cm/s (CT), 3.8 ± 0.5 cm/s (5xFAD), $t_{(25)} = 0.819$, p = 0.420; 409 unpaired t-tests).

410 To test if this observed memory impairment could be attributed to altered anxiety-like behavior, the 411 cohorts were also tested on the Elevated Plus Maze. Neither age cohort showed a significant difference 412 in fraction of time spent in open arms (1 mo: $15.4 \pm 2.2\%$ (CT), $15.1 \pm 0.9\%$ (5xFAD), $t_{(18)} = 0.129$, p = 413 0.900; 3 mo: 10.7 ± 1.0 (CT), 9.3 ± 1.5 (5xFAD), $t_{(25)}$ = 0.848, p = 0.404; unpaired t-tests), nor in number 414 of open arm entries (1 mo: 7.9 ± 0.6 (CT), 7.4 ± 0.6 (5xFAD), $t_{(18)}$ = 0.570, p = 0.576; 3 mo: 9.2 ± 0.7 415 (CT), 7.5 \pm 0.6 (5xFAD), t₍₂₅₎ = 1.863, p = 0.074; unpaired t-tests). Therefore, we concluded that 3 mo 416 5xFAD mice on our genetic background had a mild spatial memory impairment. As memory impairment 417 has been widely reported in 5xFAD mice at later ages (Oakley et al., 2006; Ohno, 2009; Tohda et al., 418 2012), no further memory tasks were performed.

419 Sharp wave ripples are increased in 3 month 5xFAD mice

420 As spatial memory relies heavily on the activity of the hippocampus, and sharp wave ripples 421 (SWRs) are critical for the consolidation of new memories (Buzsáki, 1986; Wilson and McNaughton, 422 1994), we next recorded spontaneous SWRs in hippocampal slices from control and 5xFAD mice (Fig. 423 2A-B). SWRs were recorded in the CA1 region in multiple slices for each animal and averaged in both a 424 1 mo cohort ($n_{mice} = 10 \text{ CT}$, 10 5xFAD), and a 3 mo cohort ($n_{mice} = 27 \text{ CT}$, 29 5xFAD, Table 1). While the 425 electrode placement was kept as consistent as possible across recordings (see Methods), slight 426 deviations in placement can result in large variance in the LFP. We attempted to control for this by 427 recording from many slices (1 mo: n_{slice} = 51 CT, 45 5xFAD; 3 mo: n_{slice} = 101 CT, 88 5xFAD) and then 428 averaging across slices for each animal. In the 1 mo cohort, the SWR event frequency did not differ ($t_{(18)}$) 429 = 0.946, p = 0.357; unpaired t-test; Fig. 2C), nor were there changes for any other LFP endpoints (data 430 not shown). However, in the 3 mo cohort, SWR event frequency was increased in 5xFAD mice versus 431 controls from 0.94 \pm 0.07 Hz to 1.25 \pm 0.08 Hz (t₍₅₄₎ = 2.89, p = 0.006; unpaired t-test; Fig. 2C). We next 432 verified if there was an altered percentage of slices exhibiting SWRs in 5xFAD mice (Table 1), as this

could underlie observed differences in event frequency. While a 2-way ANOVA revealed a significant effect of 5xFAD genotype ($F_{(1,72)} = 6.51$, p = 0.013) and age ($F_{(1,72)} = 5.21$, p = 0.0254) on percentage of slices with SWRs, the only significant difference observed when corrected for multiple comparisons was between control slices at 1 mo and 3 mo (p = 0.039, Šidák correction (ŠC)), while 3 mo slices did not differ between genotype (p = 0.759, ŠC). Therefore, the observed differences in 3 mo mice are not likely due to altered viability of the slices.

439 In addition to an increased event frequency, SWR amplitude was increased by 58 ± 17% in 3 mo 440 5xFAD mice ($t_{(48.9)}$ = 3.59, p = 0.0008; Welch's t-test) and surprisingly were 3.7 ± 1.2 ms shorter in duration 441 $(t_{(54)} = 3.18, p = 0.0025; unpaired t-test; Fig. 2D)$. This decrease in duration was likely attributable to both 442 increased peak ripple frequency ($t_{(54)} = 3.422$ p = 0.0012; unpaired t-test) and decreased number of 443 complete ripple cycles ($t_{(54)}$ = 3.48, p = 0.016; unpaired t-test; Fig. 2E), and is of interest as longer duration 444 SWRs have been demonstrated to improve memory (Fernández-Ruiz et al., 2019). Spectral features of 445 SWRs also differed between genotype (Fig. 2F-J). Low-gamma (20-50 Hz) nested within SWRs, 446 speculated to play a role in coordinating CA3 and CA1 memory replay (Carr et al., 2012), was increased 447 in 5xFAD mice (U = 193, p = 0.0009, Mann-Whitney; Fig. 2H). While the ripple peak frequency was shifted 448 (Fig. 2E,G), the total power within the ripple frequency range from 120-220 Hz was unchanged (U = 358, 449 p = 0.591; Mann-Whitney; Fig. 21). The power of fast or pathological ripples (250-500 Hz) did not differ 450 between 5xFAD and control slices (U = 379, p = 0.845; Mann-Whitney; Fig. 2J), suggesting the increased 451 activity in 5xFAD slices was distinct from epileptiform activity (Foffani et al., 2007).

452 Spontaneous SWRs recordings were repeated in three separate 3 mo sub-cohorts with different 453 reporter mouse lines for subsequent patch-clamp and Ca²⁺ imaging experiments (Table 1). SWR 454 frequency increased in 5xFAD mice in both larger cohorts: PV^{Cre}/+;tdTom/+ mice (135 ± 18%, n_{mice} = 13 455 CT, 11 5xFAD) and Thy1-GCaMP6f mice (156 \pm 21%, n_{mice} = 10 CT, 11 5xFAD). In the third smaller 456 Thy1-GCaMP6f;PV^{Cre}/+;tdTom/+ cohort, there was no significant increase observed ($93 \pm 18\%$, n_{mice} = 4 457 CT, 7 5xFAD). A 2-way ANOVA for 5xFAD genotype and reporter line revealed only a significant effect 458 of 5xFAD genotype ($F_{(1,50)} = 5.41$, p = 0.024) and not reporter line ($F_{(2,50)} = 1.50$, p = 0.233) nor interaction 459 term ($F_{(2.50)} = 2.06$, p = 0.138). The data for the three sub-cohorts were therefore pooled into the results

460 presented in (Fig. 2), and in subsequent patch clamp experiments, with the lack of phenotype in the 461 quadruple transgenic cohort likely attributable to smaller sampling. To test if sex, brain hemisphere, and 462 dorsal-ventral slice position had an effect, an additional 4-way ANOVA of all slices was performed. A small effect of 5xFAD genotype was found (n_{slice} = 101 CT, 88 5xFAD; $F_{(1,178)}$ = 10.4, p = 0.0015), and a 463 464 small effect of dorsal-ventral position ($F_{(1.178)} = 10.46$, p = 0.0015), with ventral slices (bregma 3-4 mm) 465 126 ± 12% the frequency of more dorsal slices (bregma 2-3 mm) for both control and 5xFAD slices. 466 Correcting for multiple comparisons, within each genotype the difference between dorsal and ventral 467 slices did not reach significance (D vs V: p = 0.078 (CT), p = 0.235 (5xFAD), ŠC). No significant effects 468 were observed for sex ($F_{(1.178)} = 0.728$, p = 0.395), brain hemisphere ($F_{(1.178)} = 0.873$, p = 0.351), nor any 469 interaction terms.

470 Altered ensembles of pyramidal cells are recruited in 5xFAD slices

471 The replay of pyramidal cell (PC) ensembles during SWRs is critical for the consolidation of spatial 472 memory (Wilson and McNaughton, 1994; Skaggs and McNaughton, 1996; Lee and Wilson, 2002; O'Neill 473 et al., 2008). Given the observed alterations to SWRs in 3 mo 5xFAD mice, we next sought to determine 474 if ensembles of PCs were altered via calcium imaging (Fig. 3A). PCs differentiate into superficial (sPCs, 475 closer to str. radiatum) and deep (dPCs, closer to str. oriens) cells, with different function, connectivity, 476 and molecular profiles (Lee et al., 2014; Valero et al., 2015). We distinguished sPCs and dPCs via post 477 hoc staining of imaged slices for calbindin (CB), which is more highly expressed in sPCs. While dorsal 478 hippocampus exhibits a clear delineation of CB+ sPCs and CB- dPCs (Lee et al., 2014), we observed a 479 CB bilayer in our slices (Fig. 3B), as previously reported in more ventral hippocampus (Baimbridge and 480 Miller, 1982; Slomianka et al., 2011). In $n_{slice} = 4$ from $n_{mice} = 2$ CT, 2 5xFAD, we counted the total number 481 of GCaMP (GC)+ CB- (539), GC- CB+ (292) and GC+ CB+ (146) cells, and for each calculated the distance from the border of str. radiatum and pyramidale (Fig. 3C). At 30 µm there was a switch from 482 483 majority CB+ to GC+ cells, with a non-trivial fraction of double-labeled GC+ CB+ cells: 17.9% from 0 - 30 484 µm and 15.7% from 30 - 90 µm. At depths greater than 90 µm there was lower co-expression of 7.9%. 485 All subsequent experiments were performed on GC+ cells with a cutoff of 30 µm between putative sPCs 486 and dPCs.

487 The ensemble activity of PCs was recorded under confocal microscopy in slices from 488 5xFAD/+;Thy1-GCaMP6f mice and Thy1-GCaMP6f littermate controls (Fig. 3D). Active cells were detected with a semi-automated algorithm and both spontaneous and SWR-coincident Ca²⁺ transient 489 490 events were detected. Within 5xFAD slices, the total number of active PCs detected per imaging field 491 was increased from 17.0 ± 2.6 to 24.0 ± 1.6 PCs in n_{slice} = 25 CT, 23 5xFAD ($t_{(31,2)}$ = 3.091, p = 0.0042; 492 Welch's t-test, log-transformed (LT)), with a greater number of PCs active during SWRs (CT: 3.6 ± 0.6, 5xFAD: 4.9 ± 0.8 cells, $t_{(40.1)}$ = 2.063, p = 0.046; Welch's t-test, LT). When delineated by PC sub-type, 493 494 there was a significant effect of genotype on the number of active cells ($F_{(1,92)} = 11.8$, p = 0.00088; 2-way 495 ANOVA, ART; Fig. 3E), as well as an effect of cell type ($F_{(1,92)} = 20.6$, $p = 1.7 \times 10^{-5}$). The number of sPCs was increased in 5xFAD slices from 5.7 \pm 0.8 to 8.7 \pm 1.0 cells (p = 0.067, ŠC) and dPCs from 11.3 \pm 2.0 496 497 to 15.6 ± 1.2 cells (p = 0.039, ŠC), with significantly more dPCs than sPCs for both genotypes (CT: p = 498 0.014; 5xFAD: p = 0.00041, SC). During SWRs, more dPCs participated than sPCs ($F_{(1.87)} = 12.19$, p =499 0.00076; 2-way ANOVA, ART; Fig. 3F) in both CT (p = 0.0063, SC) and 5xFAD slices (p = 0.00095, SC). However, no effect of genotype was observed ($F_{(1.87)} = 0.747$, p = 0.390), in contrast to the increase 500 501 observed when considering all PCs together.

We next asked if characteristics of the Ca²⁺ events differed between genotype, as an indirect 502 503 measure PC firing activity. Averaging across cells from each slice, no differences were found in the 504 frequency ($F_{(1.87)} = 0.094$, p = 0.759; 2-way ANOVA, log-transformed (LT); Fig. 3G), amplitude ($F_{(1.87)} =$ 0.093, p = 0.761; 2-way ANOVA, LT; Fig. 3H), nor duration of Ca²⁺ transient events ($F_{(1.87)} = 0.289$, p = 505 506 0.593; 2-way ANOVA, LT; Fig. 3). This suggests that on an individual cell level, PCs exhibit similar 507 activity in 5xFAD mice as compared to controls, with differences only becoming apparent on the 508 ensemble level. Finally, we sought to determine if the cellular composition of PC ensembles during SWR 509 events was altered. Ensemble diversity was assessed by calculating the pairwise Jaccard similarity of 510 cellular participation between all SWR events (Fig. 3J). Additionally, the Jaccard similarity of SWR 511 participation between cells was computed between sPCs/dPCs and all other PCs (Fig. 3J, bottom right). 512 The cumulative distribution functions of all pairwise comparisons were calculated for each slice and 513 averaged across genotype, revealing a reduced degree of similarity in PC ensembles during SWRs in

514 5xFAD, as compared to control slices ($F_{(1,4100)} = 85.6$, p < 10⁻¹⁵; 2-way ANOVA; Fig. 3K), though with no 515 bins surviving multiple comparisons. This suggests there may be an increased repertoire of ensembles 516 in 5xFAD slices. The similarity between sPCs and all other PCs was reduced ($F_{(1,3600)} = 25.2$, p = 5.5 × 517 10⁻⁷; 2-way ANOVA; Fig. 3L), with similarities between 0.1 – 0.15 surviving multiple comparisons, as was 518 the similarity between dPCs and all other PCs ($F_{(1,3800)} = 15.88$, p = 6.9 × 10⁻⁵; 2-way ANOVA; Fig. 3M), 519 suggesting aberrant cell participation may be disrupting ensembles.

520 Pyramidal cell spiking is relatively unchanged in 5xFAD mice

521 To test more directly if pyramidal cell activity was altered, GCaMP6f+ sPCs (n_{sPC} = 13 CT, 9 5xFAD) 522 and dPCs (n_{dPC} = 26 CT, 35 5xFAD) were targeted for loose cell-attached recordings (Fig. 4A). Most cells 523 (n_{PC} = 39 CT, 39 5xFAD) were from 5xFAD;Thy1-GCaMP6f and Thy1-GCaMP6f littermate controls. A 524 small number (n_{sPC} = 1, n_{dPC} = 4) were from 5xFAD;Thy1-GCaMP6f;PV^{Cre}/+;tdTom/+ mice, which labeled 525 both excitatory PCs in green and inhibitory PV cells in red. Spikes and bursts (three or more spikes each 526 within 60 ms) were delineated as spontaneous or SWR-coincident (Fig. 4B). Consistent with prior studies 527 (Mizuseki and Buzsáki, 2013), the distribution of spike rates was lognormal for both sPCs and dPCs. 528 Both sPCs (Fig. 4C) and dPCs (Fig. 4D) increased their spike rate during SWRs, with a significant effect 529 of spontaneous/SWR time period (sPCs: $F_{(1,20)} = 11.0$, p = 0.0034; dPCs: $F_{(1,59)} = 18.5$, p = 6.4 × 10⁻⁵; 2-530 way RM ANOVAs, ART-zero-values preclude LT), with only sPCs/dPCs from 5xFAD mice showing a 531 significant spike rate increase when correcting for multiple comparisons (sPCs: p = 0.272 (CT), p = 0.023532 (5xFAD); dPCs: p = 0.085 (CT), p = 0.00013 (5xFAD); Wilcoxon post hoc, ŠC). sPCs displayed a 533 significant genotype difference ($F_{(1,20)} = 4.64$, p = 0.044), and interaction of genotype × period ($F_{(1,20)} =$ 534 5.59, p = 0.028), though neither the spontaneous nor SWR spike rate survived multiple comparisons 535 (Spont: p = 0.934, SWR: p = 0.301; Mann-Whitney *post hoc*, ŠC). In contrast, dPCs displayed no genotype difference ($F_{(1.59)} = 0.914$, p = 0.343), nor interaction of genotype × period ($F_{(1.59)} = 1.803$, p = 536 537 0.184).

538 To examine spike rate in more detail around SWR events, the peri-SWR spike probability was 539 averaged across all cells within each genotype, showing an increased spiking probability in 5xFAD sPCs 540 $(F_{(1,2000)} = 37.73, p = 9.8 \times 10^{-10}; 2$ -way ANOVA; Fig. 4E), with significant differences when correcting for

541 multiple comparisons from -4 ms to +8 ms around the SWR peak (p < 0.01, ŠC). In contrast, the dPC 542 spike probability did not differ between genotype ($F_{(1.5900)} = 2.38$, p = 0.123; 2-way ANOVA; Fig. 4F). 543 There was no difference in the rate of spike bursts (3 or more spikes each within 60 ms) between 544 genotype ($F_{(1,79)}$ = 1.00, p = 0.319; 2-way ANOVA, ART; Fig. 4G) or PC type ($F_{(1,79)}$ = 2.37, p = 0.127). 545 There was a tendency for a significant effect of genotype on the intra-burst interval ($F_{(1.77)} = 3.26$, p = 546 0.075; 2-way ANOVA, ART; Fig. 4H), with dPCs spiking somewhat faster from 14.4 ± 1.6 to 11.1 ± 0.8 547 ms (p = 0.045, ŠC) and with no change for sPCs (p = 0.997, ŠC). The percentage of cells with bursts did not differ between genotype (97.6% CT, 96.1% 5xFAD; $\chi^2_{(3)}$ = 0.158, p = 0.691; Chi-Squared Test). In 548 549 particular, 100% of sPCs had at least one burst in both CT and 5xFAD mice, an important consideration 550 given prior work indicating dPCs burst more than sPCs (Mizuseki et al., 2011). As we only targeted cells 551 with visible calcium transients for localization under confocal microscopy, it is possible the population of 552 sPCs and dPCs studied are a more highly active subset of all PCs. We also examined if the fractional 553 participation of PCs in SWRs differed, guantified as the fraction of spikes that occurred during SWRs 554 (Fig. 4I), and the fraction of SWRs that had one or more spikes (Fig. 4J). There was a tendency for an 555 effect of genotype for both endpoints ($F_{(1,79)} = 3.90$, p = 0.052; $F_{(1,79)} = 3.11$, p = 0.082; 2-way ANOVA, 556 ART), though with neither cell type surviving multiple comparisons (Fig. 4I-J).

557 Finally, we examined the phase-locking of spikes in both the slow gamma and ripple ranges (Fig. 558 4K). Phase-locking of PCs to the trough of ripples has been widely reported *in vivo* (Ylinen et al., 1995; 559 Csicsvari et al., 1999; Le Van Quyen et al., 2008). Additionally, phase-locking of spikes to SWR-nested 560 slow gamma has been reported to be reduced in 5xFAD mice (laccarino et al., 2016). We observed that 561 sPCs showed broad phase preference in the gamma range, with averages following the trough at 223° for controls (Z = 0.365, p = 0.706; Raleigh Test), and following the peak at 63° in 5xFAD mice (Z = 1.88, 562 p = 0.154; Raleigh Test), with no significant genotype difference (P = 2.86, p = 0.091, Circular-Median 563 564 Test; Fig. 4L). In contrast, control dPCs were significantly phase-locked at 143° (110°-177° 95%; Z = 565 5.79, p = 0.0023; Raleigh Test), while 5xFAD dPCs showed a broader phase preference with a mean of 566 177° (110°-245° 95%; Z = 2.25, p = 0.105; Raleigh Test), and no significant genotype difference (P = 0.147, p = 0.701, Circular-Median Test; Fig. 4M). In the ripple range cells displayed broad phase 567

568 preference, with neither sPCs Z = 0.355, p = 0.712; Z = 1.58, p = 0.212; Raleigh Test; Fig. 4N) nor dPCs 569 (Z = 0.981, p = 0.340; 5xFAD: Z = 1.09, p = 0.339; Raleigh Test; Fig. 4O) significantly phase-locked, nor 570 different between genotypes (sPC: P = 0.254, p = 0.614; dPC: P = 0.528, p = 0.467; Circular-Median 571 Test). However, in control dPCs, the mean phase at the trough of 191° more closely matches prior in 572 vivo findings than for 5xFAD mice, with a mean phase of 224° following the trough (Fig. 4O). These 573 results indicate that spike-phase coupling may be impaired in 5xFAD mice, though the lack of significant 574 ripple phase-locking for either genotype suggests there are limitations to this analysis in our slice 575 preparation, as this finding differs from the robust ripple phase-locking observed *in vivo* (Ylinen et al., 576 1995; Csicsvari et al., 1999; Le Van Quyen et al., 2008). One difference between our study and prior in 577 vivo recordings is that the spikes and LFP were recorded from different electrodes, while in vivo LFP and 578 spikes are typically recorded from the same set of channels. As ripples are highly localized events, the 579 distance between electrodes may confound spatial phase locking. Taken together with the Ca²⁺ imaging 580 data, these results indicate relatively minor alterations to the spiking activity of PCs in 5xFAD slices. 581 However, the large variability in spiking rate may mask small differences in activity.

582 Pyramidal cells receive increased synaptic E/l ratio

583 Following the cell-attached recording of PC spiking activity, the electrode was replaced with one 584 containing a Cesium-based internal solution and the same cell targeted for a whole-cell voltage-clamp 585 recording to detect excitatory and inhibitory post-synaptic currents (EPSCs and IPSCs) at -70 mV (n_{sPC} = 7 CT, 5 5xFAD; n_{dPC} = 12 CT, 9 5xFAD; Fig. 5A-B) and 0 mV (n_{sPC} = 7 CT, 5 5xFAD; n_{dPC} = 14 CT, 11 586 587 5xFAD; Fig. 5C-D), respectively. Events were sorted as spontaneous (sEPSCs/sIPSCs) or SWR-588 coincident (swrEPSCs/swrIPSCs). sEPSC frequency and amplitude were unchanged across genotype 589 (Freq: $F_{(1,29)} = 0.296$, p = 0.591; Fig. 5E; Amp: $F_{(1,29)} = 2.26$, p = 0.143; Fig. 5F; 2-way ANOVA, LT), as 590 were the kinetics of sEPSCs (Rise tau: $F_{(1,29)} = 0.540$, p = 0.468; Fig. 5G; Decay tau: $F_{(1,29)} = 0.268$, p = 591 0.608; Fig. 5H; 2-way ANOVA). The excitatory charge during spontaneous periods (sEPSQ) was also 592 unchanged across genotype (F_(1.29) = 0.835, p = 0.369; 2-way ANOVA, LT; Fig. 5I). However, there was 593 a significant effect of genotype on the excitatory charge during SWRs (swrEPSQ) ($F_{(1,29)}$ = 6.56, p = 594 0.016; 2-way ANOVA, LT; Fig. 5J), with dPCs seeing a 114 \pm 41% increase (p = 0.043, SC), while sPCs

595 were not significantly different (p = 0.368, ŠC). Considering we observed that SWRs in 5xFAD mice were 596 larger in amplitude with more total PCs active, this was not an altogether surprising result. This increase 597 indicates that the enlarged SWRs were accompanied with increased excitatory synaptic activity, likely 598 originating from the CA3 region. The lack of any increase in spontaneous excitatory activity is also 599 consistent with our cell-attached results showing that at least locally in CA1, both sPCs and dPCs had 600 no changes in firing rate during spontaneous periods (Fig. 4C-D). Together these results indicate that 601 spontaneous excitatory synaptic input is unchanged, but increased during SWRs in 5xFAD mice, 602 particularly for dPCs, consistent with expectations from our LFP experiments.

603 In contrast, for sIPSCs, there was an effect of genotype on the frequency ($F_{(1,33)} = 9.38$, p = 0.0043; 604 2-way ANOVA, LT; Fig. 5K), as well as an effect of PC type ($F_{(1,33)} = 8.19$, p = 0.0073), with sPCs seeing 605 a preferential 52 ± 10% decrease (p = 0.049, ŠC), while dPCs were unchanged (p = 0.466, ŠC). There 606 was a tendency for an effect of genotype on the amplitude of sIPSCs ($F_{(1,3)}$ = 3.40, p = 0.074; 2-way 607 ANOVA, LT; Fig. 5L), and no effect for the rise tau ($F_{(1,33)}$ = 1.73, p = 0.197; 2-way ANOVA; Fig. 5M). 608 However the decay tau of sIPSCs saw an effect of genotype ($F_{(1,33)} = 9.36$, p = 0.0044; 2-way ANOVA; 609 Fig. 5N), cell type ($F_{(1,33)} = 10.7$, p = 0.0025), and interaction of genotype × cell type ($F_{(1,33)} = 7.61$, p = 610 0.0094), with sPCs from 5xFAD mice displaying a 5 ms longer decay than control sPCs (p = 0.030, ŠC) 611 and dPCs (p = 0.00072, SC). This specific alteration prompted us to examine in more detail the 612 distribution of sIPSCs. For each cell, the cumulative distribution function of all events was calculated and averaged across cells. This analysis revealed for sPCs a significantly lower sIPSC amplitude (F(1,1000) = 613 614 39.8, p = 4.2×10^{-15} ; 2-way ANOVA; Fig. 50, upper) and greater decay tau (F_(1,1000) = 469, p < 10^{-15} ; 2-615 way ANOVA; Fig. 5P, upper), with events of amplitude 10 - 65 pA and decay tau 9 - 22 ms surviving 616 multiple comparisons. This suggests that for sPCs, fast and high amplitude inhibitory input, typically 617 attributed to fast-spiking cells, is preferentially reduced. In contrast, dPCs saw no significant range of bins 618 survive multiple comparisons (Fig. O-P, lower). This analysis was performed for all sEPSC and sIPSC 619 end-points, and no other end-points saw significant genotype differences surviving multiple comparisons. 620 The normalized inhibitory charge during spontaneous periods (sIPSQ) was also preferentially 621 reduced for 5xFAD sPCs (Genotype Effect: $F_{(1,33)} = 10.3$, p = 0.0029; 2-way ANOVA, LT; sPC: p = 0.044,

622 dPC: p = 0.331, ŠC; Fig. 5Q). During SWRs, the total inhibitory charge (swrIPSQ) was differentially altered between cell types, with a significant interaction of genotype and cell type ($F_{(1,33)} = 7.14$, p = 623 624 0.0116; 2-way ANOVA, LT; Fig. 5R), a non-significant reduction for sPCs (p = 0.294, ŠC) and a significant 625 increase for dPCs (p = 0.022, SC). These results suggest a selective impairment in inhibition in sPCs 626 that is not seen in dPCs. Despite the observed increase in SWR activity in 5xFAD mice, the inhibition did 627 not scale proportionally with the increased excitation, thus shifting the synaptic E/I balance. We assessed 628 this for both spontaneous and SWR-driven currents. During spontaneous periods, there was a significant 629 effect of genotype on the E/I ratio, defined as the ratio of sEPSQ/sIPSQ ($F_{(1.27)}$ = 14.7, p = 0.00069; 2-630 way ANOVA; Fig. S), as well as an effect of cell type ($F_{(1,27)} = 7.39$, p = 0.0113). Both sPCs and dPCs saw an increase (sPC: p = 0.055, dPC: p = 0.049, ŠC). During SWRs, the synaptic E/I ratio 631 632 (swrEPSQ/swrIPSQ) was affected by both genotype ($F_{(1,27)} = 7.59$, p = 0.0104; 2-way ANOVA; Fig. R) 633 and a genotype x cell type interaction ($F_{(1,27)} = 5.12$, p = 0.0319), but only in sPCs was there an increase 634 (sPC: p = 0.048, dPC: p = 0.877). To examine the temporal progression of synaptic input during SWRs. 635 we calculated the EPSQ and IPSQ in a sliding 100 ms window across the SWR peak (Fig. 5U-V), from 636 which a time course of the synaptic E/I ratio could be determined (Fig. 5W-X). While for both the EPSQ 637 and IPSQ there was a significant effect of genotype for sPCs (EPSQ: $F_{(1,1000)} = 184$, p < 10⁻¹⁵; IPSQ: 638 $F_{(1,1000)} = 129$, p < 10⁻¹⁵; 2-way ANOVA; Fig. 5U) and dPCs (EPSQ: $F_{(1,1900)} = 295$, p < 10⁻¹⁵; IPSQ: $F_{(1,2300)}$ 639 = 708, p < 10^{-15} ; 2-way ANOVA; Fig. 5V), only for the IPSQ in dPCs did individual bins from -18 to +62 640 ms (relative to the SWR peak) survive multiple comparisons. The ratio of the EPSQ/IPSQ time course 641 revealed a significant effect of genotype for both sPCs ($F_{(1,1000)} = 159$, p < 10⁻¹⁵; 2-way ANOVA; Fig. 5W) 642 and dPCs ($F_{(1,1800)}$ = 24.3, p = 9.1 x 10⁻⁷; 2-way ANOVA; Fig. 5X). For both sPCs and dPCs there was an 643 early peak in the synaptic E/I ratio for 5xFAD mice that was not present in controls (Fig. 5W-X), which 644 can be attributed to a build-up in excitation with a delayed increase in inhibition. Only for dPCs did 645 individual bins from -70 to -60 ms survive multiple comparisons, in part likely due to the greater sampling 646 of dPCs.

647 **PV basket cells have selectively reduced spiking**

648 While there are numerous inhibitory cell sub-types in the CA1 region that could underlie a shift in 649 E/I synaptic input to PCs (Pelkey et al., 2017), we focused on parvalbumin-expressing (PV) interneurons, 650 as they are the most highly active during SWR events (Somogyi et al., 2014). We performed cell-attached 651 recordings in 5xFAD/+;PV^{Cre}/+;tdTom/+ and PV^{Cre}/+;tdTom/+ littermate controls (n_{cell} = 13 CT, 18 5xFAD) 652 (Fig. 6A). Cells were also recorded from 5xFAD;Thy1-GCaMP6f;PV^{Cre}/+;tdTom/+ and Thy1-653 GCaMP6f; PV^{Cre} +; tdTom/+ littermate controls (n_{cell} = 11 CT, 14 5xFAD), which were pooled together. 654 One complication with the PV cell population is that there are at least three distinct sub-types within CA1 655 str. pyr., which vary in function and axonal target: basket cells (PVBCs), which target perisomatic regions 656 of PCs, bistratified cells (PVBSCs), which target both apical and basal dendrites of PCs, and axo-axonic 657 cells (PVAACs), which selectively target the axon initial segment (AIS) (Fig. 6B). To distinguish these, 658 we morphologically reconstructed the cells post hoc after filling with biocytin in whole-cell configuration 659 (Fig. 6C) and sorted them by axonal target. Of the total reconstructed cells (n_{cell} = 24 CT, 32 5xFAD), 660 PVBSCs (Fig. 6C.2) were easily distinguished from both PVBCs (Fig. 6C.1) and PVAACs (Fig. 6C.3), 661 with their axonal arbor avoiding str. pyramidale ($n_{PVBSC} = 5 \text{ CT}$, 10 5xFAD). While PVBCs and PVAACs 662 have overlapping axonal targets, some PVBCs were easily distinguished with axonal terminals directly 663 targeting PC somas as visualized through the PV^{Cre} -tdTom and/or Thy1-GCaMP6f fluorescence (n_{PVBC} = 664 9 CT, 9 5xFAD). Likewise, some PVAACs exhibited the characteristic "chandelier" phenotype and lack of somatic targeting (n_{PVAAC} = 4 CT, 4 5xFAD). However, there were some cells with ambiguous 665 666 PVBC/PVAAC morphology based solely on axonal targets ($n_{cell} = 6$ CT, 9 5xFAD). To sort these cells, 667 we examined the spike rate. Both PVBCs and PVBSCs are known to strongly increase their spike rate 668 during SWRs (Lapray et al., 2012; Katona et al., 2014), while the PVAAC spike rate does not increase 669 (Viney et al., 2013). Thus, for cells with ambiguous PVBC/PVAAC morphology, those with a spike rate 670 increase during SWRs (53 \pm 11 Hz) were sorted as putative PVBCs, while those with no increase (0.6 \pm 671 0.6 Hz) were sorted as putative PVAACs. In a subset of 14 slices (5 CT, 9 5xFAD from n_{mice} = 4 CT, 5 672 5xFAD), we additionally stained for Ankyrin G, which labels the AIS, and confirmed colocalization with 673 two putative PVAACs (Fig. 6C.4). Based on this sorting methodology, we identified a total of $n_{PVBC} = 13$

674 CT, 16 5xFAD, n_{PVBSC} = 5 CT, 10 5xFAD, and n_{PVAAC} = 6 CT, 6 5xFAD. The proportion of cells did not 675 significantly differ from prior published findings of 60% PVBC, 25% PVBSC, 15% PVAAC in CA1 *str. pyr.* 676 ($\chi^2_{(2)}$ = 0.754, p = 0.686) (Baude et al., 2007), and did not significantly differ between genotype ($\chi^2_{(2)}$ = 677 1.09, p = 0.580; Fig. 6D).

678 Based on these delineations, we performed PV cell-attached + LFP recordings in control and 679 5xFAD mice for the identified populations of PVBCs (Fig. 7A.1), PVBSCs (Fig. 7A.2) and PVAACs (Fig. 7A.3). We observed an effect of genotype on PVBC spike rate ($F_{(1,27)}$ = 10.4, p = 0.0033; 2-way RM 680 681 ANOVA, ART; Fig. 7B.1), with a selective and robust reduction during SWR periods, from 62.9 ± 10.6 Hz 682 to 34.0 ± 6.2 Hz (p = 0.044; Mann-Whitney *post hoc*, SC), whereas the spontaneous spike rate did not significantly differ (p = 0.209; Mann-Whitney post hoc, ŠC). In contrast, there was no effect of genotype 683 684 on the spike rate of PVBSCs ($F_{(1,13)}$ = 1.35, p = 0.267; 2-way RM ANOVA, ART; Fig. 7B.2) or PVAACs 685 $(F_{(1,10)} = 3.83, p = 0.079; 2-way RM ANOVA, ART; Fig. 7B.3)$. As expected from the cell-sorting 686 methodology, spike rates increased during SWRS for PVBCs, with an effect of period ($F_{(1,27)}$ = 179, p = 1.9×10^{-13} ; Fig. 7B.1), and increases of 5.6 ± 1.2 fold in control (p = 0.00049; Wilcoxon *post hoc*, ŠC) 687 688 and 5.9 \pm 2.4 fold in 5xFAD mice (p = 0.00012; Wilcoxon post hoc, SC). Additionally, there was an 689 interaction of genotype × period ($F_{(1,27)}$ = 16.8, p = 0.00034), indicating that PVBC modulation of spiking 690 during SWRs differed between genotype. Similarly, there was an effect of period for PVBSCs ($F_{(1,13)}$ = 30.6, $p = 9.7 \times 10^{-5}$; Fig. 7B.2), with a non-significant 4.4 ± 1.2 fold increase in control (p = 0.121; Wilcoxon 691 692 post hoc, SC) and a 6.8 ± 5.0 fold increase in 5xFAD mice (p = 0.019; Wilcoxon post hoc, SC), and no 693 interaction of genotype × period ($F_{(1,13)}$ = 2.56, p = 0.133). While PVAACs did see an effect of period 694 $(F_{(1,10)} = 16.7, p = 0.0022; Fig. 7B.3)$, neither in control nor 5xFAD mice was the increase significant (CT: 695 1.0 ± 0.4 fold, p = 0.527, 5xFAD: 2.4 \pm 0.8 fold, p = 0.062; Wilcoxon *post hoc*, SC), nor was there an 696 interaction of genotype × period ($F_{(1,10)} = 3.21$, p = 0.104). However, the low number of PVAACs recorded 697 from may mask small alterations in this cell population.

The peri-SWR spike probability revealed that in 5xFAD mice, PVBCs spikes fell in a significantly narrower window (Fig. 7C.1), with a reduced full-width half-maximum value from 44 ± 19 ms to 18 ± 5 ms, and a significant effect of genotype when analyzed via 2-way ANOVA ($F_{(1,2700)} = 267$, p < 10⁻¹⁵), with

701 bins from -20 to -16 ms and 0 to +20 ms relative to the SWR peak surviving multiple comparisons. 702 Intriguingly, this narrower window of spiking in 5xFAD mice was accompanied by shorter duration SWRs 703 (Fig. 2D), suggesting the activity of these cells is critical for normal ripple progression. In contrast, 704 PVBSCs appeared to increase their firing after the SWR peak in 5xFAD mice (Fig. 7C.2), perhaps playing 705 a compensatory role, with a significant effect of genotype ($F_{(1,1300)} = 33.9$, p = 7.3 × 10⁻⁹; 2-way ANOVA). 706 PVAACs appeared to have decreased probability of spiking in 5xFAD mice, particularly before the SWR peak (Fig. 7C.3), with a significant effect of genotype ($F_{(1,1000)} = 30.15$, $p = 5.1 \times 10^{-8}$; 2-way ANOVA). 707 708 However, unlike PVBCs, neither PVBSCs nor PVAACs showed significant genotype differences when 709 corrected for multiple comparisons (Fig. 7C).

710 Considering the rate of bursts, defined as three or more spikes each within 40 ms, there was a 711 tendency for a reduction for PVBCs (U = 60, p = 0.056; Mann-Whitney; Fig. 7D.1) and no change for 712 PVBSCs (U = 22.5, p = 0.793; Mann-Whitney; Fig. 7D.2) or PVAACs (U = 24, p > 0.999; Mann-Whitney; 713 Fig. 7D.3). No differences were observed in the proportion of cells that exhibited bursts for PVBCs (100% 714 CT, 93.8% 5xFAD; $\chi^2_{(1)} = 0.842$, p = 0.359; χ^2 Test), PVBSCs (80.0% CT, 90.0% 5xFAD; $\chi^2_{(1)} = 0.288$, p 715 = 0.591), or PVAACs (50.0% CT, 83.3% 5xFAD; $\chi^{2}_{(1)}$ = 1.500, p = 0.221). The fraction of SWRs that 716 coincided with a PV spike was no different between genotypes for PVBCs (U = 72, p = 0.170; Mann-717 Whitney; Fig. 7E.1), PVBSCs (U = 21, p = 0.655; Mann-Whitney; Fig. 7E.2), or PVAACs (U = 6, p = 0.065; 718 Mann-Whitney; Fig. 7E.3). However in PVBCs, the fraction of SWRs that coincided with a PV burst was 719 significantly reduced from $65.4 \pm 8.5\%$ to $32.9 \pm 8.5\%$ (U = 53, p = 0.025; Mann-Whitney; Fig. 7F.1), while 720 there was no genotype difference for PVBSCs (U = 16.5, p = 0.323; Mann-Whitney; Fig. 7F.2) or PVAACs 721 (U = 10.5, p = 0.182; Mann-Whitney; Fig. 7F.3).

We also examined the spike phase-locking of these three PV cell types, as these have been carefully studied *in vivo* for theta (8-12 Hz, during mobility) and ripple oscillations (Varga et al., 2014). The precise temporal ordering of PV cell sub-types during network oscillations has been proposed to play a critical role in the spatiotemporal control of PCs. During ripples, PVBCs have been observed to fire just after the trough of the ripple, followed by PVBSCs and then PVAACs. Less studied is the phase-locking of PV cells during SWR-nested slow gamma oscillations, which we examined as we observed alterations

728 in this endpoint for the PC population (Fig. 4M). We found significant gamma phase-locking of PVBCs 729 for 5xFAD mice at 273° (247°-298° 95%; Z = 7.94, p = 0.00012; Raleigh's test), with broader phase 730 preference in control mice (Z = 2.48, p = 0.082; Raleigh's test), and with no difference in median phase angle (P = 0.144, p = 0.705; Circular median test; Fig. 7G.1). PVBSCs were similarly gamma phase-731 732 locked for 5xFAD mice at 292° (249°-336° 95%; Z = 3.54, p = 0.024; Raleigh's test), with broader phase 733 preference in control mice (Z = 1.57, p = 0.216; Raleigh's test), and with no difference in median phase 734 angle (P = 0.311, p = 0.577; Circular median test; Fig. 7G.2). In contrast, in PVAACs we observed phase-735 locking in control mice at 246° (224°-368° 95%; Z = 4.43, p = 0.005; Raleigh's test), with broader phase 736 preference in 5xFAD mice (Z = 0.913, p = 0.421; Raleigh's test), and with a tendency for a difference in 737 median phase angle (P = 3.60, p = 0.058; Circular median test; Fig. 7G.3). While the precise significance 738 of gamma phase-locking has yet to be demonstrated, these genotype differences point to a temporal 739 disordering of PV cell inhibition.

740 Within the ripple range, in PVBCs, there was a significant phase-locking in control mice at 228° 741 (198°-259° 95%; Z = 6.01, p = 0.0014; Raleigh's test), and in 5xFAD mice at 208° (186°-231° 95%; Z = 742 9.35, p = 1.7 × 10⁻⁵; Raleigh's test), with no significant genotype difference ($F_{(1.27)}$ = 1.34, p = 0.258; 743 Watson-Williams; Fig. 7H.1). These values are in line with prior in vivo studies, where 0° in our study was 744 defined as the peak of the ripple cycle and 180° as the trough. PVBSCs exhibited more varied phase-745 locking in the ripple range, although the average angles are in line with *in vivo* studies (CT: 241°, Z = 1.63, p = 0.202; 5xFAD: 225°, Z = 1.33, p = 0.270; Raleigh's test; Fig. 7H.2). PVAACs exhibited ripple 746 747 phase preference for control mice at 257° (219°-296° 95%; Z = 3.75, p = 0.0015; Raleigh's test), in line 748 with in vivo studies. However, in 5xFAD mice, PVAACs spiked earlier, at 204° (164°-245° 95%; Z = 3.54, 749 p = 0.0020; Raleigh's test), with a significant genotype difference in mean phase angle (F_(1,9) = 5.68, p = 750 0.044: Watson-Williams: Fig. 7H.3). Although we observed no significant change in PVAAC spike rate. 751 this disruption in temporal ordering may still have downstream network consequences.

752 PV basket cells have selective decrease in excitatory synaptic drive and decreased E/I ratio

Following cell-attached recording of spiking activity, the electrode was replaced with a Cesium internal and the same PV cell was targeted for a whole-cell voltage-clamp recording. EPSCs were

755 recorded at -70 mV for PVBCs (n_{PVBC} = 12 CT, 16 5xFAD; Fig. 8A.1), PVBSCs (n_{PVBSC} = 4 CT, 6 5xFAD; 756 Fig. 8A.2), and PVAACs (n_{PVAAC} = 5 CT, 6 5xFAD; Fig. 8A.3). None of the three PV cell subtypes had 757 any change in spontaneous sEPSC frequency (PVBC: $t_{(26)} = 1.30$, p = 0.206; PVBSC: $t_{(8)} = 0.990$, p = 758 0.351; PVAAC: $t_{(9)} = 0.129$, p = 0.900; unpaired t-tests), or amplitude (PVBC: $t_{(26)} = 0.545$, p = 0.591; 759 PVBSC: $t_{(8)} = 0.058$, p = 0.956; PVAAC: $t_{(9)} = 2.11$, p = 0.064; unpaired t-tests; Fig. 8B.1-3). The kinetics 760 of sEPSCs in 5xFAD PVBCs were altered however, with a similar rise tau ($t_{(26)} = 0.307$, p = 0.761; 761 unpaired t-test), but a reduction in the decay tau ($t_{(26)} = 2.41$, p = 0.024; unpaired t-test; Fig. 8C.1). There 762 were no changes to sEPSC kinetics in PVBSCs (Rise: $t_{(8)} = 0.671$, p = 0.521; Decay: $t_{(8)} = 1.02$, p = 0.340; 763 Fig. 8C.2) or PVAACs (Rise: $t_{(9)} = 0.857$, p = 0.414; Decay: $t_{(9)} = 1.79$, p = 0.107; Fig. 8C.3). To examine 764 the decreased sEPSC decay tau in PVBCs, the cumulative distribution function of all sEPSCs was 765 calculated and averaged across cells, revealing an effect of genotype ($F_{(1,2500)}$ = 2165, p < 10⁻¹⁵; 2-way 766 ANOVA; Fig. 8D), with events of decay tau 1 - 7 ms surviving multiple comparisons. This decrease in 767 decay tau was somewhat unexpected, considering that in PV cells, neuronal pentraxins are associated 768 with an acquisition of GluA4 subunits which speeds up the kinetics of AMPA receptor mediated EPSCs 769 (Pelkey et al., 2015). Both pentraxins and GluA4 are selectively reduced in human Alzheimer's patients 770 (Xiao et al., 2017), suggesting that in AD, a longer decay of AMPA-mediated EPSCs may underlie PV 771 cell dysfunction. Our results indicate that in 3 mo 5xFAD mice, this does not appear to be a prominent 772 mechanism.

773 During SWRs, PVBCs from 5xFAD mice saw a 50.1 ± 10.7% reduction in the swrEPSQ, the total 774 excitatory synaptic charge in a 100 ms window centered around the SWR peak ($t_{(26)} = 3.20$, p = 0.0036, 775 unpaired t-test; Fig. 8E.1), whereas there was no change for PVBSCs ($t_{(8)} = 0.997$, p = 0.348; unpaired 776 t-test; Fig. 8E.2) or PVAACs ($t_{(9)}$ = 1.63, p = 0.139; unpaired t-test; Fig. 8D.3). In a subset of cells (n_{PVBC} 777 = 8 CT, 8 5xFAD), we also recorded IPSCs at 0 mV (Fig. 8A.4 for PVBCs, IPSCs were not recorded in 778 every cell, thus there were insufficient numbers of PVBSCs and PVAACs for statistical analysis). 779 Spontaneous inhibitory input to PVBCs was unchanged, including sIPSC frequency ($t_{(14)} = 0.448$, p = 780 0.661; unpaired t-test), amplitude ($t_{(14)} = 0.339$, p = 0.740; unpaired t-test; Fig. 8B.4), and kinetics (Rise: 781 $t_{(14)} = 0.263$, p = 0.796; Decay: $t_{(14)} = 0.672$, p = 0.513; Fig. 8C.4). The total inhibitory charge during

782 SWRs, swrIPSQ, was also no different between genotype ($t_{(14)} = 0.0083$, p = 0.994; unpaired t-test; Fig. 783 E.4). This selective decrease in excitation to PVBCs during SWRs, in contrast to the observation in PCs 784 (Fig. 5J), resulted in a significant decrease in the synaptic E/I ratio during SWRs ($t_{(14)} = 2.70$, p = 0.017, unpaired t-test), though not during spontaneous periods ($t_{(14)} = 0.929$, p = 0.368, unpaired t-test; Fig. 8F). 785 786 As with PCs, we examined the time course of synaptic charge during SWRs in PVBCs, and found a 787 significant effect of genotype on the excitatory charge ($F_{(1,2500)} = 664$, p < 10⁻¹⁵; 2-way ANOVA), with bins 788 from -32 to +42 ms relative to the SWR peak surviving multiple comparisons (Fig. 8G). There was no 789 effect of genotype on inhibitory charge ($F_{(1,1400)} = 2.12$, p = 0.145, 2-way ANOVA; Fig. 8G). This resulted 790 in a significant effect of genotype on the E/I ratio ($F_{(1,1300)} = 339$, p < 10⁻¹⁵, 2-way ANOVA), with an early 791 peak that survived multiple comparisons in control above 5xFAD cells from -66 to -58 ms relative to the 792 SWR peak (Fig. 8H), precisely the opposite effect observed in PCs (Fig. 5W-X)

793 Since we recorded the cell-attached spiking activity from the same PVBCs, we were next 794 interested if reduced SWR spike rate (Fig. 7B.1) was correlated with altered synaptic E/I ratio during SWRs. We observed a moderate positive correlation for control PVBCs ($n_{PVBC} = 8$, $R^2 = 0.625$, $F_{(1.6)} =$ 795 796 9.98, p = 0.020, Linear Regression), but no correlation between spike rate and E/I ratio for 5xFAD PVBCs 797 $(n_{PVBC} = 8, R^2 = 0.0092, F_{(1.6)} = 0.056, p = 0.822; Fig. 8I)$, suggesting that not only is excitatory synaptic 798 input during SWRs reduced, potentially through altered PC-PVBC connectivity, but there are also deficits 799 in PVBC input-output function, consistent with prior studies of intrinsic PV cell dysfunction (Verret et al., 800 2012).

801 Discussion

802 Here we identified a selective reduction in PVBC activity in a model of AD, while PVBSCs, PVAACs, 803 and excitatory PCs were relatively spared. By investigating the synaptic input and spike output of these 804 cell types, we present a careful description of hippocampal micro-circuitry alterations in early amyloid 805 pathology (Fig. 9) during activity critical for memory consolidation (i.e. SWRs). PVBCs displayed a 806 reduced synaptic E/I ratio during SWRs (Fig. 8F), driven by a reduction in excitatory synaptic input (Fig. 807 8E.1). PVBCs spiked less during SWRs (Fig. 7B.1), thus providing reduced inhibitory control to excitatory 808 PCs. In contrast, PCs displayed an increased synaptic E/I ratio (Fig. 5S-T), with differences between 809 superficial and deep PCs. sPCs saw a greater reduction in inhibitory input than dPCs (Fig. 5Q-R), and 810 also displayed an increased probability of spiking during SWRs (Fig. 4E-F). As the strong inhibition 811 PVBCs provide is critical for the selection of PC ensembles (Klausberger and Somogyi, 2008; Ellender 812 et al., 2010), this selective reduction may explain the enlarged PC ensembles (Fig. 3E), aberrant cellular 813 participation (Fig. 3L-M), and more frequent and larger amplitude SWRs in 5xFAD mice (Fig. 2C-D). 814 Intriguingly, the increase in SWRs appears detrimental, despite their role in memory consolidation, as we 815 also observed spatial memory deficits (Fig. 1C). Considering the surprising role SWRs play in down-816 regulating synapses and reducing memory-irrelevant activity (Norimoto et al., 2018), these aberrant 817 SWRs may be interfering with memory-relevant reactivations. Several mechanisms likely contribute to 818 the increase in SWRs. The reduction of sIPSCs that sPCs receive between SWRs (Fig. 5K) may permit 819 the buildup of excitation necessary for SWR initiation. The decreased duration of SWRs (Fig. 2D) may 820 more quickly reset the system for subsequent events. A more complete description would also require 821 investigation of CA3 and CA2 micro-circuitry.

822 Hyperactivity and inhibitory disruption in Alzheimer's disease

Our findings are consistent with growing evidence linking hyperactivity and Aβ aggregation (Zott et al., 2018). Hippocampal hyperactivity is seen in mouse models of early amyloid pathology as increased seizure risk (Palop et al., 2007) and enlarged ensemble activity (Busche et al., 2012). Our results extend these findings to the study of SWRs. In addition, several studies have demonstrated that a preferential disruption to inhibitory cells underlies hyperactivity (Verret et al., 2012; Hazra et al., 2013; Mahar et al.,

2016; Hijazi et al., 2019). In the clinical population, seizures are more prevalent and associated with earlier onset of cognitive decline in amnestic MCI patients (Vossel et al., 2013). Additionally, task-related engagement of the hippocampus, as tested via event-related fMRI, indicates hippocampal hyperactivation in MCI patients relative to aged controls, while more progressed AD patients experience hypoactivation (Dickerson et al., 2005; Pariente et al., 2005). These studies suggest our observed phenotype may better model mild cognitive impairment rather than fully progressed AD.

834 Sharp wave ripple alterations in aging and disease

835 In normal aging, SWR event and ripple frequency decrease (Wiegand et al., 2016; Cowen et al., 836 2018), contrasting with the phenotype we observed in younger mice. While it is accepted that AD is 837 distinct from accelerated aging (Toepper, 2017), similar decreases in SWRs have been observed in aged 838 AD models. In an apoE-ɛ4 knockin model of sporadic AD, SWR event frequency and gamma power are 839 reduced in 12-18 mo mice (Gillespie et al., 2016; Jones et al., 2019). Similarly, in 9-12 mo TgF344-AD 840 rats, SWR event frequency, power, and gamma power are reduced (Stoilikovic et al., 2018). In younger 841 adults the findings are somewhat mixed. As seen in a cohort of six 3 mo 5xFAD mice, SWR event 842 frequency and gamma power during non-theta periods are reduced (laccarino et al., 2016), the opposite 843 phenotype we observe in slice. In 2-4 mo rTg4510 mice, SWR event frequency is unchanged while 844 amplitude and ripple power are reduced (Ciupek et al., 2015). Another study has suggested a failure of 845 PC-PV circuits in amyloid pathology is due to regulation of the GluA4 AMPA receptor, resulting in more 846 frequent SWRs with reduced ripple frequency in 3-4 mo APP_{swe}/PS1 Δ E9 mice (Xiao et al., 2017). 847 Propagation of SWRs also appears disrupted; 3 mo APP-PS1 mice show impaired propagation from CA3 848 to CA1 correlated with increased immunoreactivity for PV (Hollnagel et al., 2019).

One notable difference between our study and others is the acute slice versus *in vivo* preparation. Slice electrophysiology permits a careful study of the synaptic inputs to different neuronal sub-types during SWRs, an infeasible task *in vivo*. It is unknown if awake versus asleep SWR are differentially affected in AD, and it is unclear which, if either, are better modeled in slice. The choice of AD model also surely has implications. The 5xFAD model has several advantages over other mouse models in replicating human disease: a high ratio of A β_{42} over A β_{40} , memory impairment, and neurodegeneration

855 in later disease progression. However, it also has limitations. As with most AD models, it only models the 856 familial variant of the disease, while the sporadic variant accounts for most human cases. Moreover, the 857 presence of five APP/PS1 gene mutations would certainly never be observed in a patient. Another 858 disadvantage is APP overexpression, common to all first-generation transgenic models. Some have 859 noted electrophysiological alterations are more related to APP overexpression than A β aggregation (Born 860 et al., 2014). We attempted to address this by examining a 1 mo cohort (preceding plaque aggregation), 861 in which APP overexpression would still presumably have an effect, a cohort in which we observed no 862 alterations (Fig. 1C,2C). Fewer studies exist of second-generation APP knockin models which address 863 the APP overexpression problem, yet have less pronounced disease phenotypes (Sasaguri et al., 2017). 864 In one relevant study, sIPSC amplitude from putative PV cells is decreased in parietal cortex PCs from 865 18-20 mo App^{NL-F} mice, although synaptic E/I balance is unchanged (Chen et al., 2018). It will be critical 866 to study hippocampal network alterations, both at the level of the micro-circuit and behaving animal, in 867 novel AD mouse models with greater validity.

868 Potential mechanisms for PVBC deficit

Several mechanisms may underlie PVBC dysfunction in amyloid pathology. Intrinsic factors 869 870 including downregulation of the voltage-gated Na_{v1.1} channel can explain decreased PV cell excitability 871 and cortical network hyperactivity (Verret et al., 2012). It remains to be shown if different PV sub-types 872 are differentially affected, but according to the Allen Brain Institute Cell Types Database, all identified 873 murine PV clusters express the Scn1a gene encoding Nav1.1 (Lein et al., 2007). Another potential 874 mechanism is loss of peri-neuronal nets (PNNs), part of the extracellular matrix surrounding soma and 875 proximal dendrites that preferentially ensheathe PV cells (Kwok et al., 2011; Sorg et al., 2016). The 876 function of PNN is incompletely understood, but both enhances PV cell excitability and activity (Slaker et 877 al., 2015: Balmer, 2016). In a prior study, we observed degradation of PNN increases SWR event 878 frequency by ~50% (Sun et al., 2017), a similar magnitude effect as the current study. Additionally, the 879 selective reduction of excitatory input to PV cells (Fig. 8E.1) is consistent with the decrease in miniature 880 EPSCs in PV cells in a brevican knockout, a major component of PNN (Favuzzi et al., 2017). Moreover, 881 PNN staining is reduced in 3 mo Tg2576 mice (Cattaud et al., 2018). PNNs are more selectively located

around PVBCs (>90%) than PVBSCs (25-50%) or PVAACs (<10%) (Yamada and Jinno, 2015), potentially explaining the specificity we observe. However, our experiments would also be consistent with upstream deficits in excitatory input to PV cells. In 4 mo Tg2576 mice, there is a preferential degeneration of direct entorhinal input to CA1 PV cells; optogenetic restoration of this input rescues synaptic and spatial learning deficits (Yang et al., 2016). The overall cause of disruption to PV cells is likely from several contributing factors, and further studies are required to identify the most salient impairment, and thus the most promising avenue for intervention.

889 Implications of PVBC specific deficit

890 Here, we identified a preferential impairment in PVBC function, concurrent with altered network 891 activity. The selectivity of synaptic alterations in PVBCs suggest they may be a promising target for 892 intervention to restore hippocampal network activity in early amyloid pathology. Given the rapid evolution 893 of tools to manipulate activity in a cell-type specific manner, this finding is of particular importance 894 considering optogenetic (laccarino et al., 2016) and chemogenetic (Hijazi et al., 2019) approaches to ameliorate memory decline in AD. A limitation of these strategies is that the PV^{Cre} driver will also target 895 896 PVBSCs and PVAACs, potentially leading to unintended off-target consequences in temporal 897 sequencing. Novel combinatorial approaches utilizing both Cre and Flp (He et al., 2016) provide a 898 promising avenue to selectively manipulate distinct neuronal sub-types. For example, the Nkx2.1^{CreER}:LSL^{Flp} mouse would provide an efficient means to record PVAAC activity, in which we saw 899 900 small alterations that did not reach significance. In conclusion, this study investigated the synaptic input 901 and spiking output of distinct PC and PV cell-types within CA1 micro-circuitry over the course of SWR 902 events, providing insight into synaptic deficits in early amyloid pathology, and informing future attempts 903 to manipulate the hippocampal micro-circuit.

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- 1174 Disease in Mice and Humans? Annu Rev Neurosci 41:277–297.

1175

1176 Legends

- 1177 Table 1: Mouse cohorts used in study. Top, Electrophysiology/imaging cohorts were distinct from
- 1178 behavioral cohorts. For both experiments, mice were studied at an age of 1 and 3 months (mo). *Bottom*,
- 1179 The 3 mo electrophysiology/imaging cohort consisted of three sub-cohorts with different reporter genes.

1180 Figure 1: Three month (mo) 5xFAD mice deposit amyloid and display minor impairment in spatial

1181 *memory*. A, Representative IHC in the CA1 – subiculum region in 1 mo (top) and 3 mo (bottom) for 1182 control (CT, left) and 5xFAD (right) mice. Red: MOAB-2, labeling both intraneuronal and extracellular AB, 1183 including unaggregated, oligometric, and fibrillar A β_{42} and unaggregated A β_{40} . Cyan: Thioflavin-S, labeling 1184 fibrils in extracellular plaques. Staining was repeated in 2 mice of each genotype and age. Scale bar = 1185 100 µm. Inset scale bars = 50 µm. **B**, Cohorts of 1 mo (n_{mice} = 10 CT, 10 5xFAD, top) and 3 mo (n_{mice} = 1186 15 CT, 12 5xFAD, *bottom*) mice, were trained on the Barnes Maze, consisting of four days of training, 1187 each with four 180 s trials. The latency to find the target escape hole was averaged over the four trials. 1188 C, Latency to find target on the final 90 s probe trial on the fifth day for 1 mo (top) and 3 mo (bottom) 1189 cohorts. **D**, Representative heat map for 3 mo animals on the final probe trial. Warmer colors represent 1190 longer duration. Control mice spent more time at the target and surrounding region than 5xFAD mice. 1191 For all plots, individual data points represent an animal. Closed circles represent males, open circles 1192 females. Box-whisker plots represent non-normal data as Median and IQRs. p-values indicated above 1193 brackets.

1194 Figure 2: Sharp wave ripples are increased in 3 month 5xFAD mice. A, SWRs were recorded in acute horizontal slices with the LFP electrode located in CA1. B, Example traces of 3 mo control (left) and 1195 5xFAD (right) slices. 1st trace, LFP filtered between 1-1000 Hz, raster below indicates detected SWR 1196 events as overlap of sharp wave (SW) and ripple events. 2nd trace, SW, filtered between 1-30 Hz, raster 1197 below indicates detected SW events. 3rd trace, low gamma, filtered between 20-50 Hz. 4th trace, ripple, 1198 1199 filtered between 120-220 Hz, raster below indicates detected ripple events. Bottom, z-scored time-1200 frequency spectrogram from 1-250 Hz. C, Summary plot of SWR event frequency for 5xFAD and 1201 littermate controls for both 1 mo (n_{mice} = 10 CT, 10 5xFAD; *left*) and 3 mo cohorts (n_{mice} = 27 CT, 29 1202 5xFAD; right). **D**, SWR event amplitude and duration. **E**, Peak ripple frequency and the number of ripple 1203 cycles within SWR duration. F, Example SWR events for traces displayed in B, with average z-scored 1204 time-frequency spectrogram of all events below. G, Average Fast-Fourier Transform (FFT) of 200 ms 1205 window around all SWR events from characteristic subset of n_{slice} = 7 CT, 7 5xFAD from n_{mice} = 4 CT, 5 1206 5xFAD. Shaded region represents SEM. H-J, SWR-locked oscillation power in the low gamma (20-50 1207 Hz, H), ripple (120-220 Hz, I), and fast ripple (250-500 Hz, J) ranges. Note that in H, one value was 1208 identified as an outlier by the ROUT method but was retained in analysis, as the data point appeared 1209 valid, and even with removal did not alter the observed increase (U = 193, p = 0.0015; Mann-Whitney). 1210 For all plots, individual data points represent the average of all slices recorded from an animal 1211 (n_{slice}/animal in Table 1). Closed circles represent males, open circles females. Bar plots indicate normal 1212 data with Mean ± SEM. Box-whisker plots represent non-normal data with Median and IQRs. p-values 1213 indicated above brackets.

1214 Figure 3: Altered ensembles of pyramidal cells are recruited in 5xFAD slices. A, Confocal imaging 1215 of PC ensembles were recorded concurrently with SWRs. Scale bars = 50 μ m. **B**, Post hoc IHC for 1216 Calbindin (CB) of imaged Thy1-GCaMP6f (GC) slices. Dashed grey lines approximately distinguish layers 1217 of hippocampus, str. rad. = stratum radiatum, str. pyr. = stratum pyramidale, str. or. = stratum oriens. 1218 Scale bar = 50 µm. C, Histogram of the distance from the center of the cell body to the str. pyr./rad. border 1219 for 539 GC+ CB-, 292 GC- CB+, and 146 GC+ CB+ cells pooled from n_{slice} = 4 from n_{mice} = 2 CT, 2 5xFAD. 1220 **D**, LFP and $\Delta F/F$ for identified cells in slices from 3 mo control (*left*) and 5xFAD (*right*) mice. Top trace. 1221 LFP trace with identified SWR events in raster below. *Middle traces*, individual $\Delta F/F$ for each cell. 1222 superficial PCs (sPC) in magenta, and deep PCs (dPCs) in green. Bottom raster, identified Ca²⁺ events 1223 above threshold. Dark colored event indicate concurrence with SWR, light gray indicates spontaneous 1224 event. *E*, The total number of active sPCs and dPCs for CT ($n_{slice} = 25$) and 5xFAD ($n_{slice} = 23$) slices. *F*, 1225 The number of sPCs/dPCs active during SWRs. G, Frequency, H, Amplitude, and I, Duration of Ca^{2+} 1226 transient events averaged across sPCs and dPCs for each slice. J, top, Event matrix displaying for one 1227 example recording, the SWR events on the x-axis, and cells on the y-axis (magenta = sPC, green = dPC). 1228 Bottom left, pairwise Jaccard similarity between SWR events (columns in event matrix). Similarity matrix 1229 is symmetric and the diagonal = 1 by definition - these have not been plotted for clarity. Bottom right, 1230 pairwise Jaccard similarity between cells (rows in event matrix). Dashed lines indicate borders between 1231 sPC-sPC, sPC-dPC, and dPC-dPC comparisons. Quantification of cell similarity was performed by 1232 grouping all sPC-PC comparisons (sPC-sPC & sPC-dPC), and all dPC-PC comparisons (dPC-dPC & 1233 sPC-dPC). Cumulative distribution functions were calculated from all pairwise comparisons for K. SWR-1234 SWR similarity, L, sPC-PC similarity, and M, dPC-PC similar. K-M all showed significant genotype 1235 differences via 2-way ANOVAs; asterisks indicate regions surviving multiple comparisons. * p < 0.05, ** 1236 p < 0.01, *** p < 0.001. Box-whisker plots represent non-normal data with Median and IQRs. Individual data points represent a slice. Closed circles represent slices from males, open circles females. p-values 1237 1238 of pairwise comparisons indicated above brackets.

1239 Figure 4: Pyramidal cell spiking is relatively unchanged in 5xFAD mice. A, Diagram of a loose cell-1240 attached recording of spikes from a Thy1-GCaMP6f+ pyramidal cell (PC) paired with LFP recording of 1241 SWRs. B, Example traces from 3 mo control (left) and 5xFAD (right) slice. Top trace, LFP with SWR 1242 events in raster below. Gray shaded events indicate spontaneous SWR. Green shading indicates SWR 1243 coincident with at least one spike. Dark green shading indicates SWR coincident with burst, defined as 3 1244 or more spikes each within 60 ms. Bottom trace, Cell-attached recording from PC. Detected spikes and 1245 bursts indicated in raster below. Dark shading indicates they are coincident with SWR event, light gray 1246 shading indicates spontaneous events. Spike rates were calculated separately during spontaneous and 1247 SWR periods for **C**, superficial PCs (sPC), n_{sPC} = 13 CT, 9 5xFAD, and **D**, deep PCs (dPCs), n_{dPC} = 26 1248 CT, 35 5xFAD. The peri-SWR spike probability was calculated for **E**, sPCs and **F**, dPCs, by binning 1249 spikes into 100 2 ms bins and normalizing by total number of SWRs. Dark lines indicate average of all 1250 cells within a genotype, shaded regions indicate SEM. 0 ms indicates time of SWR peak. G, The rate of 1251 bursts for sPCs and dPCs, defined as at least 3 spikes each within 60 ms. H. Intra-burst interval for sPCs 1252 and dPCs, defined as the average time between successive spikes in a burst. I, The fraction of spikes 1253 that occurred during SWRs. J, The fraction of SWRs that had one or more spikes. K, Example of SWR 1254 (top trace), with filtered slow gamma (20-50 Hz) and ripple (120-220 Hz) signals (middle traces). The 1255 phase of oscillations was set at 0° at peaks and 180° at troughs. Bottom trace, cell-attached recording, 1256 with spike times marked by vertical dashed lines. L-O, Polar phase plots of phase-locking of spikes to 1257 SWR-nested slow gamma (L-M) and ripple (N-O) for sPCs (L,N) and dPCs (M,O). The angles of 1258 individual data points represent the average phase of all spikes for a cell. Length from origin (0-1) 1259 indicates the degree of phase-locking. A length of 1 signifies perfect phase-locking (every spike at same 1260 phase); a length of 0 indicates random or no phase-locking. Lines with arrowheads represent cell 1261 average, solid colored = CT, dashed grev = 5xFAD, Asterisks indicate result of Raleigh's test for non-1262 uniformity, * p < 0.05, ** p < 0.01. p values indicate results of circular mean comparison. For all plots, 1263 individual data points represent a cell. Closed circles represent cells from males, open circles females. 1264 Box-whisker plots represent non-normal data as Median and IQRs. p-values of pairwise comparisons 1265 indicated above brackets.

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1266 Figure 5: Pyramidal cells receive increased synaptic E/l ratio. A, Example traces of LFP + whole-cell 1267 recording voltage-clamped at -70 mV in Thy1-GCaMP6f littermate controls and 5xFAD/+;Thy1-GCaMP6f 1268 mice. Excitatory post-synaptic potentials (EPSCs) were sorted as spontaneous (sEPSCs) or SWR-1269 coincident (swrEPSCs). B, Example swrEPSCs. C, Example traces of LFP + whole-cell recording 1270 voltage-clamped at 0 mV to detect sIPSCs and swrIPSCs. D, Example swrIPSCs. E-H, Summary plots 1271 of sEPSC frequency (E), amplitude (F), rise tau (G), and decay tau (H) for sPCs and dPCs in control and 1272 5xFAD mice (n_{sPC} = 7 CT, 5 5xFAD; n_{dPC} = 12 CT, 9 5xFAD). *I*, sEPSQ = normalized spontaneous 1273 excitatory charge (integrated current per unit time). J, swrEPSQ = total excitatory charge during SWRs, 1274 integrated over a 100 ms window centered around the SWR peak. K-N, Summary plots of sIPSC 1275 frequency (K), amplitude (L), rise tau (M), and decay tau (N) ($n_{sPC} = 7$ CT, 5 5xFAD; $n_{dPC} = 14$ CT, 11 1276 5xFAD). O-P, Cumulative distribution functions, averaged over all cells for sIPSC amplitude (O) and 1277 decay tau (P), both for sPCs (top) and dPCs (bottom). Dark lines represent cell average, shaded region 1278 represents SEM. Q, sIPSQ = normalized spontaneous inhibitory charge (integrated current per unit time). 1279 **R**, swrIPSQ = total inhibitory charge during SWRs. **S**, Spontaneous synaptic E/I ratio of normalized 1280 charge for each cell (sEPSQ/sIPSQ). T, Synaptic E/l ratio for 100 ms window centered around SWR peak 1281 (swrEPSQ/swrIPSQ). U-V, During SWRs, the charge for each cell (EPSQ and IPSQ) was calculated in 1282 2 ms bins by integrating the current in a sliding 100 ms window centered around that bin, for both sPCs 1283 (U) and dPCs (V). Summary data in J and R thus represent these curves at the y-intercept. Asterisks 1284 indicate regions that survived Šidák's multiple comparisons * < 0.05, ** < 0.01, *** < 0.01. W-X, the ratios 1285 of curves in **U-V** yield the synaptic E/I ratio during SWRs. Summary data in **T** represents these curves at 1286 the y-intercept. For all plots, individual data points represent a cell. Closed circles represent cells from 1287 males, open circles females. Box-whisker plots represent non-normal data with Median and IQRs. Bar 1288 plots represent normal data with Mean ± SEM, p-values of pairwise comparisons indicated above 1289 brackets.

1290 Figure 6: PV cells in CA1 delineate into basket (PVBCs), bistratified (PVBSCs), and axo-axonic (PVAACs) cells. A, Diagram of LFP + PV cell recording in 3 mo 5xFAD/+; PV^{Cre}/+;tdTom/+ and 1291 1292 PV^{Cre/+};tdTom/+ littermate controls. **B**, Diagram of PV cell subtypes and their axonal targets in CA1 str. 1293 pyr. Examples of C.1, PVBCs, C.2, PVBSCs, and C.3, PVAACs. Green fluorescence indicates avidin-1294 fluorescein bound to the biocyin in the filled PV cell. Red fluorescence indicates PV^{Cre/+};tdTom/+ 1295 expression. Dashed grey lines approximately distinguish layers of hippocampus, str. l.m. = stratum 1296 lacunosum-moleculare, str. rad. = stratum radiatum, str. pyr. = stratum pyramidale, str. or. = stratum 1297 oriens. Notice that str. pvr. is dimly red due to the expression of other non-filled PVBCs targeting 1298 perisomatic regions of PCs. Scale bars = 100 µm. Bottom traces, Examples of LFP and cell-attached 1299 recording of spikes, which was used to aid in distinguishing PVBCs and PVAACs. PVAACs are unique 1300 in that they reduce their firing during SWRs. C.4, Ankyrin G immunostaining showing co-localization of 1301 synaptic boutons with AIS of PCs for identified PVAAC. Scale bar = 10 μ m. **D**, Proportions of sorted cells 1302 in each genotype.

1303 Figure 7: PV basket cells have selectively reduced spiking. Spiking data for PVBCs (panel subheading 1), PVBSCs (panel sub-heading 2), and PVAACs (panel sub-heading 3). A, Example LFP and 1304 1305 cell-attached traces for CT (*left*) and 5xFAD (*right*), for a PVBC (A.1), PVBSC (A.2), and PVAAC (A.3). 1306 Spike and SWR events are color-coded as in Fig. 4B. **B**, Summary spike rate data for PVBCs (n_{PVBC} = 1307 13 CT, 16 5xFAD, **B.1**), PVBSCs (n_{PVBSC} = 5 CT, 10 5xFAD, **B.2**), and PVAACs (n_{PVAAC} = 6 CT, 6 5xFAD, B.3) during spontaneous and SWR periods. C, Peri-SWR spike probability. Asterisks indicate regions 1308 1309 surviving Sidák's multiple comparisons correction. **D**, Burst rate, defined as 3 or more spikes each within 1310 40 ms. E. The fraction of SWRs with one or more spike. F. The fraction of SWRs with a burst. G-H. Polar 1311 phase plots of phase-locking of spikes to SWR-nested slow gamma (G) and ripple (H). The angles of 1312 individual data points represent the average phase of all spikes for a cell. Length from origin (0-1) 1313 indicates the degree of phase-locking. Lines with arrowheads represent cell average, solid colored = CT, 1314 dashed grey = 5xFAD. Asterisks indicate result of Raleigh's test for non-uniformity, p values indicate results of Watson-Williams or circular mean test. * < 0.05, ** < 0.01, *** < 0.001. For all plots, individual 1315 1316 data points represent a cell. Closed circles represent cells from males, open circles females. Box-whisker 1317 plots represent non-normal data with Median and IQRs. p-values indicated above brackets.

1318 Figure 8: PV basket cells have selective decrease in excitatory synaptic input and decreased E/I 1319 ratio. Whole-cell data for PVBC EPSCs (panel sub-heading 1), PVBSC EPSCs (panel sub-heading 2), 1320 PVAAC EPSCs (panel sub-heading 3), and PVBC IPSCs (panel sub-heading 4). A, Example recordings 1321 of LFP + whole-cell PV cell recording in 3 mo 5xFAD/+; PV^{Cre}/+;tdTom/+ and PV^{Cre}/+;tdTom/+ littermate 1322 controls, recording synaptic input during spontaneous and SWR period. EPSCs were recorded at -70 mV 1323 in PVBCs (A.1), PVBSCs (A.2), and PVAACs (A.3), and IPSCs recorded at 0 mV in a subset of PVBCs 1324 (A.4). B, Summary data for spontaneous PSC frequency and amplitude for PVBC sEPSCs ($n_{PVBC} = 12$) 1325 CT, 16 5xFAD, **B.1**), PVBSC sEPSCs (n_{PVBSC} = 4 CT, 6 5xFAD, **B.2**), PVAAC sEPSCs (n_{PVAAC} = 5 CT, 6 1326 5xFAD, **B.3**), and PVBC sIPSCs (n_{PVBC} = 8 CT, 8 5xFAD, **B.4**). **C**, Spontaneous PSC kinetics: rise and 1327 decay tau. **D**, Cumulative distribution function of PVBC decay tau. Dark lines represent cell average, 1328 shaded region represents SEM. E, swrEPSQ and swrIPSQ = total excitatory and inhibitory charge during 1329 SWRs, integrated over a 100 ms window centered around the SWR peak. F, left, PVBC spontaneous 1330 synaptic E/I ratio of normalized charge (sEPSQ/sIPSQ). F, right, PVBC synaptic E/I ratio for 100 ms 1331 window centered around SWR peak (swrEPSQ/swrIPSQ). G, The charge for each PVBC (EPSQ and 1332 IPSQ), calculated in 2 ms bins by integrating the current in a sliding 100 ms window centered around that 1333 bin. Summary data in E.1 and E.4 thus represent these curves at the y-intercept. Asterisks indicate 1334 regions that survived Šidák's multiple comparisons * < 0.05, ** < 0.01, *** < 0.01. H, the ratios of curves 1335 in G yield the synaptic E/I ratio during SWRs. Summary data in F represents these curves at the y-1336 intercept. I, Linear regression of spike rate during SWRs vs the E/I ratio. For all plots, individual data 1337 points represent a cell. Closed circles represent cells from males, open circles females. Bar plots 1338 represent normal data with Mean ± SEM. p-values indicated above brackets.

Figure 9: *Schematic of alterations to the CA1 micro-circuit in 5xFAD mice*. In PVBCs, the synaptic E/I ratio during SWRs was reduced, with a reduction in spike rate during SWRs. In sPCs, there was a reduction in sIPSCs, an increased E/I ratio during SWRs, and an increased probability of spiking during SWRs. In dPCs, there was an increase in synaptic E/I during spontaneous periods (between SWRs), no change in the E/I ratio during SWRs (with concomitant increases in excitation and inhibition), and enlarged ensembles.

	Electrophysiology + Imaging (Fig. 2-8)				Behavior (Fig. 1)			
	Control 1mo	5xFAD 1mo	Control 3mo	5xFAD 3mo	Control 1mo	5xFAD 1mo	Control 3mo	5xFAD 3mo
n _{mice}	10 (6f)	10 (4f)	27 (17f)	29 (12f)	10 (2f)	10 (6f)	15 (7f)	12 (8f)
Age (postnatal days)	40.2 ± 4.4	40.4 ± 4.6	95.8 ± 6.0	94.5 ± 5.8	42.0 ± 5.2	43.8 ± 4.2	91.8 ± 5.2	92.5 ± 5.4
n _{slice} (range 2 - 8)	5.6 ± 0.8	4.0 ± 1.8	3.9 ± 1.3	3.2 ± 1.4				
% slices with SWRs	93.8±8.1%	70.8 ± 22.0%	72.4 ± 19.8%	$66.3 \pm 26.1\%$				
	Thy1-GCaMP6f (Fig. 3-5)		PV ^{Cre} -tdTom (Fig. 6-8)		Thy1-GCaMP6f;PV ^{Cre} -tdTom (Fig. 4-8))
	Control	5xFAD	Control	5xFAD	Control	5xFAD		-
n _{mice}	10 (5f)	11 (5f)	13 (8f)	11 (5f)	4 (4f)	7 (2f)		
Age (postnatal days)	95.0 ± 7.4	93.4 ± 3.2	96.8 ± 5.7	97.4 ± 6.0	94.8 ± 3.2	91.6 ± 2.1		
n _{slice} (range 2 - 8)	3.9 ± 1.1	2.8 ± 1.3	3.8 ± 1.5	4.9 ± 2.1	4.5 ± 1.3	3.6 ± 1.8		
% slices with SWRs	75.5 ± 20.2%	67.9 ± 26.2%	67.4 ± 20.5%	$68.2\pm25.3\%$	80.8 ± 16.4%	60.7 ± 30.5%		
n cell-attached	39 (PC)	39 (PC)	13 (PV)	18 (PV)	11 (PV)	5 (PC); 14 (PV)		
n _{whole-cell}	21 (PC)	15 (PC)	11 (PV)	16 (PV)	10 (PV)	1 (PC); 13 (PV)		





























